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3D simulations of the metal passivation process in potentiostatic conditions, using discrete lattice gas automaton

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Abstract

A cellular automaton based model is used for a 3D simulation study of metal corrosion in electrolyte solutions in potentiostatic conditions. The focus of this research is the formation of the passive layer on the metal surface. A general, mesoscopic-scale model is used. It contains only the elements necessary to reproduce the most important features of passivation. It is a 3D version of the 2D model presented in our previous papers. The simulations are run on graphics processing units which allow an effective operation on large 3D lattices. We consider the influence of three factors: the rate of corrosion (which is related to the electric potential), solubility of the corrosion products and their adhesion (or absence of adhesion) to the metal surface. For each set of the parameters we wait in the simulation run for the system to attain the steady state. Then we collect data characterizing steady-state properties such as electric current density, passive layer thickness, its roughness and overall morphology. The qualitative properties of the passivation phenomenon are reproduced in our 3D simulations confirming the conclusions based on earlier, 2D simulations. Keywords: Corrosion, Modelling, Cellular Automata, Passivation, parallel

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1. Introduction

Most of metals and alloys of practical importance are thermodynamically unstable in contact with water, oxygen and aqueous electrolytes. As a result, corrosion processes initiate at their surface in contact with an electrolyte solu-

- 5 tion. They owe their relative metastability to passivation. The passive layer forms at the metal surface, and it is composed of hardly soluble corrosion products – metal oxides or insoluble salts. It can slow corrosion down to practically negligible rates. In our study we try to address the following problems: When and how does this phenomenon occur? How precisely can we control the layer's
- thickness and morphology, e.g. by applying electric current or potential to a piece of metal? Can we apply the knowledge based on simulation to obtain interesting or useful nanostructures in real experiments? The nanopores appearing in surface oxide layers of most valve metals (Al, Ti...) [1] are an example of such structures.
- ¹⁵ Computer simulations are our method of choice to study passivation. They can provide valuable information for the design of experiments and provide a better understanding of the experimental results [2]. Recent experimental work in the field of corrosion and passivation is described e.g. in [3, 4, 5, 6].
- In this paper, we present the simulation results for passivation of a metal electrode in electrolyte solution in potentiostatic conditions (see fig. 1). A 2D mesoscopic discrete lattice asynchronous stochastic automaton model for simulation of such systems has been proposed. Its results are presented in our previous papers [7, 8]. The main idea behind the model is to make it as simple as possible, and still keep the most important features of passivation. Our goal
- ²⁵ for this paper is to create a 3D version of the model, in order to be closer to the physical reality. We are interested especially in the passive layer morphology and the transitions between active and passive regime.

Even for our simplified model the simulations appear to be computationally expensive. We use parallel processing on NVIDIA graphics processing units,

30 such as Tesla C2050, and GTX Titan, which feature 448 and 2688 processor cores respectively. They are programmed using CUDA technology [9]. In the case of very strong interaction between the layer particles, manifested as a weak layer solubility, the computation can take more than a week for a single run.

Since our model is based on cellular automata (*CA* for short), let us recall that CA are a class of discrete space and discrete time abstract machines. A definition of a cellular automaton is composed of:

- finite or infinite set of cells, usually corresponding to nodes of a spatial lattice;
- finite set of possible cell states;
- specification of the neighborhood of a cell;
 - transition rule that specifies a cell's state at the next time step as a function of its own state and the states of the cells in its neighborhood at the current time step.

In a traditional, synchronous CA all of the cells undergo the transition simulta-

- ⁴⁵ neously according to the transition rule at each time step. The initial states of all cells have to be specified to start an evolution of the CA. A common feature of many CA is that complex behavior and patterns can result from a simple transition rule. This phenomenon is called emergence – see [10] for an example with a detailed discussion.
- ⁵⁰ CA are widely used in simulation of reaction-diffusion systems. The system considered in this paper belongs to this category. In particular, threedimensional CA are used for corrosion processes [11, 12], materials science [13, 14, 15, 16], electrode processes [17] and biology [18], among others. An important feature of the CA is the fact that they often translate into parallel
- algorithms with no major difficulty.

