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### **► To cite this version:**

M.-A. Vitrani, Jocelyne Troccaz, A.-S. Silvent, S.Y. Selmi, J. Sarrazin, et al.. PROSBOT – Model and image controlled prostatic robot. Innovation and Research in BioMedical engineering, 2015, 36 (2), pp.118-125. 10.1016/j.irbm.2015.01.012 . hal-02347634

**HAL Id: hal-02347634**

**<https://hal.science/hal-02347634>**

Submitted on 24 Jan 2020

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# PROSBOT – Model and image controlled prostatic robot

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Received 18 December 2014; received in revised form 27 January 2015; accepted 27 January 2015

## Abstract

The PROSBOT project aims to improve the clinical gesture of prostate biopsy sampling through a pedagogic simulator and a robotic assistance system. The objective of the simulator is to improve the learning curve of systematic and targeted prostate biopsy acquisition through realistic simulations of the gesture and a multitude of pedagogic modules. This paper reports the developed versions of the simulator and their evaluation. The robotic assistance system, called *Apollo*, is a co-manipulated robotic probe holder that aims at improving the clinical gesture through several functions, amongst which are: a) locking the probe in a target position, b) providing haptic feed-back to reduce gland deformation and c) gravity compensation. Two cadaver studies have shown that the device does not negatively impact or disturb the clinical gestures (transparency), but that gravity compensation improves the ergonomics of the gesture and that the locking function helps considerably at maintaining a stable position during puncture. A clinical study is currently ongoing with the objective to prove that biopsy accuracy can be improved with the robot, both for systematic and targeted sampling. Finally, the Apollo project is in an advanced stage of industrialization and will become commercially available. The possibilities for industrialization of the simulator are currently evaluated through a follow-up study.

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## 1. Introduction

Prostate cancer is the most frequent cancer and the second-most frequent cause of cancer-death in men. Several studies have shown that the current clinical practice in prostate cancer care has several major shortcomings [5,35]. The studies showed in particular that diagnosis is not sufficiently specific, which leads to a situation of over-treatment.

The current gold-standard for prostate cancer diagnosis are prostate biopsies, which are performed under 2D ultrasound (US) control. The prostate is accessed through the rectum using

a spring needle gun to take small samples of prostatic tissues. The needle gun is steered using a tubular biopsy guide that is rigidly attached on the ultrasound probe. The guide ensures that the puncture trajectory is fixed in the ultrasound acquisition plane, making it possible to visualize the prospective puncture path in the intra-operative ultrasound images. The clinician first orients and moves the probe such that the needle trajectory aims at the target, then he inserts the needle until the needle tip, which becomes visible in the ultrasound image when pushed into the rectal wall, is at the correct depth, and finally he triggers the spring gun to acquire a sample of the prostatic tissues. It is noteworthy that some clinicians prefer to access the gland through the perineum, but this approach is controversial due to its invasiveness and very rare.

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Because prostate carcinomas are most often not visible on intra-operative ultrasound images and thus not precisely localizable, systematically distributed prostate samples are usually acquired during biopsy sessions. As a consequence, prostate cancer can be under-graded when, instead of the index tumor, only a low-grade secondary tumor is sampled, or it can be entirely overlooked. It is then often necessary to repeat the biopsy session when other indicators of prostate cancer presence like high prostate-specific antigen levels persist and cannot be explained otherwise.

Once acquired, the prostate samples are histologically examined to determine their Gleason cancer grading. Furthermore, the exact location of the tissue samples is lost after biopsy acquisition, due to the imprecise nature of the systematic protocol and the manual biopsy gesture. These elements lead to a situation where, to lower the risk of cancer progression, patients are treated radically, i.e. treatments address the entire gland instead of only the tissues affected by the tumor. As a result, after-effects like incontinence and impotence are frequent and they considerably affect the quality of life of the patients.

