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1 Title

2 Whole-body 3D kinematics of bird take-off: key role of the legs to propel the trunk

3 Authors

4 Pauline Provini^{1,2}, Anick Abourachid¹

5

6 Affiliation(s) and address(es) of the author(s)

- 7 ¹Muséum National d'Histoire Naturelle, AVIV, UMR 7179, 55 rue Buffon 75005 Paris,
- 8 France.
- ⁹ Université Paris Descartes, 12 rue de l'Ecole de Médecine 75270 Paris, France.

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11 Corresponding author's information

- 12 P. Provini
- 13 E-mail address: pauline.provini@mnhn.fr
- 14 Phone: +33 (0)1 40 79 32 11
- 15 ORCID number: 0000-0002-9374-1291

16

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30 Abstract

Previous studies showed that birds primarily use their hindlimbs to propel themselves into the 31 air in order to take-off. Yet, it remains unclear how the different parts of their musculoskeletal 32 system move to produce the necessary acceleration. To quantify the relative motions of the 33 bones during the terrestrial phase of take-off, we used biplanar fluoroscopy in two species of 34 birds, Diamond Dove (Geopelia cuneata) and Zebra Finch (Taeniopygia guttata). We 35 obtained a detailed 3D kinematics analysis of the head, the trunk, and the three long bones of 36 the left leg. We found that the entire body assisted the production of the needed forces to take-37 off, during two distinct but complementary phases. The first one, a relatively slow preparatory 38 phase, started with a movement of the head and an alignment of the different groups of bones 39 with the future take-off direction. It was associated with a pitch down of the trunk and a 40 flexion of the ankle, of the hip and to a lesser extent of the knee. This crouching movement 41 could contribute to the loading of the leg muscles and store elastic energy that could be 42 released in the propulsive phase of take-off, during the extension of the leg joints. Combined 43 with the fact that the head, together with the trunk, produced a forward momentum, the entire body assisted the production of the needed forces to take-off. The second phase was faster 45 with mostly horizontal forward and vertical upward translation motions, synchronous to an 46 extension of the entire lower articulated musculo-skeletal system. It led to the propulsion of 47 the bird in the air with a fundamental role of the hip and ankle joints to move the trunk 48 upward and forward. Take-off kinematics were similar in both studied species, with a more 49 pronounced crouching movement in Diamond Dove, which can be related to a large body 50 mass compared to Zebra Finch. 51

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53 **3-6 key words**

- 54 3D kinematics, X-ray Reconstruction Of Moving Morphology, trunk, hindlimbs, Zebra Finch,
- 55 Diamond Dove.

Introduction

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Take-off is a challenging phase of flight as it allows the transition between two environments with different physical constraints. The animal must move from a standing position with a 58 very low speed, to an efficient flying posture with enough velocity to stay inflight. Therefore, 59 take-off involves significant transitional motions of the articulated system between two 60 locomotor positions, combined with the production of enough acceleration to propel itself into 61 the air. In small animals, such as insects or small birds, take-off generally starts with a jump (Alexander 1995; Dudley 2002; Manzanera and Smith 2015), consisting in a crouching 63 movement followed by a rapid extension of the legs. It has been observed that the first wing 64 downstroke starts after lift-off (Brackenbury 1992; Card and Dickinson 2008; Earls 2000; Manzanera and Smith 2015; Provini et al. 2012b; Zumstein et al. 2004), suggesting that the 66 legs produce most of the acceleration needed to become airborne. In birds, ground reaction 67 force measurements during take-off (Bonser and Rayner 1996; Earls 2000; Heppner and 68 Anderson 1985; Tobalske et al. 2004) and a quantification of wings' and legs' contribution in 69 the small columbid Diamond Dove (Geopelia cuneata) and the passerine Zebra Finch 70 (Taeniopygia guttata)(Provini et al. 2012b) confirmed this hypothesis. Interestingly, despite 71 large discrepancies between the different studied species in terms of body size, terrestrial 72 locomotion strategy, wing morphology, and slow-flight kinematics, the most important 73 differences were not observed in the production of aerodynamic forces during the first 74 wingbeats, but in the ground reaction forces, when the bird is still in contact with the perch. 75 Indeed, both the magnitude and the timing of the forces generated by the two species of birds 76 were different (see SM1 and Provini et al. (2012b)). Here, we aim to understand how the 77 different parts of the avian musculoskeletal system moved in relation to each other to produce 78 the necessary force to take-off and what in the kinematics could explain the differences 79 observed in the ground reaction forces between Diamond Dove and Zebra Finch. 80 In spite of the obvious importance of take-off to flight only few studies have investigated bird 81 take-off kinematics (Berg and Biewener 2010; Earls 2000; Provini et al. 2012b; Tobalske et 82 al. 2004). On the one hand, the fact that take-off is a non-cyclic transitional motion makes it 83 relatively difficult to study. Contrary to walking, running, hopping, swimming or flying, for which a high number of cycles can be recorded, it is more challenging to obtain a great 85 number of take-off trials. Moreover, the lack of data could be explained by several technical 86 factors. First, the rapidity of take-off requires high-speed video cameras to capture the 87 movement of the animal. Furthermore, as feathers hide most of the body in birds, it is 88 impossible to infer accurately the skeleton motions of the proximal parts of the limbs solely with the use of light cameras; thus, X-ray imaging has to be included in the data acquisition. 90

