

# Three-dimensional simulations of non-resonant streaming instability and particle acceleration near non-relativistic astrophysical shocks

Allard Jan van Marle, Fabien Casse, Alexandre Marcowith

# ▶ To cite this version:

Allard Jan van Marle, Fabien Casse, Alexandre Marcowith. Three-dimensional simulations of non-resonant streaming instability and particle acceleration near non-relativistic astrophysical shocks. Monthly Notices of the Royal Astronomical Society, 2019, 490 (1), pp.1156-1165. 10.1093/mn-ras/stz2624. hal-02338422

HAL Id: hal-02338422

https://hal.science/hal-02338422

Submitted on 26 Jun 2023

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Downloaded from https://academic.oup.com/mnras/article/490/1/1156/5571828 by guest on 26 June 2023

# Three-dimensional simulations of non-resonant streaming instability and particle acceleration near non-relativistic astrophysical shocks

Allard Jan van Marle <sup>®</sup>, <sup>1,2</sup>★ Fabien Casse<sup>2</sup> and Alexandre Marcowith<sup>3</sup>

Accepted 2019 September 9. Received 2019 September 4; in original form 2019 June 16

#### ABSTRACT

We use particle-in-magnetohydrodynamics-cells to model particle acceleration and magnetic field amplification in a high-Mach, parallel shock in three dimensions and compare the result to 2D models. This allows us to determine whether 2D simulations can be relied upon to yield accurate results in terms of particle acceleration, magnetic field amplification, and the growth rate of instabilities. Our simulations show that the behaviour of the gas and the evolution of the instabilities are qualitatively similar for both the 2D and 3D models, with only minor quantitative differences that relate primarily to the growth speed of the instabilities. The main difference between 2D and 3D models can be found in the spectral energy distributions (SEDs) of the non-thermal particles. The 2D simulations prove to be more efficient, accelerating a larger fraction of the particles and achieving higher velocities. We conclude that, while 2D models are sufficient to investigate the instabilities in the gas, their results have to be treated with some caution when predicting the expected SED of a given shock.

**Key words:** astroparticle physics – MHD – plasmas – shock waves – methods: numerical.

# 1 INTRODUCTION

Shocks are likely at the heart of the production mechanism of cosmic rays (CRs). The investigation of CR acceleration at astrophysical collisionless shocks however requires very demanding numerical resources as the process involve several orders of magnitudes in scale and time (Marcowith et al. 2016). In a first paper, van Marle, Casse & Marcowith (2018a), hereafter Paper I, as well as in van Marle, Casse & Marcowith (2017) and Casse, van Marle & Marcowith (2018), we introduced a new version of the MPI-AMRVAC code (van der Holst, Keppens & Meliani 2008), capable of combining grid-based magnetohydrodynamics (MHD) with the particle-in-cell (PIC) method, according to the formalism described by Bai et al. (2015) (see also recent contributions by Mignone et al. 2018; Amano 2018) but including mesh-refinement techniques. We then applied this code to the problem of a magnetized flow passing through a high-Mach shock. For the case where the magnetic field is parallel to the direction of the flow, we recovered the results obtained by Bai et al. (2015), which in turn confirmed earlier models by Riquelme & Spitkovsky (2010) and Caprioli & Spitkovsky (2014), which used a di-hybrid approach (treating the protons as particles but the electrons as a fluid), as well as Reville et al. (2008) and Reville & Bell (2013), which used the Vlasov-Fokker-Planck

Three-dimensional simulations are important for several aspects of the description of the cosmic ray-fuid system: The dynamics of CR-driven instabilities and the resulting non-linear structures have to be investigated using 3D simulations in order to capture their geometry (Bell, Schure & Reville 2011); complete studies of CR and magnetic field line transport require a 3D description of the turbulence (Casse, Lemoine & Pelletier 2002; Marcowith, Lemoine & Pelletier 2006; Bell et al. 2013); this aspect is especially important to what concerns the way CRs escape from the shock region (Reville & Bell 2012). Some former 3D studies have investigated CR-driven streaming instabilities but either with a lower resolution or for shorter time-scales or using numerical setups without including any shock front. Lucek & Bell (2000) (based on the techniques developed by Zachary & Cohen 1986) propose an analysis of the CR resonant streaming instability using a PIC-MHD method including a calculation of the force exerted by CRs over the fluid solutions. In this study 3D runs confirm the dynamics of the instability described in 1 and 2D. However, these runs are quite limited in resolution and are designed using a special set-up

<sup>&</sup>lt;sup>1</sup>Department of Physics, UNIST, UNIST-gil 50, Ulsan 44919, Korea

<sup>&</sup>lt;sup>2</sup>Université de Paris, AstroParticle & Cosmologie, CNRS CEA, Observatoire de Paris, PSL Research University, CNES, F-75013 Paris, France

<sup>&</sup>lt;sup>3</sup>Laboratoire Univers et Particules de Montpellier (LUPM) Université Montpellier, CNRS/IN2P3, CC72, Place Eugène Bataillon, F-34095 Montpellier Cedex 5. France

<sup>(</sup>VFP) method. All these simulations show that when suprathermal particles accelerated by the shock escape upstream, they interact with the magnetic field and trigger the non-resonant streaming instability (NRS; Bell 2004), which manifests itself in the form of filamentary structures parallel to the flow and the magnetic field lines. However, so far this process has mostly been studied in two dimensions (see the discussion below).