These findings led in the last years to a paradigm change in prostate cancer care. Clinicians introduced the new concepts of *active surveillance*, a conservative approach that consists in observing the evolution of low grade cancer rather than treatment, and focal cancer therapy, which aims at reducing the invasiveness of prostate interventions to allow treatment while preserving the patient [3,21]. A major requirement for these new strategies is to accurately localize prostate cancer. Advances have been made notably in the domain of MR image analysis, where often suspicious lesions can be identified and directly targeted during biopsy sessions to get samples of the index tumor, and thus a more reliable evaluation of the cancer grade [12,13,23,24,33,38,39]. Also, biopsy systems were developed that are able to record the location of the tissue samples, for example the Artemis system [40]. Koelis developed the Urostation®, an image fusion and sample localization system that makes it possible to target MR lesions under transrectal ultrasound (TRUS) control and to record the precise sample location. The resulting 3D biopsy and cancer maps can be used for both active surveillance strategies, notably for repeated biopsy sessions on previously un-sampled or on suspicious regions, and for focal therapy planning [41,2]. Some research teams evaluated the possibility of biopsy acquisition under MR imaging control [22]. However, MR imaging with clinically satisfying resolution is still not real-time and it is very expensive compared to ultrasound imaging, pushing hospitals to minimize the duration of MR exams. Because of these technical and financial issues clinical usage of MR-based prostate biopsy systems is currently still limited to research projects.

A major issue of TRUS biopsy is the complexity of the sample acquisition gesture. Samples are acquired using an 18 gauge biopsy spring needle gun. The needle is placed using a biopsy guide that is rigidly mounted on the TRUS probe. The probe thus has a double purpose: guiding the biopsy needle and providing images of the prostate. The operator does not

have a fixed reference image that would allow him to accurately identify the prospective puncture path in the anatomic volume; he/she must mentally identify the location based on the moving 2D ultrasound images. Furthermore, probe motion moves and deforms the prostate, which makes it even more difficult to locate the sampling site. As a result, experience and dexterity is required to place the needle such that its puncture path reaches the targeted tissues. Often the sample distribution of systematic biopsies is not satisfying, leaving un-sampled regions in the anatomic volume. Also, it is difficult to place the needle such that its prospective puncture path yields optimal results for targeted biopsies, regardless whether the targets are suspicious lesions identified on MR images, or whether the goal is to reach previously un-sampled regions, or regions that need to be resampled when repeating biopsy sessions following the active surveillance paradigm.

The aim of the PROSBOT project is to improve the precision and the repeatability of the biopsy acquisition gesture, to improve systematic biopsy sample distributions and to reach specific targets more accurately. Two axes of improvement were identified: training through simulation and robotic assistance. A **ultra-realistic simulator** was developed that incorporates mechanical models for prostate displacement and deformation caused by probe movements, realistic image generation using a prostate volume database and a multitude of pedagogic training modules simulate specific tasks that improve the dexterity of the operator. Also, a **co-manipulated, image-guided robotic probe holder**, named “Apollo”, was conceived, capable of generating haptic assistance in function of the detected prostate deformation. Both systems are currently evaluated in clinical field studies with the final objective to integrate them into the Urostation® platform of Koelis. In the following sections we will summarize the methods, experiments and results that were obtained during this project.

## 2. Methods

### 2.1. Simulator

In the PROSBOT project, the need for simulation of US guided biopsy was twofold. On the one hand, simulation could drastically enhance medical education (see [18]). On the other hand biomechanical models could be very useful for providing predictions to image processing [19] or for force rendering during co-manipulation with a robot. Both aspects were studied in the framework of this project.

As introduced, ultrasound (US) guided biopsy is a difficult gesture requiring among others good hand-eye coordination, 3D representation abilities and good skills in US image understanding. Thanks to our previous work [4] for 3D prostate biopsy mapping, a large database of patient 3D US images was available making possible the development of simulation tools where a virtual biopsy session could be performed on real patient clinical data. To our knowledge, some simulators exist for prostate needle insertion but most of them are developed for prostate brachytherapy (see [37] for a more detailed literature

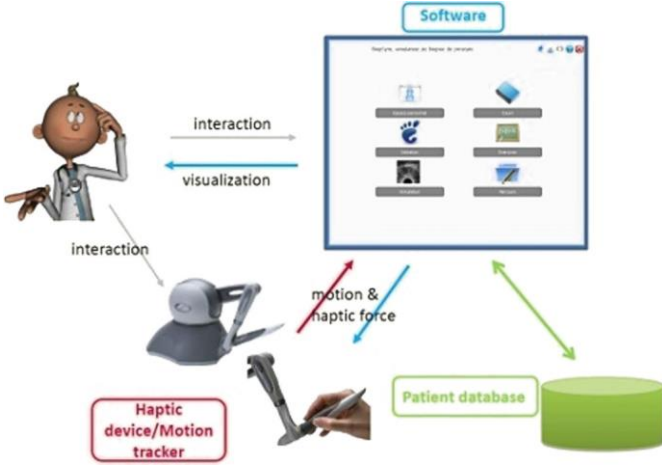


Fig. 1. First version of the simulator.

