

# Layered organization of anisometric cellulose nanocrystals and beidellite clay particles accumulated near the membrane surface during cross-flow ultrafiltration: In situ SAXS and ex situ SEM/WAXD characterization

Enrico Semeraro, Nicolas Hengl, Mohamed Karrouch, Laurent Michot, Erwan Paineau, Bruno Jean, Jean-Luc Putaux, Christine Lancelon-Pin, Lewis Sharpnack, Frédéric Pignon

## ▶ To cite this version:

Enrico Semeraro, Nicolas Hengl, Mohamed Karrouch, Laurent Michot, Erwan Paineau, et al.. Layered organization of anisometric cellulose nanocrystals and beidellite clay particles accumulated near the membrane surface during cross-flow ultrafiltration: In situ SAXS and ex situ SEM/WAXD characterization. Colloids and Surfaces A: Physicochemical and Engineering Aspects, 2020, 584, pp.124030. 10.1016/j.colsurfa.2019.124030 . hal-02314455

# HAL Id: hal-02314455 https://hal.science/hal-02314455v1

Submitted on 10 Nov 2020

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1	Layered organization of anisometric cellulose
2	nanocrystals and beidellite clay particles
3	accumulated near the membrane surface during
4	cross-flow ultrafiltration: in situ SAXS and ex situ
5	SEM / WAXD characterization
6	
7	Enrico F. Semeraro <sup>1</sup> , Nicolas Hengl <sup>1</sup> , Mohamed Karrouch <sup>1</sup> , Laurent J. Michot <sup>2</sup> ,
8	Erwan Paineau <sup>3</sup> , Bruno Jean <sup>4</sup> , Jean-Luc Putaux <sup>4</sup> , Christine Lancelon-Pin <sup>4</sup> , Lewis Sharpnack <sup>5</sup>
9	and Frédéric Pignon <sup>1*</sup>
10	<sup>1</sup> Univ. Grenoble Alpes, CNRS, Grenoble INP (Institute of Engineering Univ. Grenoble
11	Alpes), LRP, F-38000 Grenoble, France
12	<sup>2</sup> Sorbonne Univ., UPMC, UMR 8234, Lab. Phenix, CNRS, 4 Pl. Jussieu, F-75252 Paris 5,
13	France
14	<sup>3</sup> Univ. Paris Saclay, Univ. Paris Sud, CNRS, Lab. Phys. Solides, F-91405 Orsay, France
15	<sup>4</sup> Univ. Grenoble Alpes, CNRS, CERMAV, F-38000 Grenoble, France
16	<sup>5</sup> ESRF, The European Synchrotron, CS 40220, F-38043 Grenoble Cedex 9, France
17	Corresponding author: frederic.pignon@univ-grenoble-alpes.fr

#### 19 Abstract

20 The structural organization of the concentration polarization layer (CPL) during the cross-21 flow membrane separation process of anisometric aqueous suspensions of colloidal cellulose 22 nanocrystals and beidellite clay particles has been characterized by in situ time-resolved small-23 angle X-ray scattering (SAXS). Dedicated cross-flow filtration cells were implemented on the 24 ID02 TRUSAXS beamline at the European Synchrotron Radiation Facility (Grenoble, France). From the analysis of the scattered intensities and structure factors of particles in the CPL, both 25 26 the concentration profiles  $\phi(\Delta z, \Delta t)$  and anisotropic structural organization have been characterized as a function of filtration time ( $\Delta t$ ) and distance from the membrane surface ( $\Delta z$ ). 27 Remarkably, a coupling between concentration and anisotropy was revealed and modeled using 28 either a simple or stretched exponential trend for rod- or disk-like systems, respectively. Using 29 a simple filtration model, the time evolution of the deposit thickness, membrane resistance and 30 31 specific resistance of the deposit, deduced from an analysis of the normalized concentration profiles, allowed directly predicting the rapid decay of permeate flux associated to the 32 exponential growth of concentration and anisotropic organization inside the CPL. Ex situ 33 34 scanning electron microscopy (SEM) observations and wide-angle X-ray diffraction (WAXD) analyses performed on dried deposits parallel and perpendicular to the membrane surface 35 36 revealed well-defined layered structures from nanometer to micrometer length scales.

37

Keywords: cross-flow ultrafiltration, concentration polarization layer, SAXS, anisotropic
colloids, cellulose nanocrystals, clay particles, permeation flux.

#### 41 Introduction

42 Membrane separation processes are widely used in industrial applications (bio-industries, agro-industries, or sludge treatment) for concentrating and purifying nanoparticle dispersions.<sup>1</sup> 43 Under the action of the transmembrane pressure and cross-flow velocity, the particles 44 45 accumulate near the membrane surface in the so-called concentration polarization layer (CPL) 46 and their concentration can rise above the sol-gel transition, leading to a catastrophic loss in permeability. Consequently, an accurate understanding of the structural organization of colloids 47 48 in the CPL in parallel with the filtration properties is of considerable interest to improve the 49 efficiency of the filtration. Depending on the transmembrane pressure and cross-flow conditions, a transition from stable to unstable filtration performance has been identified and a 50 limit has been proposed in the literature.<sup>2,3</sup> The authors have identified a critical flux, which 51 determines the transition from a reversible CPL to an irreversible deposit formation. Colloidal 52 interactions and osmotic pressure have been identified as pertinent parameters and have been 53 introduced in models to describe this transition.<sup>4-12</sup> It has only been recently possible to access 54 the evolution of the concentration within the CPL as a function of time, distance from the 55 56 membrane surface and filtration conditions by combining dedicated cross-flow ultrafiltration cells and *in situ* small-angle X-ray scattering (SAXS) measurements.<sup>13-16</sup> Thanks to this unique 57 method, it is now possible to finely investigate the colloidal concentration profile as well as the 58 anisotropic organization at the micrometer scale and relate these quantities to the permeate flux 59 reduction during cross-flow ultrafiltration. 60

In this work, we studied the mechanisms involved in the sol-gel transition that takes place during the cross-flow ultrafiltration of anisotropic colloidal suspensions. From a filtration point a view, the questions raised are the following: *i*) what are the sol/gel phase-transition mechanisms involved during the ultrafiltration processes when anisotropic colloids are simultaneously submitted to shear flow and pressure near the membrane surface? *ii*) Which link 66 can be established between the permeation flux and the space- and time-dependent evolutions 67 of the deposit formation (accounting for thickness, concentration, particle orientation and 68 specific resistance)? Finally, we evaluated the extent to which a prediction of the permeate flux 69 could be achieved by a direct analysis of the dynamic evolution in space and time of the colloids 70 accumulated near the membrane surface, using *in situ* SAXS data.

To answer these questions, two types of anisometric particles (rod- and disk-like) have been 71 considered. The rod-like system consisted of cellulose nanocrystals (CNCs),<sup>15</sup> prepared by 72 strong acid hydrolysis of native wood cellulose microfibrils. Their average dimensions are 73 typically 10 nm in width and 100-200 nm in length (Fig. 1A) and thanks to the presence of 74 75 charged sulfate groups at their surface, they can form chiral nematic (cholesteric) organizations in aqueous suspension.<sup>17,18</sup> CNCs are particularly attractive building blocks for the design of 76 innovative biobased materials and with numerous potential applications such as 77 environmentally-friendly nanocomposites, paper coating or biomedical products.<sup>19-21</sup> CNCs 78 display outstanding mechanical properties such as a modulus as high as 120-150 GPa and a 79 strength up to 6 GPa and are now commercially available in large quantities. The disk-like 80 81 system is composed of natural beidellite clay particles (Fig. 1B) which are subhedral lamellae (typically 1 nm-thick and 300-500 nm-wide). Like other swelling clays like montmorillonite, 82 83 beidellite is a ubiquitous mineral on the Earth's surface and subsurface and is largely used for industrial (drilling fluids, anti-settling agents, ointments, cosmetics, and health) 84 applications.<sup>17,22,23</sup> 85

The space and time dependence of particle concentration and structural organization within the accumulated layers of CNCs or beidellite particles deposited near the membrane surface, have been characterized by *in situ* SAXS experiments during cross-flow filtration and linked to the filtration properties of the dispersions. Specific analyses of concentration, anisotropy and principal orientation direction of the particles, have allowed to link these different parameters 91 and to provide a straightforward prediction using a simple filtration model of the rapid decay 92 of the permeate flux at the initiation of the deposit growth and its corresponding specific 93 resistance. The comparison of these results obtained on the two studied systems, namely CNCs 94 and beidellite clay particles, is used to bring some highlights on the potential links between 95 filtration parameters (thickness, resistance and permeate flux) and physical features (colloidal 96 volume fraction, orientation, shape and aspect ratio).

