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# Reverse conductivity for water transport and related anatomy in fine roots of six temperate tree species — a potential limitation for hydraulic redistribution

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#### **Key words**

Climate change, drought stress, water flow, Sycamore maple (*Acer pseudoplatanus*), Sweet chestnut (*Castanea sativa*), Norway spruce (*Picea abies*), Douglas fir (*Pseudotsuga menziesii*), English oak (*Quercus robur*), European beech (*Fagus sylvatica*)

#### **Abstract**

Hydraulic redistribution (HR), the passive reallocation of water along plant structures following a water potential gradient, is an important mechanism for plant survival under drought. For example, trees with deeper roots reallocate water from deeper moist to shallower, drier soil layers sustaining their upper fine root system. The relevance of HR for temperate forest ecosystems is hardly investigated. Both environmental and tree internal factors limiting the capacity for HR, such as low water potential gradients or root anatomy, respectively, are not well understood. Here we investigate fine root anatomy and related capacity for reverse flow of water of six temperate tree species, i.e. Acer pseudoplatanus, Castanea sativa, Fagus sylvatica, Picea abies, Pseudotsuga menziesii and Quercus robur both in forward and reverse flow direction. Additionally, anatomy of primary and secondary roots was analyzed, to test the hypotheses that root anatomy is similar in primary and secondary roots (H1) and conductivity for forward and reverse flow of water in fine roots is identical (H2). In contrast to the two conifer species, most anatomical parameters, e.g. hydraulic conduit diameter and conduit density, were distinctly different between primary and secondary roots in the angiosperms. Therefore, H1 was not supported for angiosperm trees. The reverse flow of water in fine roots was reduced by approx. 40 % compared to the forward flow in angiosperms, while there was no difference in the conifers. Thus, H2 was confirmed for conifers while there was a significant difference for angiosperms. This reduction may be caused by vessel structure (e.g. tapering or secondary thickening elements), or perforation plate and pit architecture (e.g. width of aperture opening). Because of the reduced conductivity of reverse water flow, the ability of angiosperm trees to redistribute water along their root system might be lower than expected.

#### Introduction

Prolonged and intense drought periods are one of the consequences of ongoing climate change (IPCC 2007, 2014) as predicted by most climate change scenarios (Burke *et al.*, 2006). Central Europe experienced extreme droughts during summers 2003, 2015 and 2018 (Ionita *et al.*, 2017; Hänsel *et al.*, 2019). The ramifications of such extreme events were drastic for temperate European (Ciais *et al.*, 2005; Leuzinger *et al.*, 2005) and North American forests (Asner *et al.*,

2016; Hartmann et al., 2018). Long and severe drought periods often lead to a severe shortage of water for plants which may result in hydraulic failure, the collapse of the water conducting system, often resulting in tree death (Brodribb and Cochard, 2009; Urli et al., 2013). In particular trees as long-living organisms possess versatile strategies against drought such as hydraulic (Tomasella et al., 2017a) or photosynthetic acclimation (Watkinson et al., 2003). Another strategy is hydraulic redistribution (HR) of water from moist (e.g. deeper) to drier soil layers via the root system (Neumann and Cardon, 2012; Prieto et al., 2012), a phenomenon hardly explored for temperate forests (Emerman and Dawson, 1996). If deeper roots have access to more water-saturated soil layers, the complete root system will equilibrate at high water potentials, in particular during the night when stomata close. The water potential gradient within the soil is the driving factor for the redistribution of water towards the soil layers with lower water potential along the root. A wide range of plant species, including conifers and angiosperm trees, are able to redistribute water (e.g. Prieto et al., 2012; Hafner et al., 2017), while the amount of redistributed water can strongly vary (from 0.04 to 4 mm water per day) even within one ecosystem (Neumann and Cardon, 2012). Nevertheless, on average HR water can represent up to 15 % of water used for transpiration (Neumann and Cardon, 2012) or up to 80% of root water (Hafner et al., 2017) and therefore play an important role for the water balance of plants. Several empirical studies report a suit of factors that define the amount of HR and consequently are used in model predictions (Neumann and Cardon, 2012 and citations within). Among these are 'external' factors, such as the water potential gradient (Ryel et al., 2004) or root-soil contact and 'internal' driving factors, such as root conduit anatomy (Hafner et al., 2017). However, fine root anatomy and related hydraulic conductivities are hardly studied. Especially conductivity measurements of roots are scarce and in the reverse direction, i.e. from the rootstock to the fine roots as it is the case of HR, unreported.

