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Erwan Gicquel, Céline Martin, Laurent Heux, Bruno Jean, Julien Bras. Adsorption versus grafting of poly(N-Isopropylacrylamide) in aqueous conditions on the surface of cellulose nanocrystals. Carbohydrate Polymers, 2019, 210, pp.100-109. 10.1016/j.carbpol.2019.01.022 . hal-02350551

HAL Id: hal-02350551 https://hal.science/hal-02350551

Submitted on 21 Oct 2021

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ABSTRACT

This study proposes a grafting strategy of thermo-sensitive amine-terminated oligomers of 14 Poly(N-Isopropylacrylamide) (Pnipam₂₅₀₀) onto the surface of Cellulose Nanocrystals (CNCs). 15 Pnipam₂₅₀₀ grafting in aqueous condition via peptidic coupling was explored to obtain CNC 16 17 hydrogel with thermo-reversible aggregation and new colloidal properties. A discussion 18 between grafting vs adsorption /presence of the Pnipam₂₅₀₀ is proposed. A large range of experimental techniques was used to investigate the properties of the CNC decorated with 19 polymer and to confirm the grafting. Elemental analysis, infrared spectroscopy, solid state 20 21 NMR and conductometric titration of washed CNC-g-Pnipam₂₅₀₀ demonstrate that at least a 22 part of Pnipam₂₅₀₀ was covalently bonded with CNC. A thermo-reversible aggregation was observed by Dynamic Light Scattering experiments and thermo-sensitive behavior is 23 24 observed by rheological experiments. For grafted polymer the viscosity increases from 0.008 25 to 40 Pa·s at low shear rate when the LCST is reached, whereas, in the case of polymer adsorption, the viscosity increases only from 0.002 to 0.3 Pa·s. This thermo-reversible, bio-26 based and biocompatible system paves the way for the design of injectable hydrogel and 27 28 biomedical nanocomposite materials.

Keywords: Cellulose nanocrystals; Poly(N-Isopropylacrylamide); surface functionalization;
 thermo-sensitive

31 **1. Introduction**

32 The increasing interest to use biodegradable, renewable, non-toxic and sustainable material 33 has led to the increasing use of nanoscale cellulose in advanced materials. Among such nanoscale cellulose, cellulose nanocrystals (CNCs) are obtained by acid hydrolysis and are 34 35 rigid rod-shaped particles with dimensions ranging from 3 to 30 nm in cross-section and from 100 to 500 nm in length. Known since the 50's (Rånby, 1951), they exhibit several 36 interesting properties including excellent mechanical strength, high aspect ratio, low density 37 and an ability to self-organize into liquid crystalline phases (Habibi, 2014; Klemm et al., 2011; 38 39 Moon et al., 2011; Revol et al., 1992). Besides, this treatment also introduces sulfate ester 40 groups on the surface of CNC which induces a colloidal stability in aqueous media. Owing to 41 their specific physical properties and high aspect ratio (depending on the source), CNC are 42 used in several domains (Oksman et al., 2014) like environmentally friendly nanocomposites (Dufresne, 2013; Eichhorn et al., 2010; Siqueira et al., 2010a), biomedical applications 43 44 (Camarero Espinosa et al., 2016; Domingues et al., 2014; Endes et al., 2016; Jorfi and Foster, 45 2015; Klemm et al., 2011; Lin and Dufresne, 2014; Naseri et al., 2016), coatings and printed 46 electronics (Gicquel et al., 2017; Hoeng et al., 2016; Li et al., 2013), hydrogels and aerogels 47 (De France et al., 2016, 2017; Eichhorn et al., 2010). Furthermore, CNCs are not only 48 produced at laboratory scale but are now industrially available in high quantities (Chauve 49 and Bras, 2014).

50 New properties can even be implemented to CNCs by modifying the numerous hydroxyl 51 groups at their surface and several reviews focus on the chemical surface modification of 52 CNC with molecule or polymers (Eyley and Thielemans, 2014; Habibi, 2014; Tang et al., 53 2017). Two main approaches can be used to covalently attach polymer chains on the surface of CNCs, the "grafting-from" or the "grafting-to" methods. The "grafting-from" allows 54 highest grafting polymers length chains on CNCs surfaces and highest grafted polymer 55 56 diversity. For the grafting from approach, the surface is first functionalized with an initiator 57 monolayer. Then, the polymerization is carried out on the surface-initiated, resulting on the growth of the polymer brush directly from the surface (Alosmanov et al., 2017; Dong and 58 59 Roman, 2007; Follain et al., 2010; Kan et al., 2013; Wu et al., 2015; Zoppe et al., 2010). However, the "grafting-from" method induces the presence of free homopolymers and 60 61 generally uses toxic solvents or reagents.

In the "grafting-onto" strategy, a greener process can be tested. Presynthesized and well characterized polymer chains are reacted with cellulose hydroxyl groups or surface carboxylate groups generated by TEMPO oxidation. Nonetheless, steric hindrance can prevent optimal grafting and limit the grafts surface density. As examples of the "graftingonto" process, Araki et al. and Kloser et al. respectively grafted epoxy-terminated polyethylene glycol (PEG) and polyethylene oxide (PEO) on CNC to create sterically stabilized system (Araki et al., 2001; Kloser and Gray, 2010; Mangalam et al., 2009).

69 In this study, the "grafting-onto" strategy is used. The aim is to create a covalent amide bond 70 between a primary amine-terminated thermo-sensitive polymer and carboxylated CNC as 71 shown in **Figure 1**. In the literature, this approach has been successfully applied by coauthors 72 to the grafting of copolymers of ethylene oxide and propylene oxide, referred to as 73 Jeffamine polyetheramines (Huntsman Corporation) on TEMPO oxidized CNC (Azzam et al., 74 2010, 2016). First, CNC are oxidized and then reacted with amine-terminated polymers using 75 a carbodiimide as amidation agent. Such reactions can be performed in an organic solvent or 76 in aqueous media (Azzam et al., 2010, 2016) but requires long reaction times and washing 77 steps. As reported in the literature, the realization of stimuli-sensitive CNC complex 78 represents an interesting way to design smart hydrogel and materials, in particular in the 79 field biomedical applications (Jorfi and Foster, 2015; Naseri et al., 2016).