<sup>\*</sup> E-mail: vanmarle@apc.univ-paris7.fr

where CRs are injected at a box boundary, so without including any dynamical shock structure. Bell (2004), Zirakashvili & Ptuskin (2008), and Zirakashvili, Ptuskin & Völk (2008) investigate the NRS instability using 3D MHD simulations. The authors investigate the linear growth and saturation of the instability, showing the possibility of strong magnetic field amplification in supernovaremnant -type shocks. However, in all cases the CR current was kept fixed, and hence no backreaction over the MHD flow was possible to account for. Reville et al. (2008) first proposed a 3D investigation of the NRS including a detailed calculation of CR transport properties in the magnetized turbulence resulting from the instability. However, the CR trajectories were computed in the testparticle limit and the simulations did not include any shock front dynamics. Bell et al. (2011) developed a 3D VFP calculation to investigate shock acceleration and magnetic field amplification for different background magnetic field obliquities. Parallel, oblique, and perpendicular shocks all showed destabilization of the NRS instability, but perpendicular shocks were less efficient CR accelerators because the probability of downstream CRs recrossing the shock decreased. However, CR backreaction was not included in this work. Bell et al. (2013) and Reville & Bell (2013) used a modified version of the VFP code coupled with MHD equations through the calculation of the CR current. Using similar set-ups they investigated CR transport regimes and expected maximum CR energies for different CR orientations and background magnetic field obliquities. They concluded that in parallel shocks CRs diffuse in a sub-Bohm regime (with respect to the background magnetic field), and at a slower rate in oblique shocks. However, once the magnetic field amplification is onset oblique shocks behave like parallel shocks as the magnetic field is highly disordered (see also Paper I). The VFP technique is powerful, but like all techniques designed for large-scale shock studies, it does not calculate the CR injection from microphysics. Caprioli & Spitkovsky (2014) and Caprioli & Spitkovsky (2013) perform hybrid simulations of nonrelativistic shocks, including a particle-in-cell treatment of ions (thermal and non-thermal). The investigation is conducted mostly in 2D but also shows some 3D run analysis. These results confirm an efficient magnetic field amplification concomitant with particle acceleration at parallel shocks. Three-dimensional simulations of strongly oblique (with an angle of 70° between the shock normal and the background magnetic field and beyond) shocks do not exhibit any particle acceleration and magnetic field amplification. These simulations are however limited in time and space. The number of macro-particles per cell to calculate both thermal and nonthermal proton dynamics is low (4 particles per cell). In Paper I we performed calculations at high-obliquity shocks and found that particle acceleration can proceed in two steps: The first one is associated with a shock-drift process (a result similar to Caprioli & Spitkovsky 2014 solutions) but once particles have reached some energy threshold a streaming instability is onset leading to diffusive acceleration. It is important to point out that if the PIC-MHD has the advantage of much better statistics to describe the non-thermal population of protons and can probe larger spatial and longer timescales it faces the difficulty of correctly describing non-thermal particle injection only via parametrization of the energy at which these particles are injected. It is correct to state that PIC-MHD also cannot describe any shock front microphysics and especially

the overshoot–undershoot region that particles in highly oblique shocks have to overtake in order to be accelerated diffusively. This important question deserves deep investigations and requires proper PIC simulations in highly oblique shock configurations to be fully elucidated (Ha et al. 2018). Work is in progress to highlight the issue of the injection procedure in PIC–MHD codes. This aspect also explains why this study is only performed in the parallel shock configuration.

In this work we extend our simulations to 3D and investigate if this changes the 2D results obtained using the PI[MHD]C code developed in Paper I in parallel shock configuration. In particular, we wish to determine whether the filamentary structure observed in 2D models of the NRS will translate into a tube-like structure in a 3D simulation. We also produce long simulations with the aim to capture long-term behaviours of CR-modified shocks. In particular we add a shock-capturing procedure to extend particle injection process in strongly corrugated shocks.

In Section 2 we recall the main principles of coupling PIC and MHD techniques. We also describe the shock-capturing module used for long-term particle injection. In particular, in Section 2.3 we present the different numerical set-ups used in this study. Results are discussed in Section 3 before concluding in Section 4. In the Appendix, we show a single animation of our 3D simulation (Fig. A1).

#### 2 NUMERICAL METHOD AND SET-UPS

# 2.1 General statements

The combined PIC–MHD method (Bai et al. 2015; Amano 2018; Mignone et al. 2018) and Paper I, from hereon referred to as particles in MHD cells (PI[MHD]C), is based on the assumption that the plasma can be described as a thermal plasma, in which a small fraction of the particles behave in a non-thermal fashion. Therefore, it can be treated numerically by using traditional grid-based MHD to describe the thermal plasma and the magnetic field, whereas the non-thermal component is calculated using the PIC method. The interaction between the two components is taken into account through a modified version of Ohm's law,

$$c \mathbf{E} = -\left((1 - R)\mathbf{v} + R\mathbf{u}_{\text{part}}\right) \times \mathbf{B} \tag{1}$$

with c the speed of light, E the electric field, v the velocity of the thermal plasma,  $u_{\rm part}$  the average velocity of the suprathermal particles, B the magnetic field, and R the ratio of the suprathermal particle charge density to the total charge density ( $R \ll 1$ ). MHD momentum and energy equations also consider contributions from the non-thermal population leading to a global momentum and energy conservation. The gas is considered to be non-collisional and the only interaction between the non-thermal particles and the thermal gas is through the electromagnetic field.

