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1	Title: Locomotor pattern and mechanical exchanges during collective load
2	transport.
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13	
14	1. Introduction
15	Load carriage is part of the routine of everyday life in humans and the effect
16	of load carriage (load on top of the head: Heglund et al., 1995; on the shoulders:
17	Castillo et al., 2014; on the back: Ackerman and Seipel, 2014; Bastien et al., 2016)
18	on the locomotor behavior of single individuals is relatively well documented in the
19	literature. However, humans are also endowed with the capacity to transport objects
20	collectively and hitherto there are to our knowledge no studies on the biomechanics
21	of individual locomotion during collective transport in humans. Our study fills this
22	gap with an analysis of individual locomotor pattern and mechanical exchanges
23	during a collective transport task. This task is part of our everyday life experience,
24	e.g. when we move a heavy of voluminous object with the help of another person. It
25	is also a task that is performed routinely by humans working in factories when they

have to move heavy loads together or in clinical environments when caretakers have
to move a patient. Therefore, a better understanding of the biomechanics of
collective load carriage could have potential applications in the field of ergonomics.
Finally, collective load carriage is one of the exercises regularly performed by
athletes in sports. For example, the CrossFit program (i.e. CrossFit daily training or
CrossFit events, Feito et al., 2018) needs to move loads by team regularly.

32 Cavagna et al. (1963, 1977) were the first to propose the inverted pendulum 33 system (IPS) model to explain the economy of gait in humans. In this model the 34 oscillations of the center of mass of the body (CoM) during stable walking in the 35 sagittal plane is represented as a wave with constant amplitude and period (Cavagna 36 et al., 1977; Kuo, 2007). For an ideal pendulum this undulation can be represented by 37 a sine wave as a function of time. It has been shown that the displacement of the 38 CoM in humans is not an ideal sine function but is very close to it (Saunders et al., 39 1953; Ackerman et al., 2014). The oscillations of the CoM allow the transfer of 40 potential energy into kinetic energy and vice versa on each gait cycle, explaining the 41 major part of the mechanical economy of walking (Cavagna et al., 2000; Lee and 42 Farley, 1998). Although there are also during walking some oscillations of the CoM 43 in the frontal plane due to the movement of the pelvis (Blickhan et al., 2007; Kuo, 44 1999; Mahaudens et al., 2008) and the stabilization of lateral motion (Kuo, 1999), 45 they actually contribute for a very weak part of the overall changes in mechanical 46 energy (Cavagna et al. 1963; Tesio and Detrembleur, 1998). The question we ask in 47 this paper is whether individuals are able to walk as economically when they are 48 transporting collectively an object as when they are walking alone and unloaded.

49 Representing the body by its CoM allows to understand a good part of the
50 biomechanics of locomotion. However, it neglects some important information,

51 namely the variations of kinetic energies at the level of each body segment. Yet, the 52 study of the mechanical exchanges due to the body segments during collective 53 carriage could complement the global analysis of the CoM and highlight the 54 regulation of walking economy. High resolution 3D tracking and reconstruction 55 techniques (Tesio and Detrembleur, 1998) allow to take this into account by using a 56 Poly-Articulated Model (PAM) (Moretto et al., 2016; Zatsiorsky and Seluvanov, 57 1983), i.e. a poly-articulated system of multiple rigid segments, where each segment 58 i is characterized by a center of mass CoM_i and a mass m_i. In this paper, we used this 59 method to test the general hypothesis that when two individuals are transporting an 60 object collectively their locomotor pattern (i.e. amplitude and pendulum-like 61 behavior of the CoM displacement) and their mechanical exchanges (i.e. percentage 62 of energy recovered, internal, external and total works), are similar to that of an 63 unloaded individual walking alone.

64

65 2. Materials & methods

66 2.1. Participants

67 Ten pairs of healthy male volunteers, whose characteristics are presented in68 Table 1, took part in the experiment.

69 ****Table 1 near here****

The volunteers had no orthopedic disabilities, no dysfunctions of the locomotor system, no neurological or vestibular diseases, no visual deficits and no proprioceptive disorders or dementia. Informed consent was obtained from all participants. The research was non-invasive and respected a level of activity practiced daily by the individuals, in accordance with the definition of a noninterventional study given by the CNRS bioethical office.

76 2.2. Procedures

77 The volunteers were tested successively in two experimental conditions: one 78 in which they walked by pairs but separately (condition Walking Separately: WS) 79 and the other in which they walked with a box they carry together by two lateral 80 handles (condition Collective Transport: CT). The mass of the box was 13.41 kg and 81 its size was 0.40x0.40x0.28 m (Length x Width x Height). A single trial was 82 performed in the WS condition and three trials in the CT condition (i.e. CT1, CT2, 83 CT3). During each trial, the volunteers had to walk at spontaneous speed along a 84 13m-long walkway.

