

Observation of Electron Conics by Juno: Implications for Radio Generation and Acceleration Processes

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Kev Points:

- Electron conics are observed by Juno in Jovian radio sources, and their role in the wave amplification is analyzed
- The observed conics may very efficiently drive the cyclotron maser, from decametric to kilometric wavelength ranges
- The formation of conics is modeled by a stochastic acceleration due to a low-frequency parallel electric field turbulence

Supporting Information: Table S1

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Observation of Electron Conics by Juno: Implications for Radio Generation and Acceleration Processes

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Abstract Using Juno plasma, electric and magnetic field observations (from JADE, Waves, and MAG instruments), we show that electron conic distributions are commonly observed in Jovian radio sources. The conics are characterized by maximum fluxes at oblique pitch angles, ~20°-30° from the B field, both in the upward and downward directions. They constitute an efficient source of free energy for the cyclotron maser instability. Growth rates of ~3 to 7×10^4 s⁻¹ are obtained for hectometric waves, leading to amplification by e^{10} with propagation paths of 50–100 km. We show that stochastic acceleration due to interactions with a low-frequency electric field turbulence located a few 10⁴ km above the ionosphere may form the observed conics. A possible source of turbulence could be inertial Alfvén waves, suggesting a connection between the auroral acceleration and generation of coherent radio emissions.

Plain Language Summary Jupiter, as many astrophysical magnetized objects, is a powerful emitter of nonthermal radio emissions. The coherent process required for their generation is likely the cyclotron maser instability (CMI). However, the exact conditions of wave amplification are not known precisely at Jupiter. With Juno mission, for the first time, it is possible to explore the auroral regions of Jupiter, where the particles are accelerated and the nonthermal emissions produced. With several crossing of the radio sources, the free energy used by the CMI can now be identified. It corresponds to conic-like distributions, characterized by an accumulation of particles just outside the loss cones. Applying the CMI theory, large growth rates are obtained, showing that the conics probably play a central role in the wave generation source. The formation of the conics could be due to an interaction with a low-frequency Alfvénic turbulence. This suggests a close relationship between the radio wave generation and the particle acceleration, as at Earth, the details of the scenario being, nevertheless, slightly different.

1. Introduction

Since its arrival in July 2016, Juno regularly crosses the polar regions of Jupiter and acquires high-quality plasma and wave measurements in its auroral regions (Bagenal et al., 2017). This offers an exceptional opportunity to investigate the in situ properties of intense Jovian radio emissions and understand the coherent process required for their generation. In particular, the observations from JADE (McComas et al., 2017), Waves (Kurth, Hospodarsky, et al., 2017), and MAG (Connerney et al., 2017) instruments provide measurements at the required precision to determine the features of the electron distribution that may drive the cyclotron maser instability (CMI), the most likely generation process. This is essential to establish the scenario of the radio wave amplification.

The CMI theory has been the subject of many studies and is well supported by observations (see Wu, 1985; Zarka, 1998, for reviews). This kinetic instability amplifies X-mode waves at frequencies close to the electron gyrofrequency in a tenuous plasma ($\varepsilon = (f_{\rho}/f_{c})^{2} < < 1$, where f_{ρ} and f_{c} are respectively the electron plasma and gyrofrequency) provided that the electron distribution (f) contains free energy associated with positive $\partial f/\partial v_{\perp}$ gradients (v_{\perp} is the velocity perpendicular to the ambiant B field). In the initial version of the CMI (Wu & Lee, 1979), the free energy is linked to a loss cone.

At Earth, it is established that the auroral kilometric radiation (AKR) is generated in localized plasma depleted regions, where the electron distributions present trapped or horse-shoe populations (Delory et al., 1998; Louarn et al., 1990). These are also the regions of parallel acceleration located above the aurora. Electrons

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at kiloelectron volt energies are dominant, which decreases the gyrofrequency by the relativistic effect. Positive $\partial f/\partial v_{\perp}$ gradients at ~90° pitch angle can then be used by the CMI, leading to a particularly efficient amplification (Le Quéau & Louarn, 1989; Louarn et al., 1990; Pritchett, 1986). This scenario significantly differs from the *loss cone* CMI.

