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## Why fumed and precipitated silica have different mechanical behavior: contribution of discrete element simulations

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#### Abstract

Precipitated silica (PS) could be an interesting alternative to fumed silica (FS) for the core of Vacuum Insulation Panels (VIP), in particular to reduce their cost and widen their use. However, compacted PS exhibits, for the same density, lower mechanical properties than FS. A better understanding of the mechanical behavior of these materials would help to design PS with optimized mechanical properties for VIP. In this work, complemented with experimental characterization, Discrete Element Method (DEM) simulations are used to investigate the compaction behavior and post-compaction tensile behavior of a set of numerically generated silica aggregates with varying neck size, surface energy and morphology (primary particle size, fractal dimension and gyration radius). During the compaction stage, neck size has the largest influence on the mechanical behavior, whereas during the tensile stage the influence of surface energy predominates. These results should be taken with caution as the task of modeling such a complex system necessarily involves

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bias due to simplifying assumptions. However, it is believed that the experimentally observed differences between PS and FS in compaction behavior and strength may lie not only in aggregates morphological differences, but also in different surface energies and neck sizes.

*Keywords:* Discrete Element Method, Vacuum Insulation Panels, powder compaction, nanostructured silica, fractal aggregates

#### 1 1. Introduction

Energy consumption to heat or cool buildings is an important contributor to greenhouse gas emission and leads to other environmental damages. Efficient insulation can largely contribute to reduce the amount of energy required. While in many countries new constructions are low-energy ones thanks to regulations imposing efficient insulation, old buildings are often badly insulated and need to be retrofitted. Thermal retrofitting of housing is an important lever for the reduction of greenhouse gas emissions.

A wide range of retrofitting materials and techniques exists on the market, 9 the most commons being mineral wool and expanded polystyrene foam. Their 10 thermal conductivity varies between  $\lambda = 30$  to 35 mW/(m.K) for a cost of 10 11 to  $15 \in /m^2$  (for a thermal resistance  $R_T = 3 \text{ m}^2 \text{K/W}$ ). For the same insulation 12 performance, a larger thickness is required for materials with higher thermal 13 conductivity. While having a thick layer is generally not too detrimental 14 for insulation from the outside, insulation from the inside is often required 15 (outside space shortage, conservation of the original historical facade) and 16 thick insulation layer is in this case a barrier to thermal retrofitting. 17

<sup>18</sup> Thin panel for insulation from the inside can only be achieved with super-

insulation materials ( $\lambda < 25 \text{ mW/(m.K)}$ ). The most mature super-insulation 19 technology, Vacuum Insulation Panels (VIP), consists of a highly porous 20 nanostructured silica core wrapped under vacuum (pressure  $\leq 5$  mbar) in-21 side a sealed envelope [1, 2, 3]. VIP cost is high (>  $100 \in /m^2$  [4]), mainly 22 because of the nanostructured silica core, but thermal conductivities as low 23 as 5 mW/(m.K) are reached. Even if a cost analysis including the saved 24 floor area makes VIP an economically viable choice in high housing price 25 areas [4, 5], their high initial cost is detrimental for their wider use. The 26 nanostructured nature of the silica core is essential in VIP as it allows the 27 reduction of thermal conductivity by the Knudsen effect [6, 7], which leads 28 to significantly longer service life than non-nanostructured materials such as 29 glass fibers [4]. The use of precipitated silica (PS) in place of fumed (also 30 called pyrogenic) silica (FS) as nanostructured core material would signif-31 icantly reduce the cost of VIP [2]. Precipitated silica is indeed a widely 32 available material, in particular because of its use as a filler in the tire in-33 dustry [8]. Also, because of its production by a low temperature process, PS 34 may help to decrease the embodied energy of VIP [9]. Indeed, FS is obtained 35 from an energy consuming high temperature  $(> 1200 \,^{\circ}\text{C})$  pyrolysis of silicon 36 tetrachloride. Primary particles of 7 to 50 nm in diameter form in flame 37 and coalesce in stable aggregates [10]. Polydispersity in primary particle size 38 arises from temperature gradients in flame and has been observed within a 39 single aggregate by Transmission Electron Microscopy (TEM) [11]. The ag-40 gregates have a fractal morphology [11, 12] with a fractal dimension that may 41 vary depending on the flame temperature and other process parameters. Ag-42 gregates also exhibit a polydispersity in size as shown by Small Angle X-ray 43

Scattering (SAXS) [11]. The silica surface is made of both silanol groups (Si-44 OH) and siloxane bridges (Si-O-Si) leading to an intermediate hydrophilic 45 character [13]. PS are obtained via acid or base precipitation in an aque-46 ous solution of silicates, resulting in a more hydrophilic surface with a high 47 density of silanol groups. The primary particle size is slightly larger than 48 for FS [14] and the fractal dimension is also generally larger than for FS 49 [8, 15]. Aggregates of FS and PS form at a larger scale agglomerates (few 50 hundred nanometers) and then powder grains at the macroscale (few mi-51 crons). However, the mechanical properties of VIP core made of precipitated 52 silica appear very limited. It has been shown for example that to reach a 53 compressive strength of 100 kPa (considered as a minimum required for han-54 dling and vacuuming), PS has to be compacted to at least 220 kg/m<sup>3</sup> versus 55  $160 \text{ kg/m}^3$  for FS [2]. A recent study by instrumented indentation confirms 56 the lower mechanical properties of PS compacts with indentation modulus 57 half as low for PS as for FS for a similar apparent density [16]. Thermal 58 conductivity greatly depends on the core material density hence the need 50 of a larger compaction density, or the addition of reinforcing fibers, for PS 60 core degrades strongly thermal properties. In short, the thermal/mechanical 61 compromise is presently not optimal to allow the replacement of FS by PS. 62

Funed and precipitated silica are nonetheless very similar materials and the origin of the observed differences in mechanical behavior is not clear. It has been suggested that the aspect ratio of the aggregates and their capacity to entangle influence the mechanical behavior [16]. Differences in surface chemistry and primary particle size also play a role [17]. Last, the influence of ageing should also be considered. While the influence of ageing on the ther-

mal properties and the service life time has been studied [7, 13], the effect of 69 ageing on mechanical properties has been less investigated. A better under-70 standing of the mechanical behavior in relation with aggregate morphology 71 and surface chemistry would be highly valuable to improve the mechanical 72 properties of precipitated silica. Conversely to FS and PS compacts, the 73 mechanical behavior of silica aerogels have been extensively studied through 74 both experiments and modeling [18, 19, 20, 21, 22, 23, 24]. In particular, the 75 necklace structure of primary particles have motivated mesoscale modeling 76 using the Discrete Element Method (DEM) [20] and coarse-grained molecular 77 dynamics [21]. Also, the significant impact of surface energy on mechanics 78 in these nanostructured highly porous materials has been demonstrated by 79 molecular dynamics [22]. 80

