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ABSTRACT

 The continuous growth of global plastics production for more than 50 years has resulted in elevated levels of pollution and serious environmental problems. Enzymatic depolymerization of synthetic polyesters represents an attractive approach for plastics recycling and effective use of carbon resources. In this study, screening of over 200 purified uncharacterized hydrolases from environmental metagenomes and sequenced microbial genomes identified 27 proteins with detectable activity and at least 10 proteins with high hydrolytic activity against synthetic polyesters. The metagenomic esterases GEN0105 and MGS0156 were active against a broad range of synthetic polyesters including polylactic acid, polycaprolactone, and bis(benzoyloxyethyl)-terephthalate. With solid polylactic acid as substrate, both enzymes produced a mixture of lactic acid monomers, dimers, and higher oligomers. The crystal structure of MGS0156 was 46 determined at 1.95 Å resolution and revealed a modified α/β hydrolase fold, with a highly hydrophobic active site and lid domain. Mutational studies of MGS0156 identified the residues critical for hydrolytic activity against both monoester and polyester substrates, and demonstrated a two-times higher polyesterase activity in the L169A mutant protein. Thus, environmental metagenomes contain diverse polyesterases with high hydrolytic activity against a broad range of synthetic polyesters with potential applications in plastics recycling.

Introduction

 Over the last 50 years, global production of plastics has continuously increased, 59 reaching 322 million tons in 20[1](#page-24-0)5.¹ Synthetic polymers have become indispensable to our 60 lives, with numerous applications in industry and everyday life.^{[2,](#page-24-1) [3](#page-24-2)} The six types of plastics accounting for approximately 90% of the total demand include polyethylene (PE, low-density and high-density PE), polypropylene (PP), polystyrene (PS), polyvinyl 63 chloride (PVC), polyethylene terephthalate (PET), and polyurethane (PUR).^{[1,](#page-24-0) [2](#page-24-1)} The majority of plastics are made from petroleum and represent short-lived products (e.g. packaging materials), which are disposed of within one year after manufacture. For packaging plastics, it is estimated that only 28% of materials are collected for recycling/incineration, while 30-40% are land filled, and the rest (30-40%) appears to 68 escape the collection system.^{[1,](#page-24-0) [4](#page-24-3)} Most petroleum-based plastics have been considered to 69 be remarkably resistant to biological degradation.^{[5,](#page-24-4) [6](#page-24-5)} A tremendous increase in production of synthetic polymers and their persistence in the environment resulted in elevated levels 71 of pollution and serious environmental problems.^{[1,](#page-24-0) [6](#page-24-5)} Therefore, production of biodegradable plastics from renewable feedstocks represents a promising solution and has become a focus of research. Biodegradable synthetic polymers combine beneficial physical properties of polymers with biodegradability, determined by the presence of hydrolysable backbones such as 76 polyesters, polycarbonates, polyurethanes and polyamides.^{[6-8](#page-24-5)} In contrast, biodegradation of PE, PP, PS and PVC is obstructed by the lack of hydrolysable bonds in their backbones. Among synthetic polymers, aliphatic polyesters such as polylactic acid (PLA) and polycaprolactone (PCL) are generally known to be susceptible to biological

Thermobifida cellulosilytica cutinases Thc_Cut1 and Thc_Cut2 demonstrated an

126 important role of enzyme surface and hydrophobic interactions for polyester hydrolysis.^{[34](#page-26-4)} Although recent studies have identified a number of polyester degrading enzymes, the continuously growing global demand for plastics and novel polymers has also stimulated the interest in novel enzymes and biocatalytic approaches for polymer synthesis and recycling technologies. The discovery of novel polymer degrading enzymes and engineering of more active enzyme variants, as well as understanding of the molecular mechanisms of these enzymes represent the key challenges for the development of 33 biocatalytic strategies for polymer hydrolysis and synthesis.¹ In this work, we have identified over 30 active metagenomic polyesterases through enzymatic screening, and biochemically characterized MGS0156 and GEN0105, which showed high hydrolytic activity against a broad range of polyesters (PLA, PCL, PET, PBSA, and PES). The crystal structure of MGS0156 revealed an open active site with hydrophobic surface, whereas structure-based mutagenesis studies identified amino acid residues critical for enzymatic activity.

