

# Advances in microclimate ecology arising from remote sensing

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20	Thermal imaging, Topography, Vegetation Cover
21	

#### 22 Abstract

23 Microclimates at the land-air interface affect the physiological functioning of organisms 24 which, in turn, influences the structure, composition and functioning of ecosystems. We 25 review how remote sensing technologies that deliver detailed data about the structure and 26 thermal composition of environments are improving the assessment of microclimate over 27 space and time. Mapping landscape-level heterogeneity of microclimate advances our ability 28 to study how organisms respond to climate variation, which has important implications for 29 understanding climate-change impacts on biodiversity and ecosystems. Interpolating in-situ 30 microclimate measurements and downscaling macroclimate provide an organism-centred 31 perspective for studying climate-species interactions and species distribution dynamics. We 32 envisage that mapping of microclimate will soon become commonplace, enabling more 33 reliable predictions of species and ecosystem responses to global change.

#### 34 Importance of microclimate maps

35 Local modification of the **climate** (See Glossary) by topography and vegetation produces **microclimates** at the land-air interface which can differ greatly from the climatic means [1,2]. 36 37 Surface temperatures between north- and south-facing mountainsides, for example, can vary 38 by 20 °C, equivalent to a latitudinal gradient of about 2000 km [3]. Additionally, forest 39 canopies can buffer the diurnal amplitude of air temperature in the forest **understorey** by 7 40 °C [4]. Such differences in temperature within landscapes matter to organisms, affecting 41 processes such as respiration, heat and energy exchange which, in turn, set thermodynamic 42 constraints on species behaviour, growth, reproduction and survival [5-7]. Innumerable 43 papers over the past century have quantified microclimates and their influences on ecological 44 processes at all levels of organization, from physiological processes of single organisms to 45 ecosystem-level productivity and nutrient cycling [4–6,8–10]. Microclimate is also relevant to 46 evolution because phenotypic and genotypic adaptations are driven by environmental 47 conditions actually experienced by the organisms [11]. Moreover, microclimate mapping and 48 monitoring have been recognised as key to effective natural resource management, with 49 forestry, agroforestry and agriculture being prominent examples [6,12].

50 Microclimate ecology is attracting renewed attention due to its fundamental importance 51 in understanding how organisms respond to climate change [2]. Species distributions are 52 typically modelled using **macroclimate** data obtained from national networks of weather 53 stations [13,14]. These standard meteorological data are measured in open areas at 1.5 - 2 m 54 height above short grass, and capture synoptic conditions that are unrepresentative of a range 55 of microclimates that most organisms experience [15,16]. These inaccuracies and biases can 56 have serious implications when predicting organismal responses to climate change. For 57 example, recent studies suggest that many plant and animal communities are accumulating a 58 climatic debt because they are migrating more slowly than needed to keep up with macroclimate warming [17–22]. However, temperature buffering near the ground – due to 59

local radiation regimes, soil characteristics and topography – means that organisms may not have to migrate, or adapt, as quickly as previously thought to keep pace with the shifting macroclimate [23,24] (Box 1). Thus, extinction risk from climate change for plants and insects is considerably reduced by the occurrence of **microrefugia** within landscapes with highly heterogeneous microclimate [25]. Yet, the modulating effects of microclimate variability on climate change impacts have only recently started to be quantified [3,21,25–29].

A key impediment to progress in incorporating microclimate into models of climate 66 67 change impacts on organisms has been our limited ability to map and monitor microclimatic 68 variation over large spatial scales and over time. Networks of microclimate sensor provide 69 point-based measurements and weather stations provide macroclimate data, but we have 70 lacked approaches to effectively interpolate and downscale this information. Remote sensing 71 is now offering opportunities to lift this technical barrier, by producing detailed and spatially 72 continuous data-layers that can be used as explanatory variables to understand and model the 73 horizontal and vertical variation in microclimatic conditions over large spatial and temporal 74 scales. Here, we review how these emerging technologies are advancing microclimate 75 modelling and mapping, and highlight some of the opportunities they provide for ecology, 76 conservation and climate change research.

77

### Box 1 Shifts in species distributions in response to global warming

Microclimate – the local modulation of macroclimate by vegetation canopies and topographic position
- affects species re-distribution under climate change (Figure I). Maps of microclimate predicted from
remote sensing data can improve habitat suitability maps and predictions of how species will respond
to climate change.

82

#### 83 Eureka: remote sensing advances for modelling and mapping microclimate

84 Remote sensing technologies are increasingly capable of mapping the structural complexity

85 and thermal composition at the ground-atmosphere boundary at scales relevant to studying

organismal responses to environmental variation [27]. We discuss the contributions that laser
scanning, photogrammetry, hyperspectral imaging and thermal imaging are making.

