

Post-functionalization of polyvinylcarbazoles: An open route towards hole transporting materials for perovskite solar cells

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Camille Geffroy, Eftychia Grana, Muhammad Mumtaz, Ludmila Cojocaru, Eric Cloutet, et al.. Post-functionalization of polyvinylcarbazoles: An open route towards hole transporting materials for perovskite solar cells. Solar Energy, 2019, 193, pp.878-884. 10.1016/j.solener.2019.10.034 . hal-02330648

HAL Id: hal-02330648 https://hal.science/hal-02330648

Submitted on 2 Jul 2020

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2	Post-functionalization of polyvinylcarbazoles: an open route towards hole
3	transporting materials for perovskite solar cells
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18 Abstract

We report on the potential of tuning poly(9-vinylcarbazole) (PVK) properties through 19 functionalization for an application as hole transport material (HTM) for perovskite solar cells 20 (PSCs). The synthesized PVK-based polymers were substituted with moieties of interest to 21 improve the solubility, the charge transport properties, or to tune energy levels. Bis(4-22 methoxyphenyl)amine moieties were found to improve the hole mobility and to increase the 23 HOMO level of the PVK. Therefore, PSCs employing PVK-[N(PhOCH₃)₂]₂ as HTM exhibited 24 a best PCE of 16.7 %. Compared to spiro-OMeTAD, first studies have shown that PVK-25 [N(PhOCH₃)₂]₂ could extend PSC lifetime. 26

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Keywords: Polyvinylcarbazoles, Hole-transporting materials, Perovskite solar cells, Hole
mobility, Device stability.

31 Highlights

32	-	Original synthesis of a new poly(9-vinylcarbazole) derivative hole transporting
33		material.
34	-	Undoped or doped PVK-[N(PhOCH ₃) ₂] ₂ showed hole mobility one order of magnitude
35		higher than undoped or doped spiro-OMeTAD, respectively.
36	-	Used as hole transporter in n-i-p mesoscopic perovskite solar cells, PVK-
37		[N(PhOCH ₃) ₂] ₂ yielded overall energy conversion efficiency up to 16.7%.
38	-	Extended perovskite solar cell life-time using PVK-[N(PhOCH ₃) ₂] ₂ as hole
39		transporting material without encapsulation.
40		

42 **1. INTRODUCTION**

Organic-inorganic hybrid perovskite solar cells (PSCs) have attracted growing interest due to 43 an unprecedented increase in power conversion efficiency (PCE), which reached 24.2% within 44 less than ten years. [1-3] This outstanding advance is related to the remarkable optoelectronic 45 properties of lead halide perovskites, such as strong light absorption and long diffusion length 46 of the photogenerated charge carriers. [4-5] Moreover, processed from small quantities of 47 relatively cheap materials under mild conditions, PSCs are deemed to be a good alternative for 48 low-cost energy production and to be competitive with silicon solar modules. [6-7] However, 49 50 several hurdles have not entirely been overcome, especially the long-term stability of the devices which is crucial for further industrialization of PSC modules. The device stability can 51 be altered by degradation of both materials and interfaces. Since the sensitivity of hybrid 52 53 perovskites towards moisture is known, many efforts have been devoted to enhance this 54 material's life-time for instance by tuning its composition or processing conditions. [8-10]

The HTM is another key material ruling both performance and stability of PSCs. Essential 55 for efficient extraction of holes, it also prevents the hybrid perovskite layer from ambient 56 humidity and degradation. The most studied and effective HTM reported so far is 2,2',7,7'-57 Tetrakis[N,N-di(4-methoxyphenyl)amino]-9,9'-spirobifluorene known as spiro-OMeTAD. 58 However, due to burdensome synthesis and purification methods, this material is relatively 59 expensive. Moreover, its limited stability makes it unsuitable for industrial scale production. 60 61 [11] Therefore, new HTMs, either organic or inorganic, have been developed and investigated to replace spiro-OMeTAD [11-12], such as molecular systems composed of spiro-like or 62 carboxylic-based cores [13], truxene-based core [14], nitrogen- or sulfur-containing central 63 scaffolds [13,15] or metallomacrocycles [13]. Some of them include small carbazole-based 64 molecules, like X51 and star-shape derivatives, which have been incorporated into PSCs. [16-65 19 These materials showed similar properties to spiro-OMeTAD but their synthesis and 66

purification remain complicated limiting the quantities produced, and the solar cells involving 67 68 these HTMs still suffer from stability issues. On the other hand, poly(9-vinylcarbazole) (PVK) is a p-type semiconducting polymer, commercially available and easily produced in large 69 70 quantities through controlled radical polymerization. [20] Due to its stability arising from its polymeric backbone, hydrophobicity, large hole mobility and solution processability, PVK has 71 been widely used in organic electronic devices, as light emitting diodes [16] and organic solar 72 cells. [21-23] Recently, despite a relatively low HOMO level (-5.8 eV), PVK and PVK-based 73 polymers were successfully introduced in PSCs. Replacing PEDOT:PSS by a thin layer of PVK 74 led to a 15.8 % PCE in inverted structure. [24] PVK has also been used as an interlayer between 75 76 the perovskite and a triazatruxene-based HTM in order to enhance hole extraction and insulate the perovskite layer from ambient moisture, thus leading to 18.8 % PCE. [25] Additionally, it 77 hyper-branched **PVKs** P3HT recently shown that outperform in 78 was 79 ITO/TiO₂/perovskite/HTM/Ag structure. [26]

Moreover, several studies have shown the influence of ending groups of small molecules or 80 polymer units on the film forming and the optoelectronic properties of the HTMs. It has been 81 shown for instance that methoxy substituents improved the conductivity of carbazole-based 82 derivatives, [27] or that alkyl-ending chains could be introduced to enhance the solubility and 83 the film forming of thiophene-based HTMs. [28] On the other hand, bis(4-84 methoxyphenyl)amine groups present in spiro-OMeTAD have been largely employed to design 85 new HTMs. [29, 30] The triphenylamine groups are reported to bring stability and good charge 86 87 transport properties to the materials. [31]

Herein, we report on the synthesis, the thorough characterization and the application as HTM in PSCs of PVKs functionalized with diphenylamine (PVK-[N(PhOCH₃)₂]₂), *tert*-butyl (PVK-(*t*Bu)₂) and methoxy (PVK-(OCH₃)₂) groups. Original post-functionalization routes of PVK were thus established allowing a fine control of the molecular weight and a variety of 92 functionalization. This original method is more opened than conventional polymerization of 93 functional monomers. [32] Indeed, by contrast to the works reported by Xu *et al.* our 94 methodology is based on the preparation of well-defined PVK platforms that can be freely post-95 functionalized. [32] The new materials were then tested in n-i-p planar and mesoscopic PSCs, 96 and the photovoltaic performances were rationalized in terms of conductivity and electronic 97 properties of HTMs.

