

Saproxylic beetles in tropical and temperate forests – A standardized comparison of vertical stratification patterns

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Saproxylic beetles in tropical and temperate forests – a standardized comparison

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31

32 Abstract

Forests are complex three-dimensional ecosystems, but little is known about the influence of vertical stratification of forest structure on biodiversity and species turnover. Saproxylic beetles make a substantial contribution to forest biodiversity and ecosystem functions such as nutrient cycling. Management measures aimed at supporting saproxylic biodiversity are becoming an integral part of sustainable forest management practices. Yet, monitoring is carried out under the assumption that saproxylic activity at ground level will be a realistic reflection of saproxylic biodiversity in the forest as a whole.

40 To investigate the validity of this assumption

To investigate the validity of this assumption we compare vertical stratification and composition of
saproxylic beetle assemblages between three forest types of varying altitude and latitude, including
a tropical lowland forest in Panama, a temperate lowland forest and a temperate montane forest,
both in the eastern Czech Republic. Beetles were sampled following a standardized sampling
protocol using flight intercept traps arranged in vertical transects.

45 Overall, the tropical forest was estimated to harbour two to three times more saproxylic beetle 46 species than the temperate lowland and the montane forest, respectively. However, point richness 47 estimates within vertical strata were remarkably similar between biomes. Species richness was 48 similar in the understorey of all three forests. It peaked in the canopy of the tropical forest but in the 49 understorey of temperate forests. So, while the beetle assemblages were clearly vertically stratified 50 in all three forest types, stratification patterns varied markedly between tropical and temperate 51 forests. This trend is driven primarily by the high richness of saproxylic beetles in the tropical forest 52 canopy. However these richness differences belie the strong similarities in stratification of feeding 53 guild composition observed all three forest types. This would tend to suggest that similar trophic 54 structuring forces might operate across very different forest biomes of the world.

Similarities in feeding guild composition suggest that management measures aiming at conserving biodiversity of saproxylic beetles are likely to be effective across different forest types. The differences in vertical stratification, however, suggest that understorey monitoring alone will be insufficient to understand management effects on saproxylic biodiversity. In the tropics, in particular, more emphasis will need to be placed on managing the diverse, three-dimensional structure of forest canopies as habitat for saproxylic beetles.

61

62 1. Introduction

63 Increased exploitation of forests threatens their biodiversity (Hassan et al., 2005). Identifying 64 sustainable forestry practices that minimize the impact of exploitation on forest biodiversity is thus 65 an important priority in forestry research (Brunet et al., 2010; Fedrowitz et al., 2014; Vítková et al., 66 2018). Beetles associated directly or indirectly with the wood of dead or live trees (i.e. saproxylic 67 beetles) have become model organisms, in many regions, for identifying sustainable forest 68 management practices (Siitonen et al., 2000; Martikainen, 2001; Grove, 2002). They constitute a 69 substantial portion of forest biodiversity and are intensively studied because of the economic 70 importance of some species as pests, and the conservation importance of other species that are 71 threatened with extinction (Stokland et al., 2004; Wermelinger, 2004; Müller et al., 2008; Stokland 72 et al., 2012; Bouget et al., 2013).

Forests are three-dimensional ecosystems in which organisms are not only distributed along various horizontal environmental gradients, but also along the vertical gradient between the forest floor and tree tops (Basset et al., 2003a). Understanding of vertical stratification processes is likely to be fundamental in the development of effective measures for the conservation of arthropod diversity in forest ecosystems (Ulyshen, 2011). Patterns of vertical distribution in a wide range of arthropod taxa, and saproxylic beetles in particular, have thus received growing interest from the scientific community (Intachat and Holloway, 2000; Schulze et al., 2001; Tanabe, 2002; Fermon et al., 2003; 80 Ødegaard, 2004; Leksono et al., 2005; Wermelinger et al., 2007; Bouget et al., 2011; Vodka and 81 Cizek, 2013; Maguire et al., 2014; Basset et al., 2015).

82 Vertical stratification is considered to be particularly pronounced in tropical forests compared with 83 temperate forests, which has generally been attributed to a greater number of microhabitat niches in 84 tropical forests (Basset et al., 2003a; Ulyshen, 2011). If the complexity of vegetation structure is 85 indeed driving putative tropical vs temperate differences in stratification patterns, then we might 86 also expect a similar phenomenon to occur along altitudinal, as well as latitudinal, gradients, since 87 the vertical vegetation structure of forests is also known to decline in complexity with increasing 88 altitude (e.g. Lieberman et al., 1996; Homeier et al., 2010). There are, however, very few studies 89 that have directly compared the degree of vertical stratification of arthropod communities between 90 tropical and temperate forests, or between forests across altitudinal gradients, using standardized 91 sampling methods (but see Ashton et al., 2016), and none focuses on saproxylic beetles. The 92 majority of generalizations about vertical structuring have been based on comparisons of studies 93 that use different methodologies and sample different taxa (Ulyshen, 2011; Wardhaugh, 2014). 94 Hence, little is known about the way in which the vertical distribution of saproxylic beetles differs 95 between forest types and biomes.

