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# Omega-3 polyunsaturated lipophenols, how and why?

Céline Crauste\*, Mélissa Rosell, Thierry Durand, Joseph Vercauteren

Institute of Biomolecules Max Mousseron (IBMM), UMR 5247-CNRS-UM-ENSCM, Faculty of Pharmacy, 15 av. C. Flahault, 34093 Montpellier, France

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## ABSTRACT

Polyphenols and n-3 polyunsaturated fatty acids (PUFAs) are two classes of natural compounds, which have been highlighted in epidemiological studies for their health benefits. The biological activities of those two families of metabolites on oxidation, inflammation, cancer, cardiovascular and degenerative diseases have been reported *in vitro* and *in vivo*. On the other hand, chemical bonding between the two structures leading to n-3 lipophenol derivatives (or phenolipids) has been studied in numerous works over the last decade, and some examples could also be found from natural sources. Interest in lipophilization of phenolic structures is various and depends on the domain of interest: in food industry, the development of lipidic antioxidants could be performed to protect lipidic food matrix from oxidation. Whereas, on pharmaceutical purpose, increasing the lipophilicity of polar phenolic drugs could be performed to improve their pharmacological profile. Moreover, combining both therapeutic aspects of n-3 PUFAs and of polyphenols in a single lipophenolic molecule could also be envisaged. An overview of the synthesis and of the natural sources of n-3 lipophenols is presented here, in addition to their biological activities which point out in several cases the benefit of the conjugated derivatives.

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**Abbreviation:** ALA,  $\alpha$ -linolenic acid; LA, linoleic acid; EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid; AR, 5-alkenylresorcinol; ACP, acylphlorogucinol; n-3 PUFA, omega-3-polyunsaturated fatty acid; PC, phosphatidylcholine.

\* Corresponding author.

**E-mail addresses:** [celine.crauste@univ-montp1.fr](mailto:celine.crauste@univ-montp1.fr) (C. Crauste), [melissa.rosell@etud.univ-montp2.fr](mailto:melissa.rosell@etud.univ-montp2.fr) (M. Rosell), [thierry.durand@univ-montp1.fr](mailto:thierry.durand@univ-montp1.fr) (T. Durand), [jvercauteren@univ-montp1.fr](mailto:jvercauteren@univ-montp1.fr) (J. Vercauteren).

## 1. Introduction

Health benefits of polyunsaturated fatty acids of the omega-3 family have been largely reported in the last decades [1,2]. The most common ones are  $\alpha$ -linolenic acid (C18:3, ALA), eicosapentaenoic acid (C20:5, EPA) and docosahexaenoic acid (C22:6, DHA). They are reported as “essential fatty acids”, seem to be involved in the reduction of inflammation and may help lower risk of chronic diseases such as heart disease, cancer, and arthritis. As they are highly concentrated in the brain, they are also implicated in cognitive and behavioral functions [3]. Several omega-3-polyunsaturated fatty acid (n-3 PUFA) conjugates have been developed in recent literature to improve the efficiency of active molecules. Among them, this review will focus especially on PUFA-Phenol conjugates, including *inter alia*, flavonoid, catechol, phloroglucinol derivatives, already known for their therapeutic applications (anti-oxidative, anti-inflammatory, anticancer activities . . .) [4], as well as natural omega-3 lipophenols.

The rationale to design lipophenol derivatives depends either on the initial phenolic drug or on the targeted pathology: linkage of highly hydrophilic drugs to n-3 PUFA may help to increase lipophilicity, cell penetration and bioavailability of specific polar phenolic drugs. On the contrary, conjugation with PUFA would be interesting to reach appropriate solubility of hydrophobic drugs, by facilitating its binding to human serum albumin (HSA) [5,6]. In some cases, PUFA conjugates have been investigated to target specific tissues, either ones rich in n-3 PUFA such as retina or brain (high content in DHA and EPA) [3,7], or tumor tissues in which PUFA uptake is particularly high. On one side, since PUFAs are prime targets for oxidation (due to numerous bis-allylic positions) [8], linkage with antioxidant such as phenolic compounds would also help to limit auto-oxidation, to prevent the resulting harmful effects of lipid oxidation and to preserve health benefits of PUFA. On the other side, esterification of phenolic drugs by PUFA is a good way to mask their hydroxyl polar functions and thus, to reduce their biotransformation or the pace of oxidative degradation. In addition, conjugation with a PUFA part may contribute to increase antioxidant properties of phenolic compounds in lipophilic media. Depending on the pathology studied, synergism effect between the phenolic and the PUFA parts could be expected. In those cases PUFA would be able to enhance the efficacy of the phenolic drug, not only by the release of the drug but by the combined functionality of both moieties of the conjugate (including their metabolites).

For all those reasons several research teams worked on the synthesis and the evaluation of a wide range of lipophenols, however, natural sources offer also the possibility to access lipophenol structures. As an example, several lipophenols bearing a n-3 PUFA part and a phloroglucinol moiety have been identified, mainly in vegetable sources [9]. Interest in natural n-3 lipophenols resides in their diverse biological activities.

As an illustration, we describe herein the main synthetic strategies to access n-3 lipophenols, the source and/or the total synthesis of natural n-3 lipophenolic derivatives, and we will discuss the current and potential therapeutic applications of the resulting compounds presented in the literature. Even though other n-3 PUFA conjugates bearing phenolic residues have been described, this review will focus specifically on bioactive compounds in which the phenolic or polyphenolic moiety plays a major role in the biological properties of the molecule.

## 2. The chemical or enzymatic pathway to access n-3 lipophenols

Introduction of PUFA on phenolic cores can be performed chemically, enzymatically, or chemo enzymatically, most often through esterification/acylation of the phenolic-OH with fatty acids. Fig. 1 presents phenolic molecules that have been transformed into li-

pophilic derivatives using n-3 PUFAs, with position of the reported acylation in red/pink color.

### 2.1. Enzymatic synthesis

The synthesis of n-3 PUFA-phenols depends on the structure of the phenolic part. Indeed, different types of bioactive phenols have been linked to ALA, EPA or DHA. Among them, are reported polyphenolic compounds belonging to the flavonoids family such as rutin **5**, phloridzin **6**, isoquercitrin **7**, naringin **4**, quercetin **3** or epigallocatechins **1–2** (Fig. 1). The enzymatic way, involving lipases, most usually novozyme 435 (commercially immobilized lipase from *Candida antarctica* – CALB), is always preferred to the chemical one to introduce PUFA on heterosidic flavonoids. Its high regioselectivity allows introduction of only one fatty acid (FA) residue on the sugar part, and mild reaction conditions avoid substrate alteration. The most studied flavonoid for this purpose was rutin (**5**).

Enzymatic esterification using CALB is usually performed in acetone [10–12], 2-methyl-2-butanol [13,14] or in a mixture of both solvents [15], which were selected for their abilities to solubilize both the reactant and the final lipophenol, providing excellent activity and stability of the lipase. The lipase allows the introduction of ALA, EPA or DHA specifically at the 4''-hydroxyl group of the rhamnosyl moiety. Mbatia et al. [10] performed the reaction using a mixture of PUFAs enriched in ALA, EPA and DHA, in proportion phenol/FA 1/4 at 50 °C during 96 h. They reported 30% yield of the 3 lipophenols (**5**) without purification. Using similar protocol with pure ALA (phenol/FA 1/5, 50 °C, 96 h), Mellou et al. [11] observed up to 68% of esterification (measured by HPLC) while Viscupicova et al. [13,14] obtained around 30% of conversion using the same PUFA (phenol/FA:1/5, 60 °C, 168 h). Those works pointed out that the yields of enzymatic rutin esterification, inversely correlates the chain length of fatty acid (with C4–C12 fatty acids, yield >50%), probably due to steric hindrance/constraints in the active site of the CALB. It has also been reported that the presence of double bonds could negatively influence the lipase specificity to a large extent. However this was not clearly demonstrated with rutin.

More recently Zheng et al. [15], introduced ultrasound activation to link ALA to rutin (**5**) and naringin (**4**) in the presence of CALB (wrong structure of naringin is reported by the authors). Microtip probe ultrasonic pretreatment to esterification of flavonoids (frequency 25 KHz, power 150–200 W), allowed to use lower PUFA's equivalents and reduced reaction time (48–72 h instead of 72–96 h), compared to stirring experiments to reach up to 80% of conversion without damaging the lipase. Ziaullah et al. [12] were the only ones to perform phloridzin acylation at the 6'' position of the glucose, with each of the three PUFAs ALA, EPA and DHA, using the stirring process (acetone, 45°–50 °C, 12–24 h) and obtained respectively 94, 85 and 82% yields after purification of phloridzin-ALA, -EPA and -DHA **6**. The same work on isoquercitrin (**7**) led to the acylation of the 6''-OH position of the sugar moiety with a complete regioselectivity and 91, 81 and 81% yield, respectively. In addition to the presence of flame dried molecular sieves to remove any *in situ* generated water in the reaction mixture, they focused on the need to dry the enzyme over P<sub>2</sub>O<sub>5</sub> for 20 h before the reaction and to maintain extra dry condition so as to limit hydrolysis and drag the reaction forward.

