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OPEN Seasonality of riverine macroplastic **transport**

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Marine plastic pollution is an increasing environmental threat. Although it is assumed that most marine plastics are transported from land to the ocean through rivers, only limited data on riverine plastic transport exists. Recently, new methods have been introduced to characterize riverine plastics consistently through time and space. For example, combining visual counting observations and plastic debris sampling can provide order of magnitude estimations of plastic transport through a river. In this paper, we present fndings from multi-season measurement campaign in the Saigon River, Vietnam. For the frst time, we demonstrate that macroplastic transport exhibits strong temporal variation. The monthly averaged plastic transport changes up to a factor fve within the measurement period. As it is unclear what drives the variation in plastic transport, relations between rainfall, river discharge, presence of organic material and plastic transport have been explored. Furthermore, we present new fndings on the cross-sectional and vertical distribution of riverine plastic transport. With this paper we present new insights in the origin and fate of riverine plastic transport, emphasizing the severity of the emerging thread of plastic pollution on riverine ecosystems.

Land-based plastics are considered one of the main sources of marine plastics pollution¹. Globally, rivers are predicted to convey between 0.4 and 2.75 million tonnes of plastic from land to the oceans annually^{2,3}. However, modeled riverine plastic emission estimations remain uncertain as feld data on plastic emission into the ocean is scarce.

Targeted measurements of riverine macroplastic (>5 cm) transport only started recently. Previous studies used various types of measurement methods, such as nets⁴⁻⁷, manta trawls⁸, surface booms⁹⁻¹¹, or visual counting of floating plastic debris^{12,13} to estimate macroplastic transport in rivers. However, data has been collected inconsistently and reported in diferent formats, making it difcult to compare plastic transport over time or across rivers. Especially for the planning of prevention and mitigation strategies, additional information on the spatiotemporal variation of riverine plastic transport is crucial. Recent work presented a new methodology for consistent measurements of riverine macroplastics14. A combination of visual counting observations and simple sampling of debris is used to characterize riverine plastic transport. The method allows to provide a first order magnitude of the quantity and composition of riverine plastics over time and space.

Most studies on riverine macroplastics focused on rivers with a relatively low predicted plastic emission, whereas the world's most polluted rivers remain ungauged¹⁵. Although South-East Asian rivers are expected to contribute considerably to the world's total plastic emission 2,3 , only few studies have focused on characterizing plastic transport in such rivers. Recent work by Lahens *et al*. 16 and van Emmerik *et al*. 14 presented initial assessments of plastic pollution in the Saigon River, Vietnam. The Saigon River traverses the Vietnam's economic capital Ho Chi Minh City (HCMC; 8.4 million inhabitants¹⁷) which suffers, like most Southeast Asian mega cities, from a serious solid waste management problem, with 8,175 tons of solid waste generated per day18. Furthermore, the Saigon River is estimated to be the 5th most plastic emitting river in Vietnam and the 45th in the world². Additional data in such rivers will shed additional light on the governing drivers of riverine macroplastic transport, its variation over time and space, the accuracy of global modeling efforts and implementation of potential mediation strategies.

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Figure 1. (**A**) Composition of sampled plastic per month, and (**B**) plastic content of total sampled debris per month in 2018, based on the net samples.

Figure 2. Plastic composition based on mass and item count (N = 3057), determined from net sampling.

In this paper we present a frst detailed analysis of a long-term riverine macroplastic monitoring efort. From March to December 2018, measurements of the plastic quantity, composition and characteristics were done. We focus on discussing the monthly variation in the magnitude and polymer composition. In addition, we present an assessment of the cross-sectional and vertical distribution of riverine plastic transport. We combine all observations to arrive at a best estimate of the total macroplastic outfow from the Saigon River in 2018. Our main goal is to provide new insights in the origin and fate of foating riverine macroplastic transport and highlight the severity of riverine plastic pollution.

