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# Functional trait variation of forest understorey plant communities across Europe

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## 32 Abstract

33 Global environmental changes are expected to alter the functional characteristics of understorey herb-layer 34 communities, potentially affecting forest ecosystem functioning. However, little is known about what drives the 35 variability of functional traits in forest understories. Here, we assessed the role of different environmental drivers 36 in shaping the functional trait distribution of understorey herbs in fragmented forests across three spatial scales. 37 We focused on 708 small, deciduous forest patches located in 16 agricultural landscape windows, spanning a 38 2500-km macroclimatic gradient across the temperate forest biome in Europe. We estimated the relative effect 39 of patch-scale, landscape-scale and macroclimatic variables on the community mean and variation of plant height, 40 specific leaf area and seed mass. Macroclimatic variables (monthly temperature and precipitation extremes) 41 explained the largest proportion of variation in community trait means (on average 77% of the explained 42 variation). In contrast, patch-scale factors dominated in explaining community trait variation (on average 68% of 43 the explained variation). Notably, patch age, size and internal heterogeneity had a positive effect on the 44 community-level variability. Landscape-scale variables explained only a minor part of the variation in both trait 45 distribution properties. The variation explained by shared combinations of the variable groups was generally 46 negligible. These findings highlight the importance of considering multiple spatial scales in predictions of environmental-change effects on the functionality of forest understories. We propose that forest management 47 48 sustainability could benefit from conserving larger, historically continuous and internally heterogeneous forest 49patches to maximise ecosystem service diversity in rural landscapes.

50

51 **Keywords:** Agricultural landscapes; biogeography; community ecology; forest understorey; functional trait 52 diversity; fragmentation; global environmental change; landscape connectivity; macroclimatic gradient; multi-53 scale analysis

# 54 Introduction

55 The major drivers of global change, that is, climate and land-use changes, are causing long-term shifts in the 56biodiversity and functioning of forest ecosystems (Hansen et al., 2001; Sala et al., 2000). Indeed, previous studies 57 have reported a warming-induced reshuffling in the composition of forest plant communities (Walther, 2010). 58 Furthermore, habitat fragmentation may aggravate the impact of climate change on forest ecosystems by 59 impeding the movements of species across the landscape (Honnay et al., 2002; Skov & Svenning, 2004). Forest 60 herbs are particularly susceptible to these interactive global-change effects due to their dispersal limitation (De 61 Frenne et al., 2011; Verheyen, Honnay, Motzkin, Hermy, & Foster, 2003). Many forest plants are likely not able 62 to rapidly track favourable environmental conditions, and their persistence may depend on the conservation of 63 existing forest habitats within their actual distribution range (Honnay et al., 2002). From this perspective, small 64 forest remnants may serve as refugia for forest herbs or even form stepping-stones for their migration across the 65 landscape. Likewise, exactly these patches account for some key ecosystem services (e.g. sources of wood and 66 fibre production, pollination, carbon sequestration, etc.; see review by Decocq et al., 2016).

67 The response of forest ecosystems to environmental changes as well as their capacity to deliver ecosystem services 68 is strongly defined by changes in plant functional trait diversity (i.e. the distribution of functional life-history traits 69 among coexisting species) (Diaz, Cabido, & Casanoves, 1998; Violle, Reich, Pacala, Enquist, & Kattge, 2014). 70 Indeed, various abiotic and biotic drivers (ecological filters) acting across different scales shape the functional 71 trait composition within and across communities (Keddy, 1992; Violle et al., 2007; Zobel, 1997). Large-scale 72 environmental factors (e.g. macroclimate) determine which ecological strategies are more viable at a given site, 73 and may thus shape the community-level mean of plant traits. Local environmental variation (e.g. microclimate, 74 edaphic heterogeneity and biotic interactions), on the other hand, provides opportunities for niche partitioning, 75 and may explain why communities support a wider distribution of ecological strategies (de Bello et al., 2013; 76 Stark, Lehman, Crawford, Enquist, & Blonder, 2017). Finally, landscape-scale factors (e.g. landscape 77 connectivity) affect the movements of species among habitat patches, and may thus also modify the distribution 78 of traits in community assemblages (e.g. by selecting species based on their dispersal traits; Favre-Bac, Mony, 79 Burel, Seimandi-Corda, & Ernoult, 2017). However, the relative importance of these environmental drivers in 80 structuring the functional trait distribution of forest plant communities across multiple spatial scales (from local 81 to continental) still needs to be quantified. This knowledge is key to develop a deeper understanding of how global changes affect ecosystem dynamics and functionality of forest remnants, and can be used to elaborate
guidelines for their conservation and management in an era of environmental change.

