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Sandrine Dufort, Geoffrey Appelboom, Camille Verry, Emmanuel L. Barbier, François Lux, et al.. Ultrasmall theranostic gadolinium-based nanoparticles improve high-grade rat glioma survival. Journal of Clinical Neuroscience, 2019, 67, pp.215-219. 10.1016/j.jocn.2019.05.065. hal-02337558

HAL Id: hal-02337558

https://hal.science/hal-02337558

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Experimental study

Ultrasmall theranostic gadolinium-based nanoparticles improve high-grade rat glioma survival

Sandrine Dufort ^{a,1}, Geoffrey Appelboom ^{b,*}, Camille Verry ^{c,d}, Emmanuel L Barbier ^d, François Lux ^e, Elke Bräuer-Krisch ^f, Lucie Sancey ^e, Steven D. Chang ^b, Michael Zhang ^b, Stéphane Roux ^g, Olivier Tillement ^e, Géraldine Le Duc ^{f,1}

ARTICLE INFO

Article history: Received 27 October 2018 Accepted 27 May 2019 Available online xxxx

Keywords: Glioblastoma Temozolomide Theranostic Nanoparticles Radiosensitizers Chemoradiation

ABSTRACT

We formulated an ultra-small, gadolinium-based nanoparticle (AGuIX) with theranostic properties to simultaneously enhance MRI tumor delineation and radiosensitization in a glioma model. The 9L glioma cells were orthotopically implanted in 10-week-old Fischer rats. The intra-tumoral accumulation of AGuIX was quantified using MRI T1-maps. Rats randomized to intervention cohorts were subsequently treated with daily temozolomide for five consecutive days before radiotherapy treatment. Collectively, a series of 32 rats were divided into untreated (n = 7), temozolomide-only (n = 7), temozolomide and MRT (n = 9), AGulX and MRT (n = 7), and triple therapy (temozolomide, AGulX NPs, and MRT; n = 9) cohorts. AGulX nanoparticles achieved a maximum intra-tumoral concentration (expressed as concentration of Gd3+) at 1 h after intravenous injection, reaching a mean of 227.9 \pm 60 μ M. This was compared to concentrations of $10.5 \pm 9.2 \,\mu\text{M}$ and $62.9 \pm 24.7 \,\mu\text{M}$ in the contralateral hemisphere and cheek, respectively. There was a slower washout in the intra-tumor region, with sustained tumor-to-contralateral ratio of AGulX, up to 14-fold, for each time point. The combination of AGulX or temozolomide with MRT improved the median survival time (40 days) compared to the MeST of control rats (25 days) (p < 0.002). There was a trend towards further increased survival when the three treatments were combined (MeST of 46 days). This study demonstrated the selective accumulation of AGuIX in high grade glioma, as well as the potential survival benefits when combined with chemoradiation.

1. Introduction

Glioblastoma multiforme (GBM) is the most common and aggressive form of high-grade glioma with 3 per 100,000 new cases per year and a median survival of 14 months, despite optimal surgical resection followed by postoperative radiotherapy and chemotherapy. Tumor almost invariably recurs at its original site, leading to a median survival of 1 year after diagnosis, and a 5-year survival rate of 9.8% [1]. The effect of radiation therapy (RT) on survival has been demonstrated in GBM patients treated

with whole brain RT, although not without side effects. Further benefit has also not been seen from dose escalation [2,3]. Thus, new protocols aiming to maximize radiation potency to targeted tissue is paramount.

Radiation sensitizers are compounds that are given concurrently with RT in an effort to increase local therapeutic effect. Several classes of radiosensitizers have been studied but none have been approved for high grade gliomas [4]. We have developed a new class of ultrasmall, theranostic $(3.0 \pm 1.0 \text{ nm size})$ gadolinium (Gd)-based nanoparticle (AGuIX NPs) for theranostic applications, to improve radiographic delineation and increase the intratumoral dose–effect delivered by the particles beams [5–8]. High Z-elements are incorporated to enhance local radiation absorption and introduce harmful photons, photoelectrons, Auger electrons,

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Compton electrons and radical species [9]. The effect is a more efficient destruction of the tumor, without dose escalation to healthy tissue. Studies have demonstrated such efficacy when combining AGuIX NPs with radiation for glioblastoma [10,11], lung tumors [12], pancreatic adenocarcinoma [13,14], and multifocal intracranial melanoma metastases [15].