Airborne Light Detection and Ranging (LiDAR) (aka airborne laser scanning) is 88 89 particularly valuable for modelling and mapping microclimate because it provides spatially 90 continuous, sub-metre-scale information on two key modifiers of climate at the ground-91 atmosphere interface: ground topography and vegetation structure [30]. To construct maps, 92 microclimate measurements taken on the ground using sensor networks are related to LiDAR 93 structural information, such as topographic position and light incidence at very high 94 resolutions (Boxes 2-4), using statistical modelling approaches, and the function generated by 95 this approach is then used to predict microclimate across the entire LiDAR-mapped landscape 96 (Figure 1) [13,31–35]. Effective interpolation requires that the sensor networks sample 97 contrasting sites within the study area. The sensor data must also be summarised in 98 ecologically meaningful ways, guided by clear research questions [36]. For example, the 99 frequency of extremely cold or hot temperatures, calculated over timescales relevant for the 100 growth and survival of organisms, are more meaningful for biogeographic applications than 101 average conditions [2,36].

102 Aerial photography provides an alternative approach to assessing topography and forest 103 structure, using photogrammetry and structure-from-motion (SfM) techniques to construct 3D 104 surfaces (Figure 2) [37]. These inexpensive and easy-to-use methods are increasingly applied, 105 but are less accurate than LiDAR at deriving terrain elevation beneath tree canopies, or for 106 measuring vertical vegetation structure, because photos only record reflectance off the upper 107 surface [38,39]. One-off mapping of large areas using LiDAR and aerial photography is 108 normally conducted from manned aircrafts, while unmanned aerial vehicles (UAV, e.g. 109 drones) equipped with miniaturised cameras and LiDAR sensors are becoming available to 110 map smaller areas at even higher spatiotemporal resolutions. Using UAVs and SfM 111 techniques, Milling et al. [40] found that summer maximum temperatures may vary up to four degrees Celsius over just a few metres within sagebrush-steppe landscapes – habitats that were previously considered relatively homogeneous. A key advantage of UAVs is that deployment is very flexible, enabling the collection of time-series of aerial imagery over a period of interest at relatively low costs. SfM techniques applied to image time-series offer novel opportunities for monitoring microclimate in ecosystems in which phenology creates strong temporal variation in microclimate [41,42].

118 **Terrestrial laser scanning (TLS)** provides immensely detailed datasets of vegetation 119 structure that can be used to model microclimate. Complementary to airborne laser scanning, 120 which maps 3D vegetation structure from above, TLS maps vegetation in extraordinary detail 121 from below, thus providing information on the understorey structure. Kong et al. [43] found 122 that TLS-based reconstructions of canopy volumes coupled with microclimate measurements 123 revealed cooling effects in the understorey that varied among tree species, suggesting that 124 TLS can pick up subtle effects of different leaf sizes on understorey microclimate. Moreover, 125 Ehbrecht et al. [44] found that TLS-derived measurements of canopy openness were 126 positively related to diurnal temperature ranges in managed temperate forests in Germany. 127 TLS measurements are restricted to a few hectares and are of limited use, compared to 128 airborne laser scanning, for modelling microclimate over large areas. Yet, the forest 129 understorey-structure information TLS provides at the plot level has been shown to improve 130 landscape-level vertical vegetation structure mapping based on full-waveform airborne 131 LiDAR [45].

Complementing maps of 3D vegetation structure, maps of leaf functional traits and species obtained by **hyperspectral remote sensing** [46,47] are likely to improve the statistical fit of microclimate models. We expect this improvement because the quality and quantity of solar radiation transmitted by canopies vary according to leaf traits and tree species, leading to species-specific microclimatic conditions in the understorey [48].

6

# However, we are unaware of studies using hyperspectral remote sensing to map microclimate(acc Outstanding Ouestions)

138 (see Outstanding Questions).

#### **Box 2: Measuring how plant canopies affect solar radiation fluxes**

140 Solar radiation flux has strong effects on the energy budget and performance of organisms living 141 beneath vegetation canopies. Radiation regimes along the vertical canopy profile of forests can be 142 estimated from a Light Detection and Ranging (LiDAR) point cloud by creating a 3D map of foliage 143 presence/absence in voxels (i.e. 3D pixels) and then apply ray tracing algorithms to evaluate whether 144 beams entering the canopy in different locations and angles are likely to be intercepted [31,49]. 145 Alternatively, LiDAR data can be used to generate synthetic hemispherical images from which fluxes 146 of non-directional diffuse sky radiation and direct solar radiation, or light extinction following the 147 Beer-Lambert law [50], can be calculated for any time in the day or year (Figure I). These approaches 148 are computationally intensive but better represent light conditions experienced by forest organisms 149 than simple approaches based on canopy cover [51]. Vegetation structure thus drives the interception 150 of solar radiation, which means that the importance of vegetation structure for microclimate will vary 151 between day and night and different weather conditions, with the temperature offsets highest on bright 152 sunny days. Advances in physically based radiative transfer modelling now make it possible to 153 estimate the 3D radiative budget in forests and open lands at an ever-increasing detail, e.g. by 154 accounting for foliar-specific filtering of different wavelengths [52].