We use the same code described in Paper I, which is based on the MPI-AMRVAC code (van der Holst et al. 2008; Keppens et al. 2012). This is an MPI-parallel, fully conservative code that uses the OCTREE (Shephard & Georges 1991) adaptive mesh system to dynamically adapt the grid resolution. On to this code we have added a module that calculates the motion of charged particles in an electromagnetic field using the Boris-pusher (Birdsall & Langdon 1991). The influence of the charged particles on the thermal gas is accounted for through the modified conservation equations described by Bai et al. (2015), Mignone et al. (2018), Amano (2018), and Paper I. This method allows for a self-consistent interaction between the particles and the thermal gas while conserving momentum and energy. In

<sup>&</sup>lt;sup>1</sup>We also note the work of Matthews et al. (2017), not necessarily connected to a shock configuration that uses 3D MHD runs including CR currents to investigate the efficiency of NRS mode growth.

order to ensure that the magnetic field remains divergence-free throughout the simulation, we have implemented the constrained-transport method as described in Balsara (1998) and Balsara & Spicer (1999).

# 2.2 Corrugated shock capture procedure

In the simulations shown in Paper I we injected the particle along a straight line, perpendicular to the flow. The position of this line was determined by the x-coordinate (hereafter the coordinate along the shock normal) of the highest density gradient, which was assumed to coincide with the location of the shock. However, once the shock becomes corrugated, this assumption is no longer valid. Therefore, we have now opted for a different approach. In order to optimize the injection process, we determine the position the shock at the beginning of each time-step. We do this by calculating the sonic Mach number (in the background frame of reference) in each grid point to determine where the plasma makes a transition from supersonic to subsonic. Together these grid points form an isosurface that is saved at each time-step. The particles are injected randomly inside a volume determined by this iso-surface and a distance of one Larmor radius in the post-shock direction. This allows us to ensure that each particle is injected within a distance of one Larmor radius downstream of the shock, even when the shock becomes warped as a result of upstream instabilities and downstream turbulence.

#### 2.3 Three-dimensional simulation set-up

We repeat the simulation of a parallel Alfvènic Mach number  $M_{\rm A}=30$  shock as presented in Paper I, where the velocity of the shock is set to  $3 \times 10^{-3}c$  (c being the velocity of light). In this case, we use a 3D box measuring  $192 \times 12 \times 12 R_1$ , with  $R_1$ the Larmor radius defined by the magnetic field and the injection velocity of the particles. As in Paper I we start the simulation from the analytical solution for a standing shock as prescribed by the Rankine-Hugoniot conditions with the gas moving along the x-axis and the shock being at the centre of the grid. We assume that the upstream gas is in equipartition (magnetic energy density equals thermal energy density). From this initial condition we start to introduce the particles (ions only; we assume all electrons are thermal) at the shock front, at a rate that conforms to 0.2 per cent of the mass passing through the shock (as suggested by PIC simulations; see e.g. Caprioli & Spitkovsky 2014). The particles are introduced at a velocity  $v_{\rm inj}$  equal to three times the pre-shock velocity and are moving isotropically relative to the post-shock fluid. The simulation runs for a period of  $t = 10^3 R_1/v_{\rm ini}$ , where  $R_{\rm l}/v_{\rm inj}$  is the inverse of the ion cyclotron pulsation  $\omega_c^{-1}$  related to the ion plasma pulsation  $\omega_{pi} = \omega_c c/V_A$  ( $V_A$  being the Alfvèn speed). Over this period we inject a total of 10<sup>8</sup> particles.

The grid has a basic resolution of  $640 \times 40 \times 40$  grid cells. In the area directly around the shock (one Larmor radius downstream and 10 Larmor radii upstream) we allow two levels of refinement, creating an effective resolution of 13.3 grid cells per Larmor radius (equivalent to a local resolution of  $2560 \times 160 \times 160$  grid cells). Further from the shock (up to 10 Larmor radii downstream and 30 Larmor radii upstream) we allow one level of refinement, for a resolution of 6.7 Larmor radii per grid cell. Unlike our previous work, we fix the grid at the start of the simulation and do not allow it to adapt as the simulation progresses. Such a choice stems from the fact that enforcing the highest resolution far from the shock

does not significantly impact the outcome of the simulations while significantly increasing the numerical cost.

We set the upstream MHD boundary to maintain a steady inflow of gas and allow a free outflow at the downstream boundary so that the gas flows along the *x*-axis in the negative direction. The *y*-and *z*-boundaries are considered periodic. For particles, the *y*- and *z*-boundaries are also periodic. However, both *x*-boundaries allow the particles to escape from the simulation box. This contrasts with PIC and PIC-hybrid simulations, which typically involve creating a shock by allowing a beam of particles to collide with a solid wall that is reflective to both thermal and non-thermal particles.

In order to check our results we perform two simulations in 2D with an identical physical box size, spatial resolution, and input parameters, but with a different particle weight. For the first simulation we inject a total number of  $2.5 \times 10^7$  particles over a period of  $1000\omega_c^{-1}$ . For the second, we inject  $5 \times 10^7$  over the same period of time. In both cases the total mass fraction that is injected remains the same.

