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in genome scans
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#### 27 Abstract

28 Genome scans represent powerful approaches to investigate the action of natural selection on 29 the genetic variation of natural populations and to better understand local adaptation. This is very useful for example in the field of conservation biology and evolutionary biology. Thanks 30 31 to Next Generation Sequencing, genomic resources are growing exponentially, improving genome scan analyses in non-model species. Thousands of SNPs called using Reduced 32 Representation Sequencing are increasingly used in genome scans. Besides, genomes are also 33 34 becoming more available, allowing better processing of short-read data, offering physical 35 localisation of variants, and improving haplotype reconstruction and data imputation. Ultimately, genomes are also becoming the raw material for selection inferences. Here, we 36 discuss how the increasing availability of such genomic resources, notably genomes, 37 38 influences the detection of signals of selection. Mainly, increasing data density and having the 39 information of physical linkage data expand genome scans by i) improving the overall quality 40 of the data; ii) helping the reconstruction of demographic history for the population studied to decrease false positive rates; iii) improving the statistical power of methods to detect the 41 signal of selection. Of particular importance, the availability of a high quality reference 42 genome can improve the detection of the signal of selection by i) allowing matching the 43 potential candidate loci to linked coding regions under selection, ii) rapidly moving the 44 45 investigation to the gene and function, and iii) ensuring that the highly variable regions in coding regions of the genomes are also investigated. For all those reasons, using reference 46 genomes in analyses of genome scans is highly recommended. 47

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#### 51 Introduction

52 Species live in a wide variety of heterogeneous and changing environments, where the designs large range of abiotic factors (e.g. temperature, oxygen) and biotic 53 environment factors (pathogens, symbionts, sexual partners) potentially causing selection. Understanding 54 how these environmental factors lead to genetic adaptation is a longstanding question in 55 evolutionary biology (Kawecki & Ebert 2004). With the rapidly increasing availability of 56 57 large genomic resources, this topical question can now be addressed by genome scans, i.e. the survey of genetic variability across whole genomes or across a large number of loci in a large 58 number of individuals living in distinct environments (Pritchard 2010). The main objective of 59 60 genome scans is to identify signals of selection at the genome level in natural populations and thus uncover how natural selection affects genetic variation in response to environmental 61 62 heterogeneity and changes (Mitchell-Olds et al. 2007; Nosil et al. 2009; Schluter & Conte 63 2009).

The identification of these signatures of natural selection in genome scans has become an area 64 65 of intense research, stimulated by the increasing ease with which a high number of genetic markers can be discovered and characterized in non-model species thanks to Next Generation 66 Sequencing (NGS) (Davey et al. 2011). Loci identified as targets of natural selection are 67 likely adaptively and / or functionally important (Vitti et al. 2013), and hence candidates for 68 involvement in **local adaptation**<sup>1</sup>, disease susceptibility, resistance to pathogens, and other 69 70 traits of interest to plant and animal breeders. Here, we illustrate our discussion mainly in the field of conservation and evolutionary biology (Allendorf et al. 2010; Segelbacher et al. 71 72 2010). We focus on studies based on the analysis of the spatial sampling of geo-referenced individuals or populations in sites characterized by different environmental conditions. In 73

<sup>&</sup>lt;sup>1</sup> Words in bold are defined in the glossary

some cases, the measure of the geo-referenced environmental variable is also available. Thosestudies aims to better understand local adaptation.

One application of genomes scans in conservation biology is the improvement of the 76 77 definition of **management units** through integrating adaptive genetic variability (Funk et al. 78 2012). This is particularly important in the case of cryptic genetic lineages which are identified only when using a sufficient number of markers (e.g. Bourret et al. 2013; 79 Bradbury et al. 2013; Hemmer-Hansen et al. 2014; Russello et al. 2012). For instance, 80 Bradbury et al. (2013) looked for genomic islands of adaptive divergence (ie. genomic regions 81 82 associated with adaptation) to refine the delineation of management units in Atlantic cod Gadus morhua. They applied an outlier based detection approach (i.e. BAYESCAN, Foll & 83 84 Gaggiotti (2008)) to 1536 SNPs genotyped for 466 individuals. They detected elevated 85 divergence in 5.2% of SNPs consistent with divergent selection. Those outliers revealed a fine 86 scale geographic differentiation both in the eastern and the western Atlantic, which enhanced individual assignment to the region of origin in comparison to neutral markers. More 87 generally neutral markers hitchhiking with selected loci can provide information not only on 88 89 **positive selection** but also on restrictions to gene flow, which can be used as a tool to identify management units (Gagnaire et al. 2015). Another important application of genome scans in 90 91 conservation biology is the study of species ability to adapt in response to climate change 92 (Pauls et al. 2013). The identification of current adaptive polymorphisms can help to identify 93 and maintain adaptive genetic potential. For example Dixon et al. (2015) have identified 94 genomic regions potentially involved in the response to selection for thermal tolerance in the 95 coral Acropora millepora. This gives some clues on the possibility of adaptive evolution in future environments, which is essential for conservation (Allendorf et al. 2010). Then, 96 97 detecting climatic variables and polymorphisms potentially involved in local adaptation can 98 improve models of future species dynamics under climate change (Manel et al. 2012).