Lipase-catalyzed esterification and transesterification were used to produce lipophenol structures having a catechol part like vanillyl alcohol, dihydrocaffeic acid or hydroxytyrosol. Using the specificity of CALB to acylate primary hydroxyl groups compared to secondary hydroxyl ones, Mbatia et al. [10] reported, as for rutin but with an increased yield (60%), the synthesis of a mixture of ALA, EPA and DHA vanillyl esters **10**, using CALB in acetone. Additionally, EPA and DHA were introduced in the olive oil hydroxytyrosol (**13**) and in analogue structures through transesterification, cata-

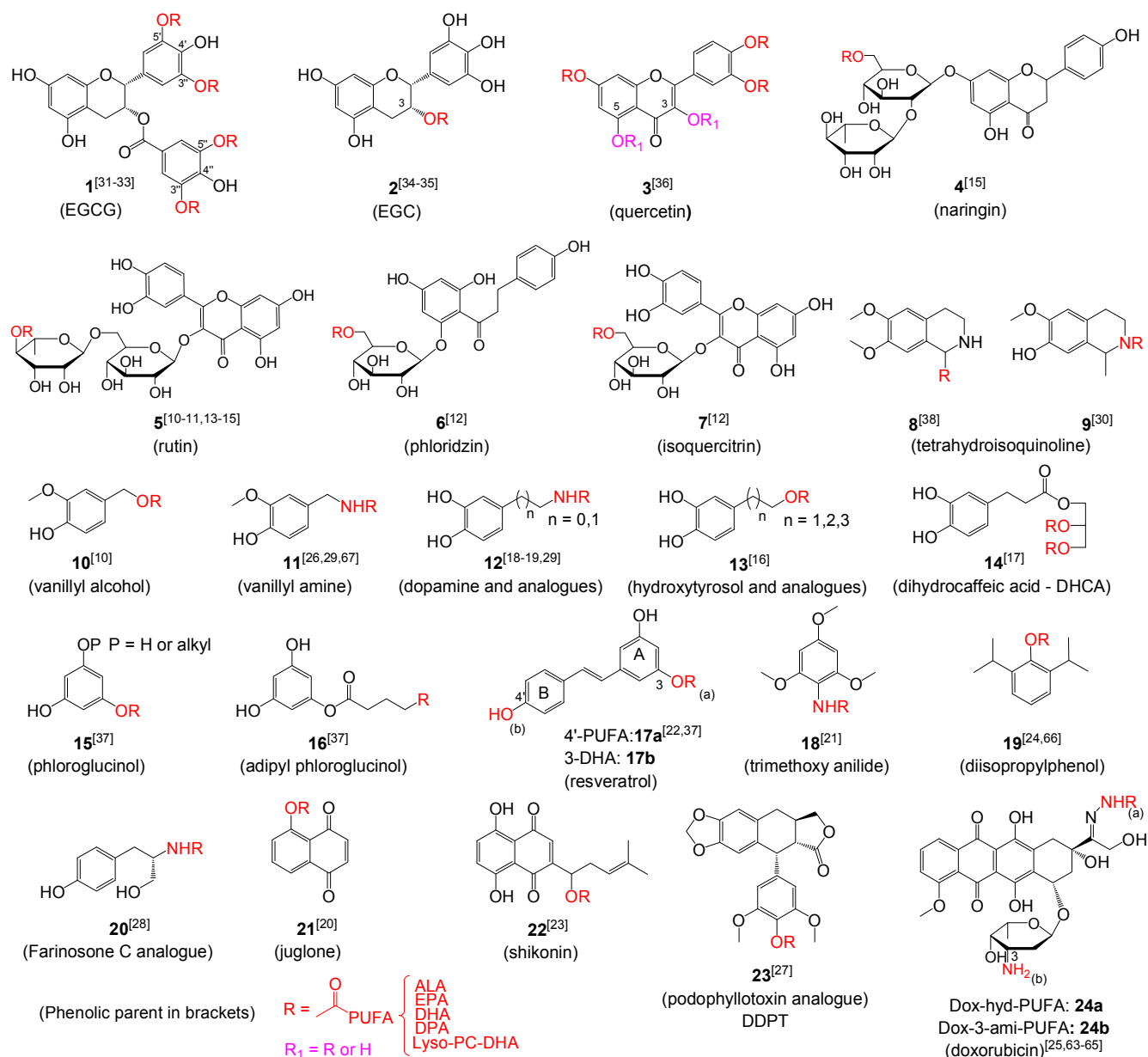


Fig. 1. Chemical structures of published synthetic n-3 PUFA lipophenols.

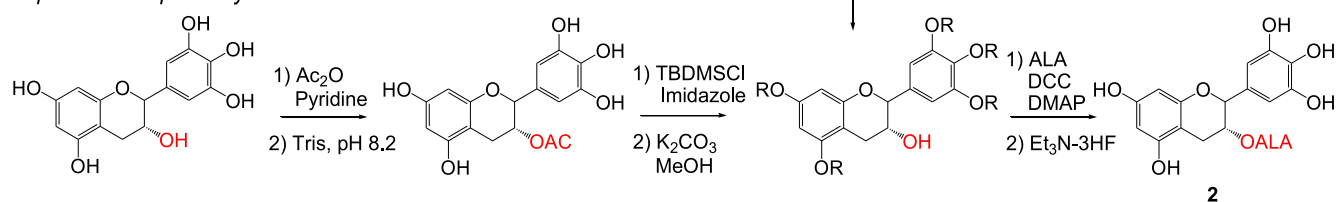
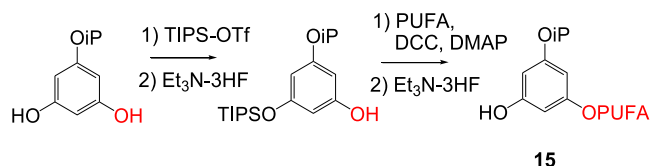
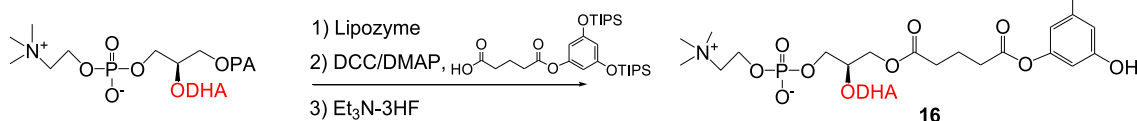
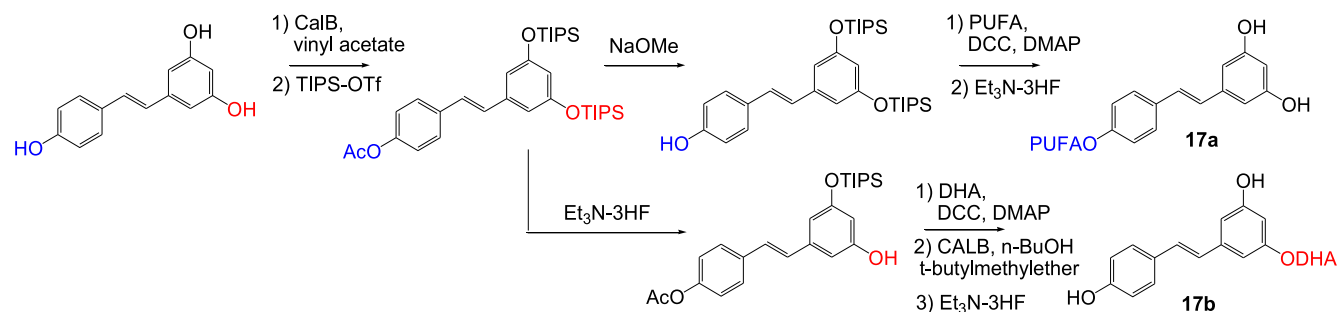
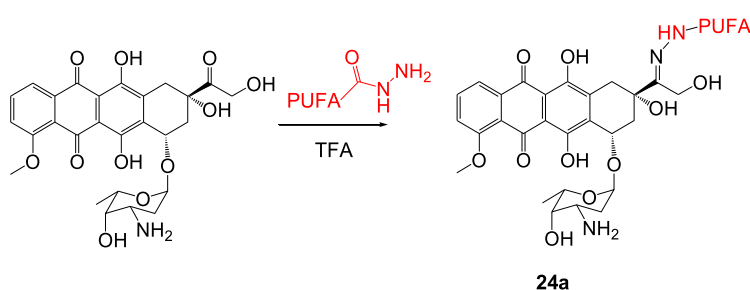
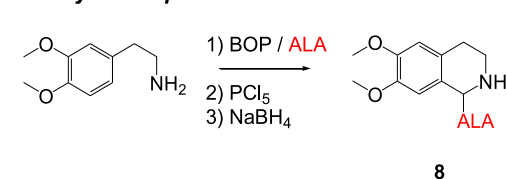
lyzed by CALB under stirring and vacuum (5–10 mmHg, 37 °C, 4–16 h, 29–97%) [16]. In this work, important decreased yields were observed, going from EPA and DHA ethyl esters to saturated ethyl palmitate and stearate esters. Weak conversions were explained by the partial hydrolysis of the PUFA ethyl esters during the reaction. Finally, CALB was used to investigate the lipase transesterification reaction of dihydrocaffeic acid (DHCA) with flaxseed oil (rich in ALA and other C18 fatty acids glycerides), in order to obtain phenolic mono or diacyl glycerol enriched in ALA (**14**). The high specificity and stereoselectivity of the enzyme towards C18:1 n-9 and C18:2 n-6 for transesterification reaction, led to an increased proportion of ALA in the phenolic diacyl glycerol structure [17].

