

Nutrient dynamics and eutrophication assessment in the tropical river system of Saigon – Dongnai (southern Vietnam)

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23 Chlorophyll-a and Dissolved Oxygen) based on bi-monthly monitoring during two 24 hydrological cycles (July 2015 – December 2017). Four monitoring sites were selected to 25 assess the impact of HCMC: two upstream stations on the Saigon River and Dongnai 26 River branches to provide the reference water quality status before reaching the urbanized 27 area of HCMC; one monitoring station in the city center to highlight Saigon River water 28 quality within the heart of the megacity; the fourth station downstream of the confluence 29 to evaluate the impact of HCMC on the estuarine waters. This study points to excess 30 nutrients in HCMC's water body with concentrations of NH_4^+ and PO_4^3 averaging to 0.7 ± 0.6 mgN L⁻¹ and 0.07 ± 0.06 mgP L⁻¹, respectively in mean over the monitored period 32 and rising up to 3 mgN L^{-1} and 0.2 mgP L^{-1} , in extreme conditions. During the dry 33 season, we evidenced that untreated domestic discharges leads to degradation of the Saigon River's water quality with extreme values of algal biomass (up 150 μ Chl-a L⁻¹) 35 and hypoxic conditions occurring episodically $(DO < 2$ mg L^{-1}) in the heart of the 36 megacity. Until now, eutrophication in the urban center has had no clear effect 37 downstream because eutrophic water mass from the Saigon River is efficiently mixed 38 with the Dongnai River and sea water masses during the successive semi-diurnal tidal 39 cycles.

40 Keywords: water quality, nutrients, megacity, eutrophication, tidal river.

42 1. Introduction

43 In the past few decades, eutrophication has become a significant issue for surface water 44 bodies' worldwide (Hilton et al., 2006; Romero et al., 2013; Smith and Schindler, 2009; 45 Smith et al., 1999). Eutrophication is an accelerated growth of algae caused by the 46 enrichment of nutrients in an aquatic system, especially nitrogen (N) and phosphorus (P); 47 which results in oxygen depletion in the water body through intense bacterial 48 mineralization (Billen and Garnier, 1997; Nixon (2009); Volterra et al., 2002). Five 49 decades ago, Redfield et al. (1963) proposed the Redfield stoichiometric ratio of carbon, 50 nitrogen and phosphorus (C:N:P) as a generic tool to assess which is the limiting factor 51 for primary production. The N:P ratio in an aquatic system is an important key which is 52 used to verify which element will become the limiting factor to be controlled to reduce 53 algal blooms. More recently, Billen and Garnier (2007) improved the concept to address 54 the question of coastal waters. They showed that eutrophication is the consequence of 55 unbalanced riverine nutrient inputs, with excess nitrogen and phosphorus with respect to 56 silica (Si), when compared to the requirements for algal growth; stated to be $C:Si:N:P =$ 57 106:15:16:1 (Brzezinski, 1985). The enrichment of riverine waters in N and P, together 58 with decreasing suspended solids and Si, often result in eutrophication of coastal areas 59 (Billen and Garnier, 1997; Conley et al., 1989; Howarth et al., 2011), characterized by 60 non-diatom harmful algal blooms. The new production of non-siliceous algae can be 61 facilitated by the imbalance between nutrient inputs.

62 Nutrients (N, P) generally originate from over fertilized agricultural soils through 63 leaching and erosion processes (Cloern et al., 2014; Lau and Lane, 2002; Singkran, 2017; 64 Trinh et al., 2015) or from untreated domestic and industrial wastewaters (Billen et al., 65 2007; Cloern et al., 2014; Trinh et al., 2007). In industrialized European and North 66 American industrialized countries, wastewater treatment started in the 1970s in order to 67 reduce the point sources of N and P towards the hydrosystem (Van Drecht et al., 2009). 68 While the reduction of point sources pollution was shown to be efficient, diffuse inputs 69 remained a worrying source of nutrients for watersheds in these countries (Grizzetti et al., 70 2013). The situation described for industrialized countries described above is very 71 different to that of emerging countries, especially for those located in the tropical regions, 72 such as Vietnam (Le et al., 2015). With the onset of the $21st$ century, the Southeast Asian 73 countries faced significant environmental challenges, such as quantitative shortages and 74 qualitative deterioration of water resources due to rapid industrialization, urbanization, 75 and agricultural intensification (Le, 2007; Vo, 2007). Indeed, sanitation network 76 development in large cities does not provide sufficient support to cover population 77 growth (Trinh et al., 2012). As a result, domestic wastewaters are released without 78 appropriate treatment into the receiving aquatic environments, causing high levels of 79 organic and nutrient pollution. In parallel with urban growth, changes in agricultural 80 practices have involved an increasing supply of fertilizers (Garnier et al., 2015). The 81 change in land-use have led to an increase of nutrient inputs to surface water and of the 82 flows to the outlets of major watersheds (e.g., the Mekong River). Moreover, high rainfall 83 intensity in the tropics leads to significant erosion rates and associated nutrient flows into

84 the rivers (Syvitski et al., 2014). The seasonality of precipitation, with marked alternation 85 between the dry and wet seasons, influences the hydrological regime and promotes 86 eutrophication during the dry season (Boulton et al., 2008).

87 The Saigon River is the second largest river after the Dongnai River in supplying water to 88 Ho Chi Minh City (HCMC), the economic capital of Vietnam. Due to increasing urban 89 sprawl in HCMC and neighboring provinces situated near the Saigon River basin, the 90 water quality has significantly deteriorated (Nguyen et al., 2011; Strady et al., 2017; Tran 91 Ngoc et al., 2016; Vo, 2007). Water supply demand from the Saigon River was estimated 92 as 64 % (1.6 million m^3 day⁻¹) for households in 2009 and will increase to 72 % (3.4 93 million m^3 day⁻¹) according to future projections for 2025 (Nguyen et al., 2011). In 94 addition, water from the Saigon River water is also used for irrigation, aquaculture and 95 navigation.

96 The objectives of this study were to (i) characterize nutrients dynamics in the Saigon – 97 Dongnai River system to evaluate the eutrophic status of water bodies and (ii) determine 98 the controlling factors of eutrophication and the impact of HCMC on water quality 99 downstream. We implemented a bi-monthly monitoring sampling strategy from July 100 2015 to December 2017 to determine and analyze physico-chemical parameters and 101 nutrients (N, P and Si) in the dissolved and particulate phase.