In a conducting element, the diameter of the pipes is the most confining factor for the amount of transported water, as given by the law of Hagen-Poiseuille. Thus, roots with high water transport capacity should also redistribute more water. During HR water flows against the "usual" direction; nevertheless, we expect the conductivity of fine roots for water to be independent of its direction. To this end, we investigated the fine root systems of six temperate tree species, i.e. Norway spruce (Picea abies L. Karst), European beech (Fagus sylvatica L.), sycamore maple (Acer pseudoplatanus L.), sweet chestnut (Castanea sativa Mill.), English oak (Quercus robur L.) and Douglas fir (Pseudotsuga menziesii Mirb. Franco). We chose these species not only for their importance in forestry and natural forests stands, but also for their differences in anatomy. As spruce and Douglas fir are conifers, they have only tracheids as conductive elements. Among the four angiosperms, two species were stem-diffuse porous (beech and maple) and two had a ring porous stem anatomy (chestnut and oak). Hence, we examined a broad spectrum in conduit sizes and wood traits, which are controlled by genetic and environmental factors (Schreiber et al., 2015). To test whether root order has an influence on anatomy, we compared primary roots with older, secondary roots, hypothesizing that fine root anatomy is similar in primary and secondary roots (H1). Closely related to anatomy is the root conductivity for water. As the reverse flow of water in roots could be an important limitations for HR, we compared the conductivity of forward and reverse flow in secondary roots of the six study tree species. Based on the analogy between roots and pipes and the law of Hagen-Poiseuille we hypothesized that there is no difference between forward and reverse water flow in fine roots (H2).

#### **Materials and Methods**

#### Plant material

The study was done on two – four years old saplings of two conifers (*P. abies* and *P. menziesii*) and four angiosperms (*A. pseudoplatanus*, *C. sativa*, *F. sylvatica* and *Q. robur*). Plants were potted two years before the start of the experiment in potting soil (90 %, mixture of topsoil, compost, turf and lava (20 % organic matter; Wurzer Umwelt GmbH, Eitting, Germany) mixed with soil taken from respective native stands (10 %), except for Douglas fir which was potted the year before the experiment. During these two years, plants were kept in a greenhouse under near ambient climate conditions in Freising, Germany (48°23′57.98″ N, 11°43′00.99″ E).

## Fine root anatomy in laser ablated cross-sections

Five individuals of each species were harvested prior of the experiment for assessments on fine root anatomy. Root systems were carefully freed of the soil and one sample of approx. 1 cm length was taken. The sample preparation and drying in different concentrations of ethanol (first 70 %, 95 % and finally 99 %) followed the protocol of Hafner *et al.* 

(2017). Primary roots (diameter  $0.23 \pm 0.14$  mm), with only primary growth, secondary roots (diameter  $1.46 \pm 0.50$  mm) and stem segments (diameter  $3.89 \pm 0.70$  mm) were cut by laser ablation tomography (Chimungu *et al.*, 2014) with cross-sections photographed continuously (resolution: 25400 dpi, figure 1). The complete cross-section was analyzed for primary roots, whereas for secondary roots three areas of interest (AOI, each area  $0.5 \text{ mm}^2$ ) of a square shape were selected randomly and representatively in each cross-section and analyzed for their xylem conduit size and distribution. In each AOI, the xylem conduits were marked by hand on an extra layer using GIMP (version: 2.8.16, GNU Image Manipulation Program, The GIMP Team, https://www.gimp.org). The conduits were then analyzed with ImageJ (Version 1.47t, Wayne Rasband, National Institutes of Health, Bethesda, USA) for the area ( $C_A$ , in  $\mu$ m²) of each xylem element. Calculations and analyses of the pictures followed Scholz *et al.* (2013) and Zanne *et al.* (2010). From the conduit area, the equivalent circle diameter ( $C_A$ ), in  $C_A$ 0 was calculated:

$$D = \sqrt{\frac{4C_A}{\pi}} \tag{1}$$

Via the equivalent circle diameter, the hydraulic diameter ( $D_H$  in  $\mu m$ ) was calculated following Tyree and Zimmermann (2002), (equation 2). The hydraulic diameter is the weighted diameter of vessels that contribute to the overall conductivity.

$$D_H = \left(\frac{\sum D^4}{N}\right)^{0.25} \tag{2}$$

Conduit density (C<sub>D</sub>, in mm<sup>-2</sup>) was calculated by dividing the number of conduits by the respective AOI. The vessel lumen fraction (F, unitless) shows the proportion of an area covered by vessel lumen (see equation 3). The higher F is, the lower would be the support tissue fraction, and it can be used as an indicator for mechanical strength and hydraulic conductivity (Jacobsen *et al.*, 2005, Preston *et al.*, 2006). It is calculated as:

$$F = C_D * C_A \tag{3}$$

The vessel composition index as introduced by Zanne et al. (2010, S, in mm<sup>4</sup>) indicates how resistant a plant is against cavitation. Low values indicate a higher resistance against drought and frost induced cavitation, but also a less efficient water transport (Zanne *et al.*, 2010).

