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Discrimination of *Escherichia coli* and *Shigella* spp. by Nuclear Magnetic Resonance-based Metabolomic Characterization of Culture Media

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KEYWORDS

Bacterial identification, Shigella, E. coli, NMR, metabolomics, metabolic footprint, exometabolome

Dysentery is a major health threat that dramatically impacts childhood morbidity and mortality in developing countries. Various pathogenic agents cause dysentery such as Shigella spp. and Escherichia coli, which are very closely related if not identical species. Sensitive and precise detection and identification of the infectious agent is important to target the best therapeutic strategy but the differential diagnosis of these two groups remains a challenge using conventional methods. Here we present a nuclear magnetic resonance (NMR) based multivariate classification model employing bacterial metabolic footprints in post-culture growth media with remarkable segregation

capability, including the discrimination of lactose negative E. coli and Shigella species.

Our results confirm the potential of metabolomic markers in the field of bacterial identification for the distinction of even very closely related species.

Shigella spp. and Escherichia coli belong to the family of the Enterobacteriaceae. They represent species with a very high genetic relatedness ¹⁻². In fact, they could even be classified as one distinctive species in the genus Escherichia ³⁻⁵. Many Shigella isolates are responsible for shigellosis (bacillary dysentery) that can lead to life-threatening dysentery, with a global impact on childhood morbidity and mortality ⁶. Clinical isolates of *E. coli* species can be commensal or pathogenic, with some isolates such as entero-invasive *E. coli* (EIEC) causing illnesses similar to shigellosis. Hence, most *E. coli* are commensals normally found in the human gut flora, whereas Shigella spp. are generally considered real pathogens. Shigella spp. are considered distinct from *E. coli* mainly from a clinical perspective.

The *Shigella* and *E. coli* initial differentiation based on phenotypic and biochemical tests ⁷ has rapidly shown limitations and remains a challenge for clinical laboratories ^{4, 8}. The triple sugar iron (TSI) test separates *E. coli* and *Shigella spp* on the basis of acidification of a pH indicator-containing growth medium, but with poor sensitivity and specificity regarding discrimination of *E. coli* and *Shigella* from other species ⁹. 16S rRNA gene sequencing cannot differentiate these species and identification relies on a limited number of phenotypic and biochemical characteristics which may still not correctly identify all isolates ¹⁰. In particular, a sub-group of *E. coli* isolates that does not ferment lactose, usually termed lactose negative, is biochemically very similar to *Shigella* spp. and consequently difficult to separate from *Shigella* ⁸. Matrix-assisted laser desorption/ionization-time of flight mass spectrometry (MALDI-TOF MS) has become the microbial identification method of choice over the past few years ¹¹. However, *E. coli* and Shigella usually do not separate with the conventional MS standard operating procedures and additional classification methods and algorithms have recently emerged to tackle the distinction between these closely related species ¹²⁻¹³. Molecular tests do exist to identify these species and pathovars but can be expensive, preventing their use in routine

settings ^{3, 14}. Nowadays serological identification remains a key test for the diagnostic of infections by *Shigella* but certain species remain non-serotypeable ¹⁵. Recent advances in next-generation sequencing open a more comprehensive and high-resolution analysis of their genome differences and evolution ¹⁶⁻¹⁸. However, they still need to be translated into easy-to-use diagnostic tests available in routine settings.

NMR is an intrinsically quantitative, non-destructive and information-rich technique for the determination of molecular structures that is uniquely suited for the analysis of complex mixtures such as bio-fluids. Significant advances in NMR technology and automation have positioned this technique as a method of choice for metabolomic investigations 19-20 that aim at comprehensive and quantitative analysis of metabolites present in biological samples ²¹. Various metabolomic approaches have been adapted to study bacteria ²² and have been successfully used to investigate intra- and extracellular bacterial imprints ²³⁻²⁷, metabolic pathways ²⁸⁻³⁰, or antibiotic modes of action ³¹⁻³². NMR-based metabolomic approaches have also been proposed for targeted bacterial identification and discrimination ³³⁻³⁷. We notably established a method to rapidly discriminate and identify bacterial species that relies on untargeted metabolic profiling of bacterial culture supernatants ³⁸. In the present study, we evaluate the potential of this approach to distinguish the closely related, and hard to discriminate Shigella and E. coli species. The various groups of bacteria studied are well discriminated from supervised multivariate data analyses of their metabolic footprints. We present a robust classification signature based on a limited set of metabolite concentrations, which may be measurable by other analytical techniques, thus allowing application of our approach to microbial clinical diagnosis.

