

Echogenicity enhancement by end-fluorinated polylactide perfluorohexane nanocapsules: Towards ultrasound-activable nanosystems

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1	Echogenicity enhancement by end-fluorinated polylactide perfluorohexane
2	nanocapsules: towards ultrasound-activable nanosystems
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14 Abstract

Polylactide (PLA) polymers containing five distinct lengths of fluorinated (from C_3F_7 to $C_{13}F_{27}$) 15 and non-fluorinated (C_6H_{13}) end-groups were successfully synthesized by ring-opening 16 polymerization of D,L-lactide. Fluorination was expected to increase the encapsulation 17 efficiency of perfluorohexane (PFH). 150 nm nanocapsules were obtained and ¹⁹F nuclear 18 magnetic resonance revealed that nanocapsules formulated with fluorinated polymers 19 increased by 2-fold the encapsulation efficiency of PFH compared with non-fluorinated 20 derivatives, without any effect of fluorine chain length. Fluorination of the polymers did not 21 22 induce any specific in vitro cytotoxicity of nanocapsules towards HUVEC and J774.A1 cell lines. The echogenicity of fluorinated-shelled nanocapsules was increased by 3-fold to 40-23 fold compared to non-fluorinated nanocapsules or nanoparticles devoid of a perfluorohexane 24 25 core for both fundamental and harmonic ultrasound imaging modalities. In particular, an 26 enhanced echogenicity and harmonic response was observed as the fluorinated chain-length increased, probably due to an increase of density and promotion of bubble nucleation. When 27 28 submitted to focused ultrasound, both intact and exploded nanocapsules could be observed, 29 also with end-group dependency, indicating that PFH was partly vaporized. These results pave the way to the design of theranostic perfluorohexane nanocapsules co-encapsulating a 30 drug for precision delivery using focused ultrasound. 31

32 Keywords: Nanocapsules, perfluorohexane, fluorinated polymers, ultrasound imaging,

33 focused ultrasound, fluorous interactions; cell viability.

34 1. Introduction

Ultrasound contrast agents (UCAs) are efficient intravascular echo-enhancers 35 36 currently indispensable to safe and accurate diagnosis of many diseases, including kidney cists [1], acute myocardial ischemia [2] and solid tumors [3]. All the commercially available 37 UCAs, such as Definity[®] and Sonovue[®], are microbubbles constituted by a perfluorinated 38 gaseous-core stabilized by a monolayer of phospholipids [4]. Unfortunately, the imaging and 39 40 therapeutic applications of such materials are severely limited by (1) the fast-diffusing gas 41 component, responsible for a relatively short distribution half-life in the bloodstream (~60s 42 after bolus injection) and an elimination half-life of about 6 minutes [5, 6], and (2) their inherent micrometer size range that prevents extravasation into solid tumors. 43

To overcome these limitations, research has focused on nanosystems that can be 44 more stable and are able to diffuse beyond the vascular compartment. Since gas 45 nanobubbles are difficult to stabilize [7], the strategy has consisted in encapsulating liquid 46 47 perfluorocarbons (PFCs) into nanoemulsions using surfactants or in polymer nanocapsules [8]. Although interesting, this approach leads to a significant reduction of the echogenicity. 48 49 Indeed, as the scattering cross section of a particle is defined according to equation 1, a 50 reduction of size and the replacement of the gas core by a liquid one, induce a decrease of 51 the scattering cross section and subsequently of echogenicity.

52
$$SCS = \frac{4\pi}{9}k^4R^6 \left[\left(\frac{\kappa_d - \kappa}{\kappa}\right)^2 + \frac{3}{4}\frac{(\rho_d - \rho)^2}{(\rho_d + \rho)^2} \right], \text{ Eq. 1}$$

53 where *k* is the wavenumber, *R* is the radius of the particle, κ_d and κ the 54 compressibilities of respectively the particle and the medium, ρ_d and ρ the densities of 55 respectively the particle and the medium [9].

To provide strong and long-lasting ultrasonic echoes, liquid PFCs of low boiling point, 56 such as perfluorohexane (PFH) or perfluoropentane, have been selected for their ability to 57 58 experience a liquid-to-gas transition once exposed to high acoustic pressures [10]. During this phenomenon - known as acoustic drop vaporization (ADV) - the PFC vapor phase 59 undergoes oscillations around an equilibrium radius, which enhances the ultrasound 60 scattering intensity of nanometric systems by several orders of magnitude [11-16]. An 61 62 advantage of nanocapsules over microbubbles is their ability to co-encapsulate a drug for further therapeutic use [17]. As previously reported, PFC-containing nanocapsules can 63 preserve their integrity and initial diameter after intravenous administration and passively 64 accumulate in tumor tissues through the enhanced permeability and retention effect (EPR) 65 [17-20] provided this effect occurs in patients [21]. After reaching tumor tissue, the release of 66

a co-encapsulated drug might be triggered by focused ultrasound (FUS), causing local PFC 67 cavitation/vaporization and may be followed by capsule shell rupture with subsequent drug 68 release [22]. This strategy is currently investigated to deliver higher drug concentrations in 69 70 the tumor vicinity and increase the chemotherapy efficacy while avoiding unwanted toxicity to 71 healthy cells [23]. As FUS is clinically employed to ablate and eradicate tumor cells, 72 particularly in prostate [24] and hepatocellular carcinomas [25], it represents an ideal trigger 73 for drug delivery because it is a non-invasive technique, safe to adjacent tissues and 74 provides a precise spatiotemporal control over the thermal and mechanical energy 75 dissipation [26].