97 The organization built during cross-flow ultrafiltration was consolidated via dead-end 98 ultrafiltration, followed by air-drying of the deposits. The structure of the solid deposits was 99 characterized *ex situ* using wide-angle X-ray diffraction (WAXD) and scanning electron 100 microscopy (SEM) both parallel and perpendicular to the membrane surface, which allowed 101 evaluating the degree of orientation of the constituting particles in the textured materials.

102

### Materials and Methods

#### 103 Materials

104 Cellulose nanocrystals (CNCs) were purchased from UMaine Development Center 105 (University of Maine, USA) as a suspension in water with a stock concentration of c = 12.2106 wt%. Suspensions at  $c_0 = 0.7$  wt% were prepared by diluting the stock suspension with 107 deionized water, followed by 2 h of vigorous stirring. CNC aggregates were fragmented by 108 using a sonication probe (Branson Digital sonifier) applying a minimum of 2 kJ per gram of 109 CNCs.<sup>24</sup> Considering the CNC density (1.6 g cm<sup>-3</sup>), the final suspension had a nominal volume 110 fraction of  $\phi_0 \simeq 0.0044$ .

111 Natural beidellite (SBId-1) clay powder was purchased from the Idaho Source Clays 112 Minerals Repository of the Clay Mineral Society (Purdue University). Beidellite suspensions 113 were purified of extra minerals and centrifugation was used for size fractionation (the detailed 114 sample preparation has been described by Paineau et al.<sup>17,23</sup>). The dilute suspension had an ionic

strength of  $10^{-4}$  M and a concentration of about  $c_0 = 0.8$  wt%, corresponding to a volume 115 fraction of about  $\phi_0 = 0.0031$  (beidellite density 2.6 g cm<sup>-3</sup>).<sup>23,25</sup> 116

#### **Transmission Electron Microscopy (TEM)** 117

Droplets of dilute CNC or beidellite aqueous suspensions were deposited onto glow-118 119 discharged carbon-coated copper grids and allowed to dry. The specimens were observed with a JEOL JEM-2100-Plus microscope operating at an accelerating voltage of 120 kV. Images 120 were recorded with a Gatan Rio 16 camera equipped with the GMS acquisition software. In 121 Figure 1A, the TEM image of the CNC is presented, revealing elongated objects constituted of 122 rod-like crystallites of a few units.<sup>24</sup> In Figure 1B, the TEM image of beidellite clay particles 123 reveals a typical platelet shape exhibiting irregular facetted contours. <sup>23,25</sup> 124



125



Figure 1. TEM images of the CNCs (A) and beidellite clay particles (B) used in this study.

#### 127

Small-Angle X-ray Scattering (SAXS)

SAXS measurements were performed at the TRUSAXS ID02 beamline (ESRF, Grenoble).<sup>26</sup> 128

Measurements were performed at room temperature with a sample-detector (RayoniX MX170) 129

130 distance of 10 m and an X-ray wavelength  $\lambda = 0.095$  nm, covering a range of scattering vector

amplitude, q, of (0.01-0.5) nm<sup>-1</sup>. Thanks to the pinhole collimation setup available at ID02, all 131

the measurements were acquired by using a vertical beam cross-section at the sample position 132

133 of about 30  $\mu$ m, hence corresponding to the maximum resolution of the membrane distance  $\Delta z$ . 134 The two-dimensional scattering patterns were corrected and normalized to absolute scale.

A temperature-controlled flow-through capillary cell (diameter = 1.85 mm) was used to 135 measure the absolute scattered intensity of dispersions at rest at T = 22 °C. Sample and 136 background scattering were measured at the same position of the capillary, thus allowing a very 137 reliable subtraction. The normalized background scattering of the flow-through capillary cell 138 139 and cross-flow ultrafiltration cell filled with distilled water were systematically subtracted prior to data analysis. Then, scattering intensities as a function of q were obtained by azimuthally 140 141 averaging isotropic scattering patterns. In the case of anisotropic signals (Fig. 3A), scattering 142 intensities along a defined scattering angle  $\psi$  (either  $\psi = 0$  or  $\pi/2$ ) were obtained by azimuthally averaging such patterns around the angle of interest over a narrow sector of  $\pm 0.044$  rad ( $\pm 2.5^{\circ}$ ). 143

144

### Preparation of textured solid deposits

The protocol used to prepare air dry deposit is the following. In a first step, a cross-flow 145 ultrafiltration identical of the one performed under *in-situ* SAXS at cross-flow flux Q = 0.06146 L min<sup>-1</sup> and transmembrane pressure  $\Delta P = 1.1 \times 10^5$  Pa was achieved during 2 h. The deposits 147 were further consolidated by continuing the filtration in dead-end ultrafiltration, i.e. the cross-148 149 flow was arrested while  $\Delta P$  remained unchanged. This second step of dead-end ultrafiltration was applied for about 20 h to consolidate the structural organization induced during the first 150 151 step. Then, the suspensions were gently removed from the filtration cell and tubing by using compressed air ( $\Delta P = 0.1$  bar) and the gel-like deposits were extracted from the filtration cell. 152 Finally, as a third step, samples were dried at ambient conditions for several days for further ex 153 154 situ structural analyses.

155 Wide-Angle X-ray Diffraction (WAXD)

Strips of solid specimens were cut with a razor blade and fixed on 0.2 mm collimators in such a way that the plane of the film was oriented parallel or perpendicular to the X-ray beam. The specimens were analyzed in a Warhus vacuum chamber using a Philips PW3830 generator operating at 30 kV and 20 mA (Ni-filtered CuK $\alpha$  radiation,  $\lambda = 0.1542$  nm). Two-dimensional patterns were recorded on Fujifilm imaging plates read with a Fujifilm BAS 1800-II bioimaging analyzer.

162

#### Scanning Electron Microscopy (SEM)

The solid deposits were fractured at room temperature and the fragments were fixed on metallic stubs covered with carbon tape. The fracture surfaces were coated with Au/Pd in a Baltec MED 020 sputter coater and the various surfaces were observed in secondary electron mode with a FEI Quanta-FEG 250 microscope operating at an acceleration voltage of 2 kV.

167

#### **Cross-Flow Ultrafiltration under SAXS**

A tailored cross-flow ultrafiltration cell was employed for SAXS experiments. It consisted 168 of a PTFE channel for the permeate and a polycarbonate upper channel for the retentate (Fig. 2). 169 170 The polycarbonate block exhibited a 500 µm-thick window in the center of the upper channel to minimize X-ray absorption. The two channels were separated by a flat polysulfone 171 ultrafiltration membrane (100 kD, Pleyade Rayflow x100, Orelis Environnement, membrane 172 resistance  $R_m \sim 5 \ge 10^{12} \text{ m}^{-1}$ ) placed on a rigid, perforated substrate to avoid pressure-induced 173 deformations. The length of the retentate channel was 100 mm along the tangential flow (*Q*) 174 175 direction, with a section of 8 mm in height and 4 mm in depth (along the X-ray beam direction). The transmembrane pressure,  $\Delta P$ , was measured at the entry of the cell with a pressure gauge 176 (FP 110 FGP Sensors & Instrument) and set to  $\Delta P = 1.1 \times 10^5$  Pa. Pressure was applied to the 177 rig via purified compressed air. The feed suspension was pumped (Mono pump LF series, 178 Axflow) from a high pressure resistant vessel (Millipore, France) to the ultrafiltration cell.<sup>14,15</sup> 179 The feed cross-flow rate was imposed at  $Q = 0.06 \text{ Lmin}^{-1}$  and measured with a magnetic flow 180

181 meter (Optiflux 6300C, KROHNE, France). The permeate flux,  $J_p$ , was continuously recovered 182 in a recipient and its mass was registered with a computer every 10 s with a scale of 0.001 g of 183 accuracy (Precisa 400M). During SAXS measurements, the cell was mounted on a motorized 184 sample stage, which enabled *i*) aligning the membrane plane parallel to the X-ray beam and *ii*) 185 vertically translating the cell across the incident beam in order to follow the evolution of the 186 colloidal structure as a function of membrane distance  $\Delta z$ .



