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Aluminum substitution for vanadium in the $Na_3V_2(PO_4)_2F_3$ and $Na_3V_2(PO_4)_2FO_2$ type materials

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Among the positive electrode materials for Na-ion batteries, $Na_3V_2(PO_4)_2F_3$ is considered as one of the most promising and generates high interest. Here, we study the influence of the sol-gel synthesis parameters on the structure and on the electrochemical signature of the partially substituted $Na_3V_{2-z}AI_z(PO_4)_2(F,O)_3$ materials. We demonstrate that the acidity of the starting solution influences the vanadium oxidation state of the final product. For the first time we report on the possibility of controlling the double Al/V and O/F substitution that leads to the preparation of the $Na_3V_{2-z}AI_z(PO_4)_2F_{1+z}O_{2-z}$ solid solution.

These last years the booming electric and hybrid vehicle market conjugated to the development of renewable energy technologies have generated a strong interest in alternative electrochemical storage technologies to the Li-ion batteries.1 Among them, Na-ion batteries are nowadays considered as a reliable and more eco-friendly alternative due to the high abundance of sodium widespread all over the Earth. Within the large panel of possible positive electrode materials, $Na_3V_2(PO_4)_2F_3$ (NVPF) is among the most promising ones due to its excellent stability upon long range electrochemical cycling and to its attractive high rate performances when coated with carbon that allows fast charge compared to the layered oxides Na_xMO₂ (M= transition metal).²⁻⁴ Moreover, the reversible extraction of two sodium ions at a potential of ~3.7 V (1st Na+) and 4.2 V (2nd Na⁺) vs. Na⁺/Na leads to an energy density comparable to that of the commercial Li-ion batteries using LiFePO₄ at the positive electrode (507 Wh/kg for NVPF versus 530 Wh/kg for LiFePO₄).⁵ Currently, NVPF is used as a positive electrode material by the start-up TIAMAT that develops and produces functional 18650 cells as Na-ion battery prototypes. Very recently, the attractiveness of NVPF increased even more

since Yan et al. demonstrated the possibility to increase the energy density of the full cell NVPF versus hard carbon of ~14% by extracting more than two Na⁺ at high potential and due to its promising performances reported in aqueous systems.^{6,7}

In order to explore the possibility of modifying the values of the redox couples involved in the insertion/de-insertion reactions and thus the potential, the transport properties and the capacity retention at high rates, different approaches were explored. Anionic substitution of oxygen for fluorine has a significant impact on the crystal structure and electrochemical properties of $Na_3V_2(PO_4)_2F_{3-\gamma}O_{\gamma}.^{8-11}$ The richer the oxygen content, the lower the average discharge voltage and thus the energy densities are. Similarly, the effect of cationic doping/substitution for vanadium was studied using for instance yttrium, manganese, lanthanum or titanium in order to improve the electronic conductivity and Na^+ mobility. $^{12-14}$

However, only few of these studies actually addressed the characterization of the composition and the structure of the materials as obtained, in relation with the electrochemical performance. Kosova et al. have demonstrated that Lanthanum substitution was in fact a surface modification effect by LaPO₄. 15 The group of Ceder has studied gallium and aluminium substitution for vanadium, with the aim to disrupt the charge and Na⁺ orderings within the lattice and thus lower the energy barrier for the reversible extraction of the 3rd Na. 16,17 Among the different metals, aluminium drew our attention as Al is cheaper, lighter, more environmentally friendly than V and, as a nonelectrochemically active element, could participate to the stabilization of the material upon cycling. Furthermore, the partial substitution of 0.5 Al3+ for V in Na₃V³⁺_{2-z}Al_z(PO₄)₂F₃ would lead to an increase of ~3% of the theoretical gravimetric capacity for the reversible exchange of 2 Na+ ions, while keeping the extraction of the third Na+ ion possible (i.e. for the exchange of 3 e⁻ or Na⁺ ions per 1.5 V³⁺ in the pristine material). Bianchini et al. have reported an aluminium substitution for vanadium in NVPF limited to z = 0.3 (Na₃V_{1.7}Al_{0.3}(PO₄)₂F₃) through solid-state reaction.¹⁶ However, a loss of reversible capacity was observed for the substituted materials, as only the V^{3+}/V^{4+} redox couple appeared to be involved in the redox processes in the potential range of 2 - 4.5 V vs. Na⁺/Na. On the other hand, Lalère et al. interestingly demonstrated that the V⁴⁺/V⁵⁺ redox couple could