Third, motions of the head, the trunk, and the hindlimbs are not truly planar. Recent studies in 91 birds have demonstrated the importance of long-axis rotations in hindlimbs kinematics 92 (Kambic et al. 2014) which can only be quantified with an accurate 3D analysis of the bones 93 motions. Until recently, it was nearly impossible to acquire high-speed 3D X-ray data, but 94 thanks to the combination of X-ray imaging and computational process (X-ray Reconstruction 95 of Moving Morphology, XROMM), we are now able to record precise and accurate 3D 96 skeletal movement (Brainerd et al. 2010; Gatesy et al. 2010) and have access to relatively 97 concealed motions. The present study took advantage of these technical advances and used 98 XROMM methods to carry out a 3D kinematics analysis during the terrestrial phase of take-99 off, finishing when the bird loses contact with the perch at lift-off. 100

We chose Zebra Finch (*Taeniopygia guttata*) and Diamond Dove (*Geopelia cuneata*) for their compatibility in terms of size and behaviour with our experimental protocol and because of the ground reaction forces data previously obtained from a similar experiment (Provini et al. 2012b), allowing us to draw a biomechanical comparison between these two species.

We carried out a kinematic analysis of the lower appendicular skeleton, i.e. the legs' three 105 long bones (femur, tibiotarsus, and tarsometatarsus) and the pelvis. Including the pelvic girdle 106 in our kinematics analysis, in addition to the leg bones, was motivated by the fact that the 107 trunk participates in the crouching movement of the first phase of take-off and also because 108 the trunk is known to play an important role to guide the entire body trajectory in bird 109 locomotion (Abourachid et al. 2011; Berg and Biewener 2010; Gatesy 1999; Provini et al. 110 2012a). Finally, we included the head kinematics in our study as its position differs from a 111 standing to a flying posture (Maurice et al. 2006) and to investigate its potential role in take-112 off kinematics. Because the role of the wings is not determinant to propel the centre of mass 113 during this phase (Provini et al. 2012b) we did not include the wing motions in our study, 114 115 however, the two light cameras allowed us to follow their general motions.

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Materials and methods

1. Animals

Two *Taeniopygia guttata* (mean+s.d mass: 15.4±1.2g) and two *Geopelia cuneata* (mean+s.d mass: 52.0±3.2g) were purchased from commercial dealers, housed in flight cages, and provided with food and water *ad libitum* at the Concord Field Station in Bedford, MA, USA. They were trained to take-off from a wood perch in the scope of two C-arms and two visible-light video cameras (Fig. 1).

2. Surgical and experimental protocols

126 Prior to videographic recording, birds were implanted with 0.5 mm diameter tantalum beads. For this purpose, birds were anesthetized with isoflurane in O2. Anaesthesia was induced 127 using a custom constructed facemask and maintained for the duration of the surgery through 128 an endotracheal tube. Then, feathers overlying the implantation sites were removed and a 129 small cutaneous incision was made. Connective tissue and muscle were incised and 0.5 mm 130 diameter tantalum beads were implanted on the head, the pelvis and left hindlimb bones 131 (femur, tibiotarsus, and tarsometatarsus). We implanted one to three markers per bones: one 132 marker on the beak, one on the pelvis, two on the left femur, two on the left tibiotarsus, and 133 three on the left tarsometatarsus (SM2). When two or three markers were implanted on the 134 same bone, we spaced them as far apart as possible to maximise the accuracy of bone 3D 135 reconstruction (Brainerd et al. 2010). Finally, after all tissues were sutured closed, birds were 136 allowed to recover until a normal locomotion behaviour had resumed. All experiments were 137 conducted in accordance with international and institutional guidelines for the care and use of 138 the animals. 139 140 Birds were recorded while taking-off at a resolution of 1080 x 1024 pixels and a speed of 1000 frames per second (fps) using two X-ray videography systems, each composed of a 141 Photron 1024 PCI camera (Photron USA Inc., San Diego, CA, USA) coupled to an X-ray C-142 arm system (Model 9400, OEC-Diasonics Inc., remanufactured by Radiological Imaging 143 Services). The two X-ray C-arms were set in a lateral and a dorsoventral positions and set to 144 emit at 60 kVp and 100 mA (Fig. 1). Two visible-light video cameras were added to the 145 experimental setup: a Photron 1024 PCI (Photron, Inc., San Diego, CA, USA) recording at a 146 resolution of 1024 x 1024 pixels and 1000 fps a Phantom MiroEx4 (Vision Research Inc., 147 Wayne, NJ, USA) recording at 800 x 600 pixels and 1000 fps (Fig. 1). We followed 148 recommendations regarding distortion correction and calibration of the space during the 149 experiment (Brainerd et al. 2010; Gatesy et al. 2010). We use both a perforated steel sheet 150 with precise hole size and spacing for distortion correction and a calibration object for 151 accurate camera 3D placement for each video. We selected trials where birds kept a relatively 152 straight trajectory during take-off. 153

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3. Bone models and XROMM analysis

To obtain the bones models, needed for the XROMM analysis, animals were sacrificed via an overdose of isoflurane inhalant and scanned in a micro computed tomography (μ CT) system (XRA-002 X-tek microCT scan available at the Center for Nanoscale Systems at Harvard University; 66kV, 130mA and a resolution of 0.04mm on each axis). We used Avizo (version

6.3; FEI Visualization Sciences Group) to reconstruct bones models from the μCT scans. 160 Then, models were imported in obj file format into Maya (version 2013; Autodesk). For 161 bones with less than three markers, we used rotoscoping methods (Gatesy et al. 2010) or a 162 combination of rotoscoping and marker-based methods (Brainerd et al. 2010), with marker 163 positions digitized and reconstructed in 3D, using the program XrayProject 2.2.4 in MATLAB 164 (Brainerd et al. 2010) (www.xromm.org). We obtained a 3D animation of the head, the trunk, 165 and the three long bones of the left hindlimb (femur, tibiotarsus, and tarsometatarsus) for two 166 take-off trials in two Zebra Finches and two Diamond Doves (Figs 2a, 2b, SM3). 167