80 In this work, we have focused on the peptidic grafting of one of the most common thermo-81 sensitive polymer Poly(N-Isopropylacrylamide) also called PNIPAM. This polymer exhibits a 82 lower critical solution temperature (LCST) at 32°C. Below the LCST, the polymer chains are in 83 good solvent conditions and adopt a swollen coil conformation with highly hydrated chains. 84 Above the LCST, the polymer becomes hydrophobic and turns into a collapsed globule (Lai et 85 al., 2013; Pelton, 2010; Plunkett et al., 2006; Schild, 1992). PNIPAM shows a LCST close to 86 physiological temperature whatever almost irrespective of its molecular weight (Okahata et 87 al., 1986), and has a huge potential in biomedical applications (Alosmanov et al., 2017; Chen 88 et al., 2014; Hebeish et al., 2014). Its bio-compatibility and non-toxicity were discussed in a 89 recent review by Lanzalaco et al. (Lanzalaco and Armelin, 2017) and detailed in vivo 90 experiments with cartilage formation (Cho et al., 2004), ocular integration (Cao et al., 2007; 91 Lima et al., 2016), subcutaneous tissues (Ohya et al., 2004), cell sheets (Lin et al., 2012) or 92 drug release (Das et al., 2015).

In the literature, the "grafting-from" method has mainly been used to graft this thermosensitive chains (Alosmanov et al., 2017; Hemraz et al., 2014; Zoppe et al., 2010, 2011; Zubik et al., 2017). Zoppe and co-workers (Zoppe et al., 2010, 2011) grafted PNIPAM brushes from CNC via SET-LRP, in which they control exactly the density and the length of brushes depending on the ratio of initiator and molecular ratio of NiPAAm monomer. However, such strategy needs toxic solvent and to the best of our knowledge, no previous works propose "grafting-onto" of PNIPAM on CNCs.

Meanwhile, more and more studies prove the possibility of high adsorption of polyelectrolyte or oligomers on the surface of CNC using various techniques like QCM or SPR (Reid et al, 2017; Benselfelt et al, 2016). In some case, it seems even possible to have irreversible adsorption and no desorption is observed in spite of multiple washing steps.

104 In this paper, we compare the surface functionalization of CNCs with amine-terminated 105 thermosensitive PNIPAM chains using either simple adsorption or peptidic coupling. The new 106 systems are then characterized by conductometric titration, infrared spectroscopy, solid 107 state NMR and elemental analysis. Dynamic light scattering (DLS) and transmission electron 108 microscopy (TEM) are used to describe the structural modification of the nanoparticles.



Figure 1: Schematic representation of chemical modification on CNCs presents in this work

111 **2. Materials and Methods**

112 2.1. Materials

113 Colloidal suspension of ~12 wt.% Commercial Cellulose Nanocrystals (CNCs) was purchased 114 from UMaine process Development Center (University of Maine, USA). They have been 115 produced from wood pulp using sulfuric acid hydrolysis process and have been never freezedried. The dry matter was measured using a moisture analyzer (Ohaus® MB-35, Sigma-116 117 Aldrich, USA). Poly(N-Isopropylacrylamide) with amine terminated was purchased from Sigma-Aldrich (USA). The molecular weight is about 2500 g·mol⁻¹, and the LCST is close to 118 119 32°C. For the TEMPO oxidation, following chemicals are purchased from Sigma-Aldrich: 120 2,2,6,6-Tetramethyl-1-piperidinyloxy (TEMPO, 2564-83-2), Sodium hypochlorite (NaClO, 12 121 wt.%, 7681-52-9) and Sodium bromide (NaBr, 7647-15-6). For the peptidic grafting, 122 chemicals are purchased from Sigma-Aldrich: N-(3-Dimethylaminopropyl)-N'-123 ethylcarbodiimide hydrochloride (EDC, 25952-53-8) and N-Hydroxysuccinimide (NHS, 6066-124 82-6). Other chemicals were purchased from Sigma-Aldrich. Distilled water was used for all 125 experiments.

126 **2.2.** Carboxylation of cellulose nanocrystals by TEMPO oxidation

127 CNCs were subjected to TEMPO-mediation oxidation using a previously reported procedure (Habibi et al., 2006). 11 g of CNC were dispersed in 730mL of distilled water. Then, this 128 129 suspension was exposed to an ultrasonic dispersive energy of 5 kJ per gram of dry CNC using 130 a 250 Watt sonication probe (Sonifier S-250A, Branson, USA) at 50% of maximum energy 131 during 15 minutes in this case. To prevent burning of CNC during the dispersion, the 132 suspension was placed in a crystallizer full of ice. TEMPO (323 mg, 2.07 mmol) and NaBr 133 (3.564 mg, 34.61 mmol) were dissolved in 250mL of deionized water by magnetic stirring 134 and slowly added to the CNC suspension. Then, 66 g (0.12M) of NaClO suspension was added 135 dropwise to the suspension to start the oxidation. The mixture was stirred for 3 h at room 136 temperature. The pH condition of the suspension was maintained between 10 and 10.5 by 137 addition of sodium hydroxide solution (NaOH) at 0.5M during the reaction. Reaction was 138 then quenched by the addition of ethanol (40 ml), the suspension color turned from yellow 139 to white. The resulting CNC were washed with hydrochloric acid (HCl) at 0.5M, to decrease 140 the pH to 1-2, at least three times by centrifugation (10 000 rpm, 30 min). After the last centrifugation, the oxidized CNC were re-dispersed in distilled water using the minimum volume to recover all CNC. This suspension was dialyzed against distilled water at least one week until a neutral pH was obtained (membrane 6-8 kDa). TEMPO CNCs were stored in the fridge at constant neutral pH to allow the CNC to be in their carboxylate form.