Interestingly, the first Juno observations appeared to support the loss cone scenario. Louarn et al. (2017; see also Kurth, Imai, et al., 2017) have shown that the positive $\partial f/\partial v_{\perp}$ gradients observed in a source of hectometric radiation (HOM) are associated with the loss cone. Typical CMI growth rates of 10^4 s^{-1} are obtained from the measured distribution, leading to amplification paths of ~1,000 km, which is less than the size of the sources crossed by Juno. The characteristics of the amplified waves are consistent with Waves observations (Kurth, Imai, et al., 2017; Louis et al., 2017). The possible role of the loss cone CMI at Jupiter was already studied by Hess et al. (2007) and Mottez et al. (2010) to explain radio-telescope observations of the so-called *S bursts*. The first Juno's in situ measurements thus show that the loss cone CMI scenario may apply to more general radio emissions.

With more source crossings, the scenario can be refined. In particular, we show here that *conic-like* features are often superimposed to the loss cones seen in the sources. The distributions are then characterized by maxima of the phase space density at pitch angles just outside of the loss cone limit. Electron conics were first described by Menietti and Burch (1985) using DE-1 observations. They were commonly observed with Viking, in association with low-frequency parallel electric fields (André & Eliasson, 1992; Eliasson et al., 1996), suggesting that they are related to auroral acceleration. However, since they do not characterize the dominant free energy in AKR sources, they were seldom considered as a driver of the CMI. In contrast, we show that those observed at Jupiter lead to large CMI growth rates and may be dominant in the generation process.

We first focus on a few examples of conics measured in radio sources (section 2). The CMI growth rates are estimated in section 3, and a model of the formation of the conics is discussed in section 4 before discussion and conclusions in section 5.

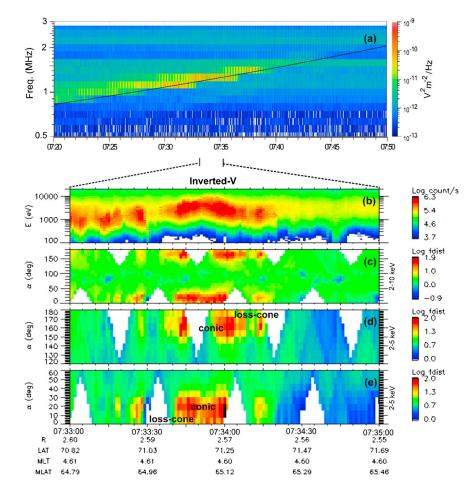
2. Observations of Electron Conics in a Source of HOM

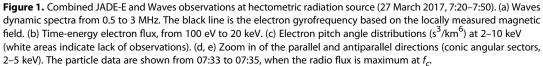
Wave and electron measurements obtained inside an HOM source are displayed in Figure 1. They have been performed during Perijove 5, at 2.5 Jovian radii (R_J), 3:40 LT and L shell of ~14.7 (VIP4 model with no current sheet). Panel (a) shows the dynamic spectra observed from 7:20 to 7:50, at 0.5–3 MHz. The increase of intensity from ~7:30 to 7:38, at f_c (1.3 MHz), is typical of the crossing of a radio source. The spectral flux locally reaches ~4 × 10⁻¹⁰ V²·m²/Hz at f_{cr} which is an order of magnitude larger than in adjacent regions or at other frequencies.

