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# Enhancing Nab-paclitaxel delivery using microbubble-assisted ultrasound in a pancreatic cancer model

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**RUNNING TITLE** 

Ultrasound-assisted Nab-paclitaxel delivery.

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### ABSTRACT

The combination of microbubbles and ultrasound is an emerging method for non-invasive and targeted enhancement of anticancer drugs uptake. This method showed to increase local drug extravasation in tumor tissue while reducing the systemic adverse effects in various tumor models. The present study aims into evaluating the therapeutic efficacy of this approach both *in-vitro* and *in-vivo* for Nab-paclitaxel delivery in a pancreatic tumor model. Ultrasound and microbubbles of different types in combination with Nab-paclitaxel showed a significant decrease in cell viability of human pancreatic cancer cells in comparison with Nab-paclitaxel treatment alone in *in-vitro* scenario. *In-vivo*, the results demonstrated that ultrasound and microbubbles in combination with Nab-paclitaxel induced a significant decrease in tumor growth in subcutaneous pancreatic adenocarcinoma xenograft model in nude mice in comparison to tumors treated with Nab-paclitaxel alone. The post-mortem anatomopathological analyses of tumor tissues partially confirmed these results. In conclusion, this study demonstrates that microbubble-assisted ultrasound is a promising method to improve the therapeutic efficacy of Nab-paclitaxel in a pancreatic cancer model.

KEYWORDS: Pancreatic cancer - Nab-paclitaxel - microbubble - ultrasound - sonoporation.

#### INTRODUCTION

Pancreatic cancer is the 9<sup>th</sup> most commonly diagnosed cancer in the European Union<sup>1</sup> and is currently the 4<sup>th</sup> leading cause of cancer-related death in the EU<sup>2</sup>. Recently, Ferlay *et al.*, reported that more deaths from pancreatic cancer will occur than breast cancer (91,500 versus 91,000 annual deaths) in the EU<sup>3</sup>. By 2025, deaths from pancreatic cancer are predicted to be 25% higher than for breast cancer (111,500 and 90,000, respectively). Pancreatic cancer may become the 3<sup>rd</sup> leading cause of death from cancer in the EU after lung and colorectal cancers. For patients diagnosed with pancreatic cancer, the 5-year survival rate is poor (about 3%-15%). Drug resistance, high metastasis occurrence, poor prognosis and tumor relapse contributed to the difficulties in treating pancreatic cancer.

Nab-paclitaxel (injectable formulation of nanoparticle albumin-bound paclitaxel)<sup>4</sup> in combination with gemcitabine, or 5-fluorouracil/leucovorin in combination with irinotecan plus oxaliplatin (FOLFIRINOX) represents major advances for the treatment of metastatic pancreatic cancer over gemcitabine alone, providing each ones almost identical disease control and similar survival rates<sup>5, 6 7</sup>. Furthermore, FOLFIRINOX regimen may be particularly toxic and the adverse events may be more severe compared with those observed with therapy using Nab-paclitaxel plus gemcitabine<sup>8, 9</sup>. Thus, gemcitabine monotherapy or combined with Nab-paclitaxel is the standard care in patients with poor performance status or contraindication to FOLFIRINOX protocol. Efficacy of chemotherapy for pancreatic cancer is far from satisfactory and one of the reasons is the complex tumor microenvironment which decreases effective drug extravasation to target cancer cell. Systemic chemotherapies are also associated with significant off-target effects due to the unspecific nature of these therapies. To overcome these limitations, the development of targeted drug delivery methods is required to increase the intra-tumoral concentration of chemotherapy. Such methods might have a great potential as neo-

adjuvant and/or palliative pancreatic cancer treatments by decreasing tumor burden and hence either facilitate surgical resection or provide symptom relief.

Microbubble-assisted ultrasound (also known as sonoporation) is receiving increasing attention as a physical innovative delivery method of therapeutic compounds, including chemotherapeutic agents, nucleic acids and antibodies<sup>10-12</sup>. This approach involves the ultrasound activation of gas-filled microbubbles (MBs) in the vicinity of the biological barriers (*e.g.*, endothelial barriers, plasma membrane) to reversibly enhance their permeability<sup>13</sup>. The MB acoustic behavior in the vicinity of biological barriers depends on the acoustic parameters<sup>14</sup>. As previously reported, stable and inertial cavitations induced physical phenomena including microstreaming<sup>15, 16</sup>, shock waves<sup>17, 18</sup> and microjets<sup>19, 20</sup>, which might transiently enhance the extravasation and the intracellular uptake of therapeutic compounds through the generation of membrane pores and/or the stimulation of paracellular and transcellular pathways<sup>21, 22</sup>. Thus, microbubble-assisted ultrasound offers great promise in improving the therapeutic effectiveness of a chemotherapeutic agent by increasing their local deposition into the tumor tissues and reducing their systemic side effects on healthy tissues<sup>23</sup>.

Few previous preclinical<sup>24, 25</sup> as well as clinical studies<sup>10, 26</sup> reported that microbubbleassisted ultrasound increased the therapeutic effectiveness of anticancer drugs, such as gemcitabine, compared to the systemic chemotherapeutic alone. Given the recent clinical progress in pancreatic adenocarcinoma cytotoxic therapy, our present study thus explored the efficiency of microbubble-assisted ultrasound to deliver a rather large drug-loaded nanoparticle, Nab-paclitaxel (130 nm in diameter). The aim of the present study is therefore to investigate whether the combination of ultrasound and microbubble is able to deliver of Nabpaclitaxel. Hence, we investigated the *in-vitro* and *in-vivo* therapeutic potential of acousticallymediated Nab-paclitaxel delivery in human pancreatic cancer model.