85 **2.3.** Data acquisition

Thirteen optoelectronic (11 MX3 and 2 TS40) video cameras (Vicon©, 86 87 Oxford) were used to acquire the kinematic data. Forty-two markers were placed on 88 bony landmarks and on the navel of each volunteer, according to Wu et al. (2002, 89 2005). Following Ackerman et al. (2014), in order to compare the locomotor pattern 90 of individuals walking loaded and unloaded, we analyzed the kinematic data of the 91 two individuals and the load separately, i.e. we considered three separate systems. 92 The calibrated volume (30 m³) was set in the middle of the walkway in order to 93 record the walking patterns at a stable state walking (i.e. to exclude the acceleration 94 and deceleration phases at the beginning and at the end of each trial). To allow the 95 kinematic analysis, the volunteers and the box were reconstructed with the software 96 Nexus© 1.8.5 (Fig. 1).

97 ****Figure 1 near here****

98 **2.4.** Computed parameters

99 2.4.1. Inverted Pendulum System and external work

100 The Inverted Pendulum System (IPS) model allows us to evaluate the 101 pendulum-like behavior of the CoM of each volunteer in the WS and CT conditions. 102 The De Leva's anthropometric tables (1996) allowed us to estimate the mass, the 103 center of mass position CoM_i and the radius of gyration of each segment. The global 104 CoM of each volunteer was then computed from the CoM_i of their segments.

105 *Sine wave:* To record at least one gait cycle for each individual, a walking 106 cycle was defined as the interval between two heel strikes of the same leg. One gait 107 cycle thus consisted of two steps. Following Ackerman et al. (2014), we modeled the 108 vertical motion of the CoM along time in the sagittal plane as a sine function.

109 The amplitude $(A = \frac{Zmax - Zmin}{2}; \text{ in meter})$, angular frequency $(\omega = \frac{2\pi}{T}; \text{ in rad.s}^{-1})$, with 110 T the period) and phase shift $(\varphi = \tau * \frac{2\pi}{T}; \text{ in radian})$, with τ the time shift) of the 111 vertical displacement of the CoM allowed us to determine the function (see Castillo 112 et al. 2014):

- 113 $Z(t) = A\sin(\omega t + \varphi)$
- 114 (1)

115 The difference between the vertical displacement of the CoM and the adjusted 116 sinusoidal function was assessed by the Root Mean Square Error (RMSE). The 117 RMSE was normalized by the amplitude (RMSE/A), so that we only considered the 118 error due to the alterations of the periodic signal.

External work: We computed the kinetic and potential energies, as well as the
external works on the antero-posterior, medio-lateral and vertical axis.

121 W_{kf} is the positive work to accelerate the CoM forwards, calculated as the 122 sum of the increments of the forward kinetic energy (E_{kf} , computed on the antero-123 posterior axis) along the time curve with:

124
$$E_{\rm kf} = \frac{1}{2}m\overline{V_f}$$
 (t)

(2)

125

126 where *m* is the mass of the individual and $\overrightarrow{V_f}$ (t)/_R is the linear forward velocity of the 127 CoM in the Galilean reference frame (R).

128 $W_{\rm kh}$ is the positive work to accelerate the CoM on the medio-lateral and 129 antero-posterior axis, calculated as the sum of the increments of the horizontal 130 kinetic energy (i.e. the medio-lateral kinetic energy $E_{\rm kml}$, computed on the medio-131 lateral axis, plus the forward kinetic energy $E_{\rm kf}$) along the time curve with:

- 132 $E_{\rm kml} = \frac{1}{2} m \overrightarrow{V_{ml}}$ (t)
- 133 (3)

134 where $\overrightarrow{V_{ml}}$ (t)_{/R} is the linear medio-lateral velocity of the CoM in the Galilean 135 reference frame (R).

136 W_v is the positive work to move the CoM against gravity, calculated as the 137 sum of the increments of the vertical kinetic energy (E_{kv} , computed on the vertical 138 axis) plus the potential energy (E_{pot} , computed on the vertical axis) along the time 139 curve with:

140
$$E_{\rm kv} = \frac{1}{2} m \overline{V_{\nu}} (t)^{2} R$$
 (4)

141 and

142

 $E_{\rm pot} = mgh_{\rm /R} \tag{5}$

143 where $\overrightarrow{V_{\nu}}$ (t)_{/R} is the linear vertical velocity of the CoM in R, *g*=9.81 m.s⁻² the module 144 of the acceleration vector due to gravity and *h*_{/R} the vertical height of the CoM 145 position in R.