## 2.2. Chemical synthesis

The introduction of a PUFA moiety onto chemical structures having a single free phenolic function (juglone **21**, propofol **19**, podophyllotoxin derivatives **23**), or one amino or aliphatic-alcohol group,

with higher nucleophilicity than the phenolic one (dopamine and analogues **12**, trimethoxy anilide **18**, farinosone C **20**, shikonin **22**), was performed either by the well-established esterification procedure using either the proper preformed mixed anhydrides [18,19] or acyl chlorides [20–22] of the PUFA, or a classical coupling reagent, such as, DCC/DMAP [23–27], pentafluorophenol/TEA [28], TBTU/TEA [29] or TCTU/TEA [30]. Whatever the used procedure and reagents, moderate to good yields were obtained.

For more complex polyphenolic structures like epigallocatechin-3-*O*-gallate (EGCG) **1** [31–33], epigallocatechin (EGC) **2** [34,35], quercetin **3** [36], phloroglucinol **15** or resveratrol **17** [37], two different strategies are used: with or without phenolic protection. On the one hand, uncontrolled acylation leading to the introduction of the FAs on several phenolic functions has been reported for quercetin and EGCG. Penta, tetra and tri-esters of quercetin (**3**) were obtained using acyl chloride of ALA [36]. Unsaturated acyl chlorides/phenols molar ratio modulation allowed Mainini *et al.* to obtain preferentially pentaesters (74%) when using phenolic/FA in a 1 to

**EGC-ALA***Hiipakka et al. pathway*<sup>[34]</sup>**alkyl-phloroglucinol-PUFA**<sup>[37]</sup>**PC-DHA-phloroglucinol**<sup>[37]</sup>**resveratrol-PUFA**<sup>[37]</sup>**doxorubicine-PUFA**<sup>[63-65]</sup>**tetrahydroisoquinoline-ALA**<sup>[38]</sup>**Scheme 1.** Examples of n-3 PUFA lipophenol chemical synthesis.

10 ratio or a mixture of tetra and triesters (45 and 15%, respectively) at 1/4 ratio. Zhong *et al.* [31–33] synthesized preferentially EGCG-3', 5', 3'',5''-O- tetraesters of DHA and EPA **1**, using acyl chloride reagents.

On the other hand, chemical strategies, involving protection and deprotection steps, were developed to access to (regio)selective mono PUFA conjugates of phloroglucinol **15**, resveratrol **17** [37] or EGC **2** [34,35] (Scheme 1).

Two strategies were reported for the synthesis of 3-O-ALA-EGC **2**. Starting from hexa-acetylated EGC, the first one [34] used the selective deprotection of phenolic acetate in the presence of Tris

buffer to obtain 3-O-monoacetate derivative. Silylation of the phenolic hydroxyls, deacetylation and ALA coupling afforded the desired lipophenol after mild “HF deprotection”. Lin *et al.* [35] chose EGCG as starting material in which the gallate group plays the role of a protecting group of the 3-OH position, easily deprotected by LiAlH<sub>4</sub> reduction. Despite a close reactivity of the symmetrical phenolic hydroxyls of the phloroglucinol (**15**), Crauste *et al.* [37] managed to selectively remove one out of the three TIPS groups using triethylamine-3HF, thus allowing monoacylation by DHA in the presence of DCC/DMAP. In the same work, a chemical-enzymatic pathway was used to couple a phenolic derivative to *sn*1-lyso-PC-DHA.

*Sn1*-Lyso-phosphatidylcholine-DHA was itself obtained through a selective enzymatic hydrolysis at the *sn1* position, using liposyme immobilized from *Mucor miehei*, of a commercially available PC. Desired lipophenol **16** was isolated by coupling of adipoyl-silylated phloroglucinol, followed by HF deprotection. Finally, resveratrol-DHA acylated either at the 4' position **17a** or at the 3 position **17b** (Crauste *et al.* unpublished results) were obtained using CALB as selective enzyme for acylation and deprotection of the 4' position of resveratrol, and Et<sub>3</sub>N-HF to perform the mono deprotection of TIPS groups on A ring of resveratrol.

At the difference with ester or amide linkages between the phenolic compound and the PUFA part, non hydrolysable bounds have been obtained [38] by synthesizing a tetrahydroisoquinoline derivative **8** with ALA side chain using a Bischler-Napieralski cyclization reaction. Original anti-cancer DHA-doxorubicin conjugate (DOX-hyd-DHA **24a**) was obtained using a cleavable hydrazone linker [39]. Since pH in tumor cells is lower than in healthy tissue, the hydrazone bond, stable at pH 7.4, is rapidly cleaved at lower pH in cancerous cells. DOX-hyd-DHA was synthesized by reaction of hydrazinamide-DHA (activated by Boc-hydrazine) on doxorubicin.

### 3. Natural n-3 PUFA lipophenols: sources and total synthesis

#### 3.1. Natural sources

In addition to synthetic derivatives, several lipophenols have been isolated from natural sources. They can be related to analogues of n-3 PUFA lipophenols, since they are linked to n-3 unsaturated carbon chain (C11–C21) with skipped Z-double bonds separated by bis-allylic positions and starting from the C3 of the alkyl chain. At the difference with synthetic n-3 PUFA lipophenols, the presence of an alkenyl part directly linked to the aromatic cycle, without ester function, is widely represented (Fig. 2).

Usually, the crude extract rich in n-3 PUFA lipophenols is obtained through extraction process (using water, ethanol, ethyl acetate, methanol, diethyl ether, methylene chloride, chloroform, petroleum ether) from the natural sources. Purification by chromatographic methods (typically, silica gel column) is performed to lead to isolated compounds. The percentage values given in the following section are calculated as a fraction of the weight

of isolated lipophenol related to the weight of the extract. In some cases percentage refers to dried or fresh matter and is mentioned with (% from DM or FM).

Most of the natural n-3 PUFA lipophenols (Fig. 2) have been isolated from vegetables or animals from marine origin. Hemiketal spiralisone **25** was isolated from *Zonaria spiralis* (5.3%) [40], a marine brown algae, together with the chromone **26** (1.6%), which was also found in several other brown algae of the *Zonaria* genus, like *Zonaria tournefortii* [41]. Chromone **26** is presented to be a possible artifact of **25**, by dehydration, favored by mildly acidic conditions. *Z. tournefortii* is also a source of acylphloroglucinol (ACP) derivatives **37** [42] (8% and 0.3% from DM), in addition to other brown marine algae like *Zonaria diesingiana* (5.3%) or *Zonaria farlowii* (11% and 0.13% from fresh alga) [9]. The later also contains the specific ACP derivative **38** (2.2%) [9]. Other algae, such as *Cystophora torulosa* was shown to contain ACP **40** (0.19%) and 5-alkenylresorcinol (AR) **30** derivatives [43] (15% in mixture with other alkyl resorcinols), *Chorthippus scalaris* to contain **40** and **39**, while from *Chrysanthemoides monilifera* and *Cordyline congesta* **39** was isolated (<0.07% from DM) [44]. In addition to marine vegetables, some animal marine sources have been found to produce n-3 PUFA lipophenols. The 5-alkenylresorcinols (AR) **29**, **30**, **31**, **35** and **36** have been isolated from the sponge *Haliclona* sp (between 0.22 and 0.67%) [45], while tyramine derivative **28** can be found in octocoral *Muricea austera* from the Pacific coast of Panama [46].

Apart from marine sources, natural n-3 PUFA phenols also have been identified in different and various plant species. The macamide **27** is present in Peruvian plant *Lepidium meyenii* (Maca), however its proportion in Maca extract is very low (less than 0.01% from DM) [47]. Leaves and stems of *Philodendron scadens* subsp. *oxycardium* contain the anacardic acid derivative **42** (0.016% of FM) and the AR **29** (0.005% from FM), which is the major allergen compound and a weapon defense of the plant [48]. The AR **29** has various origins and can also be isolated from liverwort *Omphalantus filiformis* [49] (mainly in the cuticle) and leaves of *Stylogyne turbacensis* [50]. Anacardic acid derivative **42** is also present in various other sources and has been isolated from leaves of *Anacardium spondias* [39], and leaves and stems of *Spondias mombin* [51]. The AR **32** comes from ground aerial part of the tree *Lithraea molleoides* (0.16%) [52], while AR **34** was extracted from wheat bran oil (0.007% from the oil) [53]