In some cases, it is sensible to modify the traditional CA formula outlined above. One of the alternatives is the stochastic CA whose transition rule uses not only the states of the cells in the neighborhood but also a random variable. Another one is the asynchronous automaton where the cells change their states in

- an order, for instance random order, rather than simultaneously. Asynchronous CA are more tricky to implement on parallel systems than their synchronous counterparts. A way of doing this is discussed later. The cellular automaton considered in this article is both stochastic and asynchronous.
- The rest of the paper is structured as follows: In the *Model* section the basic assumptions are exposed and the 3D cellular automaton model is presented. The section *Results and Discussion* is what its title indicates – a presentation of the simulation results with an attempt to find their rationalization. Finally, in the *Conclusions* we summarize the paper and outline our future plans.
 - 2. Model



Figure 1: The experimental setup of the system being simulated.

70 2.1. Physicochemical basis of the model

Metal immersed in an electrolyte solution containing aggressive anions corrodes by electrochemical oxidation. Depending on the corrosion rate, solubility of the corrosion products and the strength of their adhesion to the metal surface, a passive layer may form. It protects metal from further corrosion by separating

⁷⁵ it from the solution. The corrosion products (further called oxide for simplification) should have a low solubility. But they can still dissolve, diffuse and precipitate back on the surface. Thus the passive layer morphology is subject to change. We also assume that some oxide mass is being permanently lost by ultimate dissolution in the bulk solution.

Even when the surface is completely covered by oxide the corrosion can still proceed, albeit at a much lower rate owing to a mechanism of transport through the passive layer. This is explained in the literature using the concept of ionic vacancies [19]. In our model, instead, the solution diffuses in the oxide symmetrically to the oxide diffusing in solution. On the level of our mesoscopic

description this is equivalent to the ionic vacancies model.

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In this and our previous papers on passivation, the system is controlled by a potentiostat that sets a constant electric potential of the metal with respect to the solution by means of an appropriate reference electrode. The potential controls the rate of the metal surface electrooxidation in contact with the solution.

In the original 2D model from [7] the formation of the passive layer is a result of the oxide's low solubility only (high tendency to aggregate). Oxide's adsorption on the metal is absent, as the layer material does not adhere to the metal. Nevertheless, the oxide has a strong tendency to stay on the surface. It

- is sufficient for passivation, that the oxide is produced at the metal surface, and that the oxide layer prevents the formation of a solution layer in between the metal and the oxide layer. The model simulates the properties of passivation reasonably well. The revised model from [8] introduces adsorption. The layer material sticks to the metal surface. This results in a significant change in the
- system behavior. Namely, in the no-adsorption version of the model, depending on the potential, we observe two regimes – bare metal surface at sufficiently cathodic potentials, and a thick oxide layer at higher potentials. In the adsorption version there appears a third, intermediate regime of a thin, but compact oxide layer.

In this paper, we employ a 3D version of that model in both no-adsorption and adsorption versions. More attention is given to the adsorption version as more realistic and resulting in a more complex behavior. As previously, in this paper we define and use our model for qualitative, generic features of a larger class of passivating metal systems and simulate the general properties of metal passivation for this class rather than for a specific system.

2.2. Discrete lattice model specification

The model presented in this paper is based on asynchronous, or more precisely – block synchronous stochastic automaton. The lattice is cubic, and the von Neumann neighborhood of radius one is used. Every cell has six neighbors: upper, lower, left, right, forward and backward. There are three possible cell states corresponding to chemical species: metal *MET*, metal oxide *OXI* and solution *SOL*. The initial state of the lattice is a metal block with a flat surface, covered with solution. No oxide is present yet. There are three parameters that control the system's behavior:

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• Electrode potential V, influencing the corrosion rate;

- Oxide bond breaking probability P_{break}, influencing the passive layer redistribution – P_{break} is related to the oxide cohesion strength;
- Oxide ultimate dissolution probability P_{die} .