96 Even less is known about other differences in saproxylic beetle assemblages between temperate and 97 tropical forests. Basic information, such as feeding guild structure, is predominantly anecdotal (e.g. 98 Beaver, 1979), although substantial differences in the ecology of wood decomposition could be 99 expected between the tropical and temperate zones, due to large differences in biotic and abiotic 100 conditions such as climate, tree species diversity, complexity of vertical structure, and the activity 101 of termites, amongst other factors (Grove and Stork, 1999; Ødegaard et al., 2004). 102

103 effectiveness of forest management practices aimed at conserving the diversity of this group and the

The above mentioned gaps in knowledge of saproxylic beetles distribution may compromise the

104 ecosystem services they provide (Ulyshen, 2016; Fierro et al., 2017). First, most saproxylic beetle monitoring takes place near ground level, and likely misses a considerable portion of the variation
in beetle distributional patterns (but see Leidinger et al., 2019), especially in structurally complex
forests (Bouget et al., 2011). Second, recommendations for sustainable forest management practices
are based on an understanding of saproxylic beetle communities in a few forest types, under the
assumption that similar trends will hold true across regions and biomes.

Therefore, we used the same standardised collecting method to compare the composition and spatial distribution of saproxylic beetles in three very different forest types, including a lowland tropical forest in Panama and a lowland temperate forest and a montane temperate forest in central Europe, testing variation in patterns of fine-scale vertical stratification of species richness and feeding guild composition.

In the light of existing knowledge about differences in arthropod community composition and patterns of vertical distribution in different forests, we formulated the following predictions for the outcome of this study: (i) local species richness and species turnover of saproxylic beetles will be higher in tropical than in temperate forests; (ii) patterns of vertical stratification of species distribution will be more strongly differentiated in tropical than temperate forests; and (iii) these effects will not be uniform across trophic feeding groups, resulting in strong differences in feeding guild composition along vertical gradients in the three forest types.

122

123 2. Materials and Methods

124 2.1 Study sites

125 Field sampling in the tropical lowland forest took place during the IBISCA-Panama project in the

126 San Lorenzo Protected Area (Colón Province, Republic of Panama) which is part of the

127 Mesoamerican Biological Corridor "hotspot" (Weaver and Bauer, 2004). The area is covered by

128 evergreen wet lowland forest, dominated by *Tovomita longifolia* and *Protium panamense*. Extensive

129 further details of the study site are provided in Basset et al. (2007).

Sampling of the temperate forests was performed in a lowland floodplain forest and a montane
forest in the Czech Republic. The lowland part of the study was conducted in the oak-dominated
alluvial woodlands along the lower Dyje (Thaya) and Morava (March) rivers in southern Moravia.
The entire area is considered a hotspot and refuge for the saproxylic fauna (Rozkošný and Vaňhara,
1996; Schlaghamerský, 2000, Miklín and Cizek 2014).

The montane part of the study was conducted in the Moravian-Silesian Beskids (Beskydy) – a
mountain range in the Western Carpathians, situated in north-eastern Moravia. The area is
considered one of the refuges for montane saproxylic biodiversity associated with beech-fir forests
in the Czech Republic (Vávra and Stanovský, 2013). For a detailed description of the two Czech
sampling areas see Weiss et al. (2016).

140

141 2.2 Sampling protocol

142 Sampling in the tropical lowland forest focused on four sites. Three of these were within the reach 143 of the Smithsonian Tropical Research Institute canopy crane, while the fourth was situated on a 144 ridge ~ 370 meters from the crane sites (see Fig. 1). At each of the four sampling sites, three vertical 145 transects were installed. Each vertical transect consisted of six unbaited flight intercept traps 146 suspended at 0, 1.3, 7, 14, 21 and 28 meters above the ground (height at the bottom of the 147 interception panels). In total, 72 traps were installed in tropical forest, and beetle samples were 148 collected and sorted every 10-14 days for the period from October 2003 to May 2004 (227 days; 16 149 344 trap-days sampling effort in total), encompassing the extremes of both the wet and dry seasons 150 of the year (Basset et. al 2007). 151 In the temperate forests, five sampling sites were selected in each of the lowland and the montane

152 forest locations (see Fig. 1), and three vertical transects were established at each site. Because of the

153 lower tree heights, the vertical transects in the temperate forests were only sampled at five of the six

heights, up to 21 meters. In total, 75 traps were installed in the temperate lowland forest, and 75

traps in the temperate montane forest. Sampling was carried out in 2007 at the lowland sites and in 2008 at the montane sites. Due to the difference in the length of the vegetation season, traps at the lowland sites were operated from the end of March until the end of September (183 days; 13 725 trap-days sampling effort in total), whereas traps at the montane sites were operated from the end of April (after snow had melted) until the end of September (153 days; 11 475 trap-days sampling effort in total). The sampling thus covered virtually the whole period of beetle activity in both temperate sampling areas.

