

Synergetic Effect of Discontinuous Carbon Fibers and Graphite Flakes on Thermo-Mechanical Properties of Aluminum Matrix Composites Fabricated by Solid–Liquid Phase Sintering

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1	Synergetic effect of discontinuous carbon fibers and graphite flakes on thermo-mechanical
2	properties of aluminum matrix composites fabricated by solid-liquid phase sintering
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20	
21	Abstract
22	Aluminum (Al) matrix composite materials reinforced with graphite flakes (GF) and pitch-based carbon fibers
23	(CF) were fabricated by solid-liquid phase sintering with a small amount of Aluminum-Silicon eutectic alloy (Al-
24	12wt%Si). The amount of Al-Si is optimized for a carbon content of 50 vol.% in order to achieve, in the plane of
25	GF reinforcement, a higher thermal conductivity (TC) and a lower coefficient of thermal expansion (CTE)

26 compared to identical composite material fabricated by conventional powder metallurgy route. Al/(GF+CF)

27 composite materials were characterized by scanning electron microscopy (SEM), energy-dispersive X-ray

28 microscopy and X-ray tomography in order to highlight the distribution of the Al-Si liquid phase and the formation

- 29 of a carbon network in the aluminum matrix. A small amount of CF allows to control the through-plane CTE
- 30 without affecting significantly the in-plane TC of the Al-C composites. The (GF+CF) mixture and the solid-liquid
- 31 phase sintering allow to achieve a TC of 410 W/m.K (in-plane direction) and a CTE of 2.4×10⁻⁶ /K (trough-plane
- direction), which is, for example, applicable for lightweight heat sink material.
- 33 Keywords
- 34 Metal-matrix composites (MMCs); Discontinuous reinforcement; Powder processing; Thermal properties

36 1. Introduction

37 Metal matrix composite materials (MMC) are currently studied and have several promising applications. One of 38 them concerns their use as heat sinks in microelectronic industries where two conditions are required: a thermal 39 conductivity (TC) as high as possible in order to evacuate the heat generated by the silicon chip and therefore to 40 increase the reliability of the electronic device, and a coefficient of thermal expansion (CTE) close to the CTE of 41 ceramic substrate (2 to 8×10⁻⁶/K) and the electronic chip (2 to 4×10⁻⁶/K) [1-4]. Currently Copper (Cu) and 42 Aluminum (Al) heat sinks are used because of their high TC (400 W/mK for Cu and 220 W/mK for Al). However, 43 the large CTE mismatch with the ceramic substrate (CTE of Cu equal to 17×10^{-6} /K and CTE of Al equal to 24×10^{-10} 44 6 /K) generates thermo-mechanical stresses at the heat sink-ceramic interfaces which can generate cracks inside 45 the solder joint of inside the ceramic substrate and then catastrophic failure of the electronic device.

46 Compared to the others matrices (Copper or Silver), Aluminum has a lower TC and a higher CTE. However, due
47 to his low density, 2.7 g/cm³, and low price, Al has a high specific thermal conductivity (TC divided by density)
48 and low cost which is a great advantage in terms of the fabrication of mobile electronic devices for automobile or
49 aeronautic industries [4].

50 Among carbon reinforcements, diamonds exhibit outstanding isotropic thermal properties (TC of ~2000 W/m.K and CTE close to 2.5×10^{-6} /K) [5-7]. However, the applications are limited due to its high price and poor 51 52 machinability. Pitch-based carbon fibers (FC) are in contrast less expensive and have a good machinability but a 53 lower and anisotropic thermal properties (longitudinal direction: TC of 100-1000 W/m.K depending on graphitization degree and CTE of -1 10⁻⁶/K; transverse direction: TC of 5-10 W/m.K and CTE of 12×10⁻⁶/K) [8, 54 55 9]. Graphite flakes (GF) have significant attention for thermal management applications due to their superior 56 thermal properties, low cost and ease of machining. Indeed it has been reported that GF exhibit a CTE of -1×10^{-6} 57 /K and a TC higher than 1000 W/m.K in the plane of graphite flake [10, 11]. A TC of 1600-2000 W/m.K has been 58 reported for highly oriented pyrolytic graphite (HOPG) [12, 13]. Out of plane, whatever the graphite type, a TC of 5-10 W/m.K and a CTE of 28×10⁻⁶ /K has been reported [11, 13, 14]. 59

In functional metal matrix composite (MMC), extended works have been reported on reactivity between matrix and reinforcement [15, 16], dispersion and orientation of reinforcement [17, 18], fabrication process [4] (sintering [10, 18], squeeze casting [10, 20] and pressure infiltration process [21]). However, few attempts in solid-liquid phase sintering [22] have been carried out especially with a mixture of GF and CF reinforcements. Pietro et al. [23] and Oddone et al. [24] reported composites with a mixture of GF and CF, fabricated by gas pressure infiltration and spark plasma sintering, respectively. They focused their studies on sintering parameters (heating rate, current 66 flow and sintering temperature) and the nature of the metal. However, no understanding on the behavior of the 67 thermal properties of Metal/(GF+CF) compared to the Metal/GF and Metal/CF composite materials was reported. 68 Several studies reported the fabrication of metal/GF composite materials with a significant increase of TC along 69 the GF principal axis (in-plane TC) and a decrease of TC perpendicular to the GF principal axis (through-plane 70 TC) [25, 26]. However, concerning the CTE of MMC, studies reported a slight decrease of the in-plane CTE and, 71 surprisingly, a significant decrease of through-plane CTE [26, 27, 28, 29]. In Cu/50vol.%GF systems, through-72 plane CTE of 2.5×10^{-6} /K [26], 1.9×10^{-6} /K [27] and -1×10^{-6} /K [28] was reported, which is very close to CTE of 73 silicon (2.8×10⁻⁶/K). In Al/50vol.%GF systems, a through-plane CTE of -10×10⁻⁶/K and an in-plane TC of 330 74 W/m.K were reported [29]. However, this CTE presents a significant CTE mismatch with the ceramic substrate. 75 Therefore, in order to obtain a CTE close to 3×10^{-6} /K in Al/GF system, the vol.% of GF must be decreased which 76 will, consequently, decreases the TC of Al/GF composite [29].

