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### Unshuffling permutations: Trivial bijections and compositions<sup>\*</sup>

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Abstract. Given permutations  $\pi$ ,  $\sigma_1$  and  $\sigma_2$ , the permutation  $\pi$  (viewed as a string) is said to be a *shuffle* of  $\sigma_1$  and  $\sigma_2$ , in symbols  $\pi \in \sigma_1 \sqcup \sigma_2$ , if  $\pi$ can be formed by interleaving the letters of two strings  $p_1$  and  $p_2$  that are order-isomorphic to  $\sigma_1$  and  $\sigma_2$ , respectively. Given a permutation  $\pi \in S_{2n}$ and a bijective mapping  $f: S_n \to S_n$ , the *f*-UNSHUFFLE-PERMUTATION problem is to decide whether there exists a permutation  $\sigma \in S_n$  such that  $\pi \in \sigma \sqcup f(\sigma)$ . We consider here this problem for the following bijective mappings: inversion, reverse, complementation, and all their possible compositions. In particular, we present combinatorial results about the permutations accepted by this problem. As main results, we obtain that this problem is NP-complete when f is the reverse, the complementation, or the composition of the reverse with the complementation.

Keywords: Permutation  $\cdot$  Shuffle product  $\cdot$  Computational complexity.

#### 1 Introduction

Given permutations  $\pi$ ,  $\sigma_1$  and  $\sigma_2$ ,  $\pi$  is said to be a *shuffle* of  $\sigma_1$  and  $\sigma_2$ , in symbols  $\pi \in \sigma_1 \sqcup \sigma_2$ , if  $\pi$  is the disjoint union of two patterns  $p_1$  and  $p_2$  (*i.e.*, if, viewed as a string, it can be formed by interleaving the letters of  $p_1$  and  $p_2$ ) that are order-isomorphic to  $\sigma_1$  and  $\sigma_2$ , respectively. This shuffling operation  $\sqcup$  was introduced by Vargas [12] in an algebraic context and was called *supershuffle*. In case  $\sigma = \sigma_1 = \sigma_2$ , the permutation  $\pi$  is said to be a square w.r.t. the shuffle product (or simply a square when clear from the context), and  $\sigma$  is said to be a square root of  $\pi$  w.r.t. the shuffle product (or simply a square root of  $\pi$ when clear from the context). Note that a permutation may have several square roots. For example,  $\pi = 18346752 \in 1234 \sqcup 4321$  since  $\pi$  is a shuffle of the patterns  $p_1 = 1347$  and  $p_2 = 8652$  that are order-isomorphic to  $\sigma_1 = 1234$  and

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 $\sigma_2 = 4321$ , respectively (as shown in  $18^{34}6752$ ). However,  $\pi$  is not a square. Besides,  $\pi' = 24317856$  is a square as it is a shuffle of the patterns 2175 and 4386 that are both order-isomorphic to 2143 (as shown in 24317856). Note that 2143 is not the unique square root of  $\pi'$  since  $\pi'$  is also a shuffle of patterns 2156 and 4378 that are both order-isomorphic to 2134 (as shown in 24317856).

The above definitions are of course intended to be natural counterparts to the ordinary shuffle of words and languages. Given words  $u, v_1$  and  $v_2, u$  is said to be a shuffle of  $v_1$  and  $v_2$  (denoted  $u \in v_1 \sqcup v_2$ ), if u can be formed by interleaving the letters of  $v_1$  and  $v_2$  in a way that maintains the left-to-right ordering of the letter from each word. For example,  $u = abccbabacbb \in abcab \sqcup cbabcb$  (as shown in  $ab_{c}c_{ba}ba_{c}b_{b}$ . Similarly, in case  $v = v_{1} = v_{2}$ , the word u is said to be a square w.r.t. the shuffle product, and v is said to be a square root of u w.r.t. the shuffle product. For example, abaaabaabb is a square w.r.t. the shuffle product since it belongs to the shuffle of *abaab* with itself (as shown in  $ab_aaa_baabb$ ). Given words  $u, v_1$  and  $v_2$ , deciding whether  $u \in v_1 \sqcup v_2$  is  $O(|u|^2 / \log(|u|))$  time solvable [6]. To the best of our knowledge, the first  $O(|u|^2)$  time algorithm for this problem appeared in [7]. However, deciding whether a given word u is a square w.r.t. the shuffle product is NP-complete [9, 2]. Finally, for a given word u, deciding whether there exists a word v such that u is in the shuffle of v with its reverse  $v^{\mathrm{R}}$  (*i.e.*,  $u \in v \sqcup v^{\mathbf{R}}$ ) is NP-complete as well [9]. However, the problem is polynomial-time solvable for words built from a binary alphabet.

Coming back to permutations, by using a pattern avoidance criterion on directed perfect matchings, it is proved in [4] that recognizing permutations that are squares is NP-complete, and a bijection between (213, 231)-avoiding square permutations and square binary words is presented. Given (3142, 2413)-avoiding (*a.k.a. separable*) permutations  $\pi$ ,  $\sigma_1$  and  $\sigma_2$ , it can be decided in polynomial time whether  $\pi \in \sigma_1 \sqcup \sigma_2$  [8].

We finally observe that deciding whether a permutation is a shuffle of two monotone permutations is in P:

(i) merge permutations are the union of two increasing subsequences and are characterized by the fact that they contain no decreasing subsequence of length 3 [5], whereas (ii) skew-merged permutations are the union of an increasing subsequence with a decreasing subsequence and are characterized by the fact that they contain no subsequence ordered in the same way as 2143 or 3412 [11].

In this paper, we are interested in a generalization of the problem consisting in recognizing square permutations. We call this new problem the f-UNSHUFFLE-PERMUTATION problem, which is defined as follows. Given a permutation  $\pi \in S_{2n}$ and a bijective mapping  $f: S_n \to S_n$ , the f-UNSHUFFLE-PERMUTATION problem asks whether there exists a permutation  $\sigma \in S_n$  such that  $\pi \in \sigma \sqcup f(\sigma)$ . We say in this case that  $\pi$  is a generalized square permutation. In case f = id, the id-UNSHUFFLE-PERMUTATION problem reduces to recognizing square permutations, and hence is NP-complete. This paper is devoted to studying the f-UNSHUFFLE-PERMUTATION problem in case f is either a trivial bijection (identity, complement, reverse or inverse) or obtained by composing trivial bijections. These bijections act on permutations by performing a transformation on their permutation matrices and can hence be seen as elements of the Dihedral group  $D_4$ , as discussed in the next section. The paper is organized as follows. In Section 2, we provide the needed definitions. Section 3 is devoted to presenting generalized square permutations and some associated enumerative properties. Finally, in Section 4, we show hardness of recognizing some generalized square permutations.

#### 2 Definitions

For any nonnegative integer n, [n] is the set  $\{1, \ldots, n\}$ . We follow the usual terminology on words [3]. Let us recall here the most important ones. Let u be a word. The length of u is denoted by |u|. The *empty word*, the only word of null length, is denoted by  $\epsilon$ . For any  $i \in [|u|]$ , the *i*-th letter of u is denoted by u(i). If I is a subset of [|u|],  $u_{|I}$  is the subword of u consisting in the letters of u at the positions specified by the elements of I. A *permutation* of size n is a word  $\pi$  of length n on the alphabet [n] such that each letter admits exactly one occurrence. The set of all permutations of size n is denoted by  $S_n$ .

**Definition 1 (Reduced form).** If  $\lambda$  is a list of distinct integers, the reduced form of  $\lambda$ , denoted reduce( $\lambda$ ), is the permutation obtained from  $\lambda$  by replacing its *i*-th smallest entry with *i*. For instance, reduce(31845) = 21534.

**Definition 2 (Order-isomorphism).** Let  $\lambda_1$  and  $\lambda_2$  be two words of distinct integers such that  $reduce(\lambda_1) = reduce(\lambda_2)$ . We say that  $\lambda_1$  and  $\lambda_2$  are order-isomorphic and we denote it by  $\lambda_1 \simeq \lambda_2$ .

**Definition 3 (Pattern containment).** A permutation  $\sigma$  is said to be contained in, or to be a subpermutation of, another permutation  $\pi$ , written  $\sigma \leq \pi$ , if  $\pi$  has a (not necessarily contiguous) subsequence whose terms are orderisomorphic to  $\sigma$ . We also say that  $\pi$  admits an occurrence of the pattern  $\sigma$ .

Thus,  $\sigma \leq \pi$  if there is a set I of positions in  $\pi$  such that reduce $(\pi_{|I}) = \sigma$ . For example, 1423  $\leq$  149362785 since reduce  $(149362785_{|\{2,3,5,8\}}) =$  reduce (4968) = 1423.