162 A list of all sampling sites with all relevant information can be found in the Supplementary Material163 (Table S1).

164

165 The flight intercept traps used were of the cross vane type (the two perpendicular transparent plastic 166 panes were 50 cm high and 25 cm wide) with a roof, and a funnel connected to a collecting bottle 167 with preservative (saturated salt solution with a drop of detergent to eliminate surface tension). 168 All trapped beetles were sorted and identified to family level, and then families known to contain 169 saproxylic species were sorted further to species or morphospecies. Experienced taxonomic 170 specialists then checked and revised the initial identifications, in order to either confirm species 171 identifications or assign unknown species to higher taxa (mostly genera or tribes). Every saproxylic 172 species was assigned to a feeding guild, as either mycetophagous, xylophagous, zoophagous, or 173 saprophagous based on Leschen et al. (2010), and expert consultations. Larval feeding habits were 174 considered. When unknown, feeding habits were inferred based on known information about 175 closely related taxa. All species associated with fungi, including xylomycetophages and 176 sapromycetophages, were considered as mycetophagous. Staphylinidae were omitted from the 177 dataset due to difficulties with their identification, which is a common approach in previous 178 saproxylic studies and has not been considered to create undue bias in earlier investigations (Sebek 179 et al., 2012; Parmain et al., 2015)

181 2.3 Data Analysis

182 2.3.1 Species richness and distribution

183 To compare total observed species richness between the three forest types the expected number of 184 species was interpolated using sample-based rarefaction. The total expected number of species 185 likely to occur at the sites was extrapolated using the classic Chao1 richness estimator with 100 runs 186 for each of the three sampling areas (Chao, 1984). Since sampling covered virtually the entire 187 period of beetle activity in both temperate forest types but only about 60 % of the active period in 188 the tropical forest, total richness is likely to be underestimated in the latter case if seasonal species 189 turnover is high (Grimbacher and Stork, 2009). We also tested for a potential undersampling bias 190 between the forest types by calculating incidence based sample coverage estimates (Chao & Jost, 191 2012; Chao et al., 2014) for all forest types. These analyses were carried out with the iNEXT 192 package (Hsieh et al., 2016) in R 3.5.1 (R Core Team, 2018). 193 To test the effect of the spatial predictor variables (forest type and vertical strata) on local species 194 richness, we used a generalized linear mixed effects model (GLMM) with Poisson error distribution 195 (and a log-link function) in the lme4 package (Bates et al., 2015) in R 3.5.1 (R Core Team, 2018). 196 To account for the potential confounding influence of sample abundance on richness estimates, we 197 entered the number of beetle specimens as a covariate in the model. We included 'trap height' as a 198 continuous fixed effect and 'forest type' (with three levels: tropical, temperate lowland and 199 temperate montane) as a categorical fixed effect, as well as their interactive effect. 'Sampling 200 transect ID' nested within 'sampling site ID' was used as the random effects structure to account for 201 the non-independence of multiple transects within sites, and multiple traps deployed along each 202 vertical transect. Since there were significant deviations from linear model assumptions for species 203 richness, a 2nd order polynomial function was tested for trap height in the GLMM. Predictors were 204 centred and standardized for model comparisons and model residuals were tested for

overdispersion. If overdispersion occurred, 'sample ID' was used as an observation-level random
effect (Harrison 2014). The final, most-parsimonious model was identified following model
selection among all possible simplified models using the AICcmodavg package (Mazerolle, 2017)
in R 3.5.1 (R Core Team, 2018).

209

210 2.3.2 Species dissimilarity

To investigate change in community composition of saproxylic beetles along the vertical transects, a species dissimilarity matrix was calculated for the samples in each of the three forest types (separately). The Bray-Curtis index was chosen as a measure of dissimilarity since it has proven suitable for ecological comparisons (Legendre and de Cáceres, 2013). From this, we calculated the average pairwise dissimilarity of each beetle sample (N=72 in the tropical lowland forest, N=75 in the temperate lowland/montane forests) to the centroid of the respective ground (0m) samples for that forest type.

We tested the effect of sampling height and forest type on these relative dissimilarity values
(referred to hereafter as Diss_{Rel}) using a linear mixed effects model (LMM) in the nlme package
(Pinheiro et al., 2016) in R 3.5.1 (R Core Team, 2018). We included the log-transform of trap height
as a continuous fixed effect and 'forest type' as categorical fixed effect, while 'sampling site ID'
and 'sampling transect ID' were included as hierarchical random effects. To meet linear model
assumptions, Diss_{Rel} was logit-transformed.