$$S = \frac{C_A}{C_D} \tag{4}$$

For the angiosperms, the vessel grouping index,  $V_G$ , (Carlquist, 2001) was assessed additionally. The  $V_G$  gives an idea about the number of solitary versus grouped vessels (see equation 5). The total number of vessels ( $N_{vessels}$ ) is divided by the number of vessel groups ( $N_{groupings}$ ), where solitary vessels also count as a group. A  $V_G$  of one indicates that only solitary vessel are present.

$$V_G = \frac{N_{vessels}}{N_{groupings}} \tag{5}$$

#### **Conductance measurements and conductivity calculations**

Five plants per species were harvested between July and September 2017. The whole root system was quickly and carefully cleared from the soil and one subsample, after the first branching and without side roots, was cut under water. We chose roots with a similar diameter (average diameter was  $2.6 \pm 0.7$  mm) to the secondary roots used for anatomical measurements (average diameter  $1.46 \pm 0.50$  mm). The sample was then cut several times under water, until it reached about the double of the desired length. Next, the sample was cut in half and randomly one piece was used for assessment of the forward conductivity and the remaining piece for the reverse conductivity. To measure the hydraulic conductance (Cochard *et al.*, 2013), the xylem embolism meter (XYL'EM, BRONKHORST France S.A.S., Montigny-Les-Cormeilles, France) was used. The bark was removed on the side that was inserted into the XYL'EM apparatus and from each side of every sample several thin cuts were made and preserved for the assessment of the conductive area. Every conductance measurement was made at approx. 7 kPa and with degassed, filtered (0.2  $\mu$ m) water with 10 mM KCl and 1 mM CaCl<sub>2</sub> added (Barigah *et al.*, 2013). This happened to avoid clogging and the

formation of microbubbles within the xylem elements. Salts were added to mimic the ion concentration of natural xylem water, to avoid swelling of cell walls. After each measurement, every sample was flushed several times at approx. 0.1 MPa for 10 minutes and measured until there was no further increase in measured conductance ( $K_{max}$ , kg MPa<sup>-1</sup> s<sup>-1</sup>, for details see Tomasella *et al.* (2017b)). Subsequently, the length (L [m], mean over all species: 0.027  $\pm$  0.006 m) of each sample was measured with a caliper for conductivity calculations. The thin sections, cut from each sample before the conductance measurements, were photographed using a stereo-microscope and analyzed for the conductive area ( $A_{cond}$  in m<sup>2</sup>) using the software ImageJ 1.47t. From  $A_{cond}$  the diameter ( $D_{cond}$  in mm) for every sample was calculated. There was no difference between the six species in  $D_{cond}$  (average diameter was 2.6  $\pm$  0.7 mm) and no difference between the distal and proximal diameter of each segment (P = 0.97). Maximum specific hydraulic conductivity for both forward ( $k_{s_max_m}$ , kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup>) and reverse conductivity ( $k_{s_max_m}$ , kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup>) was calculated as:

$$k_{s_{max}f/r} = \frac{K_{max} * L}{A_{cond}} \tag{6}$$

Forward and reverse hydraulic conductivity of stems ( $k_{s\_max\_stem\_f}$  and  $k_{s\_max\_stem\_r}$ , respectively) was assessed on additional plants of maple and oak. Two consecutive pieces of the stems were measured following the same procedure as for the roots.

#### **Statistics**

The data were statistically analyzed using R (version 3.5.2, R Development Core Team, 2008) in RStudio (version 1.1.442, RStudio Team, 2015). A linear mixed effect model (lme function of the package: nlme, version: 3.1-137) was used to test for differences in the conductivity and anatomy parameters. For every model, the residuals were tested for normality (shapiro test of the package: stats, version: 3.5.2) and for homogeneity of variances (levene test of the package: car, version 3.0-2). For the conductivity, the plant species, the conductivity direction (forward vs. reverse, n = 5 for both directions and each species) and their interaction were used as fixed factors and the plant pot as a random factor. For the anatomy, plant species, root orders (primary vs. secondary roots, n = 5 for both orders and each species) and their interaction were used as fixed factors and the root system from which the samples were taken as the random factor, for all examined anatomy parameters. If the lme showed any significances, we used a post-hoc test (emmeans function with Tukey correction of the package: emmeans, version: 1.3.1) to test for differences between the single groups. Data in text and tables is given as the mean  $\pm$  1 SD.

#### **Results**

#### Anatomy of primary and secondary roots

For the two conifers, within and between, there was no difference in the hydraulic diameter ( $D_H$ ) between primary and secondary roots (P = 0.86, overall mean:  $10.9 \pm 2.1 \, \mu m$ , figure 2). For all angiosperms, primary roots had significantly smaller  $D_H$  compared to secondary roots (P < 0.05, figure 2). Among angiosperms,  $D_H$  in primary roots did not differ (P = 0.47, overall mean  $19.4 \pm 4.9 \, \mu m$ ) (figure 2). For secondary roots, angiosperms showed significant differences between the species (P < 0.01, figure 2), with chestnut ( $47.0 \pm 14.0 \, \mu m$ ) having the largest  $D_H$ , followed by oak ( $37.1 \pm 3.2 \, \mu m$ ) and maple ( $29.9 \pm 2.7 \, \mu m$ ) and beech ( $29.2 \pm 3.5 \, \mu m$ ) having the smallest  $D_H$ . Overall, angiosperms showed a significantly larger  $D_H$  than conifers for both root orders (P < 0.001, figure 2).