The XROMM analysis provided the 3D motion of five rigid bodies: head, trunk (pelvis, keel

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4. Kinematics analysis

a. Three-dimensional coordinate systems

and rib cage considered as one rigid body), femur, tibiotarsus (tibia and fibula considered as 172 one rigid body), and tarsometatarsus. To quantify the 3D motion of joints and bones, we used 173 a combination of two sets of coordinate systems: Anatomical Coordinate Systems (ACSs) and 174 Joint Coordinate System (JCS) (Grood and Suntay 1983) that were previously proposed as a 175 common framework, established for guineafowl hindlimbs, to facilitate comparisons among 176 individuals and different avian species (Kambic et al. 2014). 177 The relative motions between a bone and a global coordinate system can be measured with 178 one ACS and one global coordinate system. The construction of the ACSs was exclusively 179 based on skeletal anatomy. We defined a global coordinate system to quantify the 3D motions 180 of both the trunk and the head. Its origin was defined as the point on the perch intersecting 181 with the sagittal plane of the trunk at the beginning of the take-off trial, with a horizontal X-182 axis in the direction of the trunk motion pointing backwards, a vertical Z-axis pointing up, and 183 a horizontal Y-axis perpendicular to the two previous axes pointing to the right. For the trunk, 184 we used the pelvis ACS previously described (Kambic et al. 2014) (SM4), for the head, we 185 built a specific ACS, following a similar rationale. To establish the head ACS origin, we 186 isolated the two orbits in the head 3D model and fitted them with spheres in Geomagic Studio 187 2013 (3D Systems, Morrisville, NC, USA). The midway between sphere centroids was used 188 as the origin, the Y-axis ran through the right and left orbit centres, positive to the right, the 189 X-axis ran orthogonally down the midline, intersecting the middle of the beak tip and the 190 occipital region, positive pointing caudally, the Z-axis was set orthogonal to both Y-axis and 191 X-axis, positive dorsally (SM4a). 192

Moreover, the relative motions between two adjacent bones can be measured with a 193 combination of two ACSs, one on each bone, enabling the definition of a JCS for the 194 corresponding joint. The characteristics of the ACSs (origin and orientations) were previously 195 defined for the trunk, femur, tibiotarsus and tarsometatarsus (Kambic et al. 2014), allowing us 196 to build the JCSs corresponding to the hip, knee, and ankle. We built a specific JCS for the 197 head. Z-axis rotations (Yaw and flexion-extension) measured rotation of the head ACS about 198 a fixed, global vertical Z-axis, positive to the left. X-axis rotation (roll and long-axis rotation) 199 designated rotation about the local head X-axis, raising to the right orbit relative to the left 200 being positive. Y-axis rotations (pitch and abduction-adduction) quantified rotation about a 201 floating JCS Y-axis (always orthogonal to the yaw and roll axes), head up being positive 202 (SM4). 203

A reference pose (SM4) was also built following Kambic et al. (2014) recommendations. All translations and rotations were set to zero, each pair of ACS contributing to the JCS was perfectly aligned. The head JCS was aligned on the pelvis JCS, head and pelvis interpenetrating (SM4g).

Thereby, for each trial of each species of birds, we obtained a dataset of 6 variables, 208 corresponding to the six degrees of freedom motions, of the left hip, left knee, and left ankle, 209 as well as of the trunk and the head, relative to a global coordinate system. Translations along 210 the X-axis (tx), the Y-axis axis (ty), and the Z-axis (tz) were measured for the head, trunk, left 211 hip, left knee and left ankle. Roll (rx), pitch (ry) and yaw (rz) were obtained for the head and 212 the trunk. Long-axis rotation (rx), abduction/adduction (ry), and flexion/extension (rz) angles 213 for the left hip, left knee and left ankle (Figs 3, 4) were also calculated. We followed previous 214 similar analysis for notations (Gidmark et al. 2012). We defined letters to refer to each rigid 215 body or joint: head (H), trunk (Tr), hip (Hip), knee (Kn), ankle (Ank). These letters were 216 followed by either "t" for translation along or "r" for rotation about, and "x", "y", or "z" to 217 denote the specific axis. Therefore, translation about the x-axis of the head would be H_{tx}. 218

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b. Trials synchronisation and space adjustment

As birds did not perform take-off exactly at the same rate, trials lengths differed. To be able to compare and average trials among a given species, we synchronized our data in both space and time. Our observations of all the take-off trials revealed that the minimum of head vertical translation (H_{tz}) corresponded to a repeatable event, thus we used it to set a synchronization time. The timing of each degree of freedom motions of rigid bodies or joints was synchronized to this instant. Then, trials were cropped to start at the beginning of take-off (t_0), when the bird initiates the crouching movement and the alignment of the head with the rest of

the body, and to end at lift-off (LO), when the bird loses contact with the perch. We calculated a sequence percentage with 0% corresponding to t₀ and 100% corresponding to lift off (LO).

Moreover, as the initial point of each take-off was slightly different among trials, we shifted the data to an arbitrary point. For each degree of freedom motions of rigid bodies or joints, we

recorded the magnitude at synchronized time equals zero and subtracted this value to the corresponding data set (for example, if the magnitude of Tr_{tx} at synchronized time equals zero

vas equal to 2.1 for a given trial, we subtracted 2.1 to the Tr_{tx} data of the entire trial).