review). Our simulator developed in the CamiTK<sup>1</sup> framework integrates a database of anonymous patient data and images, a haptic device which allows the trainee to move a mock-up US probe and a software kernel which computes a new US image depending on the position of the probe. The simulator also includes specific exercises (US image understanding, 3D representation, ability to target a quadrant or a MRI target) and didactic material. It also provides two levels of guidance depending on the trainee's skills; for instance a 3D visualization of the ultrasound image plane with respect to the prostate can be made available to the trainee to improve his/her understanding of probe movements. A score allows evaluating the progress of the trainee.

During the project, three versions of the simulator were developed and experimented. Compared to the first version (see Fig. 1) and based on experimental results, the second version included a more realistic interaction device (anus and US probe mock-up – see Fig. 2). The first two versions computed the simulated US images as a direct re-slice of the original patient 3D image, the third version of the system includes also the computation of the deformation of the image based on the position of the probe and a physically-based biomechanical model running in real-time (see Fig. 3).

The deformation does not integrate a specific model of human tissues and organs but considers the 3D US image globally as an elastic deformable volume (see [37]). This is very similar to what Bajcsy et al. [1] proposed for elastic registration of images in the early stages of this research domain.

Regarding the second possible use of simulation (data generation for robot control or image processing) our simple physically-based model is suitable for visualization in a simulator but may be not accurate enough. To address these needs, a more formal biomechanical model of the prostate is also being studied. The objective is to provide a more accurate and realistic computation of prostate motion and deformation in real-time or

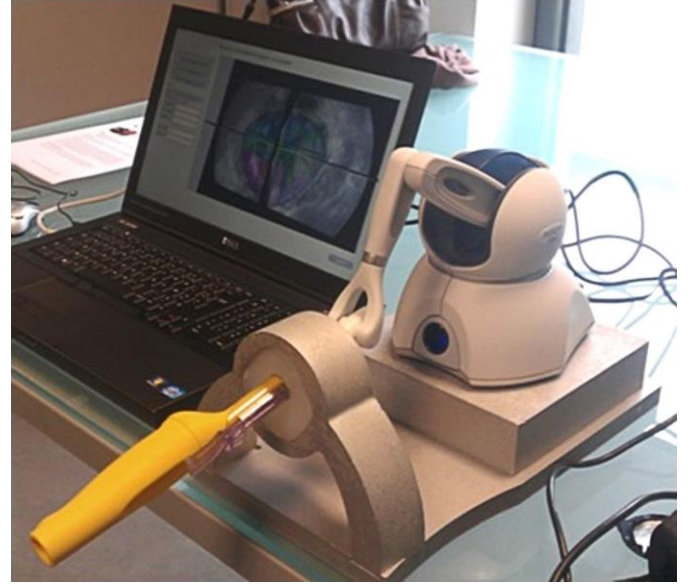


Fig. 2. Improvement of the interaction.

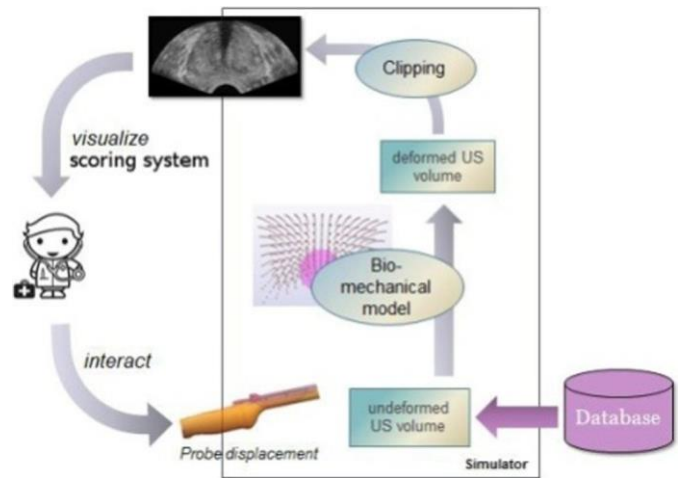


Fig. 3. Integration of image deformation.

