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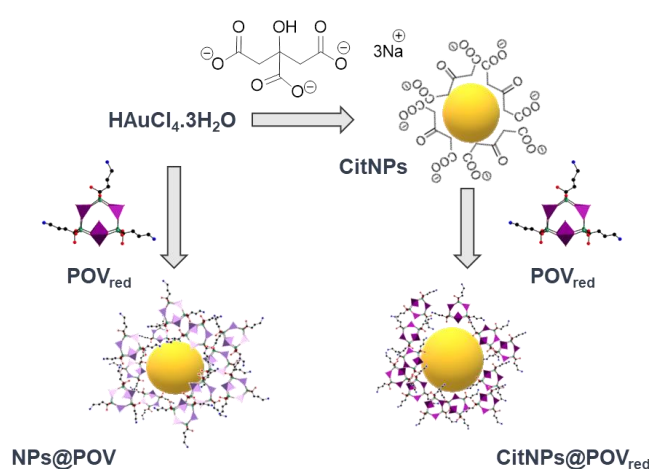
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One-pot synthesis of a new generation of hybrid bisphosphonate polyoxometalate gold nanoparticles as antibiofilm agents

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A reduced polyoxovanadate functionalized with bisphosphonate molecules was synthesized and used to prepare in one step hybrid organic-inorganic polyoxometalate decorated gold nanoparticles. These new composites were shown to strongly inhibit *P. aeruginosa* and *S. epidermidis* biofilms growth, the three components constituting the nanoparticles (Au⁰ core, vanadium and alendronate) acting synergistically.

Nowadays, the wide use of antibiotics has led to the emergence of resistant bacteria causing serious public health problems. Antibiotic resistance currently includes all known classes of natural and synthetic compounds leading to an urgent requirement for alternatives.¹ Among the different resistances developed by bacteria, some bacteria populations use cell attachment to solid substrates forming associations named biofilms.² Particularly, bacterial biofilms are much more resistant to antibiotics than planktonic cells because of the generation of extracellular polymeric substances, which impede the penetration of antibacterial agents and reduce growth rates of the biofilm cells, impacting the effectiveness of antibiotics capable of targeting rapidly multiplying cells.^{3,4} Recently, the use of antimicrobial nanomaterials has attracted great attention because of their ability to disrupt the bacterial membranes and to hinder biofilm formation.^{5,6} Several nanomaterials, such as silver, copper or zinc oxide nanoparticles (NPs), exhibit intrinsic antibacterial activity.¹ Nevertheless, their toxicity remains problematic. In contrast, it has been evidenced that gold



Scheme 1: Schematic representation of CitNPs, CitNPs@ POV_{red} and NPs@POV synthesis.

nanoparticles (AuNPs) are non toxic,^{7–9} and in the last decade conjugates of AuNPs with antibiotics^{10–14} or other antibacterial agents^{15–19} have been shown to improve antibacterial activity against biofilms. Besides, several polyoxometalates (POMs), which can be seen as negatively charged molecular oxides incorporating metals (mainly tungsten, molybdenum and vanadium) in high oxidation states,²⁰ have shown important antibacterial activity,^{21–26} and notably two examples of antibiofilm POM composites have been reported in the literature.^{27,28} In this context, the use of POMs as active antibacterial agents supported by AuNPs represents a promising alternative. The relevance of this approach to the elaboration of antibacterial agents is supported by the works of Daima et al., which showed that AuNPs post-functionalized by purely inorganic commercial POMs exhibit antibacterial activity against the non-resistant bacteria *E. coli*.^{29,30} In addition, precedent works have established that POMs containing Mo^{V} or V^{IV} ions can be used as reducing agents for the synthesis of a large variety of POM functionalized metallic NPs. Importantly, AuNPs consisting of a metallic core stabilized by POMs in their oxidized form have been obtained in one step, at room temperature and in water.^{31–33} This synthetic strategy has however only been exploited for purely inorganic reduced

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[†]Electronic Supplementary Information (ESI) available: experimental details, TGA and IR spectra, and effect of concentration on biofilm formation. See DOI: 10.1039/x0xx00000x

POMs, while the use of reduced hybrid organic-inorganic polyoxometalates could lead to antibacterial materials combining the properties of AuNPs, POMs and biologically active organic substrates. The chemistry of POMs structured by bisphosphonate ligands (BPs) has been widely studied,^{34–40} and in particular several molybdenum, tungsten and vanadium complexes incorporating the alendronate ligand $[O_3PC(C_3H_6NH_3)OPO_3]^{4-}$ have been obtained.^{20,41} Interestingly, it has also been shown that alendronate itself increases antibiofilm effects when combined with bioactive glass.⁴² Considering inorganic POMs, it has been evidenced that compounds incorporating vanadium centers exhibit the highest antibacterial activities.¹⁹ It follows that the study of the antibacterial properties of systems combining alendronate molecules, POMs containing vanadium ions and AuNPs appears to be particularly attractive.

