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Expertise for conspecific face individuation in the human brain

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1	Expertise for conspecific face individuation in the human brain
2	
3	Abstract
4	
5	Humans exhibit a marked specialization to process the most experienced facial
6	morphologies. In particular, nonhuman primate faces are poorly discriminated compared to
7	human faces in behavioral tasks. So far however, a clear and consistent marker that quantifies
8	our expertise in human over monkey face discrimination directly from brain activity is
9	lacking. Here, using scalp electroencephalography (EEG), we isolate a direct signature of
10	individuation abilities for human and nonhuman (i.e., macaque faces) primate faces. Human
11	or monkey faces were rapidly presented at a base rate of 12 Hz in upright or inverted
12	orientations while participants performed an orthogonal behavioral task. In each stimulation
13	sequence, eight face images of one individual were used as base stimuli, while images of
14	other individuals were briefly introduced every 9 th stimulus to quantify an identity-change
15	response at 1.33 Hz and harmonics (i.e., integer multiples) in the EEG frequency spectrum.
16	The brain response to upright human faces was twice as large as to monkey faces, and
17	reduced following picture-plane inversion for human faces only. This reflects the disruption
18	of high-level face identity discrimination developed for the canonical upright human face. No
19	difference was observed between upright monkey faces and inverted human faces, suggesting
20	non-expert visual processes for those two face formats associated with little experience. In
21	addition, the size of the inversion effect for human, but not monkey faces, was predictive of
22	the expertise effect (i.e., difference between upright human and monkey faces) at the
23	individual level. This result suggests a selective ability to discriminate human faces that does
24	not contribute to the individuation of other unexperienced face morphologies such as monkey
25	faces. Overall, these findings indicate that human expertise for conspecific face discrimination
26	can be isolated and quantified in individual human brains.
~ 7	

Keywords: FPVS-EEG; Face individuation; Expertise; Visual discrimination; Human;
Monkey

30 Data and Code Availability:

The authors confirm that the data supporting the findings of this study are available within the article
or its supplementary materials. Details of raw data that support the findings of this study are available
from the corresponding author, F.D., upon reasonable request.

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Expertise for conspecific face individuation in the human brain

Introduction

Visual discrimination of face identity is a fundamental and complex function of the 37 human brain with critical implications for social interactions. Face individuation is readily 38 achieved with apparent simplicity and automaticity despite subtle physical differences 39 between individual faces and widely variable exposure conditions (e.g., viewing angle 40 lighting, facial expression). Such a high-level perceptual ability led to the view that humans 41 42 are natural experts at individuating faces due to extensive experience with this visual category (e.g., Carey, 1992; for a recent debate see Rossion, 2018; Sunday & Gauthier, 2018; Young & 43 Burton, 2018a, 2018b, 2018c). Accordingly, humans exhibit a marked specialization to 44 process the most experienced faces over less experienced ones, such as same-race over other-45 46 race faces (i.e., "other-race effect", for reviews see Meissner & Brigham, 2001; Rossion & Michel, 2011) or same-age over other-age faces (i.e., "other-age effect", Kuefner, Macchi 47 Cassia, Picozzi, & Bricolo, 2008; for a review see, Rhodes & Anastasi, 2012). 48

As a general account of visual expertise for a certain class of faces, numerous studies 49 50 have documented how experience tunes the face perception system towards conspecific over other-species face morphologies (Dufour, Coleman, Campbell, Petit, & Pascalis, 2004; 51 52 Dufour, Pascalis, & Petit, 2006; Pascalis & Bachevalier, 1998; Pascalis, de Haan, & Nelson, 53 2002; Simpson, Jakobsen, Damon, Suomi, & Ferrari, 2017; Sugita, 2008), the so-called "other-species effect" (OSE, Scott & Fava, 2013). This high-level perceptual expertise has a 54 long-standing developmental history that can be traced back into early infancy (i.e., the 55 "perceptual narrowing" mechanism, for review see Maurer & Werker, 2014), and extends to 56 nonhuman primates (Simpson, Jakobsen, Damon, Suomi, & Ferrari, 2017; Sugita, 2008). In 57 particular, despite commonalities with human faces due to phylogenetical proximity (Balas & 58 Stevenson, 2013; Taubert, 2009), nonhuman primate faces are poorly discriminated by 59 humans in both explicit (e.g., face matching or search tasks, Dufour & Petit, 2010; Scott, 60 Shannon, & Nelson, 2005; Wu et al., 2015) and implicit (i.e., visual paired comparison, 61 62 Dufour et al., 2006; Pascalis & Bachevalier, 1998; Pascalis et al., 2002) behavioral tasks. 63 Subtle differences in the arrangement of facial features are also better detected in human than monkey faces (e.g., Dahl, Logothetis, Bülthoff, & Wallraven, 2010, 2011; Dahl, Rasch, & 64 Chen, 2014; Dahl, Wallraven, Bülthoff, & Logothetis, 2009; Mondloch, Maurer, & Ahola, 65 2006). Similarly, while picture-plane inversion strongly disrupts human face individuation 66 (the well-know "face inversion effect", Yin, 1969, for reviews see Rakover, 2013; Rossion, 67

68 2008; Valentine, 1988) indexing greater experience with the canonical upright face format

69 (Albonico, Furubacke, Barton, & Oruc, 2018; Carey & Diamond, 1977; Diamond & Carey,

1986; Rossion & Gauthier, 2002; Valentine, 1988; White, Phillips, Hahn, Hill, & O'Toole,

71 2015), it affects the discrimination of nonhuman primate faces to a lesser extent (Dufour et

72 al., 2004; Taubert, 2009).