77 This investigation reports a way to control the CTE of Al/C composite by adding small quantity of CF to Al/GF 78 composites in order to obtain a CTE compatible to the ceramic in the in-plane or the through-plane direction. 79 Al/Carbon composites materials were fabricated by solid-liquid phase sintering. The quantity of Al-12wt% Si alloy, 80 in liquid state during the sintering process, was optimized for a total carbon content of 50 vol.% in order to obtain 81 fully dense (Al+Al-Si)/Carbon materials. Different GF/CF ratios was used in order to investigate the effect of the 82 solid-liquid phase sintering and the carbon composition on the TC and the CTE of the (Al+Al-Si)/(GF+CF) 83 composite materials. Al/C composite materials were characterized by scanning electron microscopy (SEM), 84 energy-dispersive X-ray microscopy (EDS) and X-ray tomography in order to understand the behavior of thermo-85 mechanical properties of Al/(GF+CF) composite materials.

86

87 2. Experimental procedure

88 2.1. Materials

Gas-atomized Al spherical powder (ULTD0065, Hermillon powders, France, Fig. 1a) and Al-12wt%Si spherical
powder (16-0006G, Toyal, Japan, Fig. 1b) with an average diameter of 10 µm and 8 µm, respectively, were used
as the starting metal powder. Graphite Flakes (GF; Yanxin-Graphite Co., Ltd, Fig. 1c), with an average size and
thickness of 550 µm and 30 µm respectively, and Carbon Fibers (CF, K223HM, CTN DIALEADTM/BOBBINS,
Sumitomo Corporation Europe Limited, Fig. 1d) with an average length and diameter of 250 µm and 10 µm

- 94 respectively, were added to metal powder. The four components were mixed in Turbula mixer (Turbula
- 95 Shaker/Mixer Model T2C, Germany) for 2 hours to obtain a homogeneous (Al+AlSi)/(GF+FC) distribution.



97



100

101 After compacting the (Al+Al-Si)/C mixture in a steel mold, cylindrical bulk (Al+Al-Si)/C composites (Ø10 x 8 102 mm³) were fabricated by hot pressing for 30 min at 600°C under a uniaxial compressive stress of 60 MPa and 103 primary vacuum. The temperature of the steel mold is measure with a thermocouple place inside the mold and 104 close to the composite powder. Heating time is close to 10 min and cooling time to 60 min. Differential thermal 105 analysis of Al-Si alloy powder has shown that the melting temperature is 578°C. For these processing conditions, 106 Al-Si alloy is in liquid state during the densification of the materials as illustrated in Figure 2. The volume fraction 107 of GF and CF in the Al/GF and Al/CF composites respectively, was fixed at 10, 20, 30, 40, and 50%. Concerning 108 Al/(GF+CF) composite materials, the composition of the carbon mixture (vol.%GF + vol.%CF) was fixed at (48+2), (46+4), (44+6), (42+8), (40+10), (30+20), (20+30) and (10+40) for a total carbon volume fraction of 50 109 110 vol.%. The hot-pressing temperature was monitored via a K-type thermocouple located 2 mm from the sample in 111 the steel mold. Considering that the GF and carbon fibers [8, 18] tend to be aligned perpendicularly to the uniaxial 112 compressive stress, the cylindrical Al/GF composites were machined to Ø6 x 5 mm³ in order to measure TC and 113 CTE in the in-plane and through-plane direction.



<u>Figure 2</u>: Illustration of the solid-liquid phase sintering process. The initial powder mixture (Al+Al-Si+C) is
sintered under 60 MPa at 600°C which result in the fusion of the Al-12wt%Si alloy.

117

118 2.2. Characterization

Microstructural characterization of the Al/GF composite was carried out via scanning electron microscopy (SEM,
Tescan, VEGA© II SBH). Elemental analysis of the Al/C composites was performed through energy dispersive
X-ray spectroscopy (EDS, Apollo X, EDAX Inc.). In order to reveal the microstructure, Al/GF composites were
prepared using mechanical polishing with polishing cloth. The polished samples were placed in etchant aqueous
solution of sodium hydroxide.

124 X-Ray tomography was carried out via micro-scanner (General Electrics V/Tome/x s). The acquisition resolution

125 is equal to 2.8 μ m³. The voltage and rotation resolution are equal to 180 kV and 0.1°, respectively. The dimension

126 of the sample is Ø6 x 5 mm³ and 2.5 x 2.5 x 5 mm³. Tomography data were treated with ImageJ software.

127 The CTE of the Al/C composite materials was measured with a dilatometer (NETZSCH DIL 402, PC) in two 128 thermal cycles from 40°C to 300°C with a heating/cooling rates of 2°C/min, under argon gas flow. The technical 129 mean values of CTE were recorded between 50°C and 150°C. Each measure point is an average of 3 samples with 130 a standard deviation close to 3%.

Heat capacity was measured by differential Scanning Calorimetry at 70°C (DSC 8000 Pyris Diamond PERKIN ELMER) for graphite flake, carbon fiber, Al-Si and Al powders. The TC of Al/GF composites was calculated using the following equation $TC = \alpha x \rho x C_p$ where α is the thermal diffusivity of Al/GF composites measured via the laser flash method (NETZSCH LFA 45, MicroFlash) at 70°C. Each measure point is an average of 3 samples with a standard deviation close to 5%. The thermal diffusivity was measured in the in-plane and transverse direction. C_p and ρ are the heat capacity and the measured density of the Al/GF composites, respectively. C_p was calculated from heat capacity of each component by a rule of mixture. Considering that the composite materials

- 138 only contain closed porosity (ranging from 0.2 to 2.5%), the density of the Al/C composites was measured using
- the Archimedes principle. The theoretical density of the composites was calculated using a rule of mixture.