146 $W_{ext(sagittal)}$ and $W_{ext(3D)}$ are the positive external work to raise and accelerate 147 the CoM in the sagittal plane and in the three directions of the space (i.e.

²/R

²/R

mediolateral, anteroposterior and vertical axis), respectively. They are computed as the sum of the increments of the external mechanical energy ($E_{\text{ext(sagittal)}}$ and $E_{\text{ext(3D)}}$) along the time curve (Bastien et al., 2016; Burdett et al., 1983) with:

- 151 $E_{\text{ext(sagittal)}} = E_{\text{pot}} + E_{\text{kv}} + E_{\text{kf}}$
- 152 (6)

153 $E_{\text{ext(3D)}} = E_{\text{ext(sagittal)}} + E_{\text{kml}}$

154 (7)

We also computed the energy recovered through the pendulum-like oscillations of the CoM (*RR*, called recovery rate in Fumery et al., 2018). This metric has been proposed by Cavagna et al. (1976) as an indicator of the amount of energy transferred between the potential and the kinetic energy of the CoM due to its pendulum-like behavior: the closer the value of *RR* to 100%, the more consistent the locomotor pattern is with the IPS model (Bastien et al., 2016; Cavagna et al., 1963; Fumery et al., 2018; Gomenuka et al., 2014; Willems et al., 1995).

For the sake of comparison with the data of the literature, we first computed a value of the energy recovered for the sagittal plane only (*RRs*, Eq. 8) and then, since we had the positions of the markers in 3D, for the three dimensions of the space (*RR_{3D}*, Eq. 9).

- 166 $RRs = 100 \quad \frac{Wkf+Wv-Wext(sagittal)}{Wkf+Wv}$ 167 (8)
 168 $RR_{3D} = 100 \quad \frac{Wkh+Wv-Wext(3D)}{Wkh+Wv}$
- 169 (9)

Here, we assumed that the friction forces at the joints and at the ground contacts can be neglected. In this condition, the system is submitted only to conservative forces and thus the variation of the external mechanical energy is null and the system is 173 conservative. In this case, the system could have a 100% recovery and all potential174 energy would be converted into kinetic energy.

175 *2.4.2 Internal work*

In order to take into account the coordination between all body segments we
determined the internal work by considering each individual as a poly-articulated
system consisting of 16 segments.

Following the assumption of a conservative PAM, the internal work (W_{int}) was computed in 3D as the sum of the increments of the $E_{int,k}$ along the time curve with:

181
$$E_{\text{int,k}} = \frac{1}{2} \sum_{i=1}^{16} (m_i \vec{V}_i(t) \, {}^2/_{\mathbb{R}^*} + m_i K_i^2 \times \vec{\omega}_i^2/_{\mathbb{R}^*})$$

182 (10)

183 where m_i is the mass of the ith segment, $\vec{V}_l(t)_{/R^*}$ the linear velocity of this ith segment 184 CoM in the barycentric reference frame (R*, Fig. 1), K_i the radius of gyration around 185 this ith segment CoM and $\vec{\omega}_i^{2}_{/R^*}$ its angular velocity in the R* reference frame (Duboy 186 et al., 1994).

187 *2.4.3 Total work*

188 The total mechanical energy of the PAM (E_{tot}) was computed as follows in 189 3D in the R reference frame (Leboeuf and Lacouture, 2008; Moretto et al., 2016).

 $E_{tot} = E_{pot} + E_{kv} + E_{kf} + E_{kml} + E_{int,k}$

191 (11)

Finally, since the cycle duration was longer in the CT than in the WS condition, in order to compare the two conditions we assessed the quantity of energy expanded by the volunteers and we normalized it per unit of distance. Each work (internal, external and total) was thus divided by the distance walked by the volunteers during one gait cycle.

197 2.5. Data analysis

8

The data were analyzed with Matlab R2016b© and R 3.4.3©. After checking for normality, a paired Student *t*-test was used to compare the parameters assessed in the WS condition and in the first trial of the CT condition. The changes in the three successive trials in the CT conditions (CT1, CT2 and CT3) were tested with a linear mixed model (GLMM) with trial number entered as a fixed factor and volunteer identity as a random variable. The threshold of statistical significance was set at 0.05.