76 Before pushing forward these theranostic applications, the efficient encapsulation of 77 low boiling point PFCs is required. Contrary to high boiling point PFCs such as perfluorooctylbromide, the entrapment of low boiling point PFCs such as PFH into polymeric 78 nanosystems is a challenging process due to their fluorophilic character and high vapor 79 pressure [27, 28]. Perfluorinated compounds are usually immiscible with hydrophilic or 80 81 hydrophobic solvents due to favored interactions between fluorinated domains [29]. Accordingly, perfluorinated liquids tend to phase-separate, resulting in low encapsulation 82 efficiencies – from 3 to 9% in nano-sized formulations [30, 31] – which represents a limitation 83 84 for ultrasound imaging and ultrasound-triggered drug delivery. To promote better PFH encapsulation into nanocapsules, we have synthesized polylactide (PLA) polymers 85 terminated by linear fluorinated end-groups of distinct lengths. The presence of the 86 fluorinated moiety is expected to increase PFH encapsulation efficiency by playing on 87 fluorous-fluorous interactions as it was observed for another PFC: perfluorooctyl bromide 88 89 [32]. Polymer synthesis, nanocapsule formulation and characterization are reported. Finally, 90 the nanocapsule echogenicity and ability to be destroyed by focused ultrasound is evaluated.

91 2. Materials and Methods

92 2.1 Materials

D,L-lactide was purchased from Polysciences (Germany) and perfluorohexane 93 (purity>98%) was acquired from Alfa Aesar (Germany). 1-hexanol from Acros Organics 94 (Belgium), 2,2,3,3,4,4-heptafluoro-1-butanol, 1H,1H perfluoro-1-heptanol, 1H,1H perfluoro-95 1-nonanol, 1H,1H perfluoro-1-dodecanol and 1H,1H perfluoro-1-tetradecanol were acquired 96 from Fluorochem (United Kingdom). Acetone, tetrahydrofuran (THF) and dichloromethane 97 were purchased from Carlo Erba Reactifs (France), chloroform and diethyl ether from VWR 98 (France). Stannous octoate, dry toluene, D₂O, sodium cholate, trifluoroacetic acid (TFA) 99 and polyvinyl alcohol (PVA, 30-70kDa, 87-90% hydrolyzed) were provided by Sigma-Aldrich 100 (France). Deuterated solvents (CDCl3 and acetone-d) were purchased from Eurisotop 101 (France), Cell culture reagents such as DMEM (Dulbecco's modified Eagle's medium), 102 RPMI 1640 (Roswell Park Memorial Institute medium), FBS (Fetal Bovine Serum), trypsin-103 EDTA solution and PBS (Ca²⁺ and Mg²⁺ free phosphate buffer) were purchased from Sigma 104 Aldrich (France). The ultrapure water was produced by a Millipore Synergy 185 apparatus 105 coupled with a RiOs5TM (Millipore, France) with a resistivity of 18.2 M Ω .cm. The NMR 106 sample tubes and coaxial inserts were obtained from CortecNet (France). 107

108 2.2 Polymer Synthesis

All fluorinated (PLA-C₃F₇, PLA-C₆F₁₃, PLA-C₈F₁₇, PLA-C₁₁F₂₃ and PLA-C₁₃F₂₇) and 109 non-fluorinated (PLA-C₆H₁₃) derivatives of polylactide polymers were synthesized by ring 110 opening polymerization (ROP) with the presence of stannous octoate as catalyst [33] [34]. 111 112 All glassware and stir bars were flame-dried and cooled under argon flow. Briefly, in a 10 113 mL schlenck tube equipped with a magnetic stir-bar, the D,L-lactide (10.4 mmol, 1.5g) and corresponding initiator (0.075 mmol) – 1-hexanol for PLA-C₆H₁₃, 2,2,3,3,4,4-heptafluoro-1-114 115 butanol for PLA-C₃F₇, 1H,1H perfluoro-1-heptanol for PLA-C₆F₁₃, 1H,1H perfluoro-1nonanol for PLA-C₈F₁₇, 1H,1H perfluoro-1-dodecanol for PLA-C₁₁F₂₃ or 1H,1Hp-1-116 tetradecanol for PLA- $C_{13}F_{27}$ – were added to the flask under argon flow. The tube was 117 sealed with a rubber cap and a stannous octoate solution (0.05 mmol, 20 mg) dissolved in 2 118 mL of dried toluene was added through the septum. The tube was purged with argon for 119 0.5h and the polymerization reaction was conducted with continuous stirring at 130°C for 55 120 minutes in an oil bath under argon flow. The reaction was quenched by immersing the flask 121 in a cold water bath. Afterwards, the solvent was evaporated under reduced pressure for 1h 122 123 and the material was dissolved in 5 mL of chloroform. The product was purified by precipitation as previously described [20]: all polymers were precipitated into cold diethyl 124 ether (80 mL), next, PLA-C₆H₁₃ was dissolved into THF (5mL), whereas the fluorinated 125