- 141 3. Results and discussion
- 142 3.1. Microstructure analysis

143 Figure 3 shows the in-plane view SEM micrographs of the Al/50vol.%GF (Fig. 3a), Al/vol.%(40GF+10CF) (Fig. 144 3b), and Al/50vol.%CF (Fig. 3c) composite materials. Dark contrasts are associated to graphite flake (black 145 rectangle) and carbon fiber (black circle) and gray one refers to Al matrix. At that scale of resolution, identical 146 microstructure are found for the Al(Al-Si) matrix. For Al/50vol.%GF and Al/vol.%(40GF+10CF) composites, 147 graphite flakes are preferentially oriented in a plane perpendicular to the pressure direction. In the case of 148 Al/50vol.%(GF+CF), the presence of CF does not affect the orientation of GF compared to Al/GF composite 149 materials. In Al/50vol%CF composites, carbon fibers are also oriented in the plane perpendicular to the pressure 150 direction but randomly oriented in this plan. Moreover, because of the high-volume fraction of carbon 151 reinforcement (50 vol.%), GF-GF (Fig. 4a), GF-CF (Fig. 3b) and CF-CF (Fig. 3c) contact points are observed in 152 all of the Al/C composites materials.



153

154 <u>Figure 3</u>: SEM micrographs (in-plane view) of (a) Al/50vol%GF, (b) Al/(40vol.%GF+10vol.%CF) and (c)
 155 Al/50vol%CF composite materials

156

Figure 4 shows X-Ray tomography analysis of Al/50vol.%GF (a, b, and c), Al/vol.%(40GF+10CF) (d, e, f and j)
and Al/50vol.%CF (g, h, i, and k) composite materials. The carbon network of Al/50vol.%GF,
Al/vol.%(40GF+10CF) and Al/50vol.%CF composites were reconstructed in a cubic block of 1.84 mm³. Yellow
arrows show the pressure direction (Z axis) applied during the sintering process. These reconstructions clearly
show different microstructure observed in (xz) and (xy) plan which is characteristic of a transversally isotropic

162 material. In the (xy) plan, the top surface of the GF can be observed (Fig. 4c, 4f and 4j) whereas the CF are

163 randomly oriented (Fig. 4f, 4i and 4k). In the (xz) plan, the section of GF and CF can be observed.



- 164
- 165

166 Figure 4: X-Ray tomography analysis of: (a), (b), and (c) Al/50vol.%GF; (d), (e), (f,) and (j) and
167 Al/(40vol.%GF+10vol.%CF); (g), (h,) (i) and (k) Al/50vol.%CF composite materials. (Yellow arrows show the
168 pressure direction)

170 These observations imply that the pressure applied during the hot pressing provides an orientation of the carbon 171 reinforcement perpendicular to the pressure axis. It has to be noticed that in some area, carbon reinforcement is 172 still disoriented [18]. Moreover, the carbon network of Al/vol.%(40GF+10CF), Al/50vol.%CF were reconstructed 173 in a square of 1.1×1.1 mm² and a thickness of 30 µm (Fig. 4j and 4k).

This allows highlighting the formation of a continuous 2D carbon networks composed by GF and CF oriented randomly in this same plan. However, by comparing Al/vol.%(40GF+10CF) and Al/50vol.%CF, which have the same carbon content, it can be seen an as dense carbon fiber network in Al/vol.%(40GF+10CF) than Al/50vol.%CF. Indeed, in Al/50vol.%CF, the carbon fibers are dispersed in 50 vol.% of Al whiles in Al/vol.%(40GF+10CF), the CF are dispersed in lower volume (50 vol.% of Al). The dense carbon fiber network observed in Al/vol.%(40GF+10CF) will be used in order to explain further physical properties of the Al/(GF+CF) composite materials.

181

182 Figure 5 shows an energy dispersive X-ray spectroscopy (EDS) scan realized on (Al+Al-Si)/50vol.%CF composite 183 material in order to highlight the distribution of the silicon inside the Al matrix. Fig. 5.b shows the microstructure 184 of Al/CF composites fabricated solid-liquid phase sintering respectively. It shows the presence of white area in the 185 (Al+Al-Si)/50vol.%CF, more precisely in the Al matrix, Al-CF interface and CF/CF contact points. These white 186 parts were not observed in composites fabricated by conventional PM route. The spot 1 and 2 correspond to the 187 aluminum matrix and carbon fiber, respectively. The spots scan 3, 4 and 5 reveals the presence of aluminum and 188 silicon in the white area, which corresponds to the Al-12wt%Si phase. Then, EDS scan confirms the infiltration of 189 the liquid phase in the porosity between Al particles, Al-CF interphase and CF/CF contact point during the 190 sintering process.



193 Figure 5: EDS spot scan analysis of (Al+Al-Si)/50vol.%CF (a and b) composite material

195 3.2. Optimization of solid-liquid phase sintering

196 The amount of Al-12wt%Si were optimized for a GF content of 50 vol.% in order to achieve a fully dense 197 composite materials and to show its effect on the in-plane TC. Table 1 shows the evolution of the measured relative 198 density of the (Al+Al-Si)/50vol.% GF composite materials with the volume percentage of Al-12w% Si liquid phase 199 ranging from 0 to 15 vol.% with 2.5 vol.% step increase. It is well known that the relative density of composites 200 decreases when the reinforcement content increases [16, 18]. Indeed, in addition to the porosity, which is inherent 201 to the powder metallurgy (PM) route, the decrease of the relative density may be correlated with the Al-GF 202 interfacial nano-voids [26] and graphite-graphite contact points (Fig. 3 and 4) which appear significantly from a 203 carbon volume fraction close to 30 vol.% GF [10, 18]. Al/50vol.% GF composite fabricated by conventional PM 204 route shows a relative density of 97.5%. When the volume percentage of liquid phase increases, the relative density 205 increases to reach a value of 98.5% for a liquid content of 15 vol.%. This behavior is explained by the fact that the 206 liquid phase formed during the sintering step, reduces the pores and infiltrates the GF/GF contact point where no 207 sintering can occurs. Therefore, the liquid phase sintering helps to increase the relative density of Al/C composite 208 materials with high carbon content. With this fabrication process, MMC, with carbon volume fraction up to 70%, 209 have been successfully fabricated with a porosity level smaller than 3%.