AGuIX NPs can also be used for MRI tumor delineation [16,17]. They are characterized by rapid clearance by normal tissue, high tumor-to-healthy tissue affinity ratios, and extravasation from normal blood vessels based on enhanced permeability and retention (EPR) effect [18–23]. Our nanotechnology showed superior contrast compared to other Gd chelates [24].

In this study, we aimed to quantify local AGuIX concentration in orthotopic glioma rat tumor after intravenous injection and to examine its synergistic effects on survival from combinations of temozolomide, AGuIX NPs and radiotherapy in the treatment of high-grade gliomas.

2. Materials and methods

2.1. Gadolinium (Gd)-based AGuIX NPs

The Gd-based AGuIX NPs were synthesized and characterized according to a previously described protocol [16,25]. Briefly, the NPs are synthesized through a top-down process and are made of Gd chelates (1,4,7,10-tetraazacyclododecane-1-glutaric acid-4,7,10-triacetic acid, i.e. DOTAGA) covalently linked to a polysiloxane network core. During synthesis, pH was adjusted to 7.2 ± 0.15 and the final hydrodynamic diameter was precisely controlled to reach 3 ± 1 nm, favoring renal elimination. The r_1 value used for the MRI calculation was equal to $6 \text{ mM}^{-1}.\text{s}^{-1}$ (7 T), while the r_2/r_1 ratio is 2.2 (7 T) (compared with 1.14 at 1.4 T) [16]. More than 90% of the injected NPs is renally cleared within the first day after injection, allowing a safe elimination [26].

3. Treatment and irradiation procedures

3.1. Animals

All procedures related to animal care conformed to the Guidelines of the European and French Government with licenses 380,325 and B3818510002, and were approved by the Ethical Committee of

the ESRF (ETHAX) and registered under number 01261.01 at French Ministry of Research.

3.2. Brain tumor inoculation

The 9L glioma (9LGS) cells were implanted in the brain of male 10-week-old Fisher 344 rats (Charles River, France) [27,28]. Anesthetized animals were placed on a stereotactic frame, and 10^4 9LGS cells suspended in 1 μ L DMEM + 1% penicillin/streptomycin were pushed manually within 30 s using a Hamilton syringe inserted into the right caudate nucleus (3.5 mm lateral to the bregma, 6 mm depth below the skull surface). All time intervals stated in the manuscript are post implantation, i.e. D10 means 10 days after tumor implantation.

The rats were anesthetized by inhalation of 4% isoflurane in air followed by an intraperitoneal injection of xylazine/ketamine 64.5/5.4 mg.kg⁻¹ for the glioma implantation, nanoparticle injection and irradiation. The rats were anesthetized by inhalation of 2.5% isoflurane in air for MRI examination. In any case, the eyes of the animals were embedded with a drop of Ocry-gel (TVM Laboratories, France) during the anesthesia to avoid dryness of the cornea.

3.3. Treatments

The AGuIX NPs were injected in the saphenous vein with either 1 mL of 100 g/L AGuIX NPs in saline buffer for MRI examination or 1.4 mL of 40 g/L for therapy. Temozolomide was delivered by intraperitoneal injection (1 mL containing 3.3 mg of temozolomide) for 5 consecutive days, from day 6 to 10 after tumor implantation. The AGuIX NPs were intravenously injected at day 10, 4 h before Microbeam Radiation Therapy (MRT) (Fig. 1). Animal weight was monitored daily during the 5 days of treatment with temozolomide, then weekly.

3.4. MRI acquisitions

MRI was performed on a 7 T scanner (Biospec 70/20, Bruker Avance III console, Germany – Grenoble MRI facility IRMaGE) equipped with a 660 mT/m gradient coil and volume transmit/surface receive radiofrequency coils. Animals were placed in the prone position. All images were acquired in the coronal orientation. The MRI protocol was composed of three sequences:

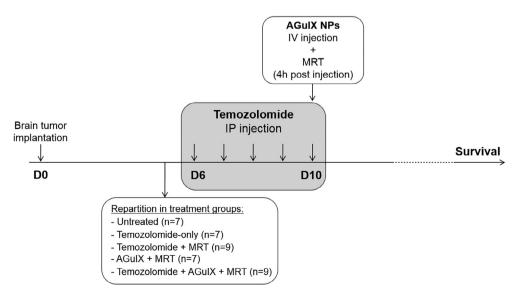


Fig. 1. Diagram of the therapeutic experimental protocol.