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99 **2. EXPERIMENTAL SECTION**

100 **2.1.** Synthesis of poly[(3,6-di-methoxy)-9-vinylcarbazole] (PVK-[N(PhOCH₃)₂]₂)

101 *2.1.1. Materials*

9-Vinylcarbazole (Aldrich, 98%) and AIBN (Azobisisobutironitrile, Acros 98 %) were 102 recrystallized from methanol. O-ethyl-S-(1-ethoxycarbonyl) ethyldithiocarbonate (C₈H₁₄S₂O₃) 103 104 was synthesized according to literature and used as the RAFT Chain Transfer Agent (CTA) [33]. Tetrahydrofuran and toluene were purified through an MB-SPS-800 solvent purification 105 106 system and were stored under inert atmosphere before use. N,N-dimethylformamide (DMF, 99.8 %), dichloromethane (CH₂Cl₂, \geq 99.9 %), methanol (CH₃OH, 99.8 %), ethanol 107 (CH₃CH₂OH, 99.8 %), acetonitrile (CH₃CN, 99.8 %), and dimethylsulfoxide ((CH₃)₂SO, 99.8 108 %) were purchased from Aldrich and used as received. 4,4'-Dimethoxydiphenylamine 109 (C14H15NO2, 98 %) and sodium tert-butoxide (t-BuONa, 97 %) were purchased from ABCR, 110 tri-*tert*-butylphosphine ((*t*Bu)₃P, 98 %) and tris(dibenzylideneacetone)dipalladium(0), 111 (Pd2(dba)3) from Strem Chemicals, and N-bromosuccinimide (C4H4BrNO2, 99 %) from Alfa 112 Aesar and were all used as received. 113

114

115 2.1.2. Instrumentation

¹H and ¹³C nuclear magnetic resonance (¹H-NMR and ¹³C-NMR) spectra were recorded using 116 a Bruker AC-400 NMR at room temperature in deuterated chloroform (CDCl₃). Chemical shifts 117 (δ) for protons are reported in parts per million (ppm) downfield and are referenced to residual 118 proton in the NMR solvent (CHCl₃: δ 7.26 ppm). Size Exclusion Chromatography (SEC) was 119 performed on a Malvern Viscotek TDA 305 instrument equipped with viscometer, light 120 scattering (LS) and refractive index (RI) detectors with a 100A guard column and two PLgel 121 122 Mixed C bead columns assembled in series, in chloroform (CHCl₃) containing 1% triethylamine as eluent with a flow rate of 1 mL/min at 30°C. The molecular weights of the polymers were 123 calculated through standard polystyrene (PS) calibration, as well as through universal 124 calibration. Fourier-transform infrared spectroscopy was performed on a Shimadzu IRAffinity-125 1S, using the attenuated total reflection (ATR) method. Differential scanning calorimetry 126 (DSC) was performed on TA Instruments Q100 DSC system under helium flow (25 ml/min) at 127 a scan rate of 10°C/min, between 0°C and 250°C. Thermogravimetric analysis (TGA) data were 128 recorded on a TGA Q500 of TA Instruments using a heating rate of 10°C/min. 129

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131 *2.1.3. General procedure*

132 2.1.3.1. PVK (7 kg.mol⁻¹)

In a typical experiment similar to literature, 12 g of 9-vinylcarbazole (VK, 62 mmol, 50 equ.), 133 0.27 g of O-ethyl-S-(1-ethoxycarbonyl) ethyldithiocarbonate (CTA, 1.2 mmol, 1 equ.) and 0.1 134 g of 2,2'-Azobis(2-methylpropionitrile) (AIBN, 0.6 mmol, 0.5 equ.) were mixed in 31 mL 135 tetrahydrofuran (THF, 40% w/v) in a 100 mL schlenk flask equipped with a magnetic stirrer 136 [33]. After 3 freeze-vacuum-thaw cycles, the mixture was stirred under nitrogen atmosphere at 137 60°C and the polymerization was stopped after 20h by dipping the polymerization flask into 138 liquid nitrogen. The polymer was precipitated in methanol, recovered by filtration and dried at 139 40°C for 24h to give 12 g of **PVK** (100 % yield). ¹H NMR (Fig. S1a) (400 MHz, CHCl₃) δ 140

(ppm) 7.8 – 5.7 (m, 7H), 5.3 – 4.5 (m, 1H), 3.5 – 2.9 (m, 1H), 2.1 – 0.9 (m, 2H) were identified
from the literature [34]. FTIR (Fig. S2) (ATR, 32 scans, cm⁻¹) 3100-3000 ar. C-H stretch, 30002890 al. C-H stretch, 2300-1700 ar. C-H overtones, 1640-1070 skeletal vibrations al. and ar. CC, C=C stretch, 1060-860 C-H in-plane bending, and 800-650 C-H out-of-plane bending were
found.

- 146
- 147 *2.1.3.2. PVK-Br*₂

2 g of PVK (7.0 kg.mol⁻¹, 10 mmol, 1 equ.) were stirred with 103 ml DMF (1.9 % w/v) in a 250 148 mL round bottom flask until complete dissolution. 4.6 g N-bromosuccinimide (C4H4BrNO2, 25 149 150 mmol, 2.5 equ.) in 26 mL DMF (17.8 %w/v) were added dropwise to the polymer solution after cooling at 0°C. After addition, the mixture was stirred for 24h at room temperature under inert 151 atmosphere and then was precipitated in an excess of ethanol. Finally, the final product, PVK-152 Br₂, was isolated through filtration and dried at 50°C under vacuum for 20h. The final mass 153 was 3.55 g (97.6% yield). FTIR (Fig. S2) (ATR, 32 scans, cm⁻¹) 3100-3000 ar. C-H stretch, 154 155 3000-2820 al. C-H stretch, 2100-1600 ar. C-H overtones, 1600-1000 skeletal vibrations al. and ar. C-C, C=C stretch, 1470 asymmetric and 1270 symmetric, 1070-980 C-H in-plane bending, 156 900-540 C-H out-of-plane bending, 770-840 ar. C-H bend characteristic for aromatic 157 substitution, and 650 C-Br stretch were identified. 158