210 <u>Table 1</u>: Influence of the Al-12wt%Si liquid content (vol.%) on the relative density (%) and the in-plane TC

211 (W/m.K)

Liquid phase content (vol.%)	0	2.5	5	7.5	10	12.5	15
Relative density (%)	97.5	97.9	98.1	98.3	98.2	98.3	98.5
In-plane TC (W/m.K)	400	404	425	423	428	414	409

212

213 Moreover, Table 1 shows that the evolution of the relative density can be correlated with the evolution of the TC. 214 In the in-plane direction, the graphite flakes are oriented parallel to the direction of thermal conduction 215 measurement. Al/50vol.%GF composite fabricated by conventional PM route shows an in-plane TC of 400 216 W/m.K. From 0 to 5 vol.% of liquid phase, the in-plane TC increases from 400 to 425 W/m.K. Then, from 5 to 10 217 vol.% of liquid phase, the in-plane TC reaches values close to 425 W/m.K, and then decreases at 409 W/m.K for 218 higher Al-Si content. It has also to be noticed that, whatever the Al-Si content, the in-plane TC of (Al+Al-Si)/GF 219 composites are always higher than the Al/50vol.%GF fabricated by conventional PM route. This behavior can be 220 explained by two factors: 1) the increase of relative density with the liquid phase content and 2) the lower intrinsic 221 TC of Al-12wt%Si alloy compared to the pure Al (experimental values of 163 W/m.K and 217 W/m.K, 222 respectively). Indeed, the increase of TC from 0 to 10 vol.% of liquid phase is correlated to the increase of the 223 relative density of (Al+Al-Si)/GF composite materials. Then, from 10 to 15 vol.% of Al-Si, even if the relative 224 density increases slightly, the important quantity and the intrinsic TC of Al-Si alloy, results in a decrease of the 225 in-plane TC of (Al+Al-Si)/50vol.%GF composite materials. Hence, a volume percentage of 10% were selected in 226 order to fabricate (Al_{90vol.%}+Al-Si_{10vol.%})/50vol.%Carbon composites, where the carbon is a mixture of graphite 227 flakes and carbon fibers.

228

229 3.3. Thermal conductivity of Al/GF and Al/CF composite materials

Al/GF and Al/CF composites were fabricated in order to evaluate the individual effect of the GF and CF on the
thermal properties of Al/C composite materials. Figure 6 shows the evolution, of the in-plane and through-plane
TC of Al/GF and Al/CF composites with different carbon volume fraction. First of all, Fig. 6 shows a TC of 218
W/m.K for the sintered Al powder. (TC of 239 W/m.K is reported for high purity aluminum at 70°C [30]). The
difference between sintered and high purity aluminum materials (foundry route) is mainly attributed to the residual
porosity and the amorphous aluminum oxide presents on the surface of each aluminum grain when using PM route.

236 In the in-plane direction, the graphite flakes are preferentially oriented parallel to the direction of thermal 237 conduction measurement. Then, the TC increases with the graphite content to reach a value of 400 W/m.K. This 238 behavior is in accordance to the intrinsic in-plane TC of the GF (close to 1000 W/m.K [10-11]). The TC of the 239 Al/CF composites decreases slightly from 218 to 216 W/m.K from 0 to 30 vol.% of CF and then reach a value of 240 197 W/m.K from 30 to 50 vol.% of CF. This slight decrease of TC may be explained by the fact that, due to the 241 random distribution of the CF in the plane of measurement, the supposed intrinsic TC of the CF in the plane, 280 242 W/m.K [16] is an average of the along (550 W/m.K; manufacturer data) and perpendicular to the CF axis (5-10 243 W/m.K). Thermal resistance at the Al-C interface and the decrease of the relative density with the increase of 244 carbon content can explain the slight decrease of in-plane TC of Al/CF composite materials.

245 In the through-plane direction (dotted line), the GF and CF are preferentially oriented perpendicularly to the 246 direction of thermal conduction measurement. Then, the TC decreases with the carbon content to reach a value of 247 87 and 46 W/m.K for Al/GF and Al/CF composites, respectively. This decrease is in accordance to the intrinsic 248 through-plane TC of the GF and CF (5-10 W/m.K [10-11]). However, the behavior of through-plane TC with the 249 carbon content differs between the two reinforcements. Indeed, in the Al/GF composites, the variation of the TC 250 with the GF content has a behavior of an inverse function: a drastic decrease of TC from 0 to 10 vol.% of GF (218 251 to 123 W/m.K: 43% of decrease) and a slower decrease of TC from 10 to 50 vol.% of GF (123 to 46 W/m.K: 62% 252 of decrease). In contrast, the TC of Al/CF composites decreases linearly with CF content and stay higher than 253 Al/GF for 50vol.% of carbon. This difference of behavior can be explained by the difference of morphology of the 254 reinforcement. Indeed, the planar morphology and larger size (average size and thickness of 550 µm and 30 µm, 255 respectively) than CF (average length and diameter of 250 µm and 10 µm, respectively) allow the GF to slow the 256 thermal flow more efficiently than CF in the through-plane direction. Moreover, the orientation of the 257 reinforcement, in a plane perpendicular to the pressure direction, which is induced by the hot-pressing process, is 258 easily achieved for the GF than for the CF (Fig. 5a).