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- T_2 -weighted anatomical Rapid Acquisition with Refocused Echoes (RARE) images (repetition time (TR)/effective echo time (TE) 2500/40 ms, number of average (NA) = 2, slice thickness = 1 mm, reconstruction voxel size = $117 \times 117 \times 1000 \, \mu m$, field of view (FOV) = 3×3 cm) were used for tumor localization and evaluation of the tumor volume;
- $T_1\text{-weighted}$ spin-echo images (TR/TE 800/5 ms, NA = 4, slice thickness = 1 mm, reconstruction voxel size = $234 \times 234 \times 1000~\mu\text{m}$, FOV = 3×3 cm) were acquired to assess the spatial extent of the extravasation of the contrast agent;
- T_1 maps (inversion recovery obtained with spin-echo planar imaging (EPI) sequence and 18 inversion times values in the range [35–7200] ms, TR/ TE = 18,000/20 ms, NA = 1, slice thickness = 1 mm, reconstruction voxel size = $234 \times 234 \times 1000 \, \mu m$, FOV = 3×3 cm) were used to estimate the concentration in NPs in the tumor region.

The images from the three sequences were obtained from the same imaging locations.

First, a preliminary study was performed over three rats at D14 stage to confirm tumor maturity on MRI and to quantify AGuIX NPs in brain tumor, the contralateral hemisphere and the cheek muscles [27]. The rats were imaged as previously described before injection and at 1, 4, 7 and 24 h after injection of AGuIX NPs.

3.5. MRI data processing & analysis

MRI data were processed using homemade software developed in MATLAB (MathWorks Inc., Natick, MA, USA) to calculate concentration and create regions of interest (ROIs). For each MR image, ROIs were manually delineated in the tumor, contralateral brain and cheek muscle to measure the average signal. The ROIs defined on the T₂-weighted images were then extended to the others MRI sequences (T₁-weighted and T₁ map images).

3.6. AGuIX concentration

The T_1 maps were obtained by fitting, pixel by pixel, the inversion recovery data with a 3-parameter, exponential recovery, model [29]. The concentration of Gd^{3+} was calculated using the relaxation time determined on the T_1 maps, according to the formula

$$\left[Gd^{3+}\right] = \frac{1}{r_1} \left(\frac{1}{T_1(t)} - \frac{1}{T_1(t0)}\right)$$

where T_1 (t0) is the relaxation time before AGuIX injection (in seconds), T_1 (t) is the relaxation time after injection, and r_1 is the relaxivity per Gd³⁺ in AGuIX NPs at 7 T (6 mM⁻¹.s⁻¹) [16].

3.7. Radiation source and microbeam radiation therapy (MRT) setup

Irradiations were performed at the ID17 Biomedical Beamline of the European Synchrotron Radiation Facility (Grenoble, France) using X-rays emitted tangentially from electron bunches circulating in a storage ring. The wiggler source produces a white spectrum of photons which extends after filtration (Be (0.5 mm), C (1.5 mm), Al (1.5 mm) and Cu (1.0 mm)) from 50 to 350 keV (mean energy of 90 keV). The quasi-laminar beam is spatially fractionated into an array of microbeams by using an adjustable multislit collimator positioned 41.7 m from the photon source and 100 cm upstream from the head of the animals. Upstream from the multislit collimator, the dose rate within a homogenous field of 10 mm \times 10 mm was approximately 90 Gy s $^{-1}$.mA $^{-1}$. Downstream the multislit collimator, the peak entrance dose within the microbeam was targeted for 72 Gy s $^{-1}$.mA $^{-1}$.