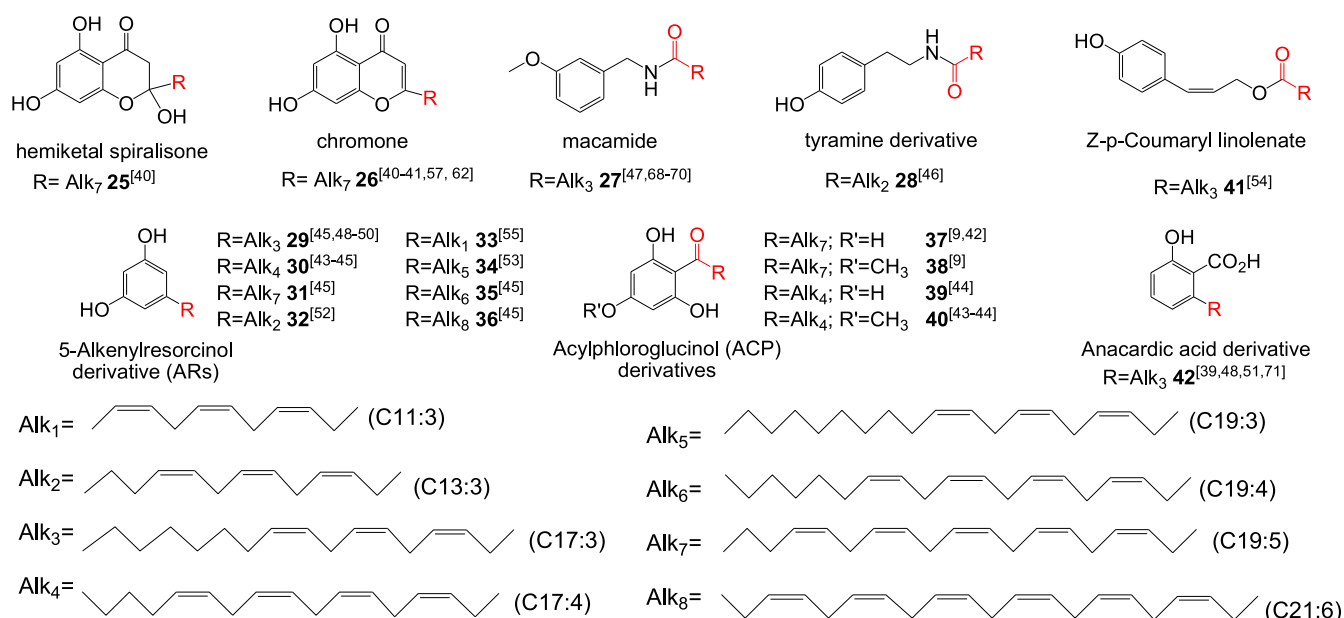
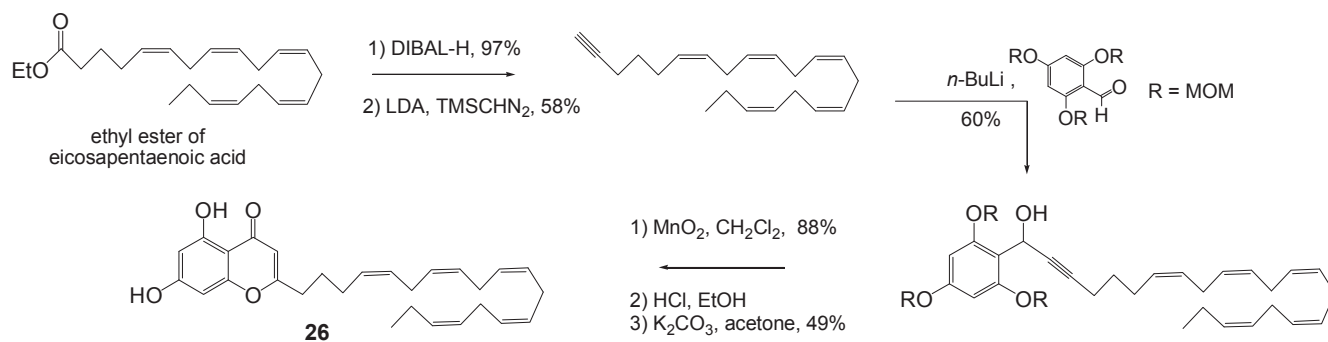


Fig. 2. Chemical structures of natural n-3 PUFA lipophenols.





**Scheme 2.** Total synthesis of chromone **26** [57].

and traces of *Z-p-O-coumaryl* linolenate **41** has been observed in the peel of cv. *Annurca* Apple fruits [54].

Finally, AR **33** has been isolated from a microorganism, heterotrich ciliate *Climacostomum virens*. This compound can potentially be a defense toxin against the predatory ciliate *Dileptus margaritifer* [55].

Globally, the richest sources of lipophenol derivatives are from marine origin, especially algae: 1.6 % to 11% (weight % extract) for the genus *Zonaria*, and up to 15% (weight % extract) of some alk(en)ylresorcinols for the genus *Cystophora*. The marine sponge *Haliclona* sp. contains five different AR in amounts ranging from 0.22% to 0.58% (weight % extract). In addition, most of the natural *n*-3 lipophenols derivatives are also found in plants, mainly in the leaves and stems.

### 3.2. Biosynthesis and total synthesis

Zhang *et al.* [40] proposed a biosynthetic pathway, starting from the monomeric phloroglucinol to access the racemic spiralisone **25** and chromone derivative **26**, found in various sources of algae: after formation of an acylphloroglucinol intermediate in the presence of acetyl-CoA, elongation *via* a Claisen condensation on an activated fatty acid would lead to the incorporation of the polyunsaturated part. The resulting acylphloroglucinol  $\beta$ -diketone would undergo intramolecular cyclization to afford the spiralisone derivative, then the chromone upon dehydration. While following the same reflection, El Hattab *et al.* [56], supposed that Claisen condensation could be performed on a pentaketide precursor to form directly the acylphloroglucinol  $\beta$ -diketone.

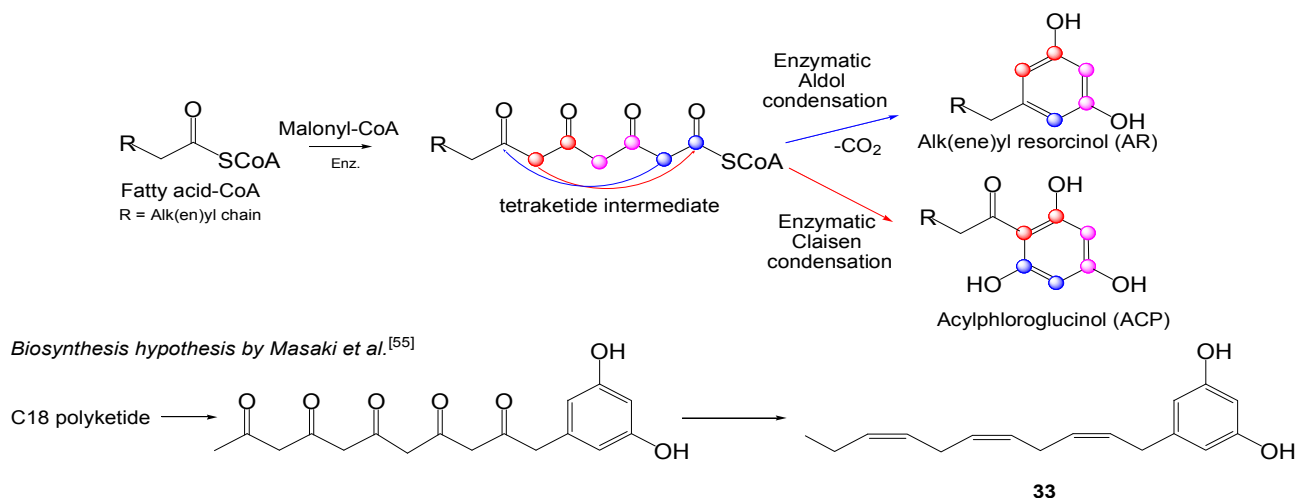
From the chemical point of view, the first total synthesis of **26** was reported by Anwar *et al.* [57] (Scheme 2), in six steps starting from an ester of EPA, in a 14% overall yield. The ethyl ester of EPA is first transformed into a terminal alkyne through its hemireduction to the aldehyde, followed by a Colvin rearrangement. This alkyne is then coupled with 2,4,6-tris(methoxymethoxy)benzaldehyde using *n*-BuLi. After oxidation of the obtained secondary alcohol, and deprotection of the phloroglucinol moiety, intramolecular Michael addition under basic conditions, afforded the desired chromone **26**.

AR and ACP represent the largest family of natural lipophenols. In plant, their biosynthesis is believed to follow the polyketide pathway (Scheme 3): in a few words, a fatty acylCoA starter undergoes three condensation reactions with malonylCoA leading to a tetraketide intermediate [56,58], which can undergo two ring formation mechanisms: an aldol condensation (followed by an easy loss of carboxyl) would conduct to AR structures, while Claisen condensation allows to the formation of ACP. However, hypothesis of polyunsaturated long-chain AR biosynthesis has also been proposed for AR compound **33**, in which the polyunsaturated part would be formed after cyclization and decarboxylation of a C18-polyketide [55,59] (Scheme 3).

## 4. *n*-3 PUFA lipophenol activities

### 4.1. Antioxidant and anticarbonyl stress activity

Most of the combinations of *n*-3 PUFA with natural antioxidants such as flavonoids, have been proposed to increase their



**Scheme 3.** Biosynthesis of AR and ACP.

lipophilicity for two main purposes: (1) to increase their preservative effects against oxidation [10,14] of lipophilic food matrices; (2) to circumvent their use limitation, due to their low skin/cell permeability, solubility or bioavailability in the dermocosmetic, nutraceutical or therapeutic applications, benefitting additional health promoting effects related to the n-3 PUFA moiety.