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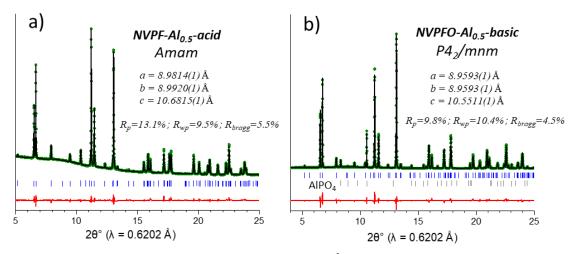


Figure 1. Rietveld refinement of synchrotron powder X-ray diffraction data recorded at $\lambda = 0.6202$ Å for: a) NVPF-Al_{0.5}-acidic refined in the *Amam* space group and b) NVPFO-Al_{0.5}-basic refined in the *P4*₂/mnm space group. The secondary phase (bottom grey tick lines) corresponds to AlPO₄ and its concentration is refined to ~12.1 wt.%.

be activated in the partially substituted NASICON $Na_3V_{1.5}Al_{0.5}(PO_4)_3$ at an average voltage of 3.95 V vs $Na^+/Na.^{18}$

In order to increase the Al-content in the substituted phases, $Na_3V_{2-z}Al_z(PO_4)_2F_3$, we here employed a sol-gel synthesis approach that allows a better chemical homogeneity of the precursors and leads to a higher material purity than through a traditional ceramic approach. ¹⁹ We performed the synthesis in acidic and basic media in order to study the effects of the pH on the crystal structure and on the electrochemical performances of the phases obtained.

For the synthesis of Na₃V_{1.5}Al_{0.5}(PO₄)₂F₃ in acidic medium (NVPF-Al_{0.5}-acidic), citric acid monohydrate, NH₄VO₃ and $AI(NO_3)_3.9H_2O$ with an appropriate molar ratio 2: 1.5: 0.5 were first dissolved in a Teflon beaker containing about 100 mL of H₂O. Then, NH₄H₂PO₄ and NaF (5% in excess), were successively and slowly added to the solution. Once the solution turned green, which corresponded to the reduction of V^{5+} to V^{3+} , it was heated overnight at 80°C under continuous stirring, resulting in a beige/dark powder. This powder was finely ground, placed in a golden crucible, and heated at 350°C during 4h to eliminate the volatile species. The as-obtained powder was subjected to a second calcination at 650°C during 10h under continuous argon flow. Finally, the resulting black powder was washed in water to eliminate possible soluble impurities. The chemical composition of NVPF-Al_{0.5}-acidic was confirmed by ICP-OES (Inductively Coupled Plasma - optical emission spectrometry) with Na:V:Al:P cationic ratios of 3:1.5:0.5:2. Several attempts were made to further extend the Na₃V_{2-z}Al_z(PO₄)₂F₃ solidsolution at z values higher than z = 0.5, with limited success. Most of the time, a mixture of $Na_3V_2(PO_4)_2F_3$, $Na_3AI_2(PO_4)_2F_3$, $AIPO_4$ and NASICON-type $Na_3V_2(PO_4)_3$ were obtained. The crystal structure of NVPF-Al_{0.5}-acidic was determined by Rietveld refinement using high-resolution synchrotron powder X-ray data (SXRD) from the ALBA synchrotron facility (see Figure NVPF, As NVPF-Al_{0.5}-acidic presents a subtle orthorhombic distortion, which is described in the Amam space group (see Figures 1a and S1-S2, Tables S1-S2).²⁰ A shrinkage of the cell volume is observed in the NVPF-Al_{0.5}-acidic phase (862.65(1) \mathring{A}^3 vs. 877.54(1) Å³ for NVPF), which is due to the smaller ionic radius of Al3+ vs. V3+ (respectively 0.535 Å and 0.64 Å in an octahedral coordination) (see Table S3 and Figure 2a-b).