There are three transitions corresponding to physical and chemical processes occuring in the system (see fig. 2; 2D illustrations are used for clarity). They occur stochastically with given probabilities:

- 1. Metal oxidation: MET + SOL \rightarrow OXI + OXI, with probability $P_{corr} = \exp(V)/(1 + \exp(V))$ for each MET cell neighboring solution (the SOL neighbor is chosen at random);
- 2. Oxide diffusion swap with a neighboring SOL cell: OXI + SOL → SOL + OXI (SOL is chosen at random as well), with probability depending on the neighborhood, discussed in detail below;

- 3. Oxide dissolution: OXI \rightarrow SOL with probability P_{die} , for each OXI cell having only SOL neighbors.
- Let us note that the basic parameter in our model is P_{corr} , not V, while in experiments we deal with the potential V. The hyperbolic tangent formula used here is an arbitrary selection to convert the P_{corr} scale to V scale in arbitrary units. We may ignore its real form, as the only important feature of this relation is the continuous 1-1 monotonous mapping of the [0, 1] range of P_{corr} values to
- [$-\infty, +\infty$] range of potential values and *vice-versa*. All qualitative features of the V dependence remain unchanged under a continuous monotonous transformation of this scale. To convert our arbitrary potential scale to volts we can use the nF/RT factor, where n, F, R and T are reaction valence, Faraday constant, gas constant and temperature respectively. For, say, n = 1 and T = 295.15 K
- it transforms our potential range of -15 to 10 in arbitrary units onto -0.38 V to 0.25 V. Additionally, this functional reproduces the Tafel law for sufficiently low potential with the slope 1 and corresponds in this limit to the form adequate for rapid, Nernstian response electrochemical systems [17].



Figure 2: The examples of lattice states in the beginning of the CA evolution and after consecutive transitions -1) metal oxidation, 2) oxide diffusion and 3) oxide dissolution. The color codes are as follows: oxide is represented by dark rusty, metal – by medium grey and solution – by light greenish.

When calculating the probability of an oxide diffusion event p_{swap} , we need to account for the adhesion of the oxide to itself and to the metal surface. Shortrange attractive interaction is modelled by introducing a bond between each two neighboring oxide cells, and optionally between oxide-metal neighboring cell pairs. Those do not necessarily correspond to chemical bonds, because the cells do not correspond to single atoms or molecules (the model is mesoscopic in scale). Two versions of the model have been used for the simulations:

- without adsorption only OXI—OXI bonds are counted;
- with adsorption MET—OXI bonds are included as well.

Each bond has an energy E_{bond} which is proportional to $-ln P_{break}$. Therefore, if the swap is going to increase the number of existing bonds, or leave it unchanged,

then it is energetically favorable. It occurs with probability $p_{swap} = 1$. If, however, the swap would decrease the number of bonds, then $p_{swap} = P_{break}^{n_{broken}}$, where n_{broken} is the net number of bonds that would be broken during the transition, that is – the difference between the number of bonds existing before and after the swap. See fig. 3 for examples.

- Metal and corrosion products form totally immiscible phases. Therefore, we expect that MET—OXI bond is weaker than OXI—OXI bond. We consider two limiting cases. First is no adsorption, where MET—OXI bond energy vanishes. The second is with strong adsorption MET—OXI bond energy equals that of OXI—OXI bond. In the simulation, the same P_{break} value is used for both types of bonds. This assumption is also plausible from a coarse-grained
- point of view regarding the metal surface. We assume that it is covered with a submesoscopic layer of metal oxides, especially at anodic potentials. Here, submesoscopic means much thinner than the cell size.

In this paper, we adopt such a coarse-grained perspective. Owing to the simplification of having just one P_{break} parameter, we introduce adsorption of oxide on metal without an increase in the model's complexity. In a more finegrained point of view, we would have to admit that P_{break} need not be the same for OXI—OXI and MET—OXI pairs.

2.3. Parallel implementation

If we try to formulate our model as a synchronous CA, then we run into the problem of collisions whenever two oxide cells would simultaneously move into the same solution cell; see fig. 4. Similarly, it is easy to imagine an event, when an OXI moves into a cell which is going to be occupied by a new OXI



Figure 3: Modelling of oxide adhesion – example OXI cell moves; below are the numbers of bonds broken in each move, in the adsorption and no-adsorption version respectively. The bonds are marked with dashes – red for OXI—OXI and gray for MET—OXI.

coming from a simultaneous corrosion reaction. It is not clear how to process the collisions simultaneously. The solutions are different for sequential or parallel computation. In the sequential case [7], we can replace the classic CA with an asynchronous automaton, which processes the cells one by one, in a random sequence.