#### 3 RESULTS

# 3.1 3D simulations of NRS instability near parallel shocks

As was already briefly discussed in van Marle et al. (2017) the 3D simulation shows the same trend that was observed in the 2D models in Paper I as well as earlier models by Caprioli & Spitkovsky (2014) and Bai et al. (2015). Upstream, the suprathermal particles trigger the NRS, which manifests itself in the form of filamentary structures parallel to the streamlines (see Figs 1 and 2) similar to the structures observed by Bai et al. (2015). These filaments are initialized near the shock and extend into the upstream medium, increasing in length over time. Initially, this shows primarily in the magnetic field (Fig. 1, upper panels), but over time the thermal plasma density follows the same pattern (Fig. 1, lower panels). Whereas such structures in a 2D model are effectively slabs, in 3D it is shown that they form tubular filaments. Downstream, where the flow is subsonic (if still super-Alfvénic) the medium becomes more randomly turbulent. As the upstream medium loses its homogeneity, the ram pressure felt by the shock starts to vary, in both space and time. This leads to a distortion of the shock surface after at time  $t = 500 - 600 \,\omega_c^{-1}$  (Fig. 1, lower panels). Fig. 2 shows the magnetic field strength relative to the unperturbed magnetic field  $(B_0)$ , the suprathermal particle density relative to the thermal particle density and the thermal gas density relative to the initial preshock thermal gas density  $(\rho_0)$  for cuts through the 3D simulation box along the yz-diagonal, in the area near the shock, at the same moments in time as Fig. 1, which allows for a closer investigation of the instabilities and the time evolution of the shock as well as comparison to 2D results (see next section).

Eventually, as the filaments become more pronounced, the ram pressure experienced by the shock starts to vary and the shock begins to corrugate (bottom right-hand panels in Figs 1 and 2). However, when observing this we should keep in mind that the injection rate of non-thermal particles is constant in our simulation. It has been shown (Caprioli & Spitkovsky 2014) that the injection rate depends on both the Mach number of the shock and the angle between the shock and the magnetic field. As the shock corrugates, the effective Mach number deviates from the original solution. Furthermore, the angle between the magnetic field and the shock is no longer constant. How this would influence the injection rate is a complicated issue that goes beyond the scope of this paper. Therefore, we stop the simulation at this point. However, this run

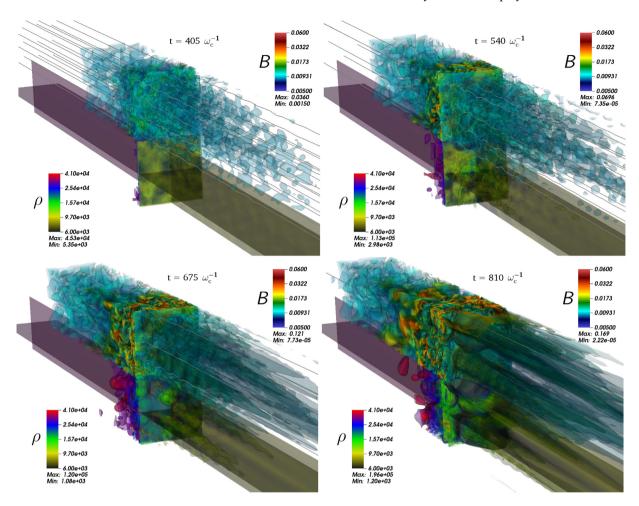


Figure 1. Magnetic field strength and thermal plasma density at four consecutive moments in time, showing the development of a filamentary instability in the upstream medium as well as the distortion of the shock front. Initially  $(t = 405\omega_c^{-1}, \text{ upper left-hand panel})$ , the structure in the magnetic field seems random and the thermal gas density remains undisturbed. However, over time  $(t = 540, 675, \text{ and } 810\omega_c^{-1})$  the disturbance in the upstream magnetic field forms filaments and the thermal gas density follows. The downstream magnetic field becomes highly turbulent. Owing to the varying pressure, the shock front becomes distorted.

represents to our knowledge the longest simulation describing a 3D CR-mediated shock structure including both up- and downstream media.

The validity of our results can be confirmed by comparing the wavelengths of the instabilities in the early phase against analytical predictions (Bell 2004). We do this by taking a snapshot of the grid and performing a 2D Fourier analysis on yz-cuts through the simulation box upstream and downstream of the shock. The result is shown in Fig. 3. Upstream, the spectrum peaks at a wavenumber  $k \sim 0.02 \, \omega_{\rm pi}/c$ . This is in agreement with the expected maximal wave vector growth value from the non-resonant streaming instability, namely  $k_{\rm max} = J_{\rm CR, II}/2B_0$  (Bell 2004), confirming the validity of the model. Here,  $J_{\rm CR, II}$  and  $B_0$  represent the CR current parallel to the shock normal and the strength of the background magnetic field. As in Paper I we notice that downstream the scale of the main growing mode is compressed by a factor  $\sim$ 4 as expected near a strong non-relativistic shock.

The nature of the instabilities can be observed in Fig. 4, which shows the upstream magnetic field lines at  $t=540\,\omega_{\rm c}^{-1}$ . This demonstrates the spiral loops in the upstream magnetic fields, which are characteristic of the streaming instability.