145 **2.3.** Polymer grafting by peptidic coupling in water

146 Grafting of amine-terminated PNIPAM₂₅₀₀ on TEMPO CNCs was performed by peptidic 147 coupling in water according to protocol of Bulpitt and Aeschlimann (Bulpitt and 148 Aeschlimann, 1999). The reaction was performed at ambient temperature, and started with 149 a ~1 wt.% TEMPO CNCs suspension, N_{COOH} is the mole of carboxyl unit measured by 150 conductometry. This suspension was exposed to an ultrasonic dispersive energy using a 151 250 Watt sonication probe (Sonifier S-250A, Branson, USA) at 50% of maximum energy 152 during 80 seconds per dry grams of CNC to remove any aggregates. The pH was adjusted to 153 4.5 (CNC-COOH configuration) with 0.5M HCl. Then, 2mL of EDC solution was added to the 154 suspension (N_{EDC}: mol per carboxyl group) and stirred during 30 min. Then, 2mL of NHS 155 solution was added (N_{NHS}: mol per carboxyl group) and stirred during 30 min. The pH was 156 then adjusted to 7.5-8.0 before the addition of 10mL of an aqueous suspension containing 157 PNIPAM-NH₂ (N_P : mol per carboxyl group). In accordance with the protocol of Azzam et al. (Azzam et al., 2010), all results were obtained with $N_{EDC} = N_{NHS} = N_P = 4 \times N_{COOH}$. The reaction 158 159 lasted 48 h at room temperature under stirring while maintaining the pH at 7.5-8.0 by 160 addition of 0.5M NaOH or 0.5M HCl. The reaction was quenched by the decreasing of the pH 161 at 1-2 by addition of 0.5M HCl and the resulting suspension was dialyzed against distilled 162 water to remove excess of reagents at least seven days with exchange of water regularly 163 until neutral pH is obtained. PNIPAM₂₅₀₀ grafted CNC will be referred to as CNC-g-Pnipam₂₅₀₀.

164 2.4. Conductometric and pH-metric titrations: Carboxyl content

The carboxyl content of oxidized CNC and grafted CNC were determined by conductometric titration coupled with pH-metric titration. About 15 mg of CNC was suspended in 200 mL of distilled water and treated by ultrasonic bath for 5min to remove gas and increase the dispersion. The pH of the suspension is adjusted to acidic condition (pH = 3) with 0.1 M HCl to replace the sodium counter-ions by protons. The suspension was then titrated with 0.2 mL increment of 0.01 M NaOH. The titration curves exhibit two turns: the first one 171 corresponds to the NaOH neutralization of the strong acid due to the excess of HCl, and then172 follows by the neutralization of the weak acid related to the carboxyl content.

Degree of Oxidation (DO) of oxidized CNC is the number of primary hydroxyl groups that have been oxidized into carboxyl groups per AnhydroGlucose Unit (AGU). It was calculated according to the following **Equation 1** from Da Silva Perez et al. (Da Silva Perez et al., 2003):

176
$$D0 = \frac{162 \times C \times (V_{eq2} - V_{eq1})}{m - 36 \times C \times (V_{eq2} - V_{eq1})}$$
(1)

177 Where 162 (g·mol⁻¹) corresponds to the molar mass of an AGU, *C* (mol·L⁻¹) is the exact 178 concentration of the NaOH solution, *m* (g) is the weight of the oven-dried sample, 36 in 179 g·mol⁻¹ corresponds to the difference between the molecular weight of an AGU (162 g·mol⁻¹) 180 and that of the sodium salt of a glucuronic acid moiety (198 g·mol⁻¹), and V_{eq1} and V_{eq2} are 181 the equivalent volumes of NaOH on the bends during the titration. To minimize errors, 182 titrations were reproduced at least three times and an average value was used for the 183 discussion.

184 **Equation 2** gives the calculation of the oxidation charge concentration (X_{ox}), in μ mol·g⁻¹:

185
$$X_{ox} = \frac{C \times (V_{eq2} - V_{eq1})}{m}$$
 (2)

186 Where *C* (mol·L⁻¹), *m* (g), V_{eq1} and V_{eq2} are the same as previously.

After grafting by peptidic coupling, a part of the carboxyl groups is consumed in the reaction with the amine-terminated polymer. A new oxidation rate X_{res} (in µmol·g⁻¹), corresponded to the residual carboxyl content, was calculated after conductometric titration as given by the following **Equation 3**:

191
$$X_{res} = \frac{C \times (V_{eq4} - V_{eq3})}{w}$$
 (3)

Where *C* (mol·L⁻¹) is the exact concentration of the NaOH solution, *w* (g) is the pure dry CNC weight in total samples weight of the oven-dried sample and V_{eq3} and V_{eq4} are the equivalent volumes of NaOH on the post-grafting titration. Finally, the percentage of carboxyl groups which has been substituted (%COOH_{grafted}) was calculated according to the equation **Equation 4**, and represents the peptidic coupling yield:

197
$$\%COOH_{grafted} = \frac{X_{ox} - X_{res}}{X_{ox}} \times 100$$
(4)

198 **2.5.** Fourier transform infra-red spectroscopy (FTIR)

199 Infrared spectra of oxidized CNC and grafted CNC were performed using a Perkin-Elmer 200 spectrum 65 (PerkinElmer, USA). Prior to avoid the superposition of the carbonyl band with 201 the one of the water, CNC suspensions were acidified to pH 3. Samples were dried during 2 h 202 in an oven at 80°C and reduced in powder form prior to analysis. KBr pellets containing 1 203 wt.% solid cellulose sample were prepared. At least spectra of two samples (to check the 204 reproducibility) per type of CNC were recorded in transmission mode with 16 scans in the 205 400-4000 cm⁻¹ wavenumber range.