Results and Discussion

Plastic composition. Figure 1A presents the monthly composition of wet sampled mass per plastic type. When considering all samples in 2018, PO_{sof} was most abundant (30.6%), followed by PS-E (25.5%) and PO_{hard} (20.6%). PET was the least found plastic type (4.6%) in the Saigon River (Fig. 2). Tis global plastic composition is in accordance with previous studies on the Saigon River and its urban canals^{14,16}, showing the consistency of the floating plastic litter composition throughout the years. The precise composition of the sampled plastic varied from month to month, with most plastic mass being defined as either PO_{soft} (14.8–41.6%) or PS-E (12.0–40.0%). Only in March most plastic was identifed as PS (35.7%), see Fig. 1A. We suggest in that case that it might be an artifact of uncertain identifcation of plastic. It was found that some observers tended to experience difculties separating PS-E from PS. Plastic composition was determined both in terms as mass percentage as in relative item count. Although in terms of mass PO_{soft} had the largest share (Figs 1A and 2), in terms of item count PS-E was most abundant (38%). PS-E particles were mainly identified as food box fragments¹⁴. On average, plastic

makes up 6% of the foating wet mass (Fig. 1B), which is similar to the estimated fraction by van Emmerik *et al*. 14 and within the lower estimation range (11–43%) of Lahens *et al*. 16 in the urban canals of this system. It is also in the same ratio as observed in the Seine River⁹. The wet weight plastic fraction in floating debris is lower than the fraction in the municipal solid waste of Ho Chi Minh City, estimated at 16% [Verma et al., 2016]. The share of plastic in total sampled debris mass varied per month, ranging from 3.2 (May) to 8.3% (July). Compared to the Seine River⁹, the main difference of plastic's type composition is the occurrence of PS/PS-E relative to other plastic types, being proportionally more abundant in the Saigon River. This may be explained by the local habit of streetfoods and the extensive use of food packaging for take-away. Note that the plastic fraction was calculated using only the total organic and plastic mass. Other material debris was only measured from March to June, 2018, and was on average 0.9% of the total mass during this period ($n=22$ days).

Based on analysis of samples taken on 45 days, the average mass of the sampled plastic items was 1.0×10^{-2} kg per item ($\sigma = 3.3 \times 10^{-2}$ kg/item, N = 3057). This is higher than the average mass in previous assessments of the Saigon River (van Emmerik *et al*., 2018), but here only two weeks of sampling was done in March 2018. During this limited sampling period, older and more fragmented plastic may have been present in the river. Figure 3 presents the particle size distribution of the 3057 sampled plastic items, expressed in cumulative occurrence and cumulative mass. A clear majority of the items were in the 5–50 cm range (46% mass count, 59% item count). Recent input from the main source (Ho Chi Minh City) can explain the large item size, as items did not have time to get fragmented yet. Tis might be biased by the chosen measurement technique, especially the chosen mesh size (see Methods, Table 2). However, in terms of mass it is not expected that additional smaller items will cause a signifcant shif in the size-mass distribution. Also, observational evidence supports the claim that most plastic items are within the 0.5–50 cm range (82% mass, 87% items). Items smaller than 0.5 cm or larger than 50 cm comprise 9% (items) to 12% (mass) and 2% (items and mass) of the total sampled population, respectively.

The characteristics of the plastic found in the Saigon River shows various similarities and differences compared to marine plastic found in the Great Pacifc Garbage Patch (GPGP). For example, in the GPGP barely any foams (PS-E) were found19, while in the Saigon River this is the most abundant plastic polymer type in terms of item count, and second in terms of mass. This is explained by the rapid fragmentation of PS-E in aquatic environment, resulting in fewer larger PS-E items making it to the open ocean. For the size classes up to 0.5m, the distribution of plastic mass is relatively similar to that found in the GPGP. However, a clear diference is the near absence of items larger than 0.5m (in mass and item count) in the Saigon. In the Pacifc Ocean, items larger than 0.5 m accounted for about half of the total plastic mass¹⁹. Such plastics mostly consist of lines, ropes and fishing nets, which are barely found in the Saigon, and demonstrate that rivers are not the sole sources of plastic litter in the open ocean. The discrepancy between riverine and marine plastics suggests that not all plastic pollution emitted by rivers do not end up as foating items on the open ocean. A considerable amount may in fact wash up ashore, settle on the seabed, or fragment in smaller plastic particles.