155 **Box 3: Temperature buffering and offset** 

156 Solar radiation reaching the land-atmosphere interface is mostly reflected, or absorbed and re-emitted 157 as thermal radiation, or drives evapotranspiration. Vegetation canopies lift energy-exchange surfaces 158 off the ground, and in doing so modulate radiant fluxes, air temperature and humidity at ground level. 159 The capacity of plant canopies to sustain a different temperature below canopy compared to free-air 160 conditions (i.e. a temperature offset) is thus closely related to canopy structure and composition. 161 Under canopy, diurnal changes in temperatures are less extreme than above canopy, and this 162 temperature buffering is modulated by canopy height and cover, both of which can now be precisely 163 mapped [13,32,53].

164 Sensor networks sampling environmental gradients (cf. Figure 1) are increasingly combined 165 with remote sensing data to map microclimate. The current scientific literature often makes crude 166 assumptions about the shading and temperature buffering effect of vegetation when modelling 167 microclimate, and usually neglects systematic changes in the temperature offset over time, i.e. the 168 offset trend (Figure I) [24,28]. The degree to which temperatures below the canopy are offset 169 compared to free-air conditions will not be constant over time and depend on successional processes 170 driving dynamics in canopy structure and composition. Long time series of below-canopy temperature 171 records thus need to be related to forest dynamics to better understand the drivers of long-term 172 microclimatic dynamics [24]. Such data are scarcely available [54] and global long-term networks 173 such as FLUXNET may prove very valuable in this respect.

174 Forest microclimates are also affected by landscape features such as distances to forest edges, 175 urban areas and large water bodies. Many of these landscape features can be retrieved from remote 176 sensing data [4,29,32,35,55] and integrated into predictive models used to map microclimate. Another 177 key influence on spatiotemporal dynamics in microclimate is topographic position, because it 178 determines the influences of cold air drainage and pooling on a site [56,57]. Topographic position 179 and cold air drainage can be estimated from high-resolution digital terrain models (DTMs), further increasing our ability to map and model microclimate across broad spatial and temporal scales.

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#### 184 **Box 4: Water and wind**

185 Plant canopies not only buffer temperature, but also precipitation, relative humidity and vapour 186 **pressure deficit (VPD)**, which is exponentially related to air temperature. VPD drives transpiration in 187 plants and growth and survival can be impeded when VPD is high (responses vary greatly among 188 species and depend on water supply and leaf temperature). In a degraded tropical forest landscape, 189 models of understorey VPD generated by interpolating sensor-network data with LiDAR imagery (see 190 Figure 1) suggest that tropical tree regeneration will be severely affected by global warming, because 191 of the close link between temperature and VPD [13]. The effect of remotely sensed canopy structure 192 and composition on below-canopy VPD and moisture availability warrants further research, e.g. to 193 better understand how moisture influences air and topsoil temperatures, and vice-versa [31,58].

Topographic features, such as slope angle, affect the lateral surface and subsurface water flow. Airborne LiDAR-derived maps of topographic wetness and ruggedness are thus suitable to analyse the fine-scale variation of soil moisture and air humidity [59,60]. Detailed ecosystem structure data also delivers input parameters to better account for the effects of wind on microclimate. Canopy surface roughness and vertical canopy structure, for instance, improve wind modelling in heterogeneous forests and offer promising opportunities to make predictions of the near-surface wind fields more accurate [61,62].

201

202 Thermal imaging using thermal infrared (TIR) cameras can be applied to map surface 203 temperatures. As opposed to LiDAR technologies TIR cameras directly record longwave 204 infrared radiation (i.e. 7.5-14 µm) emitted by an object or organism, which is linked to surface 205 temperature according to Boltzmann's law when surfaces have high emissivity [3,63]. The 206 surface and body temperature of an organism is related to its energy budget and thus to the 207 functioning and performance of plants and animals [7,64]. Yet, the surface temperature is not 208 necessarily related to the air temperature an organism experiences. For instance, plants 209 respond to water shortage by closing stomata and reducing transpiration, which causes leaf 210 surface temperatures to rise – irrigated and non-irrigated plants can differ in leaf temperature 211 by several degrees but have similar air temperature in their surrounds, as measured with shielded temperature sensors (Figure 2). TIR images recorded by UAVs have centimetre 212 213 resolution [63], providing valuable means for the fine-scale monitoring and management of 214 water use and water stress by plants, e.g. in crop production [66,66], or to assess the 215 temperature experienced by insects living on a leaf's surface. However, TIR images might not 216 necessarily reflect atmospheric or soil microclimatic temperatures experienced by plants, i.e. 217 their thermal niche.