206 **2.6.** Elemental analysis (EA)

Elemental analysis was carried out by the Analysis science institute of the "centre national de la recherche scientifique", Lyon, France. The Carbon, Hydrogen, Oxygen, Sulfur and Nitrogen contents for CNC, TEMPO CNC, CNC-g-Pnipam₂₅₀₀ and MwCNC-g-Pnipam₂₅₀₀ were measured independently. The obtained results from elemental analysis were used to determine the Degree of Oxidation after TEMPO oxidation (DO_{EA}) (**Equation 5**):

212
$$DO_{EA} = \frac{72.07 - \%C1 \times 162.14}{\%C1 \times 35.93}$$
(5)

Where %C1 corresponds to the relative carbon content in oxidized sample and 72.07, 162.14, 35.93 are respectively the molecular weight of carbon in AGU, molecular weight of an AGU, the difference between the molecular weight of an AGU and an oxidized AGU with sodium salt.

217 2.7. Solid-State NMR

NMR experiments were performed with a Bruker Avance DSX 400 MHz spectrometer operating at 100.6 MHz for ¹³C, using the combination of cross-polarization, high-power proton decoupling and magic angle spinning (CP/MAS) methods. The spinning speed was set at 12 kHz. The ¹H radio frequency field strength was set to give a 90° pulse duration at 2.5µs. The ¹³C radio frequency field strength was obtained by matching the Hartman-Hahn conditions at 60 kHz. Recording at least 2000 transients with contact time and recycle delay, respectively, of 2 ms and 2 s represented standard conditions. The acquisition time was set at 30 ms and the sweep width at 29400 Hz. The position and width of the lines were maintained constant throughout a series of samples. The area corresponding to the integration of the C₁ signal was used as an internal standard and set to one.

228 **2.8.** Transmission Electron Microscopy (TEM)

Drops of about 0.001 wt.% of CNC suspension were deposited onto glow-discharged carboncoated TEM grids. After 2 minutes, the liquid in excess was absorbed with filter paper, and prior to drying, a drop of Urany-Less (Delta Microscopies, France) was deposited on the specimen. Then, after 2 minutes, the solution in excess was adsorbed and the grid was dried under room temperature. The sampling was observed using a Philips CM200 (FEI, USA) operating at 200 kV.

This protocol was adapted to account for the LCST of the grafted thermo-sensitive polymer (~32°C). In a first case, TEM specimens were prepared at room temperature. In a second case, TEM specimens were prepared with CNC-g-Pnipam₂₅₀₀ suspension and Urany-Less solution both preheated at 50°C.

239 **2.9. Dynamic light scattering (DLS)**

240 DLS measurements were performed on Malvern Nano ZS instrument (Malvern instruments, United Kingdom). Samples were diluted in deionized water at 10⁻² wt.% and the conductivity 241 242 was adjusted to 500 µS·cm⁻¹ by addition of NaCl solution. All measurements were made at a 243 well-controlled (±0.05°C) temperature at a backscattering detection angle of 173°. Non-244 negative least squares analysis (NNLS) was performed to achieve the intensity size 245 distribution (corresponding to hydrodynamic diameter z*) from the analysis of the 246 correlation function on the Malvern DTS software. For each samples, the final data in this 247 paper represent an average of at least 3 acquisitions with 10 measurements.

248 2.10. Atomic Forces Microscopy (AFM)

249 CNCs were imaged using AFM (Dimension icon[®], Bruker, USA). All suspensions were 250 previously diluted to 10^{-4} wt.% and a drop of 0.2 mL was deposited onto a freshly cleaved 251 mica plate. Samples were dried overnight at room conditions. The samples were 252 characterized in tapping mode using a silica coated cantilever (OTESPA® 300 kHz - 42 N/m, 253 Bruker, USA). Scans of $10x10 \,\mu\text{m}^2$ and $3.3x3.3 \,\mu\text{m}^2$ were performed to analyze the 254 dimensions of CNC. At least 4 images per sample were obtained. In order to extract the 255 length and height dimensions, measurements of about 200 particles were performed by 256 using the ImageJ software to obtain a representative average size.

258 **3. Results and Discussion**

259 3.1. Grafting of amine terminated Pnipam₂₅₀₀ onto TEMPO CNCs

Aqueous commercial CNC suspensions were previously carboxylated using TEMPO oxidation process. By conductometric titration (**Figure S1a – Support information**) and **Equation 1**, a degree of surface oxidation DO of 0.25 (mol/mol of anhydroglucose unit) and an oxidation rate X_{ox} of 1450 (µmol·g⁻¹) were obtained.

Aqueous TEMPO CNCs were then grafted with amine terminated Pnipam₂₅₀₀ via peptidic coupling. **Figure S1b (Support information)** reports conductometric titration. After calculation with **Equation 2** and **Equation 3**, the residual carboxyl content (X_{res}) is 1010 (µmol·g⁻¹) and the percentage of carboxyl groups which has been substituted by amine groups (%COOH_{grafted}) is 30 %.

Nevertheless, these results are indirect proof of the grafting and would be similar in case of adsorption. FTIR spectroscopy and Elemental Analysis were performed to confirm the presence of the polymer chains onto the CNC. **Figure 2** shows FTIR spectra of (**Figure 2a**) regular CNC, (**Figure 2b**) TEMPO CNCs, (**Figure 2c**) TEMPO CNCs mixed with Pnipam₂₅₀₀ and (**Figure 2d**) CNC-g-Pnipam₂₅₀₀. All samples have been extensively washed before any characterization using dialysis.



Figure 2: 1. Infrared spectra of a) sulfated commercial CNCs, b) TEMPO CNCs c) TEMPO CNCs mixed
 with Pnipam₂₅₀₀ and d) Pnipam₂₅₀₀ grafted onto CNCs: CNC-g-Pnipam₂₅₀₀. Each spectrum is normalized
 at 1110 cm⁻¹. 2. Infrared Spectra zoom on characteristic peaks.