**Definition 4 (Trivial bijections).** Let  $\pi = \pi(1)\pi(2)\ldots\pi(n)$  be a permutation of size n. The reverse of  $\pi$  is the permutation  $r(\pi) = \pi(n)\pi(n-1)\ldots\pi(1)$ . The complement of  $\pi$  is the permutation  $c(\pi) = \pi'(1)\pi'(2)\cdots\pi'(n)$ , where  $\pi'(i) =$  $n - \pi(i) + 1$ . The inverse is the regular group theoretical inverse on permutations, that is the  $\pi(i)$ -th position of the inverse  $i(\pi)$  is occupied by i. The reverse, complement and inverse are called the trivial bijections from  $S_n$  to itself.

Abusing notations, for any  $S \subseteq S_n$ , we let c(S), i(S) and r(S) stand respectively for  $\{c(\pi) : \pi \in S\}$ ,  $\{i(\pi) : \pi \in S\}$  and  $\{r(\pi) : \pi \in S\}$ .

**Definition 5 (Shuffle).** Let  $\sigma_1 \in S_{k_1}$  and  $\sigma_2 \in S_{k_2}$  be two permutations. A permutation  $\pi = \pi(1)\pi(2)\ldots\pi(k_1+k_2)$  is a shuffle of  $\sigma_1$  and  $\sigma_2$  if there is a subset I of [n] such that  $\pi_{|I} \simeq \sigma_1$  and  $\pi_{|\bar{I}} \simeq \sigma_2$ , where  $\bar{I} = [n] \setminus I$  and  $n = k_1 + k_2$ .

In other terms,  $\pi$  is obtained by interleaving the letters of two words respectively order-isomorphic with  $\sigma_1$  and  $\sigma_2$ . We denote by  $\sigma_1 \sqcup \sigma_2$  the set of all shuffles of  $\sigma_1$  and  $\sigma_2$ .

For example,

$$12 \sqcup 21 = \{12_{43}, 1_{32}4, 13_{42}, 1_{4}2_3, 14_{32}, 21^{34}, 2^{3}1^4, 2^{3}4_1, 2_{4}1^3, 2^{4}3_1, 3^{1}2^4, 3^{1}4_2, 3_{2}1^4, 3^{2}4_1, 3^{4}2_1, 4^{1}2_3, 4^{1}3_2, 4^{2}1^3, 4^{2}3_1, 4^{3}1^2\}.$$

We are now ready to define the f-UNSHUFFLE-PERMUTATION problem we focus on in this paper.

**Definition 6** (*f*-Unshuffle-Permutation). Given a permutation  $\pi \in S_{2n}$  and a bijective mapping  $f: S_n \to S_n$ , the *f*-UNSHUFFLE-PERMUTATION problem is to decide whether there exists a permutation  $\sigma \in S_n$  such that  $\pi \in \sigma \sqcup f(\sigma)$ .

#### 3 Generalized square permutations and enumerative properties

The bijections c, i, and r are involutions and can be seen as particular elements of the dihedral group  $D_4$  encoding all the symmetries of the square. Before explaining why, let us recall a definition for  $D_4$ .

The group  $D_4$  is generated by two elements **a** and **b** subjected exactly to the nontrivial relations

$$aa = \epsilon$$
,  $bbbb = \epsilon$ ,  $abab = \epsilon$ , (1)

where  $\epsilon$  is the unit of  $D_4$ . One can think of element **a** (resp. **b**) acting on a square by performing a symmetry through the vertical axis (resp. **a** 90° clockwise rotation). Now, we can regard, for any  $n \in \mathbb{N}$ , each element of  $D_4$  as a map  $\phi: S_n \to S_n$  such that  $\phi(\pi), \pi \in S_n$ , is the permutation obtained by performing on its permutation matrix the transformations specified by  $\phi$ . The maps c, i, and r can thus be expressed as

$$c = bba, \quad i = ba, \quad r = a.$$
 (2)

Figure 1 shows the Cayley graph of this group. The main interest of seeing the three trivial bijections on  $S_n$  as elements of  $D_4$  lies in the fact that all the other bijections obtained by composing the trivial ones can be expressed by compositions of the two elements **a** and **b**. This will alow us to gain concision in some proofs presented in the sequel.

We now turn to defining *identity squares*, complement squares, reverse squares and *inverse squares* that are natural generalizations of square permutations to trivial bijections from  $S_n$  to itself.



**Fig. 1.** The Cayley graph of the group  $D_4$ .

Definition 7 (Square permutations for trivial bijections). For  $n \in \mathbb{N}$ , define

$$\begin{split} \mathcal{S}_{2n}^{\epsilon} &= \{ \pi \in S_{2n} : \exists \sigma \in S_n, \text{ such that } \pi \in \sigma \sqcup \sigma \}, \\ \mathcal{S}_{2n}^{c} &= \{ \pi \in S_{2n} : \exists \sigma \in S_n \text{ such that } \pi \in \sigma \sqcup c(\sigma) \}, \\ \mathcal{S}_{2n}^{r} &= \{ \pi \in S_{2n} : \exists \sigma \in S_n \text{ such that } \pi \in \sigma \sqcup r(\sigma) \}, \\ \mathcal{S}_{2n}^{i} &= \{ \pi \in S_{2n} : \exists \sigma \in S_n \text{ such that } \pi \in \sigma \sqcup r(\sigma) \}. \end{split}$$

We begin by proving that applying any trivial bijection is in some sense compatible with the shuffle operator.

Lemma 1 (Trivial bijections and shuffle). Let  $\pi$ ,  $\sigma_1$  and  $\sigma_2$  be permutations such that  $\pi \in \sigma_1 \sqcup \sigma_2$ . Then

- $c(\pi) \in c(\sigma_1) \sqcup c(\sigma_2);$  $-\mathbf{r}(\pi) \in \mathbf{r}(\sigma_1) \sqcup \mathbf{r}(\sigma_2);$
- $i(\pi) \in i(\sigma_1) \sqcup i(\sigma_2).$

*Proof.* Let n be the size of  $\pi$ . Since  $\pi$  is a shuffle of  $\sigma_1$  and  $\sigma_2$ , there is a subset  $I = \{i_1 < \cdots < i_k\}$  of [n] such that  $\pi_{|I} \simeq \sigma_1$  and  $\pi_{|\bar{I}} \simeq \sigma_2$ .

By setting  $J = \{n - i_k + 1 < \dots < n - i_1 + 1\}$ , we have

$$r(\pi)_{|J} = (\pi(n)\dots\pi(1))_{|J} = \pi(i_k)\dots\pi(i_1) \simeq r(\sigma_1).$$
 (3)

For the same reason, we have also  $r(\pi)_{|\bar{J}} \simeq r(\sigma_2)$ . Hence,  $r(\pi)$  is a shuffle of  $r(\sigma_1)$ and  $r(\sigma_2)$ .

Now, set  $J = \{\pi(i) : i \in I\} = \{\pi(\ell_1) < \dots < \pi(\ell_k)\}$  where  $\ell_1, \dots, \ell_k$  are elements of I. We have

$$i(\pi)_{|J} = \left(\pi^{-1}(1)\dots\pi^{-1}(n)\right)_{|J} = \pi^{-1}(\pi(\ell_1))\dots\pi^{-1}(\pi(\ell_k)) = \ell_1\dots\ell_k \simeq i(\sigma_1).$$
(4)

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For the same reason, we have also  $i(\pi)_{|\bar{J}} \simeq i(\sigma_2)$ . Hence,  $i(\pi)$  is also shuffle of  $i(\sigma_1)$  and  $i(\sigma_2)$ .

Finally, since  $\mathbf{b} = \mathbf{i} \circ \mathbf{r}$  in  $D_4$ , the map c can be expressed as compositions involving r and i. Hence,  $\mathbf{c}(\pi)$  is a shuffle of  $\mathbf{c}(\pi_1)$  and  $\mathbf{c}(\pi_2)$ .

The following lemma is well-known and is a consequence of interpreting c, r, and i as compositions involving a and b according to (2) and the relations (1) between a and b.

Lemma 2 (Compositions of trivial bijections). For any permutation  $\pi$ ,

$$- (\mathbf{c} \circ \mathbf{r})(\pi) = (\mathbf{r} \circ \mathbf{c})(\pi);$$
  
-  $(\mathbf{i} \circ \mathbf{c})(\pi) = (\mathbf{r} \circ \mathbf{i})(\pi);$   
-  $(\mathbf{i} \circ \mathbf{r})(\pi) = (\mathbf{c} \circ \mathbf{i})(\pi).$ 

According to Lemma 2 (and the fact that the group  $D_4$  has exactly eight elements), we thus only need to consider the following compositions in the rest of the paper.