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- 160 2.1.3.3. *PVK-[N(PhOCH₃)₂]*₂

Synthesis of poly[(3,6-diamine(N3,N3,N6,N6-tetrakis(4-methoxyphenyl)))-9-vinylcarbazole) 161 (PVK-[N(PhOCH₃)₂]₂) is based on Buchwald-Hartwig coupling reaction [35]. In a typical 162 experiment, 0.6 g of **PVK-Br₂** (1.7 mmol, 1 equ.) were stirred in a 250 mL round bottom flask 163 with 21.3 mL of toluene (2 wt.%) until dissolution under inert atmosphere. Then 0.05 g of tri-164 tert-butylphosphine $[(t-Bu)_3P,$ 0.3 mmol, 0.15 0.12 165 equ.], of g

tris(dibenzylideneacetone)dipalladium(0) [Pd2(dba)3, 0.1 mmol, 0.07 equ.], 1.2 g of 4,4'-166 dimethoxydiphenylamine (C14H15NO2, 5.3 mmol, 3 equ.) and 0.5 g of sodium tert-butoxide (t-167 BuONa, 5.3 mmol, 3 equ.) were added and the mixture was refluxed for 20h under N₂ 168 atmosphere. The mixture was then poured over water and the final product was extracted into 169 dichloromethane. After removal of dichloromethane using a rotary evaporator, product was 170 diluted in small quantity of DMSO before precipitation in methanol. The obtained crude product 171 172 was purified through a Söxhlet procedure by washing with methanol, acetonitrile and toluene. All Söxhlet fractions were dried at 50°C under vacuum for 24h. NMR analysis revealed that 173 the desired product, PVK-[N(PhOCH₃)₂]₂ was that isolated from the toluene fraction. The final 174 mass was 0.5 g (45 % yield). ¹H NMR (Fig. S1b) (400 MHz, CHCl₃) δ 7.2 – 5.6 (m, 22H), 3.9 175 -2.7 (m, 13H), 1.7 - 1.4 (m, 2H) were identified. FTIR (Fig. S2) (ATR, 32 scans, cm⁻¹) 3130-176 3000 ar. C-H stretch, 3000-2770 al. C-H stretch (increased at 2800 because of -OCH₃ groups), 177 2300-1890 ar. C-H overtones, 1640-1070 skeletal vibrations al. and ar. C-C, C=C stretch, 1260-178 1000 C-O stretch, 1000-860 C-H in-plane bending, and 800-650 C-H out-of-plane bending were 179 found. 180

181

182 **2.2.** Perovskite solar cells

183 *2.2.1. Materials*

Zinc powder, hydrochloric acid (HCl, 37 %), titanium diisopropoxide bis(acetylacetonate)
(TiAcAc,75 wt.% in IPA), titanium(IV) chloride (99.9 %) and *tert*-butylpyridine (tBP, 96 %)
were purchased from Sigma Aldrich. Lead(II) chloride (PbCl₂, ultra dry, 99.999 %) was
obtained from Alfa Aesar, formamidinium iodide (FAI, > 99 %) and methylammonium
bromide (MABr, > 98 %) from Dyesol, magnesium(II) bis(trifluoromethanesulfonyl)imide
(Mg(TFSI)₂) from TCI, *spiro*-OMeTAD (99 %) from Merck and lithium bis(trifluoro-

methanesulfonyl) imide (LiTFSI) from Wako. The 24 nm nano-TiO₂ paste (PST-24NR) was
purchased from JPG C&C. Products were all used as received.

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193 2.2.2. *n-i-p planar device fabrication*

FTO-glass substrates were patterned with zinc powder and HCl. Then, they were subsequently 194 washed through sonication in water and ethanol baths before being treated by UV-ozone 195 196 cleaner. A 50 nm-TiO₂ compact layer was deposited from a solution of TiAcAc in ethanol by spray-pyrolysis at 400°C followed by annealing at the same temperature for 45 min. The 197 substrates were further immersed in a TiCl₄ solution in ethanol at 70°C before calcination at 198 199 500°C. CH₃NH₃I was synthesized according to a literature method [36]. CH₃NH₃I and PbCl₂ (3:1 molar ratio) were mixed in anhydrous DMF, deposited by spin coating at 2000 rpm and 200 annealed at 120°C for 45 min to form a dense perovskite layer of 330 nm. HTM solutions were 201 202 prepared by dissolving optimal concentration of spiro-OMeTAD (8 wt.%), PVK (5.9 wt.%), PVK-[N(PhOCH₃)₂]₂ (4.3 wt.%), PVK-(tBu)₂ (3.7 wt.%) and PVK-(OCH₃)₂ (3.1 wt.%) in 650 203 204 µL of chlorobenzene. LiTFSI and TBP, were necessary mixed to spiro-OMeTAD, PVK-[N(PhOCH₃)₂]₂, PVK-(*t*Bu)₂ and PVK-(OCH₃)₂ to enhance hole extraction. Thus, 14 µL of 44 205 wt.% solution of LiTFSI in acetonitrile were added to the spiro-OMeTAD, PVK-(tBu)2 and 206 207 PVK-(OCH₃)₂ solutions, and 7 µL to the PVK-[N(PhOCH₃)₂]₂ solution. 20 µL of tBP were added to all of the solutions. The HTMs were deposited by spin coating at 3000 rpm and let 208 overnight in dry air before finally evaporating an 80-nm thick gold electrode on top of the 209 device. The thicknesses of the HTM layers were about 180 nm, 120 nm, 110 nm and 130 nm 210 for spiro-OMeTAD, PVK-(OCH₃)₂, PVK-(*t*Bu)₂ and PVK-[N(PhOCH₃)₂]₂ respectively. In the 211 case of the undoped HTMs, the top electrode was made of 10 nm of MoO₃ and 80 nm of silver. 212 The device active area was 0.1 cm². 213