In this work, a better understanding of the peculiar contrasted mechanical 81 behavior of FS and PS is sought based on macroscale experimental mechan-82 ical characterization and mesoscale DEM modeling. In particular, the use of 83 DEM allows independent assessment of the influence of various morphological 84 or material parameters such as fractal dimension, primary particle size, ag-85 gregate size, neck size and surface energy. The paper is organized as follows. 86 First, the mechanical behaviour of FS and PS is investigated experimen-87 tally during oedometric compaction to confirm and measure the differences 88 between both silica. Experiments will also be used for comparison with mod-80 eling. The modeling approach and the contact laws are detailed in section 3. 90 The generation of realistic numerical samples, based on recent morphological 91 characterization of the nanostructured silica [11, 25], is described in section 92 4. Results are presented in section 5 and discussed in section 6. 93

#### 94 2. Experimental characterization

#### 95 2.1. Materials and methods

A commercial FS (Konasil 200, OCI, Korea) and two commercial PS 96 (Tixosil 365 & Tixosil 43, Solvay, France) have been investigated. While the 97 FS silica has a hydrophobic character (1 to  $2.5 \text{ OH/nm}^2[26, 27, 28]$ ), the 98 PS silicas are highly hydrophilic (4 to 16  $OH/nm^2$  [27, 29]). The intrinsic 99 (skeletal) densities reported by the manufacturers are  $2200 \text{ kg/m}^3$  for the FS 100 and  $2100 \text{ kg/m}^3$  for the PS. Oedometric compression tests were performed 101 with a 20 mm diameter cylindrical die using a sapphire pellet in contact 102 with the powder to ensure a flat surface. The same initial mass of powder 103 was used for the three silicas. A conventional testing machine (Zwick-Roell, 104 Germany) was used and the displacement of the punch was recorded with 105 a LVDT sensor. The crosshead speed was 10 mm/min during loading and 106 5 mm/min during unloading. The same maximum force (400 N, leading to 107 a maximum pressure of 1.27 MPa) was used for all powders. This value was 108 chosen to obtain final pellets with a density close to what is required for 109 the final application (i.e.  $200 \text{ kg/m}^3$  for FS [3]). It must also be mentioned 110 that the silica powder compaction behavior is highly sensitive to humidity 111 and temperature during the test. The conditions of the tests were similar 112 in terms of relative humidity (35% to 45%) and temperature  $(20^{\circ}\text{C to } 24^{\circ}\text{C})$ 113 between the three silicas. 114

#### 115 2.2. Results

The initial relative densities (volume fractions of solid) after pouring the powder in the die were ca. 3% for Konasil 200, and 7.5% for T365 and T43

silica powders. This simple observation already demonstrates significant dif-118 ferences between FS and PS, the former having a significantly lower initial 119 relative density. The compaction curves (average over several tests) are dis-120 played in Fig. 1. A significant difference in compaction behavior of FS and 121 PS is observed, with the FS being significantly harder to compact. The final 122 densities reached after a 1.27 MPa compaction are ca. 290 kg/m<sup>3</sup> ( $\approx 13\%$ ) for 123 PS compacts versus ca. 210 kg/m<sup>3</sup> ( $\approx 10\%$ ) for FS compacts. The oedomet-124 ric elastic modulus has also been assessed during the first 10% of unloading: 125  $22.4 \pm 0.5$  MPa,  $17.5 \pm 1.0$  MPa and  $18.7 \pm 1.0$  MPa for Konasil 200, 126 Tixosil 43 and Tixosil 365 respectively. These values are not directly com-127 parable as the difference in density at 1.27 MPa is large between FS and 128 PS. Still, it is remarkable that even at a significantly lower density the FS 129 compact is stiffer than the PS one. Directly comparable data was obtained 130 from iso-density compaction of the three powders to ca. 10%. Compaction 131 pressure and oedometric modulus at 10% density are reported in Table 1 132 and confirm that FS compacts are significantly stiffer than PS ones. These 133 results are consistent with a recent instrumented indentation study showing 134 that, for a similar density of compacts ca. 10%, FS is twice stiffer than PS 135 [16]. The measurement of tensile strength of the compacted silica pellets is a 136 challenging task. Still, preliminary biaxial flexural test have shown that for 137 a density of 200 kg/m<sup>3</sup> PS exhibits extremely low strength (< 0.1 MPa), 3 138 to 5 times lower than FS (ca. 0.3 MPa) at the same density . These results 139 confirm the contrasting behavior between the two types of nanostructured 140 silica. 141

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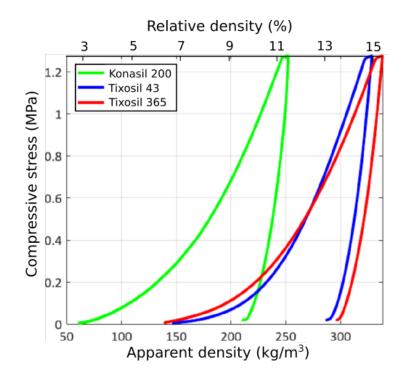


Figure 1: Compaction behavior of fumed (Konasil 200) and precipitated (Tixosil 365 & Tixosil 43) silica. The relative density has been computed based on an intrinsic density of  $2200 \text{ kg/m}^3$ 

	Compaction	Apparent	Relative	Oedometric	
	pressure	density	density $(\%)$	modulus	
	(MPa)	$(kg/m^3)$		(MPa)	
Konasil 200	0.64	$218\pm2$	$9.9\pm0.1$	$10.4\pm0.2$	
Tixosil 43	0.16	$198\pm2$	$9.5\pm0.1$	$2.9 \pm 0.2$	
Tixosil 365	0.16	$200\pm2$	$9.5\pm0.1$	$3.7 \pm 0.2$	

Table 1: Comparison of oedometric modulus of compacts at iso-density

#### <sup>143</sup> 3. Model description

#### <sup>144</sup> 3.1. Discrete Element Method framework

The classical DEM approach [30] is used with Hertzian and bonded con-145 tacts [31]. In DEM the dynamic motion of discrete entities (generally spher-146 ical particles) is computed by an explicit time integration of the second law 147 of Newton. Each particle transmit forces to its neighbors through their con-148 tacts. The open source version of LIGGGHTS  $(\mathbb{R}[32])$  was used with our 149 own implementation of bonded contacts and numerical damping. For post-150 processing and visualization OVITO [33] was used. In short, at each time 151 step, the DEM algorithm is as follows: 152

- for each particle a neighbor (or contact) list is established;
- for each contact, forces (and resisting moments) are computed accord ing to contact laws;
- forces (and moments) are summed for each particle;
- Newton's second law and time integration (Velocity-Verlet) are used to
   get the new particle position.