Definition 8 (Square permutations for compositions of trivial bijections). For  $n \in \mathbb{N}$ , define

$$S_{2n}^{\rm rc} = \{\pi \in S_{2n} : \exists \sigma \in S_n \text{ such that } \pi \in \sigma \sqcup (\mathbf{r} \circ \mathbf{c})(\sigma)\},\$$

$$S_{2n}^{\rm ci} = \{\pi \in S_{2n} : \exists \sigma \in S_n \text{ such that } \pi \in \sigma \sqcup (\mathbf{c} \circ \mathbf{i})(\sigma)\},\$$

$$S_{2n}^{\rm ic} = \{\pi \in S_{2n} : \exists \sigma \in S_n \text{ such that } \pi \in \sigma \sqcup (\mathbf{i} \circ \mathbf{c})(\sigma)\},\$$

$$S_{2n}^{\rm ic} = \{\pi \in S_{2n} : \exists \sigma \in S_n \text{ such that } \pi \in \sigma \sqcup (\mathbf{i} \circ \mathbf{c})(\sigma)\},\$$

We hence obtain the eight sets  $S_{2n}^{\epsilon}$ ,  $S_{2n}^{c}$ ,  $S_{2n}^{r}$ ,  $S_{2n}^{i}$ ,  $S_{2n}^{cc}$ ,  $S_{2n}^{cc}$ ,  $S_{2n}^{cc}$ ,  $S_{2n}^{ic}$ ,  $S_{2n}^{ic}$ , and  $S_{2n}^{irc}$  of square permutations provided by Definitions 7 and 8. Let us now investigate some easy bijective and enumerative properties satisfied by these sets.

**Proposition 1** (Bijection between  $S_{2n}^c$  and  $S_{2n}^r$ ). For any  $n \in \mathbb{N}$ ,  $i(S_{2n}^c) = S_{2n}^r$ .

*Proof.* Let  $\pi \in S_{2n}$ . We have

$$\pi \in \mathcal{S}_{2n}^{c} \Leftrightarrow \exists \sigma \in S_{n}, \ \pi \in \sigma \sqcup c(\sigma)$$
  

$$\Leftrightarrow \exists \sigma \in S_{n}, \ i(\pi) \in i(\sigma) \sqcup (i \circ c)(\sigma) \qquad \text{(Lemma 1)}$$
  

$$\Leftrightarrow \exists \sigma \in S_{n}, \ i(\pi) \in i(\sigma) \sqcup (r \circ i)(\sigma) \qquad \text{(Lemma 2)}$$
  

$$\Leftrightarrow \exists \sigma \in S_{n}, \ i(\pi) \in \sigma \sqcup r(\sigma)$$
  

$$\Leftrightarrow i(\pi) \in \mathcal{S}_{2n}^{r}.$$

**Proposition 2 (Bijection between**  $S_{2n}^{ic}$  and  $S_{2n}^{ci}$ ). For any  $n \in \mathbb{N}$ ,  $c(S_{2n}^{ic}) = S_{2n}^{ci}$ ,  $i(S_{2n}^{ic}) = S_{2n}^{ci}$ ,  $and r(S_{2n}^{ic}) = S_{2n}^{ci}$ .

*Proof.* Let  $\pi \in S_{2n}$ . We have

$$\pi \in \mathcal{S}_{2n}^{\mathrm{ic}} \Leftrightarrow \exists \sigma \in S_n, \ \pi \in \sigma \sqcup (\mathrm{i} \circ \mathrm{c})(\sigma) \\ \Leftrightarrow \exists \sigma \in S_n, \ \mathrm{c}(\pi) \in \mathrm{c}(\sigma) \sqcup (\mathrm{c} \circ \mathrm{i} \circ \mathrm{c})(\sigma) \\ \Leftrightarrow \exists \sigma \in S_n, \ \mathrm{c}(\pi) \in \sigma \sqcup (\mathrm{c} \circ \mathrm{i})(\sigma) \\ \Leftrightarrow \mathrm{c}(\pi) \in \mathcal{S}_{2n}^{\mathrm{ci}}.$$
(Lemma 1)

Moreover, we have

$$\begin{aligned} \pi \in \mathcal{S}_{2n}^{\mathrm{ic}} &\Leftrightarrow \exists \sigma \in S_n, \ \pi \in \sigma \sqcup (\mathbf{i} \circ \mathbf{c})(\sigma) \\ &\Leftrightarrow \exists \sigma \in S_n, \ \mathbf{i}(\pi) \in \mathbf{i}(\sigma) \sqcup (\mathbf{i} \circ \mathbf{i} \circ \mathbf{c})(\sigma) \qquad \text{(Lemma 1)} \\ &\Leftrightarrow \exists \sigma \in S_n, \ \mathbf{i}(\pi) \in \mathbf{i}(\sigma) \sqcup (\mathbf{i} \circ \mathbf{r} \circ \mathbf{i})(\sigma) \qquad \text{(Lemma 2)} \\ &\Leftrightarrow \exists \sigma \in S_n, \ \mathbf{i}(\pi) \in \sigma \sqcup (\mathbf{i} \circ \mathbf{r})(\sigma) \\ &\Leftrightarrow \exists \sigma \in S_n, \ \mathbf{i}(\pi) \in \sigma \sqcup (\mathbf{c} \circ \mathbf{i})(\sigma) \qquad \text{(Lemma 2)} \\ &\Leftrightarrow \mathbf{i}(\pi) \in \mathcal{S}_{2n}^{\mathrm{ci}}. \end{aligned}$$

Finally, the fact that  $i \circ c \circ i = r$  implies that r is also a bijection between  $S_{2n}^{ic}$  and  $S_{2n}^{ci}$ .

**Proposition 3** (Bijection between  $S_{2n}^{i}$  and  $S_{2n}^{irc}$ ). For any  $n \in \mathbb{N}$ ,  $(i \circ c) (S_{2n}^{irc}) = S_{2n}^{i}$ .

*Proof.* Let  $\pi \in S_{2n}$ . We have

$$\begin{aligned} \pi \in \mathcal{S}_{2n}^{\mathrm{irc}} \Leftrightarrow \exists \sigma \in S_n, \ \pi \in \sigma \sqcup (\mathbf{i} \circ \mathbf{r} \circ \mathbf{c})(\sigma) \\ \Leftrightarrow \exists \sigma \in S_n, \ \mathbf{i}(\pi) \in \mathbf{i}(\sigma) \sqcup (\mathbf{r} \circ \mathbf{c})(\sigma) \qquad \text{(Lemma 1)} \\ \Leftrightarrow \exists \sigma \in S_n, \ (\mathbf{r} \circ \mathbf{i})(\pi) \in (\mathbf{r} \circ \mathbf{i})(\sigma) \sqcup \mathbf{c}(\sigma) \qquad \text{(Lemma 1)} \\ \Leftrightarrow \exists \sigma \in S_n, \ (\mathbf{i} \circ \mathbf{c})(\pi) \in (\mathbf{i} \circ \mathbf{c})(\sigma) \sqcup \mathbf{c}(\sigma) \qquad \text{(Lemma 2)} \\ \Leftrightarrow \exists \sigma \in S_n, \ (\mathbf{i} \circ \mathbf{c})(\pi) \in \mathbf{i}(\sigma) \sqcup \sigma \\ \Leftrightarrow (\mathbf{i} \circ \mathbf{c})(\pi) \in \mathcal{S}_{2n}^{\mathbf{i}}. \end{aligned}$$

To simplify notations, we write  $s_{2n}^{\epsilon}$ ,  $s_{2n}^{c}$ ,  $s_{2n}^{r}$ ,  $s_{2n}^{i}$ ,  $s_{2n}^{ic}$ ,  $s_{2n}^{ci}$ ,  $s_{2n}^{ic}$ ,  $s_{2n}^{ic}$ ,  $s_{2n}^{ic}$ , and  $s_{2n}^{irc}$  for the cardinalities of the sets  $\mathcal{S}_{2n}^{\epsilon}$ ,  $\mathcal{S}_{2n}^{c}$ ,  $\mathcal{S}_{2n}^{r}$ ,  $\mathcal{S}_{2n}^{ic}$ ,  $\mathcal{S}_{2n}^{ci}$ , and  $\mathcal{S}_{2n}^{irc}$ , respectively. Table 1 shows the first cardinalities. We note that, at this time, none of the corresponding integer sequence does appear in OEIS [10].