215 *2.2.3. n-i-p mesoscopic device fabrication*

216 FTO glass substrates were first laser etched and successively cleaned 10 min in ultrasonic bath in water, detergent, acetone and ethanol, and by excimer UV cleaner. Then, a solution made of 217 218 TiAcAc mixed with 3 mol.% of LiTFSI and 3 mol.% of Mg(TFSI)₂ in ethanol was deposited by spray pyrolysis at 430°C to form a 40-nm-compact-TiO₂ layer. The next steps were 219 performed in a dry room with dew point temperature at -30°C. A 150 nm mp-TiO₂ layer was 220 221 made by spin coating a solution of 24-nm-TiO₂ paste diluted in ethanol (14 wt.%), followed by a 2-step annealing, at 125°C and 550°C. The layer was then doped with a LiTFSI solution in 222 acetonitrile (0.1 M) deposited by spin coating and annealed at 100°C and 430°C. Perovskite 223 224 precursor solution was made by dissolving MABr, PbBr₂, PbI₂ and FAI (1:1:5.75:5.45 mol) in a mixture of DMSO and DMF (1:4 vol). 5 mol.% of KI, which was previously dissolved in 225 DMSO (1.5 M), were added to the perovskite solution. Anti-solvent spin-coating method was 226 227 carried out to make a dark, smooth and homogeneous perovskite layer which was annealed at for 160°C. In the final process, a solution of FAI:MABr (5.45:1), FAI or MABr in isopropanol 228 229 was then deposited by spin-coating on top of the perovskite and rinsed with IPA before being annealed at 80°C for 20 min. HTM solutions and films were prepared in the same conditions as 230 for the planar devices. Substrates were left overnight in the dry room. Finally, the 80-nm top 231 232 gold electrode was evaporated. The device active area was 0.181 cm².

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234 2.2.4. Characterizations

UV/Vis absorption spectra were recorded on a Shimadzu UV-3600 spectrometer. Ionization
potentials were measured with a Riken Keiki AC-3 photoelectron spectrometer in air. Scanning
electron microscopy was performed on a Hitachi SU8000 system at 10 kV. Atomic force
microscope (Dimension FatScan, Bruker) was used in tapping mode to characterize HTMs
surfaces. I-V measurements were carried out with a solar simulator (CEP-25TF) under AM1.5G

solar light with an intensity of 100 mW.cm⁻² calibrated by a standard silicon cell (BS-520,S/N235). Reverse scan (from open-circuit to short-circuit) and forward scan (from shortcircuit to open-circuit) were recorded at a 100 mV.s⁻¹ scan rate.

243

244 **3.** RESULTS AND DISCUSSION

245 *3.1. Synthesis*

The synthesis of PVK-[N(PhOCH₃)₂]₂ has required only three steps as depicted in Scheme 1. 246 First a well-defined PVK polymer of 7.0 kg.mol⁻¹ was obtained through Reversible Addition 247 Transfer (RAFT) 248 Fragmentation polymerization. Further bromination using N-3,6-bromo-functionalized PVKs. bromosuccinimide vielded the Finally, 4,4'-249 dimethoxydiphenylamine substituents were introduced via Pd-catalyzed a Buchwald-Hartwig 250 reaction to give the target polymers PVK-[N(PhOCH₃)₂]₂ of 11.7 kg.mol⁻¹. On the other hand, 251 PVK-(tBu)2 was synthesized from PVK by Friedel-Crafts alkylation, whereas PVK-(OCH3)2 252 was prepared from PVK-Br₂ by direct displacement of bromine (Scheme 1). ¹H NMR and FTIR 253 analyses of all samples show a successful functionalization with the typical spectroscopic 254 features of the different moieties (Fig. S1 and S2). For instance, integration waves of the ¹H 255 NMR spectrum for PVK-[N(PhOCH₃)₂]₂ are consistent with the introduction of two N,N-di-p-256 methoxyphenylamine groups (Fig. S1). The functionalization processes were also evidenced by 257 the evolution of the molar masses and the traces obtained by Size Exclusion Chromatography 258 259 (SEC) (Fig. S3) except for the *t*Bu-functionalized PVK that showed a multimodal distribution (more probably due to interchain coupling during the chemical modification) and a small 260 discrepancy in ¹H NMR Integral values. The macromolecular characteristics of the products 261 are summarized in Table 1. Moreover, it is worth underlining the simple purification procedure 262 used through Söxhlet extraction for PVK-[N(PhOCH₃)₂]₂, which facilitates the material 263 production and leads to moderate synthetic costs (Table S1). Due to the polymeric backbone 264

and the nature of the material, it is very easy to get rid of impurities and obtain a purefunctionalized polymer.

267

268 3.2. Properties

Polymer solubility was tested in polar and non-polar solvents at a concentration of 50 mg/mL
(Table S2). All polymers were soluble in chlorobenzene a suitable solvent to deposit HTMs in
PSCs. Besides, the dimethoxyphenylamine moieties of PVK-[N(PhOCH₃)₂]₂ improve the
solubility of PVK in several polar solvents.

Thermogravimetric analyses (TGA) indicate that the polymers are rather stable with a mass loss lower than 10 % at 360°C (Fig. S4). Differential scanning calorimetry (DSC) evidences a lower glass transition temperature of PVK (203°C) as compared to PVK-[N(PhOCH₃)₂]₂ (222°C) and PVK-(OCH₃)₂ (219°C) (Fig. S5). It is worth mentioning that no transition was observed for PVK-(*t*Bu)₂, and neither crystallization nor melting processes were observed for all materials between 0 and 250°C.

279 The ionization potential and the band gap of the materials were measured from UV photoelectron spectroscopy and UV-vis absorption and emission spectra (Table 1). HOMO 280 energy levels were estimated at -5.8, -5.1, -5.6 and -5.5 eV for PVK, PVK-[N(PhOCH₃)₂]₂, 281 PVK-(*t*Bu)₂ and PVK-(OCH₃)₂ respectively. The HOMO level of the PVK-[N(PhOCH₃)₂]₂ 282 closely matches the valence band of the perovskites, estimated at -5.4 eV for MAPbI_{3-x}Cl_x and 283 -5.7 eV for K0.05(MA0.15FA0.85)0.95PbI2.55Br0.45. [37, 38] "Hole-only" ITO/PEDOT:PSS (50 284 nm)/HTM (300 nm)/Au (50 nm) devices were fabricated. The dopants (tert-butylpyridine and 285 lithium bis(trifluoromethane-sulfonyl)imide) were used in the same proportions as for solar 286 cells fabrication. The current-voltage characteristics of the devices can be described by the 287 space-charge-limited current (SCLC) model. The hole mobilities were calculated from the 288 Mott-Gurney law (Table 2). Spiro-OMeTAD hole mobility was found to be around 10⁻⁶ cm².V⁻ 289

¹.s⁻¹, which is in the range of the values reported in the literature for these materials. [39, 40] Methoxy and *tert*-butyl substitution resulted in a little decrease in hole mobility, while the dimethoxyphenylamine substituted PVK was found to have an enhanced hole mobility, one order of magnitude higher than that of *spiro*-OMeTAD. On the other hand, the hole mobility of PVK-[N(PhOCH₃)₂]₂ was improved by two orders of magnitude, up to $1.7 \times 10^{-3} \text{ cm}^2.\text{V}^{-1}.\text{s}^{-1}$ upon the addition of LiTFSI and *t*BP. This is one order of magnitude higher than that found for doped *spiro*-OMeTAD.