MATERIALS AND METHODS

Bacterial samples. We studied a total of 144 samples of bacterial growth media collected after 1.5 hour (T_e) of culture initiation. These samples correspond to 48 cultivated strains from the bioMérieux collection, with 3 independent cultures (biological replicates) each, which

classify into 3 main groups for the present study: *Shigella* species, *E. coli* lactose (+) and lactose (-) strains (*i.e.* lactose fermenting and non-fermenting isolates, respectively), with 16 strains per group. The group of *Shigella* species includes sets of *S. boydii*, *S. flexneri*, and *S. sonnei* strains. The detailed list of the 48 bacterial strains is provided in the supplementary material (Table S1, ESI). T_e corresponds to the middle of the exponential growth phase as was determined previously when applying the bacterial growth conditions used in this study. A blind investigation was conducted on an additional cohort of 60 *E. coli* lactose (-) and *Shigella* growth media samples, as independent validation of this work.

Bacterial culture and sample preparation. Clinical isolates of bacterial species, stored at -80°C, were thawed, and pre-cultured on Columbia agar + Sheep blood 5% (2 consecutive subcultures). Cultures were then realized at 37°C in Mueller-Hinton (MH) (5 mL) liquid medium until T_e, from a suitable inoculum calibrated to obtain the same numbers of bacteria for all strains at T_e. This corresponds to a concentration at T₀ between 2 and 2.5 MacFarland units (McF). One mL of the culture medium was collected at time T₀ and T_e in Eppendorf tubes and centrifuged for 10 min at 4000g. Supernatants were collected in Eppendorf tubes and stored at -80°C until NMR analysis.

NMR analysis. The samples for NMR were prepared as follows: 60 μL of phosphate buffer (1.25M KH₂PO₄, 2mM NaN₃ and 0.1% trimethylsilyl propionate-2,2,3,4-d₄ (TMSP) in D₂O, pH=7.4) were added to 540 μL of bacterial culture supernatants and mixed thoroughly. Finally, 550 μL were then transferred to 5 mm NMR tubes. Samples were kept at 4 °C until analysis. All NMR spectra were acquired at 27°C on a 600 MHz Bruker Avance III NMR spectrometer equipped with a 5 mm TCI cryoprobe. A SampleJet auto-sampler enabled high throughput data acquisition. A standard ¹H 1D NMR NOESY (nuclear Overhauser effect spectroscopy) experiment with z-gradient and water pre-saturation (Bruker pulse program *noesygppr1d*) was carried out on each sample, with 128 transient free induction decays (FID) co-added, an acquisition time of 2 s and a spectral width of 20 ppm. The relaxation delay was set to 4 s, the NOESY mixing time was 10 ms and the 90° pulse length was automatically determined for

each sample (around 14.5 µs). The total acquisition time for each spectrum was 13 min 48 sec. We note that ¹H CPMG NMR spectra recorded for these types of samples (data not shown) are fairly identical to the ¹H NOESY fingerprints exploited in this study.

Data processing. Prior Fourier transform, all NMR FIDs were multiplied by an exponential function corresponding to a 0.3 Hz line-broadening factor. ¹H-NMR spectra were phased and referenced to the TMSP signal (-0.016 ppm) using Topspin 3.2 (Bruker GmbH, Rheinstetten, Germany). Extraction of a data matrix for multivariate statistical analysis from the ¹H NMR profiles was done using the software AMIX (Bruker GmbH). Spectra were integrated and bucketed from 0.3 to 10 ppm in steps of 0.01 ppm, excluding the region of residual water signal (5.10 to 4.50 ppm), and normalized to total intensity. The resulting data matrix contained 970 NMR variables.