- 205 **3. Results**
- 206 3.1. Center of Mass trajectory

The CoM velocity (mean±s.d.) was $1.25\pm0.12 \text{ m.s}^{-1}$ in the WS condition and 1.28±0.17, 1.36±0.13 and 1.40±0.14 m.s⁻¹ (CT1, CT2 and CT3 respectively) in the CT condition. There was no significant difference between WS and CT1 (Student *t* test: *t*=-1.15, p=0.26). However, the CoM velocity was significantly different between the three trials in the CT condition (GLMM: $F_{2,40}$ =29.27, p<0.01). It increased by 6.25% between CT1 and CT2 (*t*=5.03, p<0.01), by 9.38% between CT1 and CT3 (*t*=7.51, p<0.01) and by 2.94% between CT2 and CT3 (*t*=2.47, p=0.02).

214 ****Figure 2 near here****

215 Whether in the WS or CT condition, the vertical displacement of the CoM of 216 the two individuals was sinusoidal (Fig. 2).

217 ****Figure 3 near here****

The amplitude of the CoM increased by 9.12% between the trial in the WS condition and the first trial in the CT condition (Fig. 3A, Student *t* test: *t*=2.07, p=0.05). Overall there was a significant increase of the amplitude of the CoM over the three trials in the CT condition (GLMM: $F_{2,40}$ =4.20, p=0.02). The amplitude increased by 9.16% between CT1 and CT2 (*t*=2.82, p<0.01), but did not differ significantly between CT1 and CT3 (*t*=1.99, p=0.05), and CT2 and CT3 (*t* =-0.83,
p=0.41).

The RMSE was not significantly different between the trial in the WS condition and the first trial in the CT condition (Fig. 3B, Student *t* test: *t*=-0.43, p=0.67). However, it was significantly different between the three trials in the CT condition (GLMM: $F_{2,40}$ =6.57, p<0.01). The RMSE first increased by 63.5% between CT1 and CT2 (*t*=3.48, p<0.01) and then decreased by 29.2% between CT2 and CT3 (*t*=-2.61, p=0.01). No significant difference in the RMSE was found between CT1 and CT3 (*t*=0.87, p=0.39).

232 3.2. Inverted Pendulum System

The energy recovered in the sagittal plane (RRs: Fig. 3C) increased from 58.74% in WS to 65.95% in CT1 (Student *t* test: *t*=2.44, p=0.02). However, there was no significant difference between the three trials in the CT condition (GLMM: $F_{2.40}$ =1.99, p=0.15).

The energy recovered in 3D (RR_{3D} : Fig. 3D) also increased and raised from 58.45% in WS to 65.91% in CT1 (Student *t* test: *t*=2.50, p=0.02). In the same way as the *RRs*, there was no significant difference in the *RR_{3D}* between the three trials in the CT condition (GLMM: $F_{2,40}$ =1.83, p=0.17).

241 3.3. Total mechanical work

242 ****Figure 4 near here****

The internal, external and total mechanical work, computed for the two polyarticulated systems, were not different between WS and CT1 (Fig. 4, Student *t* test: t=-1.81, t=1.09 and t=0.42 for W_{int} , W_{ext} and $W_{mecaTot}$, respectively, p>0.05 in all cases). Overall, there was also no significant difference between the three trials in the 247 CT condition for W_{int} (GLMM: $F_{1,40}$ =1.07, p=0.31), W_{ext} (GLMM: $F_{1,60}$ =0.24, 248 p=0.63), and W_{Tot} (GLMM: $F_{1,40}$ =0.01, p=0.91).

249

250 **4. Discussion**

251 The aim of our study was to test the hypothesis that the locomotor pattern of 252 an individual walking while carrying a load with another individual remains as 253 pendular and economical as when he is walking alone. This hypothesis was tested by 254 using the IPS model associated with a PAM to assess the economy of the locomotor 255 pattern. The IPS represents the ideal situation of a pendulum displacement of the 256 CoM and is considered as a model of energy saving during walking (Donelan et al., 257 2002). The PAM allowed us to compute the position of the CoM and to take into 258 account the internal work (Moretto et al., 2016; Zatsiorsky and Seluyanov, 1983). To 259 our knowledge, it is the first time that the economy of gait during collective load 260 carriage in humans is investigated. Our work explores first the immediate adaptation 261 of the individuals to load transport (WS vs CT1), then the adaptation to the task due 262 to its repetition (CT1, CT2 and CT3).

When the RMSE and the amplitude of the vertical displacement of the CoM were compared between WS and CT1, no significant differences were found. The vertical displacement of the CoM of the individuals as a function of time in the two conditions thus followed the same sinusoidal pattern. These results are consistent with those of Holt et al. (2003) who found no significant difference in the amplitude of the CoM displacement for isolated individuals walking loaded (wearing a backpack) or unloaded.