In panel (b), the increase followed by a decrease of the electron energy, from 7:33:40 to 7:34:15, is consistent with an inverted-V structure, a characteristic feature of auroral electron acceleration (Hultqvist et al., 1988). The peak energy increases up to ~10 keV. The pitch angle plot (panel c) shows that the distributions are strongly anisotropic, with phase space densities ~10 times larger in cones of ~35° aperture in the parallel and antiparallel directions than at other angles. More detailed plots (panels d and e) reveal the existence of a double loss cone, from 0° to ~15° (upward direction) and ~165° to 180° (downward direction). The flux then peaks at ~25–30° and 150–155°, which defines conic-like distributions. These conics are observed during ~35 s (~500–1,000 km in Jupiter frame) when the radio flux is maximum at f_c . This coincides with the inverted V and suggests that the conics characterize the accelerated electrons populating the radio source. The absence of downward beams (at 180°) implies that the acceleration occurs below Juno. Consequently, the upward conic would be generated by an acceleration process occurring close to the ionosphere, with a similar process in the conjugate hemisphere to explain the downward conic.

Another source crossing is shown in Figure 2, observed during Perijove 4, at ~1.6 R_{J} , ~18:50 MLT, and L shell of 12. The maximum flux is observed from 13:35 to 13:38, at frequencies close to 6 MHz, with a source crossing around 13:37:30. Two pitch angle distributions measured in the source are displayed. The conics are obvious, with a double loss cone and maximum fluxes at 20–30° and 150–160°, just outside of the loss cones.

We note that the phase space density fluctuates from one energy sampling to the next. This common observation is due to a combined effect of the JADE-E (JADE-Electron) sampling method and flux





variations at subsecond scales. To provide the highest cadence within available resources, the high voltage of JADE-E electrostatic analyzer indeed follows a sawtooth profile with measurements made at every second step of the sweep, at a few 10^{-3} -s intervals. Thus, if one energy is sampled during the ascent profile, the next is during the descent, a few 0.1 s later. Nonnatural fluctuations at adjacent energies are then expected in case of rapid flux variations. The observations thus suggest the existence of significant flux variations at subsecond time scales.

As seen in Figures 1 and 2, the conics exhibit the positive $\partial f/\partial v_{\perp}$ gradients needed to drive the CMI. They are seen in parallel/antiparallel directions, meaning that upward and downward propagating waves may be amplified. This important difference with the classical loss cone may increase the CMI efficiency.

In Table S1 (supporting information), 13 radio source crossings, identified during Perijoves 1 to 7, are listed. Conics are observed in nine radio sources, often both in the upward and downward directions and superimposed to the loss cones. They may be measured for few consecutive seconds or several times, separately. This first analysis shows that the conics are present in radio sources in a majority of cases (more than 60% occurrence), from decametric to kilometric radiation. As shown now, they are efficient drivers of the CMI.

3. Estimate of the Cyclotron Maser Growth Rate

The CMI theory is detailed in Wu and Lee (1979), Wu (1985), Le Quéau et al. (1984a, 1984b), and Pritchett (1986). The simplified version used by Louarn et al. (2017) is adapted to the amplification of X-mode waves

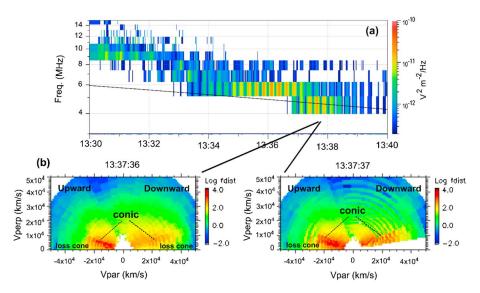


Figure 2. Second example of source crossing (2 February 2017, 13:30–13:40). (a) Waves dynamic spectra from 3 to 15 MHz. (b) Pitch angle distributions (s^3/km^6) measured in the source (1-s resolution). Note that this observation is made in the Southern Hemisphere. By convention, $v_{||}$ is oriented in the same direction as the B field, then positive $v_{||}$ is here directed downward, conversely to Figure 1 that presents observations made in the Northern Hemisphere.