279 Clear changes occur in each steps of the chemical modification. By comparing to the 280 commercial CNCs, the spectra of the TEMPO CNCs exhibits a high absorption band at 281 1740 cm⁻¹. This peak is characteristic to the acidic form of the carboxylic acid function 282 (COOH), which validated the oxidation of CNCs. In the case of a peptidic grafting, peaks 283 characteristic of the amide bonding appear in two absorption bands. Unfortunately, in our 284 case such amide bonds are also present in the polymer and cannot prove the grafting but 285 mainly the presence or not of the polymer. The first band at 1650 cm⁻¹ can be attributed to 286 the amide bond (amide I absorption band) but also to the residual water adsorbs on the 287 cellulose (or polymer). Furthermore, at 1550 cm⁻¹, another absorption peak characteristic of 288 the N-H bond exists (called amide II band). On the Figure 2c, referring to Pnipam₂₅₀₀ only 289 mixed with TEMPO CNCs without coupling agent and following similar washing steps, the 290 two characteristic peaks of amine are present (1650 and 1550 cm⁻¹). They prove the 291 presence of Pnipam₂₅₀₀ in the suspension of CNCs even without grafting and after the 292 washing steps. This result was surprising and we have first checked the efficiency of our 293 washing procedure. By dialysis of pure Pnipam₂₅₀₀ during 7 days, we have checked that most 294 of the polymer (ab. 80-85%) pass through the membrane and is eliminated during the 295 dialysis. The 15-20% still present seems to be non-solubilized / aggregated polymer or 296 impurities nanoparticles (like catalyst) present in this commercial grades, as proved by some 297 DLS measurement showing some aggregates or impurities of about 200nm dimensions. So as 298 most of the Pnipam₂₅₀₀ is eliminated, the **Figure 2c** confirms the presence of oligomer in the 299 suspension. We can suppose this due to the aggregate and to the physically adsorbed 300 oligomer onto the CNC surface. This adsorption might be due to the hydrogen bonds and has 301 been investigated more in details in recent study (Gicquel, 2017).

However, the presence of a small peak at 1740 cm⁻¹ (e.g. COOH characteristic pic) indicates that still some carboxylic ends are available after adsorption and washing. In the case of the grafted sample (**Figure 2d**), the two characteristic peaks of the amine bond are present (1650 and 1550 cm⁻¹). Even if it is not quantitative proof, the intensities of these peaks are largely superior to those one of Pnipam₂₅₀₀ adsorbed on CNCs. Besides, as previously said PNIPAM-NH₂ contains N-H bonds in the repeating NIPAM monomer. The molecular weight 308 ~2500 g·mol⁻¹ corresponds to ~22 time of monomer (~22 amine groups) and one amine on 309 the termination. So, with a superior intensity compared to Figure 2c, more Pnipam₂₅₀₀ are 310 present on the CNCs' surface which might be due to a different polymer configuration on the surface. In this case, the grafting efficiency is also revealed thanks to the disappearance of 311 the 1740 cm⁻¹ peak which probably reveals the consumption of CNC's carboxyl groups in the 312 313 reaction with the amine-terminated polymers. This disappearance might be due also to 314 overlapping with peak at 1650cm⁻¹. Probably one part of Pnipam₂₅₀₀ is adsorbed and one 315 part is grafted in this case but it is difficult to separate the amount of grafted and adsorbed 316 polymer on CNCs.

So this FTIR analyses proves the presence of Pnipam₂₅₀₀ into oxidized CNCs suspension. Such oligomer stays in the suspension in spite of washing steps but also that when grafting is performed a higher quantity seems present and all carboxylic group disappears.

320





322

Figure 3: ${}^{13}C$ Solid-state NMR spectra of A. TEMPO CNCs and B. CNC-g-Pnipam₂₅₀. Stars (*) correspond to the spinning side band of Pnipam₂₅₀₀ at 10 kHz (results not shown). Insert figure shows chemical structure related to C_1 - C_6 of cellulose.

326 Figure 3 shows the ¹³C solid-state NMR of TEMPO CNCs and CNC-g-Pnipam₂₅₀₀. In the case of 327 TEMPO CNCs (Figure 3a), carbon contribution of the cellulose were clearly identified (Atalla 328 and VanderHart, 1999; Montanari et al., 2005). The region between 60 and 70 ppm 329 correspond to the C_6 carbon of the AGU, and between 70 and 80 ppm to the carbon contribution of the C₂, C₃ and C₅. C₄ contribution is the region between 83 and 88 ppm. The 330 331 C_1 carbon appears at 105 ppm. The area corresponding to the integration of the C_1 signal 332 was used as an internal standard and set to one. The contribution of the carboxyl group is 333 located at 174 ppm (Montanari et al., 2005). The Figure 3b represents the NMR spectra after 334 the grafting of Pnipam₂₅₀₀ on CNCs (after dialysis washing steps). On this spectrum, the 335 contribution of the carbon from the polymer is very high related to the internal standard on 336 C_1 (from 20 to 36 times the integration of the C_1 signal). The peptidic grafting creates a new 337 link between carbon and nitrogen (i.e. a new amide). As already said, this link is also present 338 inside the NIPAM monomer of the polymer chain, so it is difficult to separate the 339 contribution of the carbon amide occurring after the grafting from the carbon amide in 340 polymer contribution. The disappearance of the peak at 174 ppm (related to COOH) replaced 341 by a strong peak at 176 ppm (related to -CONH-) could be due to the grafting but also to 342 solid "dilution" due to the presence of Pnipam₂₅₀₀ and high amount of amide bounds. The 343 Figure 3b reveals indeed the presence in huge amount of PNIPAM on the CNCs surface after 344 several washing steps. These values are in accordance with a presence of Pnipam₂₅₀₀ on TEMPO CNCs surface, but cannot confirm if it is grafted or adsorbed. A comparison with only
mixed Pnipam₂₅₀₀ would not help due to this dilution but could give us more quantitative
information. For such quantitative data, we have preferred using elemental analysis.