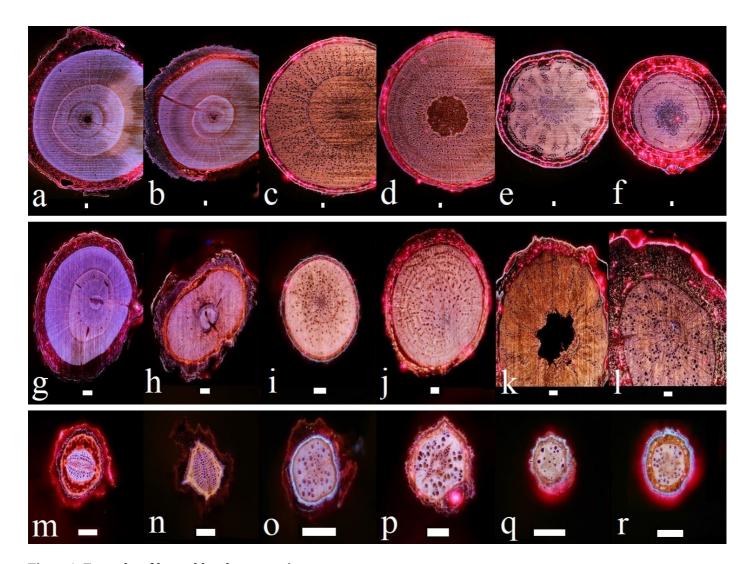


Figure 1: Examples of laser ablated cross-sections.

Laser ablated cross-sections of stem segments (upper row), secondary (middle row) and primary (lower row) roots of spruce (a, g & m), Douglas fir (b, h & n), beech (c, i & o), maple (d, j & p), oak (e, k & q) and chestnut (f, l & r). Bars represent 1000  $\mu$ m for a-f and 100  $\mu$ m for g-r.

The same pattern was found for the conduit diameter (D, table 1). No differences in D were found for conifers, neither between root orders nor between the two species (table 1). Secondary roots in angiosperms showed on average 1.9 times larger D than primary roots (table 1). While no differences in D were found in primary roots between the angiosperm species (P > 0.05, table 1), in the secondary roots, chestnut showed significant larger D, than oak, being in between, and beech and maple showing the smallest D (table 1).

Conduit density ( $C_D$ ) of conifers was 17 times in primary and 32 times in secondary roots higher than of angiosperms (P < 0.001, table 1). While spruce tended to a higher  $C_D$  than Douglas fir, especially in primary roots, there was no intraspecific difference between primary and secondary roots within both species (table 1). For angiosperms,  $C_D$  was on average about five times higher in primary roots compared to secondary roots (P < 0.05, table 1), however, no differences were found between the four species in each root order, respectively (table 1).

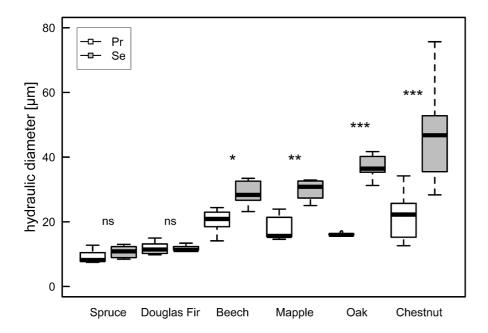


Figure 2: Mean hydraulic diameter per species

Hydraulic diameter ( $D_H$ ) in primary roots (white) and secondary roots (grey); asterisks indicate significant differences within one species between primary and secondary roots (\*\*\* < 0.001, \*\* < 0.01, \* < 0.05, ns > 0.05).