#### EXPERIMENTAL SECTION

#### Chemicals and microbubbles

Nab-paclitaxel (Celgene, Courbevoie, France) was a generous gift from Dr. J.F. Tournamille (Regional Center of Cancerology, Henry Kaplan, CHRU de Tours, Tours, France). The clinical dose of Nab-paclitaxel is 40 mg/kg<sup>27</sup>. All microbubbles have been kindly offered by Bracco Suisse S.A. (Geneva, Switzerland). BG8214 contrast agents were used for both contrast-enhanced ultrasound imaging and acoustically-mediated drug delivery<sup>28, 29.</sup> The microbubbles consist of a gaseous core of nitrogen and perfluorobutane mixture surrounded by PEGylated phospholipid shell. BG8610 microbubbles show similar characteristics as Bracco's formulation described in Schneider et al<sup>30</sup>.

#### Cell Culture

Human pancreatic adenocarcinoma cells BxPC-3 were kindly provided by Prof. F.X. Caroli-Bosc (Department of Hepato-gastro-enterology and digestive oncology, CHRU d'Angers, Angers, France). This cell line was derived from a pancreatic ductual adenocarcinoma. BxPC-3 cells were grown as a monolayer in Roswell Park Memorial Institute Medium 1640 (RPMI 1640; Sigma-Aldrich<sup>™</sup>, St. Louis, MO) supplemented with 10% fetal calf serum (FCS; Eurobio, Courtaboeuf, France) and with 1% penicillin and streptomycin mixture (ThermoFisher Scientific, Waltham, MA). The cells were routinely subcultured every 3 days at 37°C in a humidified atmosphere in a 5% CO<sub>2</sub> incubator.

#### Ultrasound setup

Ultrasound waves were generated using a single-element ultrasound transducer (Sofranel, Sartrouville, France) with a center frequency of 1 MHz. The transducer had a diameter of 15 mm and was naturally focused at 25 mm. It was driven by an electrical signal generated from an arbitrary waveform generator (Agilent, Santa Clara, CA), then amplified by a power amplifier (ADECE, Artannes sur Indre, France). The peak negative pressure was measured in

a separate setup using a calibrated hydrophone (HGL200, ONDA, Sunnyvale,CA) at the natural focal distance of the transducer.

#### Membrane permeabilization to small molecules

Cell membrane permeabilization to small molecules was assessed with propidium iodide (PI), a non-permeant molecule (final concentration 100 µM; 669.39 Da; hydrodynamic radius ~0.6 nm)<sup>31, 32</sup>. BxPC-3 cells were harvested using 0.25% trypsin-EDTA (ThermoFisher Scientific, Waltham, MA) and resuspended in OptiMEM<sup>®</sup> High W/GlutaMAX-1 (ThermoFisher Scientific, Waltham, MA) supplemented with 1% FCS. The cell viability was assessed using Trypan Blue exclusion assay and was around 98%. During the procedure, the cell suspension (5×10<sup>5</sup> cells in 1.5 mL) was maintained in water bath at 37°C (Grant Instruments Ltd., Cambridge, UK). Then, it was placed in a polystyrene cuvette (45 mm height, 10 mm inner diameter, 12 mm outer diameter; ThermoFisher Scientific, Waltham, MA). PI (0.1 mL) and gas microbubbles (microbubble-to-cell ratio of 5) was added just before ultrasound application. The center of the plastic cuvette was positioned at the focal distance of the transducer in a deionized water tank at 37°C. The cell suspension was kept uniform through a gentle magnetic stirring during ultrasound application. Subsequently, the cell suspension was exposed to 1 MHz sinusoidal ultrasound waves with a pulse repetition period of 100 µs, 40 cycles per pulse (*i.e.*, 40% duty cycle, where the signal is on 40 µs and off on 60 µs) for a total exposure time of 30 seconds (*i.e.*, optimal acoustic parameters for *in-vitro* gene and drug delivery)<sup>33, 34</sup>. The applied acoustic pressure (*i.e.*, peak negative pressure) was set to 400 kPa.

Fifteen minutes later, the permeabilization level (*i.e.*, percentage of PI-positive cells) was assessed by flow cytometry<sup>33</sup>. Fluorescence histograms were recorded using a flow cytometer (Beckman Coulter Inc., Fullerton, CA) and analyzed using the Kaluza software supplied by the manufacturer. A minimum of 10 000 events was analyzed to generate each histogram. The gate was arbitrary set for the detection of red fluorescence intensity.

#### Membrane permeabilization to fluorescent nanoparticles

Using the same *in-vitro* set-up and procedure as previously described for PI delivery, membrane permeabilization to 150 nm DiagnNano<sup>TM</sup> green fluorescent silica nanoparticles (final concentration: 50 mg/mL; Creative Diagnostics, Shirley NY) was assessed. These nanoparticles were used as similar size particles to Nab-paclitaxel. Fifteen minutes after ultrasound application, the cell suspension was twice centrifuged (*i.e.*, 3 min, 300 g) and resuspended in 300  $\mu$ L Dulbecco's phosphate-buffered saline solution (ThermoFisher Scientific, Waltham, MA) supplemented with 1% FCS. As described above, permeabilization level (*i.e.*, percentage of nanoparticle-positive cells) was assessed by flow cytometry.