The mechanical loading is performed by changing the size of the simulation box in one or more directions according to a prescribed strain rate. All particles are moved according to the corresponding affine displacement at the beginning of the timestep. In the present work a quasi-static approach is sought by using low enough strain rates. The quasi-static strain rate is reached once further decrease in strain rate does not affect significantly the results. In order to dissipate the kinetic energy in the system and damp oscillations, viscous forces and moments are added. They oppose the contact normal displacements and rotations. Following the work of Agnolin and Roux [34], the damping coefficient was chosen as a fraction  $\beta = 0.1$  of the critical damping coefficient. A non-viscous damping force, that opposes the particle velocity and proportional (with a coefficient  $\alpha = 0.7$ ) to the total force acting on the particle, is also applied to each particle [30]. The macroscopic stress tensor on the simulation box of volume V is computed as follows [35]:

$$\sigma_{ij} = \frac{1}{V} \sum_{contacs} F_i l_j \tag{1}$$

where  $F_i$  is the *i*<sup>th</sup> component of the contact force vector, and  $l_j$  is the *j*<sup>th</sup> component of the branch vector connecting the centers of the two particles in contact. The reader will find more details on the DEM method in the following works: [30, 31, 32, 34, 36, 37]. Here we will only focus on the description of the contact laws.

#### 164 3.2. Contact laws

Two types of contacts are distinguished: bonded contacts that can trans-165 mit normal and tangential forces and resisting moments [31], and Hertzian 166 contacts with Derjaguin-Muller-Toporov (DMT) adhesion [38]. Initially, all 167 intra-aggregates contacts are bonded. New contacts appearing during the 168 simulation are then considered as Hertzian. The latter are mainly inter-169 aggregate contacts but Hertzian contacts may also appear within an aggre-170 gate during its compaction if initially close (but not bonded) particles of an 171 aggregate contact each other. 172

The contact law proposed by Jefferson et al. [39] and Jauffres et al. [40], to model the elastic behavior of a solid neck between two sintered particles,

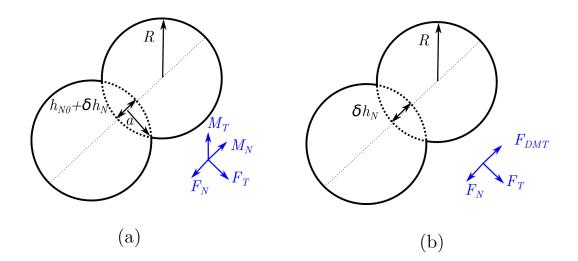


Figure 2: Contact laws. (a) bonded contact. (b) Hertzian contact

is used for bonded contacts. This model has been successfully used for silica aerogels by Liu et al. [20]. It is given here for a monomodal set of particles of radius R but has been also developed for particles with different radii [40].

Normal force  $F_N$ , tangential force  $F_T$ , resisting bending  $M_T$  and twisting moments  $M_N$  (Fig. 2) are given as a function of the contact size a and the elastic properties of the particles, i.e., the Young's Modulus E and the Poisson's ratio  $\nu$ :

$$F_N = k_N \delta h_N, \ k_N = \frac{E}{1 - \nu^2} f_N a \tag{2}$$

$$F_T = k_T \delta h_T, \ k_T = \frac{2E}{(2-\nu)(1+\nu)} f_T a$$
 (3)

$$M_N = k_{MN} \alpha_N, \ k_{MN} = \frac{a^2 k_T}{2} \tag{4}$$

$$M_T = k_{MT} \alpha_T, \ k_{MT} = \frac{a^2 k_N}{4} \tag{5}$$

where  $k_N$ ,  $k_T$ ,  $k_{MN}$  and  $k_{MT}$  are stiffnesses;  $\delta h_N$  and  $\delta h_T$  are the normal relative displacement and tangent accumulated displacement;  $\alpha_N$  and  $\alpha_T$  are

the accumulated relative rotations. The coefficients  $f_N$  and  $f_T$  have been obtained from finite element simulations at the scale of one sintered neck by McMeeking et al. [41]:

$$f_N = \frac{1 + a^* \left(\frac{\pi}{6} (1 - \nu^2)(1 + 2a^*) - a^*\right)}{\sqrt{1 - (a^*)^2} - \frac{c_0 + 4c_1}{\pi} \left(a^* + (a^*)^2 \left(\frac{\pi}{6} (1 - \nu^2)(1 + 2a^*) - a^*\right)\right)}$$
(6)

$$f_T = \frac{1 + (a^*)^2 \left(\frac{\pi}{6} (1 - \nu^2)(1 + 2a^*) - a^*\right)}{\sqrt{1 - (a^*)^2}}$$
(7)

with

$$a^* = \frac{a}{R} \tag{8}$$

$$c_0 = 0.5650 + 0.04864\nu^2 + 1.036\nu^4 \tag{9}$$

$$c_1 = 0.1396 - 0.03061\nu + 0.3395\nu^2 \tag{10}$$

Typical elastic properties of dense amorphous silica were used: E = 70 GPa178 and  $\nu = 0.2$ . Equations (4) and (5) are derived from beam theory [31]. Prac-179 tically, the linear stiffness coefficients  $k_N$ ,  $k_T$ ,  $k_{MN}$  and  $k_{MT}$  are computed 180 according to a given contact size a in a pre-processing step. The same con-181 tact size a is used for all bonded contacts. For the sake of simplicity, and 182 also because aggregates are generally considered as unbreakables, no explicit 183 fracture criteria is used. Still, if the normal force is such that the distance 184 between two particles become larger than twice their radius the bond will 185 disappear leading to a geometric breakage of the bond. To limit this artifi-186 cial behavior an initial overlap  $h_{N0}$  (Fig. 2) is used between the particles as 187 detailed in section 4.1.1. 188

Newly formed contacts during simulation are of Hertzian type with Coulomb friction and DMT adhesion [38]:

$$F_N = \frac{\sqrt{2R}}{3} \frac{E}{1 - \nu^2} \left(\delta h_N\right)^{1.5}$$
(11)

$$F_T = \min(\frac{E\sqrt{2R}}{(2-\nu)(1+\nu)}\sqrt{\delta h_N}\delta h_T, \mu F_N)$$
(12)

$$F_{DMT} = -2\gamma_S \pi R \tag{13}$$

with  $\mu$  the friction coefficient,  $\gamma_S$  the surface energy (J/m<sup>2</sup>).  $F_{DMT}$  is an 189 attractive force normal to the contact that adds up to the repulsive Hertzian 190 force  $F_N$ . A simple dimensional analysis on Eq. (1) shows that the only force 191 that introduces a size effect is the DMT adhesion (Eq. (13)) as it introduces 192 a term with an inverse size dependence (1/R) in the macroscopic stress  $\sigma_{ij}$ . It 193 is also worth noting that while during synthesis or ageing surface energy and 194 particle size are correlated, these two parameters can be set independently 195 in the DEM simulations. 196