Horizontal distribution. To assess the variation in horizontal distribution of plastic transport, the observed transport profles are expressed as percentage of transport per meter river width for both ebb tide (Fig. 4A) and food tide (Fig. 4B) for each month (see also Fig. S2). On average, the transport during ebb tide has a clear preferential path, as around 60% the transport occurs in 100 m of the river width (300 m in total). Per month, this is subject to change however, varying between 37 and 73% for the same 100 m stretch. The average flood tide fow is more homogenous with, on average, half of the transport passing through half of the river width. A strong variation from month to month was also found here with 10 to 50% of the transport occurring in the same river stretch. The distribution did not show high Pearson's correlations with tidal range (ebb: $r = 0.37$, p = 0.33; flood: r = 0.35, p = 0.35), rainfall (ebb: r = 0.11, p = 0.77; flood: r = −0.20, p = 0.61), discharge (ebb: $r=-0.42$, $p=0.26$; flood: $r=0.24$, $p=0.54$), or the ebb/flood tide time percentage (ebb: $r=-0.08$, $p=0.0.83$; flood: $r=0.53$, $p=0.14$). We hypothesize that the horizontal plastic distribution is mainly governed by stream flow velocity, wind speed and direction, and navigation. The consistent concentration profile during ebb tide corresponds to the fow velocity profle. During food tide, this profle seemed to be disturbed by intense navigation. Tis variable horizontal plastic transport evidences that a single or low number of locations for plastic transport over a river width of 300m is not enough and it may lead to drastic under- or overestimation. From a remediation perspective, it also points out a challenge for direct plastic retrieval from a river.

Figure 4. Cross-sectional distribution of plastic transport during (**A**) ebb tide and (**B**) food tide, based on visual counting.

Vertical distribution. To transfer the visually counted plastic items per hour into plastic mass, we established an empirical relation between simultaneous visual counting and trawl sampling. From 578 simultaneous visual counting and sampling measurements, we found that each floating particle equals on average 1.9×10^{-2} kg $(\sigma = 3.7 \times 10^{-2} \text{kg})$ sampled plastic in the upper 0.5 m of the water column. Note that this is higher than the average mass per individual plastic item $(1.0 \times 10^{-2} \text{ kg/item})$, as it accounts for all plastics between the surface and 0.5m depth and not only those detected by visual counting. To estimate the plastic mass transport at deeper layers, additional sampling within the water column was done (Fig. 5). From the measurements using a two-layer net it was found that from all plastics sampled within the upper 1m of the water column, 91.0% of the items were found in the upper 0.5 m. Using a three-layer net it was found that from all plastics sampled within the upper 1.3m of the water column, 88.1% was in the upper 0.5m, 7.2% in the layer from 0.5–0.9m and 4.7% in the lower layer from 0.9–1.3m. Some variation between plastic types was found, especially for the three-layer trawl which exhibited mostly PO_{soft} and PO_{hard} in the 0.9–1.3 m layer. For the two-layer net, the distribution was rather equal for most plastic types, with 90% in the upper 0.5m and 10% in the lower 0.5–1.0m layer. Only for PS-E (95.8% vs 4.2%) and PO $_{\rm soft}$ (87.4% vs 12.6%) the distribution deviated. PS-E has a density of 640 kg/m³ at most, whereas PO_{soft} are closer to being neutrally buoyant and might be more homogeneously distributed over the water column. Furthermore, PO_{soft}, often films and foils, have a large surface compared to their volume. The increased sensitivity to turbulence is therefore expected to infuence their vertical distribution. In the case of the Saigon River, turbulence can be significant as a result of the tides, bridges and intense shipping traffic. The presence of plastic in both 0.5–0.9m layer and 0.9–1.3m evidences that plastic items are sinking independently of their densities as plastic having a lower density than riverine water were sampled below 0.5 m depth, except PS-E at 0.9–1.3 m depth. The development of bioflm on the plastic surface may enhance their density and their ability to settle.