Metabolite identification and quantification. NMR peak assignments were obtained from comparisons with metabolites databases such as HMDB, Chenomx NMR Suite (Chenomx Inc., Edmonton, Canada) and BBIOREFCODE (Bruker GmbH) and verified with homonuclear and heteronuclear 2D NMR experiments (¹H-¹³C HSQC, ¹H-¹³C HMBC, ¹H-¹H TOCSY and J-resolved experiments). Individual metabolite concentrations were determined by manual fitting of the proton resonance lines for the compounds available in the Chenomx database. The linewidth used for deconvolution with the reference database was adjusted to the width of one component of the alanine doublet.

Multivariate data analysis. Principal component analysis (PCA), O-PLS discriminant analysis (O-PLS-DA) and Receiver of Operator (ROC) were performed using SIMCA-P 15 (Umetrics, Umea, Sweden) with centered variables (no scaling). O-PLS-DA analyses ³⁹⁻⁴⁰ were used to build predictive sample classification models based on either 0.01 ppm bucketed NOESY NMR spectra (950 variables) or metabolite concentrations (26 metabolites). Results were visualized on score plots, corresponding to sample projections onto the predictive axis and the first orthogonal component of the model, and the associated loadings plot. The optimal number of

orthogonal components was selected using a 7-fold cross validation procedure. The R² and Q² parameters were computed as a measure of the goodness of fit and prediction, i.e. the explained and predicted variances, respectively. The O-PLS-DA models were validated using permutations under the null hypothesis (1000 times); for each permutated classification labels, R² and Q² were recalculated and compared to the original ones, their decrease indicating the good quality of the model ⁴¹. For each O-PLS-DA model, variable importance values in the projection (VIP) were computed ⁴². Receiver Operating Characteristic (ROC) curves ⁴³ and corresponding area under the curve (AUC) were calculated for individual metabolites based on univariate testing, or for multivariate analysis based on O-PLS-DA cross-validation. Subsets of discriminant metabolites were obtained using the feature selection algorithm in Metaboanalyst 4.0 ⁴⁴, a multivariate exploratory ROC analysis based on random sub-sampling and cross-validation performance of PLS-DA.

RESULTS AND DISCUSSION

E. coli and Shigella species NMR metabolic footprints in culture media.

Bacteria were cultured in a classical, rich Mueller Hinton medium during 1.5 hour, a delay sufficient to reach exponential growth for both *Shigella* spp. and *E. coli* species. As metabolites were consumed or produced, a complex metabolic footprint was detected by untargeted NMR analysis. Well-resolved ¹H NMR metabolic profiles were obtained for each sample of bacterial culture medium (Fig. 1a). The spectra displayed typical sharp lines corresponding to small metabolites, overlaid with broad signals at baseline from lipids or larger proteins, which appeared as minor contributors in the case of our culture supernatants. Detailed analysis of the ¹H 1D as well as additional two-dimensional ¹H-¹H and ¹H-¹³C 2D NMR correlation spectra recorded for a subset of representative samples delivered the identification of 43 metabolites (Table S2, ESI) that belong to a variety of biochemical classes (amino-acids, sugars, nucleotides and metabolic intermediates). The library of 138 ¹H 1D NMR footprints (6 samples

were excluded due to poor quality of spectra) was then exploited by multivariate statistical analysis.

PCA of the bucketed NMR profiles was first used to evaluate the dataset homogeneity and the potential of unsupervised sample class discrimination concerning Shigella spp. and E. coli footprints, following the approach proposed in our previous study ³⁸. No strong outliers were identified on the PCA score plot (Fig. 1b), confirming the reproducibility of our experimental approach. A straightforward species-based discrimination could be observed on this unsupervised model between E. coli lactose (+) and Shigella spp. but not between E. coli lactose (-) and Shigella. An independent PCA model of E. coli lactose (+) and Shigella samples is presented in Fig. 1c to highlight the corresponding discrimination. The first two principal components of this model explain 57.1% of the variance within the dataset, and this model soundly represents the data structure as attested by high values of goodness-of-fit parameters $R^2 = 0.985$ and $Q^2 = 0.915$, related respectively to the variance explained and predicted by the model. In contrast, E. coli lactose (-) and Shigella could not be discriminated from unsupervised analysis. Altogether these results confirm our previous observations that NMR analysis of culture supernatants is adapted to distinguish bacterial species 38, but also illustrate, at the exo-metabolome level, the detailed phenotype resemblances between E. coli lactose (-) and Shigella species that lead to identification issues using regular analytical techniques.