187

188 Figure 2: a) Schematic description, and b) picture and of the SAXS cross-flow ultrafiltration cell 189 positioned on the ID02 TRUSAXS beamline at the ESRF. The arrows define the corresponding 190 directions of the cross-flow Q, transmembrane pressure  $\Delta P$ , permeate flux J, velocity v, related to the 191 X-ray beam propagation and scattered intensity recorded on the CCD detector. The retentate flows in the upper channel (polycarbonate), which has a 500 µm-thin window in the center to minimize X-ray 192 193 absorption. The solvent passes through the ultrafiltration membrane and reaches the permeate channel 194 (PTFE). The membrane is supported by a grid with a honeycomb structure, preventing any pressure-195 induced deformation of the membrane and CPL.

In situ SAXS measurements were performed during the whole filtration process, in order to 197 198 follow the evolution of both colloidal concentration and particle orientation. The analysis of the anisotropy (in this case related to the preferential orientation of the colloids) was performed by 199 using the MATLAB-based Small-Angle Scattering Evaluation Tool (SASET) software.<sup>27</sup> 200 Specifically, the model-free Principal Component Analysis (PCA) method was chosen, which 201 provides values from 0 (for isotropic suspensions) to 1 (in the case of completely aligned 202 systems). The anisotropy and direction of maximum scattering ( $\psi_0$ ) were calculated via PCA in 203 the (0.045 - 0.5) nm<sup>-1</sup> q-range. The determination of the colloidal volume fraction was 204 performed by exploiting specific properties of both CNCs and beidellite particles. These are 205 206 both negatively charge-stabilized colloids, that show well-defined scattering structure-factors of interaction [S(q)] even at moderately low volume fraction.<sup>18,22,23,28</sup> 207

208

209

### **Results and Discussion**

#### 210 Structure Factor and Calibration of Cellulose Nanocrystal Scattering Intensity

211 In order to analyze the scattering intensities [I(q)] in the cross-flow ultrafiltration cell, a 212 calibration curve has to be established. It relates the absolute I(q) of cellulose nanocrystals to the volume fraction in the suspension via the peak position of their structure factor S(q). Thanks 213 214 to this calibration procedure, in-situ scattering intensities measured in the cross-flow 215 ultrafiltration cell at different filtration times and distances from the membrane surface, allowed calculating the volume fractions  $\phi(\Delta z, \Delta t)$  of the filtered suspension near the membrane surface. 216 217 In this aim, several CNC samples at different volume fractions were first measured by SAXS in static conditions in flow-through cell, in order to obtain the calibration curve, which uniquely 218 219 defines the particle concentration as a function of the peak position of S(q) (Supporting Information Fig. S1).<sup>28</sup> Due to the strong repulsive electrostatic interactions between CNCs in 220

aqueous suspensions,<sup>18</sup> scattering intensities from CNC suspensions exhibited a visible structure factor even at the lowest concentration  $\phi_0 \simeq 0.0044$  (Fig. 3B,  $\Delta t = 0$ ). Hence, during cross-flow measurements, the effective  $\phi$  of CNC colloids was extracted through the evaluation of the peak position of S(q) at each position  $\Delta z$  and time step  $\Delta t$  ( $\Delta t$  was set to 0 when the transmembrane pressure  $\Delta P$  was applied).

226



227

Figure 3: (A) Left: example of scattering pattern in the *xz* plane of the CCD detector. The scattering angle  $\psi$  is null in the direction of the velocity field of the retentate. Right: representative evolution of the scattering pattern of CNC under filtration at  $\Delta z = 30 \mu m$  and  $\Delta t = 0$ , 14 and 119 min. (B) Scattering intensities *I* as a function of *q*. The isotropic pattern at  $\Delta t = 0$  min was azimuthally averaged, whereas data at  $\Delta t = 14$  and 119 min were averaged around two directions of interest. Arrows point out the shift of the peak position as a function of time.

Selected scattering patterns are shown in Figure 3. At  $\Delta t = 0$ , the scattering pattern is 235 isotropic and the azimuthally averaged I(q) exhibits a peak at about  $q \simeq 0.04$  nm<sup>-1</sup>. In contrast, 236 during filtration, scattering patterns close to the membrane display a significant anisotropy 237 (Fig. 3B). The maximum scattering direction is alongside  $\psi \sim \pi/2$ , demonstrating that CNC 238 nanorods are preferentially oriented parallel to the membrane surface (xy-plane) with their main 239 axis in the direction of the flow. Scattering curves at  $\psi = 0$  and  $\pi/2$  are shown in Figure 3B. The 240 peak positions shift as a function of time, reflecting how the colloidal concentration increases 241 during the filtration process. Interestingly, the q-positions of the peaks at  $\psi = \pi/2$  are 242 243 systematically consistent with the broader ones at  $\psi = 0$ . In the hard-sphere model (as an approximation of a repulsive potential), the q-value corresponding to the peak of S(q) is related 244 to the interparticle distance via the relation  $2\pi/q_{peak}$ . Therefore, as the peak positions at  $\psi = 0$ 245 and  $\pi/2$  are matching, the average interparticle distances along both z- and x-directions are also 246 comparable. Thanks to this, the effective volume fraction can be reliably deduced from  $q_{peak}$ , 247 even in the case of an anisotropic scattering pattern. This important information allowed us to 248 249 retrieve actual colloidal concentrations in the ultrafiltration cell through the *q*-positions of the 250 peaks of I(q) regardless the anisotropic feature of the scattering pattern.

#### 251

#### **Ultrafiltration of Cellulose Nanocrystal Suspensions**

In Figure 4A, the concentration of CNCs as a function of the distance from the membrane,  $\phi(\Delta z, \Delta t)$ , is reported for different time steps. Distinctly, an increase of concentration is observed from the first few minutes of filtration. At each time step, the concentration profiles systematically follow a single exponential decay:

256 
$$\phi(\Delta z, \Delta t) = \phi_0 + \phi(0, \Delta t) e^{-\frac{\Delta z}{\zeta_c(\Delta t)}}$$
(1)

where  $\zeta_c(\Delta t)$  is the time-dependent characteristic length of the exponential decay (Supporting Information Table S1) and  $\phi(0,\Delta t)$  is the time-dependent colloidal volume fraction at the 259 membrane position ( $\Delta z=0$ ). Both quantities were determined by data fitting (Figure 4A). Such 260 an exponential concentration profile is consistent with the solution of the particle mass balance 261 equation given by:

262 
$$D(x,t) \frac{\partial \phi(x,z,t)}{\partial z} + \tilde{J}_p(x,t)\phi(x,z,t) = 0$$
(2)

where  $\tilde{J}_{p}(x, t)$  and D(x, t) are the permeate flux and average diffusion coefficient of the particles 263 within the concentrated layer at the cell position x and time  $t^{29}$  Equation 2 is obtained under a 264 few assumptions, including i) much smaller average particle dimensions compared to the 265 266 concentrated-layer thickness; *ii*) much smaller permeate flux compared to cross-flow velocity; iii) negligible convection on the x-axis within the concentrated-layer; and iv) zero particle-267 transport at the membrane surface ( $\Delta z = 0$ ). Essentially, CNC concentration profiles in Figure 268 4A describe well-defined concentrated layers with their flowing particles that obey a "model" 269 particle mass-balance for hard-spheres dispersions. Moreover, this description is valid for each 270 271 observed  $\Delta t$ . One could note that, within the framework of this model, the obtained 272 characteristic length,  $\zeta_c$ , can be used to extrapolate information about the diffusion coefficient, as  $\zeta_c(t) = D(x, t) f_p(x, t)$ . However, in the experiments reported in this work, the measured  $J_p(t)$ 273 is the total permeate flux, which is averaged over the full x-axis. Another interesting feature 274 highlighted by these *in-situ* measurements is that the deposited layers undergo no compaction 275 276 of the deposit all along the concentration phenomena. Indeed, the measured concentration profiles show regular accumulation of particles from the membrane surface  $\Delta z = 0$  until 277 increasing  $\Delta z$  positions and this for each  $\Delta t$  filtration time. That is to say that, at each filtration 278 279 time, all the layers accumulated above the membrane surface concentrate regularly.