84 Here we determined the functional trait distribution of forest herbs as the community-level mean (CM) and 85 coefficient of variation (CV). We specifically focused on the minimally needed trait spectrum, i.e. the leaf-height-86 seed (LHS) trait space (Westoby, 1998), which relies on a combination of easily measurable traits known to 87 reflect key aspects of ecological strategies amongst vascular plant species (Diaz et al., 2004). (i) Plant height at 88 maturity determines a species' ability to compete for light and adjust to various forms of environmental stress 89 (climate, nutrients, etc.) (Westoby, 1998). (ii) Specific leaf area (SLA; leaf area to dry weight ratio) reflects the 90 trade-off between leaf longevity and the maximum photosynthetic rate (with low/high SLA values resulting in 91 long/short leaf life-spans, but low/high rates of photosynthesis; Wright et al., 2004), and thus controls the growth 92 rate of plants as well as their capacity to respond to varying levels of disturbance (Bernhardt-Römermann et al., 93 2011). (iii) Seed mass plays an important role in the colonization process as this trait is highly correlated with 94seed dispersal distances, seed bank persistence, germination potential of seeds and establishment and survival of 95seedlings (with heavier-seeded plants typically having a higher seedling survival rate upon emergence; Coomes & 96 Grubb, 2003; Moles, Wright, Pitman, Murray, & Westoby, 2009).

97 We aimed at explaining the variation in the functional trait distribution patterns of understorey plant 98 communities in forest patches of agricultural landscapes along a broad macroclimatic gradient across Europe. 99 To achieve this, we investigated the relative effects of several environmental drivers acting across different spatial 100scales (continental scale, regional/landscape scale and local/patch scale). Our study was explicitly designed to 101 capture the environmental variability across these scales. In each region, we selected two landscape windows with 102 a contrasting degree of landscape permeability and habitat connectivity. We assured that these windows 103 contained forest patches of varying size, age and degree of isolation. The macroclimatic gradient along which the 104forest patches were located, assured that the large-scale climatic conditions of temperate Europe are represented 105adequately in our study. Moreover, studying plant populations along a broad environmental gradient provides 106vital information on the structuring and functioning of ecosystems, and serves as an excellent tool to investigate 107 the response of individuals, communities or ecosystems to global environmental change (De Frenne, Graae, et 108 al., 2013). We focus on understorey communities as these represent the vast majority of vascular plant diversity 109in temperate forests (Gilliam, 2007). They also play a vital role in forest ecosystem functioning (e.g. litter decomposition and nutrient cycling) as well as the provisioning of ecosystem services (e.g. pollination, tree regeneration, habitat provisioning, etc.; Ampoorter et al., 2016; Nilsson & Wardle, 2005) and disservices (Ehrmann et al., 2018). Finally, herbaceous-layer communities are indicators for long-term environmentalchange impacts on forest ecosystems (Förster, Becker, Gerlach, Meesenburg, & Leuschner, 2017; Perring et al., 2018).

More specifically, we addressed the following hypotheses: (i) Macroclimatic factors, as overall ecological filter, mainly affect the community trait means of understorey herbs in forest fragments, (ii) Landscape configuration around the forest patches determines the dispersal success of species, and may therefore also influence the functional trait distribution of plant communities in fragmented forests, and (iii) Patch-scale factors such as patch age, size and internal heterogeneity contribute mostly to community trait variation within fragmented systems.

120

# 121 Material and methods

#### 122 Study area

123 Data on plant species occurrences were gathered from deciduous forest patches in eight regions, spanning a 124 macroclimatic gradient of c. 2500 km across the nemoral and boreo-nemoral zones of Europe (see also Valdés 125et al., 2015; Fig. 1). In each region, two 5 km × 5 km agricultural landscape windows were selected with contrasting 126degree of connectivity between forest patches. The first window (henceforth referred to as 'highly-fragmented 127 system'), was chosen to consistently represent a poorly connected landscape with primarily isolated forest 128 patches, separated by an intensively managed agricultural matrix of open arable fields. The other window 129(hereafter referred to as 'semi-fragmented system') contains forest patches which are, to some extent, connected 130 by woody corridors (e.g. hedgerows and tree lines), and embedded in a less disturbed matrix. This landscape 131 was thus considered more permeable to species movements between habitat patches. For each window, 132landscape metrics and climatic characteristics are given in Appendix A: Table 1.

We sampled all deciduous forest patches in each landscape window, including both large and small as well as ancient (i.e. forests that have not been cleared for agriculture over a period of at least 150–400 years) and recent forests (i.e. forests that have been established on former agricultural land; Flinn & Vellend, 2005). We focused on deciduous forests as these are the most dominant type of forest remnants in rural landscapes across Europe, and are known to have a diverse understorey species composition. Hence, we excluded coniferous plantations and stands with more than 40% of coniferous trees in the entire patch area. If a deciduous forest patch was embedded in a larger coniferous plantation, the coniferous part was not sampled and the deciduous stands were regarded as a single patch. In total, 708 forest patches were sampled, with an average of  $37 \pm 15$  (mean  $\pm$  S.D.) forest patches per window in the eight highly-fragmented landscapes and  $52 \pm 13$  (mean  $\pm$  S.D.) forest patches per window in the eight semi-fragmented landscapes.

