

# Growth and Electrostatic/chemical Properties of Metal/LaAlO3/SrTiO3 Heterostructures

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- 1 TITLE:
- $2 \qquad \mbox{Growth and electrostatic/chemical properties of hybrid metal/LaAlO_3/SrTiO_3 heterostructures}$
- 3

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#### 17 **KEYWORDS**

- 18 2D electron gas, oxide interfaces, lao, sto, magnetotransport, X-ray photoelectron
- 19 spectroscopy, pulsed laser deposition, sputtering
- 20

#### 21 SHORT ABSTRACT:

- 22 We fabricate metal/LAO/STO heterostructures using a combination of pulsed laser deposition
- 23 and *in situ* magnetron sputtering. Through magnetotransport and *in situ* X-ray photoelectron
- 24 spectroscopy experiments we investigate the interplay between electrostatic and chemical
- 25 phenomena of the quasi two-dimensional electron gas formed in this system.
- 26

#### 27 LONG ABSTRACT:

- 28 The quasi 2D electron system (q2DES) that forms at the interface between LaAlO<sub>3</sub> (LAO) and
- 29 SrTiO<sub>3</sub> (STO) has attracted much attention from the oxide electronics community. One of its
- 30 hallmark features is the existence of a critical LAO thickness of 4 unit-cells (uc) for interfacial
- 31 conductivity to emerge. Although electrostatic mechanisms have been proposed in the past to
- 32 describe the existence of this critical thickness, the importance of chemical defects has been
- 33 recently accentuated. Here, we describe the growth of metal/LAO/STO heterostructures in an
- 34 ultra-high vacuum (UHV) cluster system combining pulsed laser deposition (to grow the LAO),
- 35 magnetron sputtering (to grow the metal) and X-ray photoelectron spectroscopy (XPS). We
- 36 study step by step the formation and evolution of the q2DES and the chemical interactions that
- 37 occur between the metal and the LAO/STO. Additionally, magnetotransport experiments
- 38 elucidate on the transport and electronic properties of the q2DES. This systematic work not
- 39 only demonstrates a way to study the electrostatic and chemical interplay between the q2DES
- 40 and its environment, but also unlocks the possibility to couple multifunctional capping layers
- 41 with the rich physics observed in two-dimensional electron systems, allowing the fabrication of
- 42 new types of devices.
- 43
- 44 INTRODUCTION:

- 45 Quasi 2D electron systems (q2DES) have been extensively used as a playground to study a
- 46 multitude of low-dimensional and quantum phenomena. Starting from the seminal paper on
- 47 the LaAlO<sub>3</sub>/SrTiO<sub>3</sub> system (LAO/STO)<sup>1</sup>, a burst of different systems that host new interfacial
- 48 electronic phases have been created. Combining different materials led to the discovery of
- 49 q2DESs with additional properties, such as electric-field tunable spin polarization<sup>2</sup>, extremely
- <sup>50</sup> high electron mobilities<sup>3</sup> or ferroelectricity-coupled phenomena<sup>4</sup>. Although an immense body
- 51 of work has been dedicated to unravel the creation and manipulation of these systems, several
- 52 experiments and techniques have shown contradictory results, even in rather similar
- 53 conditions. Additionally, the balance between electrostatic and chemical interactions was
- found to be essential to correctly understand the physics at  $play^{5-7}$ .
- 55
- 56 In this article, we thoroughly describe the growth of different metal/LAO/STO heterostructures,
- 57 using a combination of pulsed laser deposition (PLD) and *in situ* magnetron sputtering. To
- 58 understand the effect of different surface conditions in the buried q2DES at the LAO/STO
- interface, an electronic and chemical study was then performed, using transport and electron
- 60 spectroscopy experiments. This study shows a systematic way of probing both electronic and
- 61 chemical interactions, emphasizing the importance of crosschecking different approaches to
- 62 fully understand the many features of these types of systems.63

## 64 **PROTOCOL**:

Note: All of the 5 steps described in this protocol can be paused and restarted at any time, with
the single condition that the sample is kept under high vacuum from step 2.4 to 4.