297

298 *3.3. Photovoltaic properties*

299 *3.3.1. n-i-p planar perovskite solar cells*

The performances of the synthesized PVK derivatives were first evaluated in archetypal 300 FTO/c-TiO2/CH3NH3PbI3-xClx/HTM/Au planar PSCs. As expected, PVK, PVK-(tBu)2 and 301 302 PVK-(OCH₃)₂ exhibited very low PV performances due to their mismatching energy levels and low hole mobility, with efficiencies not exceeding 2 % (Fig. S6). In contrast, a best PCE of 303 14.04 % was obtained employing PVK-[N(PhOCH₃)₂]₂. Compared to spiro-OMeTAD average 304 performances on 20 cells, PVK-[N(PhOCH₃)₂]₂-based PSCs exhibit comparable J_{sc} and reduced 305 V_{oc} for both scans, and enhanced FF in the case of the forward scan, leading to similar average 306 efficiency about 11.5 % (Fig. S7, Table S3). Hysteresis is often observed in TiO₂-based planar 307 devices and has been found to be partly caused by contact issues related to the lattice mismatch 308 of the TiO₂ and the perovskite. [41-43] Interestingly, replacing spiro-OMeTAD by PVK-309 [N(PhOCH₃)₂]₂ reduced the hysteresis in planar devices. 310

The stability of the most efficient devices was evaluated by regularly measuring I-V curves of *spiro*-OMeTAD and PVK-[N(PhOCH₃)₂]₂-based PSCs stored in different conditions: in inert atmosphere (N₂ glovebox), in dry atmosphere, and in atmosphere with a relative humidity (RH) about 20 % (Fig. 1). PSCs were kept in ambient light and ambient temperature without

encapsulation. In all cases, PVK-[N(PhOCH₃)₂]₂-based PSCs performed well and longer than 315 316 spiro-OMeTAD-based devices. Under nitrogen, PVK-[N(PhOCH₃)₂]₂-based PSC kept its initial efficiency after 2 months while spiro-OMeTAD-based PSC exhibited around 65 % of its 317 318 initial efficiency. In dry atmosphere, efficiency of spiro-OMeTAD devices drastically decreased from the day after its processing. The increase in efficiency for PVK-[N(PhOCH₃)₂]₂ 319 could be attributed to the end of the evaporation of the remaining chlorobenzene used for the 320 321 HTM deposition and/or to the slow doping of the HTM through oxygen exposure. [44, 45] After a 10-day experiment PCE was stable and slightly decreased after 2 weeks. Finally, in more 322 humid atmosphere, although spiro-OMeTAD PSC was slightly more stable than in dry 323 324 atmosphere, it achieved only around 30 % of its initial efficiency after 10 days, while the PVK-[N(PhOCH₃)₂]₂-based device was around 65 %. This comparative study indicates the superior 325 326 stability of the planar PSCs using PVK-[N(PhOCH₃)₂]₂ as HTM.

327 Since dopants have been shown to be responsible for the poor stability of spiro-OMeTAD as well as bubbles formed during the spin-coating deposition, topologies of pure and doped HTMs 328 329 were controlled by atomic force microscopy (AFM) (Fig. 2a, b). [46, 47] Homogeneous layers were formed with pure materials. When adding dopants, no change in morphology was 330 observed for the polymer, whereas holes ($\emptyset \approx 50$ nm) appeared on top of the spiro-OMeTAD 331 layer. Same defects were observed in SEM cross-section images of freshly made spiro-332 OMeTAD devices while in contrast, polymer layer remains homogeneous in 4-week aged 333 devices (Fig. 2c, d). In addition, contact angle measurements of a water drop in contact with 334 spiro-OMeTAD and the PVK-[N(PhOCH₃)₂]₂ films confirmed the higher hydrophobicity of the 335 polymer (Fig. 2e, f). Therefore, we postulate that the higher stability of the PVK-336 [N(PhOCH₃)₂]₂-based devices may result from their better film-forming and covering of the 337 perovskite layer. The polymer is thought to act as a protective layer, insulating the hybrid 338 perovskite from the atmosphere and preventing the device from degradation. 339

341 *3.3.2 n-i-p mesoscopic perovskite solar cells*

The potential of the PVK-[N(PhOCH₃)₂]₂ as HTM was further studied in more efficient, 342 stable and reproducible n-i-p mesoscopic PSCs: FTO/bl-TiO₂/mp-TiO₂/K⁺ doped 343 MA0.15FA0.85PbI2.55Br0.45/HTM/Au. [33] The PVK-[N(PhOCH3)2]2-based solar cells were 344 optimized by investigating the effect of the dopant concentration and the thickness on the PV 345 346 performances. The dopant concentration is noted as x:y:z, where x, y and z correspond to the molar equivalence of the HTM, LiTFSI and tBP respectively. The reference doping ratio of 347 spiro-OMeTAD was 1:0.5:3.3. When increasing dopant concentration, Voc was reduced and Jsc 348 349 and FF increased up to a maximum reached for the 1:1.25:8.25 dopant concentration (Table S4). Thereby, PCE was improved from 11.93 to 14.49 %. For the next experiments, dopant 350 formulation was fixed at 1:1.25:8.25. The hole mobility of the doped polymer was 2.8×10^{-3} 351 $cm^2 V^{-1} . s^{-1}$. 352

The thickness of PVK-[N(PhOCH₃)₂]₂ was then varied with the spin-coating parameters and evaluated from the SEM cross section of the PSCs (Fig. S8). These images show the homogeneity of the PVK-[N(PhOCH₃)₂]₂ layers, well covering the perovskite without pinholes. Optimal PCE of 14.69 % was obtained for the 90-nm thick PVK-[N(PhOCH₃)₂]₂ (Table S5).