102 2. Materials and methods

103 2.1. Study area

104 The Saigon River is a part of the Saigon – Dongnai River basin and located in Southern 105 Vietnam. It is about 250 km long with a catchment area of $4,717 \text{ km}^2$ down to the 106 confluence with the Dongnai River (Figure 1a). Upstream of the Saigon River, the Dau 107 Tieng reservoir (surface area of 270 km² and storage capacity of $1,580 \times 10^6$ m³) was 108 constructed in 1985 for irrigation, flood protection purposes and control of saline water 109 intrusion (Trieu et al., 2014). The Saigon River is connected to urban canals when 110 flowing through HCMC and then joins the Dongnai River to become the Nha Be River. It 111 then continues its flow through Can Gio Mangrove to the East Sea. The flow direction of 112 the Saigon River is strongly affected by the asymmetric semi-diurnal tides and the 113 discharge is mainly controlled during the dry season by the amount of water released 114 from the Dau Tieng reservoir.

115 The region falls in a tropical monsoon climate, with an average humidity of 78-82 % and 116 a mean air temperature of 28.5 ± 1.0 °C. The year is divided into two distinct seasons 117 (wet and dry). The wet season has an average rainfall of about 1,800 mm annually (about 118 150 rainy days year⁻¹), usually beginning in May and ending in November (Figure 1b). 119 The dry season lasts from December to April.

120 Ho Chi Minh City is intrinsically linked with the Saigon River. The megacity's economy 121 has developed considerably over the last ten years and HCMC is now considered the 122 second most dynamic city in the world (World Economic Forum News, January 2017). 123 HCMC resides on the banks of the Saigon River in a lowland floodplain area of the 124 Saigon-Dongnai River basin and belongs to a transitional area between the South-eastern 125 region of Vietnam and the Mekong Delta.

126 Land use in HCMC is dominated by agricultural activities in the north, urban settlement 127 in the center and Can Gio Mangrove forest to the south. Can Gio forest is located - 40 km

128 southeast of HCMC and is recognized as a biosphere reserve by UNESCO. Agricultural 129 land in HCMC was around 32 % in 2016, showing a decrease of 2 % in comparison to 130 2012 (data from HCMC Statistical Yearbook 2016).

131 The population of HCMC was 8.4 million inhabitants in 2016, which represents about 8.9 132 % of Vietnam's total population. This makes HCMC the largest and most densely 133 populated city in the country (Figure 1c). The population of HCMC is mainly 134 concentrated in the heart of the city (as presented in Figure 1) and 6.7 millions inhabitants 135 live in the nineteen urban districts. The rural population was 1.5 millions in the three 136 districts upstream of HCMC and only 230,000 in the two downstream districts. HCMC 137 reached an urban population proportion of 88% in 2004, since then the population of 138 HCMC and its boundary districts has increased rapidly (data from HCMC Statistical Year 139 Book 2016).

140 Less than 10 % of the domestic waste water is collected and treated before being 141 discharged directly into urban canals or rivers (Marcotullio, 2007). The main wastewater 142 treatment plant (Binh Hung WWTP) is located south of the high population density urban 143 area (Figure 1c). Its treatment capacity is 141,000 m³ day⁻¹ (426,000 inhabitants). 144 Construction began in 2017 to reach a total capacity of 469000 m^3 day⁻¹ (1,390,000) 145 inhabitants) and authorities have scheduled the building of ten new WWTPs within the 146 next ten years (source: ATLAS Ho Chi Minh City).

147 Figure 1.

148 2.2. Monitoring and sampling strategy

149 A bi-monthly monitoring was undertaken at four sites from July 2015 to December 2017 150 in the Saigon – Dongnai River branches (Figure 1a). Two upstream stations on Saigon 151 River (SG-up) and Dongnai River (DN-up), respectively, characterize the reference water 152 status in the upstream of HCMC. One monitoring station in the city center (SG-city) 153 aimed at highlighting the impact of the city. The last station was placed downstream of 154 the confluence between the two rivers (Nha Be River – NBR) to assess the impact of 155 HCMC on estuarine waters. Water sampling was taken at low tide for each field survey to 156 consider the dominant fluvial flow from upstream to downstream and is illustrated in 157 Figure 2.

158 Two complementary longitudinal profiles were carried out during the wet and dry 159 seasons to understand the spatial fluctuation of water quality in the Saigon River. Total 160 profile length was 50 km with 18 sampling points (Figure 1a). The profiles were 161 conducted on-boat on 19th April 2017 from 8:00am to 4:30pm (dry season) and $20th$ 162 October 2017 from 9:30am to 6:00pm, starting at Saigon upstream (SG-up – SG01) and 163 ending at Saigon downstream (NBR – SG18) (Figure 1a). A GPS was used to mark 164 longitude and latitude values of each point and to calculate the corresponding kilometric 165 point (pK), in which $pK = 0$ was the confluence between the Saigon and Dongnai Rivers, 166 with negative values upward and positive downward.

167 Figure 2.

168 For each sample, surface water (0-30cm below the surface) was taken by using a 2.5 L 169 Niskin bottle in the middle of the river from either a bridge or a boat. Immediate 170 measurements of physico-chemical parameters were realized using a multi-parameter 171 probe (WTW 3420[®]), e.g., temperature, pH, conductivity, salinity, and dissolved oxygen 172 concentration and percentage of oxygen saturation. Each water sample was collected in a 173 5 L polypropylene recipient for Total Suspended Sediment (TSS), C, N, Si, and P 174 measurements and Chlorophyll-a analysis.

175 2.3. Laboratory analyses

176 Samples were immediately filtered through a Whatman GF/F filter (porosity 0.7µm) at 177 the Centre Asiatique de Recherche sur l'Eau (CARE) laboratory for analysis of dissolved 178 nutrients. Unfiltered samples were kept to measure total nutrients. TSS was measured 179 based on the different weight of a GF/F filter after 24 hours of drying at 50 °C. 180 Chlorophyll-a and Phaeopigments were measured after filtration through a second 181 Whatman GF/F filter using acetone (90 %) extraction method followed by a 182 spectrophotometry measurement at 665 and 750 nm absorbance (Aminot and Kérouel, 183 2004).