- 67
- 68 1. STO(001) Substrate Termination
- 1.1. Fill an ultrasonic cleaner with water and heat it to 60 °C. Ultrasound an out of the box
   mix-terminated single-side polished (001)-oriented STO single-crystal substrate (5×5
   mm<sup>2</sup> in lateral size, 0.5 mm in thickness) in acetone for 3 min. Repeat the
   ultrasonication procedure in isopropanol, and then in deionized water. Dry substrates
   using a nitrogen blow gun.
- Fill a large beaker with running deionized water. Wearing appropriate protection, fill a second beaker (typically made of Polytetrafluoroethylene) with a buffered hydrofluoric (HF) solution (HF:NH<sub>4</sub>F = 12.5:87.5%). Submerge the substrates in HF for exactly 30 seconds and immediately move them into the deionized running water to stop any subsequent chemical reactions. Dry substrates with a nitrogen blow gun. More details can be found within Kawasaki's recipe<sup>8</sup>.
- Caution: The HF solution used is highly corrosive and poisonous. Manipulation and disposal
   of used HF solutions should always be carried out in appropriate working environments.
   Symptoms of poisoning after contact with a body part might start to be visible up to one
- day after exposure and may not cause any pain in the first few hours. An alternative acid-
- 84 free termination recipe might also be used<sup>9</sup>.
- 85 1.3. Bake the substrates in an oven for 3 hours at 1000 °C (20 °C/min ramp rate, for both
  86 warming and cooling) in an oxygen rich environment (about 1 atm.). Ramping is not
  87 included in the 3 hours procedure.
- 88 1.4. Repeat step 1.1. to remove subsequent surface contaminations promoted during

89	annealing.
90	
91	2. Growth of LAO Ultra-Thin Films
92	2.1. Prepare the single-crystal LAO target (1-inch diameter).
93	2.1.1. Mechanically polish the LAO target gently using sandpaper and isopropanol
94	solution as a lubricant. Dry using a nitrogen blow gun.
95	2.1.2. Insert the target in the load-lock chamber. Let it degas in vacuum, while
96	continuously pumping the chamber, for up to a few hours. Transfer the target in
97	the PLD chamber and wait until it reaches a base pressure in the 10 <sup>-9</sup> mbar range.
98	Note: In the absence of a load-lock chamber and in vacuo transfer system, the typical waiting
99	time and base pressure can be severely affected.
100	2.1.3. Inspect the laser energy using an excimer laser sensor. Use the same energy (or
101	marginally higher) as the energy used during the growth. We use a pulsed KrF
102	excimer laser of wavelength $\lambda$ =248 nm, with a characteristic pulse duration of 25
103	ns and operated at a minimum of 21 kV (for improved pulse-to-pulse
104	reproducibility).
105	Note: Absolute values of the laser energy might vary depending on the geometry of the setup.
106	However, for LAO target ablation, one should aim for a laser fluence of typically 1 J/cm <sup>2</sup>
107	(fluence = energy/spot area).
108	2.1.4. Rotate the LAO target at about 10 rpm.
109	Note: It is advised to adapt the target rotation speed to the spot size and laser repetition rate
110	to avoid two consecutive overlapping shots, potentially leading to some local overheating or
111	melting of the target, and subsequent off-stoichiometry.
112	2.1.5. Shoot at 3 or 4 Hz for 20000 pulses at an oxygen partial pressure of 2x10 <sup>-4</sup> mbar.
113	The relatively long ablation of the LAO single-target we used was found to have a
114	determinant role in LAO/STO sample-to-sample reproducibility.
115	2.2. Perform an AFM scan of the STO substrate surface to verify morphology and cleanness.
116	2.3. Glue the substrate to a sample holder using silver paste. Heat it up to about 100 °C for
117	10 min so that the solvent evaporates and the paste solidifies (for optimum thermal
118	conduction). Let the sample holder cool down.
119	2.4. Insert the sample holder in the vacuum chamber. At this step, the first XPS
120	measurement can be performed to analyze the oxygen, carbon and titanium peaks
121	(refer to step 4 for more details).
122	2.5. Insert the sample in the PLD chamber.
123	2.5.1. Raise the temperature of the sample holder to 730 °C (at 25 °C/min) in an
124	oxygen partial pressure of 2x10 <sup>-4</sup> mbar.
125	2.5.2. Using reflective high energy electron diffraction (RHEED) technique, align the
126	electron beam at grazing angle with the substrate so that the diffraction spots are
127	observed on the phosphor screen. Monitor in real-time the intensity of each spot
128	by using a CCD camera. *We used a source voltage of 30 kV and current of 40 $\mu$ A.
129	2.5.3. *Place the target 63 mm away from the substrate.
130	2.5.4. *Calibrate the laser energy. Use a slit (6x16 mm) and an external attenuator right
131	after the laser exit to modulate the shape and energy of the beam.
132	2.5.5. Set the laser frequency to 1 Hz.