#### In-vitro Nab-paclitaxel delivery

Using the procedure previously described for *in-vitro* delivery of fluorescent nanoparticles, Nab-paclitaxel (Celgene SARL, Paris, France) delivery was assessed using the same ultrasound settings. A final concentration of 2.5 ng/mL Nab-paclitaxel was chosen based on *in-vitro* preliminary unpublished data. After ultrasound application, 500  $\mu$ L of cells were cultured in a 24 well cell culture plates (Corning Life Science BV, Amsterdam, the Netherlands) and incubated at 37°C in a humidified atmosphere with a 5% CO<sub>2</sub> incubator. Four hours later, 500  $\mu$ L of OptiMEM High W/GlutaMAX-1 supplemented with 19% FCS and with 2% penicillin and streptomycin mixture was added to each well and incubated at 37°C in a humidified atmosphere with a 5% CO<sub>2</sub> incubator for 72 hrs.

#### *Cell viability*

The cell viability was evaluated using a methylthiazolydiphenyltetrazolium bromide (MTT) colorimetric assay. Forty-eight and seventy-two hours after treatment, the cell medium was replaced with a 0.5 mg/mL MTT solution (ThermoFisher Scientific, Waltham, MA) and the cells were incubated at 37°C in a humidified atmosphere with a 5% CO<sub>2</sub> incubator for 1 hour. Afterward, the MTT solution was substituted by pure dimethyl sulfoxide solution (Sigma-

Aldrich, St. Louis, MO) and the cells were incubated for 10 min under gentle stirring (*i.e.*, 20 rpm) at room temperature. The optical density (OD) was then measured at 570 nm (OD<sub>570</sub>) to determine the amount of formed formazan crystals and at 690 nm (OD<sub>690</sub>) as a reference using a spectrophotometer (Mithras LB940, Berthold technologies, Badwildbad, Germany). The cell viability was calculated as:

$$Cell \ viability = \frac{(OD_{570}x - OD_{690}x)}{(OD_{570}control - OD_{690}control)} \times \ 100$$

#### In-vivo Nab-paclitaxel delivery

All procedures were performed according to the ethical guidelines and were approved by the Animal Care and Regional Committee for Ethics in Animal Experiments, Val-de-Loire (No. 2017020117591464). Male Balb/c nude mice were purchased from Envigo (Gannat, France). Mice were maintained at constant room temperature with 12 h light cycle in a ventilated isolation cages. At the beginning of the experiments, mice were 6 weeks old, weighing 20-30 g. Food and water were present *ad libitum*. Mice were weighted twice a week during the whole therapeutic planning.

All experiments were performed under a sterile biosafety cabinet. The mice were anesthetized by 3% isoflurane (Isoflo, Coveto, Limoges, France) and oxygen via a facial oxygen mask in place throughout the procedure. This anesthesia was limited to 30 min, and a thermostatically controlled pad (FUJIFILM-Visualsonics, Toronto, Canada) was used to maintain body temperature at about 37°C. Under gaseous anesthesia, BxPC-3 cells (5 x  $10^6$  cells in 100 µL PBS) were subcutaneously injected in each flank of the mouse.

As previously described<sup>29</sup>, the tumor perfusion was assessed using contrast-enhanced ultrasound imaging. Then, a total of 40 mice were divided into 7 experimental groups (8-9 mice/group) (**Table 1**). Under gaseous anesthesia, tumor treatment was initiated when the whole tumor was perfused and reached a volume of 50 mm<sup>3</sup> (Day 0). It consisted of a direct i.v. administration of Nab-paclitaxel (or saline) via penile vein (5 or 20 mg/kg b.w.) followed

immediately by a direct i.v. bolus injection of gas microbubbles (70  $\mu$ L for each type of microbubble). The tumor was covered with ultrasound transmission gel and exposed to 1 MHz sinusoidal ultrasound waves with a pulse repetition period of 100  $\mu$ s, 40 cycles per pulse (*i.e.*, 40 % duty cycle), at a peak-negative pressure of 400 kPa for a period of 3 mins. The treatment was performed twice a week until protocol endpoints are reached (*i.e.*, 5.5 weeks).

	Group 1	Group 2	Group 3	Group 4	Group 5	Group 6	Group 7
Nab- paclitaxel	-	-	5 mg/kg	5 mg/kg	20 mg/kg	20 mg/kg	20 mg/kg
BG8214	-	+	-	+	-	+	-
BG8610	-	-	-	-	-	-	+
US	-	+	-	+	-	+	+

Table 1. Design of experimental groups

#### Anatomical ultrasound imaging

Tumor dimensions were determined using ultrasound imaging (Vevo 2100 System, Visualsonics-Fujifilm Inc., Toronto, Canada) at 21 MHz (MS-250) and 40 MHz (MS-550). Ultrasound B-scans were used to image the subcutaneous tumors and to measure the tumor dimensions over the therapeutic procedure. The tumor volume was assessed using the formula<sup>29</sup>:

$$Tumor \ volume \ = \ \frac{length \ \times \ width \ \times \ thickness}{2}$$

#### Quality of mouse life

To evaluate the quality of life, variations in mouse behavior (*i.e.*, respiratory deficiency, loss of locomotion, loss of appetite, *etc*) and in body weight were analyzed twice a week during the study.