236 Therefore, further of translations and rotations values were not absolute. The synchronized

237 data adjusted in space allowed us to calculate an average of each degree of freedom motions

238 of rigid bodies or joints within each species.

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c. Take-off sequence

We observed three key events during takeoff (SM3): 1) take-off start (t₀), when the bird 241 initiates the crouching movement and the alignment of the head with the rest of the body, 2) 242 beginning of leg extension, also corresponding to the end of the crouching movement, 3) lift 243 off, LO, when the bird loses contact with the perch (Fig. 2). These three events defined two 244 distinct phases, previously named "phase 1" and "phase 2" (Provini et al. 2012b). 245 The beginning of the leg extension phase corresponded to a modification of rigid bodies 246 trajectories. To extract the instant it occurred, we used the trunk trajectory (Tr_{tx}, and Tr_{tz}), 247 because the motions of the trunk, being the heaviest part of the body, was considered as a 248 proxy for the motions of the center of mass. We performed a segmented linear regression, 249 using the R package segmented (version 0.5-1.4) (R Development Core Team 2010). It 250 provided a breakpoint for Tr_{tx}, and Tr_{tz} for each trial of each species of birds. We averaged the 251 results and obtained an objective measure to divide the take-off sequence between the 252 "crouching movement phase" and the "legs extension phase" for each species (SM5). Note 253 that the timing of the breakpoint was not necessarily similar to the synchronization time. 254

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d. Bones and joints motion quantification

Using the R package stats (version 3.3.0) (R Development Core Team 2010) and with the previously synchronized and space adjusted data (tx, ty, tz, rx, ry, and rz for the head, trunk, left tibiotarsus and left tarsometatarsus), we averaged and calculated standard deviation at each time step for the four trials of Diamond Dove and the four trials of Zebra Finch. We calculated a magnitude, corresponding to the difference between the maximum

value and the minimum value of each variable. We calculated the mean and standard 262 deviation associated to the magnitude of each previous variable, during phase 1 and during 263 phase 2 (Table 1). 264 To quantify the change in velocity between the two phases of take-off, we derived Trtx and 265 Trtz on each trial (SM6). We calculated the mean and standard deviation associated to trunk 266 velocity on the X and Z axes for phase 1 and phase 2 in each species of birds. 267 The head aligned with the trunk before the beginning of legs extension (Fig. 2, SM3). To 268 quantify this alignment, we measured the angle formed between the head and the trunk. To do 269 so, we used the 3D animation obtained from Maya (Autodesk, version 2013) and added 270 virtual markers (VM), corresponding to small icons on the Maya scene that mark a point in 271 space. We added one VM at the most caudal part of the sagittal plane of the trunk, at the level 272 of the first caudal vertebrae (VM_{TI}) , and another one on the most cranial part of the sagittal 273 plane of the trunk at the level of first thoracic vertebrae $(VM_{T2})(SM2)$. We measured the 274 angle formed by these two virtual markers and the marker located on the beak during each 275 trial. We named this variable angle neck and calculated mean and standard deviation for this 276 variable for each species of birds at each time step. 277 In order to capture the neck flexion/extension, we measured the distance between the head 278 and the trunk, between a virtual marker located at the level of the supraoccipital bone on the 279 sagittal plane of the head (VM_{HI}) and VM_{H2} . We named this variable dist neck and calculated 280 the mean and the associated standard deviation for each species of bird at each time step (Fig. 281

and the trunk, between a virtual marker located at the level of the supraoccipital bone on the sagittal plane of the head (VM_{HI}) and VM_{H2} . We named this variable $dist_neck$ and calculated the mean and the associated standard deviation for each species of bird at each time step (Fig. 5a). Following the same rationale, to capture the entire limb flexion/extension effect, we used VM_{T2} and placed another virtual marker on the most distal part of the tarsometatarsus at the third digit trochlea level (VM_{Tmt1}) (SM2). We named this variable $dist_limb$ and calculated the mean and the associated standard deviation for each species of bird at each time step (Fig. 5b).

Considering the small number of trials and specimens, inter-species comparisons were only

performed qualitatively for each variable, with the help of the figures (Figs 2, 3, 4, 5).

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290 Results

1. Take-off sequence

Take-off was divided into two phases, phase 1 and phase 2, corresponding to a downward motion of the trunk followed by an upward motion of the trunk. The segmented linear regressions of the trajectory of the trunk showed that two linear regressions could fit the data for Tr_{tx} and Tr_{tz} as a function of the sequence percentage for both species of birds (SM5).

For Diamond dove, we found a breakpoint at $63.6\pm2.2\%$ of take-off sequence for Tr_{tx} and $65.5\pm3.0\%$ for Tr_{tz} . We thus considered that the beginning of phase 2 occurred at $64.5\pm2.7\%$ of take-off sequence. For Zebra finch, the breakpoint occurred at $59.1\pm4.3\%$ of take-off sequence for Tr_{tx} and $64.6\pm7.9\%$ for Tr_{tz} . Therefore, we considered that the beginning of phase 2 was at $61.8\pm6.6\%$ of take-off sequence.