133		2.5.6. Ablate the target with the laser at constant energy (corresponding to about 1
134		J/cm <sup>2</sup> ) and constant oxygen partial pressure of 2x10 <sup>-4</sup> mbar. Observe the RHEED
135		oscillations. Close the laser shutter at the peak of one of the oscillation depending
136		on the desired thickness. For the purpose of our experiment, we grew 1 and 2 uc
137		for transport and spectroscopic experiments, respectively.
138		2.6. After LAO growth, shutdown the RHEED gun. Proceed to the post-annealing step.
139		2.6.1. Increase the oxygen partial pressure in the chamber to 1x10 <sup>-1</sup> mbar. Decrease
140		the temperature of the sample holder to 500 °C, and introduce a static oxygen
141		partial pressure of about 300 mbar. Post-anneal the sample in these conditions for
142		30 min.
143		2.7. Cool down the sample at 25 °C/min while keeping it in the same oxygen partial
144		pressure until it reaches room temperature.
145		2.8. The second XPS measurement can be performed at this stage to investigate possible
146		valence changes in the titanium peak or the relative La/Al concentration.
147		2.9. To ensure that the LAO surface is kept pristine, transfer the sample in vacuo to the
148		sputtering chamber. Performing these experiments ex situ will cause the accumulation
149		of carbon and water on the surface which ultimately leads to altered results.
150		
151	3.	Magnetron Sputtering of Metallic Overlayers
152		3.1. *Use a pure Ar atmosphere of 4.5x10 <sup>-4</sup> mbar.
153		3.2. *Position the substrate about 7 cm away from the target.
154		3.3. *Ramp the current up to about 100 mA (36 W) so that a stable plasma is created.
155		3.4. With the shutter closed, pre-sputter the metallic target for a few minutes to remove
156		any oxidized layer that may have formed at its surface.
157		3.5. At room temperature, deposit the desired metal at the lowest possible rate, while
158		keeping the plasma stable and uniform. For the purpose of our experiments we grew
159		films of 2.5 and 0.3 nm (at a typical rate of 2 Å/s) for transport and spectroscopic
160		experiments, respectively. For transport experiments, a subsequent capping layer of
161		about 3 nm of Al (whose surface passivates, forming an AlOx protective layer upon
162		exposure to air) is grown to prevent oxidation of the underlying metallic layer.
163		3.6. Ramp the current down to zero, close the Ar source and pump the chamber.
164		3.7. A last XPS experiment might be performed at this stage to inspect a valence change at
165		the Ti 2p level as well as a possible oxidation at the metal/LAO interface.
166		
167	4.	X-ray Photoelectron Spectroscopy
168		4.1. Place the sample with the surface normal aligned parallel to the electron analyzer axis.
169		4.2. Turn on the X-ray gun and approach it as close as possible to the sample using a linear
170		shift (avoid mechanical contact between the end of the gun and the sample holder to
171		prevent damage).
172		4.2.1. We used an Mg K $\alpha$ source with an excitation energy of 1253.6 eV. *Set the
173		filament to achieve an emission current of 20 mA at an anode voltage of 15 kV.
174		Regarding the analyzer electron-optics, choose an entrance slit of 2 mm of
175		diameter and an exit slit with rectangular shape of 5x11 mm. If your analyzer has
176		different specifications, choose the slits in a way to avoid too high intensity in the