Studies using scalp electroencephalography (EEG) are ideally suited to characterize a 73 74 direct neural signature of this well-established behavioral advantage in processing human over nonhuman primate faces. The N170, peaking at around 150-170 ms after stimulus onset over 75 76 the occipito-temporal cortex (e.g., Bentin, Allison, Puce, Perez, & McCarthy, 1996; Bötzel, 77 Schulze, & Stodieck, 1995; Carmel & Bentin, 2002; Rossion et al., 2000), is the earliest 78 event-related potential (ERP) component showing strong sensitivity (i.e., larger amplitude) to 79 faces over non-face objects (for reviews see Eimer, 2011; Rossion, 2014; Rossion & Jacques, 80 2011). The N170 elicited by nonhuman primate faces is delayed (Balas & Stevenson, 2013; Carmel & Bentin, 2002; de Haan, Pascalis, & Johnson, 2002; Halit, de Haan, & Johnson, 81 2003; Itier, Van Roon, & Alain, 2011; Rousselet, Mace, & Fabre-Thorpe, 2004; Wiese, Stahl, 82 & Schweinberger, 2009) and of larger amplitude (Balas & Stevenson, 2013; de Haan et al., 83 2002; Itier et al., 2011; Schweinberger, Huddy, & Burton, 2004; Scott et al., 2005) compared 84 to human faces. However, the latter effect seems less robust (Rossion, Curran, & Gauthier, 85 2002) as some studies either failed to find significant amplitude differences (Carmel & 86 Bentin, 2002; Rousselet et al., 2004; Wiese et al., 2009), or even reported a decrease in 87 88 amplitude (Gajewski & Stoerig, 2011). The difference between the N170 evoked by human and monkey faces strikingly mirrors the N170 inversion effect for human faces with a delayed 89 90 and sometimes enhanced peak response to upside-down faces (e.g., Caharel, Fiori, Bernard, 91 Lalonde, & Rebaï, 2006; de Haan et al., 2002; Eimer, 2002; Marzi & Viggiano, 2007; Rossion et al., 1999, 2000; Rossion & Gauthier, 2002; Sadeh & Yovel, 2010). Therefore, picture-plane 92 93 inversion modulates the N170 more strongly for human than nonhuman primate faces (Itier et al., 2011; Rousselet et al., 2004; Wiese et al., 2009), suggesting that monkey faces recruit 94 95 non-expert visual processes in both orientations.

However, it is worth noting that the aforementioned ERP studies simply contrasted the
sudden onset of a face stimulus (either human or nonhuman) with a no-stimulus baseline, and
thus did not isolate face individuation processes in the brain. A few ERP studies used
adaptation/repetition paradigms to tap the visual discrimination of both human and nonhuman
primate faces, but they did not find a repetition effect on the N170/M170 for either category

(Schweinberger et al., 2004; Schweinberger, Kaufmann, Moratti, Keil, & Burton, 2007). In 101 102 contrast, these studies observed an increased amplitude of the subsequent N250/M250 component with face repetition, which was either similar for the two categories 103 104 (Schweinberger et al., 2007), or slightly larger for human faces (Schweinberger et al., 2004). 105 In addition, these studies did not use different images of the same individual face and cannot exclude a low-level image-based account of the repetition effect. So far, EEG studies thus did 106 not provide a clear and consistent marker that quantifies our expertise in human over monkey 107 108 face individuation directly from brain activity.

109 Here, we tackle this issue and isolate a direct signature of greater individuation ability for human over monkey faces in the human brain using fast periodic visual stimulation 110 (FPVS) coupled with EEG frequency-tagging. In previous studies, FPVS-EEG has 111 successfully characterized a neural marker of human face discrimination (Dzhelyova & 112 113 Rossion, 2014b, 2014a; Liu-Shuang, Norcia, & Rossion, 2014) whose amplitude is substantially reduced by picture-plane inversion (Liu-Shuang et al., 2014). Moreover, 114 115 individual identity-change responses obtained with this approach are associated with explicit behavioral performance in face discrimination tests (Xu, Liu-Shuang, Rossion, & Tanaka, 116 2017; for a relationship between eye movements and neural face discrimination see also 117 Stacchi, Ramon, Lao, & Caldara, 2019), and relate to face individuation impairment in 118 acquired prosopagnosia (Gao, Vuong, & Rossion, 2018; Liu-Shuang, Torfs, & Rossion, 119 2016). The FPVS-EEG approach is thus ideally suited to provide a direct and implicit neural 120 signature of face individuation abilities. 121

122 In the present study, human or nonhuman (i.e., macaques faces, *macaca mulata*) primate faces were rapidly presented at a base rate of 12 Hz (i.e., 12 images per second, ≈ 83 123 124 ms per image). Each stimulation sequence consisted in the repeated presentation of 8 images of the same individual (e.g., individual A, see Figure 1), followed by the brief appearance of a 125 different individual from the same face category (i.e., human or monkey) every 9th cycle (i.e., 126 127 at 12/9 = 1.33 Hz, different individuals at each identity change, e.g., individuals B, C, etc.). To avoid low-level image-based adaptation to the repeated individual face, images varied at 128 each stimulation cycle with a change of head pose. This manipulation constrains the visual 129 130 system to extract face identity across images, excluding face discrimination based on low-131 level cues. Two brain responses were thus dissociated within a single stimulation sequence. The base response recorded at 12 Hz and harmonics (i.e., integer multiples) reflects the rapid 132 processing of every cue changing 12 times per second (e.g., local contrast, head pose). The 133

identity-change response measured at 1.33 Hz and harmonics is a direct marker of individual 134 face discrimination without subtracting out any control condition response (i.e. a direct 135 differential response to the perceived change of identity). In addition, faces were presented 136 upright or upside-down in different stimulation sequences to isolate the expert face 137 individuation processes developed for the familiar upright orientation. Finally, participants 138 performed a non-periodic orthogonal task (i.e., cross detection) that eliminates the 139 contribution of decisional/motor processes in the brain responses of interest. Hence, FPVS-140 EEG is a unique approach to provide a quantified electrophysiological measure of high-level 141 142 individual face discrimination with objectivity (at the exact predefined frequencies of stimulation) and high signal-to-noise ratio (SNR, i.e., the brain responses are captured in a 143 144 few frequency bins within the EEG amplitude spectrum) in every participant (Liu-Shuang, Norcia, & Rossion, 2014). We therefore characterize the expert perceptual processes 145 146 developed by human participants for the rapid (i.e., at a glance) and automatic individuation of human over monkey faces. 147