Herein, we report on the inhibition of Gram-negative and Gram-positive bacteria biofilms growth by such composites. A reduced polyoxovanadate (POV_{red}) functionalized by alendronate ligands was first synthesized. Then, the ability of this reduced POV_{red} to act as both reducing and stabilizing agent was exploited^{32,43} to prepare in one step alendronate POV_{red} capped gold NPs ($NPs@POV$). In parallel, citrate-stabilized gold nanoparticles (CitNPs) functionalized with hybrid POVs in their reduced form were prepared for comparison of the activities of the two systems (Scheme 1). Finally the antibacterial properties of these new $NPs@POV$ hybrid materials toward biofilms formation of *Pseudomonas aeruginosa* and *Staphylococcus epidermidis*, were studied. To the best of our knowledge, this work represents the first investigations of materials associating NPs, POMs and biologically active organic substrates for antibiofilm applications.

Results and discussion

Polyoxovanadate and nanoparticles synthesis and characterizations

First, a reduced polyoxovanadate ($V_3(Al)_3$) also called POV_{red} was synthesized by mixing sodium metavanadate and alendronic acid at pH 4.7 in water. In this synthesis, the V^V ions are likely reduced by triethylamine, which is added in the medium to increase the pH (see experimental section, ESI⁺). Despite numerous efforts, only low quality single-crystals were obtained. However, data collection at the synchrotron allowed solving the structure of the synthesized compound. In the structure of POV_{red} , two independent molecules are in the asymmetric unit, which only differ by the position of the alkyl chain of one of the alendronate ligand (Fig. 1 and S1, ESI⁺). X-ray diffraction studies thus evidence the formation of the title complex $Na_3[V_3O_3(H_2O)(O_3PC(C_3H_6NH_3)(OH)PO_3)_3] \cdot 10H_2O$ ($V_3(Al)_3$). This POV_{red} is reminiscent of the zoledronate compound $Na_3[V_3O_3(H_2O)(O_3PC(C_4H_6N_2)(OH)PO_3)_3] \cdot 12H_2O$ ($V_3(Zol)_3$) previously characterized by some of us.⁴⁴ In both $V_3(Zol)_3$ and $V_3(Al)_3$, three V^IV ions (Bond Valence Sums for the vanadium ions in $V_3(Al)_3$ = 3.80–4.05, Fig. S1, ESI⁺) form an isosceles triangle, the vanadium

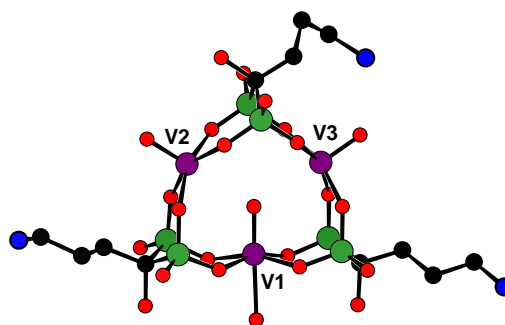


Fig. 1: Ball-and-stick representation of one of the molecule present in the structure of the $V_3(Al)_3$ complex. Purple sphere = V, green spheres = P, black spheres = C, red spheres = O, blue spheres = N; H atoms were omitted for clarity.

centers being in distorted octahedral environments. Three alendronate moieties occupy the edges of the triangle, acting as tetradentate ligands by connecting two by two the vanadium ions. Each vanadium center is connected to a terminal oxygen atom ($d_{V-O}=1.63\text{--}1.65$ Å). Two of these V=O bonds (V2=O and V3=O, Fig. 1) point to the outside of the molecule while the third one (V1=O) points to the inside. Finally, the coordination sphere of the V1 atom is completed by a terminal water molecule while the V2 and V3 atoms are weakly bound to the O=V1 oxygen atom ($d_{V-O} = 2.76$ and 2.73 Å, respectively). Energy-dispersive X-Ray (EDX) spectroscopy measurements are in agreement with P:V:Na ratios of 6:3:3 (ESI⁺). This is confirmed by Inductively Coupled Plasma Atomic Emission Spectroscopy (ICP-OES), with a determined P/V ratio of 2.04. Elemental analysis further confirms the proposed formula (Experimental section, ESI⁺). The TGA curve (Fig. S2a, ESI⁺) reveals three steps that can be attributed to water removal, ligand decomposition and finally formation of inorganic oxides (final experimental weight loss 41.0%, calculated 39.0%). Finally, the infrared spectrum of POV_{red} (Fig. S2b, ESI⁺) presents the characteristic bands of both the P-O/P=O groups in the $1039\text{--}1086$ cm^{-1} range and P-O and V=O/V-O groups in the $929\text{--}999$ cm^{-1} range.