The use of NaF in acidic media may leads to HF release, which is a volatile species that can easily evaporate at 80°C. In order to minimize the possible departure of harmful HF during the evaporation step, which may be the cause of the formation of NASICON-type phases, the synthesis procedure was further modified by using a NH₄OH (28-30% NH₃ basis) buffer solution to adjust the pH of the solution at ~9.2 during the dissolution process. By controlling the pH, the solution quickly turned into blue indicating the possible presence of V4+. Thanks to this modified method, a new family of Al-substituted phases, Na₃V₂₋ $_{z}Al_{z}(PO_{4})_{2}F_{1+z}O_{2-z}$ (0 \leq z \leq 2), was obtained (Figures S3-S4 and Table S3). AIPO₄ is present as an impurity in some samples; however, this does not hinder the structural determination of the main phase by the use of Rietveld refinement. Figure 1b illustrates the SXRPD Rietveld refinement analysis of $Na_3V_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$ synthesized in basic medium, called NVPFO-Al_{0.5}-basic. This phase crystallizes in the tetragonal P42/mnm space group. The reliability factors (R_{Bragg} = 4.5% and R_{wp} = 10.4%) demonstrate the good quality of the Rietveld refinement despite the presence of AIPO₄ as a secondary phase (up to ~12.1 wt.%) (Tables S4-S5). The cell parameters determined for the V^{4+} -rich phase $Na_3V_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$ are a = 8.9593(1) Å and c = 10.5511(1) Å with a cell volume V =846.93(1) Å³, significantly smaller than those reported for the corresponding V³⁺-rich phase Na₃V_{1.5}Al_{0.5}(PO₄)₂F₃ (Table S3). Elemental analysis performed by ICP-OES leads to a cationic ratio Na:V:Al:P of 3:1.5:0.5:2, which was shown to be the composition of the main NVPF-type phase despite the presence of AIPO₄ as impurity. Indeed, this latter is sparsely soluble in HCl solution, the acid used for the sample dissolution required to perform the chemical analyses (see supplementary information). Figure 2b shows a linear evolution of the cell parameter c (along the bioctahedral unit, see Figure 2a) for all the phases $Na_3V_{2-z}Al_z(PO_4)_2F_{1+z}O_{2-z}$ (0 \leq z \leq 2) implying a solidsolution formation according to Vegard's law. Interestingly, starting from the same V : Al ratio (V_{1.5}Al_{0.5}) and monitoring the sol-gel synthesis conditions in acidic and basic media, two original materials could be obtained: Na₃V³⁺_{1.5}Al³⁺_{0.5}(PO₄)₂F₃ and $Na_3V^{4+}_{1.5}AI^{3+}_{0.5}(PO_4)_2F_{1.5}O_{1.5}$. The first composition maintains the same structure as NVPF, with a small orthorhombic distortion (b/a \sim 1.002) and Na $^+$ ions distributed among three different sites in the tunnels, whereas the second one is also stabilized in a structure close to that of NVPF, but described in Journal Name COMMUNICATION