For the vessel lumen fraction (F) and the vessel composition index (S) a similar pattern was found. For both indices, no differences were found in primary roots within the four angiosperm and the two conifers, respectively (table 1). However, conifers tended to have double the amount of vessel lumen (F)  $(0.25 \pm 0.07)$  than angiosperms  $(0.10 \pm 0.05)$ . In primary roots on the other hand, conifers  $(3.05E-8 \pm 2.13E-8 \text{ mm}^4)$  had an almost sixty times lower vessel composition index (S) than angiosperms  $(1.76E-6 \pm 1.21E-6 \text{ mm}^4)$ . Overall, F for angiosperms was similar in primary roots  $(0.10 \pm 0.05)$  compared to secondary roots  $(0.07 \pm 0.03)$ , table 1) and the same for conifers  $(0.25 \pm 0.07 \text{ vs. } 0.25 \pm 0.07)$ . For angiosperms, the vessel composition index (S) of secondary roots  $(2.06E-5 \pm 3.12E-5 \text{ mm}^4)$  was on average about 19 times higher compared to primary roots  $(1.10E-6 \pm 1.22E-6 \text{ mm}^4)$  (P <0.001, table 1), in particular in oak. Conversely, conifers showed very similar S in both root orders, but 37 times lower S in primary and 623 times lower S in secondary roots compared to angiosperms (table 1). Despite the high  $C_D$  in primary roots, only maple showed significant vessel grouping ( $V_G$  in table 1). In primary roots of maple,  $V_G$  was more prominent than in secondary roots  $(2.89 \pm 0.33)$  to  $(2.01 \pm 0.22)$ , respectively). The three other angiosperms did not show any vessel grouping (table 1), neither in primary roots  $(1.09 \pm 0.05)$  nor in secondary roots  $(1.11 \pm 0.04)$ .

#### **Root hydraulic conductivity**

The diameter of the root pieces used for forward (2.35  $\pm$  0.95 mm) and reverse conductivity (2.36  $\pm$  1.00 mm) did not show any significant difference. Both the flow direction and the plant species had a significant influence on the hydraulic conductivity (figure 3a). In forward direction, the species with the highest conductivity ( $k_{s\_max\_f}$ ) was chestnut (2.39  $\pm$  1.19 kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup>), followed by oak (2.05  $\pm$  0.43 kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup>) and beech/ maple with similar values (1.35  $\pm$  0.24 kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup> and 1.21  $\pm$  0.29 kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup>, respectively). Douglas fir and spruce had relatively low and similar values (0.32  $\pm$  0.12 kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup> and 0.28  $\pm$  0.09 kg s<sup>-1</sup> m<sup>-1</sup> MPa<sup>-1</sup>, respectively). The same pattern was found for the reverse direction ( $k_{s\_max\_r}$ , figure 3a). For the two conifers there was no difference between the forward and the reverse conductivity, whereas the four angiosperms showed a highly significant difference (figure 3a). The reverse conductivity was reduced by 39  $\pm$  11 % compared to the forward conductivity in angiosperms, irrespective of species (figure 3b). Conversely, hydraulic conductivity in stems ( $k_{s\_max\_stem\_r}$ ) of maple and oak was not different between forward and reverse direction (P = 0.30, figure 3c). Concurring with the results in the roots, oak had a higher conductivity in stems than maple in both directions (P < 0.001, figure 3c).

A) Root order:			Pri			
species	Spruce	Douglas Fir	Beech	Maple	Oak	Chestnut
D [μm]	8.5 ± 2.3 a	11.0 ± 2.0 a	19.2 ± 3.6 b	16.6 ± 4.3 b ***	15.3 ± 0.6 b ***	20.9 ± 7.2 b ***
C <sub>D</sub> [mm <sup>-2</sup> ]	4408 ± 1467 a	2755 ± 747 b	403 ± 261 c *	591 ± 265 c **	298 ± 175 c *	375 ± 216 c **
F	0.23 ± 0.03 a	0.26 ± 0.09 a	0.10 ± 0.03 b ns	0.11 ± 0.04 b ns	0.06 ± 0.03 b	0.12 ± 0.07 b
S [mm <sup>4</sup> ]	1.8E-8 ± a 1.9E-8 ns	3.9E-8 ± a 2.2E-8 ns	1.0E-6 ± b 6.3E-7 ***	6.8E-7 ± b 8.7E-7 ***	8.7E-7 ± b 6.0E-7 ***	1.7E-6 ± b 2.1E-6 ***
V <sub>G</sub>	-	-	1.10 ± 0.02 a ns	2.89 ± 0.33 b ***	1.13 ± 0.05 a ns	1.05 ± 0.04 a ns

B) Root order:		Sec	ondary			
species	Spruce	Douglas Fir	Beech	Maple	Oak	Chestnut
D [μm]	10.0 ± 1.8 A ns	11.3 ± 1.2 A ns	27.9 ± 3.8 B	27.4 ± 2.7 B ***	35.0 ± 3.5 BC ***	44.2 ± 13.8 C ***
C <sub>D</sub> [mm <sup>-2</sup> ]	3091 ± 595 A ns	2681 ± 414 A	121 ± 69 B	118 ± 56 B **	54 ± 20 B *	65 ± 44 B **
F	0.24 ± 0.09 A ns	0.27 ± 0.04 A ns	0.07 ± 0.03 B ns	0.07 ± 0.03 B ns	0.05 ± 0.02 B ns	0.08 ± 0.02 B ns
S [mm <sup>4</sup> ]	2.8E-8 ± A 1.5E-8 ns	3.8E-8 ± A 1.2E-8 ns	6.8E-6 ± B 3.9E-6 ***	6.4E-6 ± B 3.7E-6 ***	2.1E-5 ± BC 1.2E-5 ***	4.8E-5 ± C 5.4E-5 ***
$V_{G}$	-	-	1.14 ± 0.01 A ns	2.01 ± 0.22 B ***	1.14 ± 0.01 A ns	1.06 ± 0.02 A ns