MATERIALS AND METHODS

Reagents. All chemicals and substrates used in this study were of analytical grade

unless otherwise stated. Polymeric substrates were purchased from Sigma-Aldrich (St.

144 Louis, MO, USA) except poly (D,L-lactide) PLA2 (M_w 0.2 \times 10⁴), PLA70 (M_w 7.0 \times

145 10⁴), and poly (L-lactide) PLLA40 (M_w 4.0 \times 10⁴), that were obtained from PolySciTech

146 (Akina Inc., West Lafayette, IN, USA). Commercial-grade PLA polymers (IngeoTM

147 $4032D$, and IngeoTM 6400D) were products of NatureWorks LLC (NE, USA), poly (D-

148 Lactide) PURASORBTM PD 24 of Corbion Purac (Amsterdam, The Netherlands), whereas 149 polybutylene succinate (PBS) (BionolleTM 1001MD, and BionolleTM 1020MD) and 150 polybutylene succinate-co-adipate (PBSA) (BionolleTM 3001MD, and BionolleTM 3020MD) were purchased from Showa Denko K.K., Japan. The surfactant Plysurf A210G was obtained from Dai-ichi Kogyo Seiyaku Co. (Tokyo, Japan) and used to emulsify the polymers.

 Gene cloning, protein purification, and mutagenesis. For recombinant expression, the coding sequences of selected hydrolase genes were PCR amplified and cloned into a modified pET15b (Novagen) vector containing an N-terminal 6His tag as described 157 previously.^{[35](#page-26-5)} Since full length MGS0156 (1-421 aa) showed low expression in *E. coli*, a truncated variant of this protein (75-421 aa) with the N-terminal signal peptide removed was used. Recombinant proteins were overexpressed in *Escherichia coli* BL21 (DE3) Codon-Plus strain (Stratagene) and purified to near homogeneity (>95%) using metal-161 chelate affinity chromatography on Ni-NTA Superflow $(Ni^{2+}$ -nitrilotriacetate) resin (Qiagen). Size exclusion chromatography was performed using a HiLoad 16/60 Superdex 200 column (GE Healthcare) equilibrated with 10 mM HEPES (pH 7.5), 0.25 M NaCl 164 and 1 mM TCEP [tris-(2-carboxyethyl)phosphine].^{[36](#page-26-6)} The L-lactate dehydrogenase 165 (PfLDH) from *Plasmodium falciparum*^{[37](#page-26-7)} and the D-lactate dehydrogenase (D-LDH3) from *Lactobacillus jensenii[38](#page-27-0)* (used in lactate assays) were heterologously expressed in *E. coli* and affinity purified to near homogeneity. Site-directed mutagenesis of 168 metagenomics esterases was performed using a QuickChange® kit (Stratagene) according to the manufacturer's protocol. Wild-type MGS0156 and GEN0105 were used as the templates, and mutations were verified via DNA sequencing. The selected residues were

mutated to Ala or Gly (for Ala replacements producing insoluble proteins). Mutant

proteins were overexpressed and purified in the same manner as described for the wild-

type proteins. Multiple sequence alignment was conducted by Clustal Omega v1.2.1

through EMBL-EBI server, whereas phylogenetic analysis was performed by MEGA

175 v7.0 using the neighbor-joining method.^{[39,](#page-27-1) [40](#page-27-2)}

Esterase assays with soluble substrates. Carboxylesterase activity was measured

177 spectrophotometrically as described previously.^{[35](#page-26-5)} Purified enzymes (0.05-10.0 μg)

protein/reaction) were assayed against α-naphthyl or *p*-nitrophenyl (*p*NP) esters of

different fatty acids (0.25-2.0 mM) as substrates in a reaction mixture containing 50 mM

HEPES-K buffer (pH 8.0).^{[35](#page-26-5)} Reaction mixtures (200 μl, in triplicate) were incubated at

30 °C in a 96-well plate format. Enzyme kinetics were determined by substrate saturation

curve fitting (non-linear regression) using GraphPad Prism software (version 7.0 for Mac,

GraphPad Software, CA, USA).