224

225 2.3.3 Indicator species

To gain further insight into patterns of vertical distribution, we investigated affinities of individual species to the sampled heights. Characteristic 'indicator species' for trap heights were identified for each of the three forest types as described by Dufrêne and Legendre (1997) using the labdsv package (Roberts, 2016) in R 3.5.1 (R Core Team, 2018). At each vertical height, we counted the

230 number of species that had significant (p < 0.05) IndVal values and were an indicator for the 231 particular height.

To test the effect of the spatial predictor variables on the number of 'indicator species', we used a
generalized linear mixed effects model (GLMM) with Poisson error distribution in the lme4
package (Bates et al., 2015) in R 3.5.1 (R Core Team, 2018). We used the same model
specifications as in the GLMM for species richness (see above).

236

237 2.3.4. Feeding guilds

238 The influence of spatial predictor variables on the relative frequencies of the four feeding guilds 239 (mycetophagous, saprophagous, xylophagous, and zoophagous species) was tested using a log-240 linear Poisson approach to multinomial analysis. In this approach, the same Poisson GLMM model 241 (with a log-link function) is used as described for the species richness model (see above), except 242 with species counts per functional group as the response variable, and with 'guild' identities entered 243 as an additional fixed categorical predictor variable in the model. The interactions between 'guild' 244 and the other model predictors represent the log-odds that the relative guild frequencies vary with 245 height and between forest types (which are exactly equivalent to the main effects in a multinomial 246 logistic model). We selected the 'zoophagous' guild as the reference category against which relative 247 frequencies of the other three guilds were compared.

248

249 **3. Results**

250 3.1 Species richness and its vertical distribution

In total, 9 247 saproxylic beetle individuals were captured in the tropical lowland forest as opposed
to 7 429 in the temperate lowland forest and 8 939 in the temperate montane forest. The total
number of species captured was notably higher in the tropical forest (530 species) than in either the
temperate lowland forest (381 species) or temperate montane forest (236 species) (Fig. 2a), and this

255 same trend held true even after standardization of sample abundances (Fig. 2a). Moreover, values of 256 the Chao1 species richness estimator also suggest that undersampling bias was greater at the 257 tropical forest site than at the two temperate sites (Fig. 2a), and this was confirmed with the 258 incidence-based sample coverage estimator, which was markedly higher in the temperate lowland 259 forest (96.5%) and temperate montane forest (96.1%) than in the tropical forest (90.5%). 260 Species richness peaked in the upper heights of the vertical gradient in the tropical forest, but in the 261 lower vertical heights of both temperate forests (Fig. 2b). Average species richness per trap varied 262 strongly between vertical heights (Fig. 3a), and trends in the vertical distribution of species richness 263 differed significantly between forest types in the GLMM analysis (trap height × forest type 264 interaction effect in Table 1), even after accounting for variation in sample abundances between 265 traps (covariate effect of sample abundance in Table 1). Non-linear trends in vertical distribution 266 were observed at all sites (Table 1), but richness tended to increase from ground to canopy in the 267 tropical forest, and decrease from 1.3 m to canopy in the temperate forests (see Fig. 3a), although 268 the trend was weak in the temperate lowland forest.

269

270 3.2 Species dissimilarity

The average dissimilarity between above-ground and ground-level beetle assemblages (Diss_{Rel}) increased with trap height in all three forests (Fig. 3b). However, the slope of this increase differed significantly between forest types in the LMM analysis (trap height × forest type interaction effect in Table 2). In both temperate forests the slope was less steep than in the tropical forest with the temperate montane forest showing the least increase along the vertical gradient. The temperate forests also showed a higher dissimilarity among the ground-level beetle assemblages than the tropical forest, particularly in the case of the temperate montane forest (Table 2).

278

279 3.3 Indicator species

The number of indicator species per height interval decreased from ground to canopy in all three forests (Fig. 3c) although non-linear trends were evident along the vertical gradient. The slope of this decrease differed significantly between the tropical and the temperate forests (trap height × forest type interaction effect in Table 3) with temperate forests showing a steeper decline in the number of indicator species, whereas the tropical forest had a large peak in indicator species at 21m but complete absence of indicators species at the uppermost 28m trap height (Fig. 3c).