**Table 1: Anatomical parameters** 

Anatomy parameters conduit diameter (D), conduit density ( $C_D$ ), vessel lumen fraction (F), vessel composition index (S) and vessel grouping index ( $V_G$ ) in primary (A) and secondary roots (B). Asterisks indicate significant differences within species between primary and secondary roots with \*\*\* < 0.001, \*\* < 0.01, \* < 0.05 and ns > 0.05. Different letters indicate significant differences between species with small letters for primary roots and capital letters for secondary roots (values are given as the mean  $\pm$  1 SD).

#### **Discussion**

In this study, reverse conductivity in fine roots was systematically tested for the first time. Opposing to H1, differences in several anatomical parameters (e.g.  $D_H$ ,  $C_D$ , S) were found between young, primary and older, secondary roots. Furthermore, we found differences in the magnitude between forward and reverse hydraulic conductivity in fine roots of angiosperms, contradicting H2. Reverse conductivity was reduced by about 40 % compared to the forward conductivity.

## Root anatomy of primary and secondary roots

Roots with predominantly primary growth, showed different xylem anatomical structures compared to older, secondary roots. Additionally, primary roots of rather unrelated species (e.g. angiosperm genera) were anatomically rather similar. Conversely, for older, secondary roots, clear differences between species were found in D, D<sub>H</sub> and S. For the two conifers, the conduit size was consistent for both root orders, which is contradictory to findings along the stem to branches, where conduit size is decreasing (McCulloh and Sperry, 2005; Woodruff *et al.*, 2008). Therefore we accept H1 for the two conifer species. Additionally, anatomy of fine roots in conifers seems to be very similar to anatomy of twigs in many parameters (D and C<sub>D</sub>, Tomasella *et al.*, 2017a). For the four angiosperm species, on the other hand, we found distinct differences between the two root orders, showing that with increasing age, roots seem to change their xylem anatomy. Especially the increase in diameter and the decrease in density of water conducting conduits is obvious. We therefore reject H1 for the four angiosperm species.

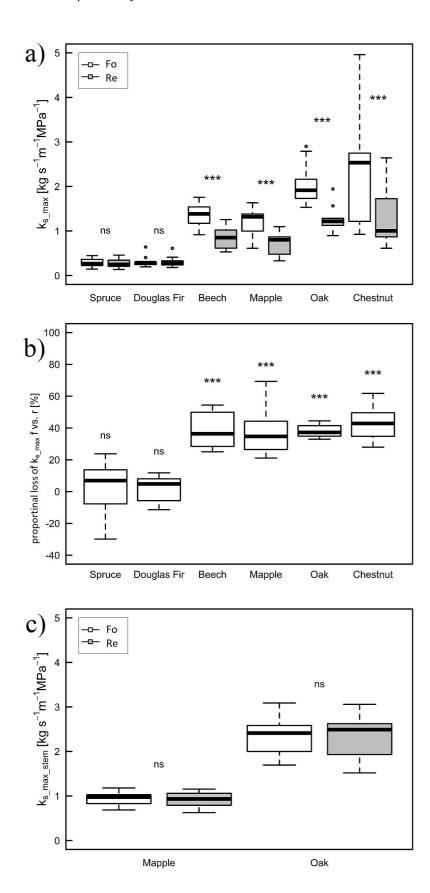


Figure 3: Maximum specific hydraulic conductivity and loss of conductivity.

Maximum specific hydraulic forward (white) and reverse (grey) conductivity of roots ( $k_{s\_max}$ , a), proportional loss of conductivity of the reverse direction compared to forward direction in roots (proportional loss of  $k_{s\_max}$  f vs. r, b) and hydraulic maximum specific forward (white) and reverse (grey) conductivity of stems ( $k_{s\_max\_stem}$ , c). Asterisks indicate significant differences within one species between forward and reverse conductivity (3a & c). In 3b asterisks indicate significant differences to zero (\*\*\* < 0.001, ns > 0.05).

