

# Investigation of the thermodynamic properties of Al4C3: A combined DFT and DSC study

A. Pisch, A. Pasturel, G. Deffrennes, O. Dezellus, P. Benigni, G. Mikaelian

## ▶ To cite this version:

A. Pisch, A. Pasturel, G. Deffrennes, O. Dezellus, P. Benigni, et al.. Investigation of the thermodynamic properties of Al4C3: A combined DFT and DSC study. Computational Materials Science, 2020, 171, pp.109100. 10.1016/j.commatsci.2019.109100. hal-02317849

HAL Id: hal-02317849

https://hal.science/hal-02317849

Submitted on 28 Nov 2019

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Investigation of the thermodynamic properties of Al<sub>4</sub>C<sub>3</sub>: a combined 1 DFT and DSC study. 2 3 4 A. Pisch\*, A. Pasturel 5 Univ. Grenoble Alpes, CNRS, Grenoble INP, SIMaP, F-38000 Grenoble, France 6 G. Deffrennes, O. Dezellus 7 Laboratoire des Multimatériaux et Interfaces, Université Claude Bernard Lyon 1, F-69622 8 Villeurbanne, France. 9 P. Benigni, G. Mikaelian 10 Aix Marseille Univ, Université de Toulon, CNRS, IM2NP, F-13397 Marseille, France \* corresponding author: alexander.pisch@simap.grenoble-inp.fr 11 12 13 **Abstract** 14 The question of material stability through the determination of the thermodynamic properties is of 15 fundamental and technological importance to any analysis of system properties in many applications. For Al<sub>4</sub>C<sub>3</sub>, its experimental heat of formation varies widely, from -0.187 to -0.363 eV/atom, which 16 17 makes it difficult to use such experimental information for any reactivity assessment. Here, we 18 demonstrate that density functional theory (DFT), with the recently developed strongly constrained 19 and appropriately normed (SCAN) functional, is especially powerful in critically assessing these 20 experimental data. In order to have a more complete description of thermodynamic properties of 21 Al<sub>4</sub>C<sub>3</sub>, we also determine the temperature dependence of its heat capacity using both the harmonic 22 and quasi-harmonic approximation. In addition, and to select the most efficient approximation, we 23 come back to the experimental background by using differential scanning calorimetry (DSC) to 24 measure this quantity at constant pressure up to very high temperatures, namely 850K. 25 Keywords: Aluminum Carbide; Thermodynamic properties; DFT; SCAN+vdW functional

#### 1. Introduction

2627

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

Aluminum Carbide is a refractory material with applications in various technical fields. It is a potential stable phase in the carbothermic reduction of alumina [1]. It may be a product in the reaction of aluminum with silicon carbide [2]. Al<sub>4</sub>C<sub>3</sub> is also present in selected composite ceramics in the Y-Al-Si-C-O system [3]. In addition,  $Al_4C_3$  is an important phase for Al-based metal matrix composites. Recently, some authors reported its use as reinforcement [4–6]. However, the formation of  $Al_4C_3$  is most widely associated with a severe degradation of properties of composites [7,8]. For instance, Al<sub>4</sub>C<sub>3</sub> might form at the matrix/reinforcement interface during processing, dissolving the reinforcements and causing embrittlement. Last but not least, Al<sub>4</sub>C<sub>3</sub> is a semiconducting material and can be used in electronic components such as diodes [9]. In order to control the stability of the phase and the synthesis conditions, a thorough knowledge of the thermodynamic properties of solid Al<sub>4</sub>C<sub>3</sub> is mandatory. Its heat of formation, heat capacity at constant pressure and Gibbs energy were then studied intensively over the last 120 years but surprisingly the reported experimental values display large discrepancies. Indeed the standard heat of formation obtained from different experimental techniques involving variety of calorimetric methods based on combustion, acid-solution and direct-reaction and 2<sup>nd</sup> / 3<sup>rd</sup> law analysis of vapor pressure measurements [10–21] display a large range of values, varying from -0.187 to -0.363 eV/atom. More recently, DFT is applied as a computationally efficient component of a methodology capable of accurately determining structural properties and energies of formation of many compounds. Furthermore, this methodology should also be used where experimental data are lacking, i.e. in the prediction of new materials or in the case of experimental discrepancies or controversies. DFT with the PBE [22] generalized gradient approximation (GGA) to its exchange-correlation energy is the most common approach due to its relatively cheap computational cost and reasonable accuracy.

However, the theoretical determination at OK of the heat of formation of Al<sub>4</sub>C<sub>3</sub> using this

approximation [23] is considerably less negative than all experimental values, namely -0.013 eV/atom, preventing any use of theory to critically assess the experimental data. Note that corrections to include thermal contributions at T=298K [24,25] yields a value of -0.015 eV/atom but do not change the previous conclusion. Such a discrepancy can be attributed to the use of PBE, a common deficiency found in DFT calculations to capture the cohesive energy of graphite accurately [26]. The absence of van der Waals (vdW) interactions in PBE is the major source of error to describe the layered structure of graphite. We also emphasize that Al<sub>4</sub>C<sub>3</sub> has an unusual crystal structure that consists of alternating layers of Al<sub>2</sub>C and Al<sub>2</sub>C<sub>2</sub> (see Fig. 1) and PBE seems not to be accurate enough to describe its structural and cohesive properties.