348 **3.2.** Quantitative analysis of Pnipam₂₅₀₀ onto CNCs

Further evidence of the grafting of Pnipam₂₅₀₀ from CNCs was supported by the elemental
analysis of extensively washed CNC and used to quantify the amount of polymer present on
the surface. Table 1 shows the experimental atomic composition (C, H, N, O and S) of CNCs,
TEMPO CNCs, CNC-g-Pnipam₂₅₀₀ and CNCs mixed with Pnipam₂₅₀₀.

Table 1: Atomic composition determined by Elemental Analysis (standard deviation is considered to be related to equipment at 0.1 % for each value).

	Experimental values					Corrected values	
	% C	% H	% N	% 0	% S	% C	%0
CNCs	40.2	6.0	< 0.1	49.7	0.9	44.44	49.37
TEMPO CNCs	37.0	5.9	< 0.1	52.2	0.8	40.91	51.80
CNC-g-Pnipam ₂₅₀₀	53.8	8.9	8.9	24.3	0.3	59.50	27.11
TEMPO CNCs + Pnipam ₂₅₀₀	53.9	8.8	9.1	24.2	0.2	59.69	24.04

355 In the case of pure cellulose, theoretical values of the weight fraction of carbon are 44.44% 356 and 49.37% for oxygen, and theoretical ratio of oxygen-to-carbon is close to 1.11. Therefore, 357 experimental value presents a ratio about 1.24 for CNC. It is well known that the difference 358 between the theoretical and the experimental values in the case of the carbon content is 359 probably due to sulfate content, the impurities present in the sample and experimental 360 error. To incorporate this difference, the experimental values reported in Table 1 are 361 corrected with the product between the experimental value obtained for a given material 362 and the ratio of the theoretical to experimental value for non-grafted nanoparticles. This 363 strategy has already been used to determine the degree of substitution (Missoum et al., 364 2012; Siqueira et al., 2010b).

365 In the case of TEMPO CNCs, the DO_{EA} calculated from the **Equation 5** gives an oxidation rate 366 of about 0.38 which is close and in the same range than conductometry titration value 367 (i.e. DO = 0.25) if we consider the standard deviation of E.A. From these results, it is possible 368 to estimate the surface density of the carboxyl content and to calculate the maximum 369 amount possible of polymers which can be grafted. After a TEMPO oxidation, carboxylic 370 groups are mainly on the surface of CNCs (Isogai et al., 2011). With a DO of about 0.25 and 371 assuming that the surface of an AGU is 1 nm², it corresponds to a density ~25 COOH every 100 nm², assuming that most of oxidation occurs at the surface of CNC. CNC dimensions 372 373 a x b x c are 150 x 10 x 10 nm³ (Table 2). As the surface of CNC is 6000 nm², 1500 COOH ends 374 are available on the surface. The maximum grafting molecule will be with 1500 polymer 375 chains per CNC. Referring to the conductometric titration, ~30 % carboxyl groups were 376 substituted by amine groups. It corresponds to ~450 polymer chains grafted on the surface 377 of CNCs.

The presence of a high amount of nitrogen content in the CNC-g-Pnipam₂₅₀₀, extensively washed confirms that Pnipam₂₅₀₀ is still present after grafting on TEMPO CNCs. This value is equivalent to the quantity of Pnipam₂₅₀₀ of 5 wt.% using ratio of %C/%N with following **Equation 6** and **7**.

382
$$%C = \frac{\left[(1 - \%Pnipam_{2500}) \times M_C \times 6\right] + \left[\%Pnipam_{2500} \times (M_C \times 134)\right]}{M_{tot}}$$
(6)

$$\%N = \frac{\%Pnipam_{2500} \times M_N \times 23}{M_{tot}}$$
(7)

Where $(1 - \% Pnipam_{2500})$, M_C, 6, $\% Pnipam_{2500}$, 134, M_N, 23 and M_{tot} are respectively the CNC mass, carbon molecular weight, number of carbon in AGU, mass of Pnipam_{2500}, number of carbon in Pnipam_{2500}, Nitrogen molecular weight, number of nitrogen in Pnipam_{2500} and total mass. To evaluate the number of CNCs in a suspension, the density of cellulose (1.59) and dimension of CNC (a x b x c = 150 x 10 x 10 nm³) were used.

Based on the presence of ~4 E^{16} CNCs in 0.95 g of suspension (1g – 5% of Pnipam₂₅₀₀) and ~1200 E^{16} Pnipam₂₅₀₀ chains in 0.05 g of suspension, this value of 5 wt.% means ~300 polymer chains on the surface of TEMPO CNCs. This value shows that all the COOH have not been grafted. But it is difficult to say if it is grafted or adsorbed. Indeed, unfortunately similar amount of Pnipam₂₅₀₀ is present when only adsorption and washing occurs.

394 If we consider only adsorption, the surface of adsorbed polymer chains should be 395 characterized by a mushroom regime, in which they do not interact together, in the case of 396 moderate polymer densities. The size of the polymer is in the order of the gyration radius, which is 1.5 nm, given by $R_g = 0.022 \times M_w^{0.54}$ (Kubota et al., 1990) where M_w is the 397 398 polymer molecular weight, *i.e.* 2500 g·mol⁻¹. To be in mushroom regime, each polymer needs 399 to be at a distance higher than twice time the R_g . The CNC size $a \times b \times c$ are 400 150 x 10 x 10 nm³, equivalent to an area about 6000 nm². The surface occupied by a polymer is 7 nm² ($\pi \times R_a^2$), so on a CNC the maximum of adsorbed polymer is ~860 polymer chains. 401 402 This value is in the range of grafting calculation. So in both case, adsorption and grafting, 403 similar amount of polymer chain can be present on CNC surface.

As a conclusion, it is difficult to have a direct proof of the grafting vs adsorption/presence with techniques we have used. Our assumption is that similar amount of Pnipam₂₅₀₀ is at the surface of CNC but in the case of adsorbed polymer, all polymer is present as aggregate in the suspension or at the surface whereas when it is grafted, one part is grafted on the surface and another part is adsorbed or present as aggregate.