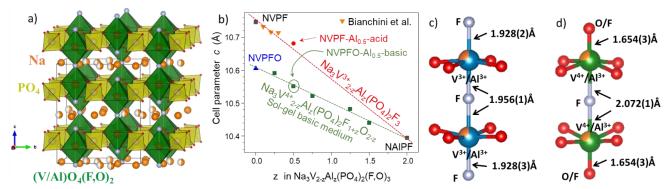


Figure 2. a) Representation of the $Na_3(V,Al)_2(PO_4)_2(F,O)_3$ crystal structure; b) Evolution of the c unit cell parameter of Al-substituted $Na_3V_{2:2}Al_2(PO_4)_2(F,O)_3$ phases as a function of the aluminium content; c-d) Description of the vanadium/aluminium bi-octahedra in $Na_3V^{3+}_{1.5}Al^{3+}_{0.5}(PO_4)_2F_3(NVPF-Al_{0.5}-acidic)$ and $Na_3V^{4+}_{1.5}Al^{3+}_{0.5}(PO_4)_2F_3(NVPF-Al_{0.5}-acidic)$ and $Na_3V^{4+}_{1.5}Al^{3+}_{0.5}(PO_4)_2F_3(NVPF-Al_{0.5}-acidic)$

a tetragonal unit cell with only two sites for the Na $^+$ ions. In order to get more insight into the difference in cell parameters between NVPF-Al $_{0.5}$ -acidic and NVPFO-Al $_{0.5}$ -basic, the environments of V (Al) in the two structures were compared, based on the structural determination performed by Rietveld refinement. The major difference was observed for the apical V/Al-(F,O) bond lengths along the bi-octahedral units (V,Al) $_2$ O $_8$ (F,O) $_3$. As described in Figure 2c, the terminal V/Al-F bonds of the bi-octahedra V $_{1.5}$ Al $_{0.5}$ O $_8$ F $_3$ observed in NVPF-Al $_{0.5}$ -acidic are of 1.928(2) Å which is in good accordance with the common value found for a Al/V 3 +-F bond. On the other hand, the terminal V/Al-O/F bond lengths are estimated to be of 1.654(3) Å in NVPFO-Al $_{0.5}$ -basic, which fits well with an average distance corresponding to the contribution of 25% of

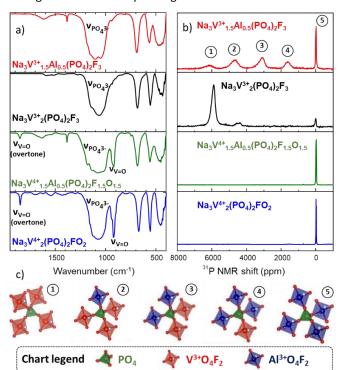


Figure 3. a) FTIR spectra of $Na_3V^{3+}_{1.5}Al_{0.5}(PO_4)_2F_3$ (red spectrum) assigned to be $Na_3V_{1.5}Al_{0.5}(PO_4)_2F_3$, $Na_3V_2(PO_4)_2F_3$ (black spectrum $Na_3V^{4+}_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$ (green spectrum) assigned to be $Na_3V_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$, and $Na_3V_2(PO_4)_2F_{0.2}$ (blue spectrum) recorded in the wavenumber range $400-1990~\rm cm^{-1}$ b) ^{31}P ss-NMR spectra of NVPF-Al_{0.5}-acid (red spectrum), $Na_3V_2(PO_4)_2F_3$ (black spectrum), NVPFO-Al_{0.5}-basic (green spectrum), and $Na_3V_2(PO_4)_2FO_2$ (blue spectrum) recorded at 100 MHz, MAS = 30 kHz c) The phosphorus environments corresponding to the five ss-NMR signals detected.

