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# Effect of collagen network orientation on the cervical intervertebral disc response to flexion load

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## 1. Introduction

Collagen is the most prevalent protein constituting the intervertebral disc (IVD) extracellular matrix (ECM). Annular collagen constitutes a structured network formed by two fiber families oriented about  $\pm 30^\circ$  with respect to the transverse plane. Local measurements have shown that the orientation angle decreases from the inner AF to the outer AF (Holzapfel et al. 2005). The collagen network shape enables the mechanical strength of the AF and therefore the tensile properties of the IVD. With age the ECM of the IVD undergoes several modifications affecting its ability to absorb and to redistribute the applied mechanical charges. Among these alterations, collagen network loses its organized shape which leads to a loss in IVD mechanical properties (Whatley and Wen 2012).

At the cervical level, IVDs are mostly exposed to flexion-extension motion (Mikuckyte and Ostasevicius 2017). Although lateral bending and axial rotation are less frequent, they are always exercised as secondary motions during spine flexion-extension. For these complex loads, the collagen fiber network seems to be the main load bearing in the AF (Ayturk et al. 2010).

In order to investigate the importance of the collagen network structure in the cervical IVD mechanics, we propose to perform a parametric study using an hyperelastic fiber reinforced finite element (FE) model of cervical IVD. The parametric study is carried out by varying the collagen fiber orientation.

This study highlights that the cervical IVD behavior is sensitive to collagen network organisation. It also shows that axial stress is more affected by modifying the fiber orientation than shear stress in flexion motion.

## 2. Methods

A 3D geometry of a C6-C7 IVD was constructed to carry out the study (Figure 1). A biphasic swelling model was used to take into account the internal osmotic pressure role in the IVD behavior. The permeability of the tissue was taken strain dependent.

The IVD is assumed to be a saturated porous media described by a superposition of two intrinsically incompressible phases: an hyperelastic solid for the ECM and a fluid phase for the water saturating the pores space. The strain energy density of the solid

phase is composed of an isotropic compressible Neo-Hookean part for the non-fibrillary matrix and an anisotropic part for the fiber network.

$$W = W_{NH}(\bar{C}) + \sum_i W_{fi}(\bar{C}, \bar{e}_i)$$

where for each fiber family  $i$ ,  $\bar{e}_i$  denotes the director vector of the fiber orientation and  $W_{fi}$  their anisotropic strain energy density (Gasser et al. 2006). In the current model,  $W_{fi}$  was null in the NP and in the cartilaginous endplates (CEP). Two families of fibers with the same mechanical properties were defined in the AF.

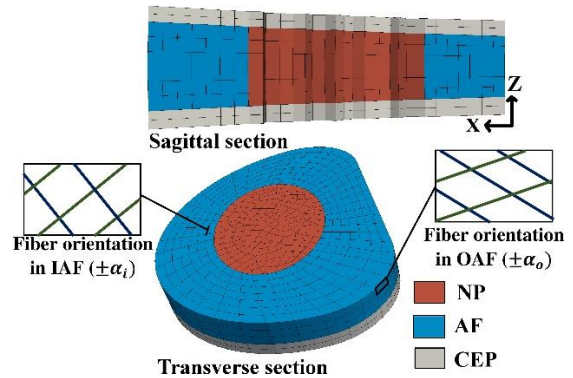


Figure 1 Geometry of C6-C7 IVD

In order to study the effect of the collagen network orientation under physiological spine flexion, two parameters were varied to construct 5 cases. The two parameters are the fiber orientation angles in the inner AF (IAF) and the outer AF (OAF) respectively  $\alpha_i$  and  $\alpha_o$  (table 1). Cases 1, 2 and 3 permit to study the effect of varying the fiber direction when  $\alpha_i = \alpha_o$ . Cases 3, 4 and 5 permit to investigate the effect of using a local distribution of the fiber orientation ( $\alpha_i \neq \alpha_o$ ) with a fixed mean angle  $\alpha_m = 35^\circ$ . The remaining model parameters were taken from Chetoui et al. 2019.

The range of motion of the flexion and the secondary lateral bending and axial rotation at C6-C7 level were taken from in vivo measurement (Anderst et al. 2015).