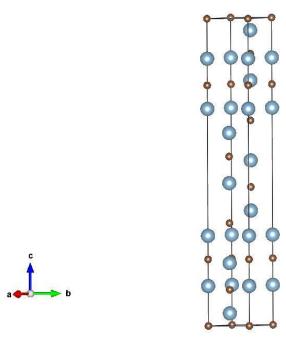


Figure 1: Crystal structure of Al<sub>4</sub>C<sub>3</sub>

In this work, we investigate the structural and thermodynamic properties of  $Al_4C_3$  using the recent SCAN many-body interaction functional [27]. First, we find that SCAN significantly improves the agreement between the experimental and calculated ground state properties of C-graphite and those of  $Al_4C_3$ . As a consequence, the energy of formation of  $Al_4C_3$  at 0K is -0.236 eV/atom, a value that is now located inside the range of experimental values. Moreover, we complete our theoretical

analysis at T = 0K by including temperature effects through the calculation of the temperature dependence of the heat of capacity of  $Al_4C_3$  as well as those of Al-fcc and C-graphite. Both harmonic and quasi-harmonic approximations are tested to determine these temperature dependences. Using the quasi-harmonic approximation, we obtain a standard heat of formation equal to -0.250 eV/atom. As experimental heat capacity data of  $Al_4C_3$  are only available at temperatures below 350K, we also determine its temperature dependence up to 850K by using the "discontinuous method" as described by Höhne et al. [28]. This experimental study allows to identify that the quasi-harmonic approximation provides theoretical values in close agreement with experimental data up to 500K.

# 2. Theoretical and experimental details

#### 2.1. DFT calculations

The ground state properties of pure carbon (graphite, diamond), aluminum (fcc) and  $Al_4C_3$  were calculated using density functional theory DFT [29,30] and the VASP software package [31,32] in its most recent version (5.4.4).

The Strongly Conditioned and Appropriately Normed (SCAN) semi-local density functional [27] was chosen for the calculations. In addition, we include van der Waals interactions to take into account the long range order phenomena in these carbon based materials. The numerical routines from Klimes et al. [33,34] as implemented in the VASP code were used. For Al, the 3p and 3s orbitals and for C, the 2p and 2s orbitals are considered as valence states in the calculations. The energy cutoff for the projector augmented plane-wave bases was set to 800 eV. An automatically generated, gamma centered grid of k-points in the irreducible part of the Brillouin zone was used following the Monkhorst-Pack scheme [35].

The lattice parameters of all compounds as well as their internal atomic coordinates were fully relaxed. The linear tetrahedron method with Blöchl corrections [36] was used to calculate the electronic density of states (DOS) for C-graphite and diamond as well as for Al<sub>4</sub>C<sub>3</sub>. For Al-fcc, the

Methfessel-Paxton method of  $2^{nd}$  order was used for the relaxation calculation. All relaxations were performed with a convergence criterion of  $10^{-8}$  eV/Å for the total energy.

Zero Point Energy (ZPE) and heat capacities at constant volume / pressure to access finite temperature properties can be obtained from lattice dynamics theory. The phonon spectra of all compounds were calculated with the frozen phonon (supercell) method using the phonopy code [37] coupled to VASP. The generated supercells for the different compounds were: 5x5x2 for C-graphite, 3x3x3 for C-diamond and Al-fcc and 2x2x1 for Al<sub>4</sub>C<sub>3</sub>. The convergence criteria for the Hellman-Feynman forces was set to 10<sup>-6</sup> eV/Å to avoid any kind of residual strain in the lattice.

#### 2.2 Experimental study

 $Al_4C_3$  powder was purchased from the Alfa Aesar company. The chemical analysis obtained from the manufacturer is shown in Table 1. As this product is moisture sensitive, the as received bottle containing the carbide powder was opened and further handled in a glove box maintained under a protective atmosphere of purified argon ( $O_2 < 10$  ppm,  $H_2O < 5$  ppm).

Table 1. Characteristics of the Al<sub>4</sub>C<sub>3</sub> powder according to Alfa Aesar's datasheet, in wt.%.

Product No	14038
Purity	99 %+ metals basis
Lot No	X22B028
C total	24.6 %
Al	72.0 %
Fe	0.1 %
N	0.8 %
0	1.4 %

The as received carbide powder was first analyzed by XRD. To avoid any potential hydration of the product during the XRD analysis, the aluminum carbide powder was loaded within the glovebox, in an airtight specimen holder equipped with a dome like X-ray transparent cap. During the specimen holder filling, it was not possible to perfectly flatten the surface of the powder because of the risk to trap powder grains in the groove of the O-ring of the holder, which could jeopardize the airtightness. The specimen holder was then transferred to the diffractometer equipped with a copper anode and analyzed in the [20-85°]  $2\theta$  range, with a step size of 0.013° and a scan step time of 196.7 s. The experimental diffractogram is compared in Figure 1 to the powder data file 00-035-0799 reference pattern of the  $Al_4C_3$  aluminum carbide calculated for the copper  $K(\alpha)$  wavelength. An angular correction has been applied to the experimental diffractogram to take into account a slight difference between the z position of the powder diffracting surface and the  $2\theta$  rotation axis of the goniometer. As already mentioned above, impossibility to level the powder surface during holder filling is the main reason explaining the necessity of this correction.

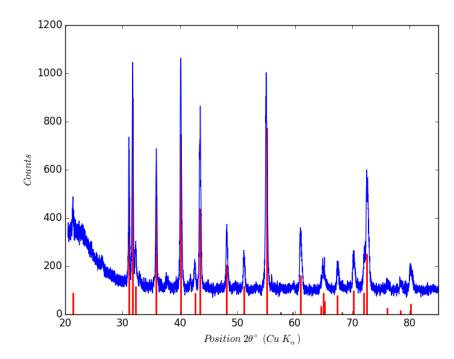


Figure 2: Corrected diffractogram of the as received  $Al_4C_3$  powder compared to the 00-035-0799 reference pattern of  $Al_4C_3$ .