#### Immunohistochemistry

All tumors were removed and fixed in 10% formalin for 24 hrs. Samples were then embedded in paraffin and cut in 3  $\mu$ m tissue sections. As previously described<sup>35</sup>, one section was stained with haematoxylin-eosin-safran (HES) in order to measure the size of the neoplastic nodule with a microscope and a micrometer and to determine the percentage of necrosis in the whole section (5-9 tumors/group) as performed in today practice when cancer necrosis has a prognostic value.

For immunohistochemical staining, slices were deparaffinized, rehydrated, and heated in citrate buffer pH 6 for antigenic retrieval. After blocking for endogenous peroxidase with 3% hydrogen peroxide, the primary antibody directed against the proliferation marker Ki67 (DakoCytomation, Glostrup, Denmark) was incubated 30 min at dilution 1:50. Immunohistochemistry was performed using the streptavidin-biotin-peroxidase method with diaminobenzidine as the chromogen (Kit LSAB, Dakocytomation). Slices were finally counterstained with haematoxylin. Ki67 positive cells were expressed as a percentage of total cancer cells. Slices were analyzed by an expert pathologist (GF).

#### Statistical analysis

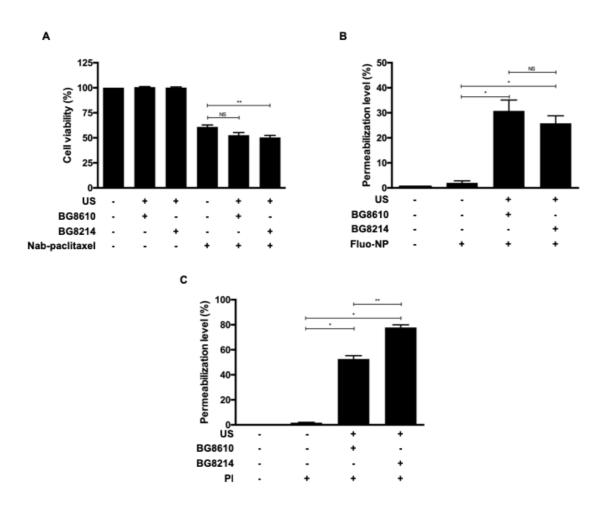
Descriptive analysis was performed using GraphPad Prism v.6.0a (GraphPad Soltware Inc, La Jolla, CA, USA). Statistical analysis was performed using the non-parametric Mann-Whitney U test (significance was defined as p < 0.05).

#### RESULTS

#### In-vitro Nab-paclitaxel delivery

The cell viability was assessed by the MTT assay 72 hrs after Nab-paclitaxel delivery with or without microbubble-assisted ultrasound, and the results are shown in **Figure 1A**. The exposure of BxPC-3 cells to ultrasound at 400 kPa in presence of BG8610 and BG8214 did not modify the cell viability in comparison to the control condition. As shown in **Figure 1A**, when the pancreatic adenocarcinoma cells were only treated with 2.5 ng/mL of Nab-paclitaxel, the cell

viability significantly decreased compared to the control condition without Nab-paclitaxel (61  $\pm$  2% vs 100  $\pm$  0.1%; p < 0.001). BxPC-3 cells treated with Nab-paclitaxel at 2.5 ng/mL and exposed to ultrasound in the presence of BG8610 microbubbles showed a slight but non-significant decrease in their viability in comparison to the treatment with Nab-paclitaxel alone. The combination of ultrasound and BG8214 microbubbles with 2.5 ng/mL of Nab-paclitaxel induced a significant decrease in the cell viability compared to the Nab-paclitaxel treatment alone (50  $\pm$  2% vs 61  $\pm$  2%; p < 0.01). All together, these results clearly show that BG8214 microbubble in combination with ultrasound and Nab-paclitaxel induced a higher cell mortality compared to Nab-paclitaxel treatment alone.



**Figure 1.** *In-vitro* **Nab-paclitaxel delivery using microbubble-assisted ultrasound.** BxPC3 cells were incubated with Nab-paclitaxel, fluorescent nanoparticles or propidium iodide on its own or combined with ultrasound at 400 kPa for 30 s and BG8214 or BG8610 microbubbles.

Seventy-two hours after treatment, cell viability was measured by a MTT assay (A). The intracellular uptake of fluorescent nanoparticles (B) and propidium iodide (C) was monitored by flow cytometry 10 min after sonoporation. Data expressed as mean  $\pm$  SEM were calculated from five independent experiments. Significance was defined as p < 0.05 (\*p < 0.05; \*\*p < 0.01; Mann-Whitney test).