4 7 7	
177	electron counting unit (refer to the respective manual to know the specific limits).
178	4.3. In ultra-high vacuum conditions (10 <sup>-10</sup> mbar range), collect the survey spectra (between
179	0 and 1200 eV binding energy) and locate the position of the relevant peaks. For
180	improved statistics, measure each peak several times and average the spectra
181	collected.
182	4.3.1. *Select a step of 0.05 eV, a dwell time of 0.5 s, a pass energy between 30 and 60
183	eV and an adequate lens mode to achieve the smallest spot size possible.
184	4.4. Analyze the spectra using an adequate XPS processing software.
185	4.4.1. In order to identify the electrons from a given transition, define an energy range
186	that comprises the peak to analyze.
187	4.4.2. Create an appropriate background curve (normally a Shirley background) and
188	subtract it from the original data.
189	4.4.3. Using bibliographic references <sup>10</sup> , locate the possible peaks that compose the
190	measured peak. Pay special attention to tabulated distances and relative
191	intensities for different peaks.
192	
193	5. Magnetotransport Experiments
194	5.1. Wire-bond the metal/LAO/STO sample with Al or Au wires using an ultrasonic wedge-
195	bonding machine to contact the buried interface. Use an 8-wire geometry (4 in van der
196	Pauw –channel 1– and 4 in Hall geometry –channel 2–).
197	5.2. Check if contacts are good by measuring the resistance. To ensure that the sample is
198	uniform, verify that the resistance measured in different directions is the same.
199	5.3. Mount the sample in a transport setup.
200	5.3.1. Measure the resistance (channel 1) down to low temperature.
201	5.3.2. At low temperature, measure sequentially the magnetoresistance (channel 1)
202	and Hall effect (channel 2) by sweeping an external and perpendicular magnetic
203	field, sourcing a current of typically 10-100 $\mu$ A for metal/LAO/STO samples.
204	5.3.3. Repeat 5.2.2 for several different temperatures.
205	
206	REPRESENTATIVE RESULTS:
207	The full experimental system used for growth and characterization is shown in figure 1. Having
208	different setups connected in UHV through a distribution chamber is highly recommended to
209	ensure that the surface of the sample after each growth process is kept pristine. The PLD
210	chamber (figure 3), magnetron sputtering (figure 4) and XPS chamber (figure 5) are also
211	described in detail. Additional information regarding the optical path in the PLD setup is
212	displayed in figure 3c (adapted from Ref. <sup>11</sup> ). The conditions discussed in the protocol for each
213	technique may vary depending on the exact geometry of each chamber, type of targets used or
213	the type of equipment. For this reason, we have marked throughout the protocol (*) all the
215	steps that highly rely on the features aforementioned and that ultimately will require some
215	degree of optimization by the user.
210	active of optimization by the user.

217

218 We confirmed atomically flat and clean STO surfaces, before starting the growth, through

219 atomic force microscope (AFM), as seen in figure 2a. A "step and terrace" like structure with

single unit cell step height is evidenced due to the miscut angle in respect to the (001) plane

- orientation. All samples were grown on substrates with a step size of about 250 nm (for a
- miscut angle between 0.01 and 0.02°). Both LAO and metal films reproduce the surface
- 223 morphology of the layers beneath, as seen in figure 2b, respectively.
- 224

During PLD growth, the plume generated through the process of ablating species from the target towards the substrate is shown in figure 3d. Note that the intensity (and color) of the

- target towards the substrate is shown in figure 3d. Note that the intensity (and color) of the plume depends on the oxygen pressure and fluence used. Altering the fluence was previously
- shown to modify the La/Al cationic ratio, which might ultimately lead to a modulation of the
- interfacial contacting properties<sup>12,13</sup>. RHEED monitoring is used to ensure layer-by-layer growth.
- 230 Typical RHEED data is shown in figure 3e for a 2 uc growth of LAO on STO.
- 231

A first XPS analysis of the substrate allows us to confirm the virtual absence of additional

- features in the oxygen peak, usually present due to attached water and hydrogen molecules, as
- well as a very small amount of adsorbed carbon. The heating process used during the PLD
- growth normally removes/reduces these features. A second analysis reveals the appearance of
- La and Al peaks and an additional intensity decrease of Ti and Sr peaks, due to the attenuation
- 237 introduced by the LAO film. One last XPS analysis after metal deposition shows clear
- attenuation of all peaks coming from the LAO/STO. As we discuss ahead, analysis of the peaks
- associated with the deposited metal gives us information regarding its oxidation state. All
- 240 survey spectra addressed are displayed in figure 5c.
- 241