The low angles  $(2\theta < 30^\circ)$  broad peak is due to the x-ray scattering by the glassy specimen holder dome. There is a good match between the diffractogram of the tested powder and the reference pattern both in term of peak positions and intensities. Moreover, even if some few and low intensity additional peak can be observed, no secondary phases can be detected on the diffractogram. Therefore, the amount of secondary phases can be considered to be lower than 1 wt.%. The heat capacity was measured by the so-called "small temperature steps procedure" or "discontinuous method" using the classical three steps method as described by Höhne et al. [28]. with a DSC 111 calorimeter from the Setaram Company. Further details on the calorimeter and on the experimental protocol can be found in Benigni et al. [38]. Four stainless steel crucibles provided by Setaram were used in the experiments. The crucibles were sealed with a crimping tool inside the glovebox. The first crucible was filled with 132.98 mg of Al<sub>4</sub>C<sub>3</sub>, the second with 133.571 mg of Standard Reference Material 720  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> [39], the other two were sealed empty. The temperature program and the processing of the recorded thermograms were performed with the SETSOFT 2000 software provided by Setaram. The parameters in the temperature program were set as follows: 2.5 K temperature step, 1.5 K/min heating rate between each step, and 800 s stabilization time after each step. The heat capacity was measured between 300 and 873 K. A point by point correction factor calculated from the ratio between the reference [39] and the apparent heat capacity of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was applied. The uncertainty of the heat capacity measurements is estimated to be around ±2.5% [38].

#### 3. Results and Discussion:

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

144

145146

147

148

149

150

3.1. Structural and cohesive properties of C-graphite and C-diamond

The crystal structure of hexagonal C-graphite was determined by Nelson & Riley [40], Baskin & Meyer [41], Trucano & Chen [42], Zhao & Spain [43] and Howe et al. [44]. It crystallizes in the P6<sub>3</sub>mmc space group with two fixed atomic positions: C1 (0 0 1/4) and C2 (1/3 2/3 1/4). C-diamond crystallizes in the cubic Fd-3m structure. Lattice parameter studies were performed by Riley [45], Straumanis & Aka

[46] and Hom et al. [47]. DFT calculations of the two allotropes of carbon were also performed using PBE [23]. While the agreement for C-diamond is good, the agreement for C-graphite is poor, especially the calculated c-axis is much longer than the experimental one. As already discussed in the introduction, the absence of vdW interactions in PBE does not allow to reproduce the interlayer coupling in the C-graphite structure. Note this remark holds also for other layered materials [26].

The available experimental [40–47] and theoretical [23,48,49] structural data for C-graphite and C-diamond are reported in Table 2 together with our values of the lattice constants obtained from SCAN calculations including vdW interactions. In the following we will use the term SCAN when referring to our findings. For C-graphite, the agreement with the low temperature data from Baskin & Meyer [41] is excellent. The c-axis elongation, as observed using PBE, disappears with SCAN. This confirms that the SCAN functional is particularly adapted describe the electronic properties of layered materials such as C-graphite. For C-diamond, an excellent agreement between the calculated and measured lattice parameters is also observed whatever the functional.

Table 2: Lattice parameters and cell volumes for C-graphite and C-diamond.

		a [Å]	c[Å]	V [ų]	Temperature	Reference
C-graphite	Experimental	2.4612	6.7079	35.189	285K	Nelson & Riley [40]
		2.4579	6.6720		4.2K	Baskin & Meyer [41]
		2.4589	6.7076		297К	
		2.464(2)	6.711(4)	35.294	300K	Trucano & Chen [42]
		2.462	6.707	35.207	298K	Zhao & Spain [43]
		2.4617(2)	6.7106(4)	35.219	298K	Howe et al. [44]
	Calculated	2.482	6.60		ОК	LCAO, Zunger [48]
		2.468	8.685	45.803	ОК	PBE, Jain et al. [23]
		2.450	6.670	34.673	ОК	SCAN [This work]

C-diamond	Experimental	3.56679	45.377	298K	Riley [45]
		3.56684	45.379	293K	Straumanis & Aka
					[46]
		3.566986	45.384	298K	Hom et al. [47]
	Calculated	3.602	46.734	0K	LMTO, Yin & Cohen
					[49]
		3.574	45.652	OK	PBE, Jain et al. [23]
		3.551	44.777	OK	SCAN [This work]

In Table 3, we compare the cohesive energy of C-graphite and C-diamond computed at T=0K using both PBE and SCAN functionals with the experimental data [50].

Table 3: Cohesive energy (in eV/atom) for C-graphite and C-diamond

	E <sub>coh</sub> (PBE)	E <sub>coh</sub> (SCAN)	E <sub>coh</sub> (Exp.) [50]
C-diamond	7.737	7.456	7.361
C-graphite	7.860	7.610	7.374

From Table 3, we can see that PBE cohesive energies are significantly larger than the experimental values in both graphite and diamond while this overestimation is corrected by SCAN.

## 3.2 Structural properties of Al<sub>4</sub>C<sub>3</sub>:

The first determination of the crystal structure of  $Al_4C_3$  was performed by v. Stackelberg and Schnorrenberg in 1934 [51].  $Al_4C_3$  crystallizes in the R-3m space group (166). This was confirmed by Cox and Pidgeon [52] using powder diffraction. The structure was further refined by Jeffrey et al. [53] and more recently by Gesing and Jeitschko [54] using single crystals. The experimental lattice parameters and the atomic positions are summed up in Table 3a and 3b.