To assess the consistency of the walking pattern with the IPS model, we computed the energy recovered according to Cavagna et al. (1977), i.e. in the sagittal plane only, and the energy recovered in the three dimensions of the space, RR_{3D} , to take into account the fact that the potential energy can be transferred in forward as well as in transverse kinetic energy.

275 The value of RRs we found in the WS condition (59%) is close to that found 276 by Bastien et al. (2016) in unloaded Nepalese porters and untrained individuals, i.e. 61% for individuals walking at 1.4 m.s⁻¹. Because the value of *RRs* increases in the 277 CT condition compared to the trial in the WS condition, one can say that the walking 278 279 pattern of the individuals was closer to a pendulum-like behavior when they were 280 performing the collective load transport task than when they were walking 281 separately. This result can be compared to that found by Heglund et al. (1995) in 282 African women of the Luo tribe carrying loads on top of their heads. These authors 283 found a *RRs* of 65% for unloaded women and a *RRs* of 68% for women carrying a 284 load representing 10% of their body-mass (BM).

285 The values of RR_{3D} we found are very close to that found for RRs. Thus, one 286 can conclude that the pendular behavior of the CoM is low in the frontal plane. Since 287 this is observed in both the WS and CT conditions, one can assume that the walking 288 pattern is not disturbed by the collective transport task, despite the physical link 289 established between the two individuals. Finally, one should note that both *RRs* and 290 RR_{3D} increase between WS and CT1, suggesting an adjustment of the individuals to 291 the load carriage task. Thus, it seems that the additional efforts linked to the 292 coordination between individuals in the frontal plane do not disturb the energy 293 recovered by each individual.

When the individuals are considered as a poly-articulated system and the contribution of each body segment can be assessed, the total mechanical work can be computed. Our results show that the mechanical work (whether internal, external or

total) did not change in CT1 compared to WS. Although Heglund et al. (1995) 297 298 calculated mechanical work only in the sagittal plane, the values they found are 299 comparable to ours for African women carrying loads of up to 20% of their body 300 mass on top of their head. Our results are also close to those of Bastien et al. (2016) 301 on individual Nepalese porters in which the changes in mechanical energy due to the 302 lateral movements of the CoM were also neglected. In agreement with our findings these authors found that for individuals walking at a speed of 1.1 m.s⁻¹ the mass-303 304 specific total work is independent of the load carried, although it decreases with 305 increasing loads for higher speeds (W_{ext} is stable but W_{int} decreases) (Bastien et al., 306 2016).

307 When comparing the three successive trials in the CT condition we observed an increase in the speed of the CoM, which could be considered a result of 308 309 immediate adaptation to the collective task. Indeed, this could correspond to a better 310 motor control of the task, i.e. for the same economy in mechanical output, 311 individuals performed the carriage task faster during the first ones trials of collective 312 carriage. As in Holt et al. (2003), this increase was accompanied by an increase of 313 the CoM amplitude. There was also a trend to increase for both the RRs and RR_{3D} 314 (Fig. 3). The movements of the lower limbs could contribute to increase the 315 pendulum like behavior of the overall CoM, and thus lead to a higher economy level. 316 Our study shows that the displacement of the CoM of an individual during the 317 collective transport of an object follows the same sinusoidal pattern as that of an 318 individual walking alone and unloaded. Moreover, during collective transport the 319 pendular behavior of the CoM of the individuals increases, so that their locomotor 320 pattern was more consistent with the IPS model. In parallel, we noted an increase in 321 the speed and in the CoM amplitude during successive trials, which seems an

322 immediate adaptation to the collective transport situation. It could be also interesting 323 to complete this analyze with more trials, to observe a possible effect of training. 324 Finally, when the external and internal work are considered in 3D, we found that, 325 despite the physical link between individuals, the total mechanical cost of 326 locomotion did not change when walking alone and when walking while transporting 327 an object with another individual. Our results are in accordance with the literature on 328 load transport by single individuals and demonstrate the stability and economy of the 329 locomotor pattern during load transport in general. As a caveat however, one should 330 note that the object carried by the individuals in our experiment was relatively light 331 and that one does not know whether the same results would be observed with heavier 332 objects. In addition, a study of the metabolic cost and efficiency (Minetti et al., 2006) 333 associated with collective load carriage could be helpful to complement the 334 mechanical analysis presented here.