- 99 Gaining information on the genetic basis of adaptation to different environments could also be
- used to improve assisted evolution of natural populations (van Oppen *et al.* 2015).
- 101 102

103 Here, we focus on genomic data and discuss only the use of NGS-derived markers. Single 104 Nucleotide Polymorphism (SNP) data are the marker of choice to conduct genome scans. 105 The physical position of these SNPs on the genome can be known or not, with different degree of precision. SNPs of unknown genomic position are now widely used in non-model 106 107 species since the recent development of various protocols of Reduced Representation Sequencing promoted by the decreasing cost of high throughput sequencing (Davey et al. 108 109 2013; Davey et al. 2011). Alternatively, the recent increase of availability of genomes for a 110 wide variety of species opens the perspective to locate SNPs on the genome using reference genomes (Box 1). The main benefit of moving from thousands of anonymous unpositioned 111 112 markers with no reference genome to the case of having a reference genome (or long -read sequences), independently of its quality, is to be able to locate and order markers (SNPs) 113 114 along the genome (Bragg et al. 2015) (Figure 1). This is important since in most cases, 115 candidate SNPs identified through genome scans are not the direct target of selection but are 116 rather physically linked to these targets. Then, the knowledge of the **physical linkage** allows 117 the identification of genomic regions, and not only SNPs, with exceptionally high population differentiation (Tine et al. 2014). It also allows to explore the number and physical extent of 118 119 such regions (Nosil et al. 2009; Roesti et al. 2012a), to derive robust demographic data (Huber et al. 2014) and to investigate subtle fine spatial structure (Leslie et al. 2015) that are 120 121 necessary for the inference of selection.

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In this review, we discuss the benefits of using additional genomic resources such as reference genomes on the ability of genome scans to detect selection in conservation and evolutionary

125 biology (Figure 1). We will first discuss which inferences can be made without reference genomes which is still the rule for most species (Gagnaire et al. 2012), and then the 126 perspectives that are open by the availability of a reference genome or other genomic 127 resources (Box 1). We then discuss the main challenges remaining even when reference 128 129 genome is available. First, when genome scans rely on reference genomes, only high quality 130 assembly makes it possible to study the highly variable regions of the genomes in coding 131 regions (Box 2). Second, genome scans only represent a screening tool to reveal potential 132 differences among environments in the functions and histories of the candidate genes 133 identified in these analyses. We therefore discuss more extended validations of the adaptive function of the candidate loci (Pavey et al. 2012). 134

135 Genomic data used in genome scans

We describe here the diversity of genomic data used to conduct a genome scans in function ofthe availability of one or several related genomes.

138 On the one hand, Reduced Representation Sequencing (RRS) techniques are based on the use of restriction enzyme digestion to reduce the complexity of the genome and can be 139 140 performed without prior genomic resources like a reference genome, a transcriptome, or a 141 SNP chip. RRS allow the sequencing of a high number of short genomic regions in different individuals. They notably include Reduced Representation Libraries (RRL), Genotyping By 142 143 Sequencing (GBS) and restriction-site-associated DNA sequencing (RADseq) (Box 3) and 144 other (RAD, ddRAD, EzRAD, 2B-RAD, nextRAD) (Davey et al. 2011; Peterson et al. 145 2012). Such methods have been increasingly used to produce tremendous amounts of short-146 reads data to ultimately genotype a high number of SNPs randomly located in the genome of 147 fairly large numbers of individuals. Note that the number of individuals can be increased by 148 multiplexing (at the detriment of individual coverage or the number of sites targeted) or via 149 sequencing pools of individuals (Combosch & Vollmer 2015). Similarly to RADseq, short-

reads sequencing of RNA, RNAseq is also increasingly used to screen polymorphism in DNA 150 coding regions (Piskol et al. 2013). Such methods also have huge potential for searching 151 signals of local adaptation since they target cDNA and give at once both DNA polymorphism 152 data and differential expression levels (De Wit & Palumbi 2013). However, the use of 153 transcriptome data can be limited by allele specific expression. Paralogous loci can also 154 155 induce difficulties in the analysis of transcriptome sequences by creating spurious 156 polymorphic position (Gayral et al. 2013). Dedicated approaches are required to limit this 157 biases such as a search of orthologous genes (Li et al. 2003; Pratlong et al. 2015) which can be complemented by the filtering method of SNPs proposed by Gayral et al. (2013). 158

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On the other hand, the availability of reference genomes opens different possibilities 160 for generating more powerful individual data to be used in genome scans. First, it is possible 161 162 to use reference genomes to improve RRS data. The alignment of short-reads produced by RRS methods on a reference genome can notably improve the overall quality of the SNP 163 calling since erroneous reads would be pruned out during the alignment. Physically indexing 164 165 loci also benefits to data filtering, allowing computing linkage disequilibrium (LD) among physically close loci. Loci in high LD can then be filtered out to allow the use of the dataset in 166 167 software requiring unlinked loci. Instead of pruning linked loci, the LD data obtained can also be used as a complementary input to limit biases associated with the used of linked loci. 168 169 Physically locating loci also increases the strength of the datasets since it allows applying a 170 wide variety of selection detection tools, taking advantage of both allele frequencies and loci 171 physical positions (eg  $F_{ST}$  sliding windows). Lastly, the distance and LD among loci can also 172 be used during the statistical reconstruction of **haplotypes** (Browning & Browning 2011; Stephens & Scheet 2005). 173

Moreover, as discussed by Buerkle et al. (2011), analyses of multi-allelic DNA 174 sequences (as haplotypes) rather than bi-allelic markers should help to solve the "n=1 175 constraint" related to the use of SNP. Besides, haplotypes can be used to improve the 176 imputation of missing data (Browning & Browning 2007). Indeed, if some alleles are 177 178 inherited more frequently together (haplotypes), and that this information is known, it is 179 crucial to impute data considering these associations rather than only the population 180 frequencies of the alleles being imputed. However, haplotype phasing will be increasingly 181 difficult with increasing recombination rate and decreasing SNP density, which would be an 182 important limitation in studies implementing RRS using relatively rare cutters and single-end short sequencing since sequenced SNP may often fall too far apart. 183