## setting (R-3m space group)

	a [Å]	c [Å]	V [ų]	Temperature	Reference
Experimental	3.325	24.94	238.79	298K	v. Stackelberg &
					Schnorrenberg [51]
	3.30	24.89	234.74	298K	Jeffrey et al. [53]
	3.3355(1)	24.967(3)	240.56	298K	Gesing and Jeitschko [54]
Calculated	3.352	25.104	244.28	OK	PBE, Suetin et al. [55]
	3.354	25.117	244.69	OK	PBE, Jain et al. [23]
	3.335	24.967	240.50	ОК	PBE, Sun et al. [56]
	3.3256	24.844	237.95	ОК	SCAN [This work]

#### Table 3b: Experimental and calculated atomic positions for Al<sub>4</sub>C<sub>3</sub> in the hexagonal setting

	All	Al II	СІ	CII	Reference
	6c	6c	3a	6c	
Experimental	0 0 0.2916	0 0 0.1250	000	0 0 0.2291	v. Stackelberg &
					Schnorrenberg [51]
	0 0 0.296	0 0 0.129	000	0 0 0.217	Jeffrey et al. [53]
	0 0 0.29422(6)	0 0 0.12967(7)	000	0 0 0.2168(2)	Gesing and
					Jeitschko [54]
Calculated	0 0 0.29349	0 0 0.12990	000	0 0 0.21674	PBE, Jain et al. [23]
	0 0 0.29352	0 0 0.13016	000	0 0 0.21672	SCAN [This work]

DFT calculations using PBE were performed by Suetin et al. [55], Sun et al. [56] and in the frame of the materialsproject.org initiative [23]. We also report results obtained using SCAN. We note that

SCAN improves slightly structural values with respect to PBE and the agreement between DFT calculations and experimental values is satisfactory. Again, the improvement mainly concerns the caxis length which is closer to the experimentally observed value when using SCAN.

# 3.3 Heat of formation and heat of capacity of Al<sub>4</sub>C<sub>3</sub>

189 190

191

192

186

187

188

The formation energy of  $Al_4C_3$  at 0K is obtained by subtracting the weighed sum of the total energy of constituting elements from the total energy of the compound:

193 
$$\Delta H = E_T(Al_4C_3) - 4/7E_T(Al) - 3/7E_T(C).$$

This formation energy is then corrected with respect to the Zero Point Energies (ZPE) estimated from the frequency integration over the vibrational density of states [37]:

196 
$$\Delta H^{corr}(Al_4C_3) = \Delta H(Al_4C_3) + \Delta ZPE(Al_4C_3)$$

197 for which the ZPE correction is calculated as follows:

198 
$$\Delta ZPE = ZPE(Al_4C_3) - 4/7ZPE(Al) - 3/7ZPE(C)$$

- 199 The heat capacity at constant volume can be determined from the calculated Helmholtz free energy
- 200 F in the harmonic approximation (HA) using the vibrational density of states as a function of
- 201 frequency q of the band s:

$$F(V_0, T) = \frac{1}{2} \sum_{q,s} \hbar \omega(q, s) + k_B T \sum_{q,s} ln \left[ 1 - exp \left( \frac{-\hbar \omega(q, s)}{k_B T} \right) \right]$$

The vibrational entropy and the heat capacity at constant volume are then given by:

$$S = -\left(\frac{\partial F}{\partial T}\right)_{V}; C_{V} = -T\left(\frac{\partial^{2} F}{\partial T^{2}}\right)_{V}$$

- When repeating the HA calculations at several different volumes V to obtain a minimum value of F(V,T), the heat capacity at constant pressure in the quasi-harmonic approximation, QHA, is obtained
- 207 from:

$$C_P = -T \left( \frac{\partial^2 G(T, P)}{\partial T^2} \right)$$

with  $G(T,P) = min_v[E(V)+F(V;T)+PV]$ .

The standard heat of formation at 298K of Al<sub>4</sub>C<sub>3</sub> is then obtained from 0K calculations by including the ZPE correction and by subtracting the weighed sum of the heat content of constituting elements from the heat content of the compound, namely  $\Delta H_{0K}^{298K} = \Delta H_{0K}^{298K}(Al_4C_3) - 4/7\Delta H_{0K}^{298K}(Al) - 3/7\Delta H_{0K}^{298K}(C)$ .

In Figure 3 we present the calculated phonon Density of States (DOS) of  $Al_4C_3$  used to determine the temperature dependence of its thermodynamic properties.



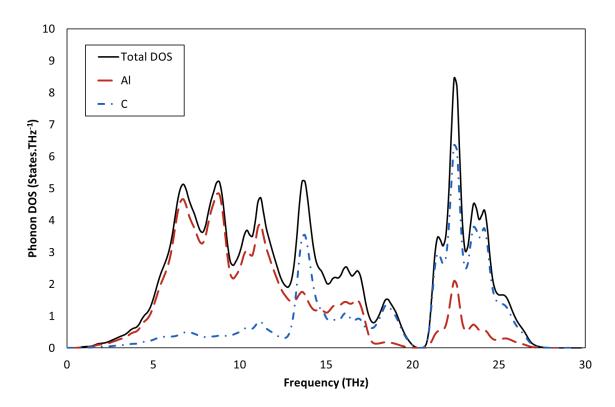


Fig.3: Calculated phonon Density of States (DOS) of Al<sub>4</sub>C<sub>3</sub>

The derived heat capacity at constant volume (harmonic approximation) and at constant pressure (quasi-harmonic approximation) are plotted in Figure 4 together with the available experimental data (present contribution and data from[24]).