218 Surface temperatures from high-resolution TIR images have been applied for fire and 219 disease detection, phenotyping in plant breeding, wildlife monitoring and microclimate 220 ecology (reviewed in [42,66]). Senior et al. [67], for example, used TIR images to show that 221 selective logging of tropical forests had a very little impact on thermal buffering compared to 222 primary forests, suggesting that selectively-logged tropical forests may play an important role 223 in retaining species with temperature niches that are disappearing under climate change. In 224 aquatic systems, TIR images provide the means for landscape-level mapping of cold water patches (thermal refuges) along rivers – an important habitat element for riverine salmonids in 225 226 times of climate warming [68]. Such maps provide valuable information to guide conservation 227 efforts. We currently know little about the extent to which canopy surface temperatures 228 measured by TIR images are coupled to the temperatures prevailing in the layers beneath the 229 canopy surface, e.g. in forest understoreys or at the soil surface, although this knowledge 230 would be helpful for using TIR images to model and map microclimatic air temperature. The 231 difference between canopy leaf temperatures and ambient air temperatures can be highly 232 variable and depends on canopy structure and species-specific leaf traits, such as aerodynamic 233 leaf boundary-layer resistance and associated levels of atmospheric coupling [69]. Such 234 analysis will also be subject to effects deriving from the ability of plants to regulate leaf temperature [64]. Research into the relationship between below-canopy temperatures 235

measured by sensor networks (Box 3) and canopy temperature measured by TIR images isneeded to further understanding of these linkages.

238 TIR radiation flux is affected by a number of factors besides leaf temperature, including 239 the relative humidity, ambient temperature, wavelength dependency of the emissivity and 240 range of the camera, wind speed and shadows [70]. Accurate surface temperature assessments 241 using TIR imagery can thus be challenging. A key point is the emissivity, which is the ability 242 of the surface of an object to emit thermal radiation [63,71]. The mean emissivity of surfaces 243 from plants, soil and rocks range from 0.903-0.997 and deriving surface temperature data 244 from TIR images is thus complicated by the fact that not all surfaces in the image have similar 245 thermal emissivity [71]. Furthermore, the spatiotemporal resolution of TIR imagery needs to 246 be considered. Representing the climate conditions at a site requires TIR images taken across 247 the full range of weather conditions, at day and night, and across seasons [2]. While this may 248 be feasible for terrestrial and potentially airborne TIR imagery, the high spatiotemporal 249 resolution of such datasets comes at the cost of limited spatial coverage. Satellite TIR imagery 250 provides surface temperature data with global coverage, although at too coarse a resolution to 251 directly quantify microclimate (Figure 2). Yet, satellite TIR images can improve the 252 interpolations of temperature data from weather stations in areas with a low station density 253 [72]. Despite these challenges and limitations, the potential of TIR imagery in fundamental 254 and applied microclimate ecology is substantial and should be explored in more detail.

Another approach to microclimate mapping is to downscale macroclimate data obtained from macroclimatic grids [2,24], such as WorldClim 2 [72] and CHELSA [73], which are published at relatively coarse scales (typically 30'' resolution, equivalent to 1 km<sup>2</sup> at the equator). High-resolution remote sensing products, such as **digital terrain models** (DTMs), **canopy height models** (CHMs) or detailed ground and canopy albedo measurements, are used to generate indices of microclimatic processes related to solar radiation, cold-air drainage or topographic wetness from the grid data, and these indices are then related statistically to macroclimatic variables using regression [74–76]. Software such as R-packages implementing these approaches using freely available input data are now becoming available [14]. Because these models are based on macroclimate data that are available at a high temporal resolution, such models allow for predictions of how microclimate conditions vary in time, thus tackling a key limitation of temporally limited approaches based on microclimate measurements from sensor networks (*cf.* Box 3).

268 Mechanistic models may also use predictor variables derived from remote sensing data 269 but are fundamentally different in that they model heat and mass exchange between organisms 270 and their environments, relying on functional relationships derived from the physical 271 processes involved in creating microclimate [77,78]. Perhaps the most advanced mechanistic model is Niche Mapper<sup>TM</sup> [77], which downscales air temperatures based on a set of abiotic 272 273 variables such as soil characteristics, macroclimatic meteorological variables including cloud 274 cover, air temperatures and wind speeds and shading. The model has been parameterized to 275 predict lizard distributions in open habitats in Australia and the US but does not currently 276 include detailed modulating influences of plant canopies among its input variables [28,77].