Genome sequences are also increasingly considered as raw material for genome scans, 184 185 allowing increasing the number of SNPs detected, to construct haplotypes and at larger 186 divergence scale to perform comparative genomics. Indeed, De novo sequencing can be used to generate a high number of SNPs for multiple individuals. While sequencing parts of the 187 genome using RRS (described above) can bring informative results on adaptive diversity, the 188 number of loci identified through these approaches is also much lower than with genome 189 190 sequencing (up to tens of thousands compared to millions of SNPs respectively; Rellstab et al. 2015). De novo assembled genome by deep-sequencing (Chaisson et al. 2015a) is one of the 191 most informative approaches for selection detection. However, such data cannot be derived 192 193 yet for a high number of individuals in conservation projects because it is still too expensive. 194 Nevertheless, a two-step strategy can be used with first de novo assembly of a reference 195 genome by deep-sequencing one individual and followed by the resequencing of additional 196 individuals at lower coverage (Soria-Carrasco et al. 2014). In their study of speciation and 197 adaptation to different host plants in the stick insect Timema cristinae, Soria-Carrasco et al. 198 (2014) first sequenced the genome on the basis of libraries of lengths varying from 170 to

5000 bp. The total genome size was estimated around 1.3 Gb and the assembled genome was 199 estimated to cover around 80% of the genome. Then, Soria-Carrasco et al. (2014) re-200 sequenced at lower coverage the genomes of 160 individuals on eight lanes of Illumina Hi-201 Seq 2000. The reads were mapped to the reference genome. They thus obtained more than 202 12.10<sup>6</sup> SNPs with a mean coverage of 5 per SNP and per individual. Such sequencing of 203 204 several individuals then allowed to produce 4,391,556 SNPs that mapped to one of the 13 205 linkage group and were used in phylogenetic analysis and to characterize the distribution of genomic variation across the 160 wild-caught T. cristinae. However, this approach is still 206 207 relatively expensive for conservation projects needing numerous individuals. As the power of genome scan approaches can be increased by the number of locations sampled and the 208 209 number of individuals sampled per location (Lotterhos & Whitlock 2015), other approaches 210 can be useful to reduce sequencing costs. Whole genome sequencing of pooled individuals is 211 a promising and cost effective alternative to individual sequencing (Schloetterer et al. 2014). The cost effectiveness of pooled genome sequencing (Pool-Seq) comes from the cost of the 212 213 preparation of libraries and on the sequencing of more individuals which can increase the estimates of allele frequencies (Schloetterer et al. 2014). In their analysis of the pros and cons 214 of Pool-Seq, Schlöterrer et al. (2014), indicate their interest to study genetic differentiation, 215 216 heterozygosity, or selective sweep (Boitard et al. 2012). For instance, Turner et al. (2010) 217 have sequenced Arabidopsis lyrata from four populations (two from serpentine soils and two 218 from granitic soils), with 25 individuals pooled per population, with a 39-fold genome coverage. On the basis of allele frequency differences and F<sub>ST</sub>, they identified candidate SNPs 219 220 for the adaptation to soil types. However, Pool-Seq can be limiting for example if one is interested in linkage disequilibrium (Schloetterer et al. 2014). 221

223 Finally, only high quality genomes will allow studying of highly variable regions located in 224 coding regions (Chaisson et al. 2015a) (Box 2). In humans, such plastic regions of the genome are known to contain important immune gene families such as the Major 225 Histocompatibility Complex (MHC) class I and class II genes and the Killer-cell Ig-like 226 *Receptor* (KIR) genes that are both critical for the resistance to pathogens and hence for the 227 228 adaptation to different environments (Sommer 2005; Vilches & Parham 2002). 229 In summary, the above data can be directly used as raw material in the statistical analysis of 230 genomes scans: SNPs (from a small to a high number) or haplotypes.

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### 232 Detecting the signal of selection without and with a reference genome

Here we discuss how additional genomic resources improve the inferences of the signal of selection in genome scan analyses. We introduce briefly the main principle of the statistical methods, but we do not enter into the details of the available methods and software since multiple reviews have already been published on the subject (Manel *et al.* 2010; Rellstab *et al.* 2015; Schoville *et al.* 2012).

Without any reference genome, SNPs sampled in multiple populations are analyzed as independent variables with methods derived from the test of neutrality of Lewontin and Krakauer (1973) and looking for outlier loci (i.e. loci with higher or lower levels of divergence than expected under neutrality). Loci with high values of  $F_{ST}$ , a measure of genetic differentiation among sampled populations, correspond to loci potentially under divergent local selection while loci with low  $F_{ST}$  values correspond to loci under balancing selection (Beaumont & Balding 2004).

When environmental variables are available, it is possible to use genetic environment associations (GEA tests, Hedrick *et al.* 1976; Lotterhos & Whitlock 2015) either in a second

round after outlier detection methods or directly for detecting outlier loci. The first application
of GEA in the context of genome scan tests the correlation between allele frequencies and
environmental variables (Joost *et al.* 2007 ; Schoville *et al.* 2012); then other regression based
approaches have been used (Rellstab *et al.* 2015).

251

252 However the signature of adaptive processes are not always distinguishable from the neutral 253 genomic background as assumed to have uniform effects across the entire genome, generating 254 a high number of false positive outliers (Schoville et al. 2012). Population structure causes 255 correlated allele frequencies and increases the number of false positives generated by genome scans for selective sweeps (Excoffier et al. 2009). Demographic history can create patterns 256 resembling selection, as in cases of severe bottlenecks, allele surfing during population 257 expansion, secondary contact, and isolation by distance (Novembre & Di Rienzo 2009). 258 Genetic incompatibilities or background selection on gene-coding regions can also confound 259 the signal of selection (Bierne et al. 2013). Although, refinements have been introduced to 260 261 account for those confounding effects (Lotterhos & Whitlock 2015), false positives remain.