Polyester degradation (polyesterase) screens. Emulsified polyester substrates were

prepared in 50 mM Tris-HCl buffer (pH 8.0), containing agarose (1.5%, w/v), and plate

186 polyesterase assays were performed using $50-100 \mu$ g of purified protein/well (30 °C) as

187 described previously.^{[33,](#page-26-3) [41](#page-27-3)} The presence of polyesterase activity was inferred from the

188 formation of a clear halo around the wells with purified proteins.^{[33,](#page-26-3) [41](#page-27-3)}

Analysis of the reaction products of solid PLA depolymerization. Purified enzymes

190 (50 μg) were incubated with PLA10 powder (10-12 mg) in a reaction mixture (1 ml)

191 containing 0.4 M Tris-HCl buffer (pH 8.0) for 18 hr at 30 °C with shaking. Supernatant

fractions were collected at different time points, clarified using centrifugal filters

(MWCO 10 kDa), and the produced lactic acid was measured using lactate

194 dehydrogenase (LDH) as described previously.^{[33,](#page-26-3) [42](#page-27-4)} For the analysis of oligomeric PLA products in supernatant fractions (passed through 10 kDa filters), the flow-through aliquots (90 μl) were treated for 5 min at 95 °C with 1 M NaOH (final concentration) to convert oligomeric PLA products to lactic acid monomers before lactate measurements using both L- and D-LDHs (the data were corrected for the presence of monomeric lactic acid before the alkaline treatment). Both LDH enzymes were added to the reaction mixture in excess (total 500 μg/ml, 50/50) to maintain the reaction rate in the first order with lactate concentration. To identify the water-soluble products of PLA hydrolysis, the filtered supernatant fractions from solid PLA reactions were analysed using reverse phase 203 liquid chromatography, coupled with mass spectrometry (LC-MS). The platform 204 configuration and methodology were as described previously.^{[33](#page-26-3)}

 Protein crystallization and crystal structure determination of MGS0156. Purified MGS0156 (75-421 aa) was crystallized at room temperature using the sitting drop vapor 207 diffusion method by mixing 1 μ of the selenomethionine substituted protein (12 mg/ml) with 1 µl of crystallization solution containing 30 % (w/v) PEG 4k, 0.2 M ammonium acetate, 0.1 M sodium citrate (pH 5.6), and 1/70 chymotrypsin. Crystals were harvested using mounted cryo-loops and transfered into the cryo-protectant (Paratone-N) prior to flash-freezing in liquid nitrogen. Data collections were carried out at the beamlines 19-ID of the Structural Biology Center, Advanced Photon Source, Argonne National 213 Laboratory.^{[44](#page-27-6)} The data set was collected from a single crystal to 1.95 \AA at the wavelength 214 of 0.9794 Å and processed using the program HKL3000^{[45](#page-27-7)} (Table S1). The structure of MGS0156 was determined by the Se-methionine SAD phasing, density modification, and 216 initial model building as implemented in the PHENIX suite of programs.^{[46](#page-27-8)} The initial

 The present work is focused on the biochemical characterization of the metagenomic polyesterases GEN0105 and MGS0156, which showed high hydrolytic activity against PLA10, PCL10, and 3PET (Figure 1). Carboxyl esterase activity of these enzymes was initially identified using tributyrin-based esterase screens of the metagenomic gene libraries from an anaerobic urban waste degrading facility (GEN0105) or paper mill 244 waste degrading microbial community $(MGS0156)^{53}$ $(MGS0156)^{53}$ $(MGS0156)^{53}$ The MGS0156 gene encodes a protein comprised of 421 amino acids with a potential N-terminal signal peptide (1-75 aa), whereas the GEN0105 sequence (322 aa) appears to lack an obvious signal peptide (Figure S1). Based on sequence analysis, both GEN0105 248 and MGS0156 belong to serine dependent α/β hydrolases, but share low sequence 249 identity to each other (21.1%). Both enzymes represent metagenomic proteins as GEN0105 shares 61% sequence identity with the predicted esterase B0L3I1_9BACT from an uncultured bacterium, whereas the closest homologue of MGS0156 (DesfrDRAFT_2296 from *Desulfovibrio fructosivorans*) shows 71% sequence identity to this protein (Figure S1). Phylogenetic analysis revealed that GEN0105 is associated with esterase family IV, which also includes the cutinase-like polyesterase CLE from *Cryptococcus* sp. strain S-2 (Figure 2).^{[54,](#page-28-3) [55](#page-28-4)} In contrast, MGS0156, as well as MGS0084 and GEN0160 showed no clustering with known families of lipolytic enzymes, suggesting that these proteins represent new esterase families (Figure 2). Thus, the type II (lipase/cutinase type) polyesterases, including PLA depolymerases, exhibit broad phylogenetic diversity and are associated with esterase families I, III, IV, V as well as with new esterase families.