184 Unfiltered water samples were used to measure Total N and Total P using persulfate 185 digestion procedure and standard colorimetric method (American Public Health 186 Association: APHA, 1995). Dissolved nutrients were analyzed on filtered water samples 187 using standard colorimetric methods (APHA, 1995). The NH₄⁺, NO₃⁻, PO₄³⁻ and 188 Dissolved Si (DSi) were analyzed by using respectively the Nessler reactive method, the 189 cadmium reduction method, the acid ascorbic method and the silicomolybdate method, 190 respectively. Reproducibility for replicate measurements was better than 5 % for all total 191 and dissolved nutrients.

192 A TOC (Total Organic Carbon) analyzer apparatus (TOC-V Shimadzu, CARE 193 laboratory, Vietnam) was used to determine Dissolved Organic Carbon (DOC) in filtered 194 water samples with accuracy higher than 5 % (Sugimura and Suzuki, 1988). Particulate 195 Organic Carbon (POC) was measured on suspended matter retained on a GF/F filter 196 (ignited at 550 °C). The POC content (in % of dry weight) was measured by using 197 combustion in a LECO CS-125 analyzer (Laboratory EPOC, Université de Bordeaux, 198 France) with precision better than 5 % (Etcheber et al., 2007). The POC concentration is 199 calculated as the product of POC % and TSS concentration and expressed in mgC L^{-1} .

200 2.4. Hydrological database

201 Water discharges data was provided by the Center of Environmental Monitoring (CEM) 202 of the Department Of Natural Resources and Environment of HCMC (DONRE). This 203 national monitoring program allows the measuring of monthly discharges at different 204 locations within our study sites. Discharges are measured every hour during a 24-hour 205 cycle because the hydrodynamic effect of the tide is perceptible up to the dams (Figure 206 1a). The residual discharge is then calculated as the difference between positive and 207 negative discharges over the tide cycle. The residual discharge corresponds to the net 208 positive water flow of the river from land to the sea. Data was collected for the 2012- 209 2016 period in order to characterize the mean monthly distribution of the discharge at two 210 locations in the Saigon River (SG-city) and in the Dongnai River (DN-up) (Figure 1a).

211 3. Results

212 3.1. Seasonal hydrological variability

213 Water discharge distribution is mainly controlled by precipitation seasonality (Figure 1b). 214 The wet season shows a water discharge increase from June to October followed by a 215 decrease at the end of November in both Saigon and Dongnai Rivers (Figure 3). The 216 mean inter-annual discharge calculated over the 2012-2016 period for the Saigon River 217 $(50 \pm 20 \text{ m}^3 \text{ s}^{-1})$ is twelve times lower than for the Dongnai River $(613 \pm 220 \text{ m}^3 \text{ s}^{-1})$. The 218 Saigon River discharge can be artificially controlled during the dry season by releasing 219 water from Dau Tieng reservoir to flush out salt intrusion. Trieu et al. (2014) suggest that 220 water release regulation from the reservoir can be up to 30 m^3 s⁻¹ during the dry season. 221 This is in good agreement with the field data provided by DONRE.

222 Figure 3.

223 3.2. Interannual variations of the water quality in the Saigon River

224 3.2.1. Physico-chemical parameters

225 Water temperature in the Saigon and the Dongnai Rivers ranged between 27 to 33 °C, 226 with low fluctuations. Mean value was 30.0 ± 1.2 °C at four stations. As shown in Figure 227 4a, pH increased from upstream to downstream in the Saigon River $(6.3 \pm 0.2 \text{ and } 6.8 \pm 1.2 \text{)}$ 228 0.3 at SG-up and NBR, respectively), while mean pH in DN-up was 7.0 ± 0.3 . For 229 salinity, values were almost zero in SG-up and DN-up, whereas higher values and wider 230 ranges of seasonal fluctuations were observed in SG-city and NBR (the highest value 231 observed was 5.0 in SG-city and 8.7 in NBR during the 2016 dry season – Figure 4b). 232 Dissolved oxygen (DO) fluctuated at the four stations without specific variability and 233 reached its highest value at DN-up (7.96 mg L^{-1}) and the lowest value at SG-city (0.57 234 mg L⁻¹) (Figure 4c). Average DO concentrations were 6.1 ± 0.6 mg L⁻¹, 2.5 ± 0.9 mg L⁻¹,

235 1.7 ± 0.8 mg L⁻¹ and 3.6 ± 1.0 mg L⁻¹ at DN-up, SG-up, SG-city and NBR, respectively. 236 The TSS concentrations evidenced seasonal variations at SG-up and DN-up, (Figure 4d) 237 and reached their highest values during the wet season at SG-up and DN-up (140 mg L^{-1}) 238 in DN-up and 184 mg L^{-1} in SG-up during the wet season). However, the mean TSS 239 value at SG-city (98.5 \pm 56.7 mg L⁻¹) was higher than at the other stations (36.8 \pm 26.4 240 mg L^{-1} , 67.3 \pm 36.7 mg L^{-1} and 33.1 \pm 25.6 mg L^{-1} at SG-up, NBR and DN-up, 241 respectively).

242 Figure 4.

243 3.2.2. Dissolved and total nutrients

244 Total N reached its highest value at SG-city $(3.4 \pm 0.9 \text{ mgN L}^{-1})$ and lowest at DN-up 245 (1.5 \pm 0.4 mgN L⁻¹; Figure 5a). Total N tends to significantly increase from upstream 246 (SG-up) to Saigon City Center (SG-city) before slightly decreasing downstream of 247 Saigon (NBR). NO₃ was lower than 2 mgN L⁻¹ in all samples (except one point in SG-248 city in July 2015), averaging 0.6 ± 0.3 mgN L⁻¹, 0.7 ± 0.5 mgN L⁻¹, 0.6 ± 0.3 mgN L⁻¹ 249 and 0.5 ± 0.2 mgN L⁻¹ for SG-up, SG-city, NBR, and DN-up, respectively. NO₃ 250 concentrations at DN-up fluctuated slightly with no evident seasonality. At SG-city, NO_3^- 251 presented much more fluctuation from 0.0 to 2.4 mgN L^{-1} (Figure 5b). NH₄⁺ was low at 252 DN-up (< 0.5 mgN L⁻¹), but reached peak value up to over 3 mgN L⁻¹ (Figure 5c) at SG-253 city. Average NH₄⁺ was 0.3 ± 0.2 mgN L⁻¹, 0.8 ± 0.6 mgN L⁻¹, 0.1 ± 0.1 mgN L⁻¹ and 0.1 254 ± 0.1 mgN L⁻¹ at SG-up, SG-city, NBR and DN-up, respectively.