Figure 4: Concentration (A), anisotropy (B) and maximum scattering direction (C) profiles of the growing CNC deposit as a function of  $\Delta z$  at different time steps. Solid lines in panel (A) refer to the fitting performed with Eq. 1. The two-dimensional scattering patterns in (A) corresponds to  $\Delta z = 30 \,\mu\text{m}$ at  $\Delta t = 0$  (right) and 119 min (left). The vertical dotted line highlights the coupling between concentration and anisotropy and marks the edge of the deposit. Note that  $\psi_0$  is not defined for isotropic scattering patterns.

If a compaction of the deposited layers would appear at a certain filtration time, this regular particle accumulation from the membrane surface would exhibit a change in the single exponential decay features highlighted on these concentrating phenomena. This change has not been observed, as the single exponential decay persisted all along the concentration phenomena. Consequently, no occurrence of compaction of one part of the deposited layers has been evidence in the studied filtration conditions.

Anisotropy parameters and maximum scattering direction,  $\psi_0$ , calculated via PCA are 293 presented in Figures 4B and 4C, respectively. Although the concentration profile continuously 294 295 decreases as a function of  $\Delta z$ , a sharp fall in both anisotropy and  $\psi_0$  is observed. Such a behavior 296 can provide a direct observation of the edge of the structured CPL, which systematically 297 corresponds to a threshold in volume fraction of  $\phi \sim 0.01$ . Below this value, the trend of the 298 anisotropy values seems strongly linked to the concentration profile and the formation of a 299 concentrated, oriented layer of a few tens of micrometers is already visible after 4 min of filtration. However,  $\psi_0$  is stabilized at about 1.6 rad after 14 – 35 min. In the steady state, the 300 301 average orientation of CNC nanorods is then slightly tilted with regard to the membrane plane. This deviation may be associated to the shape of the deposit in the xz-plane, which likely results 302 from a different rate of colloidal accumulation alongside x.<sup>14,29</sup> 303

304 Concentration profiles,  $\phi(\Delta z, \Delta t)$ , plotted as a function of time are shown in Figure 5. Also 305 in this case, these curves can be described by a single exponential increase:

306 
$$\phi(\Delta z, \Delta t) = \phi_0 + \phi^{\infty}(\Delta z) \left[ 1 - e^{-\frac{(\Delta t - t_0)}{\tau_c(\Delta z)}} \right]$$
(3)

307 where  $\phi^{\infty}(\Delta z) \equiv \phi(\Delta z, \Delta t \rightarrow \infty)$  is the limiting volume fraction that the deposit can achieve at a 308 given  $\Delta z$ . The value  $\tau_c(\Delta z)$  is the characteristic time of the exponential increase, whereas  $t_0(\Delta z)$  309 depicts the moment when any variation from  $\phi_0$  start to be detectable. The  $\phi^{\infty}(\Delta z)$ ,  $\tau_c(\Delta z)$  and 310  $t_0(\Delta z)$  values were obtained from the fits shown in Figure 5.



311

Figure 5: Concentration profiles of the growing CNC deposit as a function of  $\Delta t$  at different distances from the membrane. Solid lines refer to the fitting performed with Eq. 3.

314 Ultrafiltration of Beidellite Suspensions

Like CNC nanorods, beidellite platelets are electrostatically stabilized in aqueous suspensions. Above the liquid/gel transition  $(0.005 < \phi < 0.0075)$ ,<sup>22</sup> the strong repulsive interaction is responsible for the observation of the scattering structure factor S(q) (Fig. 6).

Approximating the repulsive interaction as a hard-sphere potential allows determining the interparticle distance as  $d = 2\pi/q_{max}$ , where  $q_{max}$  is the peak position of S(q). The interparticle distance was then used to determine the effective colloidal volume fraction,  $\phi$ , from the swelling law  $\phi(d)$  as described by Paineau and coworkers.<sup>23,25</sup>

Unfortunately, at low  $\phi$ , below the gel transition, no interaction peak was observed. In these cases, effective  $\phi$  values were calculated by fitting scattering curves along  $\psi_0$  and  $\psi_0 - \pi/2$ . The analysis was performed by using a monodisperse scattering form factor for disks, given by:

325 
$$F(q,\psi,\theta,\varphi) = \phi V \Delta \rho^2 \left\{ 2 \frac{\sin[qa\cos(\gamma)/2] J_1[qR\sin(\gamma)]}{qa\cos(\gamma)/2} \frac{1}{qR\sin(\gamma)} \right\}^2$$
(4)

326 where:

327 
$$\cos(\gamma) = \cos(\psi)\cos(\theta) + \sin(\psi)\sin(\theta)\cos(\varphi)$$
(5)

and using the Maier-Saupe orientation distribution function (ODF)<sup>30</sup> to perform the orientation
 averaging:

330 
$$I(q,\psi) = (2\pi N)^{-1} \int_0^{\pi/2} \mathrm{d}\theta \int_0^{\pi} \mathrm{d}\varphi \, F(q,\psi,\theta,\varphi) e^{m\cos(\theta)^2} \sin(\theta) \tag{6}$$



331

Figure 6: Example of scattering intensities, I(q), and respective two-dimensional patterns of beidellite sample at  $\Delta t = 18$  min and  $\Delta z = 50$  (squares), 300 (circles) and 2500 µm (triangles). I(q) curves were obtained by integrating the scattering patterns along  $\psi_0$  and  $\psi_0 - \pi/2$  over a sector of  $\pm 0.044$  rad ( $\pm 2.5^\circ$ ). Data at  $\Delta z = 50$  µm display a peak due to the presence of S(q). Solid lines refer to the fitting performed with Eq. 6, with m = 1.49 (circles) and 0.70 (triangles).

In Equations 4, 5 and 6, *R* and *a* are average radius and thickness of platelets (286 and 0.85 nm, respectively<sup>22,23</sup>).  $\theta$  and  $\varphi$  are polar and azimuthal angles, where  $\theta$  is null when the normal to the platelet surface is parallel to *z*. *J*<sub>1</sub> is the Bessel function of order 1.  $\Delta \rho$  is the difference between scattering length density of beidellite and water and *V* is the average platelet volume. Finally, *m* and *N* are the width parameter and normalization factor of the ODF, respectively. Selected fit curves are shown in Figure 6. Note that Equation 6 is valid only when the ODF maximum is centered at  $\pi/2$ . Nevertheless, curve pairs at ( $\psi_0, \psi_0 - \pi/2$ ) were treated as ( $\pi/2, 0$ ) in order to be fitted.

The platelet concentration profile at different time steps during filtration is reported in Figure 345 7A, as a function of the distance from the membrane. A very fast increase in volume fraction 346 was detected already after 3 min of filtration. At each time step,  $\phi(\Delta z)$  behavior exhibits two 347 348 distinct regimes. Far from the membrane,  $\phi$  is slowly increasing as  $\Delta z$  decreases. Then, when 349 the concentrations reach the gel transition value, there is a sharp jump in  $\phi$ . In this region, presumably describing the thick deposit, the concentration smoothly increases as much as  $\Delta z$ 350 351 approaches 0. The concentration profile within this concentrated layer has been described by a 352 heuristic stretched exponential decay:

353 
$$\phi(\Delta z, \Delta t) = \phi_0 + \phi(0, \Delta t) e^{-\left[\frac{\Delta z}{\zeta_b(\Delta t)}\right]^{\omega}}$$
(7)

where  $\zeta_b(\Delta t)$  is the time-dependent characteristic length of the decay (Supporting Information Table S1) and  $\phi(0, \Delta t)$  is the time-dependent colloidal volume fraction at  $\Delta z = 0$ . At each  $\Delta t$  the stretching factor was  $\omega = 0.64$ . In Figure 7A, the transition between the two regimes has been simulated by a using a smooth step-function, but just as a guide for the eyes. The fits shown in Figure 7A were performed using  $\zeta_b(\Delta t)$ ,  $\phi(0, \Delta t)$  and  $\omega$  as free parameters, in analogy with Equation 1, where  $\omega$  is a global parameter which remain constant at every  $\Delta z$ .