(Figure S2). Thus, with monoester substrates, GEN0105 and MGS0156 exhibit different

 acyl chain length preferences and salt resistances, but similar sensitivities to temperature and detergents.

 showed no polyesterase activity against PLA substrates (except for MGS0084 toward PLA2) and 3PET (Table 2). Finally, the four metagenomic esterases showed no hydrolytic activity toward poly(D-lactide), PHB and PBS. Thus, GEN0105 appears to be the most versatile polyesterase from the four tested enzymes, being able to hydrolyze a copolymer of hydroxybutyric acid and hydroxyvaleric acid (PHBV), as well as the 312 commercial polymer Ingeo™ PLA6400 from NatureWorks (Table 2). **Analysis of the reaction products of solid PLA hydrolysis.** To demonstrate hydrolytic activity of the identified metagenomic polyesterases against solid PLA substrates, purified MGS0156 and GEN0105 were incubated with solid poly(DL-lactide) (PLA10???) powder suspended in 0.4 M Tris-HCl buffer. At indicated time points (Figure 4), reaction mixture aliquots were cleared using centrifugal filters (MWCO 10 kDa), and the production of monomeric and oligomeric lactic acid products was analyzed using L- and D-lactate dehydrogenases (as described in Materials and Methods). After 6 hours of incubation at 30 °C, MGS0156 hydrolyzed approximately 80% of the solid PLA substrate producing a mixture of oligomeric and monomeric products (Figure 4). The proportion of monomeric lactic acid product increased with longer incubation times resulting in almost full (95%?) conversion of solid PLA substrate (monomeric + oligomeric products) after overnight incubation (Figure 4). GEN0105 degraded ~70% of solid PLA after overnight incubation, but was able to produce significant amounts of lactic acid within the first 30 min of incubation (Figure 4). The presence of significant amounts of oligomeric products during incubation of MGS0156 and GEN0105 with solid PLA (Figure 4) also suggests that they can catalyze both endo- and exo-esterase cleavage

- trials, with only MGS0156 (75-421 aa) producing diffracting crystals (Materials and
- Methods). The crystal structure of the seleno-methionine-substituted MGS0156 was
- 352 solved at 1.95 Å resolution (Table S1), and revealed a protomer with an α/β -hydrolase

fold comprised of a slightly twisted central β-sheet with seven parallel β-strands (-5x, -

354 1x, 2x, $(1x)$ ₃) and 19 α -helices (Figure 6A and Figure S3). The predicted catalytic

nucleophile Ser232 is positioned on a short sharp turn (the nucleophilic elbow) between

356 the β 4 strand and α 8 helix. It is located at the bottom of the MGS0156 active site, which

357 is partially covered by a ring-shaped lid domain formed by seven short α -helices (α 4,

358 α 10, α 11, α 14, α 15, α 16, and α 18) connected by flexible loops (Figure 6A).

Analysis of the MGS0156 crystal contacts using the quaternary prediction server PISA

suggested that this protein may form tetramers in solution through dimerization of dimers

(Figures 6B, C). The tetrameric state of MGS0156 is consistent with the results of size-

exclusion chromatography, which revealed a predominance for MGS0156 tetramers

(70%), as well as the presence of some octomeric (25%) and monomeric (5%) forms (151

kDa, 296 kDa, and 40 kDa; predicted Mw 39 kDa). The tightly packed MGS0156 dimer

365 is created through multiple interactions between residues located on several α -helices (α 1,

366 α 2, α 10, α 13, and α 16) and the β 1 strand (buried area 4,100 Å², surface area 24,590 Å²).