256 Total P and $PO₄³$ did not present specific seasonal variations. Total P was dramatically 257 high in SG-city, 0.3 ± 0.2 mgP L⁻¹ on average and up to 0.8 mgP L⁻¹ for peak values 258 (Figure 5d). Average Total P was 0.2 ± 0.1 mgP L⁻¹, 0.1 ± 0.1 mgP L⁻¹ and 0.1 ± 0.1 mgP 259 L⁻¹ in SG-up, NBR and DN-up, respectively. The $PO₄³$ concentration (Figure 5e) was 260 low in DN-up (experienced at 0.04 ± 0.02 mgP L⁻¹ on average). Mean PO₄³⁻ value was 261 0.04 \pm 0.03 mgP L⁻¹, 0.07 \pm 0.06 mgP L⁻¹ and 0.03 \pm 0.02 mgP L⁻¹ in SG-up and NBR, 262 respectively.

263 A seasonal DSi concentration fluctuation was observed at the four sites from 6 to 8 mgSi 264 L^{-1} during the wet season down to values below 1 mgSi L^{-1} during the dry season. 265 Average DSi concentrations were 2.6 ± 2.1 mgSi L⁻¹, 1.7 ± 1.8 mgSi L⁻¹, 1.8 ± 1.8 mgSi 266 L⁻¹ and 2.0 ± 1.8 mgSi L⁻¹ at DN-up, SG-up, SG-city and NBR, respectively (Figure 5f).

267 3.2.3. Organic carbon, chlorophyll-a, and phaeopigments

268 The DOC concentrations were 2.4 ± 0.7 mg L⁻¹, 2.5 ± 1.9 mg L⁻¹, 3.6 ± 1.1 mg L⁻¹ and 3.8 ± 0.7 mg L⁻¹ on average at DN-up, SG-up, SG-city and NBR, respectively (Figure 270 6a). As compared with other parameters measured during this study, DOC concentrations 271 were remarkably stable at the four sites during the observation period, except in March 272 2017 with a peak of DOC observed in SG-up and DN-up. POC reached its highest value 273 at SG-city, with an average of 4.3 ± 1.6 mg L⁻¹ and lowest value at the other sites, 274 making up 1.3 ± 0.5 mg L⁻¹, 1.7 ± 0.8 and 1.6 ± 1.0 on average at DN-up, SG-up and 275 NBR, respectively (Figure 6b).

276 Figure 6.

277 Chl-a concentrations varied from 0.01 μ g L⁻¹ to 147 μ g L⁻¹ at SG-city (Figure 6c). Chl-a 278 and phaeopigments exhibited contrasted variability both spatially and seasonally for the 279 whole survey period. The mean value of pigments at SG-city (29.6 \pm 32.4 µg Chl-a L⁻¹ 280 and 19.5 \pm 16.7 µg phaeopigments L⁻¹, respectively) was higher than at DN-up (4.7 \pm 3.8 281 μ g Chl-a L⁻¹ and 5.7 \pm 5.3 μ g phaeopigments L⁻¹), SG-up (3.2 \pm 5.5 μ g Chl-a L⁻¹ and 4.9 282 \pm 4.8 µg phaeopigments L⁻¹) and NBR (1.6 \pm 1.1 µg Chl-a L⁻¹ and 2.6 \pm 1.7 µg 283 phaeopigments L^{-1}).

284 3.3. Longitudinal profile in the Saigon River

285 The longitudinal profile was divided into three sections (i.e., was defined according to 286 population density distribution) to assess the impact of urbanization on the Saigon River 287 water quality: (i) the upstream section was from Phu Cuong Bridge (SG01) to Thanh Da 288 canal (SG06), (ii) the middle section was representative of the high urban system 289 extending from Thanh Da canal (SG07) to Bach Dang Harbor (SG11) and (iii) the 290 downstream section was from Bach Dang Harbor (SG12) to Binh Khanh Ferry (SG18). 291 The Saigon River water quality changed considerably between the profiles realized 292 during the dry and wet seasons (Figure 7). The pH increased gradually from land to the 293 ocean (with more acid water during the wet season from 5.8 to 6.2) than during the dry 294 season (6.3 to 7.2) (Figure 7). DO values varied between moderate to severe hypoxic 295 conditions (as low as 0.5 mg L^{-1} in the upstream section and rose up to 4.7 mg L^{-1} in the 296 downstream section). During the wet season, DO increased rapidly from extremely low 297 concentrations at upstream of HCMC (SG01 to SG06 during the wet season with DO 298 values below 2 mg L^{-1}) to high values after City Center (point SG13, with DO values

299 above 4 mg L^{-1}). Saline water intrusion during the dry season was observed up to about 300 40 km from the confluence between the two rivers. Salinity was nearly 0 during the wet 301 season in the three sections. The magnitude of TSS fluctuations was higher during the 302 wet season with a peak of 71.5 mg L^{-1} at SG03, a minimum of 17.6 mg L^{-1} at SG09 and a 303 new maximum downstream with a value of 78.6 mg L^{-1} at SG17.

304 While Total N and $NO₃$ concentrations were stable from the upstream to downstream 305 sections, NH₄⁺ tended to increase in the middle section during the wet season. Total P 306 concentrations were high in the upstream and middle section before decreasing in the 307 downstream section, especially during the dry season. The $PO₄³$ concentrations fluctuated 308 from the upstream to downstream sections during both seasons. The DOC, POC, Chl- a 309 and phaeopigments varied in the same range. Chl- a content was stable and lower than 2 310 μ g L⁻¹ for Chl-a and 5 μ g L⁻¹ for phaeopigments during the wet season, while Chl-a and 311 phaeopigments concentrations reached their highest values at the transition between 312 upstream and middle sections during the dry season (110.3 μ g Chl-a L⁻¹ at SG06 and 72.2 313 μ g phaeopigments L⁻¹ at SG07). DSi values were rather low in the upstream section (1mg) 314 DSi L^{-1}) but increased locally to 2 mg DSi L^{-1} in the middle (dry season) or downstream 315 sections (wet season).