polymers were dissolved in acetone (20 mL) and precipitated again in ultrapure water (150 126 mL). The samples were freeze-dried for 24h and a white powder was obtained. Lactide 127 conversion ≥95% (¹H-NMR). ¹H-NMR [400 MHz, CDCl₃, 25°C] **PLA-C₆H₁₃**: δ_H 5.10-5.28 128 (PLA-CHCH₃COO-), 1.52-1.61 (-CCH₃), 0.88-0.92 (-CH₂CH₃). PLA-C₃F₇, PLA-C₆F₁₃, PLA-129 C_8F_{17} , PLA- $C_{11}F_{23}$ and PLA- $C_{13}F_{27}$: δ_H 5.10-5.28 (PLA-C*H*CH₃COO-), 4.50-4.70 (-130 OCH₂CF₂-), 1.52-1.61 (-CCH₃). ¹⁹F-NMR [200 MHz, CDCl₃, 25°C] PLA-C₃F₇: δ_F -78.9 to -131 79.05 (-CF₃), -118.5 to -119 (-CH₂C F_2 -) and -125.7 to 125.8 (-CF₂C F_2 CF₃); **PLA-C₆F₁₃**, 132 PLA-C₈F₁₇, PLA-C₁₁F₂₃ and PLA-C₁₃F₂₇: ¹⁹F-NMR [400 MHz, CDCl₃, 25°C]: δ_F -78.8 to -133 79.05 (-CF₃), -117.6 to -117.9 (-CH₂CF₂), -120.0 to -120.6 (-CF₂CF₂CF₃), -120.7 to -121.2 (-134 CH₂CF₂CF₂), -121.2 to -121.6 (-CH₂CF₂CF₂CF₂) and -124.0 to -124.5 (-CF₂CF₃). 135

136 2.3 Characterization

All polymers were characterized by size exclusion chromatography (SEC) in chloroform at 30°C with a flow rate of 1 mL.min⁻¹ by two columns (PL-gel 5µm MIXED-D 300 x 7.5 mm) calibrated against a curve based on poly(methyl methracrylate) standards (PMMA, Polymer Laboratories, Varian Inc.). The system was coupled to a refractive index detector (Spectrasystem RI-150, Thermo Electro Corp.). ¹H and ¹⁹F-NMR spectra were obtained on a Bruker Advance 400 MHz in CDCl₃ at room temperature.

143 2.4 Differential Scanning Calorimetry (DSC)

All polymers were sealed in aluminum pans (50 μ L) and placed in a DSC apparatus (DSC Q1000, TA Instruments). All analyses were conducted under nitrogen flow (20 mL.min⁻¹) in a temperature range between 20 and 70°C at a heat and cooling rate of 20°C.min⁻¹. The glass transition temperature was determined during the second heating run.

149 2.5 Nanocapsules Formulation

Nanocapsules (NCs) were prepared by the emulsion-evaporation technique, as 150 previously described with modifications[19]. Briefly, 50 mg of polymer was dissolved in 2 151 mL of chloroform in a 50 mL glass flask that was sealed with a rubber cap. Next, 30 µL of 152 PFH was introduced through the septum with constant stirring, and the media was 153 emulsified with 10 mL of sodium cholate 1.5% (w/w) at 4°C in a vortex for 1 min. The 154 mixture was then submitted to probe sonication (Branson digital sonifier, France) at 30% of 155 maximal power for 1 min over ice. The solvent was evaporated by magnetic stirring at 300 156 RPM during 4h in a thermostated bath at 20°C. The samples were then filtered on 0.45 µm 157 PVDF filters (Whatman) and incubated with PVA 1% w/w for 5 days at 4°C. Finally, they 158 159 were centrifuged at 27.240 g (Optima LE-80K Ultracentrifuge Beckman Coulter, France) during 1h at 4°C and the pellet was resuspended in 5 mL of ultrapure water to yield a final
 polymer concentration of 25 mg.mL⁻¹.

162

163 **2.6 Size and ζ-potential**

164 The hydrodynamic diameter, polydispersity index (PdI) and zeta potential of 165 nanocapsules were measured using a Zetasizer Nano ZS (Malvern, France) at 20°C. All 166 suspensions were diluted 1:10 in ultrapure water for size measurements and 1 mM NaCl for 167 zeta potential measurements.

168 2.7 Electron Microscopy

169 Scanning electron microscopy was performed using a Merlin 6040 (Carl Zeiss, 170 Germany) operating at 3 kV. The nanocapsules were deposited on carbon conductive double-sided tape (Euro-medex, France) and dried at room temperature. Afterwards, they 171 172 were coated with a palladium-platinium layer of about 3 nm using a Cressington sputtercoater 208HR with a rotary-planetary-tilt stage, fitted with an MTM-20 thickness controller. 173 Transmission electron microscopy (TEM) images were obtained in a JEOL 1400 (Jeol Ltd, 174 USA) operating at 80 kV. All nanocapsules suspensions were diluted to a final 175 176 concentration of 1 mg/mL polymer in ultrapure water and a 5 µL droplet was deposited on a 177 glow discharged carbon-coated 200 mesh grid. Negative staining was performed using 2% 178 phosphotungstic acid (w/v). All images were acquired with an Orius camera (Gatan Inc, 179 USA).