#### 277 Implications and avenues for microclimate ecology

278 Ecologists are starting to appreciate the ways in which microclimate mapping technologies 279 could improve their science. Correlative species distribution modelling (SDM) is often 280 criticised for its reliance on coarse climate information [24] and its failure to incorporate 281 physiological knowledge [8]. Using detailed spatiotemporal microclimate data in such models 282 will allow for more organism-centred approaches to determine species range boundaries and 283 their climate change-related dynamics. This especially applies at the temperature-driven 284 leading and trailing edges, where the response of organisms may be particularly susceptible to 285 the availability of suitable microclimate and associated microrefugia [8,24,26,79,80]. 286 Incorporating microclimate layers into SDMs thus holds a large potential, but is still in its infancy. Using simulations and focusing on maximum temperature of the warmest month, Lenoir et al. [24] found that using airborne LiDAR-derived variables to model microclimate decreases the extirpation risk of a virtual plant species under climate change compared to predictions based on downscaled climate data at coarser resolutions (Box 1). Such modelling results are physiologically more meaningful because they derive from the comparison of the species' temperature niche to realistic temperature dynamics driven by vegetation shading and cold air drainage.

294 Microclimate data will also help to shed new light into microclimatic effects on phenology - potentially quantified by remotely sensed vegetation indices such as the 295 296 Normalized Difference Vegetation Index (NDVI) - and how these effects affect species 297 distributions and species interactions. For instance, plant species range limits may be driven 298 by temperature extremes during key stages of phenology, such as extreme cold during bud-299 break of broad-leaved tree species [81]. Such extreme events are not represented in currently 300 available climate data with coarse spatiotemporal resolutions. Using remote sensing data to 301 derive climate data at resolutions similar to those at which organisms perceive and respond to 302 climate conditions is thus a timely task and will pave the way for more reliable predictions of 303 species range dynamics in response to climate change [27].

304 Microclimate mapping could also refine our understanding of species diversity patterns. 305 Following the environmental heterogeneity hypothesis, microclimate heterogeneity is 306 expected to be positively related to species richness (alpha diversity) [82], but this remains 307 understudied. Similarly, investigating how spatial and/or temporal changes in microclimate 308 contributes to **beta diversity** through environmental filtering deserves more attention [82,83]. 309 For example, a recent study found that microclimate on cooler, north-facing slopes affected 310 plant community responses to climate change by delaying extinctions of species with low-311 temperature requirements [84]. Increased short distance microclimatic variation is expected to 312 affect the climatic debt in bird assemblages, e.g. by lowering the risk of population decline 313 due to the ability to avoid harmful climatic variation or by increasing landscape permeability 314 which facilitates the spatial tracking of climate change [85]. Spatiotemporal mapping of 315 microclimate will thus be crucial to understanding how local phenomena give rise to large-316 scale processes. Estimating connectivity among fragmented habitats to evaluate the 317 functionality of ecological networks, for instance, depends on reliable landscape-level 318 representations of microrefugia, stepping stones, 3D-habitat structure and associated 319 microclimate (e.g. ecological corridors such as hedgerows), as these attributes are critical for 320 species migration and gene flow [79,80,86].

321 The potential of remote sensing technologies to better understand and model 322 microclimate is already recognised implicitly in the ecological literature. The widespread use 323 of LiDAR to model species occurrence from habitat structure, for instance, relies implicitly on 324 the assumption that LiDAR data can be used to assess microclimate conditions that are, at 325 least partially, responsible for the fitness and distribution of an organism [87–89]. What is 326 missing in such indirect approaches is how the measured environmental features actually 327 drive and interact with the microclimate variables that are physiologically relevant to the 328 species or the biological phenomena of interest, e.g. the minimum and maximum air 329 temperatures relevant to an organism's temperature tolerance [7]. In forests, our mechanistic 330 understanding of how canopy structure and composition drive and interact with vertical 331 radiation and temperature regimes to determine species habitat preferences and vertical niche 332 partitioning is still incomplete. Indeed, the steep vertical microclimatic gradients within 333 forests are increasingly appreciated for structuring arboreal biodiversity, particularly in the 334 tropics [90–92] and the remote sensing approaches described here play a key role in filling 335 this knowledge gap.

Microclimatic changes arising from forest management have been shown to exert strong
 controls on local plant communities and their response to macroclimate warming [21]. Thus,
 mapping of microclimate has far-reaching implications for conservation and other fields, such

339 as forestry and agriculture [35]. Successful tree regeneration – planted or natural – strongly 340 depends on microclimate conditions [12,74]. Maps of thermal and light regimes below 341 different canopy conditions or in clear-cuts can help managers optimise planting in 342 accordance to tree species-specific temperature and light adaptations [12]. Similarly, 343 microclimate maps would be helpful for managing agroforestry systems, such as those 344 associated with coffee and cacao, where microclimates affect yield and the susceptibility to 345 climate extremes [93]. In agriculture, precision farming of speciality crops increasingly relies 346 on remote sensing technologies capturing the spatiotemporal variability of the micro-347 environmental conditions [94,95]. Mapping the thermal heterogeneity across landscapes 348 improve the analysis and management of crop water status [66,94] and how microclimate 349 affects the occurrence and dynamics of pests [63,96].