316 Figure 7.

317 3.4. Nutrients ratios

318 We have assembled a data set of dissolved nutrients (N, P and Si) concentrations at the 319 four sampling sites in the Saigon and Dongnai Rivers. These dissolved nutrient 320 concentrations were used to calculate the Redfield ratio (N:P:Si ratios). When the graph

321 was plotted according to the Si:N and Si:P ratios, the theoretical lines represented a 322 theoretical nutrients ratio and the graph was separated into four areas (Figure 8). Each 323 area represented, respectively, from the lower right quadrant counter-clockwise: an area 324 with N in limiting amounts and Si in excess; an area with N in limiting amounts and Si 325 deficiency; an area with P in limiting amounts and Si deficiency; and an area with P in 326 limiting amounts and Si in excess (Billen and Garnier, 2007). Figure 8 showed that the 327 four sampling sites in the Saigon – Dongnai River system were distributed in overlapping 328 clusters. From bi-monthly monitoring, we can observed that the Saigon – Dongnai River 329 system was characterized by a N:P ratio above the Redfield value and by a substantial 330 excess of Si over both P and N. Additionally, the molar Si:P ratio was higher than 15 and 331 reached the maximum value of 200 (Figure 8), indicating that Si still exceeded diatom 332 growth requirement, and could therefore limit the development of non-diatoms algae in 333 this environment.

334 Figure 8.

335 4. Discussion

336 4.1. Level of nutrients contamination

337 The level of nutrients measured in the Saigon and Dongnai Rivers was compared to the 338 European standard of water quality (Water Framework Directive, 2000). The European 339 standard of water quality has five surface water quality assessment categories (high, 340 good, moderate, bad and very bad status). Vietnamese water quality regulation 341 (QCVN08, 2015) is not complete enough for nutrient assessment and only provide water

342 quality legislation for industrial and domestic usage, but this standard does not include 343 the general health of the ecosystem.

344 *Nitrogen*. In the whole Saigon and Dongnai basin, $NO₃$ concentration was lower than 2.5 345 mgN L⁻¹, which is equivalent to good (0.5-2.5 mg. L⁻¹) and high status (< 0.5 mgN L⁻¹) 346 (WFD, 2000). The NH₄⁺ concentration was around 0.1 mgN L⁻¹ (good status <0.4 mgN 347 L⁻¹, WFD 2000) at upstream Dongnai River (DN-up) and downstream Saigon River 348 (NBR). This was not the case for Saigon City Center (SG-City), as it is affected by urban 349 wastewater discharge, NH₄⁺ concentration being on average 0.7 mgN L⁻¹ and rising up to 350 3 mgN L⁻¹ (moderate, 0.4-1.6 mgN L⁻¹ to bad status, 1.6-3.9 mg L⁻¹, WFD 2000). The 351 Saigon River was then highly polluted by ammonium in the dense urban area but less by 352 nitrates, which indicates low emissions from agricultural practices (Grizzetti et al., 2012).

353 Concentrations of NO_3 and NH_4 ⁺ in the Saigon River were not only higher than in the 354 very polluted urban Nhue River in Hanoi, Northern Vietnam: 0.0 - 0.1 mgN-NO_3 ⁻ L⁻¹ and 355 0.02-0.15 mgN-NH₄⁺ L⁻¹ (Trinh et al., 2015) but also than in other major tropical rivers, 356 such as the Lower Mekong River (Vietnam): 0.15 ± 0.05 mgN-NO₃⁻ L⁻¹ and 0.03 ± 0.03 357 mgN-NH₄⁺ L⁻¹ (Li and Bush, 2015), the Senegal River (Senegal): 0.042 -0.17 mgN-NO₃⁻ 358 L^{-1} and 0.005-0.03 mgN-NH₄⁺ L^{-1} (Mamadou et al., 2016), Chap Phraya River 359 (Thailand): 1.3 mgN L^{-1} and 0.08 mgP L^{-1} (Jens et al., 2001) and the Yangtze River 360 (China): $0.019 - 0.027$ mgN-NO₃⁻ L⁻¹ and $0.003 - 0.005$ mgN-NH₄⁺ L⁻¹ (Liu et al., 2016). 361 Land use in boundary areas of HCMC is dominated by agriculture, while the upstream 362 parts of both the Saigon and Dongnai Rivers are more populated compared to

363 downstream of HCMC; the heart of HCMC being the most densely populated (Figure 364 1c). NO₃ originates principally from the leaching of agricultural soils (Billen et al., 365 2010). However, in rivers influenced by urban wastewater discharges, it can originate 366 from the ammonium nitrification process (Garnier et al., 2007; Herbert, 1999; Xia et al., 367 2009). In HCMC, due to the lack of wastewater treatment plants, most of the untreated 368 domestic waste water is discharged directly into the three main urban canals connected to 369 the Saigon River (Figure 1c). Therefore, the untreated domestic waste water becomes the 370 principal source of NH₄⁺.

371 Indeed, NH₄⁺ is a good indicator of domestic pollution among all nitrogen forms. NH₄⁺ 372 concentrations increased considerably in Saigon City Center evidencing pollution by 373 effluents from HCMC and its suburbs (Figure 7). At the Saigon – Dongnai River 374 confluence, NH₄⁺ concentrations returned close to the values observed in upstream 375 Dongnai River showing the spatially limited NH_4^+ pollution of HCMC on its river further 376 downstream. NO₃ concentrations also decreased at the confluence and we hypothesized 377 that they (NH₄⁺ and NO₃⁻) played a major role in the stimulation of primary production 378 by phytoplankton in the Saigon River aquatic system (Dugdale and Goering, 1967).