286

287 3.4 Feeding Guilds

288 There was significant non-uniformity in the distribution of feeding guild proportions along the 289 vertical transect in all forests (Fig. 4). Non-linear trends in vertical distribution were observed for 290 all guilds at all sites. In the tropical forest a significant difference in guild distribution was observed 291 with the proportion of mycetophages decreasing from ground to canopy while that of the other 292 guilds increased (guild × trap height interaction effect in Table 4). This pattern of distribution was 293 highly similar in both temperate forests with a few exceptions. The increase in zoophages from 294 ground to canopy showed a steeper slope in both temperate forests compared to the tropical forest 295 $(trap height \times forest type interaction effect in Table 4)$ and the same was true for xylophages (guild 296 $X \times \text{trap height} \times \text{forest type interaction effect in Table 4}$). On the other hand, the proportion of 297 saprophages increased from ground to canopy in the tropical forest but decreased with height in 298 both temperate forests. However, this difference was only significant in the case of the temperate 299 lowland forest (guild $S \times trap height \times forest type interaction effect in Table 4).$

300

301 4. Discussion

302 Using a standardized approach, we compared the vertical structuring of saproxylic beetle

303 communities in temperate and tropical forests. Our results provide valuable insights into latitudinal

304 and altitudinal differences in stratification of saproxylic beetles.

306 4.1 Species richness and vertical stratification patterns

307 The estimated number of beetle species in the tropical forest was between two to three times higher 308 than in the temperate lowland and montane forests, respectively. An overall difference of this 309 magnitude is to be expected, considering the latitudinal gradient in insect diversity (Stork, 1988). 310 However the vertical distribution of saproxylic richness differed between the temperate and tropical 311 forests. While the number of species increased almost uniformly with height in the tropical forest 312 and peaked in the canopy (21 m), the vertical trend in species richness was strikingly different in the 313 two temperate forests, with a peak in richness in the understorey at 1.3 m (even after standardising 314 for variation in sample abundance between vertical heights). One implication of this finding is that 315 point alpha diversity in a temperate forest understorey could, at times, be substantially higher than 316 in a tropical rainforest understorey, especially given the pronounced seasonality in temperate 317 forests.

318 The comparatively low richness near the ground in tropical forests is also likely to be an 319 explanation for the rather low dissimilarity among near-ground samples, and rapid increase in 320 dissimilarity with height in the tropical forest. In temperate forests, by comparison, the among-321 sample dissimilarity is rather high near the ground, but its rate of change with height is lower. The 322 very high dissimilarity near the ground in the temperate montane forest might partly be attributed to 323 the higher variability due to a wider area being sampled and rugged mountain terrain. The most 324 important finding from the dissimilarity model is that the slopes (reflecting the rate of change in 325 dissimilarity with vertical trap height) clearly differ among the three forests. In this respect, the two 326 lowland forests are more similar to each other than to the montane forest, where dissimilarity shows 327 the lowest increase along the vertical gradient.

328 The above mentioned diversity patterns are in line with known stratification patterns in tropical
329 (Wardhaugh, 2014) and temperate forests (Ulyshen, 2011). Nevertheless, this study performs the
330 first direct comparison of species richness of entire saproxylic beetle assemblages between tropical

331 and temperate forest ecosystems. The high differences in tree species richness (see Table S1) among 332 sampling sites are not mirrored by beetle diversity, suggesting rather low host specificity of 333 saproxylic beetles in the tropics (or a very long tail of rare, unsampled specialists that we did not 334 encounter). Similarly, low host specificity has also been suggested in previous studies (e.g. Beaver, 335 1979; Tavakilian et al., 1997; Ødegaard et al., 2000). However, a limitation of our study was the 336 higher undersampling bias in the tropical forest compared to the temperate ones (as estimated by 337 sample coverage measures; Chao and Jost, 2012), and admittedly our sampling only included a 338 portion of the seasonal variation in beetle activity periods in the tropical forest (e.g. Grimbacher and 339 Stork, 2009).

340 The vertical stratification of beetle species richness and community composition in the three forest 341 types can perhaps be best explained through variation in resource availability and partly also 342 resource competition. Firstly, the stratification of arthropod communities has been linked to the 343 complexity of vertical forest structure (Basset et al., 2003a). Tropical rainforests typically have a 344 higher vertical complexity than temperate forests (Smith, 1973; Terborgh, 1985). The temperate 345 montane forest has, in turn, a simpler vertical structure than the lowland forest (for a detailed 346 description of the structure of both forests see Janik et al., 2013). Sun-lit dead wood is an important 347 resource to many saproxylic beetle species (e.g. Franc et al., 2007), but is virtually absent in the 348 tropical forest understorey where almost no sunlight reaches the ground (Parker, 1995). Close 349 intertwining with lianas, on the other hand, ensures a higher occurrence of standing and especially 350 suspended dead wood in the canopy of tropical forests compared to temperate ones (Martius and 351 Bandeira, 1998). Furthermore, the concentration of supplementary resources such as flowers and 352 fruits, which sustain adults of many beetle species, is much higher in tropical canopies. Another 353 reason for the lower species richness on the forest floor could also be competition from termites 354 (Ødegaard et al., 2000). They are an important part of the saproxylic community in tropical forests 355 and are more active on and near the forest floor than in the canopy (Roisin et al., 2006).