409 Indirect methods have then been performed to check if we have a difference between 410 grafted and adsorbed system. Furthermore, the elemental analysis gave us an idea of the 411 ratio of Pnipam₂₅₀₀ and CNC. This has been confirmed by weight measurement of 412 suspensions. Indeed, after grafting, all samples are washed directly with dialysis, so we are 413 sure that CNCs stays (does not pass through dialysis membrane). So, knowing the initial CNCs 414 mass and just by measuring the final mass, we can calculate the quantity of Pnipam₂₅₀₀ which 415 is still present (adsorbed or grafted or both). In our case this weight measurement gives a 416 ratio of 25% of CNC and 75% of PNIPAM after adsorption and after grafting. This value will 417 be considered in DLS characterization (Figure 4).

418 **3.3.** Thermosensitive behavior of CNCs decorated with Pnipam₂₅₀₀



419
420 Figure 4: DLS curve for (a) TEMPO CNCs at 0.1 wt.%, (b) CNC-g-Pnipam₂₅₀₀ at 0.1 wt.% (ratio
421 estimated at 25% CNC and 75% Pnipam₂₅₀₀) and (c) TEMPO CNCs + Pnipam₂₅₀₀ (ratio at 25% TEMPO
422 CNCs and 75% Pnipam₂₅₀₀). Full line: temperature increases and dot line: Temperature decreases.

423 In Figure 4, the hydrodynamic diameter of CNC-g-Pnipam₂₅₀₀ and TEMPO CNCs + Pnipam₂₅₀₀ 424 suspension and CNCs raw materials were measured as function of temperature. For 425 TEMPO CNCs (Figure 4a), temperature has no clear influence on the size of the particles. 426 Referring to the literature (Fujishige et al., 1989; Wu, 1998), PNIPAM shows sensitivity to the 427 temperature. In works of Kubota (Fujishige et al., 1989; Kubota et al., 1990; Senff and 428 Richtering, 1999; Wu, 1998) and Wu (Wang and Wu, 1999; Wu, 1998), under the LCST (~34°C), PNIPAM polymer presents coil conformation with hydrodynamic diameter about 429 430 100 nm. In our case the measurement of DLS was difficult due to the presence of impurities 431 (catalyst nanoparticles or other) detected with an initial DLS value of 500nm which is not 432 possible for a so short oligomer. Then above the LCST, the polymer turns in globule 433 conformation and presents a hydrodynamic diameter about 20 nm. For the grafting sample 434 CNC-g-Pnipam₂₅₀₀ (Figure 4b), under the LCST the hydrodynamic diameter is low and 435 constant (close to the CNC size as it is a short oligomer). After 30°C, an increase in size was 436 observed (750 nm), probably due to aggregates formation. When the sample is cooling back to 20°C, the hydrodynamic diameter decreases and, at T < 30°C the system recovers a low 437

438 and constant value similar to the one measured during the heating process. This 439 phenomenon is considered reversible, even if a 5°C hysteresis is observed. This result is very 440 positive and confirms indirectly that at least a part of CNC is grafted mainly when it is 441 compared with only adsorbed Pnipam₂₅₀₀ (Figure 4c). In this case, the aggregation is limited 442 compared to grafted molecule, and the maximum collapse system size is close to 480 nm (vs 443 610 nm for the grafted CNC at same Temperature). The difference is even higher when 444 temperature decrease, i.e. 480 for adsorbed and 750nm for grafted CNC. Nonetheless, the 445 thermo-reversible behavior is similar in the case of grafted or adsorbed Pnipam₂₅₀₀. So the 446 thermo-stimuli properties of CNC-g-Pnipam₂₅₀₀ and TEMPO CNCs + Pnipam₂₅₀₀ are different. 447 This confirms indirectly that we don't have only presence or adsorption in the first case.

448 The grafting of Pnipam₂₅₀₀ polymer chain on the surface of CNCs gives a thermo-reversible 449 behavior to the suspension, as proved by the thermo-reversible aggregation in DLS data. The 450 behavior of a PNIPAM polymer was previously explained in detail (Lai et al., 2013). In this 451 study, when the temperature is below the LCST of Pnipam₂₅₀₀, grafted chains are under good 452 solvent conditions. Steric repulsion forces between particles are maximal, and dispersion 453 with individual objects appears. For temperature higher than the LCST, the polymer is in 454 poor solvent condition in water, resulting in individual collapsed and CNC-g-Pnipam₂₅₀₀ are 455 aggregated together. This process is reversible and generates a hysteresis effect. Similar 456 behavior was observed with another thermosensitive polymer grafted onto CNC (Azzam et al 457 2016).

458 3.4. CNCs and Pnipam₂₅₀₀ grafted onto CNCs (CNC-g-Pnipam₂₅₀₀)
 459 characterization



Figure 5: TEM images of a)-d) Cellulose Nanocrystals (CNCs) before any treatment and b)-e) CNC-g Pnipam₂₅₀₀ deposed on TEM grid at 20°C and c)-f) CNC-g-Pnipam₂₅₀₀ deposed at 50°C. Pictures are
 representatives of each sample.

- 464 As confirmed in **Figure 5a** and **5d**, CNC have a rod-like shape. Size measurements on TEM
- 465 images show an average length of 150 ± 30 nm and a section of 10 ± 5 nm. These values are
- 466 comparable with the literature data (Habibi et al., 2010).
- 467 **Table 2**: Physical and chemical properties of CNCs from university of Maine.

Properties		Tools	Value	S.D.
Morphology	Length (nm)		150	(30)
	Thickness (nm)	n) AFM & TEM		(5)
	Aspect ratio		15	-
Chemical	Sulfur content (μmol/g _{CNC})	EA	305	(15)
Physicochemical	Surface charge density (e/nm²)	Calculation based on EA & TEM	0.46	(0.02)

469 In details in **Table 2**, the sulfur content estimated by elemental analysis is $305 \,\mu mol/g_{CNC}$. 470 The surface charge density is approximately 0.46 e/nm², as calculated using TEM and EA 471 data.