Despite optimizations of the layer, Voc is still relatively low compared to planar PSCs. The 357 perovskite composition being different, it may locally modify the energy levels of the 358 perovskite or the interactions between the polymer and the perovskite at the interface. The 359 MA0.15FA0.85PbI2.55Br0.45 perovskite contains an excess of lead. To make the perovskite/HTM 360 interface less lead rich, solutions of either FAI, MABr or FAI:MABr (0.85:0.15) were deposited 361 on top of the perovskite layer. All of the solutions had the effect to improve the Voc, up to 1.07 362 V with MABr, and the PCE (Table S6). This may be due to a reduction of recombination at the 363 interface. A best PCE of 16.71 % with an acceptable hysteresis was achieved employing 364

FAI:MABr. Using a similar process, *spiro*-OMeTAD-based PSCs exhibited a best PCE of 17.26 % with a lower FF and a higher V_{oc} (Table 3, Fig. 3a). J_{sc} values extracted from EQE measurements are in accordance with those deduced from I-V curves, with a slightly higher J_{sc} in the case of *spiro*-OMeTAD (Fig. 3b). The maximum power point tracking (MPPT) of the PSCs revealed a better stability in operating conditions and a better photoresponsivity of the PVK-[N(PhOCH₃)₂]₂-based PSCs (Fig. 3c). In mesoscopic PSCs, PVK-[N(PhOCH₃)₂]₂ demonstrated high efficiencies and confirms its potential to improve the stability of PSCs.

372

373 4. CONCLUSION

374 To summarize, new poly(9-vinylcarbazole)s were synthesized through cost-effective postfunctionalization with different moieties. Bis(4-methoxyphenyl)amine ending groups increased 375 the HOMO level the PVK from -5.8 to -5.1 eV and improved the hole mobility over 10⁻³ 376 377 cm²/V.s with the help of dopants. PVK-[N(PhOCH₃)₂]₂ HTM led to average photovoltaic performances close to those of spiro-OMeTAD in n-i-p planar devices with a best achievement 378 379 of 14 % PCE and extended lifetime. In n-i-p mesoscopic devices the PCE was enhanced up to 16.7 %. More interestingly, the higher quality HTL is suggested to extend the planar device 380 lifetime, and to improve the stability of mesoscopic devices in operating conditions. These 381 results highlight the potentiality of the fine tuning of poly(9-vinylcarbazole) properties through 382 post-functionalization and open new directions to facilitate large-scale production at low cost 383 of high-efficiency PSCs. 384

385

386 Acknowledgements

This work was performed within the framework of LIA NextPV. IDEX Bordeaux is thanked for PhD funding to CG. SU and HS thank Innovative energy technology international collaborative investigation project, MITI, Japan. We are also thankful to ELORPrintTec ANR-

- 390 10-EQPX-28-01 and to LCPO/Arkema/ANR INDUSTRIAL CHAIR "HOMERIC" ANR-13-
- 391 CHIN-0002-01.
- 392 Appendix A. Supplementary data
- 393 Supplementary data related to this article can be found at http://
- 394

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Polymer	Theoretical M _n ^a	Experimental M _n vs PS standards ^b	Ð	${\rm E_g}^d$	Е _{номо} е	Elumo ^f
	(kg.mol ⁻¹)	(kg.mol ⁻¹)		(eV)	(eV)	(eV)
РVК	9.880	6.980	1.4	3.6	- 5.8	- 2.2
PVK-Br ₂	17.770	c	с	с	с	с
PVK-	32.610	11.730	1.4	2.6	- 5.1	- 2.5
[N(PhOCH ₃) ₂] ₂						
PVK-(<i>t</i> Bu) ₂	15.050	8.500	1.6	3.4	- 5.6	- 2.2
PVK-(OCH ₃) ₂	12.440	8.500	1.2	3.1	- 5.5	- 2.4

Table 1. Properties of the synthesized polymers.

^a Theoretical calculation from $M_n = [VK]/[CTA] * M_n(VK) + M_n(CTA)$. ^b vs. Polystyrene (PS) narrow standard calibration SEC in chloroform/1% trimethylamine at 30°C. ^c Not measured. ^d Bandgap from absorption and emission spectra. ^e HOMO level from photoelectron spectroscopy. ^f E_{LUMO} = E_g + E_{LUMO}.

543

Table 2. Hole mobility of pristine HTMs calculated from the Mott-Gurney law (average of 8 measurements).

	Hole n (cm².V	lobility -1.cm ⁻¹)				
	Pure Doped					
Spiro-OMeTAD	$9.52 \pm 1.23 10^{\text{-7}}$	$1.42\pm 0.61\ 10^{-4}$				
PVK-(OCH3)2	$1.52\pm0.56~10^{-7}$	$7.79 \pm 0.87 \ 10^{-7}$				
PVK-(tBu)2	$5.65 \pm 0.78 10^{-7}$	$3.69 \pm 0.52 \ 10^{-7}$				
PVK-[N(PhOCH ₃) ₂] ₂	$1.43 \pm 0.68 10^{-5}$	$1.67 \pm 0.32 \ 10^{-3}$				

	Scan direction	V_{oc}	J_{sc}	FF	PCE Average PCE
		(V)	$(mA.cm^{-2})$		(%)
Spiro-OMeTAD	Reverse	1.12	23.04	0.67	$17.26 \\ 16.75 \pm 0.45$
	Forward	1.11	23.27	0.66	16.93 16.31 ± 0.53
PVK- [N(PhOCH3)2]2	Reverse	1.02	22.29	0.73	$16.71 \\ 15.98 \pm 0.49$
	Forward	1.03	22.62	0.68	$15.90 \\ 15.40 \pm 0.34$

Table 3. Best and average (4 cells) PV performances of *spiro*-OMeTAD and PVK[N(PhOCH₃)₂]₂-based n-i-p mesoscopic PSCs.

553 Scheme 1. Synthetic routes towards PVK-(tBu)₂, PVK-(OCH₃)₂ and PVK-[N(PhOCH₃)₂]₂.



Fig. 1. Normalized PCE of n-i-p planar PSCs employing *spiro*-OMeTAD or PVK-[N(PhOCH₃)₂]₂ as HTM stored in (a) glove box, (b) dry atmosphere (RH < 2%) and, (c) atmosphere (RH $\approx 20\%$).