#### 143 Floristic surveys

144Species-specific occurrences (presence/absence) of terrestrial vascular plants were collected in all forest patches 145at the peak of phenology of the vegetation (May-July 2012). More specifically, we recorded all vascular plant species (see Valdés et al., 2015 for a complete species list) occurring along parallel transects spaced at 10 m apart, 146 147 in accordance with the recommendations of Kirby et al. (1986). Taxonomic agglomerates (e.g. Rubus fruticosus 148agg.) were treated as single species. The number of transects per patch and the transect length were proportional 149to the patch size. The transect direction was chosen randomly, but all transects were situated parallel to each 150 other such that the entire area was surveyed. The average amount of time spent on these surveys was about two 151hours per hectare per person. Finally, we omitted trees and non-seed plants (i.e. ferns and horsetails) to avoid a 152bias in the calculation of trait statistics (especially for plant height and seed mass, respectively). This resulted in a 153final dataset of 606 species (with an average of  $37.5 \pm 19.7$  species per patch). Following the methodology of 154Chao, Colwell, Lin, and Gotelli (2009), we estimated that we detected 85.3 % of all species present in the forest 155fragments.

#### 156 Trait data

157We compiled data on functional plant life-history traits from databases as well as personal measurements (see 158Appendix A: Table 2). The main data source for all traits was the LEDA database (Kleyer et al., 2008), completed 159 for plant height by data from Ecoflora (Fitter & Peat, 1994) and for seed mass by D<sup>3</sup> (Hintze et al., 2013) and 160the SID databases (Royal Botanic Gardens Kew, 2008). For completion of species with still missing values, the 161 trait databases shown in Appendix 2 were assessed. In few cases, personal measurements following the protocols 162of the LEDA database were used. Remaining gaps in the trait data were relatively scarce (i.e. 0.87%, 2.66% and 1634.62% of missing occurrences in the sites  $\times$  traits matrix for plant height, SLA and seed mass, respectively) and 164 were therefore not interpolated as this would have only a minor influence on the calculation of community-level statistics. Plant height was weakly correlated with SLA (Pearson's r = -0.118, P = 0.007), while plant height and seed mass (Pearson's r = 0.073, P = 0.098) as well as SLA and seed mass (Pearson's r = 0.018, P = 0.677) showed no significant correlation.

Due to limitations in data availability, we used mean functional trait values per species without taking into account intraspecific trait variability. Yet, this is a rational approach considering the broad scale of our study and the nature of our predefined hypotheses (following the guidelines of Albert, Grassein, Schurr, Vieilledent, & Violle, 2011). Nonetheless, we acknowledge that incorporating within-species trait variability could further improve the robustness of our analyses (Albert et al., 2010; Violle et al., 2012), and merits additional research (especially given the effect of intraspecific trait variation on plant community dynamics and ecosystem functioning; Garnier et al., 2001).

#### 175 Environmental variables

176 For each studied forest patch, three types of environmental variables (i.e. patch-scale, landscape-scale and 177 macroclimatic variables) were calculated using a Geographic Information System (GIS) software (ArcGIS 9.3, 178ESRI). For each type, only the four most meaningful and representative variables were retained [based on a 179 principal component analysis (PCA); 12 environmental variables per patch in total] to avoid overweighting of 180 one type over the others in the statistical analysis and facilitate between-group comparisons (Valdés et al., 2015). 181 The first group of environmental predictors consisted of four macroclimatic variables, using WorldClim 182 version 1.4 (Hijmans, Cameron, Parra, Jones, & Jarvis, 2005): maximum temperature of the warmest month 183 (MaTWm; BIO5), minimum temperature of the coldest month (MiTCm; BIO6), precipitation of the wettest 184month (PWm; BIO13) and precipitation of the driest month (PDm; BIO14). Both MaTWm and MiTCm were 185highly correlated with the mean annual temperature (Pearson's r = 0.773 and 0.943, respectively), while PWm 186 and PD showed a high correlation with the mean annual precipitation (Pearson's r = 0.698 and 0.956, 187 respectively). For more details regarding the calculation and selection procedure of these macroclimatic variables, 188we refer to Appendix A and Valdés et al. (2015).

Next, we calculated 20 variables describing the landscape configuration around each patch, using the Corrine Land Cover map of 2006 (Büttner, 2014) as well as aerial photographs. We retained only the four most representative variables, i.e. the proximity index (PROX; McGarigal, Cushman, & Ene, 2002), proportion of forest (F500) and grassland (G500) in a 100–500 m buffer around each focal patch and proportion of hedgerows (H50) in a 0–50 m buffer around each patch (see Appendix A). These variables provide a measure for the interpatch connectivity as well as permeability of the surrounding matrix, and relate to the movements of species
across the landscape.