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Without additional genomic resources, those analyses produce a list of loci that are potentially under selection or linked to alleles under selection, and when available the relevant environmental variables acting as pressures of selection. They generally detect single loci with important effects on adaptation. However some questions may remain, the most evident caveats being that the loci identified as potential targets of selection are usually only statistically linked with close targets of adaptive significance.

We describe below how the reference genome may improve the power of genome scans and
help to decrease the number of false positives either through the use of reference genomes to
improve previous analysis, or in a direct analysis of raw data.

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274 Mapping SNPs (e.g. identified through RRS loci) to reference genomes can give insights into 275 the potential genes involved in adaptation (see next section) and on the distribution of 276 population genetic statistics along the genome since additional inferences as genetic differentiation and genetic diversity on chromosomal regions become possible with a dense, 277 278 ordered set of genome-wide markers (Figure 1). In practice, a sliding window sweeps across the genome, to look for regions showing significant deviation of the statistics inferred. Sliding 279 280 windows are implemented to average locally those statistics and to compare them toward a 281 random set of windows that can be taken from a larger genomic region (eg. the considered chromosome or the whole genome). This sliding-based windows method reinforces the 282 statistical power of the detection of selection since it can highlight regions that may 283 284 potentially not be spotted using non genomic outlier SNP approaches. It also allows inferring the physical extent of the signal of selection. The size of the window on which the average is 285 calculated and the size of the step are usually defined around 150kb and 50kb, respectively, 286 the step-size being equal or smaller than the windows-size. These windows and step sizes are 287 288 crucial parameters that can largely influence the detection power of such analyses and should be defined according to the SNP density and sampling variance (Hohenlohe et al. 2010). It 289 290 should be noted that SNP densities obtained in RRS using rare cutters would probably be too 291 small to allow applying with robustness sliding windows along the entire genome.

292 Comparing the classical local averaged  $F_{ST}$  among populations to windows-based  $F_{ST}$  would 293 reveal the presence of putative targets of positive selection. For example, this strategy has 294 been used to study the variation of  $F_{ST}$  in different lineages of the European sea bass

295 Dicentrarchus labrax using a window size of 150 kb (Tine et al. 2014) (Box 4). This technique can also be used on whole genome resequencing data, as illustrated in Kardos et al. 296 (2015) in which they implemented windows of 100 kb, with step size of 50 kb, and found 297 elevated F<sub>ST</sub> in regions spanning growth hormone receptor gene. To go even further, Roesti et 298 *al.* (Roesti *et al.* 2012b) suggested correcting SNP  $F_{ST}$  by local recombination rates. In fact, 299 300 they showed patterns of within-chromosome large-scale variations in recombination and 301 hitchhiking and thus in F<sub>ST</sub> that were probably largely influenced by chromosomes structure. 302 They propose to correct for this potential bias by applying sliding window tests on corrected 303  $F_{ST}$  rather than raw  $F_{ST}$ , to avoid potential false positive and false negative outliers. Another way of considering this potential bias is to estimate locally averaged recombination rate, 304 305 nucleotide diversity, and genetic differentiation and to interpret these indices in an integrated manner as illustrated in the European sea bass Dicentrarchus labrax (Tine et al. 2014). 306

307 The information of physical linkage among SNPs also opens the possibility to detect reduced 308 genetic diversity in the neighborhood of a selected (directional selection) site along a chromosome, which can be the consequence of selective sweep (Nielsen 2005). The extent of 309 the area displaying reduced diversity will depend on the intensity of selection, on 310 311 recombination rate, on the breeding system and on the age of the selective event. The elimination of slightly deleterious mutations (background selection) can be difficult to 312 separate from selective sweeps (Nielsen, 2005). Specific statistical methods have been 313 developed to detect selective sweeps at the population level (Nielsen 2005; Sabeti et al. 314 2006). They differ in the type of the genomic signature of selection detected (Oleksyk et al. 315 316 2010): local reduction in genetic variation at the proximity of the loci under selection 317 (Oleksyk et al. 2008), changes in the shape of the frequency distribution of genetic variation, 318 i.e. site frequency spectrum (Tajima 1989), extended linkage disequilibrium segments 319 (Sabeti et al. 2002; Vatsiou et al. 2015), or elevated admixture contribution from one

320 population (Tang *et al.* 2007). Sub methods relay on the analysis of haplotype. They open 321 perspectives to capture the signal of selection left by both hard and soft sweep. If adaptation has been shaped by new mutations rapidly driven to high frequency in a new environment, 322 those alleles will be detected on haplotypes with low genetic diversity and not observed in 323 ancestral populations or environment (Stapley et al. 2010). In this current issue, Vatsiou et al 324 325 (2015) compared seven methods that either focus on patterns of long range haplotype 326 homozygosity (Sabeti et al. 2002) or on the effect of linkage on multilocus genetic 327 differentiation (Chen & Slatkin 2013). Those methods open perspectives to capture the signal 328 of selection left by both hard and soft sweep.

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As previously mentioned above, neutral processes can confound the signal of selection. Sophisticated methods using physically indexed SNPs, haplotype or sequence data have been recently developed to improve the inference of demographic processes by helping to reconstruct an accurate demographic history, potentially implicating heterogeneous gene flow along the genome, for the population studied and hence decrease confounding effects (Excoffier *et al.* 2013; Gutenkunst *et al.* 2009; Liu & Fu 2015).