# 3.2 Comparisons between 2D and 3D runs

In order to compare the 3D results with 2D models, we repeated the simulation in 2D as shown in in Figs 5 and 6, using the same input parameters and resolution. These simulations are effectively the same as those shown in Paper I, albeit with a fixed grid and a smaller simulation box. The results are shown in Fig. 5, showing the thermal gas density, magnetic field strength, and relative non-thermal particle density at  $t=405\,\omega_{\rm c}^{-1}$  (left-hand panels) and  $t=810\,\omega_{\rm c}^{-1}$  (right-hand panels) for the simulations with  $2.5\times10^7$  particles over a period of  $t=1000\,\omega_{\rm c}^{-1}$  and Fig. 6, which is identical but for a simulation with  $5\times10^7$  particles over the same time period. The results match qualitatively with the 3D simulation, showing the same upstream instabilities and shock distortion.

Fig. 7 shows the evolution of the magnetic field amplification as a function of time for the 3D simulation compared to the 2D simulation. For the 2D simulation, the magnetic field amplification rises earlier but levels off after  $t \simeq 600\,\omega_{\rm c}^{-1}$ , whereas for the 3D model, the amplification remains smaller initially but then grows to equal and even surpasses that of the 2D

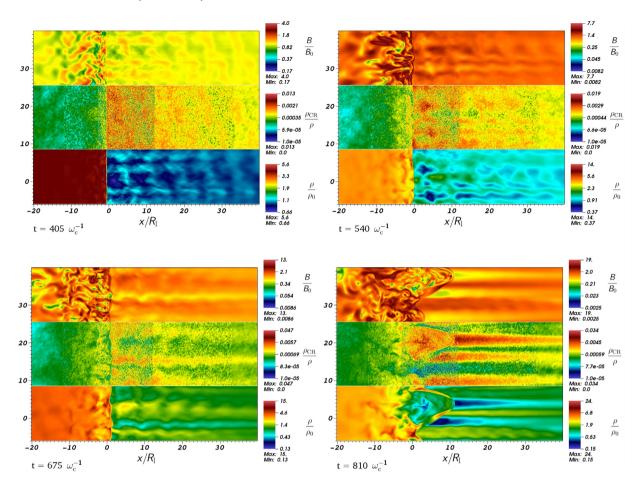


Figure 2. Magnetic field strength relative to the initial magnetic field strength  $B_0$  (top), relative density of the non-thermal particles compared to the thermal gas (middle), and thermal gas density relative to the initial upstream thermal gas density  $\rho_0$  (bottom) at the same moments in time as in Fig. 1. Each figure shows a cut through the simulation box along the yz-diagonal. Note that his image shows a zoom-in on the shock. The actual simulation box extends along the x-axis from -96 to  $96R_1$ .

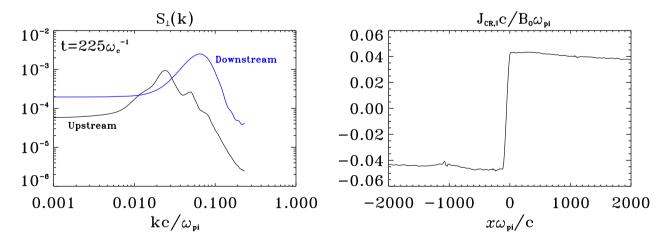
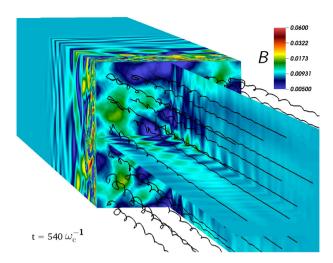


Figure 3. Left: magnetic power spectrum in both the upstream and downstream media as a function of the parallel wave vector k. Right: parallel cosmic-ray current as a function of the distance to the front shock. Here  $x\omega_{\rm pi}/c=xv_{\rm inj}/R_lV_{\rm A}$ , with  $v_{\rm inj}=3M_{\rm A}V_{\rm A}$ , so the figure covers the area from  $x=-22.2R_l$  to  $+22.2R_l$ . The upstream spectrum peaks at  $k\sim0.02\omega_{\rm pi}/c$ , which is in agreement with the expected maximal wave vector growth value from the non-resonant streaming instability, namely  $k_{\rm max}=J_{\rm CR,ii}/2B_0$ .



**Figure 4.** Absolute magnetic field strength and upstream magnetic field lines at  $t = 540 \, \omega_{\rm c}^{-1}$  showing the loops in the upstream magnetic field caused by the streaming instability.

models at the end of the simulation. The latter rise has some impact on the particle acceleration, which is discussed in the next section.

Compared to the 2D results in van Marle, Casse & Marcowith (2018b), which used the same physical input parameters, the filaments show less structure. This is a consequence of the lower resolution in the areas far from the shock, which was required to make the 3D model feasible.