The two MGS0156 dimers are assembled into a tetramer via interactions between the

368 α 11, α 15, and α 18 helices (surface area 47,980 Å², buried area 9,400 Å²) (Figure 6C). In

the MGS0156 tetramer, the four active sites are not adjacent to each other and are

separated from the monomer interfaces with the two active site cavities open on the wide

sides of the oligomeric assembly (Figure 6C).

A structural homology search of the DALI and PDBeFold databases revealed hundreds

of structurally homologous proteins, mostly lipases and carboxylesterases with low

overall sequence similarity to MGS0156 (<20% sequence identity). The top structural

homologues include the LipA lipases from *Pseudomonas aeruginosa* (PA2862) (PDB

Leu169 (2.7 Å and 3.8 Å to Ser232, respectively) (Figure 7). The composition of the

MGS0156 catalytic triad (Ser232, His373, and Asp350) was confirmed using site-

directed mutagenesis, demonstrating that alanine replacement of these residues produced

catalytically inactive proteins (Figure 8). Like other biochemically characterized carboxyl

403 esterases, $^{23, 33, 36, 63}$ $^{23, 33, 36, 63}$ $^{23, 33, 36, 63}$ $^{23, 33, 36, 63}$ $^{23, 33, 36, 63}$ $^{23, 33, 36, 63}$ MGS0156 has a hydrophobic acyl-binding pocket formed by the side

chains of Leu169, Phe271, Leu275, Phe278, Leu299, Phe338, and Val353 (Figure 7).

The alcohol-binding pocket of the MGS0156 active site is located near the catalytic

Ser232 and is also filled mostly with hydrophobic residues, including Leu170, Val174,

Ile334, Met378, Phe380, and Ile391 (Figure 7).

Since GEN0105 failed to produce diffracting crystals, a structural model of this protein

409 was generated using the Phyre2 server^{[64](#page-29-0)} and was used as a guide to identify its catalytic

410 residues (Figure S5). The structural model of GEN0105 revealed a classical α/β hydrolase

fold for this protein, with Ser168 as the nucleophilic serine in a conserved GXSXG motif

412 (Figure S5). The other two residues of the GEN0105 catalytic triad are His292 (3.1 Å

from Ser168) and Glu262 (2.7 Å from His292). The catalytic role of these residues in

GEN0105 activity was confirmed using site-directed-mutagenesis (data not shown).

 Structure-based site-directed mutagenesis of MGS0156. To identify the residues of MGS0156 important for polyesterase activity, 30 active site residues were mutated to Ala or Gly using site-directed mutagenesis. Hydrolytic activities of purified mutant proteins 419 were compared against wild-type protein activity using assays with α -naphthyl acetate, emulsified PCL10, and solid PLA10 as substrates (Figure 8). As expected, these assays revealed a critical role of the MGS0156 catalytic triad (Ser232, His373, and Asp350) for

modes for polyesters, their active sites contain a significant number of hydrophobic

residues which play an important role in substrate hydrolysis.

In summary, enzymatic screening of purified hydrolases and carboxyl esterases from

environmental metagenomes and microbial genomes revealed a large number of enzymes

- with hydrolytic activity against various synthetic polyesters. These enzymes are adapted
- to function under different experimental conditions reflecting the corresponding
- environmental conditions of microbial communities. The biochemical and structural

characterization of novel polyesterases from environmental metagenomes advances our

- understanding of enzymatic hydrolysis of synthetic polyesters and contributes to the
- development of enzyme-based plastic recycling.
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ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website

at DOI: The Supplemental file includes Table S1-S??? and Figures S1-S???

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- 719

722 **Table 1. Kinetic parameters of purified MGS0156 and GEN0105 with soluble mono-**

- 723 **ester substrates.** Results are means ± SD from at least two independent determinations.
- 724

⁷²⁰

726 **Table 2. Hydrolytic activity of purified metagenomic polyesterases against different**

727 **polyesters.** The presence of polyesterase activity was analyzed using agarose-based

728 assays with the indicated emulsified polyesters.