Lastly, the fact that adaptation may rely on polygenic traits with small changes in allele frequencies has been discussed (de Villemereuil *et al.* 2014). One interesting development here is to test outliers not on a single marker basis but on set of genes linked in biological pathways (Daub *et al.* 2013). This is possible only for species with good genomic knowledge, as it requires to assign SNPs to genes on the basis of their physical location and to use functional relationships between genes.

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#### 343 From prioritization of candidate loci to validation

Genome scans characterize loci potentially involved in the adaptation to particular 344 environments but because these methods can generate false positives, a prioritization of 345 candidate loci help to focus on the most reliable candidates. Mapping loci on a reference 346 genome can allow the identification of coding candidates or to loci in physically proximity to 347 coding regions (in this case, a transcriptome can be enough to identify expressed loci). If these 348 349 genes are annotated in the studied species or a closely related one, it can highlight candidate 350 genes functionally consistent with the studied selective context. For example, using exome sequencing. Yi et al (2010) studied the adaptation of human to high altitudes and found that 351 352 the candidate gene with the strongest signal of selection was a transcription factor involved in response to hypoxia. In this case, the consistence between the function and the environmental 353 context of the study provided a strong support for this candidate. For the non-model 354 organisms for which the reference genome sequence lacks functional annotations, Gene 355 Ontology (GO) could be a useful tool to find pertinent functions in the studied context among 356 the candidate genes (Gu et al. 2009). The identification of loci repeatedly involved in the 357 same biological or ecological function in different taxonomic groups exposed to comparable 358 pressures can also be a good argument for the choice of candidate loci (Pratlong et al. 2015). 359 For example, Fischer et al. (2013) studied the local adaptation of Arabidopsis halleri to local 360 361 climatic conditions by the use of SNPs obtained from Pool Seq. First they detected outlier SNPs on the basis of F<sub>ST</sub> and differentiation tests. Then they tested the association between 362 363 these outliers and environmental conditions while controlling for population structure. A GO 364 enrichment was applied to the outlier loci as well and led to the discovery of the implication of functions linked with the response to biotic factors (such as "defence response to 365 bacterium"). Conversely they considered genes with GO potentially involved in the 366 adaptation to climate conditions: among these candidate genes, four were indeed associated 367 with the corresponding environmental factor. This study then underlines how multiple 368

369 approaches can help identify the factors involved in local adaptation.

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Finally, to improve our understanding of the genomic architecture of adaptation (and 371 speciation) and the nature of the genes involved in these processes, genome scans can be 372 373 combined with OTL mapping, genome wide association studies (GWAS) and/or sequence and 374 functional analysis (Gagnaire et al. 2013; Strasburg et al. 2012). In cases of divergent 375 selection, the challenge is to document how functional polymorphisms at individual genes translate into different phenotypes, including quantitative traits values, which in turn translate 376 377 into fitness differences (Storz & Wheat 2010). In such investigations, the statistical tests used to detect the signatures of natural selection, as well as all the steps before the functional 378 validation, provide the basis for functional inferences: association studies for example link 379 polymorphism at one locus to a phenotypic difference, as was done for the shell color 380 polymorphism in the snail Cepaea nemoralis (Richards et al. 2013). An important argument 381 to confirm the adaptive function of a particular allele is the design of appropriate experiments 382 to study the resulting effects on protein function or protein expression (Storz & Wheat 2010). 383 This can be done through functional genetic approaches: in the Darwin finches for example, a 384 genomic region with high differentiation levels corresponded to genes previously identified as 385 involved in beak morphology (Lamichhaney et al. 2015). For polygenic traits, QTL 386 387 approaches or GWAS are useful. RAD sequencing was also used to identify QTL associated with ecologically important traits and to gene expression differences in the lake whitefish 388 (Coregonus clupeaformis) (Gagnaire et al. 2013). The opportunity of whole genome 389 390 sequencing allows nowadays to move toward GWAS, mostly in the identification of sequence 391 variants associated with risks of complex traits in human genetics, where genomes are available at population scales (Hawley et al. 2014). In this field, GWAS was used in the 392 identification of loci linked with the specialized diet of greenlandic Inuit, and associated with 393

metabolic and anthropometric phenotypes (Fumagalli et al. 2015). Nevertheless, the last and 394 probably the most difficult step will be to link phenotypic or genetic differences with fitness 395 differences. Here the analysis of the fitness of all possible point mutants in different 396 controlled environmental conditions open perspectives to make such link. For example, 397 Heiptas et al. (2011) analyzed the effect on fitness (growth rate during binary competition in 398 399 this case) of all possible point mutations for a nine-amino acid region of Hsp90 in yeast. They 400 observed a large proportion of mutation with strong deleterious effect eliminated via purifying 401 selection and few mutation with neutral effect which is consistent with the neutral model of 402 molecular evolution. Linking genotype to fitness open new perspectives such as the 403 possibility to study the population of origin (central population vs margin population) of the mutation in the species range (Rolland et al. in press). 404

Finally as adaptation points to differences in fitness, an experimental validation by comparing different genotypes seems to be the most informative validation step. Experimental tests of local adaptation can be done for example with common garden or reciprocal transplant experiments with different genotypes for candidate loci (Kawecki & Ebert 2004). This should be easier for traits that not relying on too many loci.