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5. 3D motions analysis

a. Head motions

During phase 1, the head aligned with the sagittal plane of trunk. This is visible on the 304 dorsoventral view of Fig. 2a for Diamond dove and in Fig. 2b for Zebra Finch. In Diamond 305 Doves, angle neck went from 140.5±2.8 deg at the beginning of phase 1 to 178.6±3.4 deg at 306 the beginning of phase 2. In Zebra Finch, angle neck went from 144.58±4.6 deg at the 307 beginning of phase 1 to 178.01±2.6 deg at the beginning of phase 2. Thus in both species, the 308 head was in very close alignment to the trunk at the beginning of phase 2. This alignment was 309 linked to a rotation of the trunk or of the head along the vertical axis (Figs 2, 3). As the 310 magnitude of H_{rz} (the difference between the maximum and the minimum of H_{rz}), during 311 phase 1 was lower than Trtz for Diamond Dove, it suggested that the alignment was mostly 312 due to a trunk rotation. In contrast, because the magnitude of H_{rz} was higher than of Tr_{rz} for 313 Zebra Finch, the alignment was mostly due to the head rotation. At the same time, dist neck 314 slightly decreased in Zebra Finch, equivalent to a flexion of the neck (Fig. 5a), whereas it was 315 not the case in Diamond Dove. The magnitude of H_{tz} was higher compared to the magnitude 316 of Tr_{tz} in both species, suggesting that the flexion was due to the vertical upward translation 317 of the head downwards. The head also translated forward in both species during phase 1. 318 During phase 2, the head remained aligned with the sagittal plane of the trunk with a 319 consistent value of angle neck of 181±3.6 deg in Diamond Dove and of 179±2.5 deg in Zebra 320 Finch (Fig. 2). The head followed a linear trajectory in the direction of take-off with a similar 321 shape of H_{tx}, H_{ty}, and H_{tz} as Tr_{tx}, Tr_{ty}, and Tr_{tz} respectively. The increase of dist neck during 322 phase 2 corresponded to an extension of the neck. 323

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b. Trunk motions

During phase 1, the velocity of Tr_{tz} was of 4.2 ± 3.8 mm/s in Diamond Dove, and of 3.1 ± 2.9 cm/s in Zebra Finch. Translations of the trunk were mostly caudocranial with a magnitude of Tr_{tx} (10.3 ±1.0 cm in Diamond Dove and 4.1 ± 2.6 in Zebra) relatively higher than the magnitude of Tr_{tz} (2.4 ±1.7 in Diamond Dove and 1.3 ± 1.3 cm in Zebra Finch) or of Tr_{ty}

(0.8±1.0 in Diamond Dove and 1.4±0.3 cm in Zebra Finch). This indicated a propulsion of the 330 trunk in the forward direction during this phase. Trunk rotations predominantly corresponded 331 to a downward pitch (Table 1 and Fig. 3). Trunk pitch was relatively higher in Diamond Dove 332 compared to Zebra Finch (magnitude of 17.5±3.8 deg and 7.43 deg respectively). In Diamond 333 Dove, roll and yaw motions were still substantial during phase 1, (magnitude of $Tr_{rx} = 7.1 \pm 2.8$ 334 deg and Tr_{rz} =7.6±2.7 deg), which was not the case in Zebra Finch (magnitude inferior to 2.5 335 deg) (Table 1). 336 During phase 2, the velocity of Tr_{tz} increased to reach 39.5±10.7 mm/s in Diamond Dove, and 337 28.2±8.8 cm/s in Zebra Finch. In both species, caudocranial translations of the trunk remained 338 important (magnitude of 21.7±2.9 cm in Diamond Dove and 11.9±7.2 cm in Zebra Finch) but 339 went with an increase of trunk vertical upward translations (magnitude of 13.0±2.7 cm in 340 Diamond Dove and 8.2±2.0 cm in Zebra Finch). Upward pitch of the trunk was high in 341 Diamond Dove (magnitude of 14.5±1.1 deg), which was not the case in Zebra Finch 342 (magnitude of 2.5 ± 3.6 deg). 343

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c. Hip motions

deg in Diamond Dove and 4.5±9.9 deg in Zebra Finch) (Fig.4), whereas abduction-adduction and long-axis rotations of the femur were low in both species (lower than 3 deg magnitude of, (Table 1).

During phase 2, extension of the hip was dominant (magnitude of 36.3±3.0 deg in Diamond Dove and 20.4±7.9 deg in Zebra Finch) (Fig.4). In Diamond Dove, abduction increased (magnitude of 5.2±2.8 deg), whereas adduction increased in Zebra Finch (magnitude of 6.5±3.3 deg). Long axis rotation became higher in Zebra Finch (magnitude of 10.7±6.2 deg),

which was less noteworthy in Diamond Dove (magnitude of 4.4±5.6 deg).

During phase 1, flexion of the hip was predominant in both species (magnitude of 11.6±2.9

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d. Knee motions

During phase 1, flexion-extension motions were low (less than 2 deg in both species) (Table 357 1). In Diamond Dove, we observed a relatively high adduction magnitude (magnitude of 358 8.4±10.1 deg), which was not true in Zebra Finch where the tibiotarsus remained static during 359 this phase of take-off (magnitude lower than 4 deg) (Fig.4). 360 During phase 2, we observed an increase of knee extension in both species (magnitude of 361 31.9±11.9 deg in Diamond Dove and 15.8±8.4 deg in Zebra Finch). This went with a rise of 362 the tibiotarsus long axis rotation (magnitude of 13.7±6.7 deg in Diamond Dove and 7.0±2.7 363 deg in Zebra Finch). In Diamond Dove, adduction stopped during phase 2, whereas abduction 364

increased in Zebra Finch (magnitude of 3.4±4.8 deg in Diamond Dove and 6.6±4.4 deg in Zebra Finch).

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e. Ankle motions

369 During phase 1, flexion of the ankle was predominant in Diamond Dove (magnitude of

370 8.9±4.8 deg), which was not the case in Zebra Finch, with a relatively low rotation

371 magnitudes in all three directions (Fig. 4).