#### <sup>197</sup> 4. Simulation methods

#### 198 4.1. Numerical preparation of initial silica powder bed

#### 199 4.1.1. Initial gas with controlled aggregate morphology

The porous Eden growth model [42] was used to generate aggregates, i.e. random assemblies of spherical primary particles in contact. The porous Eden model allows the generation of aggregates with a controlled morphology through two parameters: the number of particles N and the inactivation probability P. The random inactivation of particles with the probability

P allows the local blocking of the growth to create porous structures. Ag-205 gregates are successively generated inside a periodic (in all three directions) 206 simulation box to form an initial gas of aggregates. When attempting to 207 place a new particle, the non-overlapping condition applies within the grow-208 ing aggregate and with the other aggregates already in the simulation box. 209 Experimentally observed initial density (3% for FS and 7.5% for PS) are 210 sufficiently low to ensure that no significant bias exists in the generation of 211 aggregates due to this globally applied non-overlapping condition. In ad-212 dition, the particle radii are multiplied by a factor  $\xi$  to create overlapping 213 contacts (required to limit the geometric breakages) which introduces a third 214 generation parameter. It is important to underline the model nature of the 215 gas of aggregates numerically generated: perfect spheres are used, large scale 216 organization (agglomerate, powder particle) is not considered and no dis-217 persion is used on the particle radius. The fractal dimension and gyration 218 radius are not generation parameters: they are computed after the aggregate 219 generation as described in [42]. Briefly, the gyration radius is computed us-220 ing the classical definition  $R_g = \frac{1}{N} \sqrt{\sum_{i,j>i}^{N} (r_{ij})^2}$ , where  $r_{ij}$  is the distance 221 between particles i and j, and the fractal dimension is obtained through the 222 slope of the structure factor versus q (modulus of the scattering vector) plot. 223 Consequently there is a small dispersion on these two aggregate character-224 istics, inherent to the random nature of the generation procedure. As the 225 two selected PS (Tixosil 43 & 365) have a quite similar mechanical response 226 under oedometric compaction, only Konasil 200 and Tixosil 365 silica were 227 studied numerically. Their fractal dimension  $d_f$ , aggregate gyration radius 228  $R_g$  and primary particle radius R were obtained from SAXS [11, 25]. Their 229

	SAXS [11, 25]			Numerical					
	$d_f$	$R_g$	R	$d_f$	$R_g$	R	N	Р	ξ
Konasil 200 (FS)	2.0	64	7	2.0	64	8.3	133	61 %	1.3
Tixosil 365 (PS)	> 2.4	35	13	2.4	38	12	35	0 %	1.3

Table 2: Morphological properties (fractal dimension  $d_f$  and radius of gyration  $R_g$ ) and generation parameters (particle radius R, number of particles per aggregate N, inactivation probability P and radius increase factor  $\xi$ ) of numerically generated aggregates. The radius R reported is after enlargement by the factor  $\xi$ .  $R_g$  and R are in nanometers. Morphological properties from SAXS experiment used as input to the optimization algorithm are also reported. While a meticulous extraction of  $d_f$  from aggregates dispersed in a very low volume fraction suspension was possible for FS [11], the  $d_f$  value used for PS may be overestimated due to aggregate interaction [25].

<sup>230</sup> numerical counterparts were generated using an optimization algorithm as <sup>231</sup> described in [42]. Morphological properties used as inputs and the numerical <sup>232</sup> parameters resulting from the optimization are reported in Table 2. Images <sup>233</sup> of typical aggregates are provided in Fig. 3 (see also supplementary videos). <sup>234</sup> In total, 30,000 particles, leading to a gas of at least 200 aggregates and a <sup>235</sup> simulation box of  $\approx 1 \ \mu m^3$  (vs. a few mm<sup>3</sup> for the experiment), were used to <sup>236</sup> ensure a good reproducibility during the subsequent mechanical simulations.

#### 237 4.1.2. Sample preparation by compression-tension cycling

Performing directly a compression of the low density gas of aggregate to simulate the experimental odeometric compaction is not realistic. Indeed, starting with an initial gas, by definition with no inter-aggregates contacts, do not correspond to the experimental initial aggregate packing for which silica aggregates exhibit contacts. We noted several anomalies during the

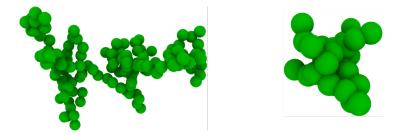


Figure 3: Typical aggregates numerically generated based on SAXS morphological characterization. Left: Konasil 200 (FS), primary particle radius R = 8.3 nm. Right: Tixosil 365 (PS), primary particle radius R = 12 nm. Note that the enlargement of the particles by the factor  $\xi$  has created overlaps

<sup>243</sup> compaction of a gas of aggregates that might be related to this unrealistic<sup>244</sup> initial state:

- large amount of geometric bond breakages (5%),
- still increasing number of Hertzian contacts during tensile loading,
- underestimation of the stresses (ca. 0.3 MPa vs 1.2 MPa in experiment).

A procedure to create more realistic initial packings has been developed based 249 on compression-tension cycling at low density. Packing by gravitation might 250 be a possible alternative but with the drawback of breaking the periodic 251 boundary condition in at least one direction. From a given initial gas, 8 252 uniaxial compression-tension cycles of amplitude 0.025 (i.e. from 3% to 5.5%253 for FS) are performed to create Hertzian inter-aggregate contacts. Creation 254 of new contacts due to adhesive forces and rearrangement occurs during the 255 cycling, leading to a more realistic initial state. The number of cycle has been 256 limited to 8 as more cycles lead to a large amount of unwanted geometrical 257

bond breakages. 8 cycles also corresponds to the threshold from which the 258 number of Hertzian contacts start decreasing during the tensile loading stage 259 (Fig. 4). Fig. 5 shows that after cycling, although a fully continuous network 260 is not achieved, large structures of several aggregates linked by adhesion are 261 formed. To maximize the rearrangements and creation of Hertzian contacts, 262 the friction coefficient has been set to 0 and surface energy to  $1.2~\mathrm{J/m^2}$  for 263 this preparation stage. The other contact law parameters are the same than 264 in the subsequent simulation of compaction and tensile tests. It should be 265 noted that to keep reasonable CPU time, the strain rate has been increased 266 by a factor 10 above the quasi-static strain rate for the preparation stage. 267 This is not problematic considering the fact that the preparation procedure 268 is purely numerical and does not seek to reproduce the material behavior. 269

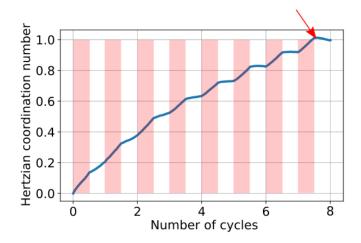


Figure 4: Evolution of the Hertzian coordination number (i.e. the average number of Hertzian contacts per particle) with compression-tension cycling during sample preparation. The compression stages are indicated in red. The Hertzian coordination increases both during compression and tension, until the last cycle where it decreases during tension (red arrow).