To date, many *in-vitro* tests (test-tube or in cell assays) are available to detect, quantify and compare antioxidant capacity and ability of chemicals to protect a substrate against oxidation, but unfortunately none of them are universal. When comparing antioxidant results, it should always be kept in mind that the result of those tests will depend not only on the direct activity of phenolic compounds, but also on other parameters, such as their own solubility, as well as the one of the substrate (to be protected from oxidation) and on the lipidic and/or aqueous medium in which the assays are performed. Using two different methods, discrepancies between the found activities are unavoidable. Different experimental conditions in terms of solubility, of accessibility of the oxidant to the oxidation site, of the location and/or of the distribution of the antioxidant, will make the antioxidant potencies difficult to compare [60,61]. Efficacy of antioxidant linked to PUFA compared to the initial phenol is mainly reported using homogeneous DPPH radical-scavenging activity assay, sometimes ABTS, FRAP or ORAC assays. In the case of protection of lipophilic food matrix,  $\beta$ -carotene linoleate method, rancimat test, or thiobarbituric assay (compared to  $\alpha$ -tocopherol or butylated hydroxytoluene (BHT) activity) have been employed. It was surprising to observe, that, to the best of our knowledge, most of the evaluation of antioxidant activity of n-3 PUFA phenol were performed in cell free assay. Unfortunately, such assays do not take into account the enzymatic cleavage of the ester bounds, which, in some lipophenolic structures, could free the lipid part and unmask the active phenolic functions.

All n-3 PUFA-phenol esters tested in literature showed radical scavenging activity in the DPPH radical assay [10,12,14,17,33,36]. It is worthy of note that even though the structures contain an easily oxidizable PUFA, the lipidic part appears to be protected from oxidation by conjugation with phenolic residue. To date there is not a clear correlation between the introduction of the lipid part and the increase or the decrease in the radical scavenging capacity of the phenolic derivative. There is an important relationship between the hydrophobic nature of the new lipophenol and its activity, which will depend on the nature of both the lipid and the phenolic part and also on the binding position.

According to Zhong *et al.* [33] EPA and DHA tetra esters of EGCG (3', 5', 3'', 5'' O esters, **1**) exhibited both a greater antioxidant activity in scavenging DPPH $^{\circ}$  (determined by electron paramagnetic resonance-EPR) than EGCG itself. In this study, Zhong *et al.* suggest that the lipophenol with enhanced lipophilicity may have greater accessibility to the lipophilic DPPH radical than the hydrophilic flavonoid. In addition, it is proposed by the authors that acylation on 3', 5', 3'' and 5'' positions, may reduce electron density of the O-H bound at position 4' and 4'' (due to the electron withdrawing property of both acyl groups in ortho position), thus enhancing the ability to scavenge radical in these position. On the contrary, quercetin (penta, tetra, and tri) ALA esters **3** display a lower antioxidant activity with respect to free quercetin in both DPPH (IC<sub>50</sub>: 4.72 vs triesters: 107.26  $\mu\text{g ml}^{-1}$ ) and ABTS assay (TEAC: 1930 vs triesters 1.33  $\text{mg g}^{-1}$ ) [36]. Triesters, in which the OH at positions 5 and 3 are free, display a higher activity than pentaesters having only 5-OH free. This result highlighted the relevance of a free OH at the 3 position for the design of lipophenolic quercetin antioxidant, compared to free OH at the 5 position able to create intramolecular H-bonding with the carbonyl function. It is worthy of note that the antioxidant activity of PUFA-quercetin increases with the number of unsaturations in the FA. Similarly, when exposed to DPPH $^{\circ}$ , free DHCA manifested higher radical scavenging ability (62%), than its lipid (ALA-

acyl glycerol) conjugate (compound **14**, only 19%) [17]. According to Sabally team, this huge drop in its radical scavenging ability could be attributed to limitation in conformational changes in the lipophenol derivative. Mbatia *et al.* [10] reported the same observation with ALA, EPA and DHA vanillyl esters **10**, whose the radical scavenging activities were lower than for vanillyl alcohol itself. A great increase in hydrophobicity may have resulted in decreased vanillyl esters solubility in the tests medium. Again, with the rutin **5**, phloridzin **6** and isoquercitrin **7** PUFA-derivatives, and even though the omega-3 acids were linked to the sugar moieties (which is not considered to be the crucial part for a molecule to display such a property), only the PUFA-rutin esters **5** had the same [10] or just a weaker [14] capacity to scavenge radicals than the free rutin, in the DPPH assay. Despite a substantial antioxidant activity in FRAP and DPPH assays, phloridzin **6** and isoquercitrin **7** esters (ALA, EPA, DHA) have a lower free radical scavenging activity than the parent compounds. This effect may be attributed to the steric hindrance of PUFA since the number of double bonds of the FA seems to influence the antioxidant capacity. However, the correlation is not working in the same way, depending on the antioxidant assay performed.

When protection of acyl glycerol enriched in PUFA from oxidation (TBARS assay) is tested in a lipophilic medium, rutin and vanillyl-PUFA esters **5** and **10** showed lower antioxidant activity than BHT but were stronger than the lipophilic  $\alpha$ -tocopherol, in oil emulsion [10]. However, none of them was better antioxidant than any of these two references in fish oil system. In contrast, rutin-ALA ester **5** exhibited the best inhibition of lipid peroxidation in  $\beta$ -carotene linoleate system comparable to that of BHT [14]. Inhibition was higher than rutin and as active as rutin-palmitate and stearate. Those results reported by Viskupicova *et al.* also clearly demonstrate that hydrophobicity has an impact on the antioxidant capacity of rutin derivatives in lipophilic food systems (sun flower oil), since better protections were observed using long-chain FA (C16-C18) compared to shorter ones (C4-C10). In this case, the numbers of double bond in C18 FA did not influence the activity. Natural *Z-p*-coumaryl linolenate **41** showed the same ability (50%) to inhibit the production of peroxides in the methyl linoleate autoxidation assay as its linoleic analogue [54].

Oxidative and carbonyl stress are closely related since most of toxic carbonyl stressors may come from lipid peroxidation end products. Recently, Crauste *et al.* [37] developed lipophenol derivatives in order to reduce oxidative and carbonyl stress in retina pathologies. PUFA such as DHA was selected because of its high proportion in retina cell membranes, suggesting a possible vectorization to target retina tissues. Isopropyl-phloroglucinol-DHA conjugate **15** was able to protect ARPE-19 and primary cells of the retinal pigment epithelium (RPE) against both H<sub>2</sub>O<sub>2</sub> and *trans*-retinal stress (Crauste *et al.* to be published and [37]). In ARPE-19 cells assay, DHA lipophenolic conjugate allowed to increase cell survival up to 40% in presence of a toxic concentration of *trans*-retinal, while free DHA and isopropyl-phloroglucinol mixture was inactive. Protection against *trans*-retinal toxicity could be attributed to the trapping of the toxic aldehyde (carbonyl scavenger) leading to a chromene derivative. Among several PUFA conjugates ALA and DHA phloroglucinol **15** presented higher *in vitro* protection against carbonyl stress, and saturated C22 fatty acid conjugation led to a weak activity (Crauste *et al.* unpublished result).

Interestingly, natural EPA chromone analogue **26** showed cellular protective effects of HepG2 cells against cellular lipid peroxidation (CLPAA assays), and reactive oxygen species (CAA assays) [62]. The activity of more lipophilic chromone **26** was similar to quercetin in the CLPAA assay (respectively IC<sub>50</sub>: 14 and 10  $\mu\text{M}$ ) but was less active in the CAA assay (respectively IC<sub>50</sub>: 160 and 71  $\mu\text{M}$ ).

Most of the results reported in literature with the DPPH assay, are consistent with a decrease of radical scavenging capacity after



introduction of a lipidic moiety on phenolic structure. However, different experiment performed in microsomal suspension, in emulsion system or in cellular media, may probably lead to different conclusions, regarding the capacity of lipophenol to maintain antioxidant activity and better protection in lipophilic biological or food system media. Additional *in vitro* and cellular assays must be necessary to fully address the question of lipophenol antioxidant activity.

#### 4.2. Anti-cancer activity

Why using n-3 PUFAs to enhance anti-cancer activity of phenolic compounds? The answer could be explained by several rational points. First of all epidemiological studies pointed out that diet rich in n-3 PUFAs may lower proportion of cancer incidents. Indeed, n-3 PUFAs and PUFA metabolites are involved in various cellular processes that may help to lower tumor progression or to protect healthy tissues during chemotherapy: inflammation, cellular adhesion, energy metabolism, apoptosis signaling pathway... As an example, PUFA metabolites such as eicosanoids, resolvins or protectins are reported as potent anti-inflammatory derivatives that may affect tumor growth due to the close relation between inflammation and cancer. According to PUFA activities in this domain, it appears interesting to conjugate PUFAs to obtain a synergism with cytotoxic drugs. Moreover, it has been demonstrated that PUFA uptake is considerably elevated in tumor tissues, thanks to tumor-specific transport of HSA-bound complex. Here, PUFAs are used as biochemical precursors and energy sources for the cell. Based on this observation, PUFA conjugates are perfect candidates to not only investigate improvement in pharmacokinetics and distribution of poorly bioavailable drugs, but also acquire at the same time a specific accumulation of the cytotoxic drug in tumor cells and tissues.