Cryo-Transmission Electron Microscopy (Cryo-TEM) images were performed using 180 a JEOL 1400 TEM/STEM operating at 120 kV. NC suspensions at 50 mg.mL⁻¹ polymer 181 were deposited (5 µL) on a glow discharged carbon grid (Lacey 200 mesh), automatically 182 183 blotted for 5 s and plunged into liquid ethane at -175°C by a grid plunge freezer (Leica EM GP). The samples were kept in liquid nitrogen until analysis. Next, they were transferred to 184 a pre-cooled cryo-TEM holder (Gatan 914) and observed under 10 pA/cm² of luminosity, 2 185 s of exposition and -2 µm of defocus. All images were acquired with a US1000XP camera 186 187 (Gatan Inc, USA).

188 2.8 PFH encapsulation efficiency

189 For PFH quantification, 500 μ L of NC suspension freshly prepared was transferred 190 to NMR tubes loaded with a stem coaxial insert containing TFA in D₂O (12 μ mol.mL⁻¹) as 191 external standard [32, 35].Freeze-dried samples were also analyzed: 1 mL of freshly

prepared nanocapsule suspension was freeze-dried during 24h employing an Alpha-1-2 LD 192 apparatus (Christ, France). Afterwards, they were hermetically sealed with a rubber cap 193 and stored at -20°C until analysis. A total volume of 1 mL of chloroform then was introduced 194 into the sealed tube by a needle, the suspension was vortexed (5 cycles of 30 seconds) 195 and centrifuged at 0°C for 10 minutes at 1000 RPM. The organic solution was collected in a 196 cold room at 4°C and introduced into an NMR tube loaded with the stem coaxial insert 197 containing TFA in D_2O (12 µmol.mL⁻¹). All spectra were obtained by a Bruker Avance 300 198 (400 MHz) operating at 4°C. The total amount of encapsulated PFH was determined based 199 200 on a PFH calibration curve. For each NC sample, the integration of the TFA peak at -76.5 201 ppm was determined as 3 and the resulting integration of the PFH CF₃ at -81.2 ppm was 202 used to calculate the PFH concentration in the NMR tube based to the equation of the calibration curve. 203

204 2.9 Cell viability

205 The cytotoxicity of all NCs was evaluated in two distinct cell lines obtained from ATCC (USA). Human endothelial umbilical vein cells (HUVEC) were cultivated in DMEM 206 supplemented with 50 U.mL⁻¹ of penicillin, 50 U.mL⁻¹ of streptomycin and 10% FBS. Murine 207 macrophage-monocytes (J774.A1cell line) were cultivated in RPMI 1640 medium 208 supplemented with 50 U.mL⁻¹ of penicillin, 50 U.mL⁻¹ of streptomycin and 10% heat 209 210 inactivated FBS. All cells were cultivated at 37°C at 5% CO₂ in a humidified atmosphere; 211 they were splitted twice a week by trypsinisation for HUVEC and scraping for J774.A1. To assess the in vitro cytotoxicity, the MTT assay that evaluates the mitochondrial activity was 212 employed [36]. A total volume of 100 µL of the cells suspension was seeded into 96 well 213 plates (TPP, Switzerland) at a concentration of 8x10³ cells/well or 2x10³ cells/well for 24 214 and 72h of incubation, respectively. The cells were pre-incubated for 24h and 100 µL of a 215 serial dilution of NCs (1.0x10⁻² to 10 mg.mL⁻¹) was added to the medium. After 24 or 72h of 216 incubation, 20 µL of MTT solution at 5mg/mL(3-(4,5-dimethylthiazol-2-yl)-2,5-217 218 diphenyltetrazolium bromide) (Sigma, France) was added to each well. After 1hof 219 incubation, the medium was then aspired and replaced by 200 µL of mL dimethylsulfoxide 220 (ACS grade, BioBasic Inc, France). The absorbance [A] was measured with a microplate reader (LAB Systems Original Multiscan MS, Finland) at 570 nm and the cell viability was 221 222 calculated according to Eq. 2, where [A]_{control} corresponds to the absorbance of untreated control cells: 223