interactive time (i.e., a time compatible with an intra-operative application). A major effort has been made in order to compare different types of models (finite element models, mass-spring, mesh resolutions, constitutive law parameters, etc.) and to evaluate them using ground truth data. A workflow (see Fig. 4) has been set-up enabling the acquisition and exploitation of data from a realistic phantom and measuring the error of each step of the pipeline.

Specific collision detection has also been developed for simulating the interactions of the US probe with the patient body.

## 2.2. Robotic probe holder

The surgical gesture and its impact on the diagnostic were first studied thanks to recorded data with Urostation<sup>®</sup> [25,26, 6–11]. From that it appears that such a robotic system could

<sup>1</sup> CamiTK is an open-source software environment for the development of Computer Assisted Medical Intervention applications. See <http://camitk.imag.fr> for more details. Also see [15].



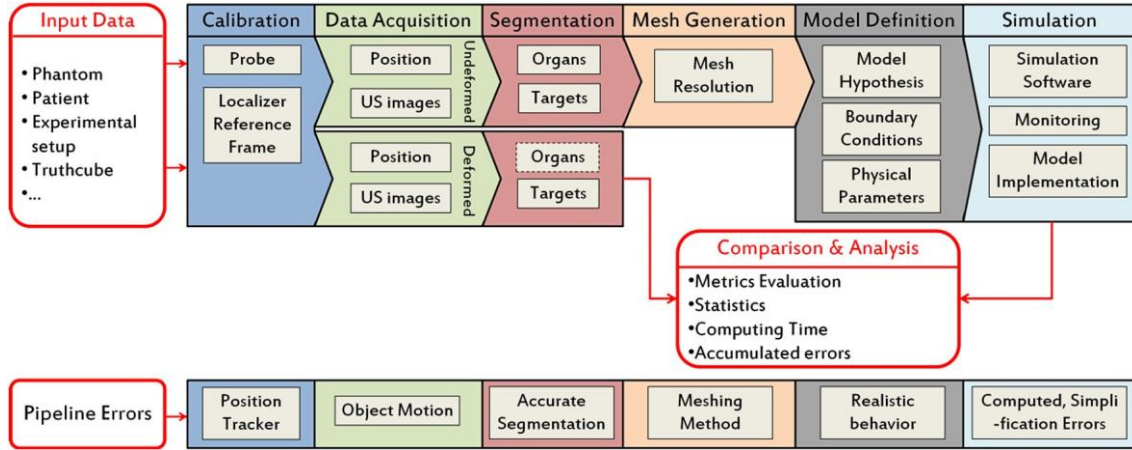


Fig. 4. Pipeline for biomechanical evaluation.

bring noticeable improvement to the process. Thanks to an analysis of the existing devices destined for prostate biopsy and prostate brachytherapy and taking in account the economic constraints attached to the examination, guidelines are led out for our robot design: it will have to use the ultrasonic image as the only source of extrinsic information, pass through transrectal access, exhibit 6 degrees of freedom and exploit the co-manipulation paradigm [20]. A robot satisfying these criteria is developed: Apollo is an anthropomorphic arm exhibiting a hybrid actuation (three brakes and three motors), an interesting solution as regards performances, cost and patient safety [30], see Fig. 5 and 6. Different assistive functions can be performed with such a system. A free mode allowing the urologist to control the probe movements without any influence from the robot is first presented. An analysis of the gesture during a pointing task proves that the free mode exhibits a satisfying transparency, thanks to material and software design [31]. A locked mode is then developed: it precisely locks the ultrasonic probe in its position while exhibiting a low stiffness. The performances of this control mode are tested both in vitro and in cadavero, which justify a posteriori Apollo's design. Given that the anus can move during the examination and that the acceptable limit for the efforts applied on it is unknown, it is crucial to determine how to respect this anatomical constraint. Thanks to a robot that is similar to Apollo but exhibits six motorized degrees of freedom, two control laws are compared: a "wrench displacement" control law and a "lever effect" control law. It is proved that any force feedback assisting function can be realized with Apollo controlled by a "lever effect" command and respect the anatomical constraint, provided that this function can be expressed as a virtual force applied on the distal part of a co-manipulated tool.