Among lipophilic anti-cancer derivatives, the most studied was the one linked to doxorubicin drug (DOX), responsible for DNA intercalation and inhibition of topoisomerase II, which has been used for the treatment of various types of cancer. DOX-ALA and DOX-DHA **24** have been developed to reduce systemic toxicity and enhance therapeutic index. *In vitro* activities of DOX-hyd-DHA (linked through a cleavable hydrazone bound, **24a**) were lower than DOX against L1210 leukemia cell lines. Whereas, *in vivo* activities in mice models (mice injected with L1210 leukemia and B16 melanoma cells, IP administration, optimal dose 16 mg/kg and 30 mg/kg respectively) improved the lifespan, lowered the weight loss and doubled the tumor growth inhibition [63]. In the study reported by Effenberger et al. [64], DOX-hyd-ALA **24a** showed an advantage in avoiding multidrug resistance induction. The cytotoxic mode of action was largely apoptotic in 518A2, HL-60, KB-V.1 and MCF-7 cancer cell lines. Involvement of caspase-3, -8 and -9, were different depending on the tested cell lines. In addition, significant increase in ROS has been observed. However, saturated heptadecanoyl analogue reduced metabolic activity of the cell lines more than the unsaturated DOX-hyd-ALA. In parallel, Liang et al. [65] studied *in vitro* (MCF-7, MDA-MB-231, and HepG2) and *in vivo* (mice) activities of DOX-ALA and DOX-PA (palmitate) either linked through an hydrazone bound (DOX-Hyd-ALA **24a**) or by the daunosamine residue (DOX-ami-ALA **24b**). In this study, saturated or unsaturated fatty acid on the 3' amino group lead to a decrease in antitumor activity compared to DOX and DOX-hyd-ALA. DOX-hyd-ALA showed the highest cytotoxicity, stability in bloodstream, and fastest release of DOX in acidic tumor cells. As observed by Huan et al. [25] for DOX-ami-ALA, increase in cytotoxicity of DOX-Hyd-ALA was correlated to rapid internalization/uptake of the PUFA conjugate compared to free DOX. Regarding DOX release, cellular uptake and cytotoxicity, DOX-Hyd-ALA presented an advantageous biological profile compared to DOX, DOX-PA or DOX-ami-ALA. To end, *in vivo* evaluation of DOX-hyd-ALA in tumor-bearing nude mice (IV administration 7.5 mg/kg, compound in solution in normal saline containing 5% v/v

PEG400), enhanced therapeutic efficacy of DOX with less systemic toxicity and greatly increased the content of DOX in tumor tissue with a significant decrease of its proportions in other organs.

Another inhibitor of DNA topoisomerase II, the 4' demethyl deoxypodophyllotoxin (DDPT **23**), showed strong *in vitro* toxicity, but without *in vivo* activity. FA-DDPT have been synthesized with the hope that esterification with FAs would reduce the metabolic excretion and enhance its *in vivo* efficiency [27]. Despite a lower cytotoxicity in *in vitro* assays (A-549 and SK-MEL-2 cell lines), long chain FA (C16–C22) presented better *in vivo* antitumor activity (60 mg/kg) than short alkanoyl acid derivatives (C2–C6), probably due to an increased resistance to esterase, that delay the metabolic excretion of phenolic hydroxyl group. The number of double bonds seems to play a role in the *in vivo* and *in vitro* activities, with a different (positive or negative) correlation depending on the length of the FA.

Other examples can be described, such as Dohevanil (DHA vanillyl amide **11**), a PUFA analogue of capsaicin, that induced caspase-dependent apoptosis MCF-7 cells (via a caspase-3 independent pathway), with better efficiency than capsaicin [26]. The conjugation of juglone (5-hydroxy-1,4-naphthoquinone) with unsaturated FA (**21**) was able to considerably enhance inhibition of DNA polymerase replicative  $\alpha$  and mitochondrial  $\gamma$ , compared to the initial phenol [20]. The inhibition effect of acyl conjugates ranked as follow C18:1 > C20:5 > C22:5 > C18:2 > C18:3, with saturated derivative C18:0 acyl juglone as active as the individual compound. The same influence of the FA part was observed for the growth inhibition of human colon carcinoma cultured cell (HCT116), suggesting that cancer cell growth prevention by those derivatives may be attributed to DNA polymerase inhibition. However, it is worthy of note that conjugation of cytotoxic derivatives with PUFA does not necessarily lead to an increase of the activity. Ahn et al. [23] synthesized acyl shikonin analogues, including shikonin-ALA **22**, which showed negligible DNA-topoisomerase I inhibition in a cell free enzymatic assay.

Significant ameliorations of *in vitro* and *in vivo* activities were also reported with flavonoid polyphenols. Rutin-ALA **5**, allowed overcoming solubility problems compared to native compounds, and was able to decrease the production of VEGF, a regulator of tumor induced neoangiogenesis, in K562 lymphoblastoid cell lines [11]. In this assay, C18 fatty acid esters of rutin having one, two or three double bonds presented the same activities, and rutin was not active at all.

A mixture of EGCG and tetra/penta esters of DHA (**1**), was evaluated in azoxymethane-induced colonic carcinogenesis mice [31] (oral diet, supplementation in EGCG-PUFA 0.5%). The mixture was able to reduce the total number of colon large colonic aberrant crypt foci (ACF), a predictive biomarker of colorectal cancer. Increased activity compared to EGCG was attributed to an improve lipophilicity, that may alters *in vivo* metabolism. Pro-inflammatory mediators such as nitric oxide synthase (iNOS) and cyclooxygenase-2 (COX-2), known to be involved in carcinogenesis promotion, were down-regulated by the mixture in a dose-dependent manner. Hypothesis of a synergism between the polyphenol and the FAs part is expressed by the author.

5 $\alpha$ -reductases are microsomal enzymes, that catalyze the reduction of double bond of a variety of steroid derivatives, and which may be involved in development and progression of prostate cancer. Since EGCG and ALA have been identified separately to inhibit such enzyme, Hiipakka et al. [34] and Lin et al. [35] studied the activity of EGC-ALA conjugates **2**, replacing the gallate moiety by ALA or by its saturated analogue. Probably due to a combined functionality of both phenolic and PUFA parts, the EGC-ALA conjugate showed potent 5 $\alpha$ -reductase type 1/2 inhibition (IC<sub>50</sub> = 7  $\mu$ M for type 1) compared to EGCG, EGC (IC<sub>50</sub> > 100  $\mu$ M) or saturated stearate lipophenol (IC<sub>50</sub> = 42  $\mu$ M) in whole cell-assay. Results were different in cell free assay depending on the study performed. Unlike Lin et al. [35] who

reported increased activity of EGC-ALA or EGC-stearate ( $IC_{50} = 0.73\text{--}0.78 \mu\text{M}$ ) compared to EGCG ( $IC_{50} = 6.29 \mu\text{M}$ ), Hiipakka et al. [34] presented a close significant activity for EGCG and EGC-ALA, and a weak inhibition using EGC-stearate.

Interesting results were reported by Siddiqui team [24,66], which tried for once, to enhance anticancer properties of n-3 PUFAs in breast cancer, by making phenolic ester. DHA and EPA esters of propofol **19**, demonstrated greater potency for inhibiting cell growth (MDA-MB-231), slowing migration, increasing cellular adhesion, and initiating apoptosis compared to the combination of propofol with DHA or EPA. By comparing stability and activity of ester and amide analogues of propofol-DHA, it was demonstrated that first, this ester linkage should not be cleaved in biological media, and second, that the activity depends on this stability. Moreover, unlike free DHA activity, there was no observed effect on PPAR $\alpha$  or PPAR $\gamma$ , suggesting that DHA-propofol action followed an independent mode of action (probably inhibition of histone deacetylase – HDAC).

Among the natural products, cytotoxicity of the ALA-alkylresorcinol analogue **29** was observed on human breast cancer (MCF-7) and human lung cancer (NCI-H460) cell lines [50]. The n-6 LA analogue was equipotent compared to **29**. After comparison with per-acetylated analogues it was concluded that free phenolic hydroxyl groups are required for the anticancer activity. Another natural lipophenolic AR (**34**), showed a growth inhibition on human colon cancer cell lines, HCT-116 and HT-29 (MTT assay) [53]. Saturated or longer carbon chain analogues were tested and the results seemed to indicate that increasing the length of the side chain diminished the inhibitory activity (range from C17 to C25) and the existence of one double bond at least improved the activity. However, according to the authors the anticancer effects of ARs were very limited.

#### 4.3. Interaction with endocannabinoid system

Research of cannabimimetic that could modulate endogenous cannabinoid system (ECS), have prompted researchers to develop FA amide based on the anandamide structure. Bezuglov et al. [18] reported activity of dopamine-EPA and dopamine-DHA conjugates **12**, showing significant *in vivo* activity (IP administration 10 mg/kg, compound in solution in physiologic saline/tween20 mixture) in the tetrad test (production of catalepsy, hypothermia, analgesia and inhibition of locomotion), used for evaluation of cannabimimetic properties of drugs. The results suggested that they belong to the family of CB1-cannabinoid receptor agonist, and highlight the importance of at least 4 double bonds in the chemical structure of cannabimimetic. In addition, Bisogno et al. [19] studied the biochemical properties of unusual n-3 PUFA-dopamine (stearidonoyl C18:4, eicosapentaenoyl C20:5, docosapentaenoyl C22:5) and ALA-dopamine, and reported their inhibition of protein of endogenous endocannabinoid system such as fatty acid amide hydrolase (FAAH), and anandamide transporter, as well as the binding to cannabinoid receptor CB1. The presence of the catechol structure of dopamine is believed to stabilize the enzyme substrate complex in the active site of FAAH and enhance affinity with CB1.