357 4.2 Indicator species along the vertical gradient

358 Analysis of the frequency and distribution of potential indicator species (i.e., with high fidelity to 359 specific vertical heights), points to further differences in patterns of beetle vertical stratification 360 between tropical and temperate forests. While the number of indicator species decreased towards 361 the upper vertical heights in all forests, the slope of the relationship was less steep in the tropical 362 forest due to a secondary peak of indicator species at 21 meters. This suggests that there is a very 363 distinct community of saproxylic beetles near the ground, adapted to the dark and humid conditions 364 near the forest floor, and in tropical forest this is complemented by a distinct community of species 365 that prefers the drier, sun exposed canopy at 21 meters above ground. No species appeared to prefer 366 outer-canopy heights of 28 meters in the tropical forest, despite the high observed species richness. 367 Beetles from the lower canopy possibly frequent this height in search of resources, such as flowers, 368 or use it as a dispersal flight route in order to avoid navigating in the denser foliage underneath, but 369 do not appear to live exclusively in this zone.

370 In both temperate forests the distribution of indicator species followed the pattern of species 371 richness more closely. In temperate forests, with their lower structural complexity, dead wood is 372 more abundant near the ground (e.g. Green and Peterken, 1997; Fridman and Walheim, 1999; 373 Ulyshen, 2011), sunlight availability gradients are less pronounced and there is less competition for 374 the dead wood from e.g. fungi and/or termites. More indicator species thus occur at the heights 375 where most dead wood is accumulated. The lower overall number of indicator species in tropical 376 forests may be attributed at least partly to a much higher proportion of rare species than in 377 temperate forests (Price et al., 1995; Novotný and Basset, 2000).

378

379 4.3 Guild composition along the vertical gradient

380 Saproxylic beetle communities in this study were also stratified in terms of guild composition, as

381 evidenced by all four feeding guilds being influenced by trap height in the three forests studied. 382 There were subtle, but statistically significant, differences in the vertical distribution of feeding 383 guilds between the forest types. The saprophages showed the most distinctive differences, with a 384 higher proportion near the ground in temperate forests, most likely due to the fact that dead organic 385 matter generally accumulates on the forest floor. In the tropical forest the proportion of saprophages 386 increased with trap height. The reason for this might be that the more complex forest structure 387 ensures that dead organic matter is more evenly vertically distributed in tropical forests (Nadkarni 388 and Longino, 1990). Moreover, the beetles might face more competition on the forest floor from 389 fungi or termites, for example, which have been found to be more prevalent in woody debris on the 390 ground and in the understorey, than in the canopy of tropical forests (Meier et al., 2010, Roisin et al. 391 2006).

392 Despite the differentiation of guild composition across vertical heights, patterns of stratification in 393 guild composition were predominantly quite similar between the three forests. For instance, the 394 proportion of mycetophages decreased with height in all three forests. This phenomenon most likely 395 stems from the relatively moist conditions near the forest floor that provide better conditions for the 396 fungi that these species rely on (Floren et al., 2014; Ulyshen, 2011). The relative proportion of 397 xylophages generally increased along the vertical gradient in all three forests. Many xylophagous 398 beetles, especially in the families Cerambycidae, Curculionidae and Buprestidae, are known to 399 prefer sun-exposed wood often found in the canopy (e.g. Ødegaard, 2003; Kappes and Topp, 2004). 400 The increasing proportion of zoophages with trap height was also a common phenomenon in all 401 examined forest types. An important reason for this is, most likely, that many species of this guild 402 are predatory as larvae as well as adults (Leschen et al., 2010). Therefore the imagines are usually 403 highly mobile and will prefer the upper parts of the forest where vegetation is less dense and 404 insolation is higher (at least in temperate forests). In the tropical forest, the preference of predators 405 for the upper heights of the canopy can best be explained by the higher amount of resources, such as 406 leaves, flowers and fruits, which attract a higher abundance of prey (Basset et al., 2003b).