148 Material and methods

149 Participants

We tested twenty-eight participants (15 females, 1 left-handed; M_{age} = 27.41 years, *SD* = 6.93 years, range: 20–44 years). Participants had no specific history of being familiar with rhesus monkey faces. All reported normal or corrected-to-normal visual acuity, and none reported any history of neurological or psychiatric illness. They provided written informed consent prior to beginning the experiment and were financially compensated for their participation. Testing was conducted in accordance with the Declaration of Helsinki. Stimuli

157 We used 72 male Caucasian faces and 72 Japanese macaque faces. Japanese macaques faces came from the PrimFace database (http://visiome.neuroinf.jp/primface), and human 158 159 faces came from the PUT Face Database (http://biometrics.put.poznan.pl/put-face-database, 160 Kasinski, Florek, & Schmidt, 2008). Images were cropped to discard background and body information, and converted to greyscale images to neutralize chromatic differences between 161 the two species. For both species, images depicted nine different individuals, each represented 162 163 in eight different images with variable head poses (i.e., yaw: $\pm 40^{\circ}$, pitch: $\pm 40^{\circ}$). The final set of stimuli was thus composed of 144 pictures, 8 for each individual face (9 individuals, 2 164 species). Pictures were set to a size of 6.5×8.5 cm for human faces, and 7.3×7.5 cm for 165

166 macaque faces (i.e., $6.5 \times 8.5^{\circ}$, and $7.3 \times 7.5^{\circ}$ of visual angle at a distance of 57 cm, 167 respectively), thus human and macaques faces covered a similar surface area (i.e., ≈ 55 cm²).

To ensure that faces from one or the other category were not physically more variable, 168 we assessed within-category similarity using the complex wavelet structural similarity index 169 170 (CW- SSIM, Sampat, Wang, Gupta, Bovik, & Markey, 2009) implemented on Matlab 2017 (MathWorks, USA). CW- SSIM is a computational measure of image similarity that 171 quantifies the difference between images while being robust to small rotations and 172 translations (ranging from 0 to 1, 1 reflecting perfect similarity). Note that equating face 173 174 discriminability within each stimulus set based on human assessments would have been strongly biased since human ratings would have reflected differential expertise for human and 175 176 monkey faces. For the two categories, we computed the similarity index for each individual face compared to all the other faces in the set. For example, for the human face1, we 177 178 computed the index for the 8 pictures corresponding to this individual with the 64 remaining pictures of the set of human faces (i.e., 8 different pictures per individual × 8 different 179 180 individuals). The similarity index was not significantly different between the two categories $(M_{Human} = 0.763, SD = 0.089, M_{Monkey} = 0.766, SD = 0.097, t[9214] = -1.06, p = .29, Cohen's d$ 181 = 0.02, 95 % CI = [-0.0059; 0.0017], see supplementary material for raw values), indicating 182 that the two sets of faces were of similar physical variability. 183

184 Procedure

Stimuli were presented on a 24-inch LED screen with a 60 Hz refresh rate and a 185 resolution of 1920×1080 pixels. They were presented on a mid-level grey background (i.e., 186 128/255 in greyscale) at a fast base rate of 12 Hz using custom software written in Java. At 187 this rate, each stimulus lasts ≈ 83 ms (i.e., 1 s/12). In each stimulation sequence, the eight face 188 images of one individual were used as base stimuli (B). Images of other individuals were 189 introduced every 9th stimulus, thus corresponding to an identity-change (IC) frequency of 12/9 190 = 1.333 Hz (i.e., \approx 750 ms between two different identities, see Figure 1). Schematically, one 191 192 193 randomly presented with the condition that no repetition of images occurred between two IC presentations. IC stimuli were randomly drawn from the pool of 64 remaining faces (i.e., for 194 195 each species: 8 images of the 8 individuals), with no repetition.

Since our goal was to reveal expertise for conspecifics face individuation under tight temporal constraints, we used a fast 12-Hz rate of image presentation. Previous studies have shown that the visual system can discriminate human faces vs. non-face objects (Or, Retter, &



- Jacques, & Rossion, 2017) at such a rapid presentation rate, or even at higher rates (e.g., 20
- Hz; Retter, Jiang, Webster, & Rossion, 2018). In addition, at stimulation frequencies above 10
- Hz, the base response is characterized with a typical medial occipital topography, peaking at
- 203 Oz and without spreading to occipito-temporal regions (Alonso-Prieto, Belle, Liu-Shuang,
- Norcia, & Rossion, 2013; Dzhelyova et al., 2017). A base rate of 12 Hz thus allows for a
- better spatial dissociation between the base response and the identity-change response. For the
- 206 latter response, the 1.33-Hz rate of identity change is similar or close to what was typically
- used in previous studies (e.g., 1.18Hz, Dzhelyova & Rossion, 2014; Liu-Shuang, Norcia, &
- 208 Rossion, 2014; Liu-Shuang, Torfs, & Rossion, 2016; Rossion, Torfs, Jacques, & Liu-Shuang,
- 209 2015, 1.2 Hz, Hagen & Tanaka, 2019; Xu, Liu-Shuang, Rossion, & Tanaka, 2017; 1.33 Hz,
- Or et al., 2019; Dzhelyova et al., 2017). This corresponds to a duration of 750 ms between
- each identity change, thus allowing enough time for a full response to unfold (i.e., ≈ 500 ms in
- 212 duration, Dzhelyova & Rossion, 2014b).
- 213 Thirty-six conditions corresponding to the 9 identities \times 2 species (human and macaque) \times 2 orientations (upright and inverted) were tested within participants. Each 214 215 sequence started with a pre-stimulation interval of a blank screen (1 s). It was followed by a 216 1.417 s fade-in of increasing contrast modulation depth. Then, the stimulation at full contrast lasted 25.583 s, followed by a 0.667-s fade-out of decreasing contrast modulation depth, and a 217 post-stimulation interval of 0.333 s of blank screen. Each species condition was repeated 18 218 219 times (9 individual faces \times 2 orientations), resulting in 36 sequences of 28 s presented 220 randomly across participants.
- 221