Lemma 3 (Upper bound for the number of generalized squares). For any  $n \in \mathbb{N}$ ,

$$|Q_{2n}| \le \binom{2n-1}{n-1} \binom{2n}{n} n!,\tag{5}$$

where  $Q_{2n}$  is any of the sets  $S_{2n}^{\epsilon}$ ,  $S_{2n}^{c}$ ,  $S_{2n}^{r}$ ,  $S_{2n}^{i}$ ,  $S_{2n}^{cc}$ ,  $S_{2n}^{cc}$ ,  $S_{2n}^{ci}$ ,  $S_{2$ 

n	0	1	<b>2</b>	3	4	5
$s_{2n}^{\rm rc}$	1	2	20	472	18 988	1 112 688
$s_{2n}^{\mathrm{c}} = s_{2n}^{\mathrm{r}}$	1	2	20	480	19744	1185264
$s_{2n}^{\rm i} = s_{2n}^{\rm irc}$	1	2	20	488	20250	1229858
$s_{2n}^{\epsilon}$	1	2	20	504	21032	1293418
$s_{2n}^{\rm ci}=s_{2n}^{\rm ic}$	1	2	20	586	27990	2044596
(2n)!	1	2	24	720	40320	3628800

Table 1. Cardinalities of the sets of square permutations of sizes  $0 \le 2n \le 10$ .

Proof. This is a consequence of the fact that to construct a permutation  $\pi$  of  $Q_{2n}$ , we can proceed by first choosing a set of n letters among the alphabet [2n]  $\binom{2n}{n}$  choices), then by specifying an order from left to right for these letters (n! choices), and finally by deploying the chosen letters onto a set I of n positions among the set of all possible positions [2n]  $\binom{2n}{n}$  choices). The empty positions are filled by the unique authorized completion such that  $\pi_{|I|} \simeq \phi(\pi_{|\bar{I}|})$  where  $\phi$  is the considered bijection on  $S_n$ . For this reason, there is no more than  $\binom{2n}{n}^2 n!$  elements in  $Q_{2n}$ . The tighter bound (5) is the consequence of the fact that we can assume that the first position can always be fixed.

Let us denote by  $\mathcal{S}^{\bullet}_{2n}$  the union of all the sets  $\mathcal{S}^{\epsilon}_{2n}$ ,  $\mathcal{S}^{c}_{2n}$ ,  $\mathcal{S}^{r}_{2n}$ ,  $\mathcal{S}^{i}_{2n}$ ,  $\mathcal{S}^{ci}_{2n}$ ,  $\mathcal{S}^{ci}$ 

**Proposition 4 (Existence of non-square permutations).** For any  $n \in \mathbb{N}, n \geq 9, S_{2n} \neq S^{\bullet}_{2n}$ .

*Proof.* By Lemma 3 (and using its notations), we have

$$|\mathcal{S}^{\bullet}_{2n}| \le 8 |Q_{2n}| \le 8 \binom{2n-1}{n-1} \binom{2n}{n} n!.$$
(6)

The proportion of the elements of  $\mathcal{S}_{2n}^{\bullet}$  among all the permutations of  $S_{2n}$  admits as upper bound, when  $n \geq 1$ ,

$$\frac{|\mathcal{S}^{\bullet}_{2n}|}{|S_{2n}|} \le \frac{8\binom{2n-1}{n}\binom{2n}{n}n!}{(2n)!} = 4\binom{2n}{n}\frac{1}{n!}.$$
(7)

But  $4\binom{2n}{n}\frac{1}{n!} < 1$  for  $n \ge 9$ , thereby proving the result.

**Proposition 5 (Square permutations in some intersections).** Let  $n \in \mathbb{N}$  such that  $2n \equiv 0 \pmod{4}$ . Then,

the permutation  $\pi = 12...(n-1)(n)$  (2n)(2n-1)...(n+2)(n+1)belongs to  $\mathcal{S}_{2n}^{\epsilon} \cap \mathcal{S}_{2n}^{\mathrm{r}} \cap \mathcal{S}_{2n}^{\mathrm{c}} \cap \mathcal{S}_{2n}^{\mathrm{ci}} \cap \mathcal{S}_{2n}^{\mathrm{ci}} \cap \mathcal{S}_{2n}^{\mathrm{ci}}$ .

*Proof.* Let  $I = \{1, 3, 5, ..., 2n - 1\}$  and  $\overline{I} = [2n] \setminus I$ . Then, since

$$\pi_{|I} \simeq 12 \dots \frac{n}{2} \ n(n-1) \dots \left(\frac{n}{2} + 1\right) \simeq \pi_{|\bar{I}},\tag{8}$$

we have  $\pi_{|I} \simeq \pi_{|\bar{I}} \simeq i(\pi_{|\bar{I}})$ . This shows that  $\pi$  belongs to  $\mathcal{S}_{2n}^{\epsilon} \cap \mathcal{S}_{2n}^{i}$ . Now, let  $J = \{1, 2, \ldots, n\}$  and  $\bar{J} = [2n] \setminus J$ . Then, since

$$\pi_{\downarrow J} \simeq 12 \dots n \quad \text{and} \quad \pi_{\downarrow \bar{J}} \simeq n \dots 21,$$

$$(9)$$

we have  $\pi_{|J} \simeq r(\pi_{|\bar{J}}) \simeq c(\pi_{|\bar{J}}) \simeq (i \circ c)(\pi_{|\bar{J}}) \simeq (c \circ i)(\pi_{|\bar{J}})$ . This shows that  $\pi$  belongs to  $\mathcal{S}_{2n}^{r} \cap \mathcal{S}_{2n}^{c} \cap \mathcal{S}_{2n}^{ci} \cap \mathcal{S}_{2n}^{ci}$ .

#### 4 Recognizing generalized square permutations

This section is devoted to proving that deciding membership to  $S_{2n}^{r}$ ,  $S_{2n}^{c}$  and  $S_{2n}^{rc}$  is NP-complete. The approach relies on constraint directed matchings on permutations.

**Definition 9 (Directed matching on permutations).** Let  $\pi \in S_n$ . A directed matching on  $\pi$  is a set  $\mathcal{M}$  of pairwise disjoint arcs  $(i, j), 1 \leq i, j \leq n$  and  $i \neq j$ , that connect pairs of elements of  $\pi$ . The directed matching  $\mathcal{M}$  is perfect if every element of  $\pi$  is the source or the sink of an arc of  $\mathcal{M}$ .

**Definition 10 (Two-arcs pattern occurrences).** A two-arc pattern is a perfect directed matching on the set [4]. A perfect directed matching  $\mathcal{M}$  on a permutation  $\pi$  of size 2n admits an occurrence of a two-arc pattern  $\mathcal{A}$  if there is an increasing map  $\phi : [4] \rightarrow [2n]$  (i.e., i < i' implies  $\phi(i) < \phi(i')$ ) such that, if (i,i') is an arc of  $\mathcal{A}$ , then  $(\phi(i), \phi(i'))$  is an arc of  $\mathcal{M}$ . We say moreover that  $\mathcal{M}$  avoids  $\mathcal{A}$  if  $\mathcal{A}$  does not admits any occurrence of  $\mathcal{A}$ .



Fig. 2. A directed perfect matching  $\mathcal{M} = \{(1,5), (4,2), (7,3), (6,8)\}$  on the permutation  $\pi = 25481763$ . Recall that arcs refer to positions in  $\pi$ .

In case  $\pi$  is written as a concatenation of contiguous patterns  $\pi = \pi_1 \pi_2 \cdots \pi_k$ , we write  $\mathcal{M}_{\pi_i \to \pi_j}$  for the subset of arcs of  $\mathcal{M}$  with source index in  $\pi_i$  and sink index in  $\pi_j$ . Hence,

$$\mathcal{M} = \bigsqcup_{\substack{i \in [k] \\ j \in [k]}} \mathcal{M}_{\pi_i \to \pi_j}.$$
 (10)

The following properties will prove useful for defining equivalences between squares and restricted directed perfect matchings.

**Definition 11 (Property P**<sub>1</sub> — Order isomorphism). Let  $\pi$  be a permutation. A directed perfect matching  $\mathcal{M}$  on  $\pi$  is said to have property **P**<sub>1</sub> if, for any two distinct arcs (i, i') and (j, j') of  $\mathcal{M}$ , we have  $\pi(i) < \pi(j)$  if and only if  $\pi(i') < \pi(j')$ .