#### Effects of BG8610 and BG8214 on cell permeabilization

The permeabilization of the cell membrane is a key step for *in-vitro* drug delivery using microbubble-assisted ultrasound and strongly depends on the microbubble properties (*e.g.*, type, dose, *etc.*). To gain insight into the effect of BG8610 and BG8214 microbubbles in combination with ultrasound on the membrane permeability of BxPC-3 cells, the acoustically-mediated membrane permeabilization to fluorescent nanoparticles was assessed using flow cytometry. **Figure 1B** indicates that the permeabilization level of BxPC-3 cells to the fluorescent nanoparticles (Fluo-NPs) was not statistically different from the control condition. This result suggests that the simple incubation of Fluo-NPs with BxCP-3 cells did not lead to their intracellular uptake. However, the exposure of the cells to ultrasound in the presence of microbubbles and Fluo-NPs induced a significant increase in the permeabilization level to Fluo-NPs compared to the Fluo-NPs incubation alone (31 ± 2% with BG8610 and 26 ± 2% with BG8214 *vs* 2 ± 0.5%; *p* < 0.05). No significant difference was observed in the efficacy of BG8610 and BG8214 microbubbles to permeabilize the BxPC-3 cells to Fluo-NPs.

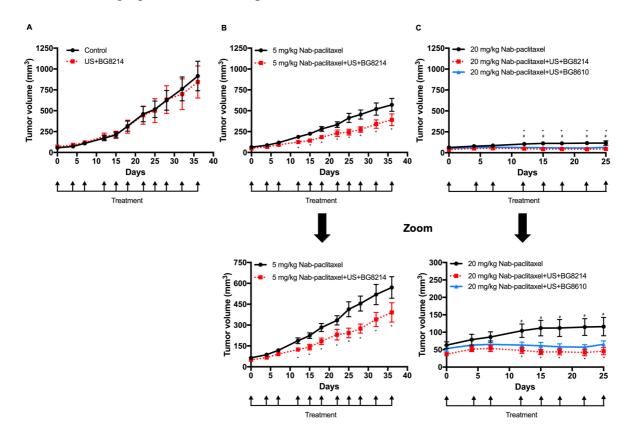
Considering that the acoustically-mediated membrane permeabilization is commonly monitored using small and non-permeant molecule<sup>36, 37</sup> such as propidium iodide, effects of BG8610 and BG8214 microbubbles on membrane permeability of BxPC-3 cells were assessed by intracellular uptake of propidium iodide using flow cytometry. As depicted in **Figure 1C**, the permeabilization level to propidium iodide is  $2 \pm 0.01\%$  in absence of ultrasound, indicating likely that these cells internalizing propidium iodide are presumably dead<sup>36</sup>. In agreement with

previous investigations<sup>38, 39</sup>, the permeabilization level to propidium iodide significantly increased using ultrasound and microbubbles (p < 0.05). As illustrated in **Figure 1C**, BG8214 microbubbles were more efficient than BG8610 microbubbles to induce membrane permeabilization to small and non-permeant molecule ( $78 \pm 1\%$  vs  $53 \pm 1\%$ ; p < 0.01) and both of them did not affect the cell viability (**Figure 1A**).

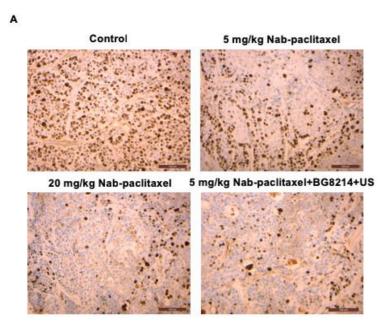
#### In-vivo Nab-paclitaxel delivery

As described in **Table 1**, subcutaneous pancreatic cancer tumors were treated with either BG8214 microbubble-assisted ultrasound on its own (Group 2), or i.v. administration of one Nab-paclitaxel dose at 5 (Group 3) or 20 mg/kg (Group 5) on its own, or by i.v. injection of one Nab-paclitaxel dose at 5 (Group 4) or 20 mg/kg (Group 6) in combination with microbubble-assisted ultrasound using BG8214 microbubbles, or by i.v. administration of one Nab-paclitaxel dose at 20 mg/kg in combination with microbubble-assisted ultrasound using BG8214 microbubble-assisted ultrasound using BG88610 microbubbles (Group 7). In addition, a group of subcutaneous pancreatic cancer tumors were not treated and considered as control group (Group 1). The therapeutic effectiveness of Nab-paclitaxel delivery with or without microbubbles and ultrasound was monitored using anatomical ultrasound imaging every 3 day before the treatment<sup>29</sup>.

As shown in **Figure 2A**, BG8214 microbubble in combination with ultrasound application did not affect the tumor growth compared to the control group (Group 2 *vs* Group 1; p > 0.05). In addition, the repeated i.v. administration of one Nab-paclitaxel dose at 5 mg/kg on its own led to a significant decrease in the tumor volume compared to control group (**Figures 2A and 2B**; Group 3 *vs* Group 1; p < 0.05). Furthermore, the combination of Nab-paclitaxel with BG8214 microbubbles and ultrasound induced a significant and additional decrease in tumor volume after three treatments in comparison to Nab-paclitaxel treatment alone (**Figure 2B**; Group 4 *vs* Group 3; p < 0.05). As shown in **Figure 2C**, the repeated i.v. injection of one Nabpaclitaxel dose at 20 mg/kg on its own resulted in a significant decrease in tumor volume compared to the repeated delivery of a Nab-paclitaxel dose at 5 mg/kg on its own or in combination with BG8214 microbubble-assisted ultrasound (Group 5 *vs* Groups 3 and 4; p < 0.01). The repeated delivery of one Nab-paclitaxel dose at 20 mg/kg in combination with BG8214 microbubble-assisted ultrasound led to a slight but statistically significant decrease in tumor volume compared to the 20 mg/kg Nab-paclitaxel treatment alone (**Figure 2C**; Group 6 *vs* Group 5; p < 0.05). However, the combination of this chemotherapy with BG8610 microbubble-assisted ultrasound resulted in a significant reduction in tumor volume until the 8<sup>th</sup> treatment in comparison to the 20 mg/kg Nab-paclitaxel treatment alone (**Figure 2C**; Group 7 *vs* Group 5; p < 0.05). No significant difference in the therapeutic effectiveness was observed between both types of microbubbles for the repeated delivery of one Nab-paclitaxel dose at 20 mg/kg (**Figure 2C**; Group 6 *vs* Group 7). These results suggest that microbubble-assisted ultrasound potentiate the therapeutic effectiveness of one Nab-paclitaxel dose at 5 mg/kg as well as at 20 mg/kg in subcutaneous pancreatic cancer mouse model.