Whereas conifers seem to be very conservative in their anatomy, angiosperms tend to be more plastic. Over the four angiosperms, the two stem ring porous species (chestnut and oak) showed bigger vessels in the roots than the two stem diffuse-porous species (maple and beech), which is consistent with anatomical measurements of the stem (McCulloh et al. 2010). However, vessel distribution in all analyzed fine roots seemed more diffuse-porous, i.e. even distribution of similar-sized conduits over the whole year-ring, including chestnut and oak, which is in conflict with their vessel arrangement in stems (Barbaroux and Bréda, 2002; Fonti and Garcia-Gontález, 2004). This may be related to the longer growth period of roots, depending on water availability and soil temperature (Tryon and Chapin, 1983) with vessels being formed over the whole root growing season unlike stem growth peaking in early summer (McDougal, 1916; Alvarez-Uria and Körner, 2007). Vessel density also supports the diffuse porous anatomy of roots, as no differences were found among angiosperm species, neither in the primary nor in the secondary roots. Typical vessel densities in stems for ring-porous species are at  $52 \pm 17$  mm<sup>-2</sup> (for 3-year-old oak from Steppe and Lemeur, 2007) and for diffuse-porous species at  $872 \pm 113 \text{ mm}^{-2}$  (beech twigs, Tomasella *et al.*, 2017a). In the roots the four angiosperm species showed values in between with on average 417 mm<sup>-2</sup> in primary and 78 mm<sup>-2</sup> in secondary roots despite their consistent diffuse-porous distribution of vessels. The higher vessel density in primary roots emphasizes the importance of vessel structures for the water transport in angiosperms (Sperry, 2003). At the same time the high density of vessels is at the expense of mechanical strength, which is more important for stems and coarse roots (Preston *et al.*, 2006), as they need to withstand harsh compressive stress during storms and snow load (James et al., 2006). Vessel lumen fraction (F) in stems of angiosperms is around 0.136 (Zanne et al., 2010) and similar to those in fine roots (0.083 for both ages). Therefore, roots seem to build xylem with a similar amount of conductive area as stems. Otherwise, the vessel composition index (S) for angiosperms in primary roots is about 90 times and in secondary roots 5 times lower compared to aboveground values (9.6E-5 mm<sup>4</sup> from Zanne et al. (2010)). Hence, the conductive area in angiosperm roots is composed of more but smaller conduits than in stems (Zanne et al., 2010). According to the vessel composition index, roots seem to follow a more conservative strategy, reducing the risk of embolisms at the cost of a lower water transport (Zanne et al., 2010), with primary roots being even more conservative than secondary roots. However, as roots face very different environmental conditions than stems/twigs, the vessel composition index might not be a useful tool to predict cavitation resistance in roots, especially as our measured species are all mesophilous with similar cavitation resistances (P<sub>50</sub> of -2.2 MPa for Acer pseudoplatanus (Lens et al., 2011), -4.74 MPa for Quercus robur (Lobo et al., 2018) and -3.4 MPa for Fagus sylvatica (Tomasella et al., 2017a)). This could be an important issue under drought stress conditions, as hydraulic failure in the water up-taking organ would consequently kill the plant (Jackson et al., 2000). Therefore, the higher safety margin against embolisms in roots may be one strategy of plants to avoid hydraulic failure (Delzon and Cochard, 2014). Overall, stem anatomy is not a reliable proxy for root anatomy, especially for fine roots of angiosperms.

#### Reverse vs. forward hydraulic conductivity in roots

Direction of water flow in roots, i.e. forward or reverse, did not affect conductivity in conifer roots, yet for all angiosperms a 40 % reduction in the reverse compared to forward conductivity was found. Looking at the conductive system, the xylem, the main difference between the two groups are the existence of vessels, which are only in angiosperms (Lüttge *et al.*, 2005). Hence, the anatomy/structure of vessel elements in angiosperm wood most likely plays a decisive role in the reduction of conductivity of reverse water flow. To our knowledge, only very few studies have dealt with vessel anatomy in detail. Deducted from this knowledge we identified four putative reasons for the conductivity reduction in reverse direction (figure 4).

# B) Asymmetric pit aperture A) Asymmetric vessel cell wall elements forward Up reverse Up reverse [ Down smooth flow turbulent flow Down C) Vessel tapering/narrowing D) Perforation plate opening tapering reverse reverse Up Down forward "straight" tapered "straight" tapered

Figure 4: Schematic figures for possible anatomical causes of reverse conductivity reduction

Schematic figures for possible anatomical causes of reverse conductivity reduction: A) asymmetric vessel cell wall elements (e.g. warts), B) Asymmetric pit aperture, C) tapering/narrowing of vessels and D) tapering of perforation plate openings (Arrows indicate flow direction and the length is indicating the magnitude of the conductivity).

#### a) Asymmetric vessel warts

Independent of the type of thickening, vessels have elements pointing into the lumen (e.g. warts, Bailey, 1944; Ohtani *et al.*, 1983, Jansen *et al.*, 1998), possibly causing some disturbances in the conductivity. If these elements were asymmetric, they could cause higher resistance and turbulences for one flow direction (figure 4 a). Such disturbances in the conductivity would then hinder the reverse flow (Karino *et al.*, 1987). However, this would mean that the manner of secondary thickening of vessels and especially its remnants are different between root and stem xylem, as no difference was found in the conductivity for the stem xylem.