**Definition 12 (Property P<sub>2</sub>** — Order anti-isomorphism). Let  $\pi$  be a permutation. A directed perfect matching  $\mathcal{M}$  on  $\pi$  is said to have property **P<sub>2</sub>** if, for any two distinct arcs (i, i') and (j, j') of  $\mathcal{M}$ , we have  $\pi(i) < \pi(j)$  if and only if  $\pi(i') > \pi(j')$ .

**Definition 13 (Property \mathbf{Q}\_1 — First start first terminal).** Let  $\pi$  be a permutation. A directed perfect matching  $\mathcal{M}$  on  $\pi$  is said to have property  $\mathbf{Q}_1$  if it avoids the following set of two-arcs patterns:

$$\mathcal{Q}_1 = \left\{ \widehat{(1)}, \widehat{(1)}, \widehat{(1)}, \widehat{(1)}, \widehat{(1)}, \widehat{(1)} \right\}.$$

**Definition 14 (Property \mathbf{Q}\_2 — First start last terminal).** Let  $\pi$  be a permutation. A directed perfect matching  $\mathcal{M}$  on  $\pi$  is said to have property  $\mathbf{Q}_2$  if it avoids the following set of two-arcs patterns:

# $\mathcal{Q}_2 = \left\{ \bigcap_{i=1}^{n} \bigcap_{i=1}^{n}, \bigcap_{i=1}^{n} \bigcap_{i=1}^{$

Observe that  $\mathcal{Q}_1 \sqcup \mathcal{Q}_2$  is the set of all two-arcs patterns.

**Theorem 1** ([4]). Let  $\pi \in S_{2n}$ . The two following statements are equivalent.

- 1.  $\pi \in \mathcal{S}_{2n}^{\epsilon}$ .
- 2. There exists a directed perfect matching  $\mathcal{M}$  on  $\pi$  that satisfies properties  $\mathbf{P_1}$ and  $\mathbf{Q_1}$ .

The following two definitions will intervene in the next constructions.

**Definition 15 (Lifting).** Let  $\pi = \pi(1) \pi(2) \dots \pi(n)$  be a permutation of size n and k be a positive integer. We call k-lifting of  $\pi$ , denoted  $\pi[k]$ , the permutation  $(k + \pi(1)) (k + \pi(2)) \dots (k + \pi(n))$  on the alphabet  $\{k + 1, \dots, k + n\}$ .

**Definition 16 (Monotone).** For any positive integer k, we let  $\nearrow_k$  stand for the increasing permutation  $12 \dots k$  and  $\searrow_k$  stand for the decreasing permutation  $k(k-1) \dots 1$ .

**Lemma 4 (One shot lemma).** Let  $\pi \in S_{2n}$  and  $\mathcal{M}$  be a perfect directed matching on  $\pi$  that satisfies properties  $\mathbf{P}_i$  and  $\mathbf{Q}_j$ ,  $i \in \{1, 2\}$  and  $j \in \{1, 2\}$ . Then, the perfect directed matching  $\mathcal{M}'$  obtained by reversing each arc of  $\mathcal{M}$  satisfies properties  $\mathbf{P}_i$  and  $\mathbf{Q}_j$ .

**Lemma 5.** Let  $I^1 = \{i_j^1 : j \in [n]\}, i_1^1 < i_2^1 < \cdots < i_n^1$ , and  $I^2 = \{i_j^2 : j \in [n]\}, i_1^2 < i_2^2 < \cdots < i_n^2$ , be such that  $I^1 \cap I^2 = \emptyset$ . The directed perfect matching  $\mathcal{M} = ([2n], E)$  defined by  $E = \{(i_j^1, i_j^2) : j \in [n]\}$  avoids the patterns  $\bigcap$ ,  $\bigcap$ ,  $\bigcap$ ,  $\bigcap$ ,  $\bigcap$ , and  $\bigcap$ .

*Proof.* Suppose, aiming at a contradiction, that  $\mathcal{M}$  does contain the pattern  $\mathcal{M}$ ,  $\mathcal{$ 



**Fig. 3.** Arcs  $(i_i^1, i_i^2)$  and  $(i_k^1, i_k^2)$  with  $i_i^1 < i_k^2$ .

**Lemma 6.** Let  $I^1 = \{i_j^1 : j \in [n]\}, i_1^1 < i_2^1 < \cdots < i_n^1$ , and  $I^2 = \{i_j^2 : j \in [n]\}, i_1^2 < i_2^2 < \cdots < i_n^2$ , be such that  $I^1 \cap I^2 = \emptyset$ . The directed perfect matching  $\mathcal{M} = ([2n], E)$  defined by  $E = \{(i_j^1, i_{n-j+1}^2) : j \in [n]\}$  avoids the patterns  $\bigcap \bigcap, \bigcap \bigcap, \bigcap \bigcap, \bigcap \bigcap, \bigcap \bigcap, \bigcap \bigcap, \dots \bigcap$ , and  $\bigcap \bigcap$ .

Proof. Suppose, aiming at a contradiction, that  $\mathcal{M}$  does contain the pattern  $\bigcap_{k=1}^{\infty} i_{k}$ ,  $i_{k}^{2} i_{k}^{2}$ ,  $i_{k}^{2} i_{k}^{2} i_{k}^{2} i_{k}^{2}$ ,  $i_{k}^{2} i_{k}^{2} i_{k}^{2} i_{k}^{2}$ ,  $i_{k}^{2} i_{k}^{2} i_{k}^{2} i_{k}^{2} i_{k}^{2}$ ,  $i_{k}^{2} i_{k}^{2} i_{$ 

**Proposition 6.** Let  $\pi \in S_{2n}$ . The two following statements are equivalent.

- 1.  $\pi \in \mathcal{S}_{2n}^{\mathrm{r}}$ .
- 2. There exists a directed perfect matching  $\mathcal{M}$  on  $\pi$  that satisfies properties  $\mathbf{P_1}$ and  $\mathbf{Q_2}$ .

*Proof.*  $(\mathbf{1} \Rightarrow \mathbf{2})$  Let  $\pi \in S_{2n}^{\mathrm{r}}$ . Then, there exists  $\sigma \in S_n$  such that  $\pi \in \sigma \sqcup$ r( $\sigma$ ), and hence there exist two disjoint set of indexes  $I^1 = \{i_j^1 : j \in [n]\},$  $i_1^1 < i_2^1 < \cdots < i_n^1$ , and  $I^2 = \{i_j^2 : j \in [n]\}, i_1^2 < i_2^2 < \cdots < i_n^2$ , such that

$$\begin{array}{c} & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & &$$

**Fig. 4.** Arcs  $(i_j^1, i_{n-j+1}^2)$  and  $(i_k^1, i_{n-k+1}^2)$  with  $i_j^1 < i_k^2$ .

Fig. 5. All possible configurations considered in Proof of Proposition 6  $(2 \Rightarrow 1)$ .

 $(\mathbf{2} \Rightarrow \mathbf{1})$  Let  $\mathcal{M}$  be a directed perfect matching that satisfies properties  $\mathbf{P_1}$ and  $\mathbf{Q_2}$ . Let  $I^1 = \{i_j^1 : j \in [n]\}, i_1^1 < i_2^1 < \cdots < i_n^1$ , be the set of the sources of the arcs of  $\mathcal{M}$  and let  $I^2 = \{i_j^2 : j \in [n]\}, i_1^2 < i_2^2 < \cdots < i_n^2$ , be the set of the sinks of the arcs of  $\mathcal{M}$ . We first show that, for every  $j \in [n], (i_j^1, i_{n-j+1}^2)$  is an arc of  $\mathcal{M}$ . The proof is by induction on  $j = 1, 2, \dots, n$ .

- Base. Suppose, aiming at a contradiction that  $(i_1^1, i_n^2)$  is not an arc of  $\mathcal{M}$ . Then, there exist  $1 \leq p < n$  and  $1 < q \leq n$  such that  $(i_1^1, i_p^2)$  and  $(i_q^1, i_n^2)$  are two arcs of  $\mathcal{M}$ . But  $i_1^1 < i_q^1$  and  $i_p^2 < i_n^2$ , and hence one of the configurations of Figure 5 (with j = 1 and k = n) does occur in  $\mathcal{M}$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{Q}_2$ , and hence  $(i_1^1, i_n^2)$  is an arc of  $\mathcal{M}$ .
- Induction step. Assume that  $(i_k^1, i_{n-k+1}^2)$  is an arc of  $\mathcal{M}$  for  $1 \leq k < j$ , and suppose, aiming at a contradiction, that  $(i_j^1, i_{n-j+1}^2)$  is not an arc of  $\mathcal{M}$ .