Discrimination of E. coli lactose (-) and Shigella spp. using O-PLS-DA.

To address the discrimination challenge between *E. coli lactose* (-) and *Shigella* species, a supervised analysis of the NMR metabolic profiles was conducted by O-PLS-DA ³⁹⁻⁴⁰. While the principal objective of this study was the global binary discrimination of *E. coli lactose* (-) and *Shigella* species, supervised O-PLS-DA multivariate analysis of the ¹H NMR bacterial culture footprints was able to robustly distinguish the four types of lactose negative species investigated, i.e. *E. coli lactose* (-), *S. boydii*, *S. flexneri*, and *S. sonnei*, as shown in Figure 2. Corresponding model validation from permutations of the Y values is provided in the supplementary material (Fig. S1, ESI). Subsequently, focusing on the 2-class discrimination of

E. coli lactose (-) vs. Shigella species, we obtained a robust predictive O-PLS-DA model $(R^2(X) = 0.861, R^2(Y) = 0.962 \text{ and } Q^2 = 0.893) \text{ based on the full NMR fingerprint (Fig. S2, ESI)}.$ This analysis, carried out using the 950 NMR variables to exploit the full dynamic range of spectral information, was then repeated on a set of 26 quantified metabolites to broaden the applicability of our study. Indeed, metabolite concentrations could potentially be determined without NMR using a wide range of biochemical methods. Here, metabolites concentrations were obtained from NMR spectrum deconvolution of each sample of culture supernatant for E. coli lactose (-) and Shigella spp. (91 samples). A significant discrimination was obtained from the O-PLS-DA score plot between E. coli lactose (-) and Shigella samples (Fig. 3a), associated with high values of goodness-of-fit model parameters ($R^2(X) = 0.99$, $R^2(Y) = 0.75$ and $Q^2 =$ 0.639). Robustness of the model was validated by permutation testing (1000 permutations) under the null hypothesis, showing a clear decrease of R² and Q² with the correlation between original and permuted class information in the Y matrix (Fig. 3b). The reliability of our multivariate model was also assessed by a p-value of 7.53 x10⁻¹¹ from analysis of variance (CV-ANOVA). Out of the 26 quantified metabolites, 7 metabolites (succinate, acetate, aspartate, formate, lysine, propionate and threonine) appeared to have a significant contribution to the statistical model as shown by Variable Importance in Projection for independent variables (VIP) values superior to one. Most of these metabolites were differently secreted in the medium by the two species (succinate, acetate, formate, and propionate), lysine and threonine were only secreted by Shigella spp. and one (aspartate) was consumed by both at different levels.

Combinations of small sets of metabolites provide significant discrimination between E. coli lactose (-) and Shigella species

Classification models that rely on only a few metabolites have the potential to be easily implemented using analytical data from various chemical and biochemical platforms, and therefore to be globally more accessible to the scientific and diagnostic communities. We thus evaluated the classification potential of individual metabolites, as well as combinations of small