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Figure 1. Schematic illustration of the experimental design. Pictures of individual human (top)
or monkey (bottom) faces were presented at a base rate of 12 Hz (12 images/s, see Movies S1

and S2). The base stimuli (B) consisted of one identity presented from variable head poses, with a change of identity introduced every 9th stimulus (IC, framed in red), at a rate of 12 Hz/9 = 1.33 Hz.

After electrode-cap placement, participants were seated in a light- and sound-isolated 228 cabin in front of the screen. Their head was held on a chinrest to be maintained at a distance 229 of 57 cm from the screen and to reduce movements. An orthogonal behavioral task was 230 designed leading participants to focus their attention on the center of the screen and to prevent 231 expertise effects related to selective attention (McGugin, Newton, Gore, & Gauthier, 2014). 232 233 During each sequence, participants were asked to detect brief (200 ms) appearances of a blue 234 fixation cross located at the center of the screen 6 random times within every 28-s sequence 235 by pressing the space bar with both index fingers. A minimum interval of 2 s between two crosses appearances was introduced. 236

237

EEG recording

During the experiment, electroencephalogram (EEG) was continuously recorded from a 64-channel BioSemi Active-Two amplifier system (BioSemi, The Netherlands) with Ag/AgCl electrodes located according to the 10 - 10 classification system. During recording, the Common Mode Sense (CMS) active electrode was used as reference and the Driven Right Leg (DRL) passive electrode was used as ground. Electrode offset was reduced between ± 25 μ V for each electrode. EEG was digitalized at a sampling rate of 1024 Hz.

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246 EEG analysis

247 Preprocessing

All EEG analyses were performed using Letswave 6 (https://www.letswave.org/) 248 running on Matlab 2017 (MathWorks, USA). Preprocessing and processing analyses steps 249 have been extensively documented in recent publications (Dzhelyova et al., 2017; Jacques, 250 Retter, & Rossion, 2016; Leleu et al., 2018; Retter & Rossion, 2016b, 2016a). EEG data were 251 bandpass filtered at 0.1–100 Hz using a butterworth filter (4th order) and downsampled to 200 252 Hz to reduce file size and computational load. The continuously recorded data were cropped 253 into 28-s segments for each stimulation sequence (fade-in + full contrast + fade-out + 0.333 s 254 after the fade-out), thus resulting in 36 EEG segments per participants (9 individual faces $\times 2$ 255 orientations ×2 species). We applied an independent component analysis (ICA) using the 256 runica algorithm (Bell & Sejnowski, 1995) to remove components corresponding to eye 257 258 blinks, and artifacts recorded over frontal and temporal electrodes. Artifact-prone channels

259 (i.e., with deflections exceeding $\pm 100 \,\mu\text{V}$ in at least two sequences) were replaced using 260 linear interpolation of the three neighboring clean channels (less than 4% of channels per 261 participant, Picton et al., 2000). EEG segments were then re-referenced to a common average 262 reference.

263

264 Frequency-domain analysis

EEG segments were cropped to remove the fade-in, resulting in 26.25-s segments (25.583 s of full contrast and 0.667 s of fade-out, 5250 time bins in total, thirty-five 1.33-Hz cycles). To reduce EEG activity non-phase-locked to the stimuli, the nine preprocessed data segments obtained per condition were averaged in the time domain, thus resulting in a single 26.25-s segment per condition. A fast Fourier transform (FFT) was applied and amplitude spectra were extracted with a high frequency resolution of 1/26.25 = 0.038 Hz.

We first calculated the signal-to-noise ratio (SNR) for each species and orientation on the grand-averaged FFT spectra across participants. SNR was obtained by dividing the amplitude at each frequency bin by the mean noise amplitude estimated from the 20 surrounding frequency bins (10 on each side, excluding the immediately adjacent bins and the 2 most extreme – minimum and maximum – bins; e.g., Leleu et al., 2018; Liu-Shuang et al., 2014). SNR spectra were used for visualization and illustration purpose since responses at high frequencies in the EEG are generally of low amplitude but may have a high SNR.

We next determined how many harmonics (i.e., integer multiples) were significant for 278 279 each brain response. After grand-averaging the FFT spectra across participants, electrodes and conditions, Z-scores were computed as the difference between the amplitude at each 280 frequency bin and the mean noise amplitude (i.e., same estimation as for the SNR, see above) 281 divided by the standard deviation of the noise. Harmonics were considered significant until Z-282 scores were no longer above 1.64 (p < .05, one-tailed, signal > noise) for 2 consecutive 283 harmonics. The identity-change response was significant until the 10th harmonic (i.e., 13.33 284 Hz) and the base response was significant until the 4th harmonic (i.e., 48 Hz, harmonics were 285 286 not considered after the 50 Hz response elicited by AC power).