196 Finally, four patch-level variables were computed. Patch area (AREA) was determined after digitizing all forest 197 fragments using recent aerial photographs (all taken after the year 2000). To estimate patch age (AGE), we 198reconstructed historical changes in forest cover based on land-use maps from the 18<sup>th</sup> century, 19<sup>th</sup> century, 20<sup>th</sup> century and the 21<sup>st</sup> century. Specifically, we calculated an area-weighted age index  $A_i = \sum p_i age_i$ , where  $p_i$  is 199200 the proportion of the total surface area of a focal patch that existed continuously between the time of each 201 historical map and the year 2012, and  $age_i$  the estimated age of the historical maps relative to 2012 (the year of 202the vegetation surveys). Both features are considered as important drivers of understorey species diversity in 203 forests (De Frenne et al., 2011; Valdés et al., 2015), and may therefore have a profound influence on the functional diversity of fragmented systems. Likewise, small-scale differences in topography and canopy cover 204205strongly modify local environmental conditions (e.g. light availability, microclimate and soil conditions) (Graae 206et al., 2017; Lenoir, Hattab, & Pierre, 2017), and may promote randomness, or even divergence, of trait values 207in the understorey herb layer. To account for these effects, we used the topographic variability (coefficient of 208variation of elevation) as proxy for abiotic heterogeneity (ABIO\_H), and total woody species diversity within 209 each patch as proxy for biotic heterogeneity (BIO\_H). Further details on the calculation of these variables are 210 provided in Appendix A.

#### 211 Data analyses

212We calculated the community-level mean (CM; i.e. mean trait values per patch) and variation (CV; i.e. coefficient 213 of variation of trait values per patch) for each of the three plant traits. Then, we related these trait statistics to the 21412 studied environmental variables using linear mixed-effect models (LMM) with maximum-likelihood model 215estimation. In these models, we used the CM and CV of the studied traits as response variable, whereas the 12 216environmental variables were included as fixed effect. Furthermore, we included 'region' and 'window type' 217 (nested within 'region') as random intercept terms in the models to account for the hierarchical structure of the 218data and potential spatial autocorrelation between plant populations belonging to the same region and landscape 219window. The variance inflation factor (VIF) between predictors was greatest for MiTCm (VIF = 7.87) and PDm (VIF = 9.40) (see Appendix A: Fig. 1, Fig. 2 and Table 3). Therefore, these two variables were not simultaneously 220

included in the models. All response variables were transformed with natural logarithm prior to the analyses to meet the assumptions of the statistical tests, while the predictor variables were standardized.

To come up with the most parsimonious models, we adopted a model selection procedure according to Burnham and Anderson (2002). In particular, for each of the response variables (CM and CV of the three plant traits), we constructed candidate models with all possible combinations of the 12 explanatory variables, but always excluded one out of the two collinear variables (MiTCm and PDm) unless the other variable dropped out. Next, we ranked these models based on the small sample unbiased Akaike Information Criteria (AICc) (Burnham & Anderson, 2002). Finally, to account for model selection uncertainty, we performed conditional model averaging of parameter estimates across all candidate models with a  $\Delta AICc \leq 2$  (Burnham, Anderson, & Huyvaert, 2011).

Finally, we performed a variation partitioning among the three groups of explanatory variables (patch-scale, landscape-scale and macroclimatic) according to Legendre and Legendre (2006). We constructed LMMs containing each time one, two or three groups of explanatory variables, and determined the proportion of variation explained by the fixed factors (marginal  $R^2$ ;  $R_m^2$ ) according to Nakagawa and Schielzeth (2013). Subsequently, we calculated the amount of variation explained by the unique and shared contribution of patchscale, landscape-scale and macroclimatic variables, and expressed this relative to the total amount of variation explained by the fixed factors in the global model (containing all three groups of explanatory variables).

All statistical analyses were performed in R using the packages 'lme4' (Bates, Mächler, Bolker, & Walker, 2015),
'lmerTest' (Kuznetsova, Brockhoff, & Christensen, 2017) and 'MuMin' (Barton, 2017).

239

# 240 **Results**

In the most parsimonious models, the 12 environmental variables explained 31.0% ( $\chi^2$  test; P < 0.001), 9.60% ( $\chi^2$  test; P = 0.002) and 18.4% ( $\chi^2$  test; P < 0.001) of the total variance in the mean plant height, SLA and seed mass, respectively. More precisely, the mean plant height responded negatively to patch age, abiotic and biotic patch heterogeneity and precipitation of the wettest month, whereas the minimum temperature of the coldest month and the precipitation of the driest month had a strong positive effect. The mean SLA related negatively to patch area and proportion of grassland around the patch. The mean seed mass increased with increasing biotic heterogeneity and proportion of forests around the patch, but was negatively correlated with the proportionof grassland and minimum temperature of the coldest month (Table 1).

For the variation of plant height, SLA and seed mass, the proportion of variance explained by the environmental predictors in the best model was 31.6% ( $\chi^2$  test; P < 0.001), 37.4% ( $\chi^2$  test; P < 0.001) and 41.1% ( $\chi^2$  test; P <0.001, respectively. Notably, the variation of plant height, SLA and seed mass increased significantly with increasing patch area, patch age and abiotic as well as biotic heterogeneity of the forest patches. In addition, we detected a negative correlation between the variation of plant height and SLA and proportion of hedgerows around the patch, while the variation of seed mass responded negatively to increasing minimum temperature of the coldest month (Fig. 2, Table 1).