379 *Phosphorus and silica*. PO_4^3 concentrations ranged from 0.05 up to 0.2 mgP L⁻¹ in 380 Saigon City Center (SG-city) corresponding to good-to-moderate status (WFD, 2000) but 381 Total P concentrations were much critical up to 0.96 mgP L⁻¹ (bad status, WFD, 2000). In 382 the other upstream locations (SG-up) and downstream (NBR) of the Saigon River and 383 upstream of the Dongnai River (DN-up), PO_4^3 concentrations were lower than 0.1 mgP 384 L⁻¹ (good status, WFD, 2000) and Total P concentrations were lower than 0.4 mgP L⁻¹

385 (good to moderate status, WFD, 2000). These results indicated a high level of phosphorus 386 within the city center, especially Total P. The proportion of particulate P in Total P was 387 high (mean of 78 \pm 6 % in mean) indicating a strong interaction with suspended 388 sediments. $PO₄³$ concentrations in the Saigon River were higher than in other rivers, e.g., 389 the Lower Mekong River (Vietnam): 0.02 ± 0.04 mgP L⁻¹ (Li and Bush, 2015) and the 390 Senegal River (Senegal): 0.003 - 0.013 mgP L^{-1} (Mamadou et al., 2016). Moreover, we 391 observed that DSi fluctuated between four sampling sites during the 2015-2017 period. 392 The sources of DSi were mainly from diffuse origins (e.g., weathering and erosion of 393 natural rocks) or from point sources (e.g., urban runoff, domestic and industrial waste 394 waters) (Sferratore et al., 2006). Particulate silica can then be dissolved to become a 395 source of DSi, which could explain the fluctuation of DSi observed at the four sampling 396 sites.

397 Due to the very poor sanitation in HCMC, Total P inputs are mainly from industrial and 398 domestic sources, so that the Total P load in the Saigon – Dongnai River is rather 399 constant over the year. Less than 10% of domestic effluents and less than 50 % of 400 industrial effluents are treated before being discharged directly into the river 401 (Marcotullio, 2007). The theoretical domestic P concentration can be estimated at 30 mgP 402 L¹ from the equivalent per capita (4 gP inhab.⁻¹ day⁻¹ and 150 L inhab.⁻¹ day⁻¹; Lam et al., 403 2008 and Ministry of Construction, 1998) and total wastewater flux at about 10 $m^3 s^{-1}$ 404 (considering the total unconnected urban population at 6 million inhabitants, i.e., 90% of 405 the 6.7 million inhabitants living in urban districts). Untreated wastewaters would 406 contribute to about 20 % of mean annual discharge of the Saigon River (50 m³ s⁻¹) and

407 untreated wastewaters P concentrations would be 100 times higher than P concentrations 408 measured in the Saigon River (mean of 0.3 mgP L^{-1} in mean). Domestic inputs are then 409 constant over the time regardless of the season and could maintain P concentrations at a 410 high level within the Saigon River.

411 During the wet season, the river flow was higher than during the dry season (Figure 3); P 412 input was diluted by rainfall and there was a P depletion with regards to Si. During the 413 dry season, most of the Saigon River water discharge comes from the Dau Tieng 414 reservoir, modifying the nutrients balance. Despite inputs being less diluted during the 415 dry season, the decrease of $PO₄³$ concentrations, especially effective at this time can be 416 due to algal growth (Causse et al., 2015). In addition, the canal system in HCMC is the 417 main drain for untreated effluents from the urban catchment area so that P can be stored 418 within the sediments. Urban areas include houses, roads, and other public areas, are 419 regularly flooded with a mix of storm water and wastewater leading to the sediments 420 being flushed out by the overflow under rainy conditions (Tran Ngoc et al, 2016). Indeed, 421 high levels of PO_4^3 - in water and Particulate P in sediment were observed in urban canals 422 in HCMC (Strady et al., 2017) indicating an intense adsorption process of dissolved P 423 onto sediment. This accumulation in the sediment was evidenced in the urban canals of 424 Bangkok (Thailand), which presents similar geography, demography and wastewaters 425 management to HCMC (Færge et al, 2001).

426 *Nutrients proportions*. We observed from bi-monthly monitoring data that the dissolved 427 inorganic nitrogen proportion (%DIN) at four sampling sites fluctuated slightly from $38 \pm$ 428 24 % at NBR to 49 \pm 22 % at SG-up during the 2015-2017 period. Whilst PO₄³⁻

429 proportion was lowest at SG-city $(32 \pm 27 \%)$, Dongnai River experienced the highest 430 proportion (49 \pm 31 % at DN-up). The change in proportion of primary nutrients (N, P 431 and Si) can lead to a change in the Redfield ratio N:P:Si, affecting the risk of 432 eutrophication in aquatic systems. In turn, phytoplankton uptake affects nutrient 433 concentrations (Redfield, 1958 and many others since then); furthermore, the increased 434 anthropogenic nutrient inputs necessarily change the proportion of nutrients for 435 phytoplankton growth (Turner and Rabalais, 1991). It means that the feedback effect 436 between nutrients and phytoplankton uptake, can control phytoplankton dynamics (e.g., 437 dominant species, successions from diatoms to non-diatoms).

438 The seasonal variability of water quality in the Saigon River was explored from the bi-439 monthly monitoring. Seasonality is of most important in terms of the eutrophication 440 problem because phytoplankton development is naturally controlled by factors that 441 follow seasonal cycles (Le et al., 2015). Surprisingly, there was no clear seasonality of all 442 observed parameters. The relation between water discharge and nutrient variation is not 443 relevant in this system as the discharge is controlled by the tide and water release from 444 Dau Tieng reservoir (Trieu et al 2014). The parameters monitored and presented in 445 Figures 4 to 6 showed that the salinity was by far the one that exhibits the strongest 446 seasonality. We can actually clearly distinguish the two peaks during the 2016 and 2017 447 dry seasons, but we can also clearly see that their magnitudes are very different. Based on 448 statistical analyses, we observed that some nutrients fluctuated seasonally (p-value < 449 0.05), e.g., NO₃ (p-value = 0.015) and NH₄⁺ (p-value = 0.04) in SG-up; Total P (p-value 450 = 0.026) in DN-up or NO₃ (p-value = 0.049) in SG-city. Other nutrients such PO₄³⁻ did 451 not vary seasonally with p-value > 0.05, especially in SG-city. Therefore there is no 452 evidence of hydrological seasonality to explain the variability of most of the nutrient 453 concentrations.