Z-scores were then calculated on FFT data summed until the 4th harmonic for the base response, and until the 10th harmonic (excluding the 9th harmonic corresponding to the base rate; i.e., 12 Hz) for the identity-change response. Summed amplitudes across harmonics were used to quantify the overall response in the frequency-domain (Retter & Rossion, 2016a). In average across species and orientation, all but one electrode (i.e., T7, Z = 0.27) over the scalp

- reached significance for the base response (all Zs > 1.64, greatest Z = 25.98 for Oz) while 48 electrodes reached significance for the identity-change response (greatest Z = 7.15 for P10).
- Based on those channels identified for grand-averaged data pooled across conditions, 294 we determined different regions-of-interest (ROIs) to include in statistical analyses following 295 a data-driven approach used in previous studies (Leleu et al., 2018, 2019; Poncet, Baudouin, 296 Dzhelyova, Rossion, & Leleu, 2019). For each brain response, we scaled topographical 297 differences between electrodes on the global magnitude of the response (McCarthy & Wood, 298 1985). This normalization consists in dividing the amplitude at each channel by the square 299 300 root of the sum of squared amplitudes of these channels and allows identifying the electrodes 301 over which the response is largest irrespective of its global power. Then, Z-scores were 302 calculated on these normalized summed amplitudes, and only channels with significant responses were included in ROIs. The electrodes included in each ROI differed according to 303 304 the response (base vs. identity-change). We composed three ROIs for the base response: left and right posterior sites (LH: P1, P3, P5, P7, PO3, PO7, and RH: P2, P4, P6, P8, PO4, PO8, 305 306 respectively), and medial occipital sites (MO: Pz, POz, O1, O2, Oz, Iz). For the identitychange response, significant channels were included in two ROIs: left and right posterior sites 307 (LH: P5, P7, P9, P03, P07, O1, and RH P6, P8, P10, PO4, PO8, O2, respectively). 308
- 309 Finally, both responses were quantified in a single value expressed in microvolt (μV) for statistical analyses. A baseline-correction was first applied to FFT amplitude spectra by 310 subtracting the mean amplitude of the noise (i.e., estimated from the 20 surrounding bins, see 311 above). Then, these baseline-corrected amplitudes (BCA) were summed across significant 312 harmonics. Summed BCA were calculated for every channel, condition, and participant. 313 Repeated-measures ANOVAs were then run on individual summed BCA data for the identity-314 change response with species (Human, Macaque), orientation (Up, Inverted) and ROI (LH, 315 RH) as within-subject factors, and for base response with species (Human, Macaque), 316 orientation (Up, Inverted) and ROI (LH, RH, MO) as within-subject factors. We used the 317 Greenhouse-Geisser correction to adjust degrees of freedom whenever the assumption of 318 319 sphericity was violated. Significant effects were followed-up with post-hoc Tukey HSD contrasts. 320
- 321 **Results**
- 322 Behavioral cross detection task

The cross detection task was well performed, with accuracy near ceiling in all conditions (Table S1). This indicates that participants paid full attention to the screen during the periodic stimulation. ANOVAs with Species and Orientation as within factors on Accuracy and RT showed no differences between conditions ($M_{accuracy} = 99.07\%$, $SD_{accuracy} = 327$ 1.86%, $M_{RT} = 383$ ms, $SD_{RT} = 36$ ms, all *ps* >.09).

328 EEG data

SNR calculated on the FFT amplitude spectra (Figure 2) show that the 1.33-Hz brief changes of identity elicited clear brain responses at the same frequency and its harmonics for upright human faces (i.e., SNR between 1.5 and 2.5), whereas no responses were clearly visible for monkey faces in both orientations (i.e., SNR \approx 1). In comparison, the 12 Hz base rate elicited synchronized periodic EEG activities of high amplitudes, with the signal around 3 to 18 times larger than the noise for all conditions.





337 Figure 2. Grand-averaged FFT signal-to-noise ratio (SNR) spectra. SNR calculated on the grand-averaged FFT amplitude spectra for the different face categories (displayed from 1 to 338 339 12 Hz) over the two channels showing the largest response at the identity-change (right occipito-temporal channel P10, red) and base (medial occipital channel Oz, blue) frequencies, 340 respectively. Responses at the identity-change rate (1.33 Hz) and its harmonics (i.e., 2.66 Hz, 341 4 Hz, etc.) are mostly visible for human upright faces, with greater SNR over P10 than Oz. In 342 contrast, high SNR responses are clearly visible at the base rate (12 Hz) for all conditions, 343 reflecting the general sensitivity to all visual cues rapidly changing at this frequency. 344 345

Visual inspection of the topographical head maps of summed BCA suggests that the identity-change response appeared mainly over occipito- temporal regions with a righthemispheric dominance (Figure 3). This was confirmed by a significant effect of ROI F(1, 27) $= 4.77, p = .038, partial \eta^2 = .15, indicating larger activity over the RH (<math>M = 0.25, SD = 0.14,$ 95% CI = [0.19, 0.30]) than LH (M = 0.20, SD = 0.13, 95% CI = [0.14, 0.25]), replicating previous findings with a similar FPVS-EEG approach (Dzhelyova & Rossion, 2014b; Liu-Shuang et al., 2014; Rossion & Boremanse, 2011).



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Figure 3. 3D-topographical maps (posterior view) of summed baseline-corrected amplitudes
and corresponding boxplots of the identity-change response for species and orientation. Black
dots depict individual observations.