Monthly variation. The measured net plastic flow changed considerably between $4 \times 10^3 - 19 \times 10^3$ items/ hour over the sampling period, see Fig. 6D. The abundance of plastics seems to be related (Pearson's $r=0.65$, $p=0.06$) to the concentration of organic material in the Saigon River (Fig. 6A). Most organic material was identifed as water hyacinths. Water hyacinths ofen form large patches with plastic foating on its surface or trapped in the roots and thus seem to function as accumulation zones for plastic material. From March to August, the plastic transport decreases steadily, afer which it increases again to the annual maximum in December. For this hydrological year, the net water discharge (Fig. 6B) increased gradually from January to December, and therefore does not seem to explain the observed variation in plastic transport (Pearson's $r = 0.04$, $p = 0.92$). Rainfall increased from 0-66 mm/month in January-March to 157-221 mm/month in May-August. The highest rainfall was recorded in September (351 mm/month) and November (312 mm/month), see Fig. 6B. The data suggest no clear relation between rainfall and plastic transport in the Saigon River (Pearson's r=−0.34, p=0.34). Using the percentage of incoming (food) and outgoing (ebb) tide per month (Fig. 6C), the net plastic transport was calculated (Fig. 6D) and varied from 0.4×10^4 items/hour (July, August) to 1.9×10^4 items/hour (December). The variation of monthly mean net plastic transport also does not seem to be related to the annual tidal range variation of the river (Pearson's $r=0.06$, $p=0.89$). Within the sampling period, 21% of the monthly transport occurred in December, with July and August contributing the least (4.4% and 4.7%, respectively). As none of the additional data shows a clear relation with the measured plastic transport, the latter is most likely driven by a combination of processes.

Annual plastic outflow. Based on the monthly average net plastic flow, we present a course estimation of the yearly plastic outfow in terms of mass. Table 1 presents the values of each step in this estimation. We estimate a yearly outflow of 1.1×10^3 t/y floating macroplastics from the Saigon River $(1.4-1.6 \times 10^3$ t/y if extrapolated to the whole water column). From previous global modeling approaches^{2,3}, no estimate for the Saigon River is

Figure 5. Vertical distribution of plastic items sampled using A. 2- and B. 3-layer trawls.

available. Lebreton *et al.*³ estimated that the total emission for the Nha Be river (confluence of Saigon and Dong Nai rivers) is 5.0×10^3 t/y. If distributed proportionally over the catchment areas (Saigon River 23,400 km², Dong Nai River 38,600 km²), this Saigon would emit 1.9×10^3 t/y, which is similar in magnitude as our observation based estimate. In our approach, however, we do not take into account microplastics, which is one of the reasons that may explain the diference.

Note that the quantifcation of several components of the total net transport can be improved. First, there is still a clear challenge for assessing the net water discharge for rivers in tidal environments²⁰. Our study uses the frst high frequency water discharge estimation for the Saigon River. We recommend to measure plastic transport at multiple locations in future assessments, as that may allow for a mass balance approach. Further work on plastic transport within estuaries will also improve the understanding of how much plastic is emitted into the ocean, and how much is accumulating within the river system.

Second, as the vertical distribution is likely to be infuenced by plastic polymer type and fow regimes, this might be diferent for the Saigon River. As suggested by Morritt *et al*. 6 , a signifcant share of the total plastic transport might occur at the deeper layers. Data from the Danube River²⁰ confirmed this, as 21-34% of the plastic was estimated to be transported below 1.5m. A general understanding of the vertical distribution of riverine plastics is yet to be established.

Tird, the semi-diurnal tidal cycle was found to play a crucial role in net plastic transport and cross-sectional distribution (Fig. 4). As macroplastics may exhibit diferent mixing behavior than for example sediments or dissolved substances, additional measurements are required to allow for better predictions of net plastic transport. Future assessments might include boat-based manta trawls²¹ or unmanned aerial vehicles^{22,23}.

Conclusions

Plastic transport in the Saigon River exhibits clear seasonality, with a factor of fve between measured peak and low monthly emission rates. The peak and low macroplastic transport flow months contribute 21% (December) and 4% (July–August) to the total transport within the measured period, respectively. We estimate the total macroplastic debris outflow in the upper 1.3 m of the Saigon River to be 1.1×10^3 t/y in 2018 (1.4–1.6 \times 10³ t/y if extrapolated over the whole water column).

For the Saigon River, no clear relation was found between water discharge, rainfall, annual tidal range and plastic transport. We hypothesize that plastic transport is infuenced by all those factors and wind, interacting together in a way that require further investigation. We also hypothesize that plastic transport may be strongly linked to the presence of water hyacinths in the river, which act as accumulation zones for plastic items.

Plastic composition changes over time, although PS-E (item count) and PO_{soft} (mass count) are on average the main polymers. The ratio of plastic to organic debris varies with a factor of four over time, which is important for potential prevention and mitigation strategies.