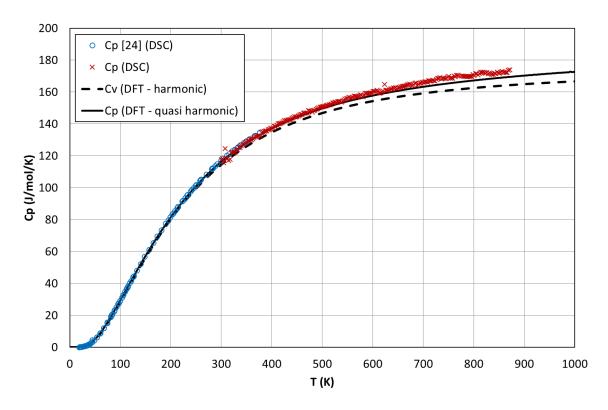


Fig.4: Comparison of calculated heat capacity at constant volume (harmonic) and constant pressure (quasi-harmonic) with experimental values (from this work and from [24])

The calculated heat capacity at constant pressure agrees well with the measured data from Furukawa et al. [24] and from the present experimental determination up to 500K. At higher temperatures, anharmonic contributions become more important and the measured values are higher than the calculated ones.

The calculated ground state energies for Al-fcc, C-graphite and Al<sub>4</sub>C<sub>3</sub> with the SCAN functional are summed up in Table 4. Using the calculated Zero Point Energies (ZPE) and the heat contents from 0K to 298K, the standard heat of formation of Al<sub>4</sub>C<sub>3</sub> is -0.250 eV/atom. Note that the value obtained at T=0K using SCAN, namely -0.236 eV/atom, is much more negative than that obtained with PBE, -0.092 eV/atom.

	E <sub>T</sub> (OK)	ZPE (OK)	H(298K)-H(0K)	∆H(298K)
	[eV/atom]	[eV/atom]	[eV/atom]	[eV/atom]
Al-fcc	-7.699	0.037	0.047	
C-graphite	-10.052	0.175	0.011	
Al <sub>4</sub> C <sub>3</sub>	-8.943	0.089	0.024	-0.250

The comparison of our calculated value with the literature information allows now a critical assessment of the available experimental data since the SCAN value is well located in the experimental range. To illustrate this, we report all the experimental data and our theoretical one in Figure 5. We find that the calculated standard heat of formation shows a good agreement with the calorimetric data from Meichsner & Roth [11,12] and Rinehart & Behrens [20]. All other values are considerably more or less exothermic than the calculated value, not to mention again the value using PBE [23] that is off the experimental range. Understanding the origin of the experimental discrepancies is beyond the scope of this work. However, incomplete reaction or metastable reaction products in the combustion or dissolution calorimetry experiments or kinetic blockage of the graphite layer at the sample surface during vaporization may explain the dispersion in experimental values obtained from vapor pressure measurements as indicated by Rinehart & Behrens [20].

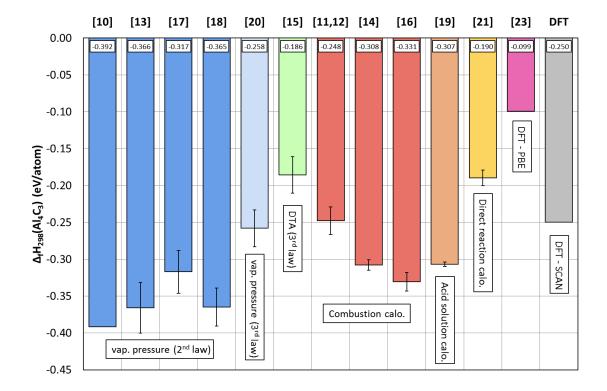


Fig.5: Comparison of heat of formation of Al<sub>4</sub>C<sub>3</sub> data from literature and this work

We can also use calculated heat capacity values from the quasi-harmonic approximation to determine the standard entropy. We find a value of 0.924 meV/atom/K (89.12 J/mol/K), which is in excellent agreement with the experimental one [24,57], i.e. 0.922 meV/atom/K (88.97 J/mol/K).

From the band structure of Al<sub>4</sub>C<sub>3</sub> computed at T=0K, we obtain an indirect gap with a calculated value of 1.342 eV which is equivalent to 1.315 eV using PBE [23]. It is important to mention that the use of SCAN still underestimates band gap energies as this is generally the case for PBE [62].

Finally, there is a plausible additional correction in relation to the ground state properties of C-graphite. The heat of reaction for the diamond to graphite transition in carbon was measured by Rossini & Jessup [58] using combustion calorimetry and a value of 0.020±0.01eV/atom is reported at 298K. More recently, Kleppa and Hong [59] obtain a standard heat of reaction of 0.018±0.010 eV/atom using high temperature solution calorimetry. In addition, Hultgren et al. [60] published an assessed value of 0.020 eV/atom at 298K while in the compilation of Glushko [61], a value of

0.019±0.01 eV/atom is given. All these values are in close agreement. At 298K, the standard heat of

reaction calculated by SCAN including ZPE corrections and the heat content is equal to 0.070 eV/atom, as shown in Table 5.

Table 5: Calculated ground state properties of C-graphite and C-diamond using the SCAN functional.

	E(OK)	ZPE (OK)	H(298K)-H(0K)	$\Delta_{\rm r}$ H(298K)
	[eV/atom]	[eV/atom]	[eV/atom]	[eV/atom]
C-graphite	-10.052	0.175	0.011	
C-diamond	-9.987	0.186	0.005	0.070

Then, we get a difference equal to 0.050 eV/atom between the experimental value obtained Rossini & Jessup [58] and the calculated one. Note that the difference with PBE calculations is much more important, namely 0.114 eV/atom.

