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Pairing GIS and distributed hydrological models using Matlab

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Abstract. Observed data are required to carry out hydrological simulations in a watershed for use by policy makers. Hydrological simulations thus require a large interdisciplinary knowledge about modeling, computer sciences, data- bases, GIS. A Matlab preprocess prior to the hydrological modeling is presented for educational purposes and an easy uptake by non-GIS users. Moreover, this allows for parallel computing. This pre-process builds on two renowned and freely available Matlab toolboxes providing GIS and mesh functionalities. Ad- ditional functionalities allow for a decomposition of watersheds with respect to parameterized constraints, including the meshing of the subdomains and the construction of an oriented flow graph. The method is exemplified on a sub-basin of the Saar River.

Keywords: Hydrology, Domain decomposition method, GIS modelling.

1 Introduction

 Data – topography, climate, land cover, soil properties – are required to carry out hydrological simulations in a watershed for use by policy makers. These data are of different nature and usually provided with their own standard data format. Topogra- phy and land cover are freely available from national agencies or transnational pro- jects like Copernicus or CORINE Land Cover. Climate data are scarcer in both time and resolution. Fortunately, simulations can be performed using reanalyzes [1]. Soil and geology parameters are usually unknowns and calibrated using climate data, dis-charge data and hydrological models.

 Digital Elevation Models (DEM) store projected topographic information in a gridded format and constitute fundamental data to hydrological studies [2]. Spatial heterogeneities can be accounted by mean of a decomposition of the watershed into smaller geographical units. Conceptual models preferably deal with sub-watersheds to facilitate routing. River analyses are carried out using a one- or two-dimensional par-tial differential model discretized by meshing the major bed and splitting it longitudi nally. Watershed simulations, surface and underground flows, involve more complex meshes and numerical methods. Domain decomposition has another major advantage since it offers the opportunity to run simulations on a parallel platform.

 Only basic interdisciplinary knowledge in GIS, hydrology or computer sciences is usually insufficient to carry out numerical simulations because pairing GIS tools, database and computer models is not an easy task. QGIS and GMSH are loosely inter- faced by means of Python and shapefiles read/write. The Python-based open-source framework PIHMgis [3] goes one step further by managing the coupling of [GI](https://www.sciencedirect.com/topics/computer-science/open-source-platform)S tools, data and distributed hydrological [modeling](https://www.sciencedirect.com/topics/computer-science/modeling-framework) through pre- and post-processes. Although a user interface exists and the program code is available, further developments require a good knowledge of GIS tools, Python, and the programming language of the hydro-logical model under study.

 To overcome this shortfall, Matlab is chosen as a framework for pairing GIS and parallel/distributed hydrological models. Thereby, it enable to take advantage of exist- ing toolboxes [4,5]. This short paper presents a pre-processor written in Matlab that allows for the management of DEMs with regards to hydrological structures, hydro-logical modeling and parallel computing.

2 Methods

 The approach is to propose a user-friendly upgradeable open-source interface imple- mented in a classical language in sciences and educational communities. Matlab meets these requirements and, additionally, it provides visualization functionalities. A number of free toolboxes, namely TopoToolbox [4] and mSim[5], offer particular GIS operations and mesh generation (through GMSH), respectively. Working in a non- GIS scientific computing framework rather than in a GIS or Python environment may facilitate the uptake by engineering students.

 The pre-process workflow ranges from the DEM read to watershed decomposition and sub-mesh generation, carried out with respect to user's constraints.

2.1 Domain decomposition

- The watershed decomposition can be organized such that it fulfills parameterized user-defined constraints:
- 1. the account for important hydrological structures (dams/ponds/discharge station)

or bridges that can create logjams. are "primary checkpoints";

- 2. the partition of the domain into more sub-watersheds by placing "secondary checkpoints" on the stream;
- 3. the design of sub-watersheds with similar areas, typically a few km². The inter-
- est is threefold: *(i)* assigning homogeneous data (soil and climate) at the sub-
- watershed level to account for spatio-temporal variability, *(ii)* dealing with hydro-

 logical sub-models of similar size, *(iii)* balancing the computational load on a par-allel platform.

 All these checkpoints are inlets or outlets of the sub-watershed they delineate. At surface level, these flow interfaces are located on the stream. Domain decomposition functionalities are implemented by building on [4, 5] and Matlab.

2.2 Preprocess workflow

 A graphical user interface (not shown here) articulates GIS and meshing operations as follows: (1) read the DEM [4], (2a) define user outlets as suggested by the first con- straint, (2b) automatically insert additional outlets to satisfy the third constraint, (3) partition the watershed by delineating drainage basins with respect to these outlets [4], (4) format the partition as a shapefile for plotting purposes (*shapewrite* and *shaperead* of the "mapping Matlab toolbox"), (5) mesh the subdomains [5], (6) determine inlet and outlet faces, (7) order subdomains to build an upstream to downstream graph. The files generated (sub-domain mesh and oriented flow graph) are used as input file for the hydrological model.

3 Results

 For the sake of clarity in the figures, the small watershed (89 km²) of the Mutterbach stream near to the city of Sarralbe (Région Grand-Est, France) is used. To serve as a defense waterline in 1940, 6 ponds and 5 dams were built to supply water and to con- trol the floods in the valley. These constitute a set of 11 primary checkpoints allowing for water management. In Figure 1.b, the decomposition is carried out with respect to these hydrological structures (marked with red squares). To anticipate parallel compu- ting, secondary checkpoints have been added to fulfill the third constraint. Figure 1.c displays a decomposition into 58 subdomains, the areas of which range from 1 km² to 2.5 km² with an average value of 1.54 km². The numbers of nodes/elements in the sub-meshes are equal to 1260/2200 on average, respectively.

 Fig. 1. (a): Location in France (red point); (b): Decomposition/checkpoints; (b) Load-balanced refined decomposition.

4 Discussion

 Hydrological simulations require a large interdisciplinary knowledge about modeling, computer sciences, databases, GIS… For educational purposes and an easy uptake by non-GIS users, our interdisciplinary team (hydrologists, computer scientists and mathematicians) work on Matlab pre- and post-processes to the hydrological model- ing. These build on two renowned and freely available Matlab toolboxes [4,5] provid- ing GIS and mesh functionalities, respectively. Note that both were designed in a hydrological context and come with user guides.

 For the sake of reproducibility, proposed domain decomposition functionalities (parameterized constraints, watershed decomposition and oriented flow graph) will be exhaustively described and documented in a future work. Then, Matlab codes will be made freely available to serve as a basis to implement other decomposition con- straints. Complementary tests were performed on the Saar watershed partly located in France and Germany.

 This hydrology-targeted Matlab-GIS framework is intended to be useful not only for education purposes or for a first contact with GIS tools for hydrology modeling, but also as a common basis for interdisciplinary studies with non GIS users. Moreo-ver, it benefits from the numerous toolboxes already developed in and for Matlab.

5 Conclusion

 The Matlab-GIS interface builds on two renowned and freely available Matlab toolboxes providing GIS and mesh functionalities. It has been designed for an easy uptake by non-GIS users and modelers in hydrology. Resulting watershed decomposi- tion can be interfaced with a conceptual model or with a parallelized finite element model for watershed simulations by using the oriented flow graph for routing.

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