propagating at frequencies close to f_{cr} for $kc/\omega \approx 1$ (*k* is the wave vector), in a low-density ($\varepsilon = (\omega_p/\omega_c)^2 < < 1$) and moderately energetic ($E < < m_e c^2$) plasma. The growth rate is given by

$$\frac{\gamma}{\omega_{c}} \sim 2\pi^{2} \Delta \omega^{2} \int_{0}^{\pi} \mathrm{d}\theta \ b^{2} \ \sin(\theta)^{2} \frac{\partial}{\partial \beta_{\perp}} f(\beta_{0} + b \ \cos(\theta), b \ \sin(\theta)), \tag{1}$$

where $\beta_{\perp,\parallel} = (v_{\perp,\parallel}/c)$, $\beta_0 = k_{\parallel}c/\omega_c \Delta\omega = (\omega - \omega_c)/\omega_c$, and $b = (\beta_0^2 - 2\Delta\omega)^{1/2}$; *f* is the normalized electron distribution ($\int f dv^3 = 1$). This formula expresses that the growth rate is obtained by integrating $\partial f/\partial \beta_{\perp}$ along a resonant circle defined by $(\beta_{\parallel} - \beta_0)^2 + \beta_{\perp}^2 - (\beta_0^2 - 2\Delta\omega) = 0$ (circle with center β_0 and radius *b*). The most amplified waves should have circles of resonance lying in the phase space region of positive $\partial f/\partial v_{\perp}$, thus for conics, cones with aperture of ~25–30°, aligned or antialigned with the magnetic field, at 1–5 keV.

The growth rate is estimated with a distribution measured in the source described in Figure 1. In pitch angle (Figure 3a), one again notes the fluctuations of the phase space density that is likely an indication of fast flux variations. In the following, we consider the $\partial f/\partial \beta_{\perp}$ gradients calculated with average and maximum fluxes. They are shown in panel (b). The gradients calculated from 0° to 25° and 155° to 180° are shown in black. They are positive and drive the maser. Those calculated from 25° to 45° and 135° to 155°, in blue, are negative and contribute to the wave absorption. The extremal envelopes (continuous lines) correspond to the maximum phase space density and the dashed lines to the average values. Positive $\partial f/\partial \beta_{\perp}$ larger than 2,000 s³/km⁶ are measured for $|v_{//}|$ varying from 1.5 to 3.5 10⁷ m/s (~0.5 to ~3.5 keV) in the extremal case. They are 2–3 times smaller for the average distribution.

The growth rates γ/ω are shown in panels (c) and (d), for resonant circles with center β_0 varying from 0.06 to 0.18 and radius *b* from 0.01 to 0.08. This corresponds to waves propagating at 87° to 80° from the B field, at frequencies 0.16% to 1.6% above f_c . A density of 10 cm⁻³ is used, in agreement with JADE measurements; γ/ω *c* as large as 0.007 are obtained in the extremal case and 0.004 in the average case. At ~1.5 MHz, this leads to maximum growth of 7 × 10⁴ s⁻¹ (extremal case) and 4 × 10⁴ s⁻¹ (average case). This is a strong instability: At light speed, propagations of ~40 km (extremal case) or ~80 km (average case) are sufficient to amplify the waves by a factor e¹⁰.

As discussed in Louarn et al. (2017), the efficiency of the instability can also be estimated from its frequency bandwidth. It is ~0.5% here, as deduced from the range of *b* corresponding to positive growth. The bandwidth determines the altitude over which a given wave may be amplified before the instability is quenched due to the spatial variation of the gyrofrequency. Over an altitude range Δh , the gyrofrequency varies as $\Delta f_c/f_c \approx 3 \Delta h/R$, where *R* is the distance from Jupiter center ($R \approx 2.5 R_J$). A bandwidth of 0.5% then