#### 350 Current limitations and future directions

351 Field measurements of microclimate recorded with sensor networks are crucial for the 352 development of landscape-scale maps, but sensor and sampling designs vary greatly between 353 studies, making it difficult to synthesise results [2]. The need for standardised sampling 354 approaches, centred around the following principles, is increasingly recognised: (1) field 355 surveys are designed to represent the entire spatial and temporal gradients of the microclimate 356 conditions in the study system; (2) time span between the collection of field and remote 357 sensing datasets is short enough to prevent significant discrepancies; and (3) measurement 358 sites are georeferenced precisely using a differential Global Positioning System, so that the 359 data can be spatially co-registered with the imagery. Simulations show that registration errors 360 as small as 1 m when working with 10-m radius plots can create major uncertainty in forest 361 properties estimated from airborne LiDAR [97]. Thus, also precisely locating species records, 362 particularly of less mobile species, is a prerequisite for sound inference about species-363 microclimate relationships.

364 The presented airborne remote sensing tools and data (i.e. involving airborne LiDAR 365 and/or SfM) to map the effects of vegetation structure on microclimate near the ground work 366 best in tall habitats, such as forests, wood- and shrublands. In short stature vegetation, such as 367 grassland, heath or crops, the level of structural detail picked up by airborne LiDAR and SfM 368 is unlikely to capture microclimate variation resulting from fine-scale differences in 369 vegetation structure. High-resolution TIR, however, provides the means to measure surface 370 temperatures in both tall and short stature vegetation, but does not provide structural 371 information required to interpolate microclimate measurements from sensor networks.

There is pressing need to gather georeferenced microclimate data from different types of habitat across the globe and a global archive and data portal facilitating data access would significantly promote progress in microclimate ecology. To complement temporal dynamics of microclimate data gained from downscaled macroclimate we need long-term microclimate data series [24]. This will enable an improved understanding of the drivers of microclimate dynamics and how they deviate from the macroclimate, which will have important implications for estimating the velocity, and thus impact, of climate change.

379 Many of the remote sensing approaches described here rely on data whose spatial 380 coverage is growing but does not yet expand over continental and global scales. In the future, 381 remedial satellite LiDAR data experiments, e.g. the Global Ecosystem Dynamics 382 Investigation LiDAR (GEDI) or the ICESat-2 satellite project, may provide new avenues to 383 arrive at analysing and monitoring microclimate variation at larger temporal and spatial 384 scales. Satellite missions employing synthetic aperture radar (SAR) systems, such as the 385 launched TanDEM-X and planned Tandem-L missions, provide worldwide, repeated and 386 spatially detailed data for digital terrain elevation and forest height modelling. Incorporating 387 these data into microclimate models may play a key role for increasing their spatial and 388 temporal cover, and is expected to facilitate tracking microclimatic changes in habitats with 389 dynamic structural attributes, such as forest vegetation structure.

#### 390 Concluding Remarks

We have shown that advances in remote sensing technologies are making it possible to map microclimate at fine spatiotemporal resolutions and over large areas for the first time. This offers new opportunities to scale up ecological knowledge about the organism-environment interactions at fine scales, to understand species and ecosystem responses to environmental changes over broad scales.

396 Topographically controlled microclimate gradients have historically been studied in 397 more detail than those controlled by 3D-vegetation structure. LiDAR and photogrammetry 398 provide key structural data to fill this gap, which is critical, given the contribution that 399 vegetation structure makes to biodiversity. However, methodological efforts taking an 400 ecological perspective in approximating microclimate via remote sensing tools are required to 401 make most out of the available data and resources. The technological advances in remote 402 sensing and the methodological advances in microclimate modelling call for coordinated 403 efforts between remote sensing experts, climatologists and ecologists to improve our 404 predictive abilities on the role of microclimate in biodiversity and global change ecology.

405	
406	Outstanding questions
407 408	Improved, open-access and easy-to-use methods based on remotely sensed canopy structure and composition for modelling and predicting microclimate in forests are needed. Such methods are
409 410	required to further our understanding of light, temperature and relative humidity regimes, and how they affect species behaviour, performance and distribution. Emphasis should also be given to
411 412	quantifying the effect of local wind dynamics on microclimate.
413 414	How will microclimatic conditions change in response to climate warming? This will depend on the extent to which vegetation structure and topography modulate air temperature and how changes in
415 416	vegetation structure change solar radiation and wind regimes.
417 418	How does horizontal and vertical microclimate variation affect alpha- and beta-diversity?
419 420 421	What is the influence of microclimate buffering on species range dynamics, biodiversity and the climatic debt of species and communities?
422 423	How are microclimate gradients related to plant functional traits derived from hyperspectral imaging?
424 425	How could landscape-level mapping of microclimate contribute to our understanding of habitat connectivity and the functionality of ecological networks?
426 427	How are below canopy and soil microclimate linked to vegetation surface temperatures measured by
428 429	thermal infrared (TIR) imagery?
430 431	How important is microclimate for driving phenological responses to climate change, and what are the implications thereof for species interactions and distributions?