454 Concerning the spatial fluctuation of nutrients in the Saigon River, our results clearly 455 showed the impact of HCMC on nutrient concentrations, which is presented by an 456 increase of Total N, NH_4^+ , Total P and PO_4^3 ⁻ (Figure 7).

457 4.2. Eutrophication status and limiting factors

458 According to the eutrophication classes proposed by Dodds et al. (1998) and Dodds and 459 Welch (2000), we classified the water quality of the Saigon and Dongnai River branches 460 upstream and downstream of the City Center as mesotrophic, and being clearly eutrophic 461 in the SG-city site (Table 1). To identify the principal limiting factor of algal blooms in 462 the system, we used the N:P molar ratio presented in Figure 8. A molar ratio of N:P lower 463 than 16 indicates that P is the limiting factor for algal growth, while a ratio higher than 16 464 shows that N is the key element. When observation that the molar N:P ratio is higher than 465 16:1 in the Saigon – Dongnai River system, P is indicated as the limiting factor. More 466 precisely, the molar N:P ratio in the Saigon River (N:P = 69.7 ± 44.8 on average) was 467 higher than that of the Dongnai River (N:P = 45.5 ± 22.9 on average). In May 2017, the 468 N:P ratio peaked at 258, showing that N was largely in excess in comparison to P. It 469 would seem that in order to reduce eutrophication in the Saigon River, the control of the 470 key element P is necessary.

472 The relationship between POC and Chl-a was analyzed at the four sampling sites to 473 verify the origin of organic carbon. This relationship observed with $R^2 < 20$ % indicates 474 that the main composition of organic carbon in the Saigon River is not phytoplankton. 475 Furthermore, POC/Chl-a weight-to-weight ratio values were calculated for each site and 476 were all higher than 200 (e.g., 1573 ± 1600 , 517 ± 770 , 1564 ± 1620 and 524 ± 620 at 477 SG-up, SG-city, NBR and DN-up, respectively), characterizing a detrital or degraded 478 material (Cifuentes et al., 1988). This means that the origin of the organic matter within 479 the river was principally allochthonous and likely from untreated wastewaters. Moreover, 480 the average C:N ratios calculated at the four sampling sites were all lower than 12 (3.0 \pm 481 2.5, 4.5 ± 4.7 , 2.6 ± 3.2 and 2.8 ± 4.5 at SG-up, SG-city, NBR and DN-up, respectively). 482 These findings supported the assumption of allochthonous organic matter inputs from 483 wastewaters (Hedges et al., 1986; Hedges and Oades, 1997; Balakrishna and Probst, 484 2005; Zafirah et al., 2017). Nevertheless, values of POC/Chl-a ratio decreased in SG-city 485 where algal development is maximum. It indicated that in this part of the river, the 486 proportion of autochthonous organic matter is increasing due to eutrophication.

487 4.3. Impact of HCMC on the water quality of the Saigon River

488 Since the early 1990's, HCMC has been experiencing an economic boom (Du and 489 Fukushima, 2010; Vo, 2007). This growth has been accompanied with a rapid 490 urbanization, the urban surface area has indeed quintupled between 1990 and 2012; with 491 about 660 km² of surface becoming urbanized during that period (Kongtis et al., 2014). 492 Since the study of Gurby et al. (2002), urbanization has continued to expand along the 493 Saigon River (North and South), with a population density that can exceed 30,000

494 inhabitants per km^2 (see Figure 1c). This economic and demographic evolution has 495 created new challenges for the city in terms of water quality management (Vo, 2007). 496 Policy makers are now being forced to find new solutions to reduce pollution and restore 497 the aquatic ecosystem of the Saigon River.

498 The monitoring conducted at our four stations for more than two hydrological years 499 underlines a critical eutrophication observed each year at SG-city. The three episodes of 500 eutrophication observed during our survey lasted several weeks, but were not easily 501 correlated with dry or wet hydrological seasons; even if the highest peak of 502 eutrophication was observed in the middle of the dry season in January 2017 (Chl- $a >$ 503 150 μ g L⁻¹, Figure 6c).

504 Figure 9 proposes a synthetic view of population distribution along the Saigon River and 505 the level of the trophic status in order to highlight the connections between urbanization 506 and eutrophication. The snapshot presented in Figure 9a shows a rapid deterioration of 507 water quality, from oligotrophic waters in the upper 5-10 km of monitoring (pK-55 to pK 508 -48) to mesotrophic (pK-48 to pK-40) and eutrophic waters downstream (pK-40 to pK-509 12). The water quality is then restored quite rapidly and recovers an oligotrophic status 510 around pK-10, i.e., ten kilometers before the confluence of Saigon and Dongnai Rivers.

511 Figure 9.

512 For the upper stretch of the river (from pK-55 to pK-10), Figure 9 shows a good match 513 between the increase in eutrophication and the density of inhabitants, which demonstrates 514 the direct effect of untreated urban wastewater release on river quality. The restoration of 515 water quality beyond pK-10 can appear surprising, but it is supported by two

516 assumptions: first, the restoration of water quality can be attributed (at least partially) to 517 the positive effect of the Binh Hung wastewater treatment plant, which collects and treats 518 a part of the wastewaters from the south of the City Center (district 5, district 7); second, 519 water quality improvement is more likely due to the dilution of polluted water from the 520 Saigon River as it mixes with seawater and the Dongnai River waters. The water quality 521 monitored at station NBR indeed presented a good water quality status (Chl- a < 10 µg L⁻ 1522 ¹) throughout the 30 months of monitoring. During the dry season, this water body mixes 523 daily with the Saigon River and progressively diffuses upstream. This is demonstrated by 524 the saline intrusion observed at SG-city station in Figure 4b.

525 Furthermore, during the dry season survey $(19th$ April 2017), the DO level was high due 526 to photosynthesis processes by a high level of phytoplankton, reaching its highest value at 527 110 μ g Chl-a L⁻¹. During the wet season, the upstream and middle sections of the Saigon 528 River were deoxygenated (DO < 2 mg L^{-1} , see Figure 7) because of oxygen consumption 529 by biological activities (not only degradation of organic matter but also nitrification). At 530 these locations, the water body contains large amounts of organic matter which is 531 quantified by high DOC and POC concentrations (making up above 3 mgC L^{-1}) and NH₄⁺ 532 concentrations higher than 0.2 mgN L^{-1} (cf. Figure 7).