Anisotropy parameters and maximum scattering direction,  $\psi_0$ , calculated via PCA are shown in Figures 7B and 7C. The strong link between concentration and orientation is qualitatively very similar to the one observed for CNC. Also in this case, the edge of the CPL is welldescribed by the upturn of orientation degree and angle. In contrast, now the edge is clearly visible even in the concentration profile region related to the sol/gel transition.



365

Figure 7: Concentration (A), anisotropy (B) and maximum scattering direction (C) profiles of the growing beidellite deposit as a function of  $\Delta z$  at different time steps. Solid lines in panel (A) refer to the fitting performed with Eq. 7. The two-dimensional scattering patterns in (A) corresponds to  $\Delta t = 18$  min at  $\Delta z = 50$  (right) and 2500 µm (left). The vertical dotted line highlights the coupling between concentration and anisotropy and marks the edge of the deposit. The horizontal band marks the concentration of the sol-gel transition.<sup>22</sup>

Anisotropy and orientation angles are defined also in the bulk region close to the deposit. Such values correspond to the average orientation of platelets flowing in the retentate.<sup>25</sup> Compared to CNC,  $\psi_0$  is stabilized at  $\pi/2$  rad since the first stages of filtration. This is most likely due to the much higher aspect ratio of the beidellite platelets when compared to the cellulose rods.

Plotting the concentration profiles,  $\phi(\Delta z, \Delta t)$ , as a function of time (Fig. 8) results once again in an exponential increase:

379 
$$\phi(\Delta z, \Delta t) = \phi_0 + \phi^{\infty}(\Delta z) \left\{ 1 - e^{-\left[\frac{(\Delta t - t_0)}{\tau_b(\Delta z)}\right]^{\beta}} \right\}$$
(8)

380 where  $\tau_b(\Delta z)$  is the characteristic time of the exponential increase and  $\beta = 0.42$  is the exponential 381 stretching factor. These fittings allowed to extract the values  $\phi^{\infty}(\Delta z)$ ,  $\tau_b(\Delta z)$  and  $t_0(\Delta z)$  values 382 for the beidellite CPL.  $\beta$  is a global parameter which remain constant at every  $\Delta t$ .



383

384

385

**Figure 8**: Concentration profiles of the growing beidellite deposit as a function of  $\Delta t$  at different distances from the membrane. Solid lines refer to the fitting performed with Eq. 8.



depend on the chosen *q*-range, particle size and aspect ratio. Therefore, it was very interesting to observe how the partial curves of the ODF width, *m*, decrease as a function of  $\Delta z$ : specifically, *Anisotropy*  $\propto ln(m + 1)$  (Fig. 9A). The reason of this simple relationship was not investigated in the frame of this work. Nevertheless, it gives the opportunity of "mapping" anisotropy values to a quantitative ODF description, even when the scattering model of Equation 6 cannot be applied, as shown in Figure 9B.

395



396

Figure 9: Beidellite system: (A) Comparison between anisotropy values calculated via PCA (empty symbols) and the function  $\alpha \ln(m + 1)$  from the Maier-Saupe ODF ( $\alpha \simeq 0.294$ ) (full symbols); (B) Plots of Anisotropy Maier-Saupe ODF as a function of  $\psi$  at  $\Delta t = 3$  min and at different distances from the membrane.

#### Comparison between CNC and Beidellite Systems

In the previous sections, the concentration profiles of CNC and beidellite CPLs have been reported and described by heuristic exponential functions in both space and time scale. Such fittings allowed the extrapolation of curves of interest for cross-filtration processes, i.e. the time-dependence of the colloidal concentration at the membrane,  $\phi(0,\Delta t)$  (Fig. 10A), and the concentration profile in the steady state,  $\phi^{\infty}(\Delta z)$  (Fig. 10B).



408

409 **Figure 10**: (A) Normalized concentration profiles, extrapolated at  $\Delta z = 0$ , as a function of  $\Delta t$ . Data for 410 CNC and beidellite systems were obtained by fitting with Eqs. 1 and 7, respectively. Solid lines 411 correspond to fits in agreement with Eqs. 3 and 8. (B) Normalized concentration profiles, extrapolated 412 at  $\Delta t \rightarrow \infty$  (steady state), as a function of  $\Delta z$ . Data for CNC and beidellite systems were obtained by fitting

with Equations 3 and 8, respectively. Solid lines correspond to fits in agreement with Equations 1 and7.

415

416 In Figure 10A,  $\phi(0,\Delta t)$  data are normalized by the initial concentrations. Curves were fitted using the same exponential functions in Equations 3 and 8 for CNC and beidellite systems, 417 consistently with all the profile configurations at any  $\Delta z$ . From this plot, one can observe that 418 419 beidellite concentration reaches half the asymptotic concentration within the first 6 minutes. 420 Then, during the following about 2 h of observation, it slowly converges to the asymptotic limit, up to about 20 times the initial concentration. The CNC system instead shows a more 421 422 continuous increase, which is slower at the beginning but then rapidly converge to 423 concentrations about 30 times higher than the initial one.

The concentration profile in the steady state,  $\phi^{\infty}(\Delta z)$ , is displayed in Figure 10B. Equations 424 1 and 7 were used to fit such curves, once again in agreement with all the profile configurations 425 at any  $\Delta t$ . Beidellite concentration profile is flatter compared to the CNC one, simultaneously 426 427 exhibiting a thicker deposit but with lower relative concentrations close to the membrane. The apparent discrepancies between the values  $\phi^{\infty}(0)$  (steady state at the membrane position) are 428 just an artifact of the representation. In fact, in Figure 10A, the asymptotic value is not fully 429 430 reached, especially in the beidellite plot, whereas in Figure 10B, there is a significant difference between 0 and 10  $\mu$ m. The extrapolated  $\phi^{\infty}(0)$  values are 0.10 and 0.06 for CNC and beidellite 431 systems, respectively. 432

Another interesting set of values is the lag time  $t_0$  as a function of  $\Delta z$ . It provides the time series of the positions at which the measured colloidal volume fraction starts increasing as compared to the bulk concentration  $\phi_0$  (Figs. 5 and 8), i.e. the position of the upper boundary of the CPL. Therefore, the time-dependent effective thickness of the deposit,  $\delta(t)$ , can be defined as the inverse of function  $\delta(t) \equiv [t_0(\Delta z)]^{-1}$ . In Figure 11A,  $\delta(t)$  values are reported alongside a tentative extrapolation in the long time range. This was performed by applying Eqs. 3 and 8 for CNC and beidellite deposits, respectively. In the case of CNC deposit, despite the absence of points after the first 30 minutes, the asymptotic limit of  $\delta \simeq 600 \ \mu m$  matches with the steady state concentration profile,  $\phi^{\infty}(\Delta z)$  (Fig. 10B).



Figure 11: (A) Effective deposit thickness  $\delta(t)$  as a function of time  $t_0 \equiv \Delta t$ . Solid lines for CNC and beidellite systems were obtained by fitting the curves with Equations 3 and 8, respectively. (B) Permeate flux for CNC and beidellite systems. (C) Specific resistance values as a function of time. Solid lines are just a guide for the eyes.