**Figure 2.** *In-vivo* **Nab-paclitaxel delivery using microbubble-assisted ultrasound.** Subcutaneous BxPC3 pancreatic cancer tumors were treated either by microbubble-assisted ultrasound on its own (Group 2) (A), or by i.v. administration of one Nab-paclitaxel dose at 5 mg/kg of alone (Group 3) or in combination with BG8214 microbubble-assisted ultrasound (Group 4) (B), by i.v. injection of one Nab-paclitaxel dose at 20 mg/kg on its own (Group 5) or in combination with microbubble-assisted ultrasound using BG8214 (Group 6) or BG8610 (Group 7) microbubbles (C). The therapeutic effectiveness of Nab-paclitaxel delivery by microbubble-assisted ultrasound was monitored using anatomical ultrasound imaging every 3 day before the treatment. Data expressed as mean  $\pm$  SEM were calculated from 8-10 tumors. Significance was defined as p < 0.05 (\*p < 0.05; #p < 0.05; Mann-Whitney test).



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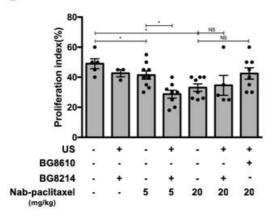


Figure 3. Proliferation staining using Ki-67 immunohistochemistry of BxPC3 tumor sections. (A) Representative images of BxPC3 tumors where significant difference was observed between these experimental groups. (B) Quantitative analysis of tumor proliferation. Data expressed as mean  $\pm$  SEM were calculated from 5-9 tumors. Significance was defined as p < 0.05 (\*p < 0.05; Mann-Whitney test).

To confirm the therapeutic effectiveness of Nab-paclitaxel delivery using microbubbleassisted ultrasound, histopathological analyses were carried out at the end of the therapeutic protocol. The pathologist considered the quality of the tissue sections, accountability, placement and slide labeling adequate for our study. Main difference in histological characteristics between the seven experimental groups laid in the proliferation and necrosis indices. Indeed, the repeated delivery of one Nab-paclitaxel dose at 5 (Group 3) and 20 mg/kg (Group 5) on its own induced a significant 10% and 20% decrease in proliferation index compared to the control group, respectively (**Figure 3**; p < 0.05).

However, as shown in the **Figure 4**, the control tumors showed high and significant necrosis index in comparison to tumors treated with a Nab-paclitaxel dose at 5 (Group 3) or 20 mg/kg (Group 5) ( $54 \pm 6\% vs 11 \pm 4\%$  or 0%, respectively; p < 0.05). In addition, the repeated delivery of one Nab-paclitaxel dose at 5 mg/kg in combination with BG8214 microbubble-assisted ultrasound led to significant decrease in proliferation index in comparison to the 5 mg/kg Nabpaclitaxel treatment alone (**Figure 3**; Group 3 *vs* Group 4; p < 0.05). This result suggests a dose-effect of the Nab-paclitaxel on the tumor proliferation. However, no significant difference in necrosis index was observed between the delivery of one Nab-paclitaxel dose at 5 mg/kg on its own and in combination with BG8214 microbubble-assisted ultrasound (**Figure 4**; Group 3 *vs* Group 4; p > 0.05). In addition, the proliferation and necrosis index did not significantly change between the delivery of one Nab-paclitaxel dose at 20 mg/kg on its own and in combination with BG8214 or BG8610 microbubble-assisted ultrasound (Figures 3 and 4; Groups 6 and 7 vs Group 5; p < 0.05).

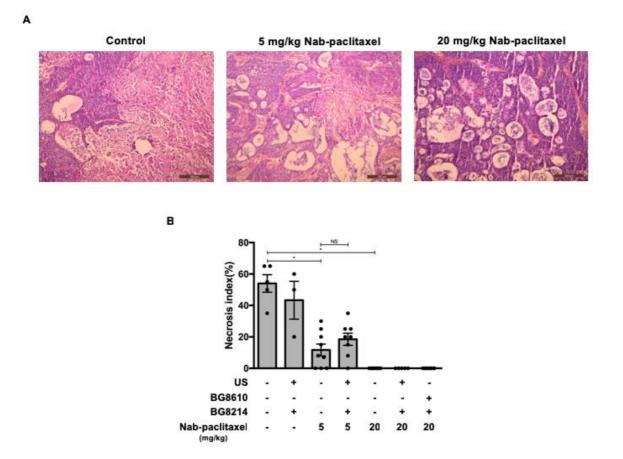


Figure 4. Assessment of tumor necrosis using H&E staining of BxPC3 tumor sections. (A) Representative images of BxPC3 tumors where significant difference was observed between these experimental groups. (B) Quantitative analysis of tumor necrosis. Data expressed as mean  $\pm$  SEM were calculated from 5-9 tumors. Significance was defined as p < 0.05 (\*p < 0.05; Mann-Whitney test).