If we attribute this difference to some limitations of SCAN in the accurate description of the ground state properties of C-graphite as shown in Table 3, the heat of formation of  $Al_4C_3$  has to be corrected by a value equal to-0.022 eV/atom. The corrected value is then -0.272 eV/atom, which does not modify our conclusions on the assessment of experimental values.

## 4. Conclusion

We have shown that the recently proposed SCAN functional including vdW interactions considerably improves the theoretical prediction of the heat of formation of  $Al_4C_3$  as well as the ground state properties of C-graphite and C-diamond with respect to PBE calculations. We also demonstrated that the calculated heat capacity at constant pressure using the quasi-harmonic approximation is in good agreement with experimental data obtained by DSC up to 500K. Our theoretical approach is also confirmed by the good agreement between the calculated standard entropy and the experimental one. All these results allow us to critically assess the experimental heats of formation of  $Al_4C_3$  which

- is characterized by a wide range of available values using different experimental techniques. We thus conclude that SCAN would provide an improved description of the thermodynamic properties of layered materials more generally, at a cost comparable to PBE.
- 290 5. Acknowledgement

- 292 Fruitful discussions within the French collaborative network in high temperature thermodynamics
- 293 GDR CNRS n°3584 (TherMatHT) are acknowledged. Carine Perrin-Pellegrino (IM2NP) is gratefully
- acknowledged for the XRD analysis of the Al<sub>4</sub>C<sub>3</sub> powder.
- 295 6. Data availability

- 297 The raw/processed data required to reproduce these findings were added as supplementary
- 298 information.
- 299 7. References
- 300 [1] W.L. Worrell, Carbothermic Reduction of Alumina: A Thermodynamic Analysis, Canadian 301 Metallurgical Quarterly. 4 (1965) 87–95. doi:10.1179/cmq.1965.4.1.87.
- 302 [2] L.L. Oden, R.A. McCune, Phase equilibria in the Al-Si-C system, Metallurgical Transactions A. 18 (1987) 2005–2014. doi:10.1007/BF02647073.
- 304 [3] J. Gröbner, Thermodynamische Berechnungen im System Y-Al-Si-C-O, University of Stuttgart, 305 Germany, 1994.
- 306 [4] M. Besterci, Preparation, microstructure and properties of Al–Al4C3 system produced by mechanical alloying, Materials & Design. 27 (2006) 416–421.
  308 doi:10.1016/j.matdes.2004.11.012.
- 309 [5] Y. Birol, Response to thermal exposure of the mechanically alloyed Al/C powder blends, Journal of Alloys and Compounds. 460 (2008) L1–L5. doi:10.1016/j.jallcom.2007.05.071.
- 311 [6] M. Nagendra Naik, K. Dharma Reddy, P. Venkata Ramaiah, B. Venkata Narayana, G. Bhanodaya
   312 Reddy, Exploration of Mechanical behaviour and Wear Behaviour of Al 4 C 3 Reinforced
   313 Aluminium Metal Matrix Composites, Materials Today: Proceedings. 4 (2017) 2989–2998.
   314 doi:10.1016/j.matpr.2017.02.181.
- M. Rodríguez-Reyes, M.I. Pech-Canul, J.C. Rendón-Angeles, J. López-Cuevas, Limiting the
   development of Al4C3 to prevent degradation of Al/SiCp composites processed by pressureless
   infiltration, Composites Science and Technology. 66 (2006) 1056–1062.
   doi:10.1016/j.compscitech.2005.07.025.
- T. Etter, P. Schulz, M. Weber, J. Metz, M. Wimmler, J.F. Löffler, P.J. Uggowitzer, Aluminium carbide formation in interpenetrating graphite/aluminium composites, Materials Science and Engineering: A. 448 (2007) 1–6. doi:10.1016/j.msea.2006.11.088.
- 322 [9] S.-M. Kim, S.-M. Koo, Electrical properties of Al/Al 4 C 3 /4H-SiC diodes, Materials Science in Semiconductor Processing. 74 (2018) 170–174. doi:10.1016/j.mssp.2017.10.012.
- 324 [10] S. Satoh, The Heat of Formation and Specific Heat of Aluminium Carbide, Sc. Pap. I.P.C.R. 34 (1937) 50–59.