<u>Figure 6</u>: Comparison of experimental TC of Al/GF (red square) and Al/CF (black circle) composite materials in
 the through-plane (dotted line) and in-plane (line) direction (standard deviation 5%)

262

263 3.4. Thermal conductivity of Al/(GF+CF) and (Al+Al-Si)/(GF+CF) composite materials

264 Figure 7 shows the evolution of the CT of Al/(GF+CF) and (Al_{90vol.%}+Al-Si_{10vol.%})/(GF+CF) composites with a 265 total carbon content of 50 vol.%, in the in-plane (Fig. 7a and 7c) and through-plane (Fig. 7b) directions. For 266 comparison purpose, the CT of Al/GF composite materials was added in Fig. 7a (dotted line). It can be shown that 267 the in-plane TC of the Al/(GF+CF) composites is lower than the Al/GF composites with the same volume content 268 of GF. It should be noted that the gap between the two sets of materials decreases when the GF/CF ratio increases. 269 Indeed, as shown in Fig. 6, the decrease of the in-plane TC of Al/CF is negligible between 0 to 30 vol% of CF 270 which explains the weak gap between Al/(GF+CF) and Al/GF from a carbon content of (30 vol.%GF + 20 271 vol.%CF). For higher CF content a decrease of the in-plane TC of Al/(GF+CF), compared to Al/GF with the same 272 GF content, is observed. Fig. 7b shows the through-plane TC of Al/(GF+CF) composites materials. It can be seen 273 an almost linear behavior of the TC of Al/(GF+CF) composite materials. 274 The Al/(GF+CF) composite materials fabricated by conventional PM route (black triangle) were compared to the 275 equivalent composites fabricated by solid-liquid phase sintering (red triangle). It can be seen that solid-liquid phase 276 sintering provides an increase of the in-plane TC (Fig. 7a and 7c). As explained in section 4.2, the liquid phase

- sintering tends to increase the relative density of Al/C composite materials with high carbon content by infiltrating
- the pores and the carbon-carbon contact points where no sintering can occur.



Figure 7: Experimental CT of (Al_{90vol.%}+Al-Si_{10vol.%})/(GF+CF) (red triangle) and Al/(GF+CF) (black triangle) composite materials in (a and c) the in-plane direction and (b) through-plane (b) direction (standard deviation 5%)

This increase of the relative density of Al/50vol.%C and interfacial chemical reactions provides an enhancement of the in-plane TC. Indeed, as it can be seen on Fig. 8, small voids, located at the Al-CF(GF) interface, can be observed on TEM micrographs of Al/CF (Fig. 8 a) whereas no such of voids are observed on (Al+Al-Si)/CF composite materials (Fig. 8 b). Also, the Al-Si liquid phase can induce the formation of Aluminum carbide ((Fig. 8 b), at the Al-CF interface, inducing a better property transfer between the matrix and the reinforcements.





294 Figure 8: TEM micrographs of a) Al/CF composite material and b) al-Al-Si/CF composite material

295

In the through-plane direction, no significant effect of the solid-liquid process is observed which shows that the through-plane TC were not linked to the relative density like the interfacial thermal resistance, as shown by S. Ren et al. [26].

299

300 3.5. Thermal expansion of Al/GF and Al/CF composites materials

301 Figure 9 shows the evolution of the CTE of Al/GF and Al/CF composites with different carbon volume fraction, 302 in the in-plane and through-plane directions. The CTE of pure Al matrix fabricated by PM route has a value of 303 25×10⁻⁶ /K. Al/GF and Al/CF composites show different behaviors, for the two directions of measurement. 304 Concerning Al/CF composites, a decrease of the in-plane CTE is observed and reaches a value of 4.6×10^{-6} /K for 305 a CF content of 50 vol.%. This result is in accordance with the intrinsic in-plane CTE of CF (-1×10^{-6} /K). However, 306 the through-plane CTE of Al/CF composites show an important increase between 0 and 20 vol.% of CF, before to 307 reach a maximum value of 47×10^{-6} /K from 20 to 40 vol.% and finally, a decrease at 50 vol.% CF. Unlike the 308 evolution of the in-plane CTE, this behavior is in contradiction with the intrinsic through-plane CTE of CF (12-15×10⁻⁶ /K [8]). Considering the fact that the CFs restrain the dilatation of Al in the in-plane direction leads to a 309 310 compressive strain in the same direction and then a decrease of the in-plane CTE [31]. This in-plane compressive 311 strain induces an increase of through-plane CTE because of the positive Poisson ratio of Al and CF (0.345 [25] 312 and 0.2 [32], respectively).

313 Concerning the Al/GF composites, the CTE differ radically in the two directions of measurement. In the in-plane direction, the CTE decreases slightly to a value of 21.8×10^{-6} /K for 50 vol.% of GF. In the through-plane direction, 314 the CTE decreases drastically and reaches a negative value of -10.5×10⁻⁶ /K for 50 vol.% of GF. These counter-315 316 intuitive behaviors were reported in several studies for Al/GF and Cu/GF systems [26-29]. In order to understand 317 these behaviors, Firkowska et al. [27] modeled the mechanical and thermal interplay of graphite and Copper within 318 elasticity theory and considered a sandwich-like structure of graphite and Copper. They found that the temperature-319 dependent in-plane strain in the graphite embedded in a matrix copper results in a negative through-plane CTE (α_{33}) of -26×10⁻⁶/K calculated by equation (1) $\alpha_{33} = \frac{d\varepsilon_{33}}{dT} = \alpha_{gr,3} + v_{2D}\Delta\alpha_X - \frac{dv_{2D}}{dT}\varepsilon_{11}^0$ where ε_{33} and T are the 320 through-plane strain of the graphite and the temperature, respectively. agr,3 is the intrinsic through-plane CTE of 321 graphite (28×10⁻⁶ /K). v_{2D} and $\frac{dv_{2D}}{dT} = -4.3 \times 10^{-2}/K$ are the 2D equivalent Poisson ratio of graphite and its 322

temperature derivative. $\varepsilon_{11}^0 \sim -10^{-3}$ is the estimated residual in-plane strain of graphite in Copper matrix. $\frac{d\varepsilon_{11}}{dT} = \Delta \alpha_X$ is the temperature derivative of the in-plane strain $\varepsilon_{11=} \varepsilon_{22}$ in graphite and it is calculated using equation (2) $\frac{d\varepsilon_{11}}{dT} = \Delta \alpha_X = \alpha_X - \alpha_{gr,1}$ where α_X and $\alpha_{gr,3}$ are the in-plane CTE of Cu/50vol%GF and GF, respectively. The equation (1) shows that the change of CTE value (from 28×10^{-6} /K to -26×10^{-6} /K) is due to the large Poisson ratio of graphite (v_{2D}) and its strong temperature dependence.