One can observe that in this case the limit thickness is achieved after about 30 min. Further 447 448 CNC cross-filtration does not significantly increase the size of the deposit layer, but strengthens it in terms of both concentration and orientation degree (Fig. 3). On the other hand, the thickness 449 450 of the beidellite CPL exhibits a slower but persistent increase as a function of time. The fitted curve matches the experimental data within the first 60 minutes (which is about the maximum 451 452 measured lag time to, Figs. 5 and 8), so it is reasonable to extrapolate it toward the asymptotic 453 value of about  $\delta \simeq 800 \ \mu m$  (Fig. 9B). Hence, in contrast to CNC deposit, the beidellite layer 454 needed additional time ( $\Delta t > 140 \text{ min}$ ) to reach steady state. Such a conclusion was not evident during cross-flow filtration. Indeed, the observation of 2D scattering patterns and permeate 455 456 fluxes,  $J_p(t)$ , from both CNC and beidellite experiments showed very weak differences after 457 about 2 h of filtration, qualitatively indicating that the steady state was reached.

458 Another interesting point is that the permeate flux  $J_p(t)$  of the CNC suspension is higher than 459 that of the beidellite suspension all along the filtration time (Fig. 11B), while the asymptotic 460 value of the thickness of the CNC deposit ( $\delta \simeq 600 \,\mu\text{m}$ ) is lower than the one of beidellite ( $\delta \simeq$ 461 800 µm) (Fig. 10B). Furthermore, the equilibrium volume fraction at the membrane surface of CNC suspension  $[\phi^{\infty}(0) = 0.10]$  is higher than the one of beidellite suspensions  $[\phi^{\infty}(0) = 0.06]$ . 462 This means that a thinner deposit, even with a higher equilibrium volume fraction at the 463 membrane surface, can induce a higher permeate flux. This observation highlights the 464 465 complexity of the relationships between the permeation flux and the deposits properties 466 (thickness, equilibrium volume fraction, concentration profile). Hence, the permeability property of the deposits is highly dependent of both the nature of filtered particles (their size, 467 shape, aspect ratio, colloidal interaction), and their structural organization inside the deposits 468 469 (orientation level, inter particle distance, porosity).

Some of these properties can be enclosed in a single time-dependent function such as the specific resistance of the CPL,  $R_s(t)$ . It is the resistance parameters per thickness units and is defined by the expression:<sup>29</sup>

473 
$$J_p(t) = \frac{\Delta P}{\mu[R_m + \delta(t)R_s(t)]}$$
(9)

where  $\Delta P$  is the applied transmembrane pressure,  $\mu$  is the solvent viscosity and  $R_m$  is the 474 membrane resistance (respectively  $R_m = 5.20 \cdot 10^{12} \text{ m}^{-1}$  and  $R_m = 4.94 \cdot 10^{12} \text{ m}^{-1}$  during CNC and 475 476 beidellite experiments). As  $\delta(t)$  can be extrapolated in the full time-range, the time-evolution of the specific resistance can be simply calculated from the measured permeate flux (Fig. 11C). 477 These results describe the behavior of  $R_s(t)$  in the first minutes after the application of pressure. 478 However, this simplified model does not consider the effect of osmotic pressure within the 479 deposit, which would decrease the effective pressure<sup>8</sup> in Eq. 9. Nevertheless, a lower effective 480 481 pressure would result in a scaling factor, with negligible effects on the slopes of  $R_s(t)$ . Another limitation is that  $\delta(t)$  has been calculated at one x-position in the cell, while the measured 482 permeate flux is the averaged over their full extension of the membrane. However, all the other 483 484 pertinent physical quantities, such as concentration, orientation and colloidal shape, are incorporated in the time-dependent specific resistances  $R_s(t)$ . 485

In conclusion, the effective functions of  $J_p(t)$  not only depend on CPL thickness and particle 486 volume fraction, but parameters like the colloidal aspect-ratio and microstructure (anisotropy 487 degree and orientation angle with respect to the membrane surface of anisotropic colloids) have 488 to be considered. The calculated specific resistance well describes how the physics of these 489 systems is reflected in the filtration parameters. In particular, it is interesting to compare Figures 490 10A and 11C, as the specific resistance of the beidellite CPL is systematically higher than the 491 CNC system, whereas CNC cake exhibits a higher equilibrium volume fraction. Given that both 492 493 colloids are stabilized by repulsive electrostatic forces, it can be suggested that the shape and

orientation degree of these particles have a pivotal role in the trend and magnitude of the 494 495 specific resistance, i.e. on the permeate flux. In CNC fouling layers, despite the equilibrium 496 volume fraction of  $\phi^{\infty}(0) = 0.10$ , the equilibrium degree of orientation (anisotropy of about 0.5) 497 of these rod-like colloids allows water to pass through the CPL more easily than in beidellite system. In this latter case instead, the clay platelets showed a lower volume fraction along with 498 499 a higher anisotropy degree, both resulting in a stronger specific resistance to water. From these 500 results, it can be proposed that, in these cases, the differences between the filtration parameters (e.g. CPL thickness, permeate flux, specific resistance) are mainly ascribed to the shape and 501 502 aspect ratio of the charged-stabilized colloids, which in turn govern the two main features of 503 the microstructure: volume fraction and degree of orientation. Finally, differences in specific 504 resistance seems more linked to the orientation degree rather than to concentration, even though 505 these two parameters are in both cases strongly correlated. This also seems to be confirmed by 506 the fact that the beidellite system shows a strong degree of orientation in the first minutes as compared to the CNC system, where the CPL does not show the same level of anisotropy even 507 508 at the final stage.

509

510

#### Structural Organization of Dry Deposits at higher Length scales

511 With the aim of understanding in more details the structural organization on a larger range of lengthscales (form nano- to micrometer), additional ultrafiltration measurements have been 512 performed. One protocol has been developed in order to apply in a first step the same conditions 513 514 of cross-flow ultrafiltration as the one achieved during in situ SAXS measurements, follow by a second step of dead-end filtration. The latter step was used to consolidate the structural 515 516 organization reached during this first step. Figures 12 and 13 show WAXD patterns and SEM 517 images of dry beidellite and CNC deposits, respectively. These ex situ techniques offer the 518 possibility to characterize the structural organization along directions parallel or orthogonal to

the film plane. While in situ SAXS experiments provided structural information in a parallel 519 520 geometry, that is in the *xz-plane*, orthogonal observations in the *xy*-plane allowed to access to the structural organization along the velocity (x) and transmembrane pressure directions (y). In 521 522 the case of beidellite films analyzed in parallel geometry (Figs. 12B-D), the WAXD pattern exhibit aligned narrow ark reflections, which reveals a strong orientation parallel to the flow 523 direction (Fig. 12C) and the corresponding SEM image show a well-defined layered structure 524 525 (Fig. 12D). In contrast, the orthogonal WAXD pattern contains rings (Fig. 12F) that, along with the SEM image of one beidellite layer (Fig. 12G), correspond to an isotropic in-plane 526 orientation of the clay platelets. These observations confirmed that during the cross-flow 527 528 ultrafiltration, under the simultaneous effect of shear flow and transmembrane pressure, beidellite platelets aligned in the direction of the flow and parallel to the membrane surface. 529 530 Particles are stacked along a direction perpendicular to the membrane surface, forming a well-531 defined layered structure along the transmembrane pressure direction.