Discrimination of upright human faces elicited a strong significant response over the 359 occipito-temporal cortex: the response peaked at the right occipito-temporal channel P10, 360 followed by the adjacent channels P8 and PO8 (all $Z_{s} > 5.53$, p < .001), in line with previous 361 observations (Dzhelyova & Rossion, 2014b; Liu-Shuang et al., 2014). The identity-change 362 brain response for upright human faces was strongly reliable at the individual level, since 23 363 out of 28 participants (i.e., $\approx 82\%$) presented significant Z-scores (i.e., Z > 1.64, p < .05) over 364 P10. For the five remaining participants, four of them showed a significant response over at 365 least one channel within the ROIs, and the last one over at least one posterior channel. By 366 367 contrast, only 12 participants (i.e., $\approx 43\%$) presented a significant Z-score over P10 for upright monkey faces, 11 participants (i.e., $\approx 40\%$) for inverted human faces, and only 8 participants 368 369 (i.e., $\approx 29\%$) for inverted monkey faces. More generally, when considering the mean response of channels included in the ROIs, 23 out of 28 participants showed a significant Z-score for 370 371 upright human faces, 15 participants for upright monkey faces, 16 for inverted human faces and 8 for inverted monkey faces. 372

A significant main effect of Species (F[1, 27] = 21.86, p < .001, partial $\eta^2 = .45$) 373 indicated that the identity-change response was stronger for human ($M = 0.29 \,\mu\text{V}$, SD = 0.19, 374 375 95% CI = [0.22, 0.37]) compared to monkey faces ($M = 0.15 \,\mu\text{V}$, SD = 0.10, 95% CI = [0.11, 0.15]0.19]). We also found a significant effect of Orientation (F[1, 27] = 17.04, p < .001, partial η^2 376 = .39) with larger amplitudes for upright ($M = 0.31 \,\mu\text{V}$, SD = 0.21, 95% CI = [0.23, 0.39]) 377 compared to inverted faces ($M = 0.13 \,\mu\text{V}$, SD = 0.10, 95% CI = [0.09, 0.17]). These two main 378 379 effects were further qualified by a significant interaction between Species and Orientation $(F[1, 27] = 5.18, p = .031, \text{ partial } \eta^2 = .16)$. Post hoc comparisons revealed that the response to 380 upright human faces ($M = 0.42 \mu V$, SD = 0.33, 95% CI = [0.29, 0.55]) was significantly larger 381 than to all other conditions (Figure 3), i.e., inverted human faces ($M = 0.17 \mu V$, SD = 0.17, 382 95% CI = [0.11, 0.24], p < .001, upright monkey faces ($M = 0.20 \,\mu\text{V}, SD = 0.15, 95\% CI =$ 383 $[0.14, 0.25], p \le .001)$ and inverted monkey faces $(M = 0.10 \,\mu\text{V}, SD = 0.10, 95\% CI = [0.06, 0.001)$ 384 0.13], p < .001). In contrast, the difference in amplitude between upright and inverted monkey 385 386 faces was not significant (p = .16), indicating that the inversion effect was strongly reduced for monkey faces compared to human faces. There was no difference between inverted human 387 faces and both upright and inverted monkey faces (all ps > .38). Note however that all 388 conditions presented significant identity-change responses (all ps < .006), indicating that even 389 inverted monkey faces elicited a discrimination response. 390

To further investigate the reliability of the "expertise effect" across participants, we examined the strength of individual differences between upright human and monkey faces. For each participant, we subtracted the summed amplitude (uncorrected) obtained for upright monkey faces from those obtained for upright human faces and computed Z-scores, thus providing a statistical index of the expertise for human over monkey faces. This analysis

revealed that 22 out of 28 participants presented at least one significant channel within the

ROIs, that is, \approx 79% of the participants showed a significantly larger identity-change response

for human over monkey upright faces. Moreover, 50% of the participants showed a significant

399 *Z*-score on the sole electrode P10.

To examine the strength of the inversion effect at the individual level, a similar 400 401 analysis was conducted by subtracting the inverted human face condition from the upright human face condition and computing Z-scores. We found that 23 out of 28 participants (i.e., \approx 402 82%) showed a reliable inversion effect for human faces over at least one electrode within the 403 ROIs. Strikingly, five of the six participants that did not show an expertise effect (i.e., 404 405 significantly stronger response for human over monkey upright faces) also failed to present a significant inversion effect with human faces. Besides, the size of the inversion effect for 406 407 human faces was related to the size of the expertise effect, explaining 81% of the variance of the expertise effect (Adjusted *R*-squared = 0.814) as reflected by the strong correlation 408 409 between the two indexes (r[26] = 0.91, 95% CI = [0.81, 0.96], p < .001, Figure 4). In contrast, 16 out of 28 participants (i.e., $\approx 57\%$) showed a reliable inversion effect with monkey faces, 410 and the size of the inversion effect for monkey faces was not correlated with the expertise 411 effect (r[26] = 0.17, 95% CI = [-0.21, 0.51], p = .38), nor with the inversion effect for human 412 413 faces (r[26] = 0.22, 95% CI = [-0.16, 0.55], p = .26). In sum, the expertise effect was larger in individuals showing the stronger inversion effect with human faces, but it was dissociated 414 from the inversion effect for monkey faces. This indicates that the human expertise for face 415 individuation is highly selective to human faces (i.e., high expertise for human faces does not 416 translate into a stronger discrimination of monkey faces). 417

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between Z-scores for the expertise effect (i.e., upright human minus upright monkey) and the
inversion effect with human faces (left), and between Z-scores of the expertise effect and the
inversion effect with monkey faces (right).