sets of metabolites. Individual metabolites were chosen according to highest VIP values described above, for which consumption or secretion patterns differ between E. coli lactose (-) and Shigella spp. (succinate, acetate, aspartate, formate, lysine, propionate and threonine). Mean concentrations and standard deviations for individual metabolites are reported in the supplementary material (Table S3 and Fig. S3, ESI). Combination of metabolites were obtained using an objective feature selection method based on multivariate exploratory ROC analysis 44. Multivariate models classification performance were evaluated based on AUC values of the ROC curves 43 constructed from O-PLS-DA cross-validation, as well as O-PLS-DA Q² values. Results are summarized in Table 1. For the entire 26 metabolites' dataset, we obtained a remarkable AUC of 0.9995, confirming the powerful classification ability of the model. As expected, individual metabolites displayed modest classification capacities with AUCs under 0.77. We obtained higher AUCs of 0.86 and 0.97 when using limited sets of 5 or 10 metabolites, respectively. These results show that simple combinations of discriminating metabolites can be designed to derive effective classification models between E. coli lactose (-) and Shigella spp. While individual metabolites fail to provide any satisfying discrimination between these closely related types of bacteria, multivariate models based on five, or ten metabolites could be exploited, depending on the analytical capacity and classification tolerance, retaining a diagnostic potential close to models constructed with the full ensemble of 26 quantified metabolites.

Independent validation of E. coli lactose (-) and Shigella multivariate discrimination

A second, independent series of 60 bacterial cultures was investigated to validate the classification model obtained for *E. coli lactose* (-) and *Shigella* species discrimination. NMR analysis, subsequent metabolite quantification and multivariate statistics were conducted without knowledge of the samples' class membership, which was revealed to the operator at readout stage only. One sample was discarded as an outlier from PCA analysis. Predicted scores (Fig. S4a, ESI), *i.e.* projection of this independent dataset onto the model of Fig. 3, show a clear separation of the two classes of samples along the predictive latent variable

(horizontal axis). Yet, individual class membership prediction is not accurate, with a clear shift of the whole validation cohort towards the left side of the diagram. This systematic error conveys a clear batch effect associated with the use of different batches of bacterial growth media for the two independent studies, whereas the good separation of the two classes along the predictive component stresses the robustness of this latent variable towards Shigella vs. E. coli lac (-) discrimination. When considering the above selected subset of 5 metabolites only, OPLS-DA blind prediction of individual class membership is noticeably enhanced (Fig. S4b-c, ESI), and predicted samples project onto their counterparts from the model cohort. Despite the presence of batch differences between the two cohorts, an OPLS-DA analysis carried out on the merged datasets of 26 quantified metabolites (Fig. S5, ESI), provides a robust discrimination of Shigella vs. E. coli lac (-) growth media, with homogenous groups of samples for each class, and the same set of main VIPs contributing to the model as the one determined from Fig. 3c in the initial cohort. In this work, the diversity of strains studied (16 strains per group) largely accounted for intra-species variability. Classification models based on larger cohorts will be certainly required to cover further variability among bacterial growth media sources, and generalize the proposed approach to robust classification of E. coli lac (-) and Shigella culture media of unknow origin.

CONCLUSION

This study demonstrates that untargeted proton NMR metabolomics of bacterial culture supernatants can robustly classify *E. coli* lactose (+) and *Shigella* spp. by unsupervised data analysis, and *E. coli* lactose (-) and *Shigella* spp. using supervised approaches. Lactose negative species have traditionally shown to be difficult to discriminate using classical (biochemical) techniques but also including the more modern ones such as mass spectrometry and nucleic acid amplification and sequencing. Statistical evaluation of ¹H NMR profiles of the bacterial exo-metabolome, as detected from culture media footprints, provides a robust and

predictive species classification between *E. coli* lactose (-) and *Shigella* species. Simple classification models, based on limited sets (5 or 10 metabolites) of easily measurable metabolite concentrations from supernatants of bacterial cultures in Mueller Hinton medium, delivered significant discrimination for *E. coli* lactose (-) vs. *Shigella* spp. Our approach demonstrates the relevance of NMR footprinting in the field of bacterial identification and discrimination, even in the case of traditionally challenging bacterial species discrimination. We stress however that the proposed classification based on small sets of metabolites does not require NMR as an exclusive analytical workhorse, and can potentially rely on other technologies or biochemical methods for metabolite quantification. The proposed approach opens up new prospects for accurate and cost-effective microbial clinical diagnosis.