328

Figure 9: Comparison of experimental CTE of Al/GF (red square) and Al/CF (black circle) composite materials in
 the through-plane (dotted line) and in-plane (line) direction. Theoretical predictions by the Schapery model (blue
 dashed line) for Al/GF system in the through-plane direction (standard deviation 3%)

332

333 We propose here to use the formulation (1) of Firkowska et al. [27] to explain the behavior of through-plane CTE 334 of Al/GF composite materials and explain the important decrease of the CTE compared to Cu/GF system. The inplane lattice constant of the GF follows the CTE of the composites which causes an in-plane strain $\varepsilon_{11=} \varepsilon_{22}$ in the 335 GF that varies with temperature as shown on equation (2). In Al/GF system, an in-plane CTE of 21.8×10^{-6} /K for 336 337 50 vol.% of GF was obtained, which is higher than in plane CTE of Cu/50vol.%GF reported in previous studies $(14 \times 10^{-6} / K [26], 12 \times 10^{-6} / K [27])$ and $13.6 \times 10^{-6} / K [28]$). This difference is due to the higher intrinsic CTE of 338 Aluminum compared to Copper $(24 \times 10^{-6} / \text{K} \text{ and } 17 \times 10^{-6} / \text{K}, \text{ respectively [1]})$. Then the higher in-plane CTE 339 340 difference of Al/GF and GF ($\alpha_{gr,1} = -1 \times 10^{-6}/K$) induce a higher in-plane strain in the GF. For an Al/GF system $\Delta \alpha_x$ 341 is equal to 22.8×10^{-6} /K which is higher than Cu/GF system. In a second step, intrinsic strain, induced by the CTE 342 difference of the different constituent, has to be consider. Indeed, after the consolidation step, when the temperature 343 decreases to room temperature, the reinforcement and the matrix shrink at different rates, which induce a residual in-plane strain ε_{11}^0 equal to ~-10⁻³ for Cu/GF system [27]. Considering that CTE_{Al} is ~1.5 higher than CTE_{Cu} it can 344

- be reasonably assumed that the in-plane residual ε_{11}^0 of Al/GF system is also 1.5 times higher than ε_{11}^0 for Cu/GF system. By using the formulation (1), a value of -54×10^{-6} /K was calculated for the through-plane CTE of GF which is quite different from the intrinsic CTE value of the through-plane GF (28×10⁻⁶/K). This calculated value will be
- 348 used to calculate theoretical values of CTE for the Al/GF composite materials.

349 Schapery model [32] was chosen to predict the CTE of the Al/GF system with anisotropic properties [33] and then350 compared with the obtained experimental values:

351
$$\alpha_{c,2} = V_m (1 + v_m) \alpha_m + V_f (1 + v_{f,2}) \alpha_{f,2} - \alpha_{c,1} v_{c,1}$$
 (3)

352 With
$$\alpha_{c,1} = \frac{V_f \alpha_{f,1} E_{f,1} + V_m \alpha_m E_m}{V_f E_{f,1} + V_m E_m}$$
 (4) and $v_{c,1} = V_m v_m + V_f v_{f,1}$ (5)

In these equations, α, V, E and v are the CTE, the volume fraction, the Young's modulus and the Poisson's ratio,
respectively. 1 and 2 refer to in-plane and through-plane direction, respectively. The subscripts c, m, and f refer to
composite, matrix and reinforcement, respectively. The material parameters for the calculations are given in Table
and the through-plane CTE of GF used in this model is the value calculated with the Firkowska formulation [27].

357 <u>Table 2</u>: Materials parameters for calculating the theoretical CTE for Al/GF composite materials

Material	α (10 ⁻⁶ /K)	E (GPa)	V	Ref.
In-plane GF	-1	1109	0.12	[34]
Through-plane GF	-58*	38.7	0.01	[27]*; [34]
Al	25	69	0.345	[25]

358 *Value calculated with Firkowska formulation [27]

359 Figure 8 shows a comparison of the theoretical values of the through-plane CTE of Al/GF composite materials 360 (blue dashed line), calculated using Schapery model (3) and Firkowska formulation (1) with the experimental 361 values for fabricated Al/GF composites materials. The Schapery prediction is in fairly good agreement with the experimental CTE of Al/GF composite materials in the through-plane direction. We can therefore argue that the 362 363 Firkowska formulation, given for Cu based materials, can be transposed for an Al/GF system and allows to demonstrate that the higher mismatch between the matrix and the GF for a Al/GF system compared to a Cu/GF 364 365 system, induce a higher in-plane strain on the GF and resulting in a through-plane CTE for Al/GF composites 366 significantly lower than for Cu/GF composites.

368 3.6. Thermal expansion of Al/(GF+CF) and (Al+Al-Si)/(GF+CF) composite materials

Figure 10 shows the evolution of the CTE of Al/(GF+CF) and (Al_{90vol.%}+Al-Si_{10vol.%})/(GF+CF) composites with a total carbon volume percentage of 50%, in the in-plane (Fig. 10a and c) and through-plane (Fig. 10b and c) directions. For comparison purpose, the CTE of Al/CF and Al/GF composites were added to this figure (dotted line). For pure GF reinforcement, in the in-plane direction, the CTE of the composites decreases slightly to reach value of 21.8×10^{-6} /K for 50 vol.% of GF. However, with a mix carbon composition (CF + GF), a huge decrease for a CF vol. % up to 10% (9×10⁻⁶/K) is observed (a detail of this variation is given in Fig. 10c) follow by a slower decrease to reach the CTE value of the Al/50vol.%CF material (4.6×10⁻⁶/K).