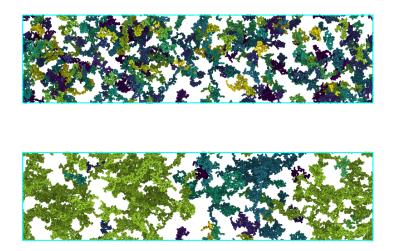


Figure 5: Influence of cycling. (top) No cycling, a different color is assigned to each aggregate indicating that each aggregate is isolated. (bottom) After 8 cycles, colors show the class of equivalence of contacting aggregates indicating a large number of inter-aggregate contacts. The relative density is 3% for both and only a slice of the simulation box is shown.

# 270 4.2. Compaction of a silica powder bed to VIP core density followed by tensile 271 test

First, a uniaxial compaction is performed up to a given nominal density 272 and then a uniaxial tensile loading is imposed in the same direction. Five 273 simulations on different initial gas of aggregates were run for each set of pa-274 rameters to provide an error bar. A typical stress-density curve is reported 275 in Fig. 6. For the sake of clarity the compressive and tensile parts will be 276 reported in two separate graphs. The state of the initial assembly of aggre-277 gates (in particular the number of Hertzian contacts) affects the result but 278 primarily the beginning of the compaction and only moderately the maxi-279

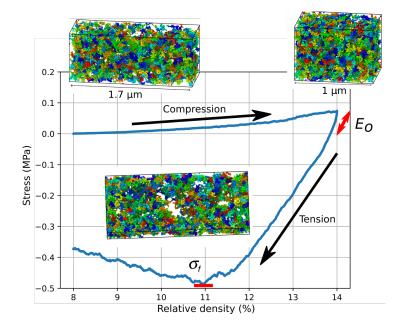


Figure 6: Typical simulation: compaction of a silica powder bed to VIP core density followed by a tensile test. Odoemetric modulus  $E_0$  and strength  $\sigma_f$  are extracted from the simulation to characterize the mechanical behavior of the silica core produced by the compaction stage.

mum stress and tension stage. In the extreme case of no hertzian contacts 280 (no cycling during the preparation stage) the maximum compressive stress 281 is ca. 25 % lower than for 8 cycles during the preparation stage (1 Hertzian 282 contact per particle). The oedometric modulus  $E_o$  is computed during the 283 first 0.5% of unloading. During the tensile loading, a maximum tensile stress 284  $\sigma_f$  is reached and will be defined as the material strength. The fracture is 285 progressive and does not exhibit any sudden drop in stress. We identified 286 two main reasons for this non-brittle behavior. First and as observed in MD 287 [24, 22] or DEM simulations [20], surface energy plays a predominant role in 288 the mechanics of these materials with large surface to volume ratio and nano-289

metric particles. The adhesive forces (modelled here with the DMT model, 290 Eq. (13) that appear when aggregates resume contact do not allow for a 291 brittle failure. Second, when dealing with small scale simulation, no large 292 defect of micron size that may lead to a catastrophic failure at the macro 293 scale is included in the modeling. The strength values may thus be overesti-294 mated for this reason but are still relevant for relative comparison of various 295 silica types. It is also worth noting that in this system, the adhesive DMT 296 forces have a significant influence on the compressive behavior. For example, 297 even during compaction, the Hertzian contacts maintain an attractive char-298 acter  $(F_N + F_{DMT} < 0)$  and thus contribute negatively to the macroscopic 299 compressive stress. 300

#### 301 5. Results

#### 302 5.1. Influence of contact law parameters

The stiffness of the bonded contacts between two particles in the aggre-303 gates depends, apart from the Young's modulus of the particle, on the contact 304 size a (Eq. (11)), a parameter difficult to assess, even with TEM images [14]. 305 Liu et al. have assessed the ratio  $\frac{a}{R}$  in a silica aerogel by considering, during 306 gelation, neck formation by Johnson-Kendall-Roberts (JKR) adhesion [43]. 307 This approach would also be applicable for PS and interestingly leads to 308  $\frac{a}{R} = 0.26$  for a primary particle of radius 13 nm (value reported for PS, 309 Table 2). It is also worth noting that  $\frac{a}{R}$  is expected to increase with ageing 310 of the material [7, 13]. In this work we used  $\frac{a}{R} = 0.3$  as a rough esti-311 mate and performed a parametric study around this value for FS aggregates 312 (morphological parameters in Table 2 with surface energy  $\gamma_s = 1.2 \text{ J/m}^2$ 313

and friction coefficient  $\mu = 0.3$ ). The compaction stress-density curves and 314 tensile stress-strain curves are reported in Fig. 7. As expected increasing  $\frac{a}{R}$ 315 leads to a stiffer aggregate packing due to an increase of the stiffness of the 316 aggregates. The behavior is highly non-linear with a relatively low increase 317 of the maximum compressive stress between  $\frac{a}{R} = 0.15$  and  $\frac{a}{R} = 0.3$  and a 318 much larger one between  $\frac{a}{R} = 0.3$  and  $\frac{a}{R} = 0.6$ . This comment also holds for 319 the oedometric modulus that takes the values  $E_0 = 5.8, 8.8$  and 21.0 MPa 320 for  $\frac{a}{R} = 0.15$ , 0.3 and 0.6, respectively. The tensile strength also increases 321 with the contact size as the aggregates becomes stiffer, even if the number of 322 newly formed Hertzian contacts is lower (rearrangement of stiff aggregates is 323 more difficult). 324

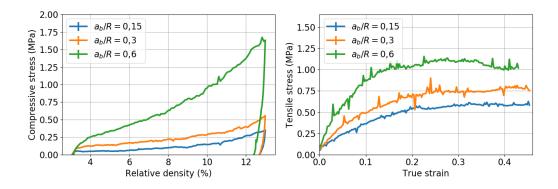


Figure 7: Influence of contact size on the compaction behavior (left) and the tensile behavior (right). FS aggregates with  $\gamma_s = 1.2 \text{ J/m}^2$  and  $\mu = 0.3$ . Averaging is done over 5 timesteps and 5 simulations, relative standard deviation  $\leq 10\%$ .