- 326 [11] A. Meichsner, W.A. Roth, Beiträge zur Thermochemie des Aluminiums, Ber. Bunsenges. Phys. 327 Chem. 40 (1934) 19–26.
- 328 [12] W.A. Roth, Die Bildungswärmen von Calciumaluminaten, Z. Elektrochemie. 48 (1942) 267.
- 329 [13] D.J. Meschi, A.W. Searcy, The Dissociation Pressure of Aluminum Carbide, The Journal of Physical Chemistry. 63 (1959) 1175–1178. doi:10.1021/j150577a036.
- 331 [14] R.C. King, G.T. Armstrong, Heat of combustion and heat of formation of aluminum carbide, 332 Journal of Research of the National Bureau of Standards Section A: Physics and Chemistry. 68A 333 (1964) 661–668.
- 334 [15] W.J. Thoburn, Ph.D. Dissertation, University of Toronto, 1964.
- 335 [16] A.D. Mah, HEAT OF FORMATION OF ALUMINUM CARBIDE, U.S. Dept. of the Interior, Bureau of Mines. (1964).
- E.R. Plante, C.H. Schreyer, Dissociation pressure of aluminum carbide using a rotating Knudsen
   cell, Journal of Research of the National Bureau of Standards Section A: Physics and Chemistry.
   70A (1966) 253.
- 340 [18] N.D. Potter, E. Murad, D.L. Hildenbrand, Y.H. Inani, W.F. Hall, Aeronutronic Publ. U-3748. (1966).
- R.O.G. Blachnik, P. Gross, C. Hayman, Enthalpies of formation of the carbides of aluminium and beryllium, Transactions of the Faraday Society. 66 (1970) 1058. doi:10.1039/tf9706601058.
- 344 [20] G.H. Rinehart, R.G. Behrens, Vaporization thermodynamics of aluminum carbide, The Journal of Chemical Thermodynamics. 12 (1980) 205–215. doi:10.1016/0021-9614(80)90038-5.
- S.V. Meschel, O.J. Kleppa, Standard enthalpies of formation of AlB12 and Al4C3 by high
   temperature direct synthesis calorimetry, Journal of Alloys and Compounds. 227 (1995) 93–96.
   doi:10.1016/0925-8388(95)01649-X.
- J.P. Perdew, K. Burke, M. Ernzerhof, Generalized Gradient Approximation Made Simple, Physical Review Letters. 77 (1996) 3865–3868. doi:10.1103/PhysRevLett.77.3865.
- 351 [23] A. Jain, S.P. Ong, G. Hautier, W. Chen, W.D. Richards, S. Dacek, S. Cholia, D. Gunter, D. Skinner, G. Ceder, K.A. Persson, Commentary: The Materials Project: A materials genome approach to accelerating materials innovation, APL Materials. 1 (2013) 011002. doi:10.1063/1.4812323.
- [24] G.T. Furukawa, T.B. Douglas, W.G. Saba, A.C. Victor, Heat Capacity and Enthalpy Measurements
   on Aluminum Carbide (A14C3) From 15 to 1173 OK. Thermodynamic Properties From 0 to 2000
   °K, JOURNAL OF RESEARCH of the National Bureau of Standards-A. Physics and Chemistry. 69A
   (1965) 423–438.
- M.W. Chase, National Institute of Standards and Technology (U.S.), eds., NIST-JANAF
   thermochemical tables, 4th ed, American Chemical Society; American Institute of Physics for
   the National Institute of Standards and Technology, Washington, DC: New York, 1998.
- [26] I.G. Buda, C. Lane, B. Barbiellini, A. Ruzsinszky, J. Sun, A. Bansil, Characterization of Thin Film
   Materials using SCAN meta-GGA, an Accurate Nonempirical Density Functional, Scientific
   Reports. 7 (2017). doi:10.1038/srep44766.
- J. Sun, A. Ruzsinszky, J.P. Perdew, Strongly Constrained and Appropriately Normed Semilocal Density Functional, Physical Review Letters. 115 (2015). doi:10.1103/PhysRevLett.115.036402.
- [28] G. Höhne, W. Hemminger, H.-J. Flammersheim, Differential scanning calorimetry, Springer,
   Heidelberg; New York, 2010.
- 368 [29] P. Hohenberg, W. Kohn, Inhomogeneous Electron Gas, Physical Review. 136 (1964) B864–B871. doi:10.1103/PhysRev.136.B864.
- 370 [30] W. Kohn, L.J. Sham, Self-Consistent Equations Including Exchange and Correlation Effects, 371 Physical Review. 140 (1965) A1133—A1138. doi:10.1103/PhysRev.140.A1133.
- [31] G. Kresse, J. Furthmüller, Efficient iterative schemes for *ab initio* total-energy calculations using
   a plane-wave basis set, Physical Review B. 54 (1996) 11169–11186.
   doi:10.1103/PhysRevB.54.11169.
- 375 [32] G. Kresse, D. Joubert, From ultrasoft pseudopotentials to the projector augmented-wave method, Physical Review B. 59 (1999) 1758–1775. doi:10.1103/PhysRevB.59.1758.