372 During phase 2, the ankle extension became dominant (magnitude of 72.4±16.0 deg in

373 Diamond Dove and 39.8±16.6 deg in Zebra Finch) compared to adduction-abduction

374 (magnitude inferior to 3 deg in both species). We can note that long axis rotation was

375 relatively important in both species (magnitude of 11.0±8.3 deg in Diamond Dove and

376 11.1±7.1 deg in Zebra Finch).

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f. Timing of joint flexion-extension

379 In both species, dist_limb mostly increased during the take-off sequence, although in

380 Diamond Dove, this augmentation was preceded by a reduction of dist limb during phase 1

381 (Fig. 5).

382 In Diamond Dove, we observed a clear sequence of joint extension. First, the hip extension

began at around 45% of the take-off sequence, followed by the ankle extension at around 60%

384 of the take-off sequence, and finally the knee extension at around 75% of the take-off

sequence. In Zebra Finch, the sequence was not as obvious, with a synchronous extension of

386 the hip, knee and ankle at 50% of the take-off sequence.

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388 Discussion

Our 3D kinematics analysis revealed a stereotyped behaviour during perch take-off in two 389 species of birds, Taeniopygia guttata and Geopelia cuneata. Indeed, considering the small 390 number of recorded sequences, the relatively low standard deviations of translation and 391 rotation motions in both species (Table 1) demonstrates a consistency of motions among take-392 off trials. Overall, the kinematics is similar in the two studied species, although we observed 393 differences in motion magnitudes that could be linked to differences in size and long bone 394 395 proportions between the two species. In both species, take-off could be divided into two phases. Phase 1 corresponds to a preparatory phase, including both an alignment of the 396 different parts of the skeleton into the direction of the future motion and a crouching motion. 397

Phase 2 was described as a propulsive phase, with an extension of the lower appendicular skeleton, propelling the entire body into the air.

During phase 1, we observed relatively slow motions, with a trunk vertical velocity 400 corresponding to only 8.3% and 6.5% of the velocity at Lift off in Diamond Dove and Zebra 401 Finch, respectively. The head is aligning with the sagittal plane of the trunk, which is 402 403 demonstrated by angle neck, reaching 180 deg at the end of phase 1. This alignment is produced by both latero-medial translation and rotation of the head along the vertical axis 404 (Fig. 3). In this respect, the high variability of H_{rz} in Zebra Finch (Table 1) is linked to the fact 405 that some animals were looking to the right and others to the left at the beginning of the 406 sequence. By aligning with the future direction of take-off, the head anticipates the global 407 motion of the animal. This can be compared to what is observed in human locomotion where 408 the eyes and the head anticipate the change in trajectory during turning, with a delay of the 409 trunk (Imai et al. 2001). This alignment phase could contribute to reduce the aerodynamic 410 drag, one of the forces resisting the forward motion. Although drag dominates at higher 411 speeds than those observed during the terrestrial part of take-off, the frontal area remains an 412 important factor in the drag coefficient calculation (Pennycuick 1975) and a streamlined 413 profile contributes to reduce drag. Simultaneously with the head alignment, roll and yaw 414 motions of the trunk are relatively important in Diamond Dove, also suggesting a 415 reorientation of the trunk to align with the future motion of the animal. This motion could also 416 help to reach take-off velocity by aligning the joints in the take-off direction. 417 The crouching motion observed in phase 1 goes with a trunk pitch down, as well as with 418 flexions of the hip, knee and ankle (Fig. 4, Table 1). This leads to a decrease of dist limb in 419 both species (Fig. 5) and contributes to lowering down the entire body. Previous studies in a 420 variety of birds (Earls 2000; Heppner and Anderson 1985; Tobalske et al. 2004) suggested 421 that a continuum exists in the take-off strategies, where tiny birds (3-5 g) show a crouching 422 movement less exaggerated than the one displayed in small birds (15-90 g), which is itself 423 less pronounced than in medium birds (100 g to 1.5 kg) who exhibit a motion similar to a 424 425

that a continuum exists in the take-off strategies, where tiny birds (3-5 g) show a crouching movement less exaggerated than the one displayed in small birds (15-90 g), which is itself less pronounced than in medium birds (100 g to 1.5 kg) who exhibit a motion similar to a squat (Manzanera and Smith 2015). Zebra Finch and Diamond Dove fall at the two sides of the small bird category, with a less pronounced pitching movement in Zebra Finch compared to Diamond Dove. This classification suggests that the size of the bird is correlated to the intensity of the crouching movement. A heavier bird needs more force output to take-off, per unit time compared to a smaller bird. However, because muscular force is proportional to muscular cross-section (a squared measurement), whereas weight is proportional to a volume (a cubed measurement), the potential muscular force generated by a heavy bird is lower than in a lighter bird. A higher flexion of the ankle and the hip in a heavier bird, such as in

Diamond Dove compared to Zebra Finch (Fig. 4, Table 1), contributes to a more pronounced 433 crouching movement. Because stretched muscle tendon units could store elastic energy, a 434 more pronounced flexion of the ankle and the hip could lead to the loading of the associated 435 muscles and tendons, and generate more force than if they simply shortened (Henry et al. 436 2005; Roberts 2016). Then, this elastic energy could be released during phase 2, the 437 propulsive phase of take-off. The heavier the bird is, the more efficient this phenomenon 438 could be and the more pronounced crouching movement it should display. Ground reaction 439 forces, recorded with a similar protocol in the same two species (Provini et al. 2012b) (SM1) 440 revealed a higher maximum vertical force magnitude in Diamond Dove, compared to Zebra 441 Finch. If we standardize this value, taking the bird's body weight into account, maximal 442 hindlimbs force produced by Diamond Dove corresponds to 3 times body weight compared to 443 around 5 times body weight in Zebra Finch (Provini et al. 2012b). This means that a Diamond 444 Dove, with a more pronounced crouching movement needs proportionally less forces to 445 accomplish the same task as a Zebra Finch. This is coherent with the previous hypothesis and 446 the potential use of elastic energy in Diamond Dove. 447

In addition to the crouching motion, we observed an importance of the forward motions, *i.e.* antero-posterior translations of the head and trunk. Therefore, the global motion of the skeleton tends to move the animal downwards and forwards. Given that in birds, the centre of mass is located in the trunk (Abourachid 1993; Allen et al. 2009), a forward translation of the head and trunk can generate a forward momentum. This momentum could also contribute to the initial acceleration in the forward movement and could assist take-off.