256The variation partitioning revealed that, among all fixed effects, the unique effect of macroclimatic variables 257 accounted for the largest proportion of explained variation in the mean plant traits (70.8%, 87.7% and 73.2% of 258 the explained variation for plant height, SLA and seed mass, respectively), whereas the percentage of variation 259explained by patch- and landscape variables was negligible. For the variation of the plant traits, however, patch-260 scale variables accounted for the largest prediction value (78.2%, 73.6% and 50.8% of the explained variation for 261 plant height, SLA and seed mass, respectively), followed by macroclimatic conditions and finally by landscape-262scale variables. The percentages of variation shared by the different groups of environmental variables were 263generally low (on average 2.88% of the explained variation across the three plant traits; Fig. 3). The results of the 264variation partitioning are thus highly consistent with the LMMs, demonstrating the robustness of our findings.

265

# 266 Discussion

Our study quantified the functional trait distribution of understorey plant species in fragmented forests along a macroclimatic gradient, and related the observed patterns to underlying effects of environmental variables at three different scales (patch-, landscape- and continental scale). We provide evidence for a scale-dependency in the role of environmental variables shaping the functional trait assemblages of forest fragments. Once large-scale environmental conditions such as macroclimate have set the community trait means, fine-scale variables determine the variance of plant functional traits around the mean, and may thus explain why communities support different amounts of trait variation.

#### 274 Patch-scale variables as main driver of community trait variation in forests

275 We found strong positive effects of all four patch-scale predictor variables (patch size, patch age, abiotic 276 heterogeneity and biotic heterogeneity) on the community-level variation of the three studied traits. Indeed, 277 larger forest patches tend to harbour a higher number of species (cf. species-area relationship; Wright, 1983), 278and may hence support a broader range of plant strategies. Furthermore, ancient forests are likely to accumulate 279 more species over time, especially species with life-history traits that limit their dispersal (De Frenne et al., 2011), 280and may thus also support a higher variation of functional traits. Older forests also provide a wider variety of 281 microhabitats related to, for instance, the structural complexity of shrub and tree layer as well as often higher 282 density of dead wood (Lõhmus & Kraut, 2010).

283 Forest patches with higher levels of abiotic heterogeneity (here quantified as topography) generally provide a 284wider array of edaphic conditions, microclimates and potential resources, which could in turn support the co-285occurrence of species with differing trait values in the understorey (Opedal, Armbruster, & Graae, 2015; Stark 286et al., 2017). For instance, topographic complexity typically enhances microclimatic variability (Graae et al., 2017; 287 Lenoir et al., 2017) and contributes to local heterogeneity in soil texture, soil moisture availability and litter 288decomposition (Dwyer & Merriam, 1981). Likewise, we assume that a higher heterogeneity in the canopy 289structure, and thus degree of canopy closure, also promotes functional diversity of understorey herbs by locally 290 modifying environmental conditions such as light transmittance (Sercu et al., 2017) and below-canopy 291 temperatures (De Frenne, Rodríguez-Sánchez, et al., 2013; Lenoir et al., 2017).

292In addition to these patch-scale effects, we detected a strong negative impact of the number of hedgerows around 293a forest patch on the variation of plant height and SLA. Long-term continuity of woody corridors is commonly 294 seen as crucial factor supporting species-rich plant communities in small forest patches (Liira & Paal, 2013; Roy 295& de Blois, 2008). The hedgerows in our landscape windows, however, are likely too young to act as efficient 296migration corridors for forest herbs (Valdés et al., 2015), and might therefore not contribute to a divergence in 297functional trait attributes. Alternatively, well-connected landscapes (with many corridors) might reflect the 298historical land use, e.g. as remnants of cleared forests or forests used as wooded pastures, both of which may 299 have homogenised the distribution of leaf traits in understorey herb-layer communities.

300 Finally, apart from the negative effect of temperature on seed mass variation, macroclimatic factors contributed 301 poorly to explaining functional trait variation in the forest fragments. This is corroborated by the weak 302 relationship between latitude and the variation of plant traits, particularly for plant height and SLA (see 303 Appendix A: Fig. 3). Moreover, if macroclimate would have been the main driver of functional diversity, we 304would have expected a significant reduction in the number of viable plant strategies-and thus functional 305 diversity-towards higher latitudes (Lamanna et al., 2014). Yet, our results indicate that patch characteristics may 306 decrease the effect of large-scale environmental conditions, and ultimately explain why understorey herb 307 communities in forest remnants exhibit different amounts of variation in their life-history traits.

#### 308 Macroclimatic variables as primary filter to define community trait means in forests

309 Overall, macroclimatic variables had the greatest effect on the mean plant traits, suggesting large-scale filtering of 310 species according to specific limiting conditions (Keddy, 1992). For instance, a higher precipitation in the driest 311 month positively affected the mean plant height, supporting the findings of Moles, Warton, et al. (2009) that 312 water availability constitutes one of the key limiting factors for plant height at a species level. Likewise, the positive 313 effect of temperature on the mean plant height and seed mass in our study is corroborated by large-scale 314observational studies of plant functional trait variation (De Frenne, Graae, et al., 2013; Moles et al., 2005). Yet, 315we acknowledge that macroclimatic variables did not have a significant effect on the mean SLA. Most likely, this 316 can be attributed to the fact that we focused on forest herbs, and SLA is therefore a direct reflection of species 317 adaptations to shade (Lõhmus, Paal, & Liira, 2014) rather than macroclimate.