Al-F bonds close to 1.82 Å and of 75% of V⁴⁺=O vanadyl bonds close to 1.6 Å. 21

As oxygen and fluorine cannot be distinguished by X-ray diffraction and cannot be easily titrated by chemical analyses, analyses by Fourier Transformed Infrared (FTIR) and ³¹P solidstate Nuclear Magnetic Resonance (ss-NMR) spectroscopies were performed and compared to those of Na₃V³⁺₂(PO₄)₂F₃ and Na₃V⁴⁺₂(PO₄)₂FO₂ as reference compounds. The absence of vibration bands characteristic of $v_{V=O}$ (vanadyl bond) at ~ 919 cm⁻¹ in the IR spectrum of NVPF-Al_{0.5}-acidic confirms that the material contains only V3+ (Figure 3a) confirming the Na₃V³⁺_{1.5}Al_{0.5}(PO₄)₂F₃ composition. Additionally, the ³¹P ss-NMR spectrum of $Na_3V^{3+}_{1.5}Al_{0.5}(PO_4)_2F_3$ exhibits 5 signals which can be attributed to phosphorus surrounded by different numbers of V3+ in its first cationic coordination sphere (the signals at ~6000 ppm, ~4500 ppm, ~3000 ppm, ~1500 ppm and ~0 ppm are attributed to $P(OV^{3+})_4$, $P(OV^{3+})_3(OAI^{3+})$, $P(OV^{3+})_2(OAI^{3+})_2$, $P(OV^{3+})(OAl^{3+})_3$ and $P(OAl^{3+})_4$ local environments, respectively). Based on our ^{31}P MAS NMR study of the Na₃V³⁺2-yV⁴⁺y(PO₄)₂F₃₋ _vO_v phases, a V³⁺ octahedra sharing a corner with PO₄ tetrahedra results in a Fermi contact interaction of about 1500 ppm, whereas a V⁴⁺ one do not lead to any shift contribution.8 Here, a Al3+ ion that does not possess unpaired electrons does not contribute to any Fermi contact shift and thus behave similarly as a V4+ ions in the lattice. Based on the relative signal intensities, the Al3+/V3+ ionic distribution in the material is close to the statistical one. On the other hand, as for the reference Na₃V⁴⁺₂(PO₄)₂FO₂ (blue spectrum), NVPFO-Al_{0.5}basic (green spectrum) displays only a diamagnetic signal at ~0 ppm (see Figure 3b). This observation confirms the absence of V3+ in the structure. Concomitantly, the FTIR spectrum of NVPFO-Al_{0.5}-basic exhibits intense bands centred at ~925 cm⁻¹ and its overtone at 1850 cm⁻¹ revealing the presence of vanadyl bonds and hence, V4+ in the structure, which confirms the $Na_3V^{4+}_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$ formula (see Figure 3a).²²

The electrochemical signatures of the Na₃V³⁺_{1.5}Al_{0.5}(PO₄)₂F₃ and Na₃V⁴⁺_{1.5}Al_{0.5}(PO₄)₂F_{1.5}O_{1.5} were studied in half cells vs. Na and compared to those of Na₃V³⁺₂(PO₄)₂F₃ (carbon-coated) and Na₃V⁴⁺₂(PO₄)₂FO₂. Figure 4a displays the charge/discharge data of the four materials in the potential range 2.8 - 4.5 V vs. Na⁺/Na and at a cycling rate of C/10 per Na⁺. For Na₃V³⁺_{1.5}Al_{0.5}(PO₄)₂F₃, the charge is characterized by two "sloping plateaus" at average potentials of 3.69 V and 4.23 V vs. Na⁺/Na, which respectively correspond to the extraction of 1 and 0.5 Na⁺. The polarization is much greater than for NVPF, as more extensively discussed in the supplementary information, and the "plateaus" are more sloping as indicated by the broad peaks observed on the first derivative data (see Figure 4b). The high polarization induces

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significant capacity loss between the charge and discharge and the sloping "plateaus" reveal a solid-solution type mechanism upon insertion and desinsertion of Na+ whereas intermediate phases and biphasic domains are observed for NVPF. The extraction of 1.5 Na+ suggests that only the V3+/V4+ redox couple can be activated in the electrolyte stability window (up to 4.5 V vs. Na+/Na) and the structural distortion in the bioctahedra induced by V/Al substitution does not allow the activation of the V4+/V5+ redox couple in this potential range. The extra-capacity obtained at high potential (> 4.5 V vs. Na+/Na) (Figure S5) is not completely reversible and is discussed in the supplementary part S5).