#### 434 Glossary

435 Airborne LiDAR: a remote sensing technology used for 3D analysis of earth surface environments. 436 LiDAR is short for Light Detection and Ranging (aka laser scanning). A LiDAR sensor emits about 437 200,000 laser pulses per second towards the ground and measures the energy waveform returning from 438 backscattering objects. When used to measure vegetation structure, the light pulse is wider than a 439 typical leaf by the time it reaches the upper canopy, meaning that some of its energy passes through 440 the upper canopy to lower layers and even the ground. The sensor converts the continuous waveform 441 of returning energy into 'discreet returns' and, by precisely recording return times and its location in 442 the air, creates a 3D point cloud of the position of objects. The point cloud is used to derive high-443 resolution of topography and canopy height (see DTM and CHM) and detailed information on vertical 444 vegetation structure, spatially continuous across large areas. Some LiDAR sensors record the full-445 waveform, providing detailed information about the entire vertical forest profile. The added value of 446 full-waveform over discrete LiDAR for microclimate mapping remains to be tested.

447 Alpha diversity: species diversity in sites or habitats at the local scale (e.g. point-based surveys),
448 often expressed as the total number of species (species richness) or abundances weighted indices, such
449 as the Shannon index or the Simpson index.

450 Beta diversity: diversity measure expressing variation (turnover and nestedness) in community
451 composition among habitats gradients, can be calculated based on taxonomic (e.g., species identities),
452 functional (e.g., functional traits) and phylogenetic (e.g., branches) units.

453 Canopy Height Model (CHM): continuous digital surface – usually in the form of a raster dataset –
454 representing the height of the canopy above the underlying terrain.

455 Climate: synthesis of atmospheric conditions characteristic of a particular place in the long-term
456 (usually 30-year averages) expressed by averages of various elements of weather and probabilities
457 distributions of extreme events.

458 **Climate debt:** biotic responses observed in nature are often slower than expected under the

459 assumption of complete synchrony with climate change; climate debt describes the spatiotemporal lag

460 accumulated by a species or a community compared to the actual shift in climate.

461 Cold air drainage: gravity-induced, downslope flow of relatively cold air near the ground, pooling in
462 local depressions and valley constrictions. A prominent phenomenon in mountain valleys at night and
463 during winter.

464 Digital Terrain Model (DTM): continuous digital surface representing the elevation height of the
465 bare earth. Sometimes also referred to as digital elevation model (DEM).

466 Hyperspectral remote sensing: image analysis based on the spectral reflectance across a wide range467 of the electromagnetic spectrum; also known as hyperspectral imaging or imaging spectroscopy.

468 Macroclimate: the climate conditions above ground or above the canopy (e.g. > 2 m) at a relatively
469 large scale, e.g. across spatial dimensions of 1 km or more, and temporal dimensions of days to weeks
470 or longer.

471 Microclimate: the climate conditions close to the ground (e.g. < 2 m) or along vertical forest profiles</li>
472 at relatively fine spatiotemporal resolutions, e.g., across spatial dimensions of centimetres to meters,
473 and temporal dimensions of minutes or shorter. Microclimate conditions include temperature,
474 precipitation, humidity, wind and radiation regimes.

475 Microrefugia: spatially-restricted local habitats that sustain a climate that has become, or is
476 becoming, lost due to climate change and that enables species to persist in an otherwise inhospitable
477 region.

478 **Remote sensing:** acquiring information about an object of phenomena from a distance.

479 Temperature buffering: below plant, especially forest canopies, daily air temperatures may be
480 substantially buffered, increasing less during the day and decreasing less during the night than outside
481 the forest canopies.

482 Terrestrial Laser Scanning (TLS): the process of gathering 3D data using a LiDAR instrument on
483 the ground. 3D point clouds produced by TLS are typically much denser than those obtained by
484 airborne LiDAR.

485 Thermal imaging: technique to produce an image based on the heat emitted by an object or an486 organism.

20

487 Understorey: a layer of vegetation close to the floor beneath the main canopy of a forest.
488 Vapour pressure deficit (VPD): the difference between saturation vapour pressure and the actual
489 vapour pressure, at a given temperature.