318 In addition to macroclimate, some patch- and landscape-scale variables also showed a significant, but weaker 319 effect on the community trait means. For instance, the negative correlation between patch age and understorey 320 plant height could be related to the fact that older forests commonly host more small forest specialist species 321 (Verheyen et al., 2003; and see Appendix A: Fig. 4). Recent forests, on the other hand, typically lack these slow-322 colonizing specialists (Brunet et al., 2011; Naaf & Kolk, 2015), and are often dominated by tall, competitive herbs 323 (e.g. Urtica dioica) due to the higher resource availability (e.g. soil phosphorous) or more open tree canopies 324 (Flinn & Vellend, 2005). Likewise, we found that the mean plant height was negatively affected by the abiotic 325 (topographic) and biotic (canopy) heterogeneity of the forests. The mean seed mass, however, increased with biotic patch heterogeneity. According to Wulf and Kolk (2014), the number of geophytes and small forest 326 327 specialists with heavy seeds increases with forest patch heterogeneity, while the number of generalists was not affected in their study. Forest specialists thus tend to respond positively to the higher number of small-scale suitable habitats, whereas generalists require less specific growing conditions and are mainly affected by moisture and nutrient availability (see also Dupré & Ehrlén, 2002). Consequently, we expect that an increase in small-scale heterogeneity may cause a proportionally higher rise in the number of forest specialist relative to generalists, thus implying a decrease in community mean plant height as well as an increase in community mean seed mass.

SLA responded negatively to patch size, which could be the result of larger patches being either more intensively managed towards efficient timber production (Lidestav & Berg Lejon, 2013) or having more interior edges (e.g. forests roads) dominated by light-demanding, low-SLA species. Forest patches surrounded by a larger proportion of grasslands had a lower average SLA and seed mass. Most likely, these forests receive a higher input of openlandscape species (with low SLA and low seed mass) and wind-dispersing plants (with low seed mass) from the surrounding pastures and meadows into the forest edges (Jamoneau et al., 2011; Lõhmus et al., 2014).

339 Finally, it should be noted that, in some cases, the explanatory power ( $R^2$ ) of the studied environmental variables 340 was low (especially for the mean SLA and seed mass). We argue that other fine-scale environmental factors, 341 which were not directly measured in this study, could partly drive functional diversity in the studied forest patches. 342 Previous studies have reported that soil characteristics (Price et al., 2017), microclimate (Stark et al., 2017) and 343 local light conditions (Liira, Jürjendal, & Paal, 2014) may play a key role in shaping the functional composition 344of plant communities. Besides, several non-environmental factors, such as biotic interactions, may influence 345 functional diversity at a community-level (Tamme et al., 2010). Given this, we could expect that part of the 346 variation in the studied plant traits arises from interactions between coexisting species within a forest patch 347 (Moles, Warton, et al., 2009).

### 348 Scale-dependency in drivers of the community trait means and variation of forests

As expected, the variation partitioning demonstrated that macroclimatic drivers contributed most to the variation in community-mean traits, while patch-scale factors mainly determined functional trait variation, particularly for plant height and SLA (see also Stark et al., 2017). Specifically, it has been shown that environmental drivers might act as 'hierarchical filter' shaping community assemblages across different spatial scales (de Bello et al., 2013; Kraft, Godoy, & Levine, 2015; Zobel, 1997). For instance, de Bello et al. (2013) argued that species are selected hierarchically from the regional species pool, according to certain trait attributes optimizing their growth and reproduction in a given area. In this filtering process, large-scale environmental factors such as macroclimate primarily shape the functional trait composition (i.e. community-average traits), whereas local-scale environmental variables (e.g. microclimate heterogeneity) ultimately determine relative trait abundances, and may thus explain differences in community functional diversity.

#### 359 Environmental-change effects on the functional trait distribution of forest understories

360 Under climate change, species are predicted to shift their ranges towards higher latitudes (and higher elevations 361 in mountainous regions) in the coming decades (Parmesan, 2006). As a result, numerous forest ecosystems will 362likely experience a shift in their plant functional assemblages, which may in turn affect their functioning. 363 However, for many species, these projected range shifts may be, at least party, inhibited due to the fragmentation 364 of present-day landscapes (Renton, Shackelford, & Standish, 2012). Habitat fragmentation per se may also alter 365the functional trait distribution of forests by filtering plant species with strategies adapted to low habitat availability 366 and high levels of patch isolation (Favre-Bac et al., 2017). In particular, plant species with dispersal traits helping 367 them to overcome adverse effects of habitat isolation are selected from the regional species pool, leading to an 368 overall convergence of trait values. In our study, however, landscape-scale variables related to habitat connectivity 369 and landscape permeability had only a minor influence on both community trait means and variation. We argue 370 that trait variation in our study area is not subject to direct landscape filtering, but shows a more stochastic pattern, 371 which is driven by factors acting both at a continental and at a patch level.