Today, carriage tasks are increasingly appearing as training exercises to improve physical capacities, e.g. in CrossFit training by team. Our study provides a better understanding of the effects of collective load carriage between two humans on locomotion and could be useful for improving the design of this type of training exercises. Our results could also be used for the conception of robots especially designed to assist humans (Cobot) in achieving difficult and strenuous tasks.

341

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345

346 **Conflict of interest**

14

347 The authors do not have to disclose any financial or personal relationships with other

348 people or organizations that could inappropriately influence (bias) their work.

349

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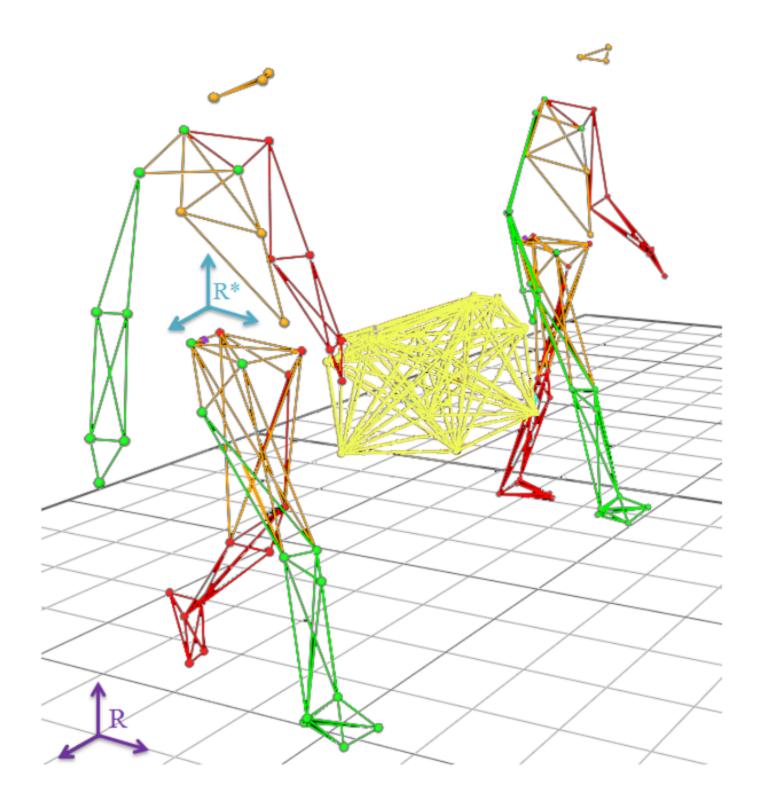
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Figure 1: Example of a reconstruction of the participants and the box they carry on Nexus.

The sampling frequency was set at 200 Hz (filtered by a 4th order Butterworth filter and a 5 Hz cut frequency). The precision of the optoelectronic system was 1 mm for 1 m. The points correspond to the locations of the markers placed on the body according to De Leva's model (De Leva, 1996). The R* referential (segments coordinate system) is represented in blue and the R referential (external coordinate system) in purple. The left side of the body is represented in red, the right side in green, the head, trunk and pelvis in orange and the box in yellow.