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Notes

I.C., C.M. and A.vB. are bioMerieux employees. T.L.P. was employed by the CNRS with funding from BioMerieux. BioMerieux develops, markets and sells diagnostics tests in the infectious disease domain. The company had no direct influence on test design and data interpretation. S.C. is currently an employee of MacoPharma.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website. List of the 48 bacterial strains cultured and analyzed by NMR spectroscopy; list of 43 identified metabolites from NMR analysis of bacterial culture media; O-PLS-DA permutations testing for *E. coli* and individual *Shigella* species (*S. sonnei*, *S. flexneri* and *S. boydii*) discrimination; 2-classes O-PLS-DA model discriminating *E. coli* lac (-) and *Shigella* species based on 950 NMR variables; Individual metabolite concentrations in *E. coli* lac (-) and *Shigella* strains; Models validation using an independent cohort; O-PLS-DA model discriminating *E. coli* lac (-) and *Shigella* species for the merged test and validation cohorts.

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FIGURE LEGENDS

- **Fig. 1: NMR analyses of the exo-metabolome.** (a) Typical ¹H NMR spectrum of a *Shigella boydii* sample (culture supernatant) at exponential growth, i.e. after 1.5 hours of culture in a Mueller Hinton medium. Unsupervised multivariate data analysis based on the 950 variables derived from the NMR 1D spectra of culture media samples (centered variables, with no scaling). (b) Score plot of the PCA model (PC1 and PC2) including both *E. coli* lactose (+) and (-) and *Shigella* (N=138, R²= 0.986 and Q² = 0.92 on 32 principal components). *E. coli* lactose (-) and *Shigella* cannot be discriminated. (c) Score plot (PC1 and PC2) of the PCA model of *E. coli lactose* (+) and *Shigella* samples (N=91, R²= 0.95 and Q² = 0.854 on 15 principal components). The discrimination between those samples is straightforward.
- Fig. 2. Supervised multivariate discriminant analysis (O-PLS-DA) of *S. boydii*, *S. flexneri*, *S. sonnei* and *E. coli* lactose (-) culture supernatants. The 4-classes model is built from the full NMR data matrix (950 variables, no scaling) with 3 predictive and 12 orthogonal components; $R^2(X) = 0.994$, $R^2(Y) = 0.846$ and $Q^2 = 0.666$. Score plots represent data projections on planes defined by (a) the first 2 predictive components, and (b) the 1st and 3rd predictive components that displays optimum discrimination of species, respectively.
- Fig. 3. O-PLS-DA model based on 26 exo-metabolite concentrations derived from 1 H NMR profiles of *Shigella* and *E. coli* lactose (-) culture supernatants. (a) Score plot of the (1+7) O-PLS-DA model discriminating 46 *Shigella* samples (in purple) and 45 *E. coli* lactose (-) (in blue); $R^{2}(X) = 0.99$, $R^{2}(Y) = 0.75$ and $Q^{2} = 0.639$, CV-ANOVA *p*-value= 7.53 x10⁻¹¹. (b) The O-PLS model was validated by re-sampling under the null hypothesis. (c) VIP value of each metabolite.

Table 1: AUC of the ROC curves based on the cross-validated score values from O-PLS-DA models for the discrimination of *E. coli* lac(-) and *Shigella* species, for individual metabolites presented by predictive VIP order, and combination of metabolites obtained by feature selection from multivariate ROC exploratory analysis ⁴⁴.

Individual metabolites	Individual AUC				
Succinate (Suc)	0.674				
Acetate (Ace)	0.680				
Aspartate (Asp)	0.589				
Formate (Form)	0.588				
Lysine (Lys)	0.762				
Proprionate (PrP)	0.717				
Threonine (Thr) ^a	0.636				
Full Model	AUC	R2X	R2Y	Q2	Number of components
All 26 quantified metabolites	0.9995	0.99	0.75	0.639	1+7
Combination by ROC analysis	AUC	R2X	R2Y	Q2	Number of components
3 metabolites: Ace-Suc-Form	0.779	1	0.249	0.203	1+2
5 metabolites: Ace-Suc-Asp-Form-PrP	0.859	1	0.429	0.383	1+4
10 metabolites: Ace-Suc-Asp-Form-PrP-Thr- Lys-Glu-Leu-Pro	0.971	0.982	0.612	0.546	1+3
20 metabolites	0.998	0.991	0.744	0.64	1+7

^aOther abbreviations : Glu: Glutamate, Leu: Leucine, Pro : Proline

Figure 1.