430 The base response to the rapid stream of stimulation

Topographical maps of summed BCA for the base response (see Figure 5, up) revealed 431 432 a larger response for monkey than human faces ($M = 0.74 \,\mu\text{V}$, SD = 0.27, 95% CI = [0.64,0.84], M = 0.92, $SD = 0.37 \,\mu\text{V}$, 95% CI = [0.72, 1.06]) supported by a main effect of Species, 433 F(1, 27) = 30.90, p < .001, partial $\eta^2 = .53$. Moreover, results also showed larger summed 434 BCA at medial occipital sites, with a main effect of ROI ($F[1.94, 52.29] = 22.13, p \le .001$, 435 partial $\eta^2 = .45$), indicating a larger response over the medial occipital region (M = 1.06, SD =436 0.08, 95% CI = [0.89, 1.23]) compared to left (M = 0.64, SD = 0.05, 95\% CI = [0.54, 0.75]) 437 438 and right (M = 0.79, SD = 0.07, 95% CI = [0.64, 0.94]) ROIs (both ps < .001). There was also a significant interaction between Species and ROI (F[1.63, 43.94] = 9.83, p < .001, partial η^2 439 = .27) indicating that the advantage for monkey over human faces is larger over the medial 440

- than the left and right ROIs (see Figure 5). No other effects were significant for the base
- 442 response.
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Figure 5. 3D-topographical maps (posterior view) of summed baseline-corrected amplitudes
and corresponding boxplots of the base responses for species and orientation. Black dots
depict individual observations.

448 Discussion

Using FPVS-EEG, we objectively (i.e., at predefined frequencies) quantified the
ability of the human brain to discriminate very brief (i.e., 83 ms) changes of identity for
human and monkey faces displayed in both upright and inverted orientations. In line with
previous studies (Dzhelyova & Rossion, 2014a, 2014b; Liu-Shuang et al., 2014), we observed
a high SNR brain response reflecting human face individuation over the occipito-temporal

cortex of most participants, with a right-hemispheric dominance and a strong reduction 454 455 following picture-plane inversion (Liu-Shuang et al., 2014). Importantly for our purpose, the identity-change response was also larger for the discrimination of upright human faces 456 compared to both upright and inverted monkey faces. The mean discrimination response to 457 upright human faces was twice as large as to monkey faces, a difference that likely reflects the 458 limited environmental contact – and individuation experience – human participants had with 459 monkey faces in comparison to their extensive exposure to human faces. In addition, the 460 identity-change response was not significantly different between upright and inverted monkey 461 462 faces. These observations expand earlier reports of a behavioral advantage for discriminating upright human faces over upright monkey faces (Dufour et al., 2006; Dufour & Petit, 2010; 463 Pascalis & Bachevalier, 1998; Pascalis et al., 2002; Scott et al., 2005; Wu et al., 2015), and of 464 a stronger impairment for human than monkey face individuation following picture-plane 465 466 inversion (Dufour et al., 2004; Taubert, 2009).

The similar identity-change response to upright monkey faces and inverted human 467 468 faces, both being lower than the response to upright human faces, points toward non-expert visual processes for those two face formats associated with little experience. The effect of 469 470 picture-plane inversion reflects the disruption of high-level face identity discrimination (Liu-471 Shuang et al., 2014), consistent with the behavioral face inversion effect (Yin, 1969, for reviews see Rakover, 2013; Rossion, 2008; Valentine, 1988). It has been reported that 472 inversion induces qualitative changes in face perception hindering the processing of the global 473 474 face shape and relative distance between features (e.g., McKone & Yovel, 2009; Rossion, 2008, 2009; Yovel, 2009). Although speculative, one interpretation of the current observation 475 could be that monkey faces induce similar discrimination processes than inverted human 476 faces. Previous ERP studies consistently reported a delayed and sometimes enhanced N170 477 component in response to both inverted human faces (e.g., Caharel et al., 2006; de Haan et al., 478 479 2002; Eimer, 2002; Marzi & Viggiano, 2007; Rossion et al., 1999, 2000b; Rossion & Gauthier, 2002; Sadeh & Yovel, 2010) and upright monkey faces (Balas & Stevenson, 2013; 480 481 Carmel & Bentin, 2002; de Haan et al., 2002; Halit et al., 2003; Itier et al., 2011; Rousselet et al., 2004; Wiese et al., 2009). It has been suggested that the N170 face inversion effect is 482 accounted for by the recruitment of additional neural sources involved in non-face object 483 processing (Rosburg et al., 2010; Rossion & Gauthier, 2002; for an additional eye processing 484 mechanism see also Itier, Latinus, & Taylor, 2006; Itier et al., 2011). Hence, low experience 485 with both inverted human faces and nonhuman primate faces may recruit generic object 486 487 recognition mechanisms that are not involved for upright human faces. In this context, it is

interesting to note that the base response elicited by the rapid 12-Hz stimulation sequence was 488 larger for monkey faces than human faces, as for the N170 ERP component. Like transient 489 ERPs, the base response reflects the global response of the visual system to the sudden onset 490 of face stimuli. This may be a signature that the monkey face category recruits additional 491 visual processes overall. However, it is noteworthy that a N170-like component cannot be 492 elicited with such a fast base rate since each stimulus is displayed for only ≈ 83 ms and is 493 forward- and backward-masked by other stimuli. An alternative interpretation would be that 494 the increased base response to monkey compared to human faces is driven by the lower 495 496 individuation abilities for monkey faces. Accordingly, identity invariance would be more 497 readily extracted across the rapid 12-Hz changes of head pose for human than monkey faces, 498 leading to a reduced brain response following adaptation to face identity for human faces only (e.g., Retter & Rossion, 2016b). Although both possibilities (i.e., lack of individuation and 499 500 recruitment of non-face object processing mechanisms) are not mutually exclusive, they are also inconsistent with the fact that we did not found an increase of the base response to 501 502 inverted human faces – which would have been expected in both cases. Another interpretation would be that a fast train of monkey faces recruits more attentional resources than human 503 504 faces, in line with the typical enhancement of periodic brain activities with greater attention 505 (Morgan, Hansen, & Hillyard, 1996; Muller et al., 2006). However, note that the orthogonal task was equally performed during visual streams of human and monkey faces (Table S1), 506 507 suggesting that participants paid similar attention to both stimulation sequences. For all these 508 reasons, further investigations are needed to determine which processes drive the increased 509 base response to monkey faces.