#### Mouse quality of life

The assessment of mouse behavior and body weight showed that no adverse effect was observed when the subcutaneous tumors were treated with BG8214 microbubble-assisted ultrasound on its own in comparison to control group (**Figure 5A**; Group 2 *vs* Group 1; p > 0.05). In addition, the repeated i.v. administration of one Nab-paclitaxel dose at 5 mg/kg in combination with or without BG8214 microbubble-assisted ultrasound did not change the

mouse behavior and body weight compared to control group (**Figure 5B**; Group 4 *vs* Group 3; p > 0.05).

However, the repeated i.v. injection of one Nab-paclitaxel dose at 20 mg/kg induced a significant decrease in body weight (**Figure 5C**; Group 5 vs Group 1; p < 0.05) and overall deterioration of mouse health status, thus suggesting such treatment induced systemic toxicity. Interestingly, the repeated delivery of one Nab-paclitaxel dose at 20 mg/kg using microbubble-assisted ultrasound (BG8214 or BG8610) slowed down the body weight loss related to systemic toxicity of such drug dose (**Figure 5;** Groups 6 and 7 vs Group 5).

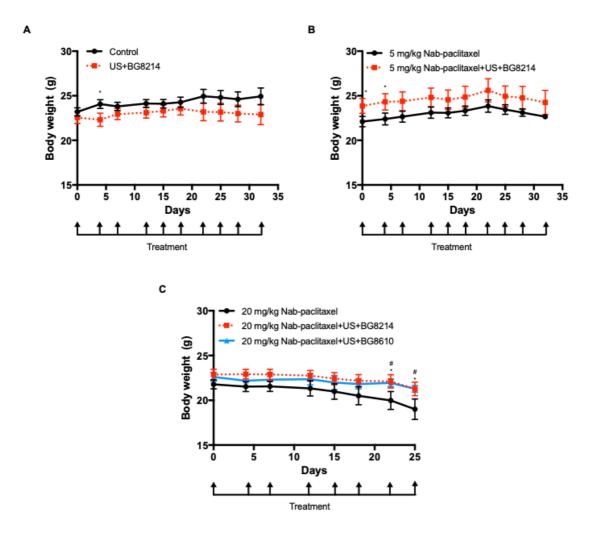


Figure 5. Effect(s) of *in-vivo* acoustically-mediated Nab-paclitaxel delivery on mouse body weight. Data expressed as mean  $\pm$  SEM were calculated from 8-10 mice. Significance was defined as p < 0.05 (\*p < 0.05; #p < 0.05; Mann-Whitney test).

#### DISCUSSION

The present study investigated the therapeutic benefit of *in-vitro* and *in-vivo* Nab-paclitaxel delivery using microbubble-assisted ultrasound. First, in-vitro results demonstrated that the acoustically-mediated Nab-paclitaxel delivery led to a significant decrease in cell viability of human pancreatic cancer cells in comparison with Nab-paclitaxel treatment on its own (Figure **2A**). In agreement with previous studies, these results demonstrated indirectly that the increase in cell death could be ascribed to an enhanced intracellular uptake of Nab-paclitaxel or paclitaxel released from Nab-paclitaxel through acoustically-induced hydrophilic pores<sup>40, 41</sup>. In comparison to other low molecular weight chemotherapeutic drugs, Nab-paclitaxel is a nanoparticle-based drug with a size of approximately 130 nm. The present study hence demonstrated that ultrasound in combination with microbubbles is capable of increasing the intracellular uptake of much larger molecules (e.g., nanoparticles). Indeed, these investigations reported that the microbubble-assisted ultrasound transiently increases the native permeability of plasma membrane of cancer cells through the generation of transient membrane pores with a size ranging from 10 to 200 nm<sup>21, 42</sup>. The intracellular uptake of nanoparticles (e.g., Nabpaclitaxel) and low molecular weight drugs (e.g., paclitaxel) is governed by passive diffusion through membrane nanopores<sup>43, 44</sup>. Thus, these compounds accessed directly (*i.e.*, free access) into the cytoplasm of cancer cells.