376 Considering that GF alone has no significant effect on the in-plane CTE, Al/(40vol.%GF + 10vol.%CF) can be 377 compared to Al/10vol.%CF composite material. It can be show that for the same content of CF, the CTE decreases from 18.9×10⁻⁶ /K to 9.2×10⁻⁶ /K. Favier et al. [35] reported that the geometric percolation of cylindrical 378 379 reinforcement, with an aspect ratio of 25, is close to 3 vol.% and 18 vol.% for a 3D and 2D random orientation, 380 respectively. However, the geometric percolation should be distinguished from the mechanical percolation. Indeed, 381 for electrical system, the geometric percolation is identical with the electric percolation. However, for thermo-382 mechanical properties, the geometric percolation is not enough to ensure the rigidity of the whole system. Then, 383 the mechanical percolation, which is higher than the geometric percolation, should be considered. The mechanical 384 percolation of the CF in Al/CF composite materials have already been associated to the formation of rigid skeleton 385 of reinforcement, from a CF volume fraction close to 30 vol.% [8]. This behavior may explain the slope change of 386 the in-plane CTE observed from 30 vol.% of CF (Fig. 10a). The addition of graphite to the CF provides the 387 formation of rigid CF skeleton with only 10 vol.% of CF. Indeed, as it was demonstrated by X-Ray tomography, 388 the CF network in Al/10vol.% is not enough dense to build a rigid skeleton, and thus the mechanical percolation 389 is not reached. The huge decrease of CTE for the CF + GF reinforcement can therefore be attributed to the 390 formation of a rigid CF skeleton in-between the network of the graphite flakes. Moreover, the fact that CF are 391 interleaved between GF may prevent the disorientation of CF out of (xy) plane, as observed in Al/10vol.%CF 392 composite material (Fig. 4h).

In the through-plane direction, the CTE increases significantly from a value of -10.5×10^{-6} /K for 50 vol.% of GF to a value of 37.6×10^{-6} /K. Indeed, for a carbon composition of (40 vol.%GF + 10 vol.%CF), an important increase of the CTE is observed and reach a value of 17.6×10^{-6} /K (a detail of this variation is given in Fig. 9d). Then, when the CF/GF ratio increases, the CTE increases slowly to tend to the CTE of Al/50vol%CF (37.8×10^{-6} /K). Therefore, the addition of CF to the GF provides an important CTE increase of Al/(GF+CF) composite material due to the

individual effect of the CF in the through-plane direction showed in Fig. 10b (circle mark and dotted line). The
fact that the through-plane CTE decreases in Al/GF composites and increases in Al/CF composites explains the
behavior of Al/(GF+CF) composites materials.



403 <u>Figure 10</u>: Experimental CTE of $(Al_{90vol.\%}+Al-Si_{10vol.\%})/(GF+CF)$ (red triangle) and Al/(GF+CF) (black triangle) 404 composite materials in (a and c) the in-plane direction and (b and c) the through-plane direction (standard deviation 405 5%)

Figure 10 also shows the CTE behavior of the Al based composite materials fabricated using solid-liquid phase sintering (red triangle) where Al-Si eutectic alloy is added to Al. It can be shown that solid-liquid phase sintering provides a decrease of the in-plane CTE and an increase of the through-plane CTE of (Al_{90vol.%}+Al-Si_{10vol.%})/(GF+CF) in comparison to the Al/(GF+CF) composite materials. As shown earlier, the Al-Si liquid phase was observed between the Al particles, at the Al/C interface and at the carbon-carbon contact points (Fig. 5). The presence of the Al-Si liquid phase at the C-C contact points allows soldering the carbon reinforcement in this zone and therefore to consolidate the carbon rigid network observed by x-ray tomography (Fig. 4). The formation of

414 this carbon rigid network, induced by the liquid phase, can explain the decrease of the in-plane CTE of Al/(GF+CF) 415 composite materials. The fact that the solid-liquid phase sintering generates a decrease of the in-plane CTE and an 416 increase of the through-plane CTE (in average), compared to the PM route shows that the physical properties of 417 the Al based composites in two direction are linked. This behavior is in accordance with the Firkowska formulation 418 (eq. 1). Indeed, the reduction of the in-plane CTE of Al/50%vol.GF composites with the solid-liquid phase 419 sintering (from 21.8×10⁻⁶/K to 19×10⁻⁶/K) induces a decrease of $\Delta \alpha_x$ (eq. 2) and in-plane strain $\epsilon_{11} = \epsilon_{22}$. Then, the 420 through-plane CTE of GF embedded in the matrix (α_{33} eq. (1)) will increase. This explains, therefore, the increase 421 of the through-plane CTE of Al/GF composites with the solid-liquid phase sintering (from -10.5×10^{-6} /K to -422 4.1×10^{-6} /K). With the addition of small amount of CF, the CTE value of (Al+Al-Si)/C composite fabricated by 423 solid-liquid phase sintering can be controlled in order to obtain a CTE compatible to the ceramic domain $(2 \times 10^{-1})^{-1}$ 424 6 /K to 8×10⁻⁶/K) in the in-plane direction or through-plane direction (Fig. 9a and 9d) without affecting significantly 425 the in-plane TC of the composite materials (Fig. 7c).

426

427 3.7. Specific thermal conductivity of Al/(GF+CF) composite materials

Figure 11 shows the specific TC of Metal/C (with a reinforcement content close to 50 vol.%) composites materials in function of CTE reported in the literature. Thanks to the low density of Al/50vol.%C (2.44 g/cm³) and a high TC (411 W/m.K), our MMC shows a high specific TC even higher than Cu/GF composite materials. Moreover Al/(GF+CF) shows a low CTE which make these composite materials compatible with electronic applications. In the ceramic domain, only Mg-Ca/GF composite shows a higher specific TC than our work thanks to the lightweight of the matrix.