- Fig. 2. AFM topographic views of HTM thin films on top of FTO/TiO₂/perovskite: (a) doped spiro-OMeTAD and (b) doped PVK-[N(PhOCH₃)₂]₂. SEM cross sections of PSCs using as HTM (c) spiro-OMeTAD after a 3-day ageing in dry room and (d) PVK-[N(PhOCH₃)₂]₂ after a 30-day ageing in dry room. Scale bars = 200 nm. Contact angle measurement of a water drop on (e) *spiro*-OMeTAD and on (f) PVK-[N(PhOCH₃)₂]₂ thin films.



Fig. 3. Best J-V curves of n-i-p mesoscopic PSCs using *spiro*-OMeTAD or PVK[N(PhOCH₃)₂]₂ as HTM, (b) the corresponding EQE measurement and the integrated current
density, and (c) the maximum power point tracking.



Supplementary information

582

583 Materials

tert-Butyl chloride (C₄H₉Cl, 99 %) was purchased from Aldrich, copper(I)iodide (CuI, 99.99
%) from Strem Chemicals, sodium methoxide (CH₃NaO, 95 %) from Acros, aluminium
chloride (AlCl₃, 99 %) from Alfa Aesar and were all used as received.

587

588 Synthesis of poly[(3,6-di-tert-butyl)-9-vinylcarbazole] (PVK-(tBu)2)

Poly[(3,6-di-tert-butyl)-9-vinylcarbazole] was synthesized by Friedel-Crafts alkylation. 2 g of 589 PVK (7.0 kg.mol⁻¹, 0.2 mmol, 1 equ.) and 1.38 g of aluminum chloride (11 mmol, 1 equ.) were 590 stirred in dichloromethane (11 wt.%) in a 250 mL round bottomed flask at 0°C. 2.4 g of tert-591 592 butylchloride (26 mmol, 2 eq.) were added within 90 min. The mixture was warmed to room temperature and stirred overnight. Then, the reaction was cooled to 0°C in order to add 8 mL 593 of hydrochloric acid (33 %). The mixture was diluted with 28 mL of dichloromethane and 594 595 extracted twice with water and brine. The organic phase was dried over sodium sulfate. After evaporation of the solvent, the polymer was dried overnight at 50°C to yield 2.4 g of PVK-596 (tBu)₂ (78 % yield). ¹H NMR (Fig. S1b) (400 MHz, CDCl₃) δ 8.30 – 5.65 (m, 7H), 3.74 (dd, J 597 598 = 14.2, 7.5 Hz, 1H) and 2.13 – 0.24 (m, 14H) were identified. FTIR (Fig. S2) (ATR, 32 scans, cm⁻¹) 3100-3000 ar. C-H stretch, 3000-2890 al. C-H stretch, 2300-1700 ar. C-H overtones, 599 600 1640-1070 skeletal vibrations al. and ar. C-C, C=C stretch, 1060-860 C-H in-plane bending, and 800-650 C-H out-of-plane bending were found. 601

602

603 Synthesis of poly[(3,6-di-methoxy)-9-vinylcarbazole] (PVK-(OCH₃)₂)

Poly[(3,6-di-methoxy)-9-vinylcarbazole] was synthesized from PVK-Br₂ by direct methoxide

displacement of bromine. First, 32 mL of sodium methoxide in methanol (25 wt.%) and 4.05 g

of copper bromide (28 mmol, 4 equ.) were added to 2 g of PVK-Br₂ in 10 mL of DMF, 20 mL 606 of ethyl acetate and 20 mL of toluene in a 250 mL round bottomed flask. The reaction was 607 carried in inert atmosphere at 80°C for 24h. Then the mixture was poured over water, filtered 608 and washed with THF. Excess of solvents was evaporated. The left powder was resolubilized 609 in THF and precipitated in ethanol. Finally, filtration and drying overnight at 50°C led to 1.7 g 610 of **PVK-(OCH₃)**₂ (75 % yield). ¹H NMR (400 MHz, THF) δ 8.89 – 5.40 (m, 7H), 3.76 (s, 3H) 611 and 2.92 – 1.01 (m, 2H) were identified. FTIR (Fig. S2) (ATR, 32 scans, cm⁻¹) 3100-3000 ar. 612 C-H stretch, 3000-2890 al. C-H stretch, 2300-1700 ar. C-H overtones, 1640-1070 skeletal 613 vibrations al. and ar. C-C, C=C stretch, 1260-1000 C-O stretch, 1060-860 C-H in-plane 614 bending, and 800-650 C-H out-of-plane bending were found. 615

617 Estimation of the synthesis cost of PVK-[N(PhOCH₃)₂]₂

618 Synthetic scheme:



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Table S1: Synthesis cost to obtain 0.5 g of **PVK-[N(PhOCH₃)**₂]₂

	Weight of	Price ^{a,b}	Cost
Chemicals	reagent (g)	(€/g)	(€/g)
Vinyl Carbazole	0.34	2.04	0.694
AIBN	0.003	1.47	0.004
NBS	0.77	0.138	0.106
4,4'-dimethoxydiphenylamine	1.2	17	20.4
$(^{t}\mathrm{Bu})_{3}\mathrm{P}$	0.05	31.9	1.60
$Pd_2(dba)_3$	0.12	32.8	3.94
'BuONa	0.5	0.272	0.136
THF	0.78	0.009	0.007
CH ₃ OH	42	0.003	0.11
Toluene	61.8	0.002	0.15
DMF	20.3	0.085	1.73
DMSO	11	0.026	0.28
Dichloromethane	66.5	0.002	0.09
Acetonitrile	40	0.009	0.36

- (a) For reagents, price from Aldrich, except ('Bu)₃P from from STREM chemicals, Pd₂(dba)₃ from ABCR, and 4,4'-dimethoxdiphenylamine from TCI
- 623 (b) For solvents, price from VWR except DMF from Aldrich.
- 624
- The cost for 1 g of PVK I was estimated to be about 59 €/g which is significantly lower than
- 626 spiro-OMeTAD (250 €/g Aldrich).
- 627



Fig. S1. ¹H NMR spectrum of (a) PVK, (b) PVK-(OCH₃)₂, (c)PVK-(tBu)₂ and (d) PVK-[N(PhOCH₃)₂]₂
in chloroform-d.