This is not suitable for parallel computation since the sequential update by its very nature cannot be distributed between multiple processors. In this case an option is to use a block-synchronous automaton (BSA for short). Here, the system is divided into small blocks. The size of such unit block should be as small as possible, but large enough to prevent the collisions – in this case it is $4 \times 4 \times 4$. Then, every single time step of the BSA is executed using the following algorithm:

- choose a random cell within the unit block;
- do a CA transition for that cell's translated image in every block;
- Repeat those two steps n times, where n = volume of the block (here, 64 times)
- ²⁰⁰ An example of lattice division into blocks is shown in fig. 5.

This CA parallelization method is very similar to that described in [20]. The



Figure 4: Two oxide cells moving simultaneously into the same empty (solution) cell. An example of a collision that could occur if the model was formulated as a synchronous cellular automaton.

| 0 | 0 | | 0 | | 0 | | 0 |
|---|---|--|---|---|---|--|---|
| | | | | | | | |
| | | | | _ | | | 0 |
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Figure 5: Block-synchronous automaton principle – the lattice is divided into blocks and one cell in the unit block is selected, marked with circle.

only difference is that in our case, random sampling with replacement is used to select the cell in the unit block. This gives every cell the same probability of being chosen. It may happen that during a single time step some cells are selected more than once while other cells are omitted. Nevertheless, the diffusion process is very accurately reproduced by this method [2, 21].

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The simulation box is a finite size cuboid with periodic boundary conditions parallel to initial metal surface. For the direction perpendicular to this surface, the simulation program uses a scrolling mechanism. As the block of metal becomes thinner due to oxidation, the solution is removed from the top of the lattice and metal is added at the bottom. This allows for simulating corrosion of metal thickness larger than the box size. For the selected box sizes, the systems considered reach their steady state within a reasonable number of time steps. After that, the stationary thickness of the passive layer results in a balance between corrosion and oxide dissolution.

3. Results and Discussion

The simulations cover the range of potential values from -15 to +10. In this range we observe the transition from bare active surface to passive, potential independent region for P_{break} values of 0.125 to 0.8. In all cases considered we set a constant $P_{die} = 0.01$. With this value we obtain reasonable heights of the passive layer. The focus is on the steady-state properties of the system. The data collected include values of corrosion current, passive layer thickness, roughness and morphology (rendered as images). The current is defined as the number of oxidation events per time step, divided by the horizontal (x and y) dimensions of the simulation box.

Fig. 6 shows the difference between the no-adsorption and adsorption version of the model. Flat, thin passive layer is visible, for intermediate potentials, only in the adsorption case. Fig. 7 explores the dependence of the surface morphology on the potential in more detail. It is clearly visible how it affects the oxide layer thickness and coverage ratio, as well as the surface roughness. Fig. 8 shows the influence of the P_{break} parameter on the passive layer morphology.



Figure 6: Comparison of fragments of steady-state systems for the no-adsorption (top) and adsorption (bottom) version of the model, $V = \{0, -8, -12\}$ (left to right), $P_{break} = 0.15$. Dark rusty is oxide, bright grey is metal surface.



Figure 7: Dependence of the surface morphology on the potential. Fragments of steady-state systems for V from 0 to -12 regularly spaced (left to right, rows top to bottom), $P_{break} = 0.2$, with adsorption.



Figure 8: Dependence of the surface morphology on oxide cohesion strength, Which is inversely proportional to P_{break} . Fragments of steady-state systems for V = -4 (top), -10 (bottom), $P_{break} = \{0.1, 0.125, 0.15, 0.40\}$ (left to right), with adsorption.

The plots in fig. 9 show the dependence of a) corrosion current I, b) passive layer thickness h and c) surface roughness N/N_0 on the model parameters. When comparing the 2D [8, figs 1–3] and 3D version (fig. 9) results, it is visible, that the current-potential curves for 3D, $P_{break} = 0.2$ and 2D, $P_{break} = 0.01$, 235 look similar. This is understandable, as in three dimensions an oxide cell has, on average, two more neighbors than in 2D, so the same P_{break} value effectively corresponds to much stronger passive layer cohesion. Another striking features in 3D are the stronger current maximum at low P_{break} values, and the weaker current minimum (compare: [8, fig. 3a]).