3.8. In vivo irradiation methods

Ten days after tumor inoculation, the animals were positioned prone on a Kappa-type goniometer (Huber, Germany) in front of the X-rays source, on a home-made Plexiglas frame, and the alignment into the beam was performed using live cameras. The contention of the rats was performed by a teeth bar, while animals were under anesthesia. They received a lateral irradiation, from their anatomically right side to their left. Then, a 90° angle was applied to the motorized goniometer and the second irradiation was performed in the anatomically anteroposterior direction. The field of irradiation was fixed at 10.5 mm height and 8 mm wide and was centered at the theoretical center of the tumor (i.e. 3.5 mm lateral to the bregma, 6 mm deep from the skull in the right hemisphere). The microbeams were 50 µm wide with an on-center distance fixed at 211 um. The total irradiation procedure lasted about 2 min. Animal immobility during exposure was checked on three control video screens located in the control hutch. A series of 32 rats was divided into untreated rats (n = 7), temozolomidetreated rats (n = 7), temozolomide and MRT-treated rats (n = 9), AGuIX NP and MRT-treated rats (n = 7), and triple therapy-treated (temozolomide, AGuIX NP, and MRT) rats (n = 9). A 4 h interval was required between NP injection and MRT based on results in previous studies [10,30]. The spatial configuration of the microbeams was checked by radiochromic films (Gafchromic, HD-810).

3.9. Survival analysis

The rats were followed up at the animal facility after the irradiation. At a later tumor stage, rats were euthanized by intra-cardiac injection of pentobarbital sodium within the day before their anticipated death as judged by clinical signs. Some of them were found dead. The time between implantation and death was recorded as survival time (one day was added for euthanized rats). The survivals of animals were represented on Kaplan-Meier curves and compared using the log-rank test, and the Median Survival Time (MeST) post-implantation was calculated. The increase in lifespan in percent (ILS) characterizes the difference between median survival time for treated and untreated rats divided by the median survival time for untreated rats.

4. Results

4.1. Distribution and quantification of AGuIX NPs in brain tumors

Qualitative visualization on T_1 -weighted MRI follow-up of the AGuIX NPs distribution in 9L-glioma bearing rats identified very strong contrast enhancement within engrafted tumor by the first time-point, 1 h after the IV injection. No signal was seen before AGuIX NPs administration. Tumor enhancement remained entirely visible up to 24 h after administration, while the NPs were almost completely eliminated from the contralateral brain region (Fig. 2A), and was significantly greater than all comparative controls (p < 0.01). Following this period, tumor contrast gradually declined, implying AGuIX NP clearance.

The quantification of actual Gd contained in administered AGuIX NPs, and the calculated Gd concentration obtained from T_1 maps, matched the qualitative image analysis (Fig. 2B). Maximal intra-tumoral Gd concentration, reached a mean $227.9 \pm 60 \,\mu\text{M}$ at 1 h after injection, and measured $65.2 \pm 23.2 \,\mu\text{M}$, $29.8 \pm 8.3 \,\mu\text{M}$ and $15.1 \pm 0.9 \,\mu\text{M}$, at 4 h, 7 h and 24 h respectively (Fig. 2C). Gd concentration was noted to decrease in the contralateral brain and cheek muscle, consistently with slower washout. Tumor-to-contralateral hemisphere (T/C) ratio of AGuIX concentrations achieved reached 21.6 at 1 h after administration and remained up to 14-fold higher for each time point.

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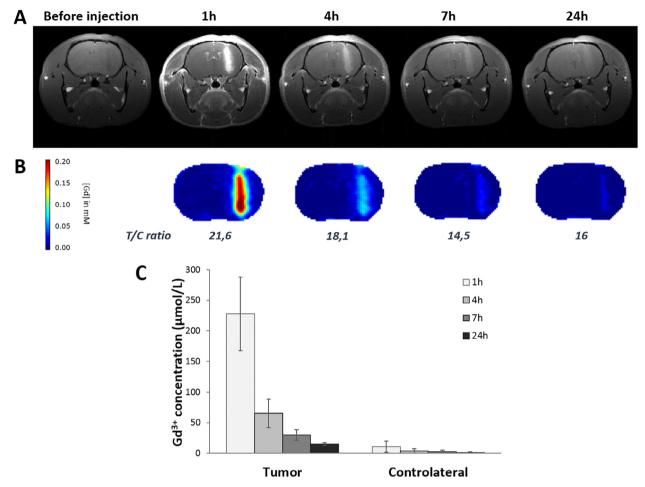


Fig. 2. AGuIX distribution and quantification in 9L-glioma-bearing rats. (A) The T_1 -weighted MR images were acquired before and 1, 4, 7 and 24 h after intravenous injection of 1 mL of AGuIX NPs ([AGuIX] = 100 g/L) over three rats at D14. The pictures indicate a tumor- T_1 contrast enhancement due to the AGuIX NPs, until 24 h post intravenous injection. (B) The Gd concentration maps were calculated from T_1 maps acquired before and 1, 4, 7 and 24 h after IV injection of AGuIX NPs. The relative Gd concentration in tumor was expressed as a Tumor-to-Contralateral (T/C) ratio. (C) Bar graph displaying gadolinium concentrations expressed as the means \pm standard deviation (n = 3) in the tumor and contralateral regions.