The case of dry CNC deposits is more complex as the WAXD patterns suggest a lower 532 533 degree of orientation of the particles (the description of the reflections in terms of a mixture of two cellulose allomorphs, namely cellulose I and II, is provided in Supplementary Information 534 (Fig. S2). Indeed, a careful examination of the SEM images shows that the film is not 535 homogeneous and contains domains with different organizations. Figures 13D and 13H 536 correspond to a domain where the CNC are parallel to the flow direction and seem to form a 537 layered structure parallel to the membrane. In another region of the film (Figs. 13E and 13I), 538 the fracture surface reveals a layered texture with CNC arches that recalls the patterns observed 539 540 in chiral nematic arrangements of CNCs. Such an arched organization is observed when the fracture plane is oblique with respect to the helicoidal axis of the cholesteric phase.<sup>29,31</sup> The 541 WAXS patterns in Figure 13C and 13G are thus likely the superimposition of patterns from 542 highly oriented domains (yielding aligned narrow diffraction arks) and less oriented or chiral 543

nematic regions (yielding diffraction rings). In static conditions, CNCs undergo a phase 544 545 separation into a lower anisotropic phase and an upper isotropic phase providing that the volume fraction exceeds the initial critical concentration  $\phi_i$  of about 0.05. Above a second critical 546 volume fraction  $\phi_a$  of about 0.09, a fully anisotropic chiral nematic phase is obtained, due to 547 the intrinsic chiral character of the rods. The presence of cholesteric domains in the dry deposits 548 is consistent with the high concentration reached upon drying that most probably becomes 549 higher than the second critical concentration. However, the presence of helicoids implies that 550 551 the nematic organization induced during cross-flow filtration was at least locally suppressed during the dry deposit formation in the second consolidation step or upon pressure release, 552 leading to a relaxation of the organization from nematic to chiral nematic. 553



555

**Figure 12:** A) Photograph of the beidellite wet deposit ( $\phi_0 = 0.0031$ ,  $10^{-4}$  M) obtained by 2 h of crossflow ultrafiltration (Q = 0.06 L min<sup>-1</sup>,  $\Delta P = 1.1 \times 10^5$  Pa) followed by 18 h of dead-end ultrafiltration. Texture analyses of the dry deposit parallel (B-D) and perpendicular (E-G) to the plane of the film. B and E schematically describe the direction of observation (black arrows). C and F are the WAXD patterns of the film. D and G are SEM images of the deposit after a longitudinal fracture (D) of one beidellite layer seen in planar view (G).



Figure 13: A) Photograph of the CNC wet deposit ( $\phi_0 \approx 0.0044$ ) obtained by 2 h of cross-flow ultrafiltration ( $Q = 0.06 \text{ Lmin}^{-1} \Delta P = 1.1 \times 10^5 \text{ Pa}$ ) followed by 22 h of dead-end ultrafiltration. Texture analyses of the dry deposit parallel (B-E) and perpendicular (F-I) to the plane of the film. B and E schematically describe the direction of observation (black arrows). C and G are the WAXD patterns of the film. D, E, F and I are SEM images of the deposit after a longitudinal fracture (D,E) or in planar view (H,I). The arrows indicate the orientation of the CNCs.

### Conclusion

A SAXS study of the concentration polarization layer of either rod- or disk-like charge-572 stabilized colloids was reported, presenting results in time- and space-scales which were not 573 previously accessible. The spatial resolution and minimal distance from the ultrafiltration 574 575 membrane of 30 µm, allowed to observe colloidal deposition within the first 2-4 min of the filtration process. The rod-like cellulose nanocrystals system showed a remarkably regular and 576 continuous exponential increase of the colloidal concentration, as a function of both membrane-577 578 distance and time. Beidellite clay disk-like particles exhibited a similar behavior, although 579 heuristic stretched-exponential functions had to be used in order to fit the data. Furthermore, the formation of the beidellite concentration polarization layer is clearly affected by the sol-gel 580 transition. Despite these differences, both systems displayed a clear connection between 581 582 concentration and degree of orientation within the deposit. Both simple and stretched 583 exponential descriptions of concentration profiles were used to extrapolate other characteristics, such as the concentration at the membrane ( $\Delta z = 0$ ) as a function of time, the concentration 584 profile as a function of membrane distance in the steady state  $(\Delta t \rightarrow \infty)$  and the effective 585 586 thickness of the concentration polarization layer as a function of time. The latter was successfully used to propose a very simple description of the time-dependence of the specific 587 resistances of both CNC and beidellite fouling cakes, which are directly calculated from the 588 589 rapid decay of the measured permeate fluxes, including the first few minutes of ultrafiltration. The originality of the structural parameters acquired by the in situ SAXS measurements during 590 591 cross-flow ultrafiltration, allowed discussing in details the potential links between filtration parameters (thickness, resistance and permeate flux) and physical features (colloidal volume 592 593 fraction, orientation, shape and aspect ratio). It opens up new perspectives in characterization 594 methods at the nanometer lengthscale to better understand the concentration polarization layers phenomena. WAXD analyses and SEM observations of dried deposits obtained after cross-flow 595

followed by dead-end ultrafiltration, allowed to demonstrate that under ultrafiltration, the nanosized platelets assembled into a well-defined layered ultrastructure over micrometer distances. The chiral nematic organization of the CNC deposits have also been evidenced on distances of few tens of micrometers. The characterizations presented in this work demonstrate that ultrafiltration methods can be used to efficiently control the concentration polarization layer of anisotropic nanoparticles, both in terms of both colloidal concentration and orientation which could be extended up to a lengthscale of a few tens of micrometers.

- 603 List of Symbols
- 604 *a*: Beidellite platelet thickness (m)
- 605 c: Weight percent concentration
- 606 D: Diffusion coefficient (m s<sup>-2</sup>)
- 607 *d*: Interparticle distance  $(m^2)$
- 608 q: Scattering vector  $(m^{-1})$
- 609 F(q): Scattering form factor (m<sup>-1</sup>)
- 610 I(q): Scattering intensity (m<sup>-1</sup>)
- 611 Jp: Permeate flux (m s<sup>-1</sup>)
- 612 *m*: Maier-Saupe orientation parameter
- 613 N: Normalization factor
- 614 *Q*: Cross-flow rate (L min<sup>-1</sup>)
- 615 *R*: Beidellite platelet radius (m)
- 616 *Rm:* Membrane resistance  $(m^{-1})$
- 617 *Rs:* Specific resistance  $(m^{-2})$

618	<i>S(q)</i> :	Scattering structure factor
619	V:	Particle volume (m <sup>3</sup> )
620	<i>v:</i>	Retentate velocity (m s <sup>-1</sup> )
621	<i>β</i> :	Stretching parameter (time-dependent concentration profile)
622	$\Delta P$ :	Transmembrane pressure (Pa)
623	∆z:	Distance from the membrane plane (m)
624	Δρ:	Scattering contrast density (m <sup>-2</sup> )
625	δ:	Thickness of the concentration polarization layer (m)
626	ζ:	Characteristic length of the exponential decay (concentration profile) (m)
627	<i>θ</i> :	Polar angle in spherical coordinates
628	μ:	Solvent viscosity (Pa s)
629	τ:	Characteristic time of the exponential increase (concentration profile) (s)
630	φ:	Volume fraction
631	<i>φ</i> <sub>0</sub> :	Initial (retentate) volume fraction
632	$\phi^\infty$ :	Equilibrium volume fraction
633	φ:	Azimuthal angle in spherical coordinates
634	ψ:	Azimuthal scattering angle in the plane (CCD detector)
635	ω:	Stretching parameter (length-dependent concentration profile)
636		
637		
638		

#### 639 Acknowledgement

This work project made in the framework of the NanoCompUV project, has received the 640 641 financial support of Institut Carnot PolyNat (Investissements d'Avenir - grant agreement #ANR-16-CARN-0025-01). LRP is part of LabEx Tec21 (Investissements d'Avenir - grant 642 643 agreement no. ANR-11-LABX-0030) and CERMAV is part of LabEx Arcane and CBH-EUR-644 GS (Investissements d'Avenir - grant agreement #ANR-17-EURE-0003). Both laboratories are part of Glyco@Alps (Investissements d'Avenir - grant agreement #ANR-15-IDEX-02). ESRF 645 646 is acknowledged for provision of synchrotron beamtime (proposal SC-4612). The authors also 647 thank the Laboratoire Rhéologie et Procédés and ID02-beamline staff for the support, as well as the NanoBio-ICMG Platform (FR 2607, Grenoble) for granting access to the Electron 648 Microscopy facility. Sincere gratitude goes to S. Prévost (Institut Laue-Langevin, Grenoble) 649 for fruitful discussions. 650

#### 651 **References**

660

(1) Drioli, E.; Giorno, L. (Eds.), Membrane Operations. Innovative Separations and
Transformations, Wiley-VCH Verlag GmbH&Co 2009.