Most plastics (88.1%) were found in the upper 0.5m of the water column. However, additional measurements are required to further study the vertical distribution along the entire water column. During ebb tide, plastic is

Trawl	Dimensions $[W \times H]$	Sampled layers	Net mesh size	Sampling period
Surface only	Layer 1: 1×0.5 m	$1:0-0.5m$	4 _{cm}	March-December 2018
2-layer	Layer 1: 0.67×0.6 m	$1:0-0.5m$	3 cm	July-December 2018
	Layer 2: 0.67×0.5 m	$2: 0.5 - 1.0$ m		
3-layer	Layer 1: 0.67×0.6 m	$1:0-0.5$ m	3 cm	July 2018
	Layer 2: 0.67×0.4 m	$2: 0.5 - 0.9$ m		
	Layer 3: 0.67×0.4 m	$3: 0.9 - 1.3$ m		

Table 2. Characteristics of the used trawls.

distributed ununiformly over the cross section, with 80% of the plastic being transport in 37–73% of the width. However, during food tide the distribution is more uniformly (80% of the plastic in 70–83% of the width).

With this paper we aim to shed light on the order of magnitude and spatiotemporal variation of riverine plastic transport. Such data are crucial for planning and evaluating future prevention and mitigation strategies. However, our study also emphasizes the need for additional research on the origin and fate of plastics in aquatic ecosystems and its spatiotemporal variation.

Methods

Study site. All measurements were taken in the Saigon River in Ho Chi Minh City (HCMC) from 1 March to 13 December 2018, between 07:00 and 17:00. The Saigon River is about 250 km long and has a catchment area of 4717 squared kilometers¹⁶. The dense urban canal network (700 km) of HCMC drains into the Saigon River. The climate is characterized by a monsoon season from May to October, and a dry season from December to April. Sampling was done from the 300-meter-wide Thu Thiem bridge (10°47′08.3″N, 106°43′06.2″E), located 70 km upstream from the river mouth and 14 km upstream from the confluence with the Dong Nai River. The sampling location was afected by mixed semidiurnal and asymmetric tidal infuences, with a tidal range of up to 3m. Plastic debris counting and sampling was done across the Tu Tiem bridge. More details are found in the Supplementary Materials S1.

Plastic sampling. Plastic sampling was done using three static bridge-mounted trawls as presented in van Emmerik et al.^{14,24}. Three different types of trawls were used, varying from one to three layers. The characteristics of the trawls are presented in Table 2. All trawls consist of frames, with 2-meter nets attached. The chosen mesh size was an optimization between the desired size fraction of the plastic catch and the controllability of the trawl due to the drag force. Depending on the water fow velocity, trawling deployments lasted between 1 and 60minutes. During each trawling experiment, additional visual plastic debris counting was done for the stretch were the trawl was deployed to link the visually observed plastics to the total plastic mass in the upper layer. The trawling location was determined based on the prevailing fow direction and observed largest debris amount. During ebb

tide fow trawling was done at location 2 and 3 (Fig. S1), and during food tide fow trawling was done at location 11 and 12 (Fig. S1). Details on the sampling days per month and the total sampling duration per month can be found in the Supplementary Materials (S3).

The retrieved samples were divided into three categories: (1) organic, (2) plastic, and (3) other materials. All categorized samples were weighed individually from March to June, 2018. From July to December, only organic and plastic mass was measured. At the end of each day, all plastic samples were visually subdivided into polyethylene terephthalate (PET), polystyrene (PS), expanded polystyrene (PS-E), hard polyolefins (PO_{hard}), soft polyolefins (PO_{soft}) and rest, and weighed. The samples were sun-dried for at least 24 hours, and subsequently the bulk dry mass was determined for each plastic type. Finally, a subset of samples from 45 days consisting of 3057 plastic items were individually analyzed to determine their mass, size, and plastic type.