372 Alternatively, fragmentation through land-use changes not only implies a loss of connectivity, but also leads to a 373 progressive conversion of forests into smaller and younger patches (Flinn & Vellend, 2005). This is reflected 374 more clearly in the stand structure and patch characteristics of these forests, and may have a much stronger, 375 negative impact on the functional diversity of understorey plant communities. In particular, many forest 376 specialists display life-history traits that make them susceptible to habitat loss and degradation (Brunet et al., 377 2011), and will be among the first to disappear from the regional pool of species. Yet, even if the present-day 378 landscape configuration is maintained, time lags in the local extinction of forest specialists following habitat 379 fragmentation may still be expected (Kolk & Naaf, 2015). Thus, incorporating information on historical land-use changes and past landscape connectivity, when studying the effects of global change on functional diversity in
 temperate forest understories, could be the subject of future evaluation of forest functional research.

382

# 383 Conclusion

384While community-average traits have been repeatedly linked to mean environmental conditions over large spatial 385scales, we demonstrated that local environmental factors (e.g. patch size, patch age, abiotic and biotic 386 heterogeneity of the patch, etc.) provide a better predictor of functional trait diversity in fragmented forests. Given 387 the role of small forest patches as biodiversity reservoirs and their potential to improve multifunctionality of 388 degraded landscapes (Decocq et al., 2016), we suggest that better-informed guidelines for their management and 389 conservation in an agricultural framework are urgently needed. With this in mind, our results are relevant to 390 improve projections of future environmental-change impacts on the biodiversity and functioning of forest 391 remnants, and have implications for the future management of fragmented systems. Forest managers, 392 policymakers and landscape planners could benefit from prioritizing the conservation of larger, older and more 393 heterogeneous forests, as these have a higher functional diversity and therefore potentially a higher resilience to 394global environmental changes (Mori, Furukawa, & Sasaki, 2013) as well as a higher potential to safeguard 395ecosystem service delivery in human-transformed landscapes (Decocq et al., 2016). Vice versa, our findings 396 suggest that land management strategies aiming to improve the ecosystem service supply of heavily fragmented 397 systems should prioritize on specific patch-scale characteristics of forests (e.g. size, history, tree composition, 398 etc.), before scaling up to a landscape level (e.g. increasing functional connectivity or improving the overall 399 landscape permeability).

400

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- 410 Appendix A. Supplementary data
- 411 Supplementary data associated with this article can be found, in the online version, at XXXXX.
- 412

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627 Fig. 1 (A) Continental scale: map showing the locations of the eight study regions, spanning a latitudinal gradient 628 of c. 2500 km. The grey area represents the temperate forest biome of Europe (adapted from Olsen et al. 2001). 629Country codes: SF = Southern France, NF = Northern France, BE = Belgium, WG = Western Germany, EG = Eastern Germany, SS = Southern Sweden, CS = Central Sweden, ES = Estonia). (B) Landscape scale: each region 630 631 comprised two 5 km × 5 km landscape windows, embedded in a fragmented agricultural matrix consisting of 632 mainly croplands, grasslands/pastures and isolated forest patches (example from western Germany). (C) 633 Landscape scale: 'semi-fragmented system' with higher amount of woody corridors and a more permeable landscape matrix. (D) Landscape scale: 'highly-fragmented system' with lower amount of woody corridors and a 634635landscape matrix predominantly consisting of intensively managed croplands. (E) Patch scale: fragmented forest 636 patch with the location of the sampling plots (white points) used for the vegetation surveys. This figure was partly 637 adapted from Valdés et al. (2015).



- 640 Fig. 2 Relationship between the community-level coefficient of variation (CV) of plant height (A-D), SLA (E-H)
- 641 and seed mass (I-L), on the one hand, and patch area (A, E, I), patch age (B, F, J), abiotic patch heterogeneity
- 642 (C, G, K) and biotic patch heterogeneity (D, H, L), on the other hand. The *blue line* denotes a linear mixed-
- 643 effect model (LMM;  $P \le 0.05$ ) with the predictor variables as fixed effect and 'region' as well as 'window type'
- 644 (nested within 'region') as random intercept terms. The *dashed line* represents a 95% confidence interval. All
- 645 response variables (CV of plant height, CV of SLA and CV of seed mass) were transformed with natural
- 646 logarithm, whereas predictor variables (patch area, patch age, abiotic heterogeneity and biotic heterogeneity) were
- 647 standardized by subtracting the mean and dividing by the standard deviation (S.D.).



650 Fig. 3 Results of the variation partitioning for the community-level mean (CM) plant height (A), SLA (B) and 651 seed mass (C) as well as the community-level coefficient of variation (CV) for plant height (D), SLA (E) and seed 652mass (F) across the three groups of environmental variables (patch-, landscape- and macroclimatic scale). The 653 amount of variation explained by pure and shared contributions of each variable group was calculated as a 654percentage of the total variation explained by the fixed factors in the global model (including the three groups of explanatory variables), and represented by ellipses with the ellipse area proportional to the percentage of variation 655explained. Values of marginal  $R^2(R_m^2)$  and conditional  $R^2(R_c^2)$  of the global models are shown for each response 656 657 variable. Variation partitioning was based on a linear mixed-effect model (LMM) with the predictor variables as 658fixed effect and 'region' as well as 'window type' (nested within 'region') as random intercept terms. Note that 659 these results strongly reflect the patterns shown in Fig. 2 and Table 1, based on the LMMs separately.