POV_{red} was then used to prepare in one step functionalized polyoxovanadate decorated gold nanoparticles. While reduced POVs have already been considered for the one pot preparation of metallic nanoparticles,^{45,46} it rapidly appeared that the nanoparticles synthesis protocol with the reported hybrid POV_{red} needed to be tailored. In order to prevent the POV_{red} denaturation, the synthesis was carried out by adding an aqueous solution of $HAuCl_4$ basified to pH = 6 to an aqueous solution of POV_{red} at pH = 6. Indeed, the IR spectrum of the powder obtained by dissolution in water (pH 6) of POV_{red} followed by the addition of ethanol is similar to that of POV_{red} (Fig. S2b, ESI⁺), strongly suggesting that the title complex is stable in this medium. Additionally, an excess of POM was used ($\gamma = [HAuCl_4]/[POM] = 0.3$) to ensure the total reduction of the Au^{III} ions (see ESI⁺ for details). The colour change of the solution from turquoise to pink was indicative of the formation of the $NPs@POV$ (POV being the oxidized form of the POV_{red}) gold nanoparticles, as confirmed by the presence of a surface resonance plasmon (SPR) band at 531 nm in the UV-spectra (Fig. 2a). In parallel, the CitNPs

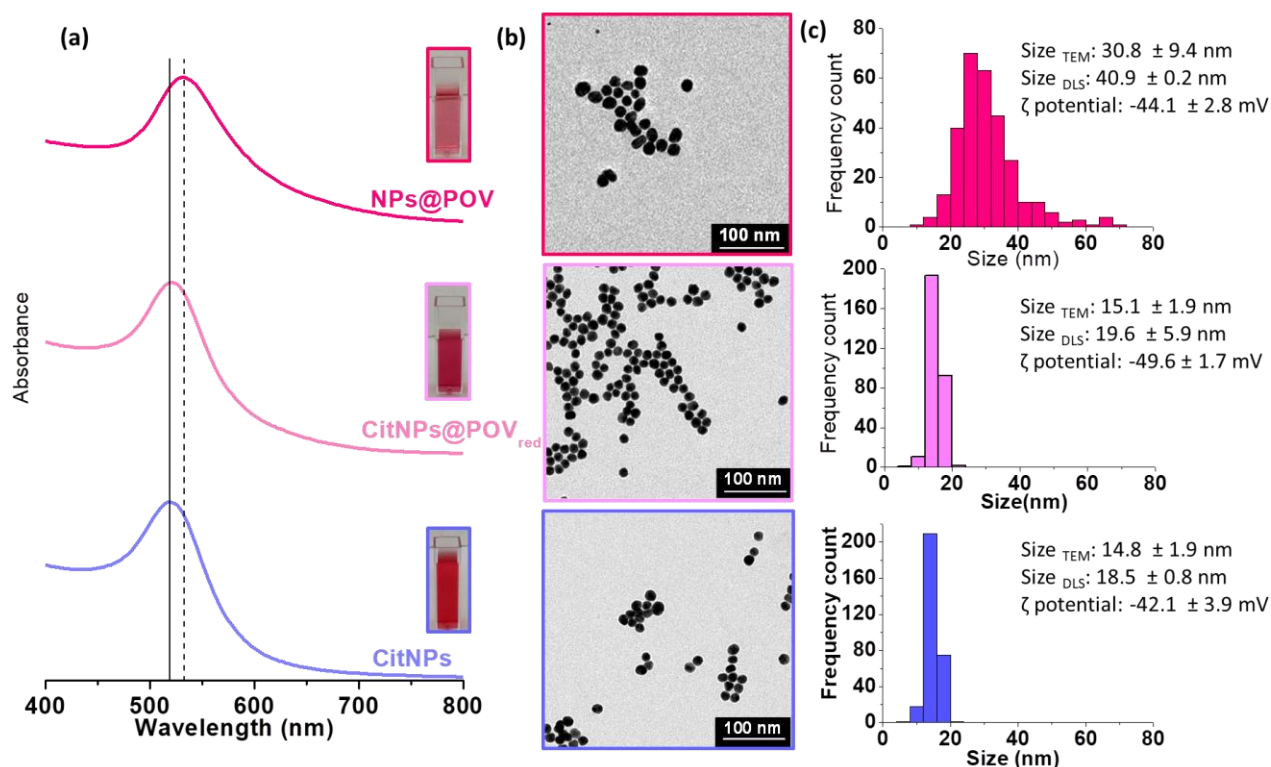


Fig. 2: Characterization of CitNPs, CitNPs@POV_{red} and NPs@POV. UV-vis spectra and colloidal suspension pictures (insert) (a), TEM images (b) and particle size distribution histograms and dynamic light scattering size and zeta potential values (c).

synthesized following the Turkevich method⁴⁷ and the POV_{red} functionalized citrate nanoparticles (CitNPs@POV_{red}), prepared according to the procedure previously described⁴⁸ for the grafting of Keggin polyoxotungstates, both show the expected narrow band centered at 520 nm characteristic of particle size of ca. 15 nm (Fig. 2a). Note that the shift in the position of the SPR bands between the NPs@POV and CitNPs@POV_{red} is characteristic of the different size of the nanoparticles.