435 <u>Figure 11</u>: Specific TC of (Al_{90vol.%}+Al-Si_{10vol.%})/(GF+CF) (red square) compared to the Metal/C composite
 436 materials reported in the literature

437

438 4. Conclusion

439 The addition of 50 vol.% of GF into the Al matrix improves remarkably the in-plane TC (400 W/m.K). However, these materials show a through-plane CTE (-10.5×10^{-6} /K) and in-plane CTE (21.8×10^{-6} /K) incompatible with 440 441 ceramic domain. Carbon fibers were added to Al/GF composite in order to increase the through-plane CTE and to 442 decrease the in-plane CTE of Al/GF composites materials. It has been shown that by addition of (40vol.%GF+10vol.%CF) to the Al matrix, induces a decrease of the in-plane CTE to 9.2×10^{-6} /K which is 443 444 significantly lower than an Al/10vol.%CF and Al/40vol.%GF composite materials (18.9×10⁻⁶ /K and 22.9×10⁻⁶ 445 /K, respectively). The GF, in addition to increase the TC of the matrix, allows to decrease the mechanical 446 percolation threshold of carbon fibers and then, provide the formation of a continuous and rigid (GF+CF) network 447 that enhance the decrease of in-plane CTE.

In the through-plane direction, it has been shown that Al/(40vol.%GF+10vol.%CF) composite exhibits a CTE of 17.6×10⁻⁶ /K which is significantly higher than an Al/40%GF (-6.4×10⁻⁶ /K) composite and lower than Al/10vol.%CF (33×10^{-6} /K) composite. Recognizing that CF allows to increase the through-plane CTE, the GF/CF ratio was optimized for a total reinforcement of 50 vol% in order to control the value of the CTE without decrease significantly the in-plane TC of the composite materials.

Solid-liquid phase sintering was used to fabricate Al/C composites. The amount of liquid phase alloy was
optimized for a carbon content of 50 vol.%. The addition of 10 vol.% of Al-12wt%Si alloy to the matrix of Al/C
composite materials induces:

- The increase of the relative densification of composite materials for reinforcement content of 50 vol.%.
- The increase of the in-plane TC of Al/GF composite from 400 to 428 W/m.K.
- The decrease of the in-plane CTE and the increase of through-plane CTE of Al/C composites materials.
- The presence of liquid phase in the carbon-carbon contact points leads to the formation of a solid CF+GF
 network.
- 461 Finally, two sets of $(Al_{90vol.\%}+Al-Si_{10vol.\%})/50\%$ Carbone composite materials have been developed and optimized:
- 462

• High in-plane TC (363 W/m.K) and low in-plane CTE (6.3×10⁻⁶ /K)

- 463
- High in-plane TC (411 W/m.K) and low through-plane CTE $(2.4 \times 10^{-6} / \text{K})$
- 464 Therefore, with their remarkable specific TC ($0.168 \text{ W.m}^2/\text{Kg.K}$), the composite materials developed in this study
- are applicable as a lightweight heat sink in microelectronic industries.
- 466
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526	Figure captions
527	Figure 1: SEM micrographs of starting materials: (a) Al powder, (b) Al-Si powder, (c) Graphite flakes (d) Carbon
528	fibers
529	
530	Figure 2: Illustration of the solid-liquid phase sintering process. The initial powder mixture (Al+Al-Si+C) is
531	sintered under 60 MPa at 600°C which result in the fusion of the Al-12wt%Si alloy
532	
533	Figure 3: SEM micrographs (in-plane view) of (a) Al/50vol%GF, (b) Al/(40vol.%GF+10vol.%CF) and (c)
534	Al/50vol%CF composite materials
535	
536	Figure 4: X-Ray tomography analysis of (a, b, c and c) Al/50vol.%GF, (d, e, f, and j) and
537	Al/(40vol.%GF+10vol.%CF), (g, h, i and k) Al/10vol.%CF composite materials. (Yellow arrows show the
538	pressure direction)
539	
540	Figure 5: EDS spot scan analysis of (Al+Al-Si)/50vol.%CF (a and b) composite material.
541	
542	Figure 6: Comparison of experimental TC of Al/GF (red square) and Al/CF (black circle) composite materials in
543	the through-plane (dotted line) and in-plane (line) direction
544	
545	Figure 7: Experimental CT of (Al _{90vol.%} +Al-Si _{10vol.%})/(GF+CF) (red triangle) and Al/(GF+CF) (black triangle)
546	composite materials in the in-plane (a and c) and through-plane (b) direction
547	
548	Figure 8: TEM micrographs of a) Al/CF composite material and b) al-Al-Si/CF composite material
549	

- 550 Figure 9: Comparison of experimental CTE of Al/GF (red square) and Al/CF (black circle) composite materials in
- the through-plane (dotted line) and in-plane (line) direction. Theoretical predictions by the Schapery model (blue
- dashed line) for Al/GF system in the through-plane direction

- 554 Figure 10: Experimental CTE of (Al_{90vol.%}+Al-Si_{10vol.%})/(GF+CF) (red triangle) and Al/(GF+CF) (black triangle)
- 555 composite materials in the in-plane (a and c) and through-plane (b and c) direction

556

557 Figure 11: Specific TC of (Al_{90vol.%}+Al-Si_{10vol.%})/(GF+CF) (red square) compared to the Metal/C composite
 558 materials reported in the literature

560 <u>Table 1</u>: Influence of the Al-12wt% Si liquid content (vol.%) on the relative density (%) and the in-plane TC

561 (W/m.K)

Liquid phase content (vol.%)	0	2.5	5	7.5	10	12.5	15
Relative density (%)	97.5	97.9	98.1	98.3	98.2	98.3	98.5
In-plane TC (W/m.K)	400	404	425	423	428	414	409

565 <u>Table 2</u>: Materials parameters for calculating the theoretical CTE for Al/GF composite materials

Material	α (10 ⁻⁶ /K)	E (GPa)	V	Ref.
In-plane GF	-1	1109	0.12	[34]
Through-plane GF	-58*	38.7	0.01	[27]*; [34]
Al	25	69	0.345	[25]

*Value calculated with Firkowska formulation [27]