The degree of functionalization for PVK- $[N(PhOCH_3)_2]_2$ was estimated from the integration wave of the ¹H NMR spectrum. Thus, for PVK- $[N(PhOCH_3)_2]_2$ the integration wave ratio between aromatic protons:methoxy + CH PVK:CH₂ PVK was found to be 10.7:6.7:1 which is very close to the one expected (11:6.5:1).

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628



Fig. S2. FTIR spectra of PVK (black), PVK-Br₂ (dark blue), PVK-[N(PhOCH₃)₂]₂ (light blue), PVK-

 $[\]label{eq:constraint} 642 \qquad (tBu)_2 \ (purple), \ and \ PVK-(OCH_3)_2 \ (green).$



Fig. S3. SEC chromatograms (RI detector) in chloroform of PVK compared to (a) PVK-[N(PhOCH₃)₂]₂,

647 (b) PVK- (OCH₃)₂, and (c) PVK-(tBu)₂.

Solvents	PVK	PVK-(OCH ₃) ₂	PVK-(tBu) ₂	PVK- [N(PhOCH ₃) ₂] ₂
Toluene	+	+	+	+
Chlorobenzene	+	+	+	+
Chloroform	+	+	+	+
Dichloromethane		+	+	+
Acetone	-	+	-	-
DMF		-	-	+
DMSO		-	-	+
Acetonitrile	-	-	-	+
Ethanol	-	-	-	-

Table S2. Solubility of the polymers at 50 mg/mL.



Fig. S4. TGA analysis and temperature at 10 % weight loss of the PVK and PVK-(tBu)₂ in ambient
atmosphere and, PVK-(OCH₃)₂ and PVK- N[Ph(OCH₃)₂]₂ in inert atmosphere.





Fig. S5. DSC scans and transition temperatures (T_g) of the PVK derivatives.



Fig. S6. Best I-V curves of n-i-p planar PSCs based on PVK, PVK-(OCH₃)₂ and PVK-tBu₂. Inset:
 corresponding PV parameters.



Fig. S7. Best J-V curves of n-i-p planar PSCs using *spiro*-OMeTAD or PVK-[N(PhOCH₃)₂]₂ as HTM.

- **Table S3.** Best and average (20 cells) PV performances of *spiro*-OMeTAD and PVK-[N(PhOCH₃)₂]₂-
- 669 based n-i-p planar PSCs.

	Scan direction	V _{oc} (V)	J _{sc} (mA.cm ⁻²)	FF	PCE Average PCE (%)
<i>Spiro</i> -OMeTAD	Reverse	1.08	20.61	0.72	15.99 11.66 ± 2.13
	Forward	0.99	20.74	0.50	$\begin{array}{c} 10.32\\ 6.62\pm2.94\end{array}$
PVK- [N(PhOCH3)2]2	Reverse	0.95	22.05	0.67	14.04 11.30 ± 1.35
	Forward	0.89	22.07	0.50	$\begin{array}{c} 9.89\\ 6.14\pm2.39\end{array}$

672 Table S4. Best and average (4 cells) PV parameters of PSCs using different dopant concentrations in
673 PVK-[N(PhOCH₃)₂]₂.

Dopant concentration	Scan direction	J_{sc}	V_{oc}	FF	PCE
(HTM:LiTFSI: <i>t</i> BP)		(mA.cm ⁻²)	(V)		(%)
1:0.25:1.65	Forward	19.62	0.99	0.51	$\begin{array}{c} 10.04\\ 9.03\pm0.76\end{array}$
	Reverse	18.40	0.95	0.54	$\begin{array}{c} 9.49\\ 9.36\pm0.68\end{array}$
1:0.5:3.3	Forward	19.55	0.99	0.59	11.27 10.76 ± 0.65
	Reverse	19.10	0.95	0.66	11.93 11.29 ± 0.50
1:0.75:4.95	Forward	19.88	0.91	0.71	12.69 11.59 ± 1.55
	Reverse	19.49	0.89	0.75	12.94 12.15 ± 1.15
1:1:6.6	Forward	21.31	0.86	0.73	13.44 13.52 ± 0.24
	Reverse	21.19	0.86	0.78	14.08 14.02 ± 0.20
1:1.25:8.25	Forward	21.87	0.90	0.73	$14.40 \\ 14.45 \pm 0.08$
	Reverse	21.78	0.890	0.75	14.69 14.54 ± 0.05
1:1.5:9.9	Forward	21.65	0.86	0.72	13.32 12.56 ± 0.72
	Reverse	21.43	0.85	0.76	13.85 13.42 ± 0.33



676

677 Fig. S8. SEM cross-section images (10 kV) of polymer-based mesoscopic PSCs with different HTM

- 678 thicknesses. The darker layer is the HTM, the layer below is the perovskite and the top layer is the
- 679 gold electrode. Scale bar = 500 nm.

681	Table S5. Best and average (4 cells) PV parameters of PSCs according to PVK-[N(PhOCH ₃) ₂] ₂

682 thickness.

Thickness	Scan direction	\mathbf{J}_{sc}	V_{oc}	FF	PCE
		(mA.cm ⁻²)	(V)		Average PCE (%)
80 nm	Forward	21.56	0.90	0.72	13.99 13.84 ± 0.22
	Reverse	21.33a	0.89	0.75	14.25 14.10 ± 0.17
90 nm	Forward	21.87	0.90	0.73	14.40 14.45 ± 0.08
	Reverse	21.78	0.890	0.75	14.69 14.54 ± 0.05
115 nm	Forward	22.24	0.894	0.73	14.46 14.22 ± 0.19
	Reverse	21.85	0.887	0.75	14.53 14.25 ± 0.21

Salt	Scan direction	J_{sc}	V_{oc}	FF	PCE
		(mA.cm ⁻²)	(V)		Average PCI (%)
FAI	Reverse	22.86	0.93	0.73	15.50 14.95 ± 0.47
	Forward	23.26	0.94	0.71	15.45 14.86 ± 0.42
MABr	Reverse	20.64	1.066	0.69	15.13 14.70 ± 0.3
	Forward	20.98	1.055	0.64	14.27 13.85 ± 0.3
FAI:MABr (0.85:0.15)	Reverse	22.29	1.02	0.73	16.71 15.98 ± 0.49
	Forward	22.62	1.03	0.68	15.90 15.40 ± 0.34

Table S6. Best and average (4 cells) PV parameters of PSCs with modified perovskite/PVK [N(PhOCH₃)₂]₂ interface.