729

730 ^a ET, ester terminated.

731 b PLGA,...

Figure Legends

Figure 1. Polyesterase activity of purified metagenomic carboxylesterases. Agarose-

based screen of purified proteins for the presence of polyesterase activity against

- emulsified PCL10. The presence of polyesterase activity is indicated by the formation of
- 736 a clear zone around the wells containing purified proteins $(50 \mu g)$ of protein/well, 72 hours
- at 30 °C). Agarose (1.5%) plates contained 0.2% emulsified PCL10 in 50 mM Tris-HCl

(pH 8.0) buffer. PlaM4, a previously characterized polyester hydrolase (ref?), and porcine

liver esterase (PLE) were used as positive and negative controls, respectively.

Figure 2. Phylogenetic analysis of metagenomic polyesterases. Phylogenetic tree of

741 polyesterases showing their relatedness to known esterase families $(I - VIII)$, based on

Arpigny and Jaeger, 1999).^{[65](#page-29-1)} The phylogenetic tree was generated by the MEGA7

743 software package^{[66](#page-29-2)} using the neighbor-joining method. The numbers on the nodes

correspond to the percent recovery from 1,000 bootstrap resamplings. Evolutionary

distances were calculated using the Poisson correction method^{[67](#page-29-3)}, and are in the units of

the number of amino acid substitutions per site. GenBank accession numbers or Uniprot

IDs are shown in parentheses.

Figure 3. Esterase activity of metagenomic polyesterases against soluble monoester

substrates of varying acyl chain length. Reaction mixtures contained 0.5 mM *p*-

nitrophenyl (*p*NP)- or 1.5 mM α-naphthyl (αN) esters of varying chain lengths, and 0.01

751 μg of purified MGS0156 (A) or GEN0105 (B). The white bars show activity against $α-$

naphthyl esters, whereas the gray bars represent activity against *p*NP- substrates.

Figure 4. Production of lactic acid during incubation of solid PLA10 with purified

metagenomic polyesterases: wild-type MGS0156 (A), GEN0105 (B) and MGS0156

L169A (C). Monomeric and oligomeric lactic acid products were measured using D- and

757 L-lactate dehydrogenases as described in Materials and Methods. Results are means \pm SD

- from at least two independent determinations.
- **Figure 5.** LC-MS analysis of reaction products for solid PLA hydrolysis by purified

MGS0156 and GEN0105. Reaction mixtures (1.0 ml) contained 12 mg of solid PLA10

and 50 µg of purified enzyme in 0.4 M Tris-HCl (pH 8.0). Samples were collected after

O/N incubation at 30 °C, filtered by centrifugation and analysed by LC-MS as described

in Materials and Methods. Each peak is labelled with a number representing the

764 oligomeric state of the polyester species. Results are means \pm SD from at least two

independent determinations.

Figure 6. Crystal structure of MGS0156. (A) Overall fold of the MGS0156 protomer

shown in three views related by a 90° rotation. The protein core β-sheet is shown in cyan

768 with α-helices colored in grey, and the lid domain in magenta. The position of the active

site is indicated by the side chain of the catalytic Ser232. (B) Two views of the MGS0156

dimer related by a 90° rotation. The two protomers are colored in cyan and magenta. (C)

Two surface presentation of the protein tetramer shown in two views related by 90°

rotation. The protomers are shown in different colors, and the active site openings are

indicated by arrows.

Figure 7. Close-up view of the MGS0156 active site. The protein ribbon is colored in

gray with amino acid side chains shown as sticks and carbon atoms colored in green.

- **Figure 8.** Mutational analysis of MGS0156: hydrolytic activity of purified mutant
- proteins against mono- and polyester substrates. (A), Agarose-based screen showing
- polyesterase activity against emulsified PCL10. (B), Monoesterase activity against α-
- 781 naphthyl acetate (2 mM, 0.02 µg protein/assay, white bars) and polyesterase activity
- against solid PLA10 measured using LDH assay (??? μg protein/assay, gray bars).