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The second part of the terrestrial phase of take-off allows the propulsion of the entire body in 455 the direction of flight. The alignment of the different body parts persists during this phase. 456 The yaw, pitch and roll of the head are low (Fig. 4, Table 1) and the head rotations are close 457 to zero at lift-off, corresponding to a stabilisation of the head, previously documented during 458 flight (Warrick et al. 2002). We also observed a low and stable abduction-adduction of the 459 hip, knee and ankle in both species (Table 1) with symmetrical and synchronous latero-medial 460 motions of the limb joints that allow an adjustment of the limb position. It is interesting to 461 note that long-axis rotations are higher than abduction-adduction (Table 1). This result 462 confirms the importance of 6 degrees of freedom kinematics analysis and justify the use of 463 XROMM to quantify such motions, especially because long-axis rotations of a proximal bone 464 have effect on distal bones (Hutchinson 2000; Kambic et al. 2014); a small motion of the 465 femur can have drastic consequences on the motions of the foot (Fischer et al. 2002). 466

Phase 2 of take-off is associated with a dominance of antero-posterior (tx) and ventro-dorsal 467 translations (tz). The head is protracted as demonstrated by the increase of dist neck (Fig. 5b). 468 An extended neck is typical during flight and has been related to vestibular and optical 469 reflexes (Bilo and Bilo 1983; Maurice et al. 2006). As the head hold the sensory organs, 470 especially the eyes and the vestibular system, we can hypothesis that its position at the 471 472 beginning of the take-off triggers the beginning of the propulsive phase. Therefore, even if it does not participate to propulsion, its kinematics is essential for a successful take-off. 473 474

Because the foot is immobile on the perch, upward and forward translations of the trunk are the result of the flexion-extension of the leg joints: the trunk is pitching upwards as the hip 475 extends. More generally, the entire lower limb is extended, mainly due to the extension of the 476 ankle, hip, and to a lesser extent of the knee (Table 1). It is true for both species of birds, 477 although the timing of motions slightly differs. In Diamond Dove, the beginning of hindlimb 478 joint extension is not synchronous, starting with the hip, followed by the ankle and finally by 479 the knee, whereas in Zebra Finch, the extension of the three joints is synchronous. Only 480 tendinous parts of muscles cross the ankle in birds (Baumel 1993) which are known to 481 contribute to mechanical energy storage and recovery. This has been demonstrated during 482 running (Alexander 1988; Alexander 1984; Cavagna et al. 1964) and can easily be applied to 483 take-off, especially given the previous assertions regarding crouching movement and the 484 probable loading of muscles in Diamond Dove. Such a system is energetically efficient, as 485 this passive mechanism contributes to decrease both muscular work and metabolic cost 486 (Roberts 2002; Roberts 2016). Overall, during this first phase of take-off, the trunk and the 487 hindlimb segments can be seen as a spring (Henry et al. 2005). Incidentally, bioinspired 488 jumping robots often use a spring to copy a biological leg structure, because it produces good 489 jumping performances (Zhang et al. 2017) as it is a simple structure with a strong energy 490 491 storage capacity and a potential of fast energy release.

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Take-off is a transition between a static state to a dynamic state, and in contrast to terrestrial 493 locomotion, inertial forces do not contribute to the propulsion. All the motions of the centre of 494 mass are generated by the leg muscles, especially the thigh muscles, which are the main part 495 of the leg musculature (Baumel et al. 1993). The first leg joint extended during take-off is the 496 hip, demonstrating that thigh muscles primarily move the trunk. During take-off from a perch, all of the leg joints are flexing during crouching and then, all the joints are extending during 498 the propulsion. These similar and successive motions of all the leg joints contribute to the propulsion of the centre of mass because the feet are immobile on the perch. This situation is different in other locomotor conditions, which are cyclic, with dissimilar joint motions within 501

the legs, and highly mobile feet. During bird bipedal locomotion, depending on the propulsion 502 mechanics, the thigh musculature can have different functions. During take-off, the thighs 503 mainly move the trunk, participating to the propulsion of the centre of mass. During walking, 504 they move the trunk to guide the centre of mass path (Abourachid et al. 2011). During 505 paddling, the thighs stabilize the hip (Provini et al. 2012a). During running, which is a 506 507 bouncing mechanics (Hancock et al. 2007) the thighs participate to the increase of the stride length (Gatesy 1999). If we consider the leg joints participation, during take-off, knee 508 extension is the lowest among leg joints whereas during the propulsive phase of terrestrial 509 locomotion, knee extension is high at low as well as high speeds (Gatesy 1999). During take-510 off, the ankle extension magnitude is twice as high as the hip and knee extensions. The ankle 511 is supporting the animal forward motion, similarly to what is observed in semi-aquatic birds, 512 during paddling, where the tarsometatarsus associated with the webbed-foot displays a high 513 amplitude of motions and plays the role of the paddle, responsible for most of the propulsion 514 (Provini et al. 2012a). Therefore, depending on the locomotion mode, the function of the 515 joints changes, participating to the versatility of the avian body plan. 516

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Our 3D kinematics analysis revealed the importance of studying the entire body and not only the limbs of the animal while studying locomotion. In that sense, a detailed kinematics analysis of the forelimbs motion would be helpful to understand take-off completely. Another key moment in bird flight is landing, where forces are also transmitted between the substrate and the rest of the body through the hindlimbs, it would be interesting to compare the kinematics of take-off with the one of landing in the same species of birds, also with a whole body approach.