Looking for the development of novel analgesic derivatives, Melck et al. [67] designed PUFA derivatives based on capsaicin and anandamide structures, able to interact with endocannabinoid receptors, while preserving their capability to activate vanilloid receptors (TRVP1). Among them, *N*-acyl-vanillyl-amide-ALA **11**, inhibited anandamide accumulation (RBL-2H3 cells), was a weak inhibitor of FAAH, presented selectivity for CB1 vs. CB2 receptor and was able to interact with TRVP1. However, omega-6 analogue (C20:4, arvanil) was a better candidate to develop “hybrid” cannabinoid/vanilloid agonist derivative. Following the same goal, *N*-acyl-tetrahydroisoquinoline-DHA **9** was tested on TRPV1 receptor expressed in HEK293 cells and exhibited partial agonist effect [30].

Regarding natural lipophenols, maca extract, which presents weak concentration of macamide **27** (an analogue of *N*-acyl-vanillyl-amide-ALA **11**), exhibits *in vitro* and *in vivo* (IV administration in a mixture water/poly-vinyl-pyrrolidone, 3 mg/kg) neuroprotective activity, as reported by Pino-Figuera et al [68]. In addition, thanks to structural similarity to endocannabinoid, isolated macamide **27**, has been found to interfere with different proteins of the ECS [69,70]: inhibition of FAAH enzyme and moderate binding affinity for CB1 receptor. It was reported that those activities are significantly improved if the lipophilic chain is replaced by the LA analogue. Contradictory results describing the importance of the methoxy group was presented depending of the research team and the test performed.

#### 4.4. Anti-inflammatory activity

Various studies support beneficial effects of polyphenols in chronic inflammatory diseases that may be explained, in part, by inhibition of transcription factor NF- $\kappa$ B activation, involved in gene expression of pro-inflammatory mediators such as INOS and COX-2. Since n-3 PUFAs and their metabolites are reported to exhibit an inhibitory effect on inflammation, Zhong et al. [32] evaluated the potency of a mixture of EGCG-DPA (docosapentaenoyl, C22:5 n-3) tetra and penta esters **1** to inhibit the production of pro-inflammatory mediators, nitric oxide (NO) and prostaglandin E<sub>2</sub> (PGE<sub>2</sub>), in lipopolysaccharides (LPS)-stimulated murine RAW 264.7 macrophages. At a concentration ranges around 50  $\mu\text{g}/\text{ml}$ , EGCG-DPA esters inhibited NO and PGE<sub>2</sub> production in a greater extent than did the free FA. As observed for, pure EGCG-DHA, EPA and Stearic tetra esters, EGCG-DPA exhibited down regulation effect of iNOS and COX-2 biosynthesis, while DPA alone exerted insignificant effect on their transcription. Contribution of DPA to anti-inflammatory activity may pass through a different mechanism. Enhance cellular absorption and additional contribution of the fatty acid side chain, are proposed to comment increase efficiency of lipophenols.

Greater inhibitory effect of the inflammatory cytokine TNF- $\alpha$  production, was also found using Juglone-PUFA **21** (DHA, EPA and ALA) compared to the juglone, in cultured mouse macrophage RAW264.7 [20]. Close activity was also observed for saturated analogues having C18 and C12 chain length, while C6, C2 and C3 derivatives were poorly active. Comparable activities were reported for those esters in a mouse ear inflammatory test, to reduce inflammation caused by 12-O-tetradecanoylphorbol-13-acetate (TPA).

Inspired by the discovery of C22 enone FA (EFOX, electrophilic oxo derivatives), DHA and DPA metabolites generated during inflammation process by COX-2, Dang et al. [29], compared the anti-inflammatory activity of a wide range of *N*-acyl FAs (saturated FA and PUFA) including *N*-acyl-dopamine **12**, *N*-acyl vanillylamine **11**, and their enone fatty acid analogues. *N*-acyl dopamine conjugates exhibited the most potent inhibitory activity on the production of NO, cytokines IL-1 $\beta$ , IL-6 and TNF- $\alpha$ , in LPS-activated RAW264.7. The activity was strongly dependent on the nature of the fatty acid part, in the order, enone FAs, PUFAs, monosaturated and saturated FAs, suggesting the interest of PUFA metabolites in the activity of PUFA-phenols.

#### 4.5. Enzymatic inhibitor

Some n-3 lipophenols have been investigated as enzyme inhibitors [12,13,21,22], using the lipid chain as hydrophobic part which would modulate the affinity for the enzyme. Interesting comparison of the tyrosinase enzyme inhibition of phloridzin and isoquercitrin esters (**6** and **7**) was performed using stearic, oleic (OA), linoleic (LA), ALA, EPA and DHA fatty acids [12]. The precursor phloridzin exhibited weaker tyrosinase inhibition as compared to

isoquercitrin (at 1 mM, 16% and 54% respectively). In both cases, introduction of specific n-3 FAs in the polyphenol structure lead to a strong increase in inhibition potential. However, depending on the polyphenols studied, introduction of DHA or ALA did not influence the activity in the same way: phloridzin activity was especially enhanced using DHA (91% of inhibition), while isoquercitrin needs ALA linkage to reach around 86% of inhibition on the same target. Surprisingly, inversion of PUFA i.e. used ALA on phloridzin and DHA on isoquercitrin, drops inhibitory activity below precursor's one. Depending on the polyphenol structure and their flexibility, the molecule must be able to adjust differently the position of the FA and the phenolic function to obtain better interaction with the active site of the enzyme. Moreover, number of double bonds in the lipidic chain will necessary change the flexibility of the lipophenol conjugate and thus influence its conformation. Those results highlight that for a same target, different kinds of PUFA may be beneficial to increase the affinity of polyphenolic drugs for the active site.

Among, serine protease enzymes, namely Trypsin, Thrombine, Urokinase and Elastase, the acylation of rutin with medium to long FA (C16–C22, including ALA **5**), provide significant improvement inhibitory activity only on Thrombine protease [13]. Viskupicova et al., suggested that the enhanced hydrophobicity, would provide interaction with hydrophobic region of the enzyme. The hypothesis was supported by QSAR analysis, showing correlation (correlation coefficient 0.7) between inhibitory activity and molecular volume, polar surface area and number of hydrogen bond acceptors. The chain length (C16–C18) appears to be a deciding factor for the activity while the number of double bond was less significant.

Those reported enzymatic cell free assays, point it out that lipophenol derivatives might exert their activity not only by increasing cell membrane penetration or fostering a synergistic effect between the phenolic and the n-3 PUFA, but that the entire lipophenol structures might be indispensable and responsible for increasing bioactivity. In future, molecular modeling would have to confirm this fact. Moreover, extra or intracellular proteins that are reported to bind phenolic or PUFA derivatives (such as albumin, PPAR . . .), should be considered has potential target to study the activity of this new kind of lipophenol derivative.

This reflection could also be supported by natural lipophenol activities, bearing a non-hydrolysable n-3 PUFA part. *In vitro* cell free assays of hemiketal spiralisone **25** and chromone **26** (both EPA analogues) highlighted inhibitory activities (with  $IC_{50} < 10 \mu M$ ) against kinase targets, casein kinase 1 $\delta$  (CK1 $\delta$ ), cyclin-dependent kinase 5 (CDK5/p25) and glycogen synthase kinase 3 $\beta$  (GSK3 $\beta$ ), which are involved in Alzheimer's disease [40]. Interestingly the crude alga *Z. spiralis* extract presented kinase inhibitory potency, most probably linked to the cumulative effect of multiple moderately potent spiralisone inhibitors. This effect was especially showed for the inhibition of  $\beta$ -secretase BACE which is also involved in Alzheimer's disease. In addition, another example is given by the reported activity of the natural alkenylphenol ALA-anacardic acid derivative **42** [71]. In order to reduce bacteria resistance to antibiotics, **42** was tested for its inhibitory activity on bacterial  $\beta$ -lactamases, enzymes responsible for the inactivation of  $\beta$ -lactam antibiotics. It proved its efficiency against  $\beta$ -lactamase enzyme of *Escherichia coli* J14 (TEM-1) ( $IC_{50} = 5 \mu M$ ), and had a lower but significant level of inhibition against  $\beta$ -lactamases of *E. coli* K12 (PSE4) ( $IC_{50} = 10.1 \mu M$ ), *Enterobacter cloacae* P99 ( $IC_{50} = 16.5 \mu M$ ) and *Pseudomonas aeruginosa* A ( $IC_{50} = 40.5 \mu M$ ). For the two last one, **42** displayed a better activity than the  $\beta$ -lactam drug clavulanic acid.