<sup>325</sup> Moreover, the behavior of Hertzian contacts is largely dependant on the <sup>326</sup> value of surface energy  $\gamma_s$ . 1 J/m<sup>2</sup> is generally considered as a good esti-<sup>327</sup> mate for oxides [44]. A surface energy of approximately 1 J/m<sup>2</sup> has been <sup>328</sup> calculated by MD simulations for pure Silica [45]. However, in the case of

silica, the chemical nature of the surface (density of silanol groups and physi-329 cally adsorbed water), that depends on the processing route (pyrogenic or in 330 aqueous solution), the degree of ageing and the relative humidity [7, 13, 46], 331 plays an important role. Indeed, chemically or physically adsorbed water 332 can screen Van der Waals and electrostatics interactions in favor of capillary 333 forces leading to a lower surface energy [47]. In consequence silica surface 334 energy varies from 5  $J/m^2$  in ultradry conditions [48] to 0.1  $J/m^2$  for pure 335 capillary interaction [47]. To assess the influence of surface energy, a para-336 metric study on  $\gamma_s$  was performed for FS aggregates with  $\frac{a}{R} = 0.3$  and 337  $\mu~=~0.3.$  The results in Fig. 8 show that  $\gamma_s$  has a relatively low impact 338 on the compaction behavior but a significant influence on the strength and 339 oedometric modulus ( $E_0 = 2.5, 6.7$  and 8.8 MPa for  $\gamma_s = 0, 0.4$  and 340  $1.2 \text{ J/m}^2$ , respectively). Values larger than  $1.2 \text{ J/m}^2$  are not reported as the 341 amount of unrealistic geometrical bond breakages become non-negligible and 342 may impact the macroscopic result. 343

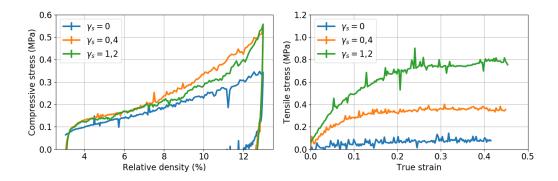


Figure 8: Influence of surface energy on the compaction behavior (left) and the tensile behavior (right). FS aggregates with  $\frac{a}{R} = 0.3$  and  $\mu = 0.3$ . Averaging is done over 5 timesteps and 5 simulations, relative standard deviation  $\leq 10\%$ .

No statistically significant influence of the friction coefficient varying from 344  $\mu = 0.1$  to 0.9 has been noted, but simulations with  $\mu = 0$  exhibit sig-345 nificantly lower compaction stresses. The negligible effect of  $\mu$  might sounds 346 surprising but is a consequence of very adhesive hertzian contacts. Indeed, 347 the indentation is very large  $(\delta h_N/R \approx 0.1)$  because of the adhesive forces 348 and consequently  $F_T$  is, even with  $\mu = 0.1$ , very rarely capped by  $\mu F_N$ 349  $(F_N \propto \delta h_N^{1.5}$  and  $F_T \propto \delta h_N^{0.5}$  see equations 11 and 12), which explains 350 the very limited influence of  $\mu$ . 351

#### <sup>352</sup> 5.2. Influence of aggregate morphology

The influence of the morphological parameters of aggregates has been 353 studied with the following values for the contact law parameters:  $\gamma_s = 1.2 \text{ J/m}^2$ , 354  $\frac{a}{R} = 0.3$  and  $\mu = 0.3$ . The parametric study has been performed around 355 the values of FS aggregates (Table 2). In such a nano-sized system, adhesive 356 interaction are of outmost importance and are directly linked to the size of 357 particles (equation (13)). Keeping other parameters identical and enlarging 358 only the primary particle radius up to a value typical of PS has nearly no in-359 fluence on the compaction behavior but decreases the strength (Fig. 9). This 360 is consistent with the parametric study on  $\gamma_s$ : varying the primary particle 361 or the surface energy affects similarly the DMT adhesion forces (Eq. (13)). 362

FS aggregates are generally more branched and elongated than PS, which is quantified by a smaller fractal dimension  $d_f$  [8, 11, 42] (Fig. 10). When the initial density (set by the compression-tension preparation stage) and the gyration radius are kept constant, the fractal dimension of the aggregates shows only a limited effect on the compaction behavior, oedometric modulus and strength for  $d_f$  varying from 1.7 to 2.8 (Fig. 11). It is worth noting that the

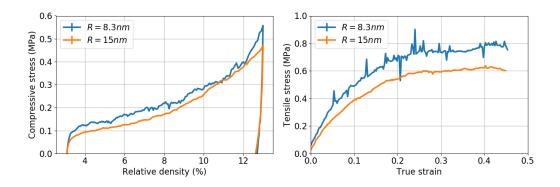


Figure 9: Influence of primary particle radius on the compaction behavior (left) and the tensile behavior (right).  $\gamma_s = 1.2 \text{ J/m}^2$ ,  $\frac{a}{R} = 0.3$ ,  $\mu = 0.3$ . Averaging is done over 5 timesteps and 5 simulations, relative standard deviation  $\leq 10\%$ .

number of Hertzian contacts formed during the compression-tension preparation stage is larger with low  $d_f$  as more elongated and branched aggregates tend to interpenetrate each other more than rounded aggregates. During the compaction stage, it is also noted that samples with low  $d_f$  form more new Hertzians contacts than samples with high  $d_f$ .

Lastly, the influence of the gyration radius is investigated. Fig. 12 dis-374 plays typical aggregates with increasing radius of gyration used in the simu-375 lation.  $d_f$  is kept constant but because of the increasing number of particles 376 the aggregates are more elongated with large  $R_q$  for the porous Eden growth 377 model [42]. It is observed in Fig. 13 that the compaction is more difficult for 378 large  $R_g$  values. The oedometric modulus follows the same trend than the 379 compaction stress and increases with  $R_g$  ( $E_0 = 6.3, 8.8$  and 9.1 MPa for 380  $R_g = 30, 64$  and 128 nm, respectively). Strength is nearly unaffected by 381  $R_g$  (Fig. 13). 382

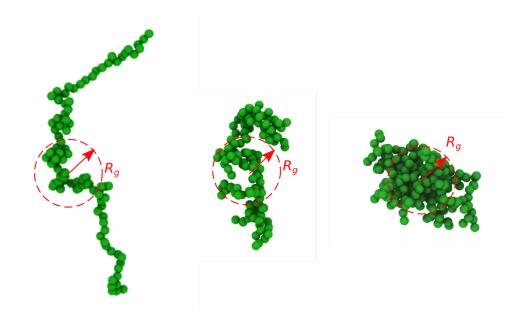


Figure 10: Aggregates with increasing fractal dimension  $d_f$  at constant radius of gyration  $R_g = 64$  nm . From left to right  $d_f = 1.7$ ,  $d_f = 2.0$  and  $d_f = 2.8$ . Aggregates with larger  $d_f$  have a larger number of primary particles to keep  $R_g$  constant [42].

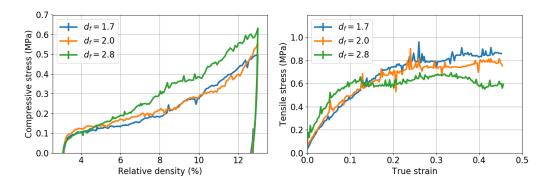


Figure 11: Influence of aggregate fractal dimension on the compaction behavior (left) and the tensile behavior (right).  $\gamma_s = 1.2 \text{ J/m}^2$ ,  $\frac{a}{R} = 0.3$ ,  $\mu = 0.3$ . Averaging is done over 5 timesteps and 5 simulations, relative standard deviation  $\leq 15\%$ .