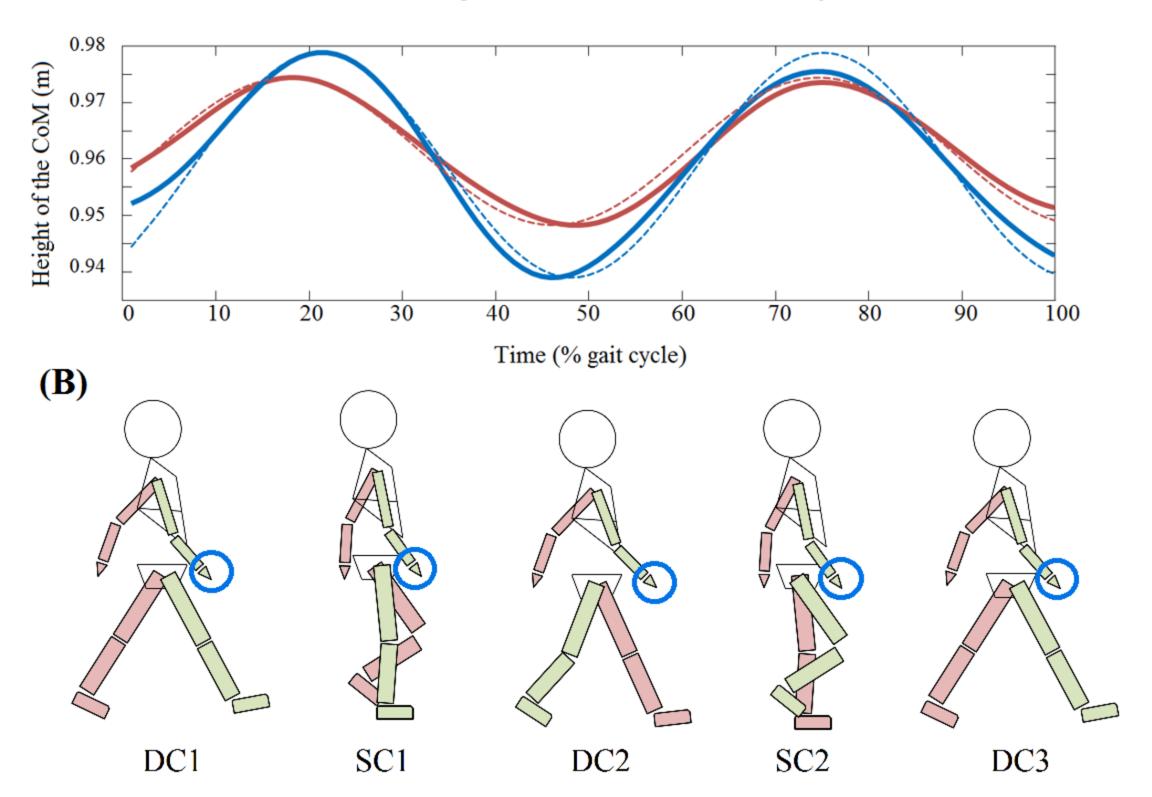
Figure 2: (**A**) Example of the vertical displacement of the CoM over a walking cycle for a pair of participants carrying a box of 13.41 kg while walking at a speed of 1.29 m.s⁻¹. The continuous lines correspond to the experimental results and the dashed lines to the fitted sinusoidal function. (**B**) An example of the PAM organization over the different phases (DC: double contact and SC: single contact) of the walking cycle in the WS condition. The carrier hand of the participant located on the right side of the object is circled in blue. The time cycle was normalized by considering the duration of the gait cycle of each participant.

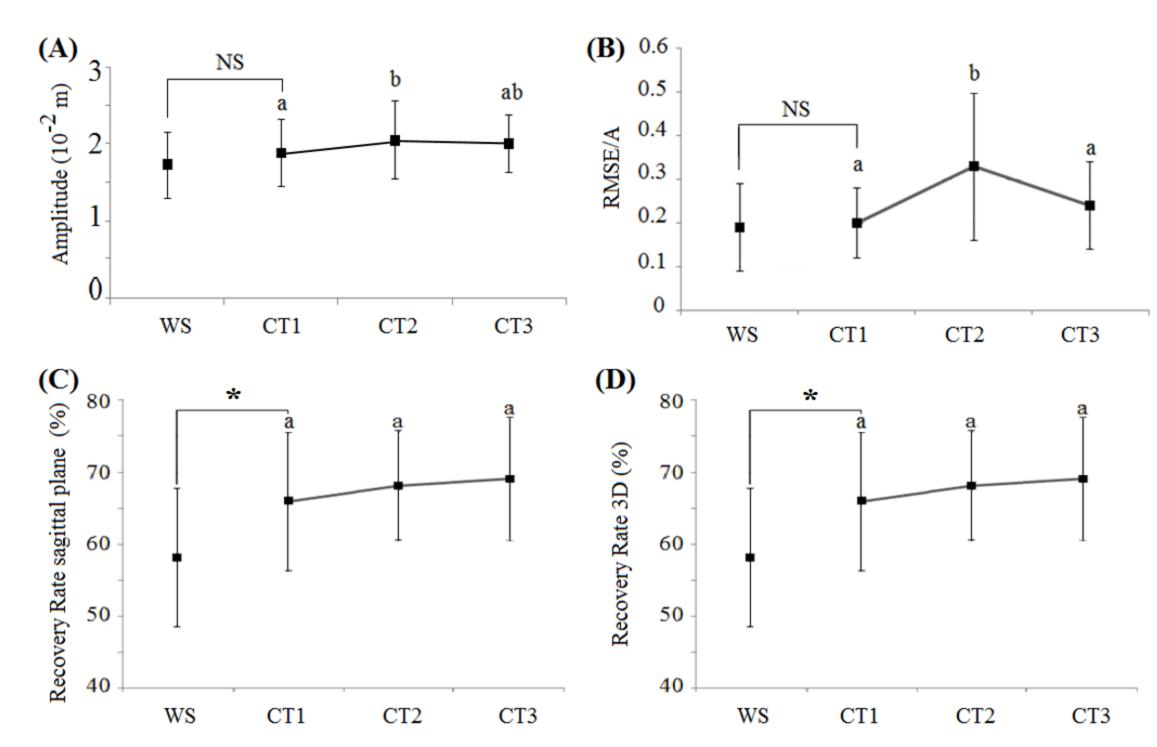
Figure 3: Mean \pm s.d. of (A) the amplitude of the vertical displacement of the CoM, (B) of the dimensionless RMSE (RMSE normalized by the amplitude of the vertical displacement of the CoM), (C) of the recovery rate in the sagittal plane and (D) of the recovery rate in 3D, for the trial in the WS condition and for the three trials in the CT condition (*N*=20 in all trials). For the CT condition the values of the bars bearing the same letter (a, b) are not significantly different (GLMM). NS = no significant difference and * = significant difference (paired *t*-test, p<0.05). **Figure 4:** Mean \pm s.d. of the total, internal and external mechanical work divided by the distance of the gait cycle for the trial in the WS condition and for the three trials in the CT condition (*N*=20 in all trials).