Then, there exist  $1 \leq p < n-j+1$  and  $j < q \leq n$  such that  $(i_j^1, i_p^2)$  and  $(i_q^1, i_{n-j+1}^2)$  are two arcs of  $\mathcal{M}$ . But  $i_j^1 < i_q^1$  and  $i_p^2 < i_{n-j+1}^2$ , and hence one of the configurations of Figure 5 does occur in  $\mathcal{M}$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{Q}_2$ , and hence  $(i_j^1, i_{n-j+1}^2)$  is an arc of  $\mathcal{M}$ .

Now, let  $\sigma_1$  be the pattern of  $\pi$  induced by the sources of  $\mathcal{M}$  and  $\sigma_2$  be the pattern of  $\pi$  induced by the sinks of  $\mathcal{M}$  (*i.e.*,  $\sigma_1 = \pi (i_1^1) \pi (i_2^1) \dots \pi (i_n^1)$  and  $\sigma_2 = \pi (j_1^2) \pi (j_2^2) \dots \pi (i_n^2)$ ). Clearly  $\sigma_1$  and  $\sigma_2$  are disjoint in  $\pi$  (since  $\mathcal{M}$  is a matching) and cover  $\pi$  (since  $\mathcal{M}$  is perfect). Moreover, the fact that  $\mathcal{M}$  satisfies  $\mathbf{P}_1$  implies immediately that reduce( $\sigma_1$ ) is order-isomorphic to (reduce  $\circ r$ )( $\sigma_2$ ), and hence this shows that  $\pi \in S_{2n}^r$ .

**Lemma 7.** Let  $\pi \in S_{2n}$  and  $\mathcal{M}$  be a perfect directed matching on  $\pi$  that avoids the patterns  $\bigcap_{i=1}^{n} \bigcap_{j=1}^{n} \bigcap_{j=1}^{n$ 

*Proof.* We only prove the case  $1 \le i \le n < j \le 2n$  since the proof for  $1 \le j \le n < i \le 2n$  is similar.

The following technical lemma is needed to simplify the proof of upcoming Proposition 7.

**Lemma 8.** Let  $k, \ell_1, \ell_2, \ell_3$  and  $\ell_4$  be positive integers and  $\pi \in S_{2k+\ell_1+\ell_2+\ell_3+\ell_4}^{r}$ be the permutation defined by  $\pi = X_1 \ L \ X_2 \ X_3 \ R \ X_4$ , where  $X_1 = \mu_1[k+\ell_2]$ ,  $L = \nearrow_k \ [\ell_2], \ X_2 = \mu_2, \ X_3 = \mu_3[k+\ell_1+\ell_2], \ R = \searrow_k[k+\ell_1+\ell_2+\ell_3]$  and  $X_4 = \mu_4[2k+\ell_1+\ell_2+\ell_3]$  for some permutations  $\mu_i \in S_{\ell_i}, \ 1 \le i \le 4$  (see Figure 6). Let  $\mathcal{M}$  be a directed perfect matching on  $\pi$  that satisfies properties  $\mathbf{P_1}$ and  $\mathbf{Q_2}$ . If  $\mathcal{M}_{L\to R} \neq \emptyset$  or  $\mathcal{M}_{R\to L} \neq \emptyset$ , then either  $|\mathcal{M}_{L\to R}| = k$  or  $|\mathcal{M}_{R\to L}| = k$ .

Proof. Suppose first, aiming at a contradiction, that  $\mathcal{M}_{L\to R} \neq \emptyset$  and  $\mathcal{M}_{R\to L} \neq \emptyset$ . Let  $(i, j) \in \mathcal{M}_{L\to R}$  and  $(i', j') \in \mathcal{M}_{R\to L}$ . By construction, we have  $\pi(i) < \pi(i')$  and  $\pi(j) > \pi(j')$  thereby contradicting Property **P**<sub>1</sub>. Then it follows that either  $\mathcal{M}_{L\to R} \neq \emptyset$  or  $\mathcal{M}_{R\to L} \neq \emptyset$ , but not both.

Suppose now that  $\mathcal{M}_{L\to R} \neq \emptyset$  and  $\mathcal{M}_{R\to L} = \emptyset$  (the case  $\mathcal{M}_{L\to R} = \emptyset$  and  $\mathcal{M}_{R\to L} \neq \emptyset$  can be proved with the same arguments). Suppose, aiming at a contradiction, that  $|\mathcal{M}_{L\to R}| \neq k$  and let  $(i, j) \in \mathcal{M}_{L\to R}$ . According to Lemma 7, we are left with considering the four following cases.

- There exists  $(i', j') \in \mathcal{M}_{L \to \mu_3}$ . Since  $\mathcal{M}$  avoids  $( \bigcap_{i \to i} \mathbf{A} )$ , we have i < i', and hence  $\pi(i) < \pi(i')$  and  $\pi(j) > \pi(j')$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{P_1}$ .

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- There exists  $(i', j') \in \mathcal{M}_{\mu_3 \to L}$  and hence  $\pi(i) < \pi(i')$  and  $\pi(j) > \pi(j')$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{P_1}$ .
- There exists  $(i', j') \in \mathcal{M}_{L \to \mu_4}$ . Since  $\mathcal{M}$  avoids (i', j'), we have i' < i, and hence  $\pi(i) > \pi(i')$  and  $\pi(j) < \pi(j')$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{P_1}$ .
- There exists  $(i', j') \in \mathcal{M}_{\mu_4 \to L}$  and hence  $\pi(i) < \pi(i')$  and  $\pi(j) > \pi(j')$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{P_1}$ .

Therefore,  $|\mathcal{M}_{L\to R}| = k$ .



**Fig. 6.** Illustration of Lemma 8, where  $\pi = X_1 L X_2 X_3 R X_4$ .

Proposition 7. r-UNSHUFFLE-PERMUTATION is NP-complete.

*Proof.* We reduce from PERMUTATION PATTERN which, given two permutations  $\pi \in S_n$  and  $\sigma \in S_k$  with  $k \leq n$ , asks whether  $\sigma$  is a pattern of  $\pi$ . PERMUTATION PATTERN is known to be NP-complete [1]. Let  $\pi \in S_n$  and  $k \in S_k$  be an instance of PERMUTATION PATTERN. Set  $N_2 = n + k + 1$  and  $N_1 = 2N_2$ . Define a target permutation  $\mu \in S_{2n+2k+2N_1+2N_2}$  by  $\mu = \mu_{\pi} \ \mu_2 \ \mu_1 \ \mu_{\sigma} \ \mu'_2 \ \mu'_{\pi} \ \mu'_1 \ \mu'_{\sigma}$ , where

$\mu_{\sigma} = \sigma,$	$\mu_{\pi} = \pi [k + N_1 + N_2],$
$\mu'_{\pi} = \mathbf{r}(\pi)[2n + k + 2N_1 + 2N_2]$	$\mu'_{\sigma} = \mathbf{r}(\sigma)[n+k+N_1+2N_2],$
$\mu_1 = \nearrow_{N_1} [k],$	$\mu_1' = \sum_{N_1} [2n + k + N_1 + 2N_2],$
$\mu_2 = \nearrow_{N_2} [k + N_1],$	$\mu_2' = \sum_{N_2} [n + k + N_1 + N_2].$

Clearly, the construction can be carried out in polynomial time. We claim that  $\sigma$  is a pattern of  $\pi$  if and only if  $\mu \in S_{2n+2k+2N_1+2N_2}^{r}$ .

 $(\Rightarrow)$  Suppose that  $\sigma$  is a pattern of  $\pi$ . Then there exist indices  $P = \{p_1, p_2, \ldots, p_k\}$ ,  $1 \leq p_1 < p_2 < \cdots < p_k \leq n$ , such that  $\sigma$  and  $\pi(p_1)\pi(p_2)\cdots\pi(p_k)$  are order-isomorphic. For the sake of simplification, let  $Q = [n] \setminus P$  and write



Fig. 7. Schematic representation of the construction used in Proposition 7.