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623 Figure legends

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- 624 Fig. 1 Experimental protocol sketch, showing the orientation of the two X-ray sources and the
- 625 two light cameras. Examples of the pictures recorded, with rotoscoped 3D model of a
- 626 Diamond Dove are shown for each source of data
- 628 Fig. 2 Images of the 3D reconstruction of the skeleton of a Diamond Dove (a) and a Zebra
- 629 Finch (b) during a sequence of take-off on the lateral view, dorso-ventral view, latero-frontal
- 630 view, and latero-dorsal view.
- 632 Fig. 3 Plots of translations (a, c) along the antero-posterior (red), left-right (green), and
- ventro-dorsal (blue) axes, and roll (red), pitch (green), and yaw (blue) rotations (b, d) of the
- 634 head and trunk in Diamond Dove and Zebra Finch. In each plot, means calculated at each
- 635 time step are represented with a solid line, the envelop represents standard deviation, for

Diamond Dove (n=4) on the left and Zebra Finch (n=4) on the right. Note that the values are not absolute as the data have been adjusted to in each trial in order to be averaged (see Material and Methods section for further details). The orientation and direction of the motions are represented on the drawings of the head and trunk, with a coloured arrow for translations and a black arrow for rotations.

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Fig. 4 Plots of hip (a), knee (b) and ankle (c) rotations, showing long-axis rotations (red), 642 abduction-adduction (green), and flexion-extension (blue) in Diamond Dove and Zebra Finch. 643 In each plot, means calculated at each time step are represented with a solid line, the envelop 644 represents standard deviation, for Diamond Dove (n=4) on the left and Zebra Finch (n=4) on 645 the right. Note that the values are not absolute as the data have been adjusted to in each trial in 646 order to be averaged (see Material and Methods section for further details). The orientation 647 and direction of the motions are represented with a black arrow on the drawings of the axes 648 located on the femur, tibiotarsus and tarsometatarsus, the proximal bone of the joint is 649 represented with a semi-transparent model whereas the distal bone is represented with an 650 opaque model. 651

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Fig. 5 Mean distances between two bones in Diamond Doves in blue and Zebra Finches in green during take-off, between the head and the trunk, corresponding to *dist_neck* (a), between the most proximal part of the trunk and the most distal point of the tarsometatarsus, corresponding to *dist_limb* (b). On the right side, drawings of the skeleton position at the beginning and at the end of a take-off sequence showing the measured distances. Shading illustrates the variability, defined as standard deviation across all trials

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Table 1 Translations and rotations magnitudes in Diamond Doves (a) and Zebra Finches (b),for each phase of take-off (mean and standard deviations)

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Supplementary Material 1 Resultant forces profiles in Diamond Dove (a) and Zebra Finch (b) during take-off, modified from Provini et al. (2012b).

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Supplementary Material 2 Location of the implanted markers (in black) and virtual markers (in red) on the head (a), the pelvis (b), the left femur (c), left tibiotarsus (d) and left tarsometatarsus (e). The size of the markers has been magnified to facilitate their identification on the figure.

671 Supplementary Material 3 Video of the terrestrial phase of take-off of a Diamond Dove and

672 a Zebra Finch

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Supplementary Material 4 ACS (a-f), JCS conventions (h) for each bones and reference 674 pose (g). Craniolateral and lateral view of the head ACS (a), craniolateral and lateral view of 675 676 the trunk ACS (b), craniolateral and lateral view of the left acetabular ACS (c), craniolateral and dorsal view of the femur ACSs (d), craniolateral and dorsal view of the tibiotarsus ACSs 677 (e), craniolateral and dorsal view of the tarsometatarsus ACSs (f). Craniolateral and dorsal 678 views of the reference pose (g), showing the JCSs axes when all translations and rotations are 679 0. To differentiate the bones that are overlapping we used several colours (orange for the 680 head, light yellow for the trunk, yellow for the femur, white for the tibiotarsus, light yellow 681 for the tarsometatarsus). Anterolateral view of the head, trunk and hindlimbs showing the 682 joint coordinate systems (JCSs) (h) by which flexion-extension (blue), abduction-adduction 683 (green) and long-axis rotations (red) were measured at the hip, knee and ankle and by which 684 antero-posterior (red), left-right (green) and ventro-dorsal (blue) translations, as well as yaw 685 (blue), pitch (green) and roll (red) were measured at the head and pelvis. 686

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688 Supplementary Material 5 Segmented regressions calculated on Tr_{tx} (red) and Tr_{tz} (blue)

689 through take-off sequence in each trial of Diamond Dove take-off (a) and Zebra Finch (b).

690 Breakpoint value is indicated as well as the r² of the segmented regression for each trial.

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692 **Supplementary Material 6** Mean velocities calculated on the Trunk vertical and horizontal 693 translations. Means are represented with a solid line, the envelop represents standard

694 deviation, for Diamond Dove (a) on the left and Zebra Finch (b).