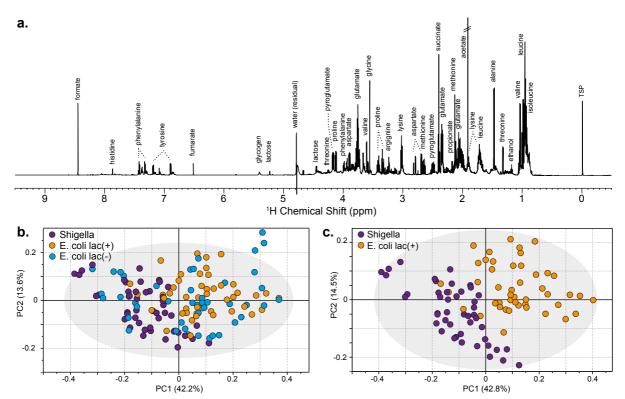


Figure 2.

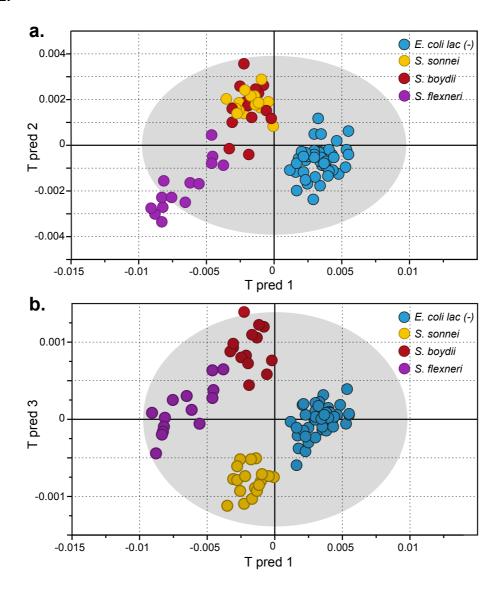
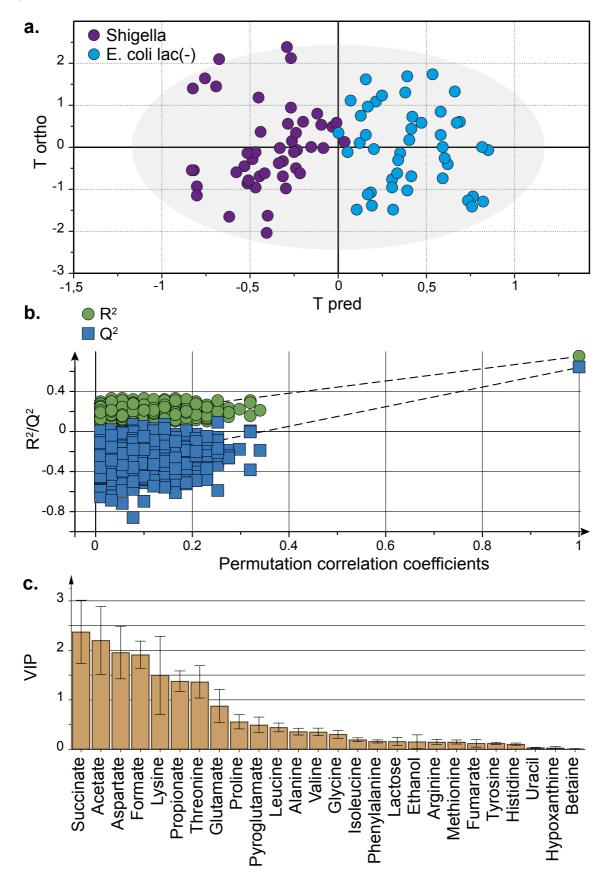


Figure 3.



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