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#### 411 Conclusion

In summary, the availability of a reference genome can incontestably improve the detection of the signal of selection at all steps of the genome scans: production of genomic data, statistical detection of the signal of selection, and prioritization of candidate genes. Main improvements can be summarized as follows: i) improving the overall quality of the data; ii) helping to reconstruct an accurate demographic history for the population studied and hence decrease confounding effects (Huber *et al.* 2014); with deep coverage, genome sequence data

from a single individual are also sufficient to make demographic inference (Miller *et al.* 2012; Oleksyk *et al.* 2012); iii) improving the statistical power of methods to detect the signal of selection. Finally, if the available genome is of high quality, i) it allows matching the potential candidate loci to linked coding regions under selection , ii) it rapidly moves the investigation to the gene and function (Lamichhaney *et al.* 2015), iii) it ensures that the highly variable regions of the genomes in coding regions are also investigated. This last point is important when region under selection are located in such variable regions (Box 2).

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We state that having reference genomes represent a critical step to fully address the question 426 of local adaptation. The community of researchers working in ecology on non-model species 427 will thus gain to collaborate with the community of researchers working on species for which 428 429 large genomic resources have long been available (human and other model species), as the latter have a long tradition of sequence analysis to detect signatures of natural selection. 430 Combining evolution, ecology, genomics and comparative genomics will help to better 431 432 address the question of local adaptation, which is topical in a context of global change. Finally 433 we should keep in mind that adaptation sensu lato does not only rely on genetic diversity. Indeed the question of non-genetic effects, including epigenetic mechanisms, is of great 434 interest in evolutionary biology (Danchin 2013); yet, here again the use of genomic 435 436 information should be useful to study the diversity in epigenetic modifications.

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740 741	Glossary
742 743 744	<b>De novo assembled genome</b> : sequence of a genome from overlapping DNA sequences without having a reference genome.
745 746 747	<b>Haplotype</b> : set of genetic variants or alleles physically linked on a chromosome and statistically associated. They are inherited together until disrupted by recombination.
748 749 750 751	<b>Hitchhiking</b> (to fixation): when a genetic variant that is physically linked to a selectively advantageous mutation goes to fixation because of the selection on that advantageous mutation.
752 753 754	Linkage disequilibrium (LD): the non-random association of alleles at different loci. LD can strongly increase around selected sites when selection is strong.
755 756 757	<b>Local adaptation</b> : the result of fitness differences between alleles (Wollstein & Stephan 2015). A fitness value can be assigned to each possible genotype.
758 759 760	<b>Management unit</b> : Genetically differentiated population to be managed separately because of its demographic independence
761 762 763	<b>Negative selection</b> (or purifying selection): any type of selection where new mutations are selected against (Nielsen 2005). In this case, the derived allele is detrimental to the organisms.
764 765 766	Physical linkage describes the tendency of alleles near each other on a chromosome to be inherited together
767 768 769 770 771 772 773 774 775	<b>Positive selection</b> as introduced by Darwin and Wallace (1858) ), "is the principle that beneficial traits – those that make it more likely that their carriers will survive and reproduce- tend to become more frequent in populations over time" (Sabeti <i>et al.</i> 2006). Then, it is any type of selection where coefficient of selection has a positive value. It occurs when the derived allele has a higher fitness than the ancestral allele. It comprises both directional selection and balancing selection as overdominance. Positive selection is the mechanism that can lead to local adaptation (Nosil <i>et al.</i> 2009; Pritchard 2010).
776 777 778	<b>Reduced Representation Sequencing (RRS): a</b> technique based on the use of restriction enzyme digestion to reduce the complexity of the genome.
779 780 781	Selective sweeps: reduction or elimination of genetic variation in a genomic region as the result of natural selection having favored one particular variant in this region.

782 Site frequency spectrum. The genomic signatures of recent adaptations can be measured by 783 the site frequency spectrum (SFS), which summarizes the counts of derived variants in a 784 region.

785

786 Soft sweep: Selection can occur either on standing genetic variation, i.e. existing genetic
787 variation, creating a soft sweep or on a *de novo* mutation, creating a hard sweep.

- 788
- 789

790 Legend of figures

Figure 1. Schematic representation of the benefits of using additional genomic resources
(mainly reference genomes) in the different steps of genome scans. GBS: Genotyping by
sequencing.

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796

795 Boxes

#### 797 Box 1- A reference genome

A reference genome is an assembly of a genome that is representative of a species and can be 798 used to align sequence reads for population-genomic studies. In genome scan analyses, a 799 reference genome can either be the genome of the targeted species or that of a closely related 800 801 species. Similarly, this reference can be based on a single individual or on a collection of 802 individuals; in the former situation however, the reference genomes do not capture the full 803 extent of nucleotide or structural variation segregating within a species. With the dramatic decrease in the cost of DNA sequencing of the past few years, an ever increasing number of 804 805 genome are characterized, yet the quality of these genomes can be highly dependent upon the quality of the assembly (Simpson 2014). As further developed below, reference genomes can 806 807 thus be of two types: they can be represented by a single sequence ('golden path' reference 808 genomes) or they can be representative of the genetic diversity of the species ('high quality' 809 reference genomes). For these 'high quality' reference genomes, genetic variation does not just refer to single nucleotide polymorphisms (SNPs) but also to structural variation that 810 811 represents a large source of genomic diversity (Church et al. 2011).

### 812 High quality genome (like human, mouse or *A. thaliana*).

A 'high quality' reference genome is defined here as a reference sequence that is both complete in terms of coverage and representative of the genetic diversity of the species investigated. Because current sequencing technologies can only target the euchromatic portion

of the genome (hence excluding the heterochromatin that includes the centromere and
telomere regions), 'complete' refers here to the euchromatic genome. 'Finished' quality
genome projects typically cover >95% of the euchromatin sequence, a target that can however
be difficult to achieve depending on the genome size and complexity of the targeted species.
Here genetic variation does not just refer to single nucleotide polymorphisms (SNPs) but also
to structural variation that represents a large source of genomic diversity (Church *et al.* 2011).