242 Transport experiments are performed at low temperature using an 8-wire geometry (4-wires 243 for transverse measurements and 4-wire for Hall measurements), as shown in figure 6a. 244 Measurements show strikingly different behavior depending on the metallic capping layer 245 chosen. LAO(2 uc)/STO samples capped with noble metals such as Au, Pt or Pd showed a linear 246 Hall trace with a change in resistance of a few tens of m $\Omega$  over 9 T (figure 6b). However, similar 247 samples capped with reactive metals such as Ti, Ta, Co, Ni<sub>80</sub>Fe<sub>20</sub> and Nb (2.5 nm) showed 248 signatures of a 2DES, namely S-shaped Hall traces (figure 6c). We conclude that from a 249 transport point of view, LAO/STO samples below the 4 uc critical thickness (that in regular 250 conditions are insulating) form an interfacial 2DES if a reactive metal is added on top. Capping 251 with noble metals leads to an insulating interface, where only the metal layer is detected (see 252 figure 6b and inset of figure 6c). Indeed, these results are consistent with theoretical prediction performed on similar heterostructures<sup>14</sup>. Simultaneously fitting the extracted Hall and 253 254 magnetoresistance for the 2DES enables us to extract values for carrier densities and mobilities. 255 The results are summarized in table 1 for three LAO(2 uc)/STO samples capped with Au, Co or 256 Ta. We observe that the carrier densities scale with the work function of the metal capping. 257 Electrostatically, the Fermi level of lower work function metals will stay above the Fermi level of 258 the STO, which ultimately promotes electron transfer towards it. Lower metal work function 259 (higher Fermi level) leads therefore to stronger electron transfer, which explains the trend seen 260 for these 3 samples.

261

262 Although, an electrostatic approach seems to fully describe this system, chemical reactions

- 263 must be considered<sup>15</sup>. For this purpose, XPS allows us to investigate possible oxidation
- 264 processes occurring at the metal/perovskite interface, as well as valence changes occurring at

265 the interfacial Ti atoms in the STO (figure 7a). The results are summarized in table 2. The 266 likeliness of the metal to oxidize, given by the enthalpy of oxide formation  $\Delta_f H^0$ , scales with the 267 Ti<sup>3+</sup>/Ti<sup>4+</sup> intensity ratio, translating in an increased number of electrons at the Ti interfacial 268 atoms for highly reactive metallic capping layers, as seen in figure 7b and 7d. As expected, the 269 quantity of metal oxide formed at the metal/LAO interface scales similarly, although we suspect 270 oxidation would continue for Ta capped sample if the metal layer was thicker (figure 7c). 271 Additionally, from angle-dependent XPS experiments (inset of figure 7b) we observe that the 272 thickness of the 2DEG formed extends beyond the XPS maximum probing depth. This result 273 contradicts the transport experiments, since more carriers translate in a larger interfacial confining electric field that should shorten the 2DES thickness<sup>16</sup>. The large spatial extension 274 275 might be described through oxygen vacancies created by the highly reactive metal capping. 276 Since the LAO layer is only 1 uc thick, if the concentration of vacancies formed is high enough 277 then they might reach the 2DES region, expanding it while maintaining a high carrier 278 concentration. 279 These results clearly show the importance of backing transport experiments with electron 280 spectroscopy and vice versa. For more details on the analysis refer to Ref.<sup>7</sup>. 281 282 FIGURE AND TABLE LEGENDS: 283 Figure 1 – Complete setup including the pulsed laser deposition chamber, magnetron 284 sputtering and X-ray photoelectron spectroscopy. The three setups are connected through a cluster that allows for sample transfer without breaking vacuum (10<sup>-9</sup> mbar range). 285 286 287 Figure 2 – Atomic force microscopy of (a) a STO substrate used for the growth of (b) a 288 Co(2nm)/LAO(2 uc)/STO sample. 289 290 Figure 3 – Pulsed laser deposition system. (a) Exterior of the PLD chamber. Although not 291 visible, the phosphor screen and camera used to monitor the RHEED diffracted electrons are 292 behind the chamber, aligned with the RHEED gun. (b) Interior of the PLD chamber. An infrared 293 laser is used to locally heat the back-side of the sample holder. X and y positioning is controlled 294 through knobs on the outside of the chamber. The target carousel allows us to have 5 different 295 targets inside the chamber at the same time. (c) Detailed sketch of the optical apparatus. The 296 beam exits the laser and is immediately reduced in size through a slit. The variable attenuator 297 allows the control of the beam energy without varying the source laser parameters. Two 298 converging lenses are then used to focus the beam on the target. Modified from Ref.<sup>11</sup>. (d) 299 Characteristic plume-shaped plasma formed after ablating the LAO target with a single beam 300 pulse, at 2x10<sup>-4</sup> mbar of oxygen. (e) Typical RHEED oscillations and diffraction spots. For 301 consistency, monitoring is always performed on the (0-1) diffraction spot, located inside the 302 yellow doted box. 303 304 Figure 4 – Magnetron sputtering system. (a) Exterior of the sputtering chamber. (b) Interior of 305 the sputtering chamber. Ar atoms are accelerated towards the metal target, located inside the 306 shown cylinder and mounted on a dc-magnetron source, hence generating a plasma. 307