4.2. Therapeutic efficacy of the combination of AGuIX NPs, temozolomide and radiation therapy for the management of brain tumors

The radiosensitizing efficacy of the NPs combined with radiation therapy was already demonstrated in the 9L-glioma-rat model [10,11,24,30]. When further evaluating the therapeutic potential of AGuIX NPs, we saw that a combination of AGuIX or temozolomide with MRT improved the median survival time (40 days) compared to the MeST of control rats (25 days) (p < 0.002). The increase of survival further demonstrated a trend towards improved survival when the three treatments were combined such that MeST was 46 days, compared to AGuIX + MRT and temozolomide + MRT respectively (p = 0.1 and 0.137; Fig. 3).



Since the publication of the EORTC trial, several pharmacological strategies have been tested with the objective of modulating tumor radiosensitivity and enhancing radiotherapy. To date, only marginal or non-existent clinical benefits have been obtained over standard strategy. Two randomized trials, have failed to enhance tumor control and survival in patients treated with radiation dose escalation (60 Gy followed by a 10 Gy tumor boost) [2,3]. Similarly, most radiosensitization by molecularly targeted agents is not

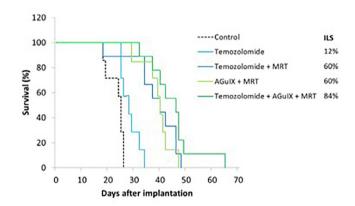


Fig. 3. Survival curve comparison obtained on 9L-glioma bearing rats.

specific to tumor cells, and the toxicity to normal tissues remains a limitation to these pharmacological strategies.

Here, we confirmed our ability to create both a therapeutic (survival enhancing) and diagnostic (tumor delineating) nanoparticle that can easily be injected intravenously. Our data suggest the selective accumulation of AGuIX NPs in brain tumors can provide a 15% survival improvement over the standard temozolomide and radiation regimen. It has been shown that ionizing radiation not only disrupts tumor cells, but also induces a combination of

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collateral damages *i.e.* disruption of tumor angiogenesis, bloodbrain barrier, neuroglial cells, and local inflammation [31–34]. Thus, we hypothesize that AGulX NPs enhances ionizing radiation's tumoricidal properties locally but will also act as a chemotherapy enhancer through blood brain barrier and angiogenesis disruption.

Technological advances have allowed the emergence of high precision radiotherapy with the integration of 3D imaging. Gadolinium is already a well-studied and incorporated heavy atom for biomedical and therapeutic applications [10,14,35–37]. In some of our previous work, AGuIX was compared to FDA-approved gadolinium chelates and demonstrated a higher uptake and retention time by tumors allowing better contrast properties [30,35]. Based on these properties, AGuIX may allow for a more precise tumor delineation on MRI *via* a passive accumulation within the tumor due to the EPR effect. Such volume targeting is perhaps particularly important to promote local tumor control by irradiative effects that are not achievable by external beam-dose escalation alone or without introducing toxicities to normal tissue [2,3,10,30].

In conclusion, in this study we confirm that AGuIX NPs selectively accumulate in glioma, and may have a potentiating effect on survival when administered with standard of care temozolomide and radiotherapy.

Sources of support

This project was supported by Nano-H S.A.S. and the French National Research Agency [grant numbers ANR-11-NANO-017 TheraGulMA, ANR-12-RPIB-0010 MULTIMAGE]. The Grenoble MRI facility IRMaGE was supported by the French program "Investissement d'Avenir" run by the "Agence Nationale pour la Recherche" [grant number ANR-11-INBS-0006].

Authors F. Lux and O. Tillement are authors of patent WO2011/135101. G. Le Duc and O. Tillement are authors of patent WO2009/053644. These patents protect the nanoparticles described in this publication: AGulX. S. Dufort is an employee from Nano-H S.A.S. that is developing AGulX. S. Dufort and G. Le Duc are employees from NH TherAguix S.A.S. that is evaluating the clinical applications of AGulX.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.jocn.2019.05.065.

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