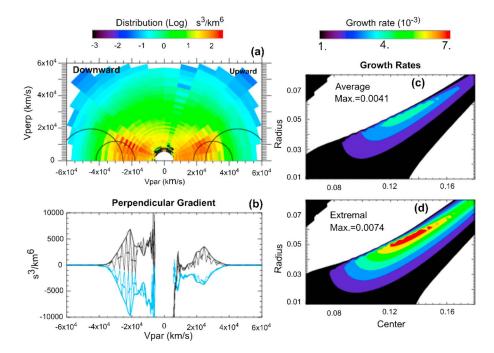


Figure 3. (a) Distribution measured at 7:33:42 on 27 March 2017 (see Figure 1). (b) $\partial f/\partial \beta_{\perp}$ gradients: in black, from 0° to 25° and 155° to 180°; in blue, from 25° to 45° and 135° to 155°. The extremal (average) values are indicated by continuous (dashed) lines. (c, d) Contour plots of the growth rate γ/ω_c estimated from average (top) and extremal gradients (bottom).

corresponds to $\Delta h \approx 100$ km and, thus, to a path of 500–1,000 km for propagation angles of 80°–85°. Using the estimated growth rates, this leads to amplification larger than e⁴⁰. These enormous values mean that the amplification is most likely nonlinearly saturated, by quasi-linear relaxation or wave/particle trapping processes (Le Quéau et al., 1984a, 1984b; Pritchett, 1986).

To conclusion, the observed conics can very efficiently drive the CMI, with growth rates about a factor 5–10 larger than with the classical loss cone.

4. Formation of Electron Conics

Different mechanisms may generate electron conics. Generally, they include perpendicular or parallel heating due to wave interactions and mirror effects to transfer the heating to oblique angles (Eliasson et al., 1996; Menietti & Weimer, 1998; Thompson & Lysak, 1996). With Juno, we observe conics that are above the acceleration region since precipitating field-aligned beams are not measured simultaneously. These conics were studied by Eliasson et al. (1996). They suggested that an acceleration by a low-frequency parallel electric field is a likely formation mechanism. We investigate a similar process except that we consider an interaction with a low-frequency turbulence rather than a monochromatic electric field. Turbulence located at one end of a close field line may form monodirectional conics, at both ends of double conics.

The low-frequency fluctuations can be associated with Alfvén wave turbulence as is commonly observed in Earth. This turbulence leads to the formation of finite parallel electric fields able to accelerate particles provided that the perpendicular wavelengths become close to the electron skin depth (Chaston et al., 2002; Goertz & Boswell, 1979; Génot et al., 2001; Kletzing, 1994; Louarn et al., 1994). To model the turbulent interaction, we introduce $P(\Delta W)$, the probability that the particle energy varies by ΔW . Noting $f_0(W)$ the initial energy distribution of particles, the fraction of particles of initial energy W reaching an energy W_1 after the interaction is $f_0(W) P(W_1 - W)$. The final distribution, $f_1(W_1)$, is given by the summation of all possibilities:

$$f_1(W_1) = \int_0^{+\infty} f_0(W) P(W_1 - W) dW.$$
 (2)

For the precipitating electrons, $P(\Delta W)$ can be a shifted Gaussian probability:



$$P(\Delta W) = \frac{1}{\sqrt{2\pi}} \frac{1}{D} \exp\left[-\frac{1}{2} \frac{(\Delta W - W_0)^2}{D^2}\right].$$
 (3)

 W_0 is the average energy gain, and *D* is an energy dispersion. Both are related to the typical potential drop associated with the kinetic Alfvén waves. Values from a few kiloelectron volts to a few tens of kiloelectron volts are reasonable. Combining formulas (2) and (3), one describes the net energy gain of the precipitating electrons and a thermal spread. Conversely, electrons that are reflected due to the mirror effect do not gain energy on average so that $P(\Delta W)$ becomes a simple Gaussian:

$$P(\Delta W) = \frac{1}{\sqrt{2\pi}} \frac{1}{D} \exp\left[-\frac{1}{2} \frac{\Delta W^2}{D^2}\right].$$
(4)