- (2) Field, R. W.; Wu, D.; Howell, J. A.; Gupta, B. B. Critical flux concept for microfiltration
  fouling. *Journal of Membrane Science* 1995, *100*, 259–272.
- (3) Bacchin, P.; Aimar, P.; Sanchez, V. Model for colloidal fouling of membranes. *AIChE Journal* 1995, *41*, 368–376.
- (4) Wijmans, J. G.; Nakao, S.; Van Den Berg, J. W. A.; Troelstra, F. R.; Smolders, C. A.
  Hydrodynamic resistance of concentration polarization boundary layers in ultrafiltration.

Journal of Membrane Science 1985, 22, 117–135.

(5) Song, L.; Elimelech, M. Theory of concentration polarization in crossflow filtration. *Journal of the Chemical Society, Faraday Transactions* 1995, *91*, 3389–3398.

(6) Bowen, W. R.; Mongruel, A.; Williams, P. M. Prediction of the rate of cross-flow membrane ultrafiltration: a colloidal interaction approach. *Chemical Engineering Science* 1996, *51*,
4321–4333.

- (7) Jönsson, A.-S.; Jönsson, B. Ultrafiltration of colloidal dispersions: theoretical model of the
  concentration polarization phenomena. *Journal of Colloid and Interface Science* 1996, *180*,
  504–518.
- (8) Elimelech, M.; Bhattacharjee, S. A novel approach for modeling concentration polarization
  in crossflow membrane filtration based on the equivalence of osmotic pressure model and
  filtration theory. *Journal of Membrane Science* 1998, *145*, 223–241.
- (9) Bhattacharjee, S.; Kim, A. S.; Elimelech, M. Concentration polarization of interacting solute
  particles in cross-flow membrane filtration. *Journal of Colloid and Interface Science* 1999, *212*,
  81–99.
- (10) Bessiere, Y.; Abidine, N.; Bacchin, P. Low fouling conditions in dead-end filtration:
  evidence for a critical filtered volume and interpretation using critical osmotic pressure. *Journal of Membrane Science* 2005, *264*, 37–47.
- (11) Bacchin, P.; Si-Hassen, D.; Starov, V.; Clifton, M. J.; Aimar, P. A unifying model for
  concentration polarization, gel-layer formation and particle deposition in cross-flow membrane
  filtration of colloidal suspensions. *Chemical Engineering Science* 2002, *57*, 77–91.
- (12) Agashichev, S. P. Enhancement of concentration polarization due to gel accumulated at
   membrane surface. *Journal of Membrane Science* 2006, *285*, 96–101.

- (13) Pignon, F.; Abyan, M.; David, C.; Magnin, A.; Sztucki, M. In situ characterization by
  SAXS of concentration polarization layers during cross-flow ultrafiltration of laponite
  dispersions. *Langmuir* 2012, 28, 1083–1094.
- 686 (14) Jin, Y.; Hengl, N.; Baup, S.; Pignon, F.; Gondrexon, N.; Sztucki, M.; Gésan-Guiziou, G.;
- Magnin, A.; Abyan, M.; Karrouch, M.; Blésès, D. Effects of ultrasound on cross-flow
  ultrafiltration of skim milk: Characterization from macro-scale to nano-scale. *Journal of Membrane Science* 2014, 470, 205–218.
- 690 (15) Jin, Y.; Hengl, N.; Baup, S.; Pignon, F.; Gondrexon, N.; Sztucki, M.; Romdhane, A.;
- 691 Guillet, A.; Aurousseau, M. Ultrasonic assisted cross-flow ultrafiltration of starch and cellulose
- nanocrystals suspensions: Characterization at multi-scales. *Carbohydrate Polymers* 2015, *124*,
  66–76.
- (16) Jin, Y.; Hengl, N.; Baup, S.; Maitrejean, G.; Pignon, F. Modeling and analysis of concentration profiles obtained by in-situ SAXS during cross-flow ultrafiltration of colloids. *Journal of Membrane Science* 2017, *528*, 34–45.
- (17) Habibi, Y.; Lucia, L. A.; Rojas, O. J. Cellulose Nanocrystals: Chemistry, self-assembly, and
  applications. *Chemical Review* 2010, *110(6)*, 3479–3500.
- 699 (18) Mitov, M. Chlolesteric liquid crystals in living matter. *Soft Matter* **2017**, *13*, 4176–4209.
- 700 (19) Kalia, S.; Dufresne, A.; Cherian, B. M.; Kaith, B. S.; Avérous, L.; Niuguna, J.;
- 701 Nassiopoulo, E. Cellulose-based bio- and nanocomposites: A review. International Journal of
- 702 *Polymer Science* **2011**, *2011*, 837875.
- 703 (20) Dufresne, A. Nanocellulose: From Nature to High Performance Tailored Materials, 2<sup>nd</sup> ed.;
- 704 Walter de Gruyter GmbH: Berlin/Boston, 2017.

(21) Kargarzadeh, H.; Huang, J.; Lin, N.; Ahmad, I.; Mariano, M.; Dufresne, A.; Thomas, S.;
Gałęski, A. Recent developments in nanocellulose-based biodegradable polymers,
thermoplastic polymers, and porous nanocomposites. *Progress in Polymer Science* 2018, *87*,
197–227.

- 709 (22) Paineau, E.; Antonova, K.; Baravian, C.; Bihannic, I.; Davidson, P.; Dozov, I.; Impéror-
- Clerc, M.; Levitz, P.; Madsen, A.; Meneau, F.; Michot, L. J. Liquid-crystalline nematic phase
  in aqueous suspensions of a disk-shaped natural beidellite clay. *Journal of Physical Chemistry B* 2009, *113*, 15858–15869.
- (23) Paineau, E.; Bihannic, I.; Baravian, C.; Philippe, A. M.; Davidson, P.; Levitz, P.; Funari,
  S. S.; Rochas, C. and Michot, L. J. Aqueous suspensions of natural swelling clay minerals. 1.
  structure and electrostatic interactions. *Langmuir* 2011, *27*, 5562–5573.
- (24) Gicquel, E.; Bras, J.; Rey, C.; Putaux J.-L.; Pignon F.; Jean B.; Martin C. Impact of
  sonication on the rheological and colloidal properties of highly concentrated cellulose
  nanocrystal suspensions. *Cellulose* 2019, *26*, 7619–7634.
- (25) Paineau, E.; Michot, L. J.; Bihannic, I.; Baravian, C. Aqueous suspensions of natural
  swelling clay minerals. 2. Rheological characterization. *Langmuir* 2011 *27*, 7806-7819.
- (26) Narayanan, T.; Sztucki, M.; Van Vaerenbergh, P.; Léonardon, J.; Gorini, J.; Claustre, L.;
  Sever, F.; Morse, J.; Boesecke, P. A multipurpose instrument for time-resolved ultra-smallangle and coherent X-ray scattering. *Journal of Applied Crystallography* 2018, *51*, 1–14.
- (27) Muthig, M.; Prévost, S.; Orglmeister, R.; Gradzielski, M. SASET: A program for series
  analysis of small-angle scattering data. *Journal of Applied Crystallography* 2013, *46*, 1187–
  1195.

- 727 (28) Schütz, C.; Agthe, M.; Fall, A. B.; Gordeyeva, K.; Guccini, V.; Salajková, M.; Plivelic, T.
- 728 S.; Lagerwall, J. P. F.; Salazar-Alvarez, G.; Bergström, L. Rod packing in chiral nematic
- cellulose nanocrystal dispersions studied by small-angle X-ray scattering and laser diffraction.
- 730 *Langmuir* **2015**, *31*, 6507–6513.
- 731 (29) Romero, C.; Robert, H. D. Global model of crossflow microfiltration on hydrodynamic
- particle diffusion based. *Journal of Membrane Science* **1988**, *39*, 157–185.
- 733 (30) Maier, W.; Saupe, A. Eine einfache molekular-statistische Theorie der nematischen
- 734 kristallinflussigen Zustandes. I. Zeitschrift für Naturforschung A 1959, 15, 882–889.
- 735 (31) Majoinen, J.; Kontturi, E.; Ikkala, O.; Gray D.G. SEM imaging of chiral nematic films cast
- from cellulose nanocrystal suspensions. *Cellulose* **2012**, *19*, 1599–1605.