17

407

408 4.4 Implications for forest management

409 Forest management practices that minimize the negative effects of forest use on biodiversity have 410 become an integral part of the forest industry, and underpin core values in the certification of 411 sustainable forest products (Johansson et al., 2013; Jonsson et al., 2016). However, effective 412 management practices must be supported by empirical evidence about the distribution of 413 biodiversity in managed forests. While most saproxylic monitoring surveys assume that sampling at 414 ground level provides a good representation of biodiversity in the forest as a whole (but see Bouget 415 et al., 2011), our study has shown that this is not the case. There were substantial differences in the 416 diversity, species composition and feeding guild proportionality of saproxylic beetles across vertical 417 strata in all forest types sampled. Consequently, management practices aimed at conserving 418 saproxylic beetle diversity, and maintaining important ecosystem services, will need to be tailored 419 to the type of forest in question. In the tropical forest, the understorey stratum was rather species-420 poor, and contained a distinct suite of indicator species that were not representative of the diversity 421 and composition of saproxylic beetles in higher forest canopy layers. In order to understand 422 potential forest management influences on saproxylic biodiversity, monitoring would have to take 423 vertical stratification patterns into account, especially in forests with complex vertical structure. In 424 temperate forests, on the other hand, ground-level monitoring could provide a much better 425 representation of stand-wide saproxylic communities (comparatively speaking), particularly in 426 forests of lower vertical complexity. Management emphasis might then be best placed on 427 maintaining structurally diverse understories. This is fully in line with previous findings that high 428 canopy closure simplifies understorey vegetation and may threaten saproxylic biodiversity of 429 certain forests (Götmark, 2013; Vodka and Cizek, 2013; e.g. Sebek et al., 2015).

430

In conclusion, we showed clear vertical stratification of saproxylic beetle communities in tropical and temperate forests at different altitudes. The overall community structure and stratification of feeding guilds were relatively similar between forest types, but the vertical distribution of species richness differed. These patterns may at least partly be due to variation in microclimate and amount and quality of resources. However, research with specific focus on these factors would be required for a more holistic understanding of the spatial distribution of saproxylic species.

437

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655

- 656 <u>Tables:</u>
- 657 <u>Table 1: Vertical stratification of beetle species richness in three forest types.</u> Results of a generalised linear mixed
- 658 effects model (GLMM) showing the influence of sample abundance, trap height (by its 2^{nd} degree polynomials; L =

659 *linear component, Q = quadratic component), forest type (TeL = temperate lowland forest, TeM = temperate montane*

- 660 forest) and the interaction between these factors on the number of saproxylic beetle species per sample. SE = Standard
- 661 *error of the model estimate.*

Predictor	Estimate	SE	P-Value
Intercept (Tropical forest)	3.63	0.06	< 0.001

Sample abundance	0.31	0.03	< 0.001
Trap Height: L	0.46	0.06	< 0.001
Trap Height: Q	- 0.42	0.09	< 0.001
Forest Type: TeL	0.08	0.08	0.361
Forest Type: TeM	- 0.60	0.09	< 0.001
Trap Height: L × Forest Type: TeL	- 0.45	0.08	< 0.001
Trap Height: Q × Forest Type: TeL	0.27	0.18	0.144
Trap Height: L × Forest Type: TeM	- 0.73	0.09	< 0.001
Trap Height: Q × Forest Type: TeM	0.63	0.22	< 0.01

664Table 2: Dissimilarity in beetle species composition along vertical gradients in three forest types. Results of a linear665mixed effects model (LMM) showing the influence of trap height, forest type (TeL = temperate lowland forest, TeM =666temperate montane forest) and the interaction between these factors on the species dissimilarity of saproxylic beetle667communities to the average ground-level community at the same site (Diss_{Rel}). SE = Standard error of the model668estimate.

Predictor	Estimate	SE	P-Value
Intercept (Tropical forest)	- 0.38	0.07	< 0.001
log(Trap Height)	0.72	0.02	< 0.001
Forest Type: TeL	0.45	0.10	< 0.01
Forest Type: TeM	1.43	0.10	< 0.001
log(Trap Height) × Forest Type: TeL	- 0.13	0.03	< 0.01
log(Trap Height) × Forest Type: TeM	- 0.52	0.03	< 0.001

670 <u>Table 3: Distribution of beetle species associated with certain heights (indicator species) along the vertical gradient</u>

- *in three forest types.* Results of a generalised linear mixed effects model (GLMM) showing the influence of trap height,
- 672 forest type (TeL = temperate lowland forest, TeM = temperate montane forest) and the interaction between these factors

on the number of indicator species of saproxylic beetles. *SE* = *Standard error of the model estimate.*

Predictor	Estimate	SE	P-Value
Intercept (Tropical forest)	1.46	0.10	< 0.001
log(Trap Height)	- 0.87	0.18	< 0.001
Forest Type: TeL	- 0.10	0.14	0.464
Forest Type: TeM	- 1.33	0.18	< 0.001
Trap Height × Forest Type: TeL	- 0.92	0.29	< 0.01
Trap Height × Forest Type: TeM	- 1.59	0.39	< 0.001

675Table 4: Vertical stratification of beetle feeding guilds from three forest types. Results of a generalised linear mixed676effects model (GLMM) showing the influence of trap height (by its 2^{nd} degree polynomials; L = linear component, Q =677quadratic component), forest type (TeL = temperate lowland forest, TeM = temperate montane forest, reference state =678tropical forest), feeding guild (M = mycetophagous, X = xylophagous, S = saprophagous, Z = zoophagous) and the679interaction between these factors on the frequency of saproxylic beetle individuals.SE = Standard error of the model

estimate.