# 3.3 Particle energy distribution

In Fig. 8 we show the spectral energy distribution (SED) as a function of the normalized CR energy  $E/E_0$  in the downstream region.  $E_0 = m_p v_{\rm inj}^2/2$  stems for the injection energy ( $m_p$  being the proton mass). For a high-Mach, non-relativistic shock, the particle SED is expected to conform to a power law with a slope that matches  $f(E) \propto E^{-3/2}$  (Caprioli & Spitkovsky 2014) and, initially, the particle SED in our 3D simulation (Fig. 8, left-hand panel) evolves towards that slope. However, after  $t \simeq 650 \, \omega_{\rm c}^{-1}$ , the shock becomes distorted and the magnetic field gets strongly amplified. This reduces the efficiency of the particle acceleration process and causes a deviation from the analytical prediction because the assumptions on which this model is based (a Mach number that is constant in space and time as well as a fixed angle between the shock and the magnetic field) are no longer valid.

Not only does the change in shock strength and obliquity change the injection rate, but also the shock distortion influences the acceleration process. The distortion of the shock increases its surface area. This not only increases the chance of a particle crossing the shock surface, but also causes the newly injected particles to be spread out over a larger volume, reducing the effective non-thermal particle density, which in turn influences the local instabilities. Furthermore, the distorted shape of the shock decreases the effectiveness of the acceleration when a particle crosses the shock. The energy a particle gains from each transition across the shock surface depends on the direction of its velocity vector compared to the velocity vector of the flow. In order to gain the maximum momentum, the particle has to move parallel to the flow when crossing from the upstream to the downstream region and antiparallel to the flow while

moving downstream to upstream. As long as the shock surface is smooth and perpendicular to the flow, this is exactly what happens and the acceleration process is efficient. However, once the shock becomes sufficiently distorted, particles will be able to cross the shock surface while moving quasi-perpendicular to the flow or, in extreme cases, while moving counter to the preferred direction, in which case they will actually lose momentum with each reflection. The situation is made even more complicated by the fact that the turbulent motion in the downstream medium causes great variation in the local velocity field, which in turn changes the forces acting on the particles.

This is reflected in the behaviour of the SED, which shows a steeper slope than predicted by the analytical model. This effect is far less pronounced in the case of the 2D simulations. Initially, the SEDs of the 2D simulations show a similar behaviour that closely matches the 3D model, but after  $T \simeq 400\,\omega_{\rm c}^{-1}$  they continue to accelerate particles more efficiently, which is reflected in a more extended high-energy tail. The SEDs of the two 2D simulations resemble each other closely, showing that the difference in the number of particles does not lead to any significant difference in the end result.

This trend is also reflected in the maximum acceleration that the simulations achieve. Fig. 9 shows the evolution of the maximum velocity of the particles in the grid. This shows a clear difference between the 2D and 3D models, with the 2D simulations accelerating particles to higher velocity. This is caused by the limitations of the 2D model, which allows particles to become 'trapped' in the loops of the magnetic field, where they can be repeatedly accelerated, whereas in 3D such particles have an easier time escaping from the shock region. Although this does create an artefact, it is largely irrelevant to the evolution of the gas because it only happens to a relatively small fraction of the particles. (N.B. The SEDs in Fig. 8 are all shown in a log—log plot.) But it should be kept in mind when evaluating SEDs produced by simulations.

The fact that we simulate in the rest frame of the shock adds to the difficulty of forming an extended Fermi plateau in the particle SED because particles are allowed to escape from the simulation in both the downstream and upstream regions. Simulations that are run in the rest frame of the downstream region use a reflective wall, which keeps the particles in the simulation box. Since faster particles are more likely to reach the upper and lower x-boundary in our model, they are preferentially removed from the simulation, reducing the extent of the Fermi plateau. The escape of particles at the boundaries changes the current because the particles are no longer being reflected towards the shock. However, the escape of particles at the boundaries changes the current because the particles are no longer being reflected towards the shock. However, this does not seem to influence the result as the morphology of the thermal plasma in our simulations is qualitatively similar to the results of Bai et al. (2015), which were obtained with a reflective boundary.

# 4 DISCUSSION AND CONCLUSION

Our results show that the 3D models follow the same trend as the earlier 2D simulations. The upstream medium forms the filamentary structures predicted by the analytical model (Bell 2004), whereas the downstream medium becomes turbulent. As a result, the shock front becomes corrugated, conforming to the spatial variations in the ram pressure exerted by the upstream gas. In both the 2D and 3D models, the distortion changes the nature of the

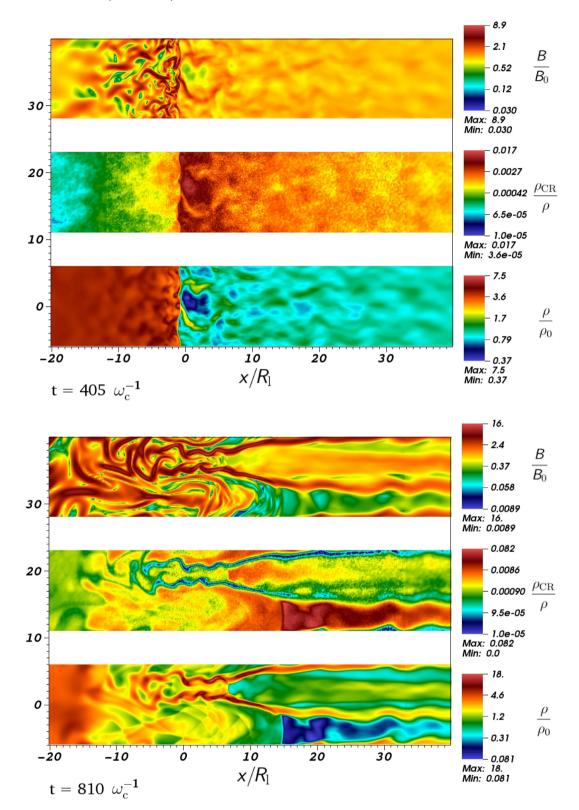


Figure 5. For comparison: snapshots of the 2D simulations with 2.5 million particles (top) and 5 million particles (bottom), at t = 405 and  $t = 810 \,\omega_c^{-1}$  using the same variables as in Fig. 2. The 2D simulation shows a similar morphology as the cuts through the 3D simulation.