Subsequently, we described that the therapeutic effectiveness of *in-vitro* acousticallymediated Nab-paclitaxel delivery was dependent on the type of microbubbles. Indeed, BG8214 microbubbles are more efficient than BG8610 microbubbles for Nab-paclitaxel-induced cell death, with the ultrasound settings applied in this study (**Figure 1A**). In addition, the BG8214 microbubbles are as effective as BG8610 microbubbles for increasing the intracellular uptake of fluorescent nanoparticles (as Nab-paclitaxel model drug) (**Figure 1B**) while the former ones are the most efficient microbubbles for enhancing the intracellular incorporation of propidium iodide (as low molecular weight model drug) (Figure 1C). These results are in agreement with previous studies, which reported that the effectiveness of the acoustically-mediated membrane permeabilization and intracellular drug delivery were dependent on the microbubble related parameters (e.g., size, shell composition, gas and concentration)<sup>45-47</sup>. Indeed, these parameters control the acoustic properties of the microbubbles and influence the microbubble response to the ultrasound excitation<sup>14</sup>. The exposure of different microbubble types to the same ultrasound conditions should result in different acoustic phenomena (e.g., acoustic microstreaming, shock waves and microjets) that could differently permeabilize the plasma membrane of nearby cancer cells and therefore, increase the intracellular incorporation of anti-cancer drugs. Our results suggest that under ultrasound exposure, BG8610 and BG8214 microbubbles should generate two classes of membrane pores. Indeed, Figure 1B shows that both microbubbles induced the formation of large membrane pores (*i.e.*, > 100 nm), allowing the intracellular uptake of nanoparticles as well as small molecules (Figure 1C). In addition, Figure 1C indicates that both microbubbles led to the creation of small membrane pores (*i.e.*, < 100 nm) through which only small molecules (e.g., propidium iodide) enter into the cells. The statistical difference observed in the intracellular incorporation of propidium iodide between both microbubbles (Figure 1C) suggest that BG8214 microbubbles might generate higher number of small membrane pores than BG8610 microbubbles. However, one cannot exclude that both microbubbles created similar number of small membrane pores with different lifetimes. Indeed, BG8214 microbubbles might create longer-lived membrane pore than BG8610 microbubbles. Further additional investigations will have to confirm these hypotheses.

Subsequently, our preclinical results demonstrated that *in-vivo* Nab-paclitaxel delivery by microbubble-assisted ultrasound resulted in a significant decrease in tumor growth in comparison to Nab-paclitaxel treatment on its own (**Figures 2 and 3**). Using BG8214 microbubbles, ultrasound potentiates the therapeutic effectiveness of low (*i.e.*, 5 mg/kg; Group

4) as well as high (i.e., 20 mg/kg; Groups 6 and 7) dose of Nab-paclitaxel in subcutaneous pancreatic cancer model in nude mouse (Figures 2B and 2C). However, no significant difference in the therapeutic effectiveness was observed between BG8214 and BG8610 microbubbles for the delivery of high dose of Nab-paclitaxel (Group 6 vs Group 7). Under our experimental conditions, no adverse side effect due to ultrasound protocol is observed. Nevertheless, systemic administration of 20 mg/kg Nab-paclitaxel (Group 5) is associated with an overall deterioration of mouse health status. These results were partially confirmed by histological analysis (Figures 3 and 4). Indeed, these last ones did not show any significant difference between tumors treated with i.v. injection of one Nab-paclitaxel dose at 20 mg/kg on its own (Group 5) or in combination with microbubble-assisted ultrasound (independently of microbubble types; Groups 6 and 7) in proliferation and necrosis indices in comparison with the tumor volume assessed by ultrasound imaging. We hypothesize that HES and Ki67 stainings are not enough sensitive to detect slight but significant difference in tumor response to high dose of Nab-paclitaxel in comparison with the ultrasound imaging. Complementary molecular assays should be considered to analyze tumor response at molecular level in our future investigations. In clinical practice, microbubble-assisted ultrasound is compatible with current administration of Nab-paclitaxel-based chemotherapy protocols<sup>4, 48</sup>. This method should be able to increase bioavailability of paclitaxel in the tumor interstitial compartment and to improve its therapeutic effectiveness while minimizing its systemic toxicity (Figure 5)<sup>49, 50</sup>. Indeed, the exposure of microbubbles to ultrasound in the tumor microvessels may transiently increase the permeability of tumor endothelium and consequently enhance the extravasation and the intratumoral bioavailability of Nab-paclitaxel or paclitaxel released from Nabpaclitaxel<sup>51, 52</sup>. In addition, the acoustically-mediated permeabilization may increase the intracellular uptake of paclitaxel in the endothelial cells. Hence, this method might induce the destruction of tumor vasculature and decrease the supply of nutrients and oxygen in the tumor tissues<sup>29, 53</sup>. Further additional investigations will have to investigate the *in-vivo* mechanisms involved in the delivery of Nab-paclitaxel in our mouse model. The extravasation of fluorescent Nab-paclitaxel should be assessed using intravital fluorescence imaging<sup>54</sup> during the ultrasound protocol and their biodistribution by using histological techniques.

Our preclinical therapeutic protocol is prone to improvements in terms of ultrasound parameters (*e.g.*, acoustic pressure, duty cycle, pulse repetitive frequency, exposure time) and gas bubbles (*e.g.*, dose, nature, type of microbubbles or nanobubbles). In addition, further additional investigations including the drug biodistribution and pharmacokinetics studies will have to confirm that the increase in therapeutic effectiveness of anti-cancer drugs is correlated with enhanced intratumoral accumulation of drugs<sup>23</sup>. To demonstrate the safety of this promising method, drug biodistribution towards healthy tissues should be examined and physiological functions of healthy organs should be evaluated using imaging modalities, histological and blood biochemistry analyses. These aspects need to be integrated in future studies in orthotopic pancreatic cancer model in mice.

The present study showed that Nab-paclitaxel delivery using microbubble-assisted ultrasound enhanced the *in-vitro* and *in-vivo* therapeutic effectiveness of paclitaxel in comparison with Nab-paclitaxel treatment on its own. Nevertheless, further developments are still required to potentiate this therapeutic protocol. Microbubble-assisted ultrasound might be a great method to improve the efficiency of current chemotherapy while minimizing the systemic side effects.

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