Visual counting. Visual counting of plastic debris was based on the approach presented by González-Fernández & Hanke¹² and van Emmerik *et al.*^{14,24}. At 12 locations across the bridge, the number of plastic pieces that passed through 15-m-wide sections (covering 60% of the cross-section) was visually counted for 2 minutes. The bridge was on average 14 m above the water level. Counting was done hourly facing downstream, as we could identify plastic pieces more accurately. Each foating and superfcially submerged plastic piece that was visible was counted, independent of its size. The average minimum debris size was estimated to be 1 cm. If the debris type was uncertain, it was not counted as plastic. For subsequent analysis, the plastic debris counts were normalized over time and distance and expressed in plastic pieces per meter river width, per unit of time. Details on the sampling days per month, total measured profles per month, and the number of ebb tide and food tide profles can be found in the Supplementary Materials (S4).

River discharge. River discharge estimation was done by applying an adapted Manning-Strickler hydraulic law, as proposed by Camenen *et al*. 25. Tis model takes into account the tidal propagation and revealed to be adapted to estuarine environment where tides propagate gently, which is the case in the Saigon River. Two water-pressure sensors were deployed during year 2018 to monitor water level fuctuations every 10minutes. Two Acoustic Doppler Current Profler (ADCP) campaigns were performed previously, in September 2016 and March 2017, with a Rio Grande 600 kHz, to calibrate and validate the hydraulic model.

For this study, we use the high frequency data series to calculate monthly mean discharge values. In addition, the monthly mean percentage of outgoing (ebb tide) and incoming (flood tide) flow was calculated. This was done by dividing the total number of positive (ebb tide) and negative (food tide) values by the total number of observations for each month. The discharge data is presented in the Supplementary Materials (Fig. S5).

Other data. Monthly precipitation data was used from the Mac Dinh Chi station, located in district 1 of Ho Chi Minh City. Estimated astronomical tide for 2013 and 2018 at Vung Tau, 90 km downstream of The Thiem bridge, was obtained from XTide sofware. Daily minimum and maximum values were used to compute the daily tidal range, which were averaged per month.

Estimating net plastic transport. We present two expressions of net plastic transport towards the ocean. First, we estimate the monthly mean transport of foating plastic items into the ocean. Second, we use several assumptions to give an order of magnitude estimation of the total yearly plastic mass fowing into the ocean.

The monthly mean present of plastic items is calculated using the (1) monthly mean outgoing and incoming plastic transport [items/hour] and (2) the monthly mean average percentage of outgoing and incoming fow, expressed as:

$$
P_{n,j} = P_j \cdot r_{o,j}
$$

With net plastic outflow P_n [items/hour], mean monthly plastic transport P_j [items/hour] and outflowing discharge percentage *ro* [−] for month *j*. Te outfowing discharge percentage is calculated by counting the total duration of outfowing discharge per month. A two-paired t-test was done using the infowing and outfowing plastic transport observations, which showed that both populations come from the same distribution with equal mean (5% significance level, $p = 0.70$), see Fig. S6 in the Supplementary Materials. The mean monthly plastic transport was therefore determined using the absolute values of all plastic transport measurements, regardless of the direction. In our approach we assume that during outfowing plastic transport, plastics are emitted, and do not enter the system after the flow direction reverses.

For the estimation of total annual emitted plastic mass, we use the following assumptions (see Fig. 7). First, we calculate the mean hourly plastic item transport from the visual counting and extrapolate it to number of plastic items per year. Second, we use an empirical relation between sampled plastic mass and simultaneously counted floating plastic items to transfer floating plastic items into total plastic mass in the upper 0.5 m (1.9×10^{-2} kg/ item) Third, we use the total measured vertical distribution of plastic items from the vertical distribution sampling (0–1.3 m) to account for the deeper layers between 0.5 and 1.0 m and between 1.0 and 1.3 m. Finally, to approximate the plastic at lower layers we use the vertical distribution of plastic transport found by Hohenblum *et al*. 20. Here, it was found that 66–79% of the plastic transport occurs in the upper 1.5 m. We use these values to estimate total plastic transport from the measurements done in the upper 1.3m in the Saigon River.

Data Availability

All plastic data is uploaded as Supplementary Materials. Discharge data may be obtained from Nicolas Gratiot (nicolas.gratiot@ird.fr).

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Author Contributions

T.v.E. designed the study and performed the initial data analysis. T.v.E., E.S. and T.C.K.L. designed the plastic data collection activities. L.N. collected the plastic data. N.G. collected the river discharge data. All authors analyzed the data and wrote the manuscript.

Additional Information

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Competing Interests: TvE was employed by The Ocean Cleanup. All other authors declare no competing interests.

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