#### 4.6. Antibacterial and antiparasitic activities

Several natural lipophenolic compounds showed antibacterial activities. In addition to inhibition of kinase, hemiketal spiralisone **25** and chromone **26** exhibited moderate growth inhibitory activ-

ity ( $IC_{50} = 2.5\text{--}10 \mu M$ ) against gram positive bacteria *Bacillus subtilis* (ATCC 6051 and 6633) [40]. The antibacterial properties of the crude *Z. spiralis* extract was more expended than isolated lipophenols. This could be explained by the cumulative concentration effect of multiple mildly bioactive spiralisones.

EPA-acylphloroglucinol derivatives **37** and **38** displayed antibacterial activity (measures of microbial growth inhibition), tested *in vitro* on *Staphylococcus aureus* and *Bacillus subtilis* [9]. The compound **38** bearing a methoxy group is more active than **37** for the inhibition of *S. aureus*, while **37** is more potent than **38** against *B. subtilis*. Moreover, anacardic acid derivative **42** showed pronounced antibacterial effects on *Bacillus cereus*, *Streptococcus pyogenes* and *Mycobacterium fortuitum* [51], as its LA analogue. According to mono-saturated and longer carbon chain derivative evaluations, it was reported that the antibacterial activity drops with the decreasing number of unsaturation and with the increasing number of carbon on the lipid chain. The natural compound **42** also displayed weak antibacterial activity against Gram-positive organism (*Staphylococci* spp) [71].

ALA-Anacardic acid derivative **42** is not only an antibacterial agent but presents also antiparasitic (molluscicidal) activity against the snail *Biomphalaria glabrata* ( $LC_{50} = \pm 1 \text{ ppm}$ ), an intermediate host in the schistosome life cycle [51]. The tyramine derivative **28** displayed moderate antiprotozoal activity ( $IC_{50} = 38 \mu M$ ) against *Plasmodium falciparum* as reported by Gutierrez et al. [46]. Complementary assays with synthesized analogues suggested that longer FA lead to increased antiplasmodial activity, while the presence of polar groups on the FA chain decreased the activity. The ALA-AR analogue **29** could also be considered as antiparasitic agent, as its LA analogue [50]. *In vitro* tests of **29** exhibited moderate activity ( $IC_{50} = 25 \mu M$ ) against intracellular form of the parasite *Trypanosoma cruzi* (Chagas disease), and a significant activity ( $IC_{50} = 0.6 \mu M$ ) against a unicellular kinetoplastid prozoane parasite *Leishmania donovani* (human visceral leishmaniasis). As observed for cytotoxicity activity (part 4.2.), free phenolic hydroxyl groups are required for the antiparasitic activity. It was also demonstrated the importance of the *bis*-allylic positions. Moreover, this compound showed a stronger antiparasitic activity compared to catechol, suggesting the importance of the lipid part in the activity. Another AR **32** displayed paralyzing effects on the nematodes *Trichostrongylus colubriformis* (infective larvae for sheep) [52]. It was less potent compared to LA analogue, and more active than the analogue *trans* n-3 (Z,Z,E). Unfortunately, *in vivo* evaluation (50 mg/kg) showed a lack of activity probably due to enzymatic degradation, weak bioavailability and difference in life stage of the parasite.

While the mechanism of action of those natural lipophenols has not been entirely studied, the literature highlights their interesting biological properties as antibacterial and/or antiparasitic derivatives, suggesting that they may serve a defensive role in plants [58] and in human. Additionally, regarding antibacterial and antiparasitic activities of LA and saturated analogues of some of the reported lipophenols, the PUFA part on those compounds was not absolutely necessary to exert an activity.

#### 5. From administration to the cells

As reported all along the review, biological activity of n-3 lipophenol has been mainly evaluated by *in vitro* (cellular or cellular free) assay, a few studies reported *in vivo* evaluations on mice or rats, but currently no clinical test on human is reported for such derivatives. For cellular assays, due to the weak solubility of lipophenols in aqueous media, the tests are performed using the solubility of compound in DMSO or Ethanol (with a final concentration below 0.1%), that allows the lipophenol derivative to be solubilized in the cell culture medium and to access cell. To illustrate this fact, Huang et al. reported that despite weaker aqueous



solubility, doxorubicine conjugated with ALA was taken up more rapidly and in greater amount by cancerous cell lines compared to free doxorubicine [25]. For *in vivo* administration, since DMSO and ethanol are not acceptable vehicles, the lipophenol could be dissolved in physiologic saline solution in the presence of PEG [35] or using small proportion of detergent such as Tween20 [18], to afford lipophenol solution able to be injected by IV or IP processes. Formation of complex with albumin, as performed in the case of PUFA administration [72], may also be an alternative for *in vivo* administration, since both PUFA [5,6] and polyphenol [73] are known to bind with several sites of albumin, without necessarily affecting their activity [74].

Once administered, what would be the stability of those types of derivatives? Most of the n-3 lipophenol have been synthesized by forming an ester bond between the phenolic and the PUFA parts. After oral administration, lipophenol linked through ester linkage may probably be cleaved during the digestive process. One can imagine that they will be highly metabolized, or rapidly eliminated as are most of the polyphenols after oral ingestion. However Zhong *et al.* reported an increased activity of the EGCG-PUFA esters (1) compared to EGCG after oral administration [31]. Liang *et al.* studied the stability of DOX-hyd ALA **24a** and DOX-ami-ALA **24b** in rat serum [65]. The results clearly demonstrate the stability of the hydrazone and the amide bond that released less than 25% of Doxorubicine in 48 h. Siddiqui *et al.* studied the stability of Propofol-DHA (**19**), a diisopropylphenol conjugate of DHA, in human and mouse serum at 37 °C for 2 h [66]. Probably because of steric hindrance of the isopropyl groups, the ester linkage was not cleaved at all. However this could be different with other lipophenols, depending on the chemical structure of the compounds. In future it would be important to study their stability inside of the cell, in presence of cellular esterases.

In the systemic circulation, after IV or IP administration, the biodistribution of the lipophenol will necessarily depend on their ability to bind plasma protein. The degree of binding to the major plasma protein, albumin, may have consequences on the rate of clearance of metabolites and for their delivery to cells and tissues. One of the mechanisms of free PUFA transport is based on their binding with HSA, allowing them to reach lipophilic membrane despite their poor solubility in water [3,75]. This major plasma protein is also able to extensively bind polyphenol depending on their chemical structure [73]. Acylation of polyphenol may probably decrease the affinity of the phenolic part for albumin but the PUFA part should be able to compensate this loss of affinity. Lipophenol binding to human plasma albumin has been reported for DOX-hyd-ALA **24a** which presents a protein binding rate of 98.7%. After IV administration (7.5 mg/kg) this compound was able to reach heart, liver, lung and kidney and specifically the tumor tissue (12 µg/g of tissue). This result could be compared to the one of other PUFA conjugate, such as DHA-Paclitaxel, which showed an extensive bonding with HSA (99.6%) and was relatively stable in blood circulation despite ester linkage between the two parts of the conjugate. Stability and metabolism of each lipophenol derivative will necessarily depend on their chemical structure and remains to be investigated individually for each of them in order to adapt, linker, formulation, doses, way of administration, and reach optimum systemic concentration and cellular uptake.

## 6. Conclusions and future directions

Chemical structures of natural and synthetic n-3 PUFA phenol derivatives have been reviewed. The design of the entitled compounds is most of the time aiming at enhancing biological efficiency by increasing lipophilicity of naturally “water soluble” polyphenolic molecules. From the synthetic point of view, access to n-3 lipophenols is easily performed using classical coupling reagents

used in organic chemistry. Efficient utilization of enzymatic system such as CALB lipase was used to perform controlled introduction of PUFA moiety on sugar residues of flavonoids. Chemical protection/deprotection strategies have also been successful to access mono PUFA-polyphenolic conjugates. Regioselective functionalization of the phenolic part by the fatty acid(s) is a tedious task yet, even though enzymatic reactions are helpful for some positions. In future, screening and modification of enzymatic lipase would offer additional possibility for lipophenol synthesis. Moreover new pathways have to be explored in the field to successfully complete the full range of lipophenol derivatives using practical syntheses.

Regarding n-3 lipophenol evaluations, those derivatives have showed a wide range of interesting biological activities: antioxidant, anti-inflammatory, anti-cancer, anti-bacterial, anti-parasitic activities . . . Depending on the target and on the lipophenol structures, the role of the n-3 PUFA part was significantly different and the beneficial effect of the introduction of the PUFA moiety has to be assessed. The question of the stability and the metabolism of n-3 lipophenolic structures in biological media have not been fully explored and additional studies must be performed in future to give a better understanding of *in vitro* and *in vivo* mechanisms of those PUFA-phenol conjugates, to highlight the role of each part in the observed activity. Further developments in this field should take into account the increasing amounts of evidence that non enzymatic oxidized polyunsaturated fatty acids (iso-, phyto- and neuroprostanes) are biologically potent compounds that could be considered to produce new series of active lipophenols.

Following the same goal, traditional medicinal chemistry approach should help to design selected linkers (covalent, hydrolyzable, . . .) between the PUFA and the phenolic part depending on the way of administration and on the tissue to target. Appropriate formulations (albumin complex, emulsion, . . .) of such derivatives will also help to modulate ADME profile and to increase therapeutic index of those new lipophenol derivatives.

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