$$\frac{[A]_{test}}{[A]_{control}} \times 100 \text{ Eq. } 2$$

225

226

227 2.10 In vitro Ultrasound Characterization

Ultrasound images were acquired in a degassed water bath maintained at 37°C 228 equipped with acoustic absorbing rubber. All NC were previously degassed and 0.8 mL 229 were transferred to a silicone tube to a final 50 mg.mL⁻¹ suspension. Suspensions of 230 231 Sonovue[®] 0.1%, PVA 0.7% and nanoparticles (NP) produced with PLA-C₈F₁₇ (without PFH) 232 were used as controls. In vitro ultrasound imaging experiments were performed using an ultrafast programmable ultrasound scanner (Aixplorer, Supersonic Imagine, Aix-En-233 Provence, France). The scanner was equipped with a 5 MHz linear array transducer (128 234 elements, 0.2 mm pitch) able to emit and accumulate a series of plane waves. The US 235 focus was placed at the center of the silicone tube relative to the transducer at 3 cm depth. 236 237 The pulse repetition frequencies used in this experiment was 50 Hz. The images were 238 obtained using a plane-wave B-mode sequence [37] at 0.1 MPa peak negative pressure. 239 Conventional focused B-mode (0.1 MPa) and contrast specific mode (~0.2 MPa, executed by pulse inversion and amplitude modulation) images were initially performed for each 240 sample independently. Imaging and monitoring pulses were 1 cycle long at 5 MHz. Finally, a 241 disruption pulse was emitted (~5 MPa peak negative pressure, 100 cycles long, which 242 corresponds to 20 μ s) and the imaging process was repeated, with 65 B-mode images for 243 the total 140 ms pulse sequence. Plane-wave images were acquired at a frame rate of 100 244 245 Hz and all data was transferred to a computer for analysis at Matlab (Mathworks, Natick, MA, USA). The backscattered intensity of the samples was obtained by averaging the 246 247 power of the first and last image of the video in the region-of-interest (the silicone tube). 248 The resulting intensities were subtracted by the backscattered intensity obtained with the 249 tube filled with Milli-Q water.

250 Insert Figure 1 here

251 2.11 Focused Ultrasound

Focused ultrasound experiments were performed to assess the ability to destroy NCs. 100 μ L of 5 mg.mL⁻¹ NC suspension were transferred to a 96 well microplate (OptiCell[®]) that was placed in a support positioned at the center of the chamber. A 2.25 MHz transducer (Imasonics, Voray-sur-I'Ognon, France) was focused (38 mm f/d=1) separately at each microplate well and single bursts of 5 cycles were transmitted by a waveform generator and amplified to 12.3 MPa peak-negative pressure by a radio frequency amplifier[26]. 259

260 2.12 Statistical analysis

261 The statistical analysis was performed using Statistica[™] 8.0 software (StatSoft Inc., Tulsa,

USA). The data was analyzed by two-sided Student's *t*-test and a statistical significance was set at the level of p<0.05.

264 3. Results and discussion

265 **3.1 Synthesis and characterization of fluorinated polymers**

Polylactide polymers functionalized with either alkyl or fluorinated end-groups of five distinct lengths were synthesized by ring opening polymerization of D,L-lactide as described previously [32]. The end-groups are composed of linear carbon chains substituted by fluorine or hydrogen atoms; henceforth, the synthesized polymers were designated according to the terminal group chemistry and length of fluorinated unit as $PLA-C_6H_{13}$, $PLA-C_8F_{17}$, $PLA-C_{11}F_{23}$ and $PLA-C_{13}F_{27}$.

272 All synthesis products were initially characterized by the presence of the lactide 273 signals at 1.60 and 5.23 ppm and the new ester linkage performed with the fluorinated or alkylated alcohol initiators by ¹H-NMR (Figure 2A). As previously observed by Böhmer et 274 al., all the fluorinated polymers exhibited a significant shift of the $-CH_2O$ - signal compared 275 with the correspondent initiator from 4.1 ppm to 4.55 and 4.74 ppm (Supplementary 276 277 information, Figures S1 and S2), indicating that the chemical environment of those groups were severely influenced by the PLA backbone as well as by the adjacent CF₂ units [38]. 278 Accordingly, the $-CH_2O-$ intensity was splitted into two signals with multiplicity of two 279 triplets due to the strong coupling with both fluorine atoms and the geminal proton in the 280 281 same order of magnitude (~13 Hz), which confirmed the synthesis of fluorinated PLA 282 polymers. Also, the fluorinated derivatives exhibited a shift of the $-CF_2CH_2$ - signals while other CF₂ and CF₃ (-78.9 to -79.0 ppm) resonances were similar to each specific precursor 283 as displayed in the ¹⁹F spectra (Figure 2B). The formation of the non-fluorinated derivative, 284 PLA-C₆H₁₃, was verified by the $-CH_2O$ - signal shift from 3.60 to 4.14 ppm of the initiator. 285

As shown in **Table 1**, a relative good agreement between the experimental molar 286 masses determined by SEC and the molar masses targeted at 20 000 g.mol⁻¹ for all the 287 288 derivatives was observed (Supplementary infromation Figure S3). The M_n was also determined by ¹H-NMR analysis on the basis of the integration ratio of peak at 5.23 ppm 289 (methine proton of lactide) and 4.55 - 4.74 ppm (methylene protons adjacent to the CF 290 chain) (**Figure 2A**). Slightly lower M_n were calculated than using SEC, around 18 000 g mol⁻ 291 292 ¹, which may be attributed to the difference of polymer conformation with poly(methyl methacrylate) standards. 293

The end-modified polymers were also characterized by DSC. They all displayed the same glass-transition temperature (T_g), from 50 to 53°C, similar to unmodified PLA polymers of similar molar mass (**Table 1**)[34, 39]. Hence, the small molecular weight endgroup did not impact the T_g of high molar mass polylactide.