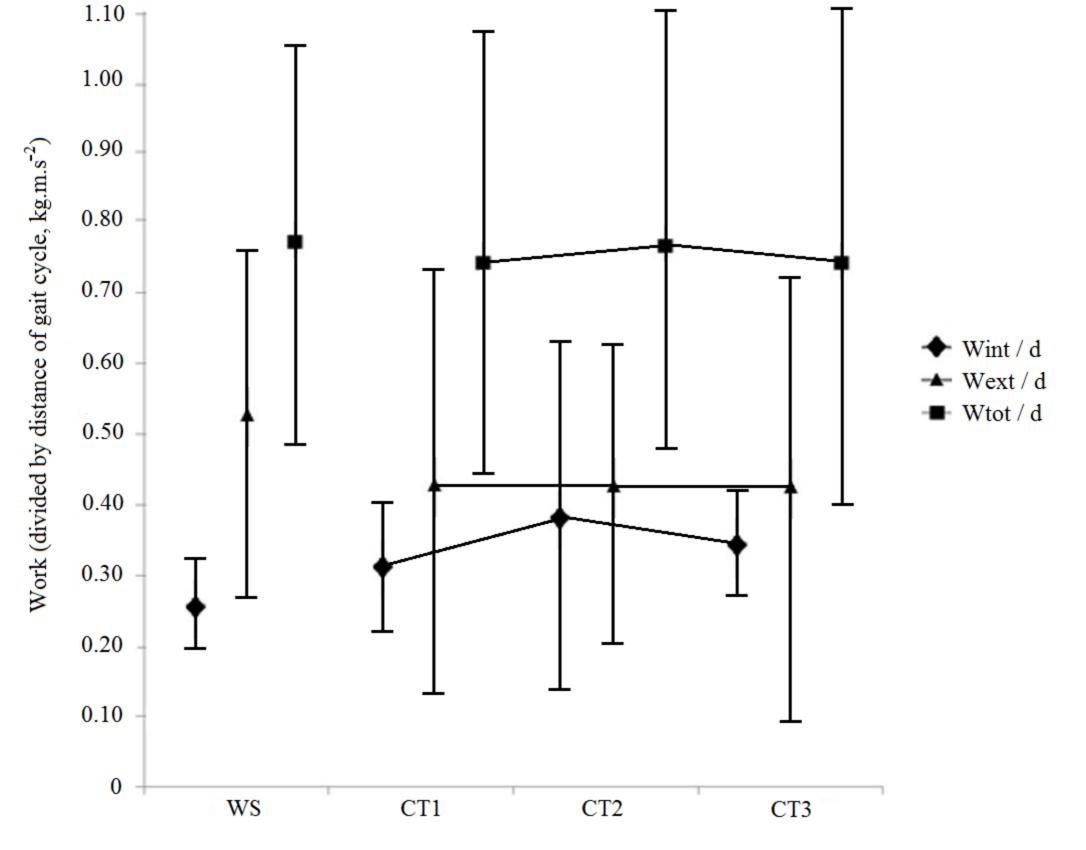


(A)

Participant located on the right side of the object
Participant located on the left side of the object







	Age (yr)	Size (m)	Mass (kg)
Subject 1 (S1)	25.40 (4.72)	1.77 (0.07)	74.78 (9.00)
Subject 2 (S2)	25.30 (2.71)	1.77 (0.05)	74.54 (12.38)

Table 1: Characteristics of the subjects: mean (standard deviation).