	$\alpha_i = \alpha_o$			Constant $\alpha_m$	
case	1	2	3	4	5
$\alpha_i$	$\pm 25$	$\pm 30$	$\pm 35$	$\pm 45$	$\pm 50$
$\alpha_o$	$\pm 25$	$\pm 30$	$\pm 35$	$\pm 25$	$\pm 20$

Table 1 Parameters sets used in the parametric study

The simulations were performed using the open source software LMG90. Firstly, a preconditioning step was performed to set the initial internal fields and swelling followed by a 100N creep-compressive load step to model the head weight. Finally, to simulate the flexion, a displacement-driven load was applied on the upper CEP for 10 seconds. The used mesh, obtained after a mesh sensitivity analysis, is constituted of 5940 hexahedral elements (26106 nodes).

### 3. Results and discussion

Each case took about 16 hours of computing time. The stress field did not vary qualitatively with the fiber orientation. Only the value of the stress was affected. With constant fiber orientation, the AF stress increases with  $\alpha$ . The AF maximal shear stress is obtained at the end of the flexion load step. For  $\alpha = 35^\circ$ , the maximal shear stress  $\sigma_{xz}$  (z being the axial direction of the IVD) reaches 0.954 MPa. A decrease of  $10^\circ$  in the angle  $\alpha$  induces a diminution of 1.7% in  $\sigma_{xz}$  (Figure 2). The compressive stress  $\sigma_{zz}$  drops by a greater rate equal to 11.7% when  $\alpha$  decreases from  $35^\circ$  to  $25^\circ$ .

The AF stress is directly proportional to the value of the fiber orientation angle with respect to the transverse plane. When collagen fibers direction get closer to the axial direction, they become more resistant to the disc radial swelling and the axial strain.

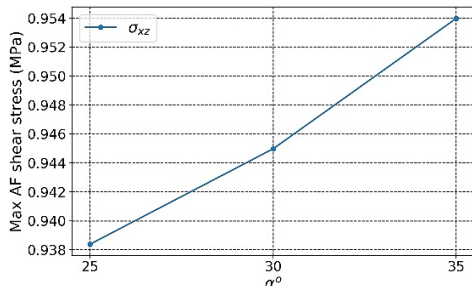


Figure 2 Maximal AF shear stress with respect to  $\alpha$

In the case where  $\alpha_i \neq \alpha_o$ , varying the difference between the outer AF and the inner AF fiber orientation has no significant effect on the maximal shear stress. A relative difference of 0.6% is found between  $\sigma_{xz}$  in cases 3 and 5. The difference between the maximal compressive stresses  $\sigma_{zz}$  found with the same range of angles is more relevant.  $\sigma_{zz}$  increases by 10.7% when  $\alpha_i - \alpha_o$  drops from  $30^\circ$  to  $0$  (Figure 3).

Varying the fiber orientation spatial distribution affects noticeably the value of the axial stress. This result highlights the relevance of defining local fiber orientations at the AF level in IVD FE analysis.

In flexion load, the contribution of the collagen fiber in the AF shear stress does not seem relevant compared to its contribution in the axial stress. By analogy, similar results will be found under lateral bending. We

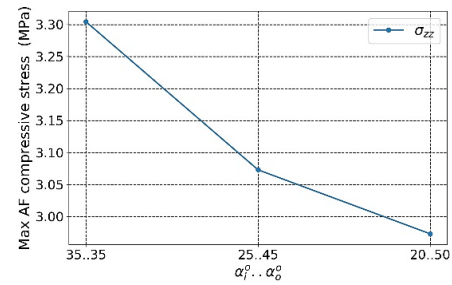


Figure 3 Maximal AF compressive stress with respect to the distribution of  $\alpha$

anticipate that the shear stress will be more affected by changing the fiber orientation under axial rotation.

### 4. Conclusions

The present work investigated the contribution of the collagen network orientation in the cervical IVD mechanics under flexion load. The obtained results did not only show the importance of the collagen network structure for the IVD behavior, but also confirmed that simulating the IVD mechanics under non axial loads strongly requires the use of a fiber reinforced model.

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