The size and dispersion of NPs@POV, CitNPs@POV_{red} and CitNPs were determined from Transmission Electron Microscopy (TEM) (Fig. 2b and Fig. S3, ESI[†]) and Dynamic Light Scattering (DLS) analyses (Fig. 2c). TEM images revealed particle sizes of 30.8 ± 9.4 , 15.1 ± 1.9 nm and 14.8 ± 1.9 , for NPs@POV, CitNPs@POV_{red}, and CitNPs, respectively. As expected, the hydrodynamic diameter measured by DLS is larger for the three different batches of particles as it takes into account the presence of hydration layers, but also of POM layers for the two decorated NPs, not visible on TEM images. Besides, the zeta potential of CitNPs@POV_{red} (-49.6 ± 1.7 mV) is lower than the zeta potential of the pristine CitNPs (-42.1 ± 3.9 mV), which can be due to the adsorption at the surface of the NPs of the triply negatively charged POV. The zeta potential of NPs@POV (-44.1 ± 2.8 mV) is slightly less negative than for CitNPs@POV_{red}. This can be attributed to the oxidation of the V^{IV} centers during the formation of the NPs@POV. Attenuated Total Reflectance Fourier Transform Infrared spectroscopy (ATR-FTIR) has also confirmed the

presence of POVs at the surface of both NPs@POV and CitNPs@POV_{red}, the V-O vibrations related to the POV_{red} together with the P-O vibrations and NH₃ deformations related to the alendronate ligand being observed in the IR spectra (Fig. S4 and Table S1, ESI[†]). ICP-OES allowed confirming the presence of V and P in stoichiometric proportion compared to the initial POV, with a P/V ratio equal to 2.0 ± 0.1 for NPs@POV and 1.9 ± 0.1 for CitNPs@POV_{red}. Moreover, taking into account the Au/V ratio determined by ICP and the size of the NPs, the number of POV per nm² was estimated to 38 ± 8 for the spherical 30.8 nm NPs@POV and 23 ± 5 for the 15.1 nm CitNPs@POV_{red} (see ESI[†] for details). We can note that the coverages are too high to correspond to a monolayer, which would lead to a maximum of 6 POV/nm² (see ESI[†] for details). Therefore, ICP data clearly corroborate the presence of POV multilayers as previously discussed on the basis of DLS measurements.

Inhibition of Gram-negative and Gram-positive bacteria biofilms growth by CitNPs, CitNPs@POV_{red} and NPs@POV

The optical density method (OD_{620nm}) based on crystal violet staining was used to investigate the effect of CitNPs, CitNPs@POV_{red} and NPs@POV on *P. aeruginosa* ATCC[®] 27853[™] and *S. Epidermidis* CIP 105 777 biofilms formation (see ESI[†] for details).⁴⁹ These Gram-negative and Gram-positive bacteria, respectively, represent excellent model for inhibition biofilm growth studies as they have been shown to