- 298 Insert Figure 2 here
- 299 Insert Table 1 here

300 **3.2 PFH Nanocapsules**

Fully fluorinated liquid perfluorocarbons usually display high vapor pressure values -301 29.1 kPa at 25°C for PFH – and restricted solubility in organic solvents due to their 302 303 fluorophilic character [40]. As a result, they tend either to phase separate or to evaporate 304 during the formulation of nano or microcapsules by solvent emulsion-evaporation, reducing the PFC encapsulation efficacy [27, 28]. Therefore, fluorinated end-groups with distinct 305 lengths were introduced into the PLA structure to promote "fluorous - fluorous" interactions 306 between the polymer and liquid perfluorocarbons and enhance PFH encapsulation into 307 308 nanocapsules. This strategy was shown efficient recently for a high-boiling point PFC: perfluorooctyl bromide [32]. The features of NCs formulated with fluorinated polymers, such 309 310 as morphology, size, surface charge and PFH encapsulation efficiency were compared with 311 samples produced with the non-fluorinated polymer PLA-C₆H₁₃.

Initial characterization demonstrated that all NC were spherical with smooth surfaces as shown by TEM and SEM images (**Figure 3**). The Z-average size was around 150 nm – in agreement with electron microscopy observations – with a negative ζ -potential around -8 mV and a low polydispersity below 0.15, regardless of end-group chemistry or fluorinated length as displayed in **Table 2**.

- 317 Insert Table 2 here
- 318 Insert Figure 3 here

Encapsulated PFH was effectively visualized by Cryo-TEM images, revealing spherical core-shell morphologies constituted by thin polymeric layers surrounding the electron-dense perfluorocarbon as shown in **Figure 4** for PLA-C₆H₁₃, PLA-C₃F₇, PLA-C₈F₁₇ and PLA- $C_{13}F_{27}$. All samples displayed capsules containing distinct amounts of PFH, exhibiting dark cores with a wide intensity range and also presented apparently unloaded polymeric nanoparticles, an indication of inhomogeneous encapsulation. From these images, no morphological distinction among polymer end-group chemistry or F-length was observed.

Some capsules also presented a predominant lighter core or bright spots within the perfluorocarbon phase (white arrows in **Figure 4**). Such areas might arise from the freezing process: as PFH retracts when it solidifies, low PFH densities regions appear in the capsules with probable coexistence of solid PFH (dark) with gaseous PFH (light). After exposure to the electron beam radiation, partial melting of the solid PFH occurs and light regions becomes darker (Supplementary Information, Figure S4). Those observations are consistent with Lattin *et al.* that reported the increased frequency of bright nanoemulsion droplets for the lower boiling point perfluoropentane (Bp=29°C) in comparison with perfluorohexane (Bp=56°C) and perfluoroheptane (Bp=82°C) [41] and from our own observations with PFOB [32, 42].

336 Insert Figure 4 here

The total encapsulated PFH was then quantified by ¹⁹F-NMR for NC suspensions 337 338 either freshly prepared or after freeze-drying. The samples prepared with the non-339 fluorinated polymer (PLA-C₆H₁₃) exhibited an encapsulation efficiency of 9.2±0.1% for 340 freshly prepared suspensions and 4.0±0.5% after freeze drying, in agreement with results obtained for nanocapsules formulated with regular PLGA polymers [30]. All NC formulated 341 with F-polymers showed a 2-fold increase in the total PFH encapsulation compared to PLA-342 C_6H_{13} as exhibited in Figure 5. A maximum encapsulation efficiency of 15±1.0% for 343 suspensions and a 9.5±0.8% plateau for freeze-dried samples was reached, although 344 without significant difference as a function of fluorinated chain length. Obviously, the freeze-345 346 drying process induced the loss of non-encapsulated PFH droplets, as previously observed 347 for PFOB NCs [42], although no free PFH could be observed by cryo-TEM. These results 348 suggest a preferential interaction between fluorinated polymers and PFH, independently of the fluorinated end-chain length, reducing the PFH loss during solvent evaporation and 349 increasing the final encapsulation efficiency. Nonetheless, the PFH solubility and volatility 350 still prevents higher encapsulation. Comparatively, mPEG-polycaprolactone polymers 351 352 containing terminal C₃F₇ groups were also successful in enhancing of PFH entrapment into 353 NCs around ~15% as reported by Li et al. [31].

354 Insert Figure 5 here

355 3.3 In vitro cytotoxicity of NCs

The use of fluorinated-compounds for biomedical applications is usually limited 356 because of their persistence and consequent toxicity in the organism [43]. Indeed, the 357 stable C-F bond hinders an effective enzymatic or metabolic degradation that results in low 358 359 excretion profiles, especially for linear fluorinated compounds longer than C₇F₁₅ [44, 45]. To assess the in vitro impact of fluorinated end-groups with distinct lengths on cellular viability, 360 NCs formulated with fluorinated polymers were incubated with two distinct cell lines, human 361 362 umbilical vein endothelial (HUVEC) and murine macrophage-like (J774.A1) during 24h or 363 72h. The MTT assays showed that NCs did not inhibit HUVEC growth after 24h or 72h,

independently of NC concentration, end-group chemistry or fluorinated end-group lengths 364 (Figure 6). For J774.A1, an inhibition down to 75% was observed for 10 mg/mL NCs after 365 24h of exposure. After 72h exposure, cell viability decreased down to 75 and 50% of 366 controls at NC concentrations of 4 and 10 mg/mL, respectively (Figure 6). For both cell 367 368 lines, no specific toxicity was induced due to the presence of the fluorinated groups. One should note that the reduction of cell viability of J774.A1 cells was only observed for very 369 high NC concentrations. In addition, the distinct profiles for the two cell lines arise from the 370 ability of J774.A1 to internalize faster and to a higher extent NCs than HUVEC [46]. 371 372 Although only a limited in vitro cytotoxicity was observed, additional in vivo studies are 373 required to investigate the effects resulting from the prolonged exposure to NCs.