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At this stage, our simulations are able to reproduce the shape of experimental polarization curves, where the ratio of the maximum current I_{max} to passivation current I_{passiv} is not much higher than 1. As we can see, this ratio increases with lowering P_{break} . It is also expected to increase after decreasing P_{die} . In most experimental studies on passivation, I_{max}/I_{passiv} is substantially higher 245 than in our results. However, the range of P_{break} values considered in this paper is limited by the computational resources. For very low P_{break} , the simulations become impractically long. This limitation is likely to be overcome by the rapid development of computing technologies.

- We claim at least qualitative agreement of our simulations with the experi-250 mental results for passivation of: various stainless steel types [22, fig 1.2], iron in H₂SO₄ solutions, [23, 3, 4, 5, 6], lead in Na₂SO₄ [24, fig. 1.a)], zinc [25, 26], and also salt passivation of iron and nickel [27, fig. 7]. Our model reproduces active-passive transition to a large extent. To our knowledge, this study is the first of its kind. However, the model is too simple to predict some other phe-255 nomena, like transpassive regime – where the current starts increasing again
 - at sufficiently anodic potentials. Another phenomenon is the multistage passivation. It is visible in Fe|H₂SO₄ systems (especially with added chlorates, perchlorates, chlorides, iodides or bromides) [23, 3, 4, 5, 6]. There we can see
 - a current maximum followed by a shallow minimum and a plateau when pass-260 ing to more anodic potential, as predicted by our model. However, a much steeper fall of the current occurs at even more anodic potential as a next stage

of passivation. This stage is absent in our results.

Fig. 10 shows dependence of passivation current on oxide cohesion strength. The passivation current is measured for V = 10, and it is, in this case, equal to the current measured at any potential value that corresponds to the passive regime. Only values for the with-adsorption version are plotted, because they are the same as for the no-adsorption version – see also fig. 9, the ln(I) plot.

The most interesting surface morphology examples are obtained for relatively low P_{break} (strong adhesion) and for potential values close to the maximum of current. Figures 11, 12 show examples of such morphology. Fig. 11 is a snapshot of rough, corroding metal with small islands (clusters) of oxide that appear and disappear with time. This phenomenon occurs in the no-adsorption version. With adsorption however, as seen in fig. 12, we can obtain an almost completely smooth surface, with the top layer of cells being partially oxidized.

The picture resembles a real corroded surface of a metal. It is worth repeating that a smooth surface with thin oxide layer is obtained only in the adsorption version (see fig. 6 again).

3.1. Minimal model for active-passive transition

- The mechanism of active-passive transition can be studied using a mean-field model. Here, we calculate the steady-state coverage r of the metal surface by the oxide. First, let us assume that the metal surface is flat. In the present study, we model oxidation using MET + SOL \rightarrow OXI + OXI. Thus, the corrosion product is continuously forming at the MET-SOL interface, with the rate $2P_{corr}$, as oxidation of metal produces twice as large a volume of oxide. This rate is then multiplied by 1 - r, the ratio of exposed metal area to the total electrode surface area. Further, the oxide leaves the surface at the rate I_{out} determined by the average strength of attraction between oxide cell and its nearest OXI and MET neighbors. The probability P_{det} of OXI detaching from the surface
- in a unit time is $\frac{1}{6}P_{break}^{Nb}$. Here, Nb is the average number of bonds formed by an OXI. This number equals 4r and 4r + 1, for the no-adsorption and withadsorption model respectively. To obtain I_{out} , we multiply P_{det} by the coverage



Figure 9: Steady state characteristics of investigated system – a) corrosion current I (logarithmic scale); b) passive layer thickness h; and c) chemical roughness (defined as logarithm of the ratio of real N and geometric N_0 surface area) – as functions of the electrode potential V and bond breaking probability P_{break} . ADS – with adsorption, NOADS – without adsorption.



Figure 10: Dependence of passivation current I on ${\cal P}_{break}$ (logarithmic scale).