With the notable exception of the human genome however, most genome sequences only satisfy the first criterion ('complete' sequence) and thus represent what was defined as a 'golden path' during the course of the sequencing of the human genome: a non-redundant haploid representation of the genome (Kent & Haussler 2001).

#### 826 A 'golden path' reference genome

A 'golden path' reference genome thus represents the first level of reference sequence that 827 828 could be used for genome scans. It is now possible to rapidly generate such a reference 829 sequence thanks to modern sequencing technologies that have led to a rapid decrease in the 830 cost of sequencing: for example in 2014-15, typical cost for re-sequencing of a human-size 831 genome (3,000Mb) with paired-ends reads at 30X coverage on an Illumina HiSeq platform is 832 \$4,211. This cost includes labor, administration, management, utilities, reagents, and 833 consumables; sequencing instruments and other large equipment (amortized over three years); informatics activities directly related to sequence production; submission of data to a public 834 835 database and indirect costs (source: National Human Genome Research Institute (NHGRI); 836 http://www.genome.gov/sequencingcosts/).

With sufficient coverage (>30X), this approach should lead to a reference genome covering 837 838 >95% of the euchromatin sequences. Those values are however highly dependent on the size 839 of the genome and on factors such as the frequency of repeated regions in the genome. Such 840 complete sequences are rarely obtained in conservation biology at the moment however, as illustrated by the de novo sequencing of the genome of Arabis alpina, which resolved less 841 842 than 50% of the genome (172 Mb out of 370 Mb) (Lobreaux et al. 2014). Similarly, complex regions with recently duplicated segments for example or plastic regions in diploid genomes 843 844 may not be well resolved by this approach (Alkan *et al.* 2011).

#### 845 Improving quality of assembly

Over the past couple of years, ways to improve initial genome assemblies have emerged. In 846 847 particular, technology from Pacific Biosciences (PacBio) for long-read single molecule, realtime (SMRT) sequencing can upgrade genomes to a higher quality finished state (Huddleston 848 849 et al. 2014). SMRT sequencing is however still costly and can thus only be applied to small genomes or to targeted resequencing of specific regions. To avoid the problems associated 850 851 with sequencing plastic regions in diploid genomes, an interesting alternative is also to work with haploid cell lines generated from the same organism such as specific tissues Chaisson et 852 al. 2015b) or specific life stages (Rensing et al. 2008). Although such cell lines will likely 853 854 not be available for most organisms, single cell genomics are rapidly progressing so that 855 single gametes could become an adequate resource to establish reference genome (Macaulay & Voet 2014). The sequencing of the genome of the European Sea Bass was based on the use 856 857 of a meiogynogenetic individual (Tine et al. 2014). Finally, in addition to the experimental improvements, the quality of the reference genomes also increases with the development of 858 859 better assemblers, i.e. the software used to assemble genomes. Indeed, software now exists to 860 help *de novo* assembly from whole – genome shotgun short reads (Kajitani *et al.* 2014) and 861 efforts are being made to evaluate the performance of these methods (Bradnam et al. 2013).

862 Status on genome sequencing

863	Progress	on	genome	information	can	be	found	here:
864	http://www.	ncbi.nlm.	nih.gov/genon	ne/browse/#				
865								

#### 866 Box 2- Challenges of highly variable regions

While progress is being made to generate 'golden path' reference genomes, this will at best 867 lead to the complete sequencing of one haploid genome in a given species. Such a sequence 868 869 will be sufficient for the analysis of genome scans for the vast majority of genes and genomic regions but will be problematic to investigate the more plastic regions of the genome. Indeed, 870 871 even for the highest quality mammalian genome, the human genome, it was found that some genomic regions were missing in the reference sequence (Kidd et al. 2010). These specific 872 873 regions display structural differences between the genomes of the individuals investigated and 874 the reference genome, either because of specific deletions or because of underrepresented 875 multi-copy genes in the reference genome (Sudmant et al. 2010).

876 In human such plastic regions of the genome are known to contain important immune gene 877 families such as the Major Histocompatibility Complex (MHC) class I and class II genes and the Killer-cell Ig-like Receptor (KIR) genes that are critical for the resistance to pathogens and 878 879 hence for the adaptation to different environments (Sommer 2005; Vilches & Parham 2002). Indeed recent genome scans approaches on human populations underlined the critical roles of 880 pathogens in local adaptation (Fumagalli et al. 2011). 881 Both gene families display a high 882 level of polymorphism but also gene-content variation (see Figure for the KIR locus) so that a single reference sequence will not represent correctly the gene content in all individuals and 883 884 could lead to problematic analyses for genome scans. Indeed, not including multiple references for such regions produces misalignments of the reads and spurious variant calls. 885 886 The human reference genome was thus organized to include multiple sequences for these 887 regions (Church et al. 2011). Thus 'high quality' reference genomes that include multiple 888 sequences for the plastic regions are necessary to produce unbiased results in genome scans. 889 Such a reference sequence requires two additional steps once a first complete sequence of the 890 genome is known: the identification of these regions and the characterization of the variation 891 at these regions. Finally, data from these regions might need to be adapted to run some of the 892 usual tests of local adaptation such as  $F_{ST}$  outliers: for example by encoding presence/absence 893 of genes (KIR locus) as SNPs or by considering complete alleles rather than individual SNP (MHC genes). Because these highly variable gene families have a strong impact on immune 894 895 adaptation, they are often investigated by targeted analyses, outside of genome scans 896 (candidate gene approaches)

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Figure. Example of a highly-variable region of the genomes of primates: the Killer-cell Ig-899 900 like Receptor (KIR) locus. This drawing compares the organization and gene-content variability of the human and chimpanzee KIR loci. The branching pathways illustrate how 901 different gene-content motifs can combine to produce different KIR haplotypes. Genes that 902 903 are typically found on all haplotypes of both species (framework genes) are colored grey; 904 chimpanzee-specific KIR are colored green. Humans have two broad groups of haplotypes called A and B haplotypes that differ in gene content and level of allelic variability (Parham et 905 906 al. 2012): genes characteristic of human A haplotypes are colored red while genes 907 characteristic of human B haplotypes are colored blue (2DP1 and 2DL1 in humans are .ice i. 10). 908 colored grey to indicate their presence both on A and B haplotypes). Adapted from Abi-909 Rached et al. (Abi-Rached et al. 2010).