Predictor	Estimate	SE	P-Value
Intercept (Tropical forest; Guild Z)	1.14	0.12	< 0.001
Guild M	1.87	0.11	< 0.001
Guild S	0.17	0.13	0.2031
Guild X	1.10	0.11	< 0.001

Trap Height: L	0.82	0.19	< 0.001
Trap Height: Q	- 0.63	0.28	< 0.05
Forest Type: TeL	0.69	0.15	< 0.001
Forest Type: TeM	- 0.07	0.17	0.6840
Guild M × Trap Height: L	- 0.64	0.20	< 0.01
Guild S × Trap Height: L	- 0.05	0.25	0.8264
Guild X × Trap Height: L	- 0.05	0.21	0.7985
Guild M * Trap Height: Q	0.57	0.29	0.0502
Guild S × Trap Height: Q	0.13	0.36	0.7110
Guild X * Trap Height: Q	0.18	0.31	0.5556
Guild M * Forest Type: TeL	- 0.73	0.14	< 0.001
Guild S × Forest Type: TeL	- 0.62	0.19	< 0.01
Guild X × Forest Type: TeL	- 0.64	0.16	< 0.001
Guild M × Forest Type: TeM	- 0.63	0.17	< 0.001
Guild S × Forest Type: TeM	- 0.59	0.23	< 0.05
Guild X × Forest Type: TeM	- 0.08	0.18	0.6497
Trap Height: L × Forest Type: TeL	- 0.67	0.23	< 0.01
Trap Height: Q × Forest Type: TeL	0.07	0.46	0.8853
Trap Height: L × Forest Type: TeM	- 0.76	0.24	< 0.01
Trap Height: Q × Forest Type: TeM	1.11	0.55	< 0.05
Guild M * Trap Height: L * Forest Type: TeL	0.21	0.23	0.3769

Guild S × Trap Height: L × Forest Type: TeL	- 0.67	0.30	< 0.05
Guild X * Trap Height: L * Forest Type: TeL	0.61	0.26	< 0.05
Guild M * Trap Height: Q * Forest Type: TeL	0.71	0.48	0.1413
Guild S × Trap Height: Q × Forest Type: TeL	1.24	0.64	0.0516
Guild X * Trap Height: Q * Forest Type: TeL	- 0.77	0.54	0.1586
Guild M * Trap Height: L * Forest Type: TeM	0.12	0.26	0.6246
Guild S × Trap Height: L × Forest Type: TeM	- 0.47	0.34	0.1690
Guild X * Trap Height: L * Forest Type: TeM	0.31	0.28	0.2649
Guild M * Trap Height: Q * Forest Type: TeM	- 0.29	0.59	0.6172
Guild S × Trap Height: Q × Forest Type: TeM	- 0.13	0.82	0.8759
Guild X * Trap Height: Q * Forest Type: TeM	- 1.67	0.63	< 0.01

683 <u>Figures:</u>





Fig. 1: Global location of the forest sites (a) and location of the two forest sites in the Czech Republic (b). Location of
the five sampling sites in the temperate montane forest (c). Location of the study site and the four sampling sites in
Panamá (d, e). Location of the five sampling sites in the temperate lowland forest (f)









- 691 species richness standardized to a sample of 1000 specimens (black dots) (a). Observed total species richness (bars) and
- 692 species richness standardized to a sample of 300 individuals (black squares) along the vertical gradients in two
- temperate and one tropical forest (b) All error bars represent 95% confidence limits.



Fig. 3: Observed species richness of saproxylic beetles per sampling point along the vertical transects at each of the respective tropical and temperate sites (a). Beetle community dissimilarity ($Diss_{Rel}$) between each vertical height and the average ground-level community composition at the respective tropical and temperate sites (b). Species richness of indicator beetle species per sample along the vertical transect at the respective tropical and temperate sites (c). In all cases, the fitted lines represent model-predicted estimates (\pm 1 SE) from mixed-effects models (see methods for further details). Overlapping data points are offset for clarity. (Samples: Tropical lowland = circles; Temperate lowland = triangles; Temperate montane = squares; Fitted Lines: Tropical lowland = solid line; Temperate lowland = dotted line;

702 Temperate montane = broken line).



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Fig. 4: Variation in the proportions of saproxylic beetle individuals belonging to each of the four feeding guilds along
 vertical gradients in each forest type. Fitted lines (and confidence intervals) represent model-predicted estimates (± 1
 SE) from a log-linear Poisson generalized linear mixed effects model (GLMM). See methods for further details.