Figure 5 presents TEM images of negatively stained CNC (Figure 5a and 5d), CNCs decorated 472 473 with Pnipam₂₅₀₀ under LCST condition (Figure 5b and 5e) and CNC-g-Pnipam₂₅₀₀ after LCST 474 (Figure 5c and 5f). By comparison between Figure 5d and Figure 5e, the morphology of the 475 rod-like particles is unchanged after peptidic grafting. Under LCST, Pnipam₂₅₀₀ grafted CNCs 476 seems to be well-dispersed. The individualization of nanocrystals can be attributed to the 477 presence of polymer chains on the surface, which generate entropic repulsion forces 478 between nanoparticles. Nonetheless, polymer chains are not visible on TEM images, due to 479 their tiny size and a low surface density (hinder a detectable contrast in TEM stained grid). 480 After LCST (Figure 5c and 5f), CNC-g-Pnipam₂₅₀₀ seems to be packed together and shows a 481 'bird-nest' configuration, due to the collapse Pnipam₂₅₀₀ on the surface of TEMPO CNCs. 482 These pictures are in agreement with Pnipam₂₅₀₀ grafting and thermal aggregation of the 483 system when the temperature is above the polymer LCST. Nonetheless, the system does not 484 present CNC-g-Pnipam₂₅₀₀ packed by 3-4 CNCs (as previously published by Azzam et al. 485 (Azzam et al., 2016)) but a huge aggregate without well-defined size.



486

Figure 6: Pictures of cellulose nanocrystals suspension: a) oxidized CNC without salt, b) TEMPO CNCs
with 1M NaCl, c) CNC-g-Pnipam₂₅₀₀ without salt and d) CNC-g-Pnipam₂₅₀₀ with 1M NaCl. Each
suspension has a concentration of 2 wt.%.

An interesting property acquired by the presence of polymer chains (adsorbed or grafted) on the CNC surface is the colloidal stability with presence of electrolyte. **Figure 6** shows the behavior of TEMPO CNCs and polymer grafted CNCs when NaCl is added. Colloidal suspension of TEMPO CNCs (**Figure 6a**) immediately precipitates after addition of 1 M NaCl and forms a turbid suspension (**Figure 6b**). At the opposite, Pnipam₂₅₀₀ grafted on CNCs suspension (**Figure 6c**) prevent any precipitation and remain stable the suspension in 496 presence of electrolyte (Figure 6d). Steric stabilization caused by the presence of polymer
497 (grafted or adsorbed) was already reported in literature (Araki et al., 2001; Azzam et al.,
498 2010; Kloser and Gray, 2010).

499 Figure 7 presents the rheological behavior of CNC-g-Pnipam₂₅₀₀ and TEMPO 500 CNCs + Pnipam₂₅₀₀ under and above the PNIPAM LCST. System concentrations are 6.1 wt.% 501 (estimated ratio is 25% of CNCs and 75% of Pnipam₂₅₀₀). For grafted polymer (Figure 7a) the 502 viscosity increases from 0.008 to 40 Pa·s at low shear rate when the LCST is reached. 503 Nonetheless, in the case of polymer adsorption exclusively (Figure 7b), the viscosity 504 increases only from 0.002 to 0.3 Pa·s when the LCST is reached. This observation shows a 505 difference in kinetics of aggregation whereas similar amount of polymer is present. This 506 confirms once again indirectly that there is a difference between these two CNCs materials.



507

Figure 7: flow curves of a) CNC-g-Pnipam₂₅₀₀ at 6.1 wt.% and b) TEMPO CNCs at 1.6 wt.% +
Pnipam₂₅₀₀ at 4.6 wt.% (final concentration 6.2 wt.%). Each graph presents the system flow
curve under (blue square) and above (red dot) the PNIPAM LCST.

511 This peptidic grafting is promising and can modify CNCs suspension properties by using 512 water based grafting or adsorption. One drawback could be attributed to the low quantity of 513 grafting (similar to adsorption) and to the time duration of such treatment.

515 **4.** Conclusions

516 In this study, thermo-sensitive Pnipam₂₅₀₀ were successfully adsorbed and grafted (or both) 517 onto oxidized cellulose nanocrystals by a green peptidic coupling. Results demonstrate the 518 presence and the formation of the polymer onto the nanocrystals after multiple washings. 519 The polymer density was sufficient to create a steric stabilization of the CNC-g-Pnipam₂₅₀₀ in 520 high ionic strength which prevents the flocculation. In spite of several methods, it has been 521 difficult to distinguish the adsorbed from the grafted CNCs. However indirect methods show 522 clearly a difference between the two samples indicating that of CNC-g-Pnipam₂₅₀₀ has at 523 least one part which is grafted. Thanks to this sufficient density, the thermo-reversible 524 behavior of the polymer allows us to realize a thermo-reversible suspension composed with nanoparticles, CNCs, and biocompatible polymers, Pnipam₂₅₀₀. CNCs give percolation 525 network properties to the system and the polymer, a thermosensitive behavior, observed in 526 527 rheology measurement. These results open the road to biomedical applications such as 528 injectable hydrogel for reparative surgery and esthetic surgery.

529

530 5. Acknowledgment

531 This work has been partially supported by the PolyNat Carnot Institute (Investissements 532 d'Avenir - grant agreement n°ANR-16-CARN-0025-01). This research has been possible thanks to the facilities of the TekLiCell platform funded by the Région Rhône-Alpes (ERDF: European 533 regional development fund). LGP2 is part of the LabEx Tec 21 (Investissements d'Avenir -534 535 grant agreement n°ANR-11-LABX-0030) and CDP Glyco@alps (Initiative d'excellence – grant 536 agreement ANR-15-IDEX02). We would like to thank Jean-Luc PUTEAU (Univ. Grenoble Alpes, 537 CNRS, CERMAV, FRANCE) for his expertise in providing TEM imaging. The authors thank the 538 NanoBio-ICMG platform (Grenoble, FR 2607) for granting access to the electron microscopy facility. 539

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