Since we consider E_{\parallel} turbulence, the probability law affects the distribution of parallel velocities only. Starting with an initial Maxwellian distribution:

$$f_0(\mathbf{v}_{\parallel}, \mathbf{v}_{\perp}) = \left(\frac{m}{2\pi kT}\right)^{\frac{3}{2}} \exp\left[-\frac{1}{2}m\frac{\mathbf{v}_{\parallel}^2 + \mathbf{v}_{\perp}^2}{kT}\right],\tag{5}$$

with simple algebra, formulas (2) and (4) lead to

$$f_1(\mathbf{v}_{\parallel}, \mathbf{v}_{\perp}) = \frac{1}{\sqrt{2\pi}} \frac{1}{D} f_0(\mathbf{v}_{\parallel}, \mathbf{v}_{\perp}) \int_{-\infty}^{\frac{1}{2}mv_{\parallel}^2} \exp\left[\frac{\Delta W}{kT} - \frac{1}{2}\frac{\Delta W^2}{D^2}\right] d\Delta W.$$
(6)

If *D* is constant, this describes parallel heating, the degree of heating increasing with *D*. However, the model can be adapted to match the conics. In particular, we implement a loss cone and consider an inhomogeneous turbulence—that is, a level of turbulence that increases approaching ionosphere. A more efficiently heating of the electrons that mirror just above the ionosphere—with pitch angles just outside of the loss cone—is then expected. This is precisely the characteristic of the conics.

The effect of the stratified turbulence can be described using a Gaussian probability (formula (4)), assuming that the parameter D varies with the distance to the ionosphere. We consider the following model:

$$D(z) = \alpha + \beta \exp\left[-\frac{(z - L_0)^2}{\delta^2}\right],$$
(7)

where z is the distance from Juno and L_0 is the distance to the ionosphere; α and β have the dimension of energy (keV). This model expresses that the fluctuations peak close to the ionosphere, with some spatial spread, determined by δ . For simplicity, we use a linear model of the magnetic field: B(z) = B(1 + z/H) with H = R/3. The mirror altitude is then given by $z_M = H\left(\frac{v_{\parallel}}{v_{\perp}}\right)^2$, where $(v_{\parallel}, v_{\perp})$ are the particle velocity at z = 0 (the Juno position). The effect of the stochastic acceleration is then investigated using $D(z_M)$ in formulas (5) and (6). We further assume that the field lines are closed with Alfvén wave turbulence at both ends so that the electrons may interact with the turbulence near their two mirror points to form the double conics.

A simulation example is given in Figure 4. We choose an initial Maxwellian with a temperature of 0.5 keV. The ionosphere is placed at $L_0 = 25H$ to get a realistic loss cone. The effects of turbulence are parametrized by $\alpha = 1$ kV, $\beta = 10$ kV, and $\delta = 7H$, corresponding to turbulence that extends up to 0.4–0.5 R_J above the ionosphere, which is reasonable based on our knowledge of Earth's auroral regions.

It is assumed that the turbulence develops at both ends of the field line. Starting with an initial Maxwellian, the downward conic is obtained from a first interaction in the conjugate hemisphere. This downward conic is then used as a starting distribution for the interaction taking place below Juno. This leads to the upward conic. The successive interactions progressively empty the flux tube. This is counterbalanced by a 5% regeneration using the initial Maxwellian at each interaction.

Geophysical Research Letters

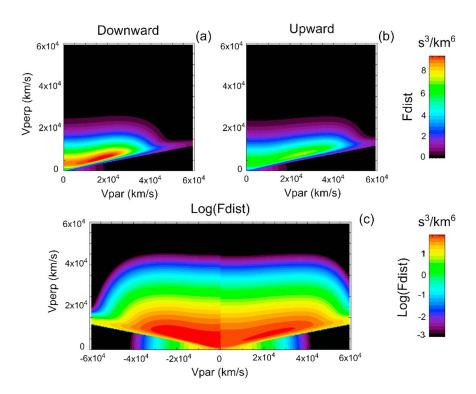


Figure 4. Simulations of conics. Top: Examples obtained after interactions with the turbulence. Bottom: Reconstructed conics (logarithm scale), assuming a density of 10 cm⁻³, an initial 0.5-keV Maxwellian and typical fluctuations of 10 kV above the ionosphere. The loss cone angle is ~12°. The downward part (left side) is obtained after one interaction with the turbulence, the upward (right side) after two interactions.