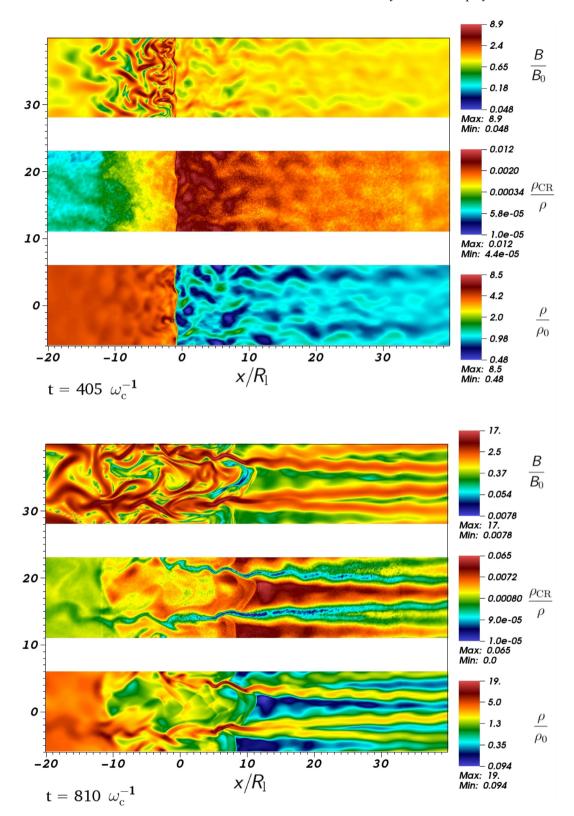
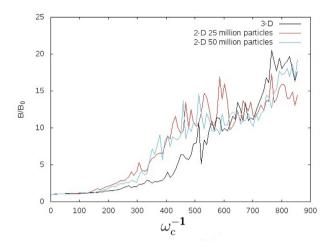


Figure 6. For comparison: snapshots of the 2D simulations with 5 million particles (bottom), at t = 405 and  $t = 810 \omega_c^{-1}$ , using the same variables as in Figs 2 and 5.

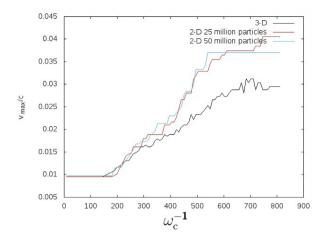


**Figure 7.** Maximum value of the magnetic field amplification as a function of time for both the 3D model and the 2D models with  $2.5 \times 10^7$  and  $5.0 \times 10^7$  particles. The 2D models show an earlier increase but also level off early, whereas the 3D model shows less initial growth but eventually starts to surpass the 2D models.

shock, causing local variations in the sonic and magnetic Mach number and varying the angle between the shock surface and the magnetic field. This will influence the injection rate of non-thermal particles near the shock surface. This confirms the 2D results and indicates that 2D simulations can be used reliably to model the interaction between non-thermal particles and a collisionless shock.

Although the behaviour of the thermal gas and magnetic field, as well as the evolution of the instabilities in 2D and 3D models, is qualitatively the same and even matches quantitatively to a reasonable degree, the particle energy distributions are different, with the 2D simulations showing a harder spectrum that reaches higher energies. This effect is largely due to the 3D geometry, which includes a supplementary degree of freedom in a particle's motion. The 3D geometry also creates a strong magnetic field amplification, which, at the end of the simulation, surpasses the magnetic field strength found in the 2D models.

The 3D simulations presented in this study have been conducted up to  $1000\,\omega_{\rm c}^{-1}$  and remain the longest to date describing a CR-mediated collisionless shock. They confirm the results obtained by previous hybrid simulations that in these shocks particle acceleration is mediated by the onset of the NRS instability.



**Figure 9.** Maximum velocity of the particles in the grid as a function of time relative to the injection energy. The energy of the particles in the 3D simulation increases more slowly and tends to level off more quickly compared to the 2D models, because the 3D simulation is more sensitive to shock distortion.

Even if we have improved the shock capturing with respect to Paper I a main limitation for a long-term description of the CR-magnetized fluid system remains due to a lack of a proper injection procedure accounting for the strong shock distortion. A detailed investigation of the injection recipe to be adopted is necessary for a better evaluation of the SED and the evolution of the maximum energy in 3D runs. This is an aspect to be treated in a forthcoming work where different injection recipes including the back-reaction of the accelerated CRs will be tested.