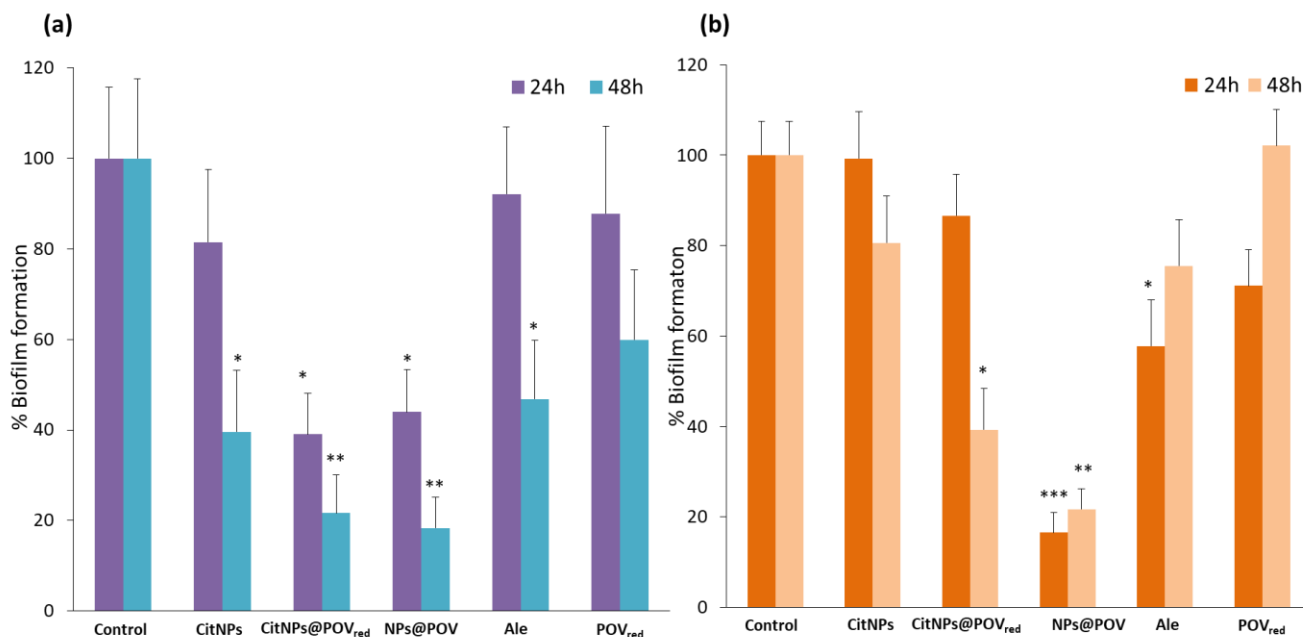


Fig. 3: The effect of CitNPs (1.3 nM), CitNPs@POV_{red} (1.3 nM), NPs@POV (1.0 nM), alendronate (540 μM), and POV_{red} (180 μM) on biofilm formation by (a) *P. aeruginosa* and (b) *S. epidermidis* after incubation for 24 and 48h in 96-well plates. The values of the experimental groups were compared with the control group. Statistical significance was analyzed by Student's T-test to detect the presence of statistically significant differences (***) p<0.001, **p<0.01, *p<0.05) For CitNPs, CitNPs@POV_{red} and NPs@POV the numbers in brackets correspond to the concentration in gold nanoparticles

severely develop on medical device surfaces, causing serious nosocomial infections.⁵⁰⁻⁵³ The biofilm formation was examined over periods of 24 and 48 hours (Fig.3). The antibacterial activities of POV_{red} and alendronate at concentrations higher to those established for the functionalized NPs were also determined as references for the two different strains.

To investigate the possible influence of the particle size on the antibacterial activity, the inhibitory effects of citrate gold particles functionalized with POV_{red} (CitNPs@POV_{red}) with two different sizes (15 and 47 nm) have been investigated on *P. aeruginosa*. The synthesis and characterization of the 47 nm diameter AuNPs are described in the SI (see experimental section and Figure S5). The antibacterial activities of the 15 and 47 nm NPs have been found to be similar (Figure S6). Therefore, we can assume that the size of the nanoparticles cannot be regarded as a determining factor influencing the antibacterial activity.

For *P. aeruginosa*, the bacterial growth was strongly inhibited after 24 hours of treatment by both NPs@POV and CitNPs@POV_{red}, with a decrease of biofilm formation of ca. 60% compared to the control experiment. Noticeably, the biofilm growth inhibition is not significant for neither the unfunctionalized CitNPs nor the POV_{red} complex, when utilized separately, this highlights a synergistic effect between the AuNPs and the POV. These results suggest that the gold nanoparticles could act as carriers to improve the penetration of the POV into the bacteria. This trend is confirmed after 48 hours of treatment, with a decrease of the biofilm formation of ca. 80% for NPs@POV and CitNPs@POV_{red}. Noticeably, after 48h of treatment with alendronate and CitNPs, an antibacterial activity is observed as biofilm growth inhibition was around 60%. However this antibacterial effect remains lower than the one observed for POVs decorated nanoparticles, confirming the synergistic effect.