The electrochemical signature of Na₃V⁴⁺_{1.5}Al_{0.5}(PO₄)₂F_{1.5}O_{1.5} is also characterized by two "plateaus" corresponding to the reversible extraction of ~1 Na⁺ and 0.5 Na⁺ at average potentials of ~3.66 and 4.05 V vs. Na⁺/Na respectively. Compared to $Na_3V^{4+}_2(PO_4)_2FO_2$, Na⁺ the extraction voltage $Na_3V^{4+}_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$ is not affected by the Al-substitution. However, the polarization increases significantly leading to a reduction in the efficiency of the electrode material. The reversible extraction of 1.5 Na+ shows that the (V=O)2+/(V=O)3+ redox couple (or V4+/V5+ with V engaged in vanadyl bonds) could be activated, on the contrary to the V4+/V5+ engaged in V-F bonds. For $Na_3V^{4+}_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$, the theoretical capacity is experimentally delivered.

To summarize, we succeeded to synthesize a highly substituted (AI for V) range of compositions in the Na₃V³⁺_{1.5}Al_{0.5}(PO₄)₂F₃ solid-solution and reported for the first time the controlled synthesis of a series of combined anionic and cationic substituted positive electrode materials of general formula: Na₃V⁴⁺_{2-z}Al_z(PO₄)₂F_{1+z}O_{2-z} (0 < z < 2). The AI for V substitution has a minor effect on the average potential of the Na⁺ extraction, on the contrary to F/O substitution that strongly lowers the extraction potential of the high voltage plateau (2nd Na⁺). For Na₃V³⁺_{1.5}Al_{0.5}(PO₄)₂F₃, although the V/AI partial substitution increases the theoretical gravimetric capacity, only the V³⁺/V⁴⁺ redox couple could be activated in the potential range 2.8 - 4.5 V vs. Na⁺/Na which lowers the specific energy.

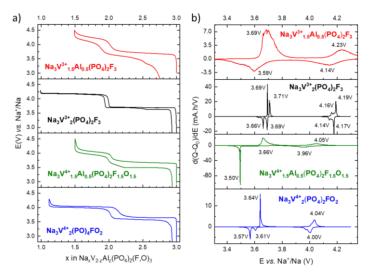


Figure 4 a) Charge/discharge galvanostatic data for $Na_3V_{1.5}Al_{0.5}(PO_4)_2F_3$, $Na_3V_2(PO_4)_2F_3$, $Na_3V_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$, and $Na_3V_2(PO_4)_2FO_2$ at a cycling rate of C/10 per Na^+ . The materials were cycled versus Na metal in 1M $NaPF_6$ in EC: DMC = 1:1 with 2 wt.% of FEC; b) The first derivative of the corresponding electrochemical data.

On the other hand, the theoretical capacity is reached for $Na_3V^{4+}_{1.5}Al_{0.5}(PO_4)_2F_{1.5}O_{1.5}$. These results confirm also that the

 $(V=O)^{2+}/(V=O)^{3+}$ redox couple (or V^{4+}/V^{5+} with V engaged in vanadyl bonds) could be reversibly activated in the stability window of current electrolytes, on the contrary to the V^{4+}/V^{5+} engaged in V-F bonds. These solid solutions call to be scrutinized much more in details to determine the substitution in Al and O just sufficient to optimize the properties of the very attractive electrode material for Na-ion batteries, $Na_3V_2(PO_4)_2F_3$, the panel of properties being well beyond the reversible capacity only. Indeed, the chemical and thermal stability in the charge state of the battery is for instance one of them, as controlling the safety of the battery but also the long range cyclability.

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Conflicts of interest

There are no conflicts of interest to declare.

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