An example of such an assistance function is then presented: an increase in the apparent stiffness of the prostate based on real time ultrasonic imaging [27–29]. This function has been implemented and tested at the beginning of the thesis here presented on a basic prototype but it still demonstrates the feasibility of such an image based force feedback.



Fig. 5. Robotic probe holder.



Fig. 6. Installation on the patient bed.

Finally, Apollo being already fitted with two basic modes (free and locked) and a methodology to compute a force feedback control law that respects the anatomical constraint thanks



Fig. 7. Experiments on a phantom.

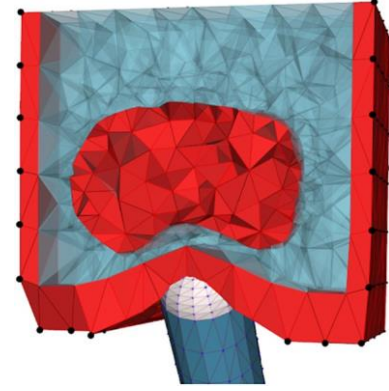


Fig. 8. Simulation of probe motion and phantom deformation.

to co-manipulation, exploiting its automatic functioning capabilities is proposed. An assistance to precise positioning featuring a loop on the ultrasonic image is implemented and preliminary tested in vitro: it allows to bring the line of sight of the biopsy needle near a target defined in the prostate with a satisfying precision [32].

### 3. Experiments and results

#### 3.1. Evaluation of the simulator and biomechanical models

The different versions of the educational simulator have been studied with non-clinicians, medicine students, residents and expert clinicians [36,14]. For each user, different elements are recorded concerning his/her ability to practice the exercises (image reading, accuracy to a target, ability to practice standard 12-cores protocol, etc.), the time he/she spent, his/her training history, etc. For the sake of simulator evaluation, each user also fills a questionnaire (see [36]). The first evaluation of the simulator was based on the experience of eight non-clinicians (PhD and master students) and proved the reliability and face validity (realism judged by non-experts). The second evaluation of the simulator was based on the experience of 21 clinicians (14 medical students and 7 trained urologists) and proved the content validity (realism judged by experts) and construct validity (scoring able to discriminate novice and expert). The collected comments led us to the development of the third version of the simulator. The image deformation was evaluated using data coming from a physical phantom and was also assessed qualitatively by expert clinicians. A more complete evaluation of this last version of the educational simulator is planned for 2015 (see Section 4).

Concerning the biomechanical model for image processing or robot control, acquisition on a realistic deformable phantom with a 3D tracked US probe was performed (see Figs. 7 and 8). The real position of fiducials after deformation was extracted from US images and compared to the simulated ones. This enabled us to finalize the pipeline and to develop all the image and information processing tools necessary for extensive simulation, and to perform all the data acquisition required for model comparisons.



Fig. 9. Cadaver study.

In order to prepare the acquisition of patient data during prostate biopsy sessions with the PROSBOT robot,<sup>2</sup> we also developed a new probe-robot calibration method exploiting information resulting from image registration of a phantom [34].

#### 3.2. In cadavero studies of the Apollo robot

Two experiments were performed at the Paris Surgical School. During each of these sessions, the robot's installation and the basic control law were tested on cadaver by 3 urologists. The robot was under sterile drapes.

Positioning of the robot was evaluated for two cadaver positions: left lateral decubitus and gynecologic position. For the installation, the robot base is roughly placed directly onto the table behind the legs of the cadaver (see Fig. 9) or on an adjustable height stool between the legs.

Then the urologists were asked to insert the probe into the rectum, to scan the entire prostate and to mimic a biopsy session.

<sup>2</sup> Let us mention that in this case the robot is used for acquiring the position of the US probe corresponding to US volumes of patients. The robot control does not depend on the model yet.



For each trial, the robot workspace was sufficient to scan the prostate even with a rough installation. Furthermore, the urologists acknowledged that the robot-assisted gesture was comfortable and did not negatively impact or misguide the natural gesture. They particularly appreciated the gravity compensation of the probe provided by the robot. Indeed we observed that their probe handling was changed: instead of holding full hand as they do in clinical procedure, they held lightly, sometimes even with only 2 fingers.