# **ACKNOWLEDGEMENTS**

This work acknowledges financial support from the UnivEarthS Labex program at Université de Paris (ANR-10-LABX-0023 and ANR-11-IDEX-0005-02) and from the National Research Foundation (NRF) of South Korea through grant 2016R1A5A1013277 and by the Basic Science Research Program through the National Research Foundation (NRF) of South Korea funded by the Ministry of Education, Science and Technology (2018R1D1A1B07044060). This work was granted access to HPC resources of CINES under the allocation A0020410126 made by GENCI (Grand Equipement National de Calcul Intensif). We are grateful to our anonymous

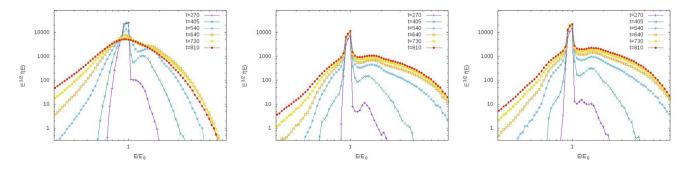


Figure 8. Time evolution of the non-thermal particle SEDs for the 3D simulation (left), 25-million-particle 2D simulation (centre), and 50-million-particle 2D simulation (right).  $E_0$  is the particle injection energy.

reviewer for the helpful comments that assisted us in improving our paper.

REFERENCES

Amano T., 2018, J. Comput. Phys., 366, 366

Bai X.-N., Caprioli D., Sironi L., Spitkovsky A., 2015, ApJ, 809, 55

Balsara D. S., 1998, ApJS, 116, 119

Balsara D. S., Spicer D. S., 1999, J. Comput. Phys., 149, 270

Bell A. R., 2004, MNRAS, 353, 550

Bell A. R., Schure K. M., Reville B., 2011, MNRAS, 418, 1208

Bell A. R., Schure K. M., Reville B., Giacinti G., 2013, MNRAS, 431, 415

Birdsall C. K., Langdon A. B., 1991, Plasma Physics via Computer Simulation, IOP Publishing, Temple Circus, Temple Way Bristol BS1 6HG UK

Caprioli D., Spitkovsky A., 2013, ApJ, 765, L20

Caprioli D., Spitkovsky A., 2014, ApJ, 783, 91

Casse F., Lemoine M., Pelletier G., 2002, Phys. Rev. D, 65, 023002

Casse F., van Marle A. J., Marcowith A., 2018, Plasma Phys. Control. Fusion, 60, 014017

Ha J.-H., Ryu D., Kang H., van Marle A. J., 2018, ApJ, 864, 105

Keppens R., Meliani Z., van Marle A. J., Delmont P., Vlasis A., van der Holst B., 2012, J. Comput. Phys., 231, 718

Lucek S. G., Bell A. R., 2000, MNRAS, 314, 65

Marcowith A., Lemoine M., Pelletier G., 2006, A&A, 453, 193

Marcowith A. et al., 2016, Rep. Prog. Phys., 79, 046901

Matthews J. H., Bell A. R., Blundell K. M., Araudo A. T., 2017, MNRAS, 469, 1849

Mignone A., Bodo G., Vaidya B., Mattia G., 2018, ApJ, 859, 13

Reville B., Bell A. R., 2012, MNRAS, 419, 2433

Reville B., Bell A. R., 2013, MNRAS, 430, 2873

Reville B., O'Sullivan S., Duffy P., Kirk J. G., 2008, MNRAS, 386, 509

Riquelme M. A., Spitkovsky A., 2010, ApJ, 717, 1054

Shephard M. S., Georges M. K., 1991, Int. J. Numer. Methods Eng., 32, 709van Marle A. J., Casse F., Marcowith A., 2017, International Cosmic Ray

Conference, 35, 569

van Marle A. J., Casse F., Marcowith A., 2018a, MNRAS, 473, 3394, (Paper I)

van Marle A. J., Casse F., Marcowith A., 2018b, J. Phys. Conf. Ser., 1031, 012011

van der Holst B., Keppens R., Meliani Z., 2008, Comp. Phys. Commun., 179, 617

Zachary A. L., Cohen B. I., 1986, J. Comput. Phys., 66, 469

Zirakashvili V. N., Ptuskin V. S., 2008, ApJ, 678, 939 Zirakashvili V. N., Ptuskin V. S., Völk H. J., 2008, ApJ, 678, 255

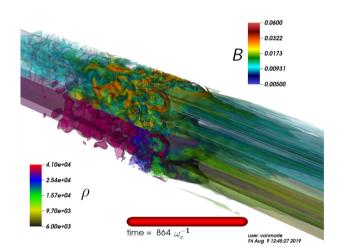
#### SUPPORTING INFORMATION

Supplementary data are available at MNRAS online.

# Movie\_3D\_rho\_absB\_new.mpg

Please note: Oxford University Press is not responsible for the content or functionality of any supporting materials supplied by the authors. Any queries (other than missing material) should be directed to the corresponding author for the article.

# APPENDIX A: ANIMATIONS



**Figure A1.** In this image we show an animation of the 3D simulation of a parallel shock with  $M_{\rm S}=30$ . The animation shows iso-surfaces of the absolute magnetic field strength (top), the thermal gas density (bottom), and the magnetic field lines. It clearly demonstrates the development of the pre-shock instabilities and post-shock turbulent zone as well as distortion of the shock surface.

This paper has been typeset from a TEX/IATEX file prepared by the author.