308 Figure 5 – X-ray photoelectron spectroscopy system. (a) Exterior of the XPS chamber. Emitted

- 309 electrons enter the transfer lens of the analyzer. There, they are retarded/accelerated to match
- 310 the analyzer pass energy before entering the analyzer itself and being finally collected by the
- electron detector. A preamplified signal is then sent to the optical receiver before reaching the
- amplifier and computer. (b) Interior the XPS chamber. The X-ray gun can be retracted to allow
- 313 positioning of the sample holder. (c) Typical survey scan performed on a bare STO substrate, an
- LAO(1 uc)/STO and a Co/LAO(1 uc)/STO. Note the evolution of the peaks. Ti and Sr peaks are
- 315 gradually attenuated as the top layer thickness increases. La and barely visible Al peaks appear 316 after LAO growth. The addition of an ultra-thin layer (3 Å) of Co rapidly attenuates all the other
- 317 peaks. An additional zoomed O 1s peak (STO substrate) is displayed on the right.
- 318

**Figure 6 – Transport properties of metal/LAO/STO heterostructures. (a)** Wire bonding of a

- sample in an 8-wire geometry (4-wire for Hall resistance and 4-wire for longitudinal resistance).
   This geometry allows the collection of both Hall and magnetoresistance, sequentially sourcing
- between the two channels, yet in a single measurement run. Hall resistance R<sub>xy</sub> as a function of
- applied perpendicular magnetic field  $\mu_0$ H of LAO(2 uc)/STO samples capped with **(b)** noble
- metals and (c) reactive metals. The inset shows a schematic circuit after wire bonding, where
- $R_{M}$  and  $R_{a2DES}$  represent the longitudinal resistances and  $V_{H,M}$  and  $V_{H,a2DES}$  the Hall voltages
- 326 generated in each layer. More information in ref.<sup>7</sup>.
- 327

## 328 Figure 7 – X-ray photoelectron spectroscopy of metal/LAO/STO heterostructures. (a)

- 329 Schematic sketch of the measurement performed. Photoelectrons mitted by X-ray excitation
- carry information on both the LAO/STO and the metal/LAO interface. (b) Spectra collected on
- Ta/LAO/STO samples at the Ti 2p level show an additional (Ti<sup>3+</sup>) shoulder at lower binding
- energy, associated with extra electrons hosted at the interfacial Ti atoms. The inset additionally
- 333 shows the weak angle-dependence of the  $Ti^{3+}$  shoulder, revealing that the extension of the
- q2DES formed at the LAO/STO interface is larger than the maximum electron probing depth. (c)
- The Ta 4f spectra informs on the oxidation level of the metallic capping used. The spectra can be fitted exclusively with different Ta oxide peaks, indicating that ~100% of the metal layer has
- 337 oxidized on top of the oxide heterostructure. (d) Fitting the Ti 2p peak with two components,
- 4+ and 3+, allows us to extract a  $Ti^{3+}/Ti^{4+}$  intensity ratio of ~20%. More information in ref.<sup>7</sup>.
- 339

340 Table 1 – Relation between metallic capping used, the work function of the metal and the

- 341 carrier density found in the q2DES at the LAO/STO interface. Smaller work functions translate
- in a larger energetic difference between Fermi levels (metal and STO), which leads to strongercharge transfer, after band alignment, and ultimately an electro gas with higher carrier density