533 In addition to the high level of NH_4^+ in the middle section, NO_3^- was also low in this area 534 (Figure 7). There was a slight decrease in NH_4^+ concentrations during the dry season 535 from upstream to downstream of HCMC, while there was a drastic increase in NH_4^+ 536 levels at the City Center during the wet season due to the discharge of untreated domestic 537 waste water from urban zones. By contrast, $NO₃$ concentration increased at the City

538 Center during the wet season, whereas their values decreased during the dry season 539 (Figure 7). During the dry season, NH_4^+ slightly decreased at the same time as NO_3^- 540 indicating a dilution by the Dongnai River or seawaters. On the contrary, during the wet 541 season, there was a strong nitrification process with a rapid decrease of NH_4^+ at City 542 Center occurring at the same time as an increase in $NO₃$ (Figure 7). The nitrification 543 process was likely initiated with the input of oxic waters up to pK -10 (Figure 7). The 544 result highlights the potential capacity of the estuary to metabolize the pollution emitted 545 by HCMC (Flemer, 2006).

546 In general, anthropogenic activities (e.g., agricultural and industrial activities, poor 547 domestic wastewater treatment) have led to eutrophication of water bodies with an 548 expected impact on water quality and drinking water production. To deal with these 549 problems, wastewater planning and management is needed. It is critical that wastewater 550 management becomes a fundamental part of urban planning and watershed management. 551 HCMC authorities have already planned to build ten new WWTPs able to treat most of 552 the domestic waters in the next ten years (Tran Ngoc et al., 2016). HCMC must also 553 urgently incorporate principles of ecosystem management from the watershed into the 554 coastal ocean. The natural ecosystem can be used to provide water more sustainably; with 555 this in mind, wetland management is an essential option to sustain the water cycle and 556 hence, to decrease nutrient and organic pollution (Gupta et al., 2016).

557 5. Conclusions

558 Our two-and-a-half year survey of water quality was the first time to evaluate global 559 nutrient levels in the Saigon – Dongnai Rivers. As outlined above, the values observed in

560 the upstream of HCMC were close to those observed in the downstream of HCMC, 561 which showed the low anthropogenic impact in the more rural areas. We did not take into 562 account nutrient input from diffuse sources (e.g., agricultural activities) due to lack of 563 data. However, we assumed that nutrient input from diffuse sources is of second order in 564 comparison to high nutrient input from point sources in the urban areas of HCMC. Indeed 565 water in the heart of HCMC was polluted and exhibited high nutrient concentrations 566 (especially phosphorus) due to untreated effluents from residential and industrial areas. 567 The Saigon River experienced extreme eutrophication episodes. We can therefore 568 conclude that there are numerous problems and challenges with regards to water quality 569 management in HCMC. The question we need to ask is how to revise, improve and 570 implement the current legal system regarding the water sector in general, and wastewater 571 management in particular. Appropriate quantification of the eutrophication status is a first 572 step in which scientists and policy makers need to work together using operational 573 modelling tools to move from observation to mitigation. We need to understand how 574 water quality in downstream of HCMC is recovered. This should be further investigated 575 when looking at dilution between the Saigon River, the Dongnai River and seawater 576 within the whole salinity gradient down to the coastal area. A modelling approach of the 577 hydrodynamics and biogeochemistry of the estuary is currently conducted to identify the 578 predominance of each of the internal biogeochemical processes.

579

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834 tides and (b) Intercomparison of snapshot trophic situations for dry (black solid 835 line) and wet (grey dashed line) seasons.

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837 Figure 1. (a) Map of Saigon – Dongnai Rivers and location of sampling sites, (b) 838 distribution of monthly rainfall and temperature from 2011 to 2016, (c) population 839 density distribution in Ho Chi Minh City (source: HCMC Statistical Year Book 2016).

841 Figure 2. Water level during (a) the dry season (April 2017) and (b) the wet season 842 (October 2017) at HCMC (source: tide4fishing.com; black points indicate bi-monthly 843 monitoring and black lines correspond to the time period during which longitudinal 844 profiles were carried out).

847 Figure 3. Distribution of mean monthly discharge (period 2012-2016) in Saigon River

848 and Dongnai River (data source: DONRE; see Figure 1a for the location).

853 Figure 4. Seasonal variations of (a) pH, (b) salinity, (c) DO and (d) TSS at the four 854 stations during the period July 2015 to December 2017. Gray color presents the dry 855 season, which lasts from December to April and white color is the wet season from May 856 to November.

864 Figure 5. Seasonal variations of (a) Total N, (b) NO_3 , (c) NH_4^+ , (d) Total P, (e) PO_4^3 and 865 (f) DSi measured at the four sites from July 2015 to December 2017.

871 Figure 6. Seasonal variations of a) DOC, b) POC, c) Chl-a and d) phaeopigments 872 measured at the four sites from July 2015 to December 2017 (MD is missing data).

879 Figure 7. Longitudinal profile in the Saigon River during dry season $(19th$ April 2017) 880 and wet season (20th October 2017); from top to bottom and from left to right: pH, DO, 881 salinity, Total N, NO₃, NH₄⁺, Total P, PO₄³⁻, DSi, TSS, DOC, POC, Chl-a and 882 Phaeopigments. Last panel represents the longitudinal profile divided into three sections.

884 Figure 8. Molar ratios of Si:N and Si:P (log scale) at four sampling sites in Saigon –

885 Dongnai River system from July 2015 to December 2017.

888 Figure 9. Seasonal variations of the trophic status of the Saigon River with the population 889 density. (a) Snapshot of the eutrophic level during the dry season $(19th$ of April 2017); 890 spatial distribution of population density (see also Figure 1c). The patch of Chl-a 891 presented in Figure 9a is corrected from the upstream-downstream oscillation of water 892 masses with tides. Depending on tidal level, water masses can oscillate with an amplitude

902 Table 1. Classification of trophic levels of rivers and assessment of levels for the four 903 sampling sites in the Saigon – Dongnai Rivers.

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