 $Q = \{q_1, q_2, \cdots, q_{n-k}\}, 1 \le q_1 < q_2 < \cdots < q_{n-k} \le n$ . Define a directed matching

$$\mathcal{M} = \mathcal{M}_{\mu_{\sigma} \to \mu_{\pi}'} \uplus \mathcal{M}_{\mu_{\pi}' \to \mu_{\pi}} \uplus \mathcal{M}_{\mu_{\pi} \to \mu_{\sigma}'} \uplus \mathcal{M}_{\mu_{1} \to \mu_{1}'} \uplus \mathcal{M}_{\mu_{2} \to \mu_{2}'}$$

on  $\mu$  as follows.

$$\begin{aligned} \mathcal{M}_{\mu_{\sigma} \to \mu_{\pi}'} &= \{ (n+N_{1}+N_{2}+i, n+k+N_{1}+2N_{2}+p_{i}) : 1 \leq i \leq k \} \\ \mathcal{M}_{\mu_{\pi}' \to \mu_{\pi}} &= \{ (2n+k+N_{1}+2N_{2}-q_{i}+1, q_{i}) : 1 \leq i \leq n-k \} \\ \mathcal{M}_{\mu_{\pi} \to \mu_{\sigma}'} &= \{ (p_{i}, 2n+2k+2N_{1}+2N_{2}-i) : 1 \leq i \leq k \} \\ \mathcal{M}_{\mu_{1} \to \mu_{1}'} &= \{ (n+N_{2}+i, 2n+k+2N_{1}+2N_{2}-i+1) : 1 \leq i \leq N_{1} \} \\ \mathcal{M}_{\mu_{2}' \to \mu_{2}} &= \{ (n+k+N_{1}+2N_{2}-i+1, n+i) : 1 \leq i \leq N_{2} \}. \end{aligned}$$

Informally,

- $\mathcal{M}_{\mu_{\sigma} \to \mu'_{\pi}}$  is the directed (left-to-right) matching describing the (reversed) occurrence of  $\sigma$  in  $r(\pi)$ ,
- $\mathcal{M}_{\mu'_{\pi} \to \mu_{\pi}}$  is the directed (right-to-left) matching connecting the elements of  $r(\pi)$  and  $\pi$  that are not part of the occurrence of  $\sigma$  in  $\pi$ ,
- $\mathcal{M}_{\mu_{\pi} \to \mu'_{\sigma}}$  is the directed (left-to-right) matching describing the (reversed) occurrence of  $r(\sigma)$  in  $\pi$ ,
- $\mathcal{M}_{\mu_1 \to \mu_1'}$  is a directed (left-to-right) matching that fully connects  $\mu_1$  to  $\mu_1'$  and
- $-\mathcal{M}_{\mu'_2 \to \mu_2}$  is a directed (right-to-left) matching that fully connects  $\mu'_2$  to  $\mu_2$ .

It is now a simple matter to check that  $\mathcal{M}$  is a directed matching on  $\mu$  that satisfies properties  $\mathbf{P_1}$  and  $\mathbf{Q_2}$ . Therefore, according to Proposition 6,  $\mu \in S_{2n+2k+2N_1+2N_2}^{\mathrm{r}}$ .

( $\Leftarrow$ ) Suppose that  $\mu \in S_{2n}^{r}$ . Therefore, according to Proposition 6, there exists a directed perfect matching  $\mathcal{M}$  on  $\mu$  that satisfies properties  $\mathbf{P_1}$  and  $\mathbf{Q_2}$ . We begin with a sequence of claims that help defining the general structure of  $\mathcal{M}$ .

Claim. We may assume that  $|\mathcal{M}_{\mu_1 \to \mu'_1}| = N_1$ .

Proof. Combining Lemma 7 and  $N_1 = 2N_2 > n + k + N_2$ , we conclude that  $\mathcal{M}_{\mu_1 \to \mu'_1} \neq \emptyset$  or  $\mathcal{M}_{\mu'_1 \to \mu_1} \neq \emptyset$ . Thus, applying Lemma 8, we obtain  $|\mathcal{M}_{\mu_1 \to \mu'_1}| = N_1$  or  $|\mathcal{M}_{\mu'_1 \to \mu_1}| = N_1$ . Therefore, by Lemma 4 (One shot lemma), we may assume that  $\mathcal{M}_{\mu_1 \to \mu'_1} \neq \emptyset$ .

Claim. Assuming  $|\mathcal{M}_{\mu_1 \to \mu'_1}| = N_1$ , we have  $|\mathcal{M}_{\mu'_2 \to \mu_2}| = N_2$ .

Proof. Combining Claim 4, Lemma 7 and  $N_2 > n + k$ , we conclude that  $\mathcal{M}_{\mu_2 \to \mu'_2} \neq \emptyset$  or  $\mathcal{M}_{\mu'_2 \to \mu_2} \neq \emptyset$ . Applying Lemma 8, we obtain  $|\mathcal{M}_{\mu_2 \to \mu'_2}| = N_2$  or  $|\mathcal{M}_{\mu'_2 \to \mu_2}| = N_2$ . The claim now follows from  $\mathcal{M}_{\mu_1 \to \mu'_1} \neq \emptyset$  (Claim 4) and the fact that  $\mathcal{M}$  avoids  $\bigwedge$  (Property  $\mathbf{Q}_2$ ).

From the above two claims and the fact that  $\mathcal{M}$  avoids  $(\operatorname{Property} \mathbf{Q}_2)$ , we conclude that  $|\mathcal{M}_{\mu_{\sigma} \to \mu'_{\pi}}| = k$ . But  $\mathcal{M}$  satisfies Property  $\mathbf{P}_1$  and hence there exists an occurrence of  $r(\sigma)$  in  $r(\pi)$ . It follows that  $\sigma$  is a pattern of  $\pi$ .

**Proposition 8.** Let  $\pi \in S_{2n}$ . The two following statements are equivalent.

- 1.  $\pi \in \mathcal{S}_{2n}^{c}$ .
- 2. There exists a directed perfect matching  $\mathcal{M}$  that satisfies properties  $\mathbf{P}_2$  and  $\mathbf{Q}_1$ .

Proof.  $(\mathbf{1} \Rightarrow \mathbf{2})$  Let  $\pi \in S_{2n}^r$ . Then, there exists  $\sigma \in S_n$  such that  $\pi \in \sigma \sqcup$   $c(\sigma)$ , and hence there exist two disjoint set of indices  $I^1 = \{i_j^1 : j \in [n]\}$ ,  $i_1^1 < i_2^1 < \cdots < i_n^1$ , and  $I^2 = \{i_j^2 : j \in [n]\}$ ,  $i_1^2 < i_2^2 < \cdots < i_n^2$ , such that  $\pi(i_1^1)\pi(i_2^1)\cdots\pi(i_n^1)$  is order-isomorphic to  $\sigma$  and  $\pi(i_1^2)\pi(i_2^2)\cdots\pi(i_n^2)$  is orderisomorphic to  $c(\sigma)$ . Let  $\mathcal{M} = (V, E)$  be the directed graph defined by V = [2n] and  $E = \{(i_j^1, i_j^2) : j \in [n]\}$ . Clearly,  $\mathcal{M}$  is a directed perfect matching since  $I^1 \cap I^2 = \emptyset$  and  $I^1 \cup I^2 = [2n]$ . According to Lemma 5, the directed perfect matching  $\mathcal{M}$  does avoid the patterns  $\widehat{(i_j^1, i_j^2)}$  and  $(i_k^1, i_k^2)$  of  $\mathcal{M}$ , we have  $\pi(i_j^1) < \pi(i_k^1)$  if and only if  $\pi(i_j^2) > \pi(i_k^2)$  since  $\pi(i_1^1)\pi(i_2^1)\cdots\pi(i_n^1)$  is order-isomorphic to  $\sigma$  and  $\pi(i_1^2)\pi(i_2^2)\cdots\pi(i_n^2)$  is order-isomorphic to  $c(\sigma)$  (and hence  $\pi(i_j^2) = n - \pi(i_j^1)$ and  $\pi(i_k^2) = n - \pi(i_k^1)$ ). Therefore,  $\mathcal{M}$  satisfies properties  $\mathbf{P_2}$  and  $\mathbf{Q_1}$ .

 $(\mathbf{2} \Rightarrow \mathbf{1})$  Let  $\mathcal{M}$  be a directed perfect matching that satisfies properties  $\mathbf{P_2}$ and  $\mathbf{Q_1}$ . Let  $I^1 = \{i_j^1 : j \in [n]\}, i_1^1 < i_2^1 < \cdots < i_n^1$ , be the set the sources of the arcs of  $\mathcal{M}$  and let  $I^2 = \{i_j^2 : j \in [n]\}, i_1^2 < i_2^2 < \cdots < i_n^2$ , be the set of the sinks of the arcs of  $\mathcal{M}$ . We first show that, for every  $j \in [n], (i_j^1, i_j^2)$  is an arc of  $\mathcal{M}$ . The proof is by induction on  $j = 1, 2, \cdots, n$ .



Fig. 8. All possible configurations considered in Proof of Proposition 8  $(2 \Rightarrow 1)$ .