374 Insert Figure 6 here

375 3.4 In vitro Ultrasound imaging

The imaging ability of NCs was evaluated in vitro using a plane-wave B-mode 376 377 imaging system based on compounded plane-wave ultrasound transmissions[47]. All images were obtained with fixed 50 mg.mL⁻¹ concentrations of NC at 37°C and compared to 378 the background gray-scale level produced by ultrapure water. At this temperature, the PFH 379 vapor pressure increases to 48.6 kPa - against 29.1 kPa at 25°C - and potentially favors a 380 381 gas-phase nucleation. Ultrasound images obtained in the conventional focused B-mode 382 displayed a significant contrast enhancement (p<0.001) arising from NC formulated with fluorinated polymers (from 1.5 x10⁴ until 5.5x10⁴ arbitrary units, a.u.) compared to those 383 produced with PLA-C₆H₁₃ ($0.5x10^4$ a.u.) (Figure 7). Moreover, significant differences were 384 observed between fluorinated samples (p<0.001). Additionally, the fluorinated NCs 385 generated contrast specific echoes, enhancing the backscattering intensities from 10-fold to 386 40-fold higher than PLA-C₆H₁₃. Again, significant differences were observed between 387 fluorinated samples except for PLA-C $_{11}F_{23}$ and PLA-C $_{13}F_{27}$ which are not statistically 388 different. For both ultrasound-imaging modalities, all samples exhibited long-lasting 389 echogenicity for more than 10 minutes. As expected, Sonovue[®] greatly increased the 390 ultrasound contrast compared to all NCs (from 12-fold to 35-fold) in the conventional 391 focused B-mode and contrast specific mode due to its micrometer size and its gaseous 392 393 core. In absence of PFH, the PLA- C_8F_{17} nanoparticles (NP in Figure 7) and PVA solution did not yield any significant signal enhancement. 394

395 Insert Figure 7 here

The acoustic enhancement observed for samples formulated with fluorinated polymers indicates that the total amount of PFH is a key parameter required to modulate 398 the echogenicity of NCs, given these possess similar sizes. Interestingly, polymers containing longer F-units, such as PLA-C₁₁F₂₃ and PLA-C₁₃F₂₇, exhibited higher 399 backscattering compared to shorter or non-fluorinated NCs. Given NC sizes are similar, 400 401 such variation of echogenicity with F-length might be related to an increase of NC density that further affects the scattering cross section of the NCs as described in the introduction 402 [9]. The fluorinated initiator density increases from 1.6 g.cm⁻³ to 1.73 g.cm⁻³ for C_3F_7 to 403 C13F27 (as provided by the supplier) whereas the density of PLA is close to the one of PLGA 404 (1.28 g.cm⁻³)[48]. In addition, as we expect fluorinated moieties to position at the 405 PFH/polymer interface, they might provide nuclei for PFH cavitation/bubble nucleation [49]. 406 407 The presence of these bubbles could also explain the harmonic response of fluorinated NCs as no contrast specific signal can be observed for non-fluorinated ones (obtained with 408 409 $PLA-C_6H_{13}$) or with nanoparticles (NP, Figure 7).

At higher acoustic pressures (peak negative pressure ~5 MPa), a signal 410 enhancement is observed after 20 ms for all NCs formulated with fluorinated polymers, 411 412 most probably indicating that the shell was disrupted from a certain population of capsules (as illustrated for PLA- C_8F_{17} in **Figure 8**). For these samples, the PFH is possibly 413 completely vaporized causing the polymeric shell to shatter and allow the gas-phase to 414 freely vibrate, which momentarily increases the echo. This transitory higher echogenicity 415 then decreases back to the initial echogenicity as the gas bubble is dissolved or destroyed 416 417 in a similar profile as observed for microbubbles [47].

418 Insert Figure 8 here

419 **3.5 PFH vaporization by focused ultrasound**

PFH-loaded NCs present an interesting potential to release chemotherapeutics inside solid tumors after local accumulation driven by the EPR effect, provided they are PEGylated and can long circulate in the blood stream. Their great advantage resides on the acoustic-sensitivity of the low boiling point PFH that can be remotely induced to vaporize by focused ultrasound (FUS) to trigger the release of co-encapsulated drug locally. To evaluate the ability of PFH to undergo acoustic drop vaporization under FUS, NCs were exposed to FUS acoustic pulses and analyzed morphologically by cryo-TEM.

All samples displayed a predominant population of spherical and intact NCs with core-shell morphology (**Figure 9 top**). However, a distinct population of capsules that exhibited deformed structures according to polymer end-chemistry were also visualized: samples produced with $PLA-C_6H_{13}$ exhibited some buckled NCs, suggesting that a partial PFH vaporization occurred and produced only a localized distortion of the polymeric shell.