Figure 11: Zoomed-out view for V = -9, $P_{break} = 0.15$, no adsorption – rough, bare metal surface with several small oxide isles.



Figure 12: Zoomed-out view for V = -8, $P_{break} = 0.125$, with adsorption – smooth, corroding metal surface partially covered with oxide.

r. Therefore, we propose the following expressions for the oxide production rate per unit area I_{in} and the stream of oxide cells leaving the surface I_{out} (version with adsorption):

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$$I_{in} = 2P_{corr}(1-r)$$
 , $I_{out} = \frac{1}{6}rP_{break}^{4r+1}$ (1)

Under the assumption that the dissolving oxide does not return to the surface, the steady state occurs for $I_{in} = I_{out}$. Altogether, it is possible to establish the dependence between r, P_{break} , and P_{corr} . Instead of P_{corr} , we can use

$$V = \ln \frac{P_{corr}}{1 - P_{corr}}$$
, obtained by inverting $P_{corr} = \frac{\exp(V)}{1 + \exp(V)}$ (2)

First, let us consider P_{corr} or V as a function of the other two variables:

$$P_{corr} = \frac{rP_{break}^{4r+1}}{2(1-r)} \quad , \quad V = -\ln\left(\frac{2(1-r)}{rP_{break}^{4r+1}} - 1\right) \tag{3}$$

³⁰⁰ V(r) functions for several fixed P_{break} values are plotted on fig. 13. For high P_{break} values, the functions are monotonous and reversible – thus, it is possible to consider r as a function of V. For lower P_{break} values, the V(r) function stops being reversible. The critical P_{break} value is the one, for which the V(r) function stops being monotonous in the entire domain, i.e. $\frac{dv}{dr}$ reaches zero for any r in

the $\langle 0, 1 \rangle$ range. A simple calculation yields critical $P_{break} = e^{-1}$. Further discussion of this simplified model does not differ substantially from the study presented in [8].



Figure 13: Surface coverage r by oxide as function of V and P_{break} .

4. Conclusions

Our 3D simulations reproduce qualitative features of passivation known from passivation phenomenology. The polarization curves for sufficiently low P_{break} display a clearly marked maximum and the current drop when passing from active to passive regions. The phenomenon resembles closely the analogous behaviour in 2D. The simulated polarization curves are remarkably similar to the experimental ones. This is a nontrivial result, as *a priori* it is harder to block a 2D surface in 3D than 1D surface in 2D and the extrapolation of 2D results to 3D is by no means obvious. The quantitative impact of higher dimensionality in 3D seems to result from an increased number of nearest neighbors as reflected in the fact that polarization curve maximum appears for higher P_{break} values than in 2D. They are estimated as $P_{break} = e^{-1}$ in 3D and $P_{break} = e^{-2}$ in 2D, which is roughly reflected in the simulation data. The simulations in 3D give a possibility to relate the simulated layer morphology in 3D with experimental findings. Some examples are presented in the form of the snapshots, but more work is needed to characterize the passive layer topology at various stages of passivation. The work in this direction is in progress.

We also aim to go beyond the limitations of our current research, so that we can observe significantly lower passivation current values related to I_{max} . This might involve an optimization allowing for faster simulations in case of low P_{break} .

We are also working on a different mechanism of passive layer material loss. In the present version, the mechanism is implemented as $OXI \rightarrow SOL$ transition with probability P_{die} . In the future, the effect of the presence of aggressive halide ions can be included explicitly. Further, the solution-dissolution equilibria for the oxide material can be introduced. The present approach accounts for the irreversible anodic oxidation of metal as the only potential-driven process. The

inverse cathodic process can be included for a more realistic description with corrosion current and corrosion potential appearing naturally in such a model. Additionally, we could withdraw the assumption that P_{break} is equal for MET—OXI and OXI—OXI bonds.

Finally, we might consider changing the lattice to a more isotropic one. Currently we are using the cubic primitive lattice, in the future we can use the hexagonal or face-centered cubic lattice. In both cases, every cell has 12 neighbors. The change of lattice could result in a stronger active-passive transition.

We have already advanced some research on passivation in galvanostatic conditions and observe potential oscillations when a constant current is forced. We plan to report on these results soon.

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