Focusing now on *S. epidermidis*, Fig 3(b), it was observed that only the NPs@POV induce a significant decrease of the biofilm formation starting from 24 h of treatment, and, very interestingly, this effect is very strong (>80%). The high antibacterial activity of the NPs@POV compared to the absence of activity of the CitNPs@POV_{red} against *S. epidermidis* can be tentatively attributed to the oxidation state of the vanadium centers (V^{IV} in CitNPs@POV_{red} and V^V in NPs@POV). Finally, we can also note that the antibiofilm activity of the NPs@POV against *S. epidermidis* remains unchanged after additional 24h.

The effect on the biofilms growth of the NPs@POV concentration in the 0.1 – 1 nM range was also studied for both strains (Figure S7, ESI⁺). For a concentration of 0.1 nM, a decrease of the *P. aeruginosa* biofilm formation of 50% after 24 h of treatment was observed, with no further evolution after 24 h. At 0.2 nM, a decrease of 60% after 24h and 80% after 48h were determined, indicating that a 0.2 nM concentration of NPs@POV is sufficient to obtain a strong antibacterial effect against this strain. Regarding *S. epidermidis*, a decrease of the biofilm formation of 40 and 70% upon 24 and 48h of treatment, respectively, were observed for a concentration of 0.6 nM. The maximum of antibacterial effect was found for a concentration of 1nM, with ca. 80% of inhibition of the biofilm formation after 24h.

In order to observe possible changes of the morphology of bacteria, scanning electron microscopy (SEM-FEG) micrographs of the *P. aeruginosa* and *S. Epidermidis* were recorded after 24h in presence and in absence of NPs@POV (Figure S8, ESI⁺). We used NPs@POV as an example as these hybrids show a good antibacterial activity with both bacterial strains.

The SEM images of the two bacteria without treatment showed intact bacterial membranes with *P. aeruginosa* rod like

shape (Figure S8a, ESI[†]) and *S. Epidermidis* spherical shape (Figure S8c, ESI[†]). In contrast, the cell envelopes of *P. aeruginosa* and *S. Epidermidis* treated with NPs@POV are damaged and appear pierced causing the leakage of the cytoplasmic content and the collapse of the bacteria (Figure S8b,d, ESI[†]). From these results, it could be concluded that NPs@POV seriously affect the bacterial membrane integrity causing bacteria death. The exact antibacterial mechanism is however not well elucidated and will be investigated in future works.

Conclusions

In conclusion, we have used a new reduced polyoxovanadate V₃(Al)₃ incorporating the biologically relevant alendronate ligand to prepare in one-pot, in water, at room temperature and in absence of any additional surfactant the hybrid functionalized gold nanoparticles NPs@POV. The optimized synthetic protocol produced size-controlled monodispersed stable NPs. The POV_{red} thus acts as a reducing agent but also as capping agent to stabilise the nanoparticles. The nanocomposites were thoroughly characterized by TGA, ATR-FTIR, UV-Vis, DLS, TEM, EDX and elemental analysis experiments. The high antibiofilm activity of NPs@POV towards both the gram-negative and gram-positive resistant bacteria was evidenced. Importantly, comparative studies strongly suggest that the three components constituting the NPs@POV (Au⁰ core, vanadium and alendronate) act synergistically. The activity of POV_{red} functionalized citrate gold nanoparticles CitNPs@POV_{red} was also studied, allowing to conclude that the oxidation state of the vanadium centers constituting the POM may play a significant role on the inhibition of gram-positive bacteria biofilm growth. This work, which to the best of our knowledge represents the first investigation of POM-functionalized NPs for antibiofilm applications, opens the way to a new generation of antibiofilm agents. We are currently investigating the influence of the nature of the polyoxometalate on the biological properties of such nanocomposite. Also, an advantage of alendronate is that it can be easily functionalized via its amino group. We are thus also presently working on the elaboration and the investigation of the inhibition of biofilm growth activity of antibiotic/alendronate/polyoxometalate NPs systems.

Acknowledgments

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Conflicts of interest

There are no conflicts to declare.

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