For NCs prepared with fluorinated polymers – PLA-C₃F₇, PLA-C₈F₁₇ and PLA-C₁₃F₂₇ – more 432 intense shell deformations led to a complete morphological change that culminated, in 433 many cases, in capsule disruption and observation of debris, some of them at the 434 micrometric size range (white arrows) (Figure 9 bottom). It is important to emphasize that 435 the focus of the ultrasonic beam is small (less than 1 μ L or 1mm³) compared to the total 436 volume of the samples, a factor that contributed to reduce the number of viewable debris 437 438 during cryo-TEM.. The presence of PFH inside several capsules indicates that longer FUS exposition time intervals are still required for homogeneous NC disruption. 439

440 Insert Figure 9 here

Comparatively, corresponding samples were submitted to heating at 60°C for 10 441 minutes in an oil bath – above the PFH boiling point (56°C). The results showed no 442 morphological discrepancy or size variation compared to the control group (Supplementary 443 information, Figures S5 and S6), thus suggesting that the ultrasound mechanical effects 444 are required in addition to the thermal ones to promote a more effective vaporization as 445 previously reported [50]. Additionally, as the temperature in focal area of FUS might 446 447 become higher than 65°C within 1s [25], the polymer shell might be above its T_q of 52°C (Table 2) – therefore making polymer shell deformation easier. 448

449

450 **4. Conclusion**

451 We have successfully synthesized polylactide polymers containing fluorinated end-groups 452 of different lengths, from C_3F_7 until $C_{13}F_{27}$ that were formulated into nanocapsules 453 containing a perfluorohexane core. The employment of fluorinated polymers increased by 454 2-fold the encapsulation efficiency of perfluorohexane into nanocapsules, although no effect of fluorine chain length was observed. Fluorination of the polymers did not induce any 455 specific in vitro cytotoxicity towards HUVEC and J774.A1 cell lines. Nanocapsules 456 formulated with fluorinated polymers enhanced their acoustic response compared to PLA-457 C₆H₁₃ in both fundamental and harmonic ultrasound imaging modalities. An increased 458 echogenicity and harmonic response was observed as the fluorinated chain-length 459 460 increased, probably due to an increase of density and promotion of bubble nucleation. When submitted to focused ultrasound, both intact and exploded nanocapsules could be 461 observed, also with end-group dependency, indicating that the perfluorocarbon was partly 462 vaporized. These results pave the way to theranostic perfluorohexane nanocapsules co-463 464 encapsulating a drug for precision delivery using focused ultrasound.

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612 Tables and Figures captions

Table 1. Number-average molar masses (Mn) obtained by SEC/1H-NMR, dispersity and glasstransition temperature (Tg) of all synthesized polymers.

615

- Table 2. Z-average size, polydispersity index and ζ -potential for nanocapsules formulated with
- 617 fluorinated and non-fluorinated polymers. Data are presented as mean±SD (n=3).
- 618
- 619 Figure 1: Schematic representation (A) and real view (B) of the US set-up.

620

Figure 2. 1H-NMR spectra of all synthesized polymers with enlargement of the corresponding lactide and ester linkage signals (A). Magnified CF3 and CF2 regions in the 19F spectra for PLA-C3F7, PLA-C6F13, PLA-C8F17, PLA-C11F23 and PLA-C13F27 (B). The 1H and 19F peak assignments are indicated in the insert. All samples were dissolved in CDCl3.

625

Figure 3. Transmission (left) and scanning electron microscopy images (right) for nanocapsulesformulated with fluorinated and non-fluorinated polymers.

628

Figure 4. Cryo-TEM images of nanocapsules formulated with fluorinated PLA-C3F7, PLA-C8F17 and PLA-C13F27 as well as non-fluorinated PLA-C6H13 polymers. The white arrows show the bright regions within the nanocapsules.

632

Figure 5. PFH encapsulation efficiency for NCs freshly prepared suspension (red bars) or submittedto freeze-drying (blue bars).

635

Figure 6. Cell viability as a function of NC concentration for HUVEC (top) and J774.A1 (bottom) at
24h (left) and 72h (right). All results are presented as mean ± SD (N=2).

638

Figure 7. Ultrasound backscattered intensity at the conventional focused B-mode (black symbols) and contrast specific (red symbols) modalities for PVA, nanoparticles of PLA- C_8F_{17} (NP) and all formulated nanocapsules. The error bars represent the ultrasound signal variability between the echo-pulses for each sample (about 5% error). Differences between NC formulated with fluorinated polymers and those produced with PLA-C₆H₁₃ were statistically significant in both modes. Moreover, significant differences were observed between fluorinated samples in conventional mode (p<0.001). In contrast specific imaging Again, significant differences were observed between fluorinated samples except for PLA-C₁₁F₂₃ and PLA-C₁₃F₂₇ which are not statistically different.

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Figure 8. Sequential ultrasonic images of PLA-C8F17 nanocapsules prior (-200 ms) and after exposure to FUS (from 20 to 1000 ms). The average amplitude signal is presented for each image (bottom). The scale bar corresponds to 1 mm.

653

- Figure 9. Cryo-TEM images of nanocapsules after focused ultrasound exposure. Intact/spherical (top) and buckled or debris of nanocapsules (bottom) were observed for all samples. All scale bars
- 656 represent 100 nm.