As seen in Figure 4, the model reproduces the main features of the observed conics. The electrons are heated at pitch angles just outside the loss cones; their temperature reaches 4–5 keV in a sector of pitch angles extending from the loss cone (~15°) to ~30–35°, both in the upward and downward directions. The perpendicular temperature remains close to the initial temperature (0.5 keV, in the present case).

The model is based on five free parameters: *T* the initial temperature, α , β , and δ that determine the stratified turbulence, and the regeneration coefficient (ρ). Variations by a factor 2 of α , β , δ , and ρ do not noticeably affect the characteristics of the conics. This suggests that their formation does not require very specific conditions: an absorbing ionosphere and a form of stochastic acceleration in the 10-keV range at both ends of closed field lines would be sufficient. This could be linked to inertial Alfvén turbulence, which is known to be common in Earth's auroral regions.

5. Discussion and Conclusion

In conclusion, Juno observations reveal that electron conic distributions are common in the Jovian radio sources. They are characterized by large phase space densities, at pitch angles just outside the loss cone, at ~20°-30° from the B field. They are an efficient source of free energy for the CMI, leading to amplification by e¹⁰ with propagation paths as short as 50–100 km for hectrometric waves. It is shown that interactions with a low-frequency E_{\parallel} turbulence, for example, due to inertial Alfvén waves, located above the ionosphere may explain their generation. This possible role of Alfvèn waves in the acceleration was already explored by Hess et al. (2007) and Mottez et al. (2010) in the context of the lo-related S bursts.

A first comment concerns the strong efficiency of the conics for the CMI. The largest CMI growths are obtained with ideal ring distribution, $(1/2\pi v_0) \delta (v_{\perp} - v_0) \delta (v_{\parallel})$ (Le Quéau & Louarn, 1989; Pritchett, 1986), leading to $\gamma/\omega_c \approx \frac{1}{2} (v_0/c)^2$, meaning 10^{-2} for 5-keV electrons. Our estimated growths are 2–3 times smaller, still 5–10 times larger than those estimated from the loss cone Louarn et al. (2017): $\gamma/\omega_c \approx 4 \times 10^{-3}$ as compared to 5×10^{-4} with the loss cone. To some extent, the conics optimize the positive $\frac{\partial f}{\partial v_{\perp}}$:

Electrons concentrate in the conic angular sector, which leads to local phase space density an order of magnitude larger than at other pitch angles. Indeed, the conic phase space densities are typically 5 times larger than measured with the loss cone distribution studied in Louarn et al. (2017), at similar velocities and for comparable densities ($\sim 10 \text{ cm}^{-3}$).

The second comment is about the relationship with the acceleration process. At Earth, the discovery of a close link between the auroral particle acceleration and the generation of the AKR—that is, that the source or radiation are also regions where a finite E_{\parallel} develops—was a significant advance in space physics. With FAST observations, it was also possible to establish that Alfvénic acceleration may occasionally trigger the generation of radio emission at Earth (Su et al., 2007). The present study suggests that this is more the rule than the exception at Jupiter, with a different scenario: The radio generation is linked to conics that are possibly generated by low-frequency turbulence and are able to contribute to the electron precipitation and the formation of auroral forms. This form of efficient free energy is widely spread along the field lines and, since the condition $(f_p/f_c)^2 < < 1$ is easily fulfilled at Jupiter, the CMI can develop in a large altitude range. The sources are then not restricted to the plasma cavity formed by the accelerated electric field.

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