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913 Box 3: Methods based on the use of restriction enzyme digestion of target genomes to reduce 914 915 the complexity of the target: example of RAD-seq 916 RAD-Seq is based on the sequencing of short sequences flanking restriction sites (Baird et al. 917 918 2008). It requires choosing the restriction enzyme(s) in combination with the sequencing 919 depth and the number of individuals multiplexed in order to modulate the number of expected markers. This choice is done on the basis of genome knowledge (size and GC-content) for the 920 921 target species or on phylogenetically related species as proposed by Herrera et al. (2014). The 922 analysis of RAD-Seq data can be done thanks to different software packages such for example 923 as Stacks (Catchen et al. 2013), PyRAD (Eaton 2014), RADtools (Baxter et al. 2011), GATK 924 (DePristo et al. 2010), or dDozent (Puritz et al. 2014). 925 As an illustration, (Pavey et al. 2015) used RAD-Seq to study the genetic basis of the 926 differentiation between ecotypes of the American eel (Anguilla rostrata). They used the 927 928 EcoRI restriction enzyme and analyzed 379 individuals with around 24 individuals per lane of 929 an Illumina HiSeq 2000. After cleaning and filtering the data they retained 42 424 SNPs 930 which corresponded to 1 SNP every 40 kb. This density allowed them to identify 331 SNPs associated with the ecotypes, with 99 SNPs corresponding to annotated protein-coding genes. 931 932

# Box 4- Genome scan and genomic resources: a case study on the European sea bass (*Dicentrarchus labrax*)

936 Population genomic studies can be useful both for the management of wild and domestic hatchery populations and for a better understanding of the evolution of species, which is 937 useful for conservation purposes. The study on the European sea bass (*Dicentrarchus labrax*) 938 939 provides a good illustration of the multiple interests and applications of genomic data. This 940 species is heavily harvested. Its distribution range, from the Atlantic Ocean to the Black Sea, encompasses an important barrier to gene flow at the Almeria-Oran front which separate two 941 942 genetic lineages (Lemaire et al. 2005). The European sea bass can be present in different 943 levels of salinity which raises the question of its adaptation to different environments (e.g. 944 (Lemaire *et al.* 2000)). Getting genomic information on this species would be useful to better 945 understand its evolutionary history and would be useful for aquaculture purposes. Tine et al. (2014) published the first draft genome of *D. labrax*. The sequencing of the genome was 946 947 based on a meiogynogenetic male, with an average coverage depth of 30X and used a combination of whole-genome shotgun, mate pair and BAC end sequencing. The length of the 948 assembled genome reached 650 Mbp and 86% of the contigs were assigned to the 24 949 950 chromosomes of this species. The genome annotation led to the identification of 26,719 genes 951 and an important collinearity was observed with other teleosts genomes. This genome 952 corresponds to the 'golden path' genome as described in Box 1. RAD-sequencing was then used to study the polymorphism at the genome scale for 100 individuals (and three from 953 954 anoutgroup, D. punctatus). The authors obtained around 178,000 RAD loci which, after 955 mapping on the genome, allowed them to analyze one locus every 7.5 kb and to reach a 2.5% 956 genome coverage. The combination of the reference genome and RAD loci allowed for a better understanding of the evolutionary and adaptive history of the species. For example, the 957 958 identification of signatures of positive selection in duplicates of genes involved in osmoregulation (e.g. *PRL-L2*) opened the way to a better understanding of euryhalinity in this 959 960 species and in other teleosts as well. Here important information comes from the combination 961 of a good annotation, the discovery of multiple gene copies of the same family and from statistical test of molecular evolution. The genomic analysis of differentiation between 962 Atlantic and Mediterranean lineages led to a mean F<sub>ST</sub> of 0.28 but with highly heterogeneous 963 repartition: genomic islands of differentiation were observed with lengths varying from 964 965 several hundred kb to more than one Mb. These islands were negatively correlated with local 966 recombination rate and diversity levels (with a few exceptions). Mapping SNPs obtained

- 967 through RAD sequencing to a reference genome is here useful to understand the evolution of
- 968 genomic differentiation and ultimately of speciation. Similar approaches could be applied to
- the study of adaptation to different environments. Having such genomic information,
- 970 including gene annotation and knowledge on the evolution of gene contents (i.e. duplications)
- is a highly valuable complement to the analysis of isolated SNPs.

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Genome sequences / Genomic **Benefits** Steps in genome scans ressources High quality genome - Hypervariable regions (1) Genomic data - Physical linkage SNPs obtained from reduced representation - Haplotypes sequencing; High density of SNPs obtained from genome resequencing; (2) Detection of the - Sliding windows statistics signal of selection - Haplotype analysis: demographic inference; signal of selection - Detection of the physical closest coding gene (3) Prioritization High quality genome - Detection of the functions of the gene

# Figure 1