# b) Asymmetric pit aperture

Pits and the connectivity between vessel elements are supposed to play an important role in safety and resistance for the hydraulic system of plants (Choat  $et\ al.$ , 2008). If the pits opening would be smaller on one side, the conductivity could be reduced (figure 4 B). If the smaller opening would be consistently at the vessel side closer to the root tip, this could result in a reduction in the reverse conductivity (Steven Jansen, personal communication, figure 4 b). But as no reduction in the reverse conductivity was found in the stem segments, this would indicate, that pits of the stems are built differently from the pits in roots. Up to this point however, most studies about pits were made in aboveground organs (Choat  $et\ al.$ , 2008 and citations within). However, it appears unlikely that the pits themselves are responsible for the reduction of reverse conductivity. First, pits in angiosperms are well studied (e.g. Choat  $et\ al.$ , 2008) and so far there are no indications that they would favor one direction, as they have to "seal" either vessel in case of cavitation. Second, as the vessel grouping index ( $V_G$ ) in the four angiosperm roots was diverse, with only maple showing a

considerable amount of vessel grouping, it appears unlikely that reverse conductivity reduction is related to pits anatomy. Plants with a high vessel grouping index would be considered to have more connecting pits between the vessels than plants with a low vessel grouping index. Nevertheless, we saw no differences in the amount of conductivity reduction between maple with high and the other three angiosperms with lower  $V_G$ .

#### c) Vessel tapering

Another reason for the reduced conductivity along a conduit could be tapering towards one end (Petit et al. 2008). Vessel tapering is known to occur in stems and branches (Anfodillo *et al.*, 2006; Petit *et al.*, 2010) and is assumed to happen in roots (Enquist, 2003). As given by the law of Hagen-Poiseuille, the conductivity is dependent on the fourth power of the vessel radius (Sperry *et al.*, 2006). Tapering of vessels towards the root tip would limit reverse flow compared to forward flow (Walander and Prasassarakich, 1976; Rubenstein *et al.*, 2011). However, the reduction of reverse conductivity was only found in angiosperms, but tapering is known to happen in conifers too. Nevertheless, the degree of tapering may be related to the size of conduits and xylem dimension and may therefore be neglectable in tracheids and large diameter stems but not for vessels and small roots/twigs respectively.

## d) Perforation/end plates

Perforation plates, i.e. the remnants of cell walls between connected vessels (Christman and Sperry, 2010), increase the resistance for water flow. While there are different forms, scalariform perforation plates are common in angiosperms (Ellerby and Ennos, 1998). If the openings in these plates would taper to one side (e.g. conical shaped), the resistance for one direction would increase (figure 4 d, Walander and Prasassarakich, 1976; Rubenstein *et al.*, 2011). Again, this would imply a different structure and/or building process of root xylem compared to stem xylem, as no reduction of conductivity was found in stems.

As conifers showed no difference between the conductivity directions, H2 was accepted for them. For angiosperms, H2 was rejected, as a reduction of 40 % was found in the reverse conductivity compared to the forward conductivity. The four points mentioned above are based on the physical principles of resistance and turbulent flow, although flow rates under HR are relatively low compared to maximum forward flow and therefore the impact of turbulences might not be very big. None of them has been examined so far and will hopefully stimulate future work. However, reverse root conductivity seems to limit internal HR in angiosperm trees stronger than assumed (Neumann and Cardon, 2012). Transfer of water into the soil might be additionally limited as the conductance of the whole root system is additionally limited by other mechanisms such as forcing of water through the symplasm by the casparian stripe during water uptake or root suberization. The reduction in reverse conductivity in roots can also be interpreted from an evolutionary point of view. As HR is a passive phenomenon, trees reducing the loss of water into the soil to some extent may benefit during drought events and therefore may be favored during selection processes. As conifers only have tracheids, which conduct smaller amounts of water compared to vessels, the losses might be bearable anyway.

#### **Conclusions**

Wood structure of conifer was very similar between primary and secondary roots but showed distinct differences to angiosperms. While primary roots were similar among angiosperms, secondary roots show clear anatomical differences between species. Fine root anatomy of angiosperms cannot be predicted by stem anatomy, as angiosperm fine roots tended to build more but smaller and generally diffuse-porous conduits.

The reduction in reverse conductivity in angiosperm roots sheds new light on the potential of HR in general. Especially under drought scenarios, when embolism already reduces conductivity, the amount of redistributed water might be lower than expected and the reduced root conductivity in the reverse direction in angiosperm roots should be considered. Whether this reduction is due to the anatomical structure of vessels should be tested e.g. by assessing reverse conductivity in vesselless angiosperms (Hacke *et al.*, 2007). In general, more detailed information about vessel anatomy (e.g. structure of cell walls and perforation plates) will help to understand the process of water transport and its limitations in plant roots.

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