# 661 Tables

668

**Table 1** Results of the mixed model selection and averaging across the best candidate models relating the community-level mean (CM) and coefficient of variation (CV) of the studied plant traits (plant height, SLA and seed mass) to the 12 environmental variables. Values of Akaike Information Criterion (AIC), marginal  $R^2$  ( $R_m^2$ ) and conditional  $R^2$  ( $R_c^2$ ) are shown for the best model. The values inside the table represent parameter estimates  $\pm$  standard error, resulting from model averaging across all models with a  $\Delta$ AIC < 2. The significance of each predictor variable was obtained from a  $\chi^2$  test, and is indicated as: \*\*\* P< 0.001; \*\* P< 0.01; \* P< 0.05; . P<

0.1, with n = 708 forest patches. Blank spaces indicate that the variable was not included in the average model.

Explanatory variables	Plant height	SLA	Seed mass
Community mean (CM)	AIC = -588	AIC = -1870	AIC = 1238
	$R_m^2 = 0.310$	$R_m^2 = 0.096$	$R_m^2 = 0.184$
	$R_c^2 = 0.544$	$R_c^2 = 0.475$	$R_{c}^{2} = 0.314$
Patch area (AREA)	$-0.006 \pm 0.007$	$-0.006 \pm 0.002$ *	$-0.046 \pm 0.024$ .
Patch age (AGE)	$-0.030 \pm 0.007$ ***	$0.002\pm0.003$	$0.034\pm0.025$
Abiotic heterogeneity (ABIO_H)	$-0.027 \pm 0.011$ *		
Biotic heterogeneity (BIO_H)	$-0.017 \pm 0.007$ *	$0.002\pm0.003$	$0.070 \pm 0.026$ **
Proximity index (PROX)		$0.004 \pm 0.002$ .	
Proportion of forest (F500)	$-0.016 \pm 0.010$ .	$-0.004 \pm 0.004$	$0.069 \pm 0.035$ *
Proportion of grassland (G500)	$0.018 \pm 0.010$ .	$-0.010 \pm 0.004$ *	$-0.092 \pm 0.037$ *
Proportion of hedgerows (H50)		$0.003\pm0.003$	$0.046 \pm 0.027$ .
Maximum temperature warmest month			
(MaTWm)	$-0.002\pm0.041$	$-0.008\pm0.016$	$-0.061 \pm 0.077$
Minimum temperature coldest month			
(MiTCm)	$0.085 \pm 0.034$ *	$0.026\pm0.017$	$-0.203 \pm 0.073$ **
Precipitation wettest month (PWm)	$-0.066 \pm 0.034$ *	$0.003 \pm 0.013$	$-0.031 \pm 0.060$
Precipitation driest month (PDm)	$0.105\pm0.047$ *	$0.029 \pm 0.015$ .	
Community coefficient of variation (CV)	AIC = -110	AIC = -324	AIC = 534
	$R_m^2 = 0.316$	$R_m^2 = 0.374$	$R_m^2 = 0.411$
	$R_c^2 = 0.439$	$R_c^2 = 0.511$	$R_c^2 = 0.501$
Patch area (AREA)	$0.036 \pm 0.009$ ***	0.033 ± 0.008 ***	$0.050 \pm 0.015$ ***
Patch age (AGE)	$0.034 \pm 0.010$ ***	$0.035 \pm 0.008$ ***	$0.060 \pm 0.015$ ***
Abiotic heterogeneity (ABIO_H)	$0.047 \pm 0.027$ ***	$0.052 \pm 0.012$ ***	$0.093 \pm 0.022$ ***
Biotic heterogeneity (BIO_H)	$0.112 \pm 0.010 \ ^{*  *  *}$	$0.102 \pm 0.009$ ***	$0.172 \pm 0.016$ ***
Proximity index (PROX)	$0.013 \pm 0.008$	$0.008\pm0.007$	$0.008 \pm 0.013$
Proportion of forest (F500)	$0.015\pm0.013$	$0.010\pm0.011$	$0.016\pm0.021$
Proportion of grassland (G500)	$0.026\pm0.014$ .	$0.015\pm0.012$	$-0.006\pm0.022$
Proportion of hedgerows (H50)	$-0.027 \pm 0.010$ **	$-0.024 \pm 0.009$ **	$-0.022 \pm 0.016$

Maximum temperature warmest month			
(MaTWm)	$-0.010 \pm 0.027$		$-0.124 \pm 0.041$ **
Minimum temperature coldest month			
(MiTCm)	$0.020\pm0.027$	$-0.008 \pm 0.025$	
Precipitation wettest month (PWm)	$-0.033\pm0.023$	$-0.035 \pm 0.023$	$-0.032 \pm 0.036$
Precipitation driest month (PDm)	$0.016\pm0.031$	$-0.029 \pm 0.029$	$-0.048 \pm 0.046$