- Base. Suppose, aiming at a contradiction that  $(i_1^1, i_1^2)$  is not an arc of  $\mathcal{M}$ . Then, there exist  $1 and <math>1 < q \le n$  such that  $(i_1^1, i_p^2)$  and  $(i_q^1, i_1^2)$  are two arcs of  $\mathcal{M}$ . But  $i_1^1 < i_q^1$  and  $i_1^2 < i_p^2$ , and hence one of the configurations of Figure 8 (with j = 1) does occur in  $\mathcal{M}$ . This is a contradiction since  $\mathcal{M}$ satisfies Property  $\mathbf{Q}_1$ , and hence  $(i_1^1, i_1^2)$  is an arc of  $\mathcal{M}$ .
- Induction step. Assume that  $(i_k^1, i_{n-k+1}^2)$  is an arc of  $\mathcal{M}$  for  $1 \leq k < j$ , and suppose, aiming at a contradiction, that  $(i_j^1, i_j^2)$  is not an arc of  $\mathcal{M}$ . Then, there exist  $j and <math>j < q \leq n$  such that  $(i_j^1, i_p^2)$  and  $(i_q^1, i_{n-j+1}^2)$  are two arcs of  $\mathcal{M}$ . But  $i_j^1 < i_q^1$  and  $i_j^2 < i_p^2$ , and hence one of the configurations of Figure 8 does occur in  $\mathcal{M}$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{Q}_1$ , and hence  $(i_j^1, i_j^2)$  is an arc of  $\mathcal{M}$ .

Now, let  $\sigma_1$  be the pattern of  $\pi$  induced by the sources of  $\mathcal{M}$  and  $\sigma_2$  be the pattern of  $\pi$  induced by the sinks of  $\mathcal{M}$  (*i.e.*,  $\sigma_1 = \pi (i_1^1) \pi (i_2^1) \dots \pi (i_n^1)$  and  $\sigma_2 = \pi (j_1^2) \pi (j_2^2) \dots \pi (i_n^2)$ ). Clearly  $\sigma_1$  and  $\sigma_2$  are disjoint in  $\pi$  (since  $\mathcal{M}$  is a matching) and cover  $\pi$  (since  $\mathcal{M}$  is perfect). Moreover, the fact that  $\mathcal{M}$  satisfies  $\mathbf{P}_2$  implies immediately that reduce( $\sigma_1$ ) is order-isomorphic to (reduce  $\circ c$ )( $\sigma_2$ ), and hence this shows that  $\pi \in S_{2n}^c$ .

**Corollary 1.** c-UNSHUFFLE-PERMUTATION *is* NP-*complete*.

*Proof.* Combine Proposition 7 with Proposition 1.

**Proposition 9.** Let  $\pi \in S_{2n}$ . The two following statements are equivalent.

1.  $\pi \in \mathcal{S}_{2n}^{\mathrm{rc}}$ .

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- There exists a directed perfect matching M that satisfies properties P<sub>2</sub> and Q<sub>2</sub>.



Fig. 9. All possible configurations considered in Proof of Proposition 9  $(2 \Rightarrow 1)$ .

 $(\mathbf{2} \Rightarrow \mathbf{1})$  Let  $\mathcal{M}$  be a directed perfect matching that satisfies properties  $\mathbf{P_1}$ and  $\mathbf{Q_2}$ . Let  $I^1 = \{i_j^1 : j \in [n]\}, i_1^1 < i_2^1 < \cdots < i_n^1$ , be the set the sources of the arcs of  $\mathcal{M}$  and let  $I^2 = \{i_j^2 : j \in [n]\}, i_1^2 < i_2^2 < \cdots < i_n^2$ , be the set of the sinks of the arcs of  $\mathcal{M}$ . We first show that, for every  $j \in [n], (i_j^1, i_{n-j+1}^2)$  is an arc of  $\mathcal{M}$ . The proof is by induction on  $j = 1, 2, \cdots, n$ .

- Base. Suppose, aiming at a contradiction that  $(i_1^1, i_n^2)$  is not an arc of  $\mathcal{M}$ . Then, there exist  $1 \leq p < n$  and  $1 < q \leq n$  such that  $(i_1^1, i_p^2)$  and  $(i_q^1, i_n^2)$  are two arcs of  $\mathcal{M}$ . But  $i_1^1 < i_q^1$  and  $i_p^2 < i_n^2$ , and hence one of the configurations of Figure 9 (with j = 1 and k = n) does occur in  $\mathcal{M}$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{Q}_2$ , and hence  $(i_1^1, i_n^2)$  is an arc of  $\mathcal{M}$ .
- Induction step. Assume that  $(i_k^1, i_{n-k+1}^2)$  is an arc of  $\mathcal{M}$  for  $1 \leq k < j$ , and suppose, aiming at a contradiction, that  $(i_j^1, i_{n-j+1}^2)$  is not an arc of  $\mathcal{M}$ . Then, there exist  $1 \leq p < n-j+1$  and  $j < q \leq n$  such that  $(i_j^1, i_p^2)$  and  $(i_q^1, i_{n-j+1}^2)$  are two arcs of  $\mathcal{M}$ . But  $i_j^1 < i_q^1$  and  $i_p^2 < i_{n-j+1}^2$ , and hence one of the configurations of Figure 9 does occur in  $\mathcal{M}$ . This is a contradiction since  $\mathcal{M}$  satisfies Property  $\mathbf{Q}_2$ , and hence  $(i_j^1, i_{n-j+1}^2)$  is an arc of  $\mathcal{M}$ .

Now, let  $\sigma_1$  be the pattern of  $\pi$  induced by the sources of  $\mathcal{M}$  and  $\sigma_2$  be the pattern of  $\pi$  induced by the sinks of  $\mathcal{M}$  (*i.e.*,  $\sigma_1 = \pi (i_1^1) \pi (i_2^1) \dots \pi (i_n^1)$  and  $\sigma_2 = \pi (j_1^2) \pi (j_2^2) \dots \pi (i_n^2)$ ). Clearly  $\sigma_1$  and  $\sigma_2$  are disjoint in  $\pi$  (since  $\mathcal{M}$  is a matching) and cover  $\pi$  (since  $\mathcal{M}$  is perfect). Moreover, the fact that  $\mathcal{M}$  satisfies  $\mathbf{P}_2$  implies immediately that reduce( $\sigma_1$ ) is order-isomorphic to (reduce  $\circ r \circ c$ )( $\sigma_2$ ), and hence this shows that  $\pi \in S_{2n}^{rc}$ .

**Proposition 10.**  $(r \circ c)$ -UNSHUFFLE-PERMUTATION is NP-complete.

Proof (sketch). The proof is similar to Proposition 7, replacing  $\mu'_1$  by  $\nearrow_{N_1}$ [ $2n+k+N_1+2N_2$ ],  $\mu'_2$  by  $\nearrow_{N_2}$  [ $n+k+N_1+N_2$ ],  $\mu'_{\pi}$  by  $(\mathbf{r} \circ \mathbf{c})(\pi)[2n+k+2N_1+2N_2]$  and  $\mu'_{\sigma}$  by  $(\mathbf{r} \circ \mathbf{c})(\sigma)[n+k+N_1+2N_2]$ .

#### 5 Conclusion and perspectives

In this paper we have proposed to investigate the problem of recognizing those permutations  $\pi$  for which there exists a permutation  $\sigma$  such that  $\pi \in \sigma \sqcup f(\sigma)$  for some bijection  $f: S_n \to S_n$ . Quite a number of problems are left open by the results presented here. For instance, can we efficiently decide membership to  $S_{2n}^i$  (*i.e.*, the permutations  $\pi$  for which there exists a permutation  $\sigma$  such that  $\pi \in \sigma \sqcup i(\sigma)$ )? To conclude, we wish to mention two conjectures.

Conjecture 1 (Enumeration). For any  $n \in \mathbb{N}$ ,  $s_{2n}^{\mathrm{rc}} \leq s_{2n}^{\mathrm{c}} = s_{2n}^{\mathrm{r}} \leq s_{2n}^{\mathrm{irc}} = s_{2n}^{\mathrm{i}} \leq s_{2n}^{\mathrm{ci}} = s_{2n}^{\mathrm{ic}} \leq s_{2n}^{\mathrm{ci}} = s_{2n}^{\mathrm{ic}}$ .

Conjecture 2 (Ubiquitous generalized squares). For any  $n \in \mathbb{N}$ ,  $S_{2n}^{\epsilon} \cap S_{2n}^{r} \cap S_{2n}^{c} \cap S$ 

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