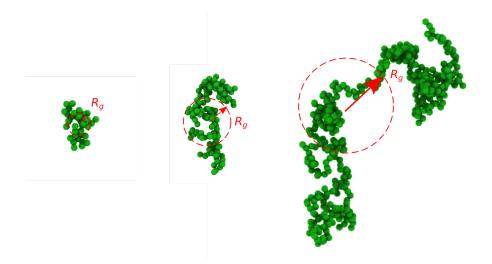


Figure 12: Aggregates with increasing radius of gyration  $R_g$  at constant  $d_f = 2.0$ . From left to right  $R_g = 30$  nm,  $R_g = 64$  nm and  $R_g = 128$  nm. Aggregates with larger  $R_g$ are more elongated [42].

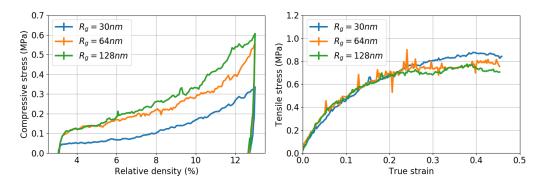


Figure 13: Influence of aggregate radius of gyration on the compaction behavior (left) and the tensile behavior (right).  $\gamma_s = 1.2 \text{ J/m}^2$ ,  $\frac{a}{R} = 0.3$ ,  $\mu = 0.3$ . Averaging is done over 5 timesteps and 5 simulations, relative standard deviation  $\leq 21\%$ , 5% and 13% for  $R_g = 30, 64$  and 128 nm respectively.

#### 383 5.3. Comparison with experiment

For the sake of comparison with the experimental study reported in section 2, compaction and tensile behavior of numerically generated FS and PS

were simulated. In the absence of reliable assessments of the surface energy 386 and the neck size, the same values were used for FS and PS ( $\gamma_s~=~1.2~{\rm J/m^2}$ 387 and  $\frac{a}{R} = 0.3$ ). The experimentally observed initial relative densities were 388 targeted during the initial sample preparation by compression-tension cy-389 cling. To follow the experiment, the final density of PS was set to a larger 390 value than the FS one. Compaction curves and tensile stress-strain curves are 391 reported in Fig. 14. Qualitatively the initial part of the compaction curves is 392 very steep, which is not observed experimentally. However, we believe this is 393 an artifact due to the non quasi-staticity of the compression-tension cycling, 394 that may lead to a system slightly out of equilibrium at the beginning of the 395 compaction. Quantitatively, the simulation compressive stresses are lower 396 than the experimentally observed ones but the order of magnitude is correct. 397 This is satisfactory considering the fact that no parameter has been fitted 398 and that neck size, which has a tremendous effect on the stress (Fig. 7), 399 has only been very roughly estimated and fixed constant for all the particles. 400 The fact that the simulations underestimate the compressive stresses sug-401 gests however that the actual neck size maybe larger than the one estimated 402 from JKR model. The simulation odeometric moduli (8.8 MPa for FS at 13 403 % and 14.5 MPa for PS at 17 %) are lower than the experimentally measured 404 values at lower densities (22.4 MPa for FS at 11 % and 18.7 MPa for PS at 405 15 %) but the correct order of magnitude is also obtained. For the fracture 406 behavior, only a rough experimental estimation of the strength is known, 407 and again the order of magnitude is correct, at least for FS (ca. 0.3 MPa at 408 a density of 9 % experimentally versus 0.75 MPa but at a density of 13 %409 numerically). As for PS, the difference in density (9%) experimentally versus 410

<sup>411</sup> 17 % numerically) makes the comparison difficult. The fact that PS has a
<sup>412</sup> larger oedometric modulus and strength than FS is difficult to interpret due
<sup>413</sup> also to the large difference in density of the two compacts.

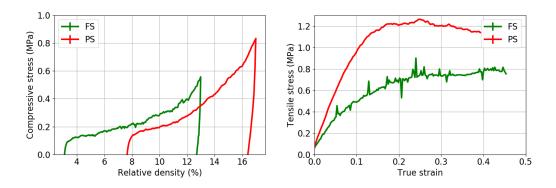


Figure 14: Comparison of the compaction behavior (left) and the tensile behavior (right) of fumed (K200) and precipitated (T365) silica powders. Averaging is done over 5 timesteps and 5 simulations, relative standard deviation  $\leq 10\%$ .

#### 414 5.4. Scaling law for oedometric modulus

In order to compare the two silica over similar density range, unloading 415 steps during the compaction were used to evaluate the oedometric modulus 416 of the packing versus its density. The results are reported in log-log plot in 417 Fig. 15, showing that at similar density FS is stiffer than PS but only by a 418 small amount, ca. 20%. The data follow a scaling law of the form  $E_0 \propto d^{\kappa}$ . 419 The computed exponents of the scaling have intermediate values between 420 what is expected for an open foam structure ( $\kappa = 2.0$  [49]) and a silica 421 hydrophobized aerogel ( $\kappa = 3.8$  [22]). 422

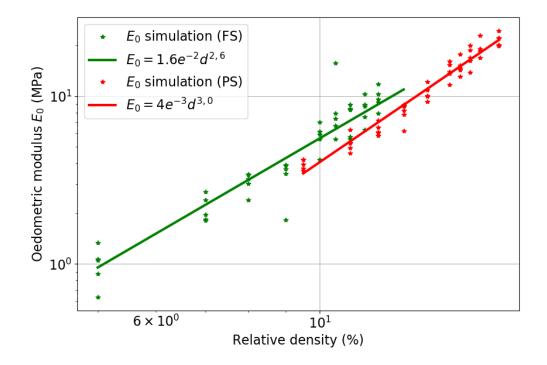


Figure 15: Scaling law between oedometric modulus  $E_0$  and relative density d for PS and FS.

#### 423 6. Discussion

The parameters governing the mechanical behavior in compaction of nanostructured silica powders in the simulations are, by increasing order of significance:

- neck size between bonded primary particles
- gyration radius of the aggregate
- surface energy (or primary particle radius)

The fact that the fractal dimension has very limited influence appears sur-430 prising as more elongated and branched aggregates have been suggested as 431 a possible explanation for the observed stronger mechanical behavior under 432 compaction and indentation of FS powders [16]. First, the elongation, for-433 mally defined as the square root of the ratio of the minimum and maximum 434 eigenvalues of the inertia matrix, is not just related to its fractal dimension 435 but also to its number of particles N: for the same  $d_f$  the elongation increases 436 with N or  $R_g$  for the porous Eden growth model [42] (Fig. 12). Secondly, 437 decreasing  $d_f$  will also affect the number of bonded contacts within the aggre-438 gates as a larger amount of new bonded contacts are formed when increasing 439 the particle radius during the preparation stage (see 4.1.1). The initial co-440 ordination number (only bonded contacts, i.e. before tension-compression 441 cycling) goes from 2.7 for  $d_f = 1.7$  to 3.5 for  $d_f = 2.8$ . On the con-442 trary, for  $d_f = 2.0$  increasing  $R_g$  will not significantly change the initial 443 coordination number. The influence of lowering  $d_f$  is thus twofold: 444

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- Because of a more elongated and branched structure, more Hertzian contacts are formed which hinders the reorganizations and tends to increase the compaction stress.
- 448 449

• Aggregates are less stiff because of a lower number of bonded contacts per particles, which tends to decrease the compaction stress.