These experiments showed the soundness of the proposed approach.

### 3.3. Clinical evaluation of the Apollo robot

Following the successful cadaver experiments clinical trials focusing on the free mode and the locked mode of the robot were prepared. The objective is to evaluate the practical usability and performance of the robot on the patient.

Essential requirements of the medical device directive 93/42/EEC needed to be respected, except for the aspects that are to be assessed during the clinical evaluation. An exhaustive risk analysis was performed to identify and control potential risks for patients and users. Furthermore, the robotic system was improved to comply with the applicable norms for medical devices, in particular the norms for basic safety of medical electrical equipment (EN 60601-1) and for medical software lifecycle process (IEC 62304).

A research protocol for a prospective randomized clinical trial, designed with respect to the state-of-the-art, was submitted to the sponsor of the clinical study (Grenoble University Hospital) and the relevant legal authorities (ANSM, CPP, CNIL). The main objective was to compare the accuracy of biopsies performed with and without the assistance of the medical device Apollo. Secondary objectives are related to the feasibility of the locking, its accuracy and the satisfaction of Apollo. It is planned to include 20 patients in this proof of concept study. The first patient was included on 12 November 2014, after obtaining the permissions to conduct the trial by all legal authorities, making it possible to perform the first intervention with robotic assistance in January 2015. Fig. 10 illustrates the ongoing operator training.

## 4. Discussion

### 4.1. Simulator

Regarding the educational simulator, the next phase will consist in fully evaluating the third version. This includes the comparison of two groups of students trained with and without the simulator. To finalize the evaluation, we also propose to use the “virtual biopsy” mode of the Urostation® (Koelis) in order to determine the ability for a trainee to transfer what he/she has learnt to real patients.

Concerning the biomechanical model, the next stage will consist in acquiring real data on patients in order to fully evaluate the possible models using the implemented pipeline.



Fig. 10. Operator training for clinical study.

### 4.2. Robot

One key aspect of the procedure is the precision with which the needle aims at the desired biopsy location: the more accurate the needle placement is, the more accurate the diagnosis will be. An increase in the needle positioning process could also lead the way to focal treatments that are known to present less side-effects. First proofs of concept of two advanced modes of control have been proposed: force feedback enhancement and automatic adjustment of the probe position. These modes must be tested through new experiment with more realistic conditions.

### 4.3. Industrialization

Both the simulator and the Apollo robot are being transferred for industrialization, the Apollo project being in a more advanced state. Several potential improvements have been identified regarding the ergonomic installation of the robot, in particular its deployment on a lightweight and non-encumbering cart. Furthermore, a cost reduction analysis is ongoing to meet client budget requirements. Also, the maintenance constraints are currently identified and will lead to changes in design. Finally, the robot software control will be enhanced with additional service functions for user communication and maintenance. Such redesigns are typical for the evolution of a proof of concept prototype into a commercial product. It is planned to commercialize Apollo in 2016. The distinctive features of the robotic device are protected by national and international patents [16, 17, 42, 43].

The simulator can potentially considerably improve the learning curve for prostate biopsy acquisition and would be an appreciated function for the Urostation®. Recently a new study was launched to analyze the feasibility of using the simulator in conjunction with the robot to replace the phantom hardware that would otherwise need to be provided in addition to the robot. First prototypes stemming from this study are expected for mid-2016.

## 5. Conclusion

The Probot project is a demonstration of a successful collaboration of scientific laboratories with clinical and industrial partners. The two main objectives of the project, conceiving a co-manipulated probe holder and a simulator for prostate biopsy, were reached and the first results of the industrial transfers are very promising. Both devices will help to improve prostate cancer management through a steeper learning curve and a more accurate clinical gesture.

## Acknowledgements

This project was partially supported by French state funds managed by the ANR within the Investissements d'Avenir programme (Labex CAMI) under reference ANR-11-LABX-0004 and through the PROSBOT project under reference ANR-11-TECS-0017. It further received funds from the French government agency *Association Nationale de la Recherche et de la Technologie* (CIFRE 2012/1247).

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