- 377 [33] J. Klimeš, D.R. Bowler, A. Michaelides, Van der Waals density functionals applied to solids, 378 Physical Review B. 83 (2011). doi:10.1103/PhysRevB.83.195131.
- J. Klimeš, D.R. Bowler, A. Michaelides, Chemical accuracy for the van der Waals density
   functional, Journal of Physics: Condensed Matter. 22 (2010) 022201. doi:10.1088/0953-8984/22/2/022201.
- 382 [35] H.J. Monkhorst, J.D. Pack, Special points for Brillouin-zone integrations, Physical Review B. 13 383 (1976) 5188–5192. doi:10.1103/PhysRevB.13.5188.
- 384 [36] P.E. Blöchl, O. Jepsen, O.K. Andersen, Improved tetrahedron method for Brillouin-zone 385 integrations, Physical Review B. 49 (1994) 16223–16233. doi:10.1103/PhysRevB.49.16223.
- 386 [37] A. Togo, I. Tanaka, First principles phonon calculations in materials science, Scripta Materialia. 387 108 (2015) 1–5. doi:10.1016/j.scriptamat.2015.07.021.
- [38] P. Benigni, G. Mikaelian, R. Pothin, A. Berche, R.M. Ayral, J.C. Tedenac, P. Jund, J. Rogez,
   Measurement of the heat capacity of ZnSb by DSC between 300 and 673 K, Calphad. 55 (2016)
   238–242. doi:10.1016/j.calphad.2016.09.008.
- [39] G.A. Uriano, Standard Reference Material 720 Synthetic Sapphire (α-Al2O3), National Bureau of
   Standards Certificate. (1982).
- J.B. Nelson, D.P. Riley, The thermal expansion of graphite from 15C to 800C: Part I.
   Experimental., Proceedings of the Physical Society. 57 (1945).
- 395 [41] Y. Baskin, L. Meyer, Lattice Constants of Graphite at Low Temperatures, Physical Review. 100 (1955) 544–544. doi:10.1103/PhysRev.100.544.
- 397 [42] P. Trucano, R. Chen, Structure of graphite by neutron diffraction, Nature. 258 (1975) 136–137.
   398 doi:10.1038/258136a0.
- 399 [43] Y.X. Zhao, I.L. Spain, X-ray diffraction data for graphite to 20 GPa, Physical Review B. 40 (1989) 400 993–997. doi:10.1103/PhysRevB.40.993.
- 401 [44] J.Y. Howe, C.J. Rawn, L.E. Jones, H. Ow, Improved crystallographic data for graphite, Powder 402 Diffraction. 18 (2003) 150–154. doi:10.1154/1.1536926.
- 403 [45] D.P. Riley, Lattice Constant of Diamond and the C–C Single Bond, Nature. 153 (1944) 587–588. doi:10.1038/153587b0.
- 405 [46] M.E. Straumanis, E.Z. Aka, Precision Determination of Lattice Parameter, Coefficient of Thermal 406 Expansion and Atomic Weight of Carbon in Diamond <sup>1</sup>, Journal of the American Chemical 407 Society. 73 (1951) 5643–5646. doi:10.1021/ja01156a043.
- 408 [47] T. Hom, W. Kiszenik, B. Post, Accurate lattice constants from multiple reflection measurements.
  409 II. Lattice constants of germanium silicon, and diamond, Journal of Applied Crystallography. 8
  410 (1975) 457–458. doi:10.1107/S0021889875010965.
- 411 [48] A. Zunger, Self-consistent LCAO calculation of the electronic properties of graphite. I. The 412 regular graphite lattice, Physical Review B. 17 (1978) 626–641. doi:10.1103/PhysRevB.17.626.
- 413 [49] M.T. Yin, M.L. Cohen, Ground-state properties of diamond, Physical Review B. 24 (1981) 6121–414 6124. doi:10.1103/PhysRevB.24.6121.
- 415 [50] C. Kittel, Introduction to solid state physics, 7. ed, Wiley, New York, NY, 1996.
- 416 [51] M. v. Stackelberg, E. Schnorrenberg, Die Struktur des Aluminiumcarbids Al4C3, Zeitschrift Für 417 Physikalische Chemie. 27B (1934). doi:10.1515/zpch-1934-0107.
- 418 [52] J.H. Cox, L.M. Pidgeon, THE X-RAY DIFFRACTION PATTERNS OF ALUMINUM CARBIDE Al 4 C 3
  419 AND ALUMINUM OXYCARBIDE Al 4 O 4 C, Canadian Journal of Chemistry. 41 (1963) 1414–1416.
  420 doi:10.1139/v63-192.
- 421 [53] G.A. Jeffrey, V. Wu, The structure of the aluminum carbonitrides. II, Acta Crystallographica. 20 (1966) 538–547. doi:10.1107/S0365110X66001208.
- 423 [54] T.M. Gesing, W. Jeitschko, The Crystal Structure and Chemical Properties of U2Al3C4 and 424 Structure Refinement of Al4C3, Zeitschrift Für Naturforschung B. 50 (1995) 196–200. 425 doi:10.1515/znb-1995-0206.
- 426 [55] D.V. Suetin, I.R. Shein, A.L. Ivanovskii, Structural, elastic and electronic properties and 427 formation energies for hexagonal (W0.5Al0.5)C in comparison with binary carbides WC and

- Al4C3 from first-principles calculations, Physica B: Condensed Matter. 403 (2008) 2654–2661. doi:10.1016/j.physb.2008.01.045.
- 430 [56] L. Sun, Y. Gao, K. Yoshida, T. Yano, W. Wang, Prediction on structural, mechanical and thermal 431 properties of Al <sub>4</sub> SiC <sub>4</sub> , Al <sub>4</sub> C <sub>3</sub> and 4H-SiC under high pressure by first-principles calculation, 432 Modern Physics Letters B. 31 (2017) 1750080. doi:10.1142/S0217984917500804.
  - [57] W.G. Saba, G.T. Furukawa, Aluminium carbide. Measurments of the low-temperature heat capacity and correlation of the results with high-temperature enthalpy data, NBS Report 7587. (1962).
- 436 [58] F.D. Rossini, R.S. Jessup, Heat and free energy of formation of carbon dioxide, and of the 437 transition between graphite and diamond, Journal of Research of the National Bureau of 438 Standards. 21 (1938) 491–513.
- 439 [59] O.J. Kleppa, K.C. Hong, New applications of high-temperature solution calorimetry 1. Enthalpy 440 of the diamond-to-graphite transformation and enthalpy of formation of Mn5C2 at 1320 K, The 441 Journal of Chemical Thermodynamics. 10 (1978) 243–248. doi:10.1016/0021-9614(78)90020-4.
- 442 [60] R. Hultgren, P.D. Desai, D.T. Hawkins, M. Gleiser, K.K. Kelley, D.D. Wagman, Selected Values of 443 the Thermodynamic Properties of the Elements, ASM, Metals Park, OH, 1973.
- 444 [61] V.P. Glushko, Thermal Constants of Substances, Nauka, Moscow. (1965).
  - [62] E.B. Isaacs, C. Wolverton, Performance of the strongly constrained and appropriately normed density functional for solid-state materials, Physical Review Materials, 2(6)(2018) 063801. doi: 10.1103/PhysRevMaterials.2.063801

445 446

433