These two competing effect may compensate each other, and finally lead to the apparent very limited influence of  $d_f$  on compaction noted on Fig. 11. On the contrary, increasing  $R_g$  does not affect the initial number of bonded contacts and the compaction stress is governed only by the amount of Hertzian contacts which increases with  $R_g$  leading to the expected behavior: more elongated aggregates are more difficult to compact.

The observed relatively weak influence of  $\gamma_s$  on the compaction behavior is not consistent with recent experimental results. Indeed, moderate ageing of FS has a significant effect on the compaction behavior [25] that is attributed to a decrease of surface energy (gain of hydrophilicity [13]). The simulations have two possible bias that might explain the inconsistency with experiments:

increasing amount of geometrical bond breakages with increasing sur face energy may lead to an artificially too soft compaction behavior;

• preparation by compression-tension cycling was done with  $\gamma_s = 1.2 \text{ J/m}^2$ for all samples, which may lead to an unrealistically large number of Hertzian contacts, at the beginning of the compaction, for low  $\gamma_s$  and hinder the reorganization.

When focusing on tensile behavior, the effect of surface energy predominates. The oedometric modulus and tensile strength are influenced, in our simulation, by order of significance, by:

- surface energy (or primary particle radius)
- neck size between bonded primary particles
- the aggregate morphology  $(R_g \text{ for } E_0 \text{ and } d_f \text{ for } \sigma_f)$

The parametric study shows that contact law parameters (neck size a and surface energy  $\gamma_s$ ) impact more the mechanical behavior (compaction and post-compaction) than the morphology of the aggregates (fractal dimension

 $d_f$  and gyration radius  $R_g$ ). However the transposition of this result to real 476 silica powder systems is delicate because of the model nature of the numerical 477 aggregates, the omission of larger scale structures (agglomerate and powder 478 grain) and the absence of reliable values for surface energy and neck size. 479 Still, higher surface energy is expected for FS because of its less hydrophilic 480 surface, and neck size might be influenced by the process. The possibly 481 large influence of these last two parameters on the mechanical behavior of 482 silica powders could thus significantly contribute to the stronger mechani-483 cal behavior of FS. More insight is provided by the comparison of FS and 484 PS numerically generated according to morphological parameters extracted 485 from SAXS data [11, 25]. It is observed in Fig. 14 that the compaction and 486 tensile behavior of FS and PS are close if only morphological differences are 487 considered (in absence of reliable values, the neck size and surface energy 488 are kept similar for both silicas in the simulations). Morphological differ-489 ences, i.e. larger aggregate radius of gyration, lower fractal dimension and 490 smaller primary particles of FS, taken alone do not explain completely the 491 experimentally observed large differences in mechanical behavior between FS 492 and PS. In terms of stiffness for example, Fig. 15 shows that morphological 493 differences account for a FS slightly stiffer than PS but experimental instru-494 mented indentation data around 10% density have shown that FS is actually 495 twice stiffer than PS [16]. The stronger behavior of FS in compaction and 496 the higher strength of its compact are thus attributed to a combined effect 497 of morphology of the aggregate, smaller primary particle size, higher surface 498 energy and possibly larger necks between particles. However, the assessment 499 of the significance of each parameter is difficult due to the limitations of the 500

<sup>501</sup> model and lack of data on surface energies and neck sizes.

In light of these findings, the effect of ageing on the mechanical behavior 502 can be discussed. Hygrothermal ageing has two main effects on nanostruc-503 tured silica: the decrease of the surface energy through water uptake followed 504 by the growth of the necks between primary particles [13]. It has been clearly 505 shown that these effects are detrimental for thermal insulation [7]. For me-506 chanical behavior, the decrease of surface energy associated with moderate 507 ageing leads to easier powder compaction (formation of less Hertzian contacts 508 leading to easier reorganization). On the contrary, for more severe ageing, 509 the increase of neck size may lead to the reverse. As for the tensile behavior, 510 ageing is expected to decrease the strength as the surface energy is the main 511 factor governing the strength of the compacts. 512

#### 513 7. Conclusion

The difference in compaction behavior and post-compaction mechanical 514 properties of a fumed and a precipitated nanostructured silica have been 515 investigated through DEM simulations. A parametric study on a set of mor-516 phological parameters  $(R, d_f \text{ and } R_g)$  and simulations on realistic aggregates 517 numerically generated based on SAXS measurements have shown that differ-518 ences in morphology alone may not explain the experimentally observed large 519 differences in mechanical behavior between FS and PS. The study of the in-520 fluence of neck size and surface energy have shown that these two properties 521 have more effect than the aggregate morphology in the simulations. While 522 the compaction behavior is largely influenced by the neck size, the surface 523 energy is the first governing parameter for strength. The extension of these 524

results to real systems should however be treated with caution because of 525 the lack of reliable surface energy and neck size values, and the questionable 526 realistic character of the numerical silica (omission of particle size dispersion 527 and large scale structures). In particular, particle size dispersion may not 528 have a negligible influence on mechanical behavior, as is the case for scatter-529 ing behavior [50]. Even with these limitations in mind, it is believed that the 530 stronger mechanical behavior of FS as compared to PS is, at least partially, 531 a consequence of larger neck sizes and surface energy. While it is clear that 532 FS have a larger surface energy than PS, it has never been proposed, to our 533 knowledge, that FS may have larger necks. In practice, it may thus be effi-534 cient to increase surface energy of PS to improve their mechanical behavior. 535 Increasing the neck size may also improve the mechanical properties but is 536 detrimental for thermal properties [7]. Future research on PS should thus 537 focus not only on adjusting the morphology (e.g. by attempting to decrease 538 their fractal dimension) but also on surface modifications to decrease the 530 hydrophilicity and increase the surface energy as it should be highly bene-540 ficial for mechanical properties. An experimental investigation of the effect 541 adsorbed water on surface energy and mechanical behavior of silica powders 542 would be of high interest and may help to decouple the morphological and 543 surface energy effects. The proposed modeling approach could also be im-544 proved, for example a more realistic model would include primary particle 545 size dispersion (observed by Benane et al. on FS [11]). Small dispersion on 546  $d_f$  and  $R_g$  exists in the present approach but could be enhanced and tuned. 547 The bonded contact law could allow plastic deformation. Indeed, this would 548 solve the issue related to geometrical breakages by relaxing unrealistically 549

large contact forces. It has been shown that silica glass actually exhibits
plasticity when tested at small scales [51, 52].

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