510 We also found that the size of individual inversion effects for human but not monkey faces was predictive of individual expertise effects (i.e., amplitude difference between upright 511 human and monkey faces). These findings show that discrimination abilities as indexed by the 512 513 identity-change response are selective to human faces and do not contribute to higher abilities for individuating other face morphologies such as monkey faces. Together with the large 514 515 amplitude of the response observed only for upright human faces, these results further suggest qualitative differences in the processing of human faces compared to monkey faces, with 516 517 upright human faces recruiting dedicated processes specifically developed for this canonical face format. In addition, the large variations between participants for the identity-change 518 519 responses to upright human faces (i.e., five participants even showed no inversion effect for 520 human faces) illustrate how the present approach is ideally suited to investigate individual

differences in face identity processing. Such a conclusion seems tentative since participants 521 were not behaviorally assessed. However, individual identity-change responses obtained with 522 the FPVS-EEG approach are related to behavioral performance for explicit face 523 524 discrimination (Xu et al., 2017), and are strongly reliable across recording sessions within a 6month interval (Stacchi, Liu-Shuang, Ramon, & Caldara, 2019). More generally, this is 525 consistent with the observation that within-subject face processing performance is highly 526 stable, and that some individual are consistently better (or worse) at discriminating faces than 527 others (Young & Burton, 2018a). Accordingly, FPVS-EEG could be used to assess individual 528 529 abilities for face individuation implicitly in the normal population or even in a clinical context 530 without confounding perception with decisional or motor processes.

531 Real life face individuation implies that individual faces are discriminated from other faces and generalized across variable exposure conditions. Generalization refers to identity-532 533 preserving image transformations, or invariance, which is a challenging computational issue that primate visual systems have to deal with (DiCarlo, Zoccolan, & Rust, 2012; Thorpe, Fize, 534 535 & Marlot, 1996). A major strength of the current approach was thus to constrain the visual system to necessarily rely on both mechanisms to individuate faces. At each stimulus onset, 536 we used a different image of the same individual with a different head pose. Therefore, the 537 identity-change response is determined by how repeated images of the same individual are 538 perceived as depicting a unique person, and how the 1.33-Hz stimuli are perceived as 539 540 depicting another person. Contrary to previous EEG repetition studies (Schweinberger et al., 541 2004, 2007), the present work is thus the first to isolate a signature of high-level face 542 individuation for both human and monkey faces which cannot be accounted for by low-level image-based adaptation and discrimination. 543

544 The current findings are theoretically sound for the recent debate on expertise in face processing put forward by Young and Burton (Young & Burton, 2018c, 2018a, 2018b). 545 546 Young and Burton (2018a) suggest that individuating unfamiliar faces does not meet two of 547 three key criteria of expertise: high accuracy and a high degree of automaticity. They mostly 548 argue that compared to the recognition of familiar faces, the lack of knowledge about the wide variability in appearance of any unfamiliar individual that arises under everyday conditions 549 550 leads to non-expert visual discrimination based on low-level pictorial cues (Young & Burton, 551 2018a). As a result, human participants do not reach similar accuracy levels when performing face discrimination/matching tasks across variable images for unfamiliar faces (see Figure 1 552 553 in Young & Burton, 2018a) compared to familiar faces. However, while differences between

the processing of unfamiliar and familiar human faces is not questioned here, the fact that 554 humans are better at discriminating unfamiliar human compared to monkey faces suggests a 555 certain form of expertise for unfamiliar conspecific faces (Dufour et al., 2006; Dufour & Petit, 556 2010; Pascalis & Bachevalier, 1998; Pascalis et al., 2002; Scott, Shannon, & Nelson, 2005; 557 Wu et al., 2015). An important issue for such comparison of face individuation skills between 558 two stimulus sets is the use of stimuli matched for low-level visual differences. Although the 559 current findings are agnostic on accuracy for explicit judgment of face identity, they 560 nevertheless suggest that when low-level visual differences are equated (i.e., we did not 561 562 observe different identity-change responses between human and monkey faces in the inverted orientation), humans show a clearly larger ability to individuate upright human than monkey 563 564 faces. In addition, our observations also qualify the view on automaticity, as the identitychange response reflects automatic face discrimination during an orthogonal behavioral task. 565 566 The difference between human and monkey faces cannot be attributed to larger attentional capture for human faces since participants performed the orthogonal task equally efficiently 567 568 for all experimental conditions (Table S1), and the base response, whose amplitude would be enhanced by greater attentional resources (Morgan et al., 1996; Muller et al., 2006), is larger 569 570 for monkey faces as already mentioned. Finally, since our design measured high-level face 571 individuation processes across variable head poses presented at a very fast periodic rate, and since the identity-change response to human faces was largely affected by picture-plane 572 573 inversion, our findings are inconsistent with the idea that unfamiliar faces are essentially 574 discriminated according to low-level pictorial cues. Altogether, the results of the present study strongly suggest that human participants present a form of expertise for individuating 575 unfamiliar faces from a *familiar* face category (i.e., conspecific faces). 576

577 Overall, the present study provides the first EEG marker of the human selective expertise to individuate upright conspecific faces, expanding previous reports on the 578 579 behavioral other-species effect. Moreover, this direct neural marker quantified at the individual level revealed individual differences in face discrimination abilities, likely 580 581 reflecting idiosyncratic variations in face processing performance. While future studies should further investigate the relationship between such a neural measure of rapid and automatic face 582 583 individuation and behavioral performance in explicit discrimination of faces as a function of visual experience, the present observations yield promising tracks for delineating perceptual 584 585 expertise in face processing directly from brain activity.

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