490

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- 728

#### 729 Figure legends

- 730 **Figure 1**. Conceptual overview of the approach used to generate microclimate maps from a sensor network. A:
- 731 Microclimate data are recorded using a network of sensors measuring air/soil temperature and humidity
- conditions, e.g., placed in the open (S1) and below tree canopies (S2) as shown by 3D airborne Light Detection
- and Ranging (LiDAR) data in the top panel. The microclimate data from each sensor (S1, S2, and black dots) are
- then summarised in ecologically meaningful ways, e.g. to daily minimum (Tmin) and maximum (Tmax)
- temperatures as shown in the middle left panel, and related to vegetation structure and the topography mapped
- vising remote sensing technologies, e.g., LiDAR, as shown for canopy height and elevation across a landscape in

the tropical lowlands [13]. B: Statistical models are then used to predict microclimate across the entire mapped

1738 landscape and over time. In this example, maximum canopy height and topographic position were strong

739 predictors of maximum daily air temperatures in the understorey (left), which explained small-scale variation

of maximum vapour pressure deficit (VPD) (right), as indicated by the black arrows (taken from Jucker et al.

- 741 [13]).
- 742

743 Figure 2. Thermal infrared (TIR) imaging reveals spatially detailed information about surface temperatures. 744 Images A and B show land surface temperatures (LSTs) for Europe (EuroLST) derived from freely available 745 MODIS satellite images with a pixel size of 250 m [98]. On the other hand, data for images C to E were recorded 746 at sub-metre resolution by an UAV flown at 70 m height above ground during an exceptional drought in June 747 2017 in a tree diversity experiment in Belgium (www.treedivbelgium.ugent.be). Panel C is conventional red-748 green-blue (RGB) photography, panel D shows the vegetation height (m) determined by structure-from-motion 749 analysis of overlapping photos and panel E shows the surface temperature derived from the TIR image. We see 750 that surface temperatures of plants on the ground are considerably higher than those of tree surfaces, due to 751 different transpiration rates as a response to water shortage. The data was processed following Maes et al. [42].

752

753 Box 1 Figure I. Probability of occurrence maps based on a virtual species approach, for which the realized niche 754 is known, predicted with current-day macroclimate (A) and microclimate data (B), and projected into the future 755 under a 2 °C warming scenario (C and D respectively). The temperature data for images A and C refer to long-756 term (30-yr averages during the period 1970-2000) maximum temperature of the warmest month and were 757 obtained by downscaling macroclimate at 25-m resolution to incorporate topoclimatic processes. Spatial 758 variation in microclimate (temperature in this case) generated by trees (i.e. canopy cover) and topography (i.e. 759 topographic concavity) were modelled using 50-cm resolution maps (images B and D) derived from 3D airborne 760 Light Detection and Ranging (LiDAR). Note that microclimatic models indicate much larger areas of suitable 761 habitat than macroclimatic models. In particular, many potential microrefugia are identified in image D which 762 could continue to provide suitable habitat under climate warming (adapted from Lenoir et al. [24]).

763

**Box 2 Figure I.** Using airborne Light Detection and Ranging (LiDAR) to map solar radiation fluxes in a mountainous region. A: Potential clear sky solar radiation predicted to reach the ground on a summer day if vegetation is absent (i.e. based on a digital terrain model generated by LiDAR); B: Forest canopy height measured over the same region; C: Potential clear sky solar radiation calculated to reach the ground having 768 penetrated through the forest canopy, assuming an increase of shading with increasing vegetation cover and 769 height. It can be seen that much of the landscape is deeply shaded by trees and shrubs, making it suitable for 770 shade-tolerant plant species. D: 3D airborne LiDAR-derived elevation data of a forest (black rectangle in B) is 771 used to construct synthetic hemispherical images at 1 m and 25 m height above the forest floor [99]. E: 772 Reconstructed hemispherical images, taken at the red point position in B, show portions of the sky obscured by 773 trees (black) and the terrain (blue), from which diffuse and direct light transmission can be calculated. These 774 images can be calculated for any point in the landscape and at any height in forest canopies providing 775 unprecedented opportunities to estimate the microclimate in the neighbourhood of individual organisms. Note 776 that ground topography (elevation, aspect and slope) have strong influences on solar radiation [100], and high-777 resolution DTMs from LiDAR surveys provide critical input data for quantifying these effects [13,14].

778

**Box 3 Figure I.** A: Weather stations as illustrated on the left provide long-term climate data for synoptic conditions (right panel). B: Microclimate data from sensor networks (*cf.* Figure 1) are currently available mostly for short time periods only, e.g. months to a few years (right panel). The left image shows a shielded sensor placed on the north side of a tree trunk. C: Maximum air temperatures below canopies (i.e. microclimate) are frequently offset by several degrees compared to free-air conditions (i.e. macroclimate) and the offset trend over time may vary. Long-term data series are required to assess the differences in spatiotemporal dynamics between macro- and microclimate (see text).

### **Probability of occurrence**

### Current climate

Future climate (+2°C)

high

low



km



#### Weather Station



В

Α

### Microclimate sensor network





Interpolation of microclimate using high-resolution remote sensing data



# Satellite-based Land Surface Temperature (LST)



# **UAV-based remote sensing**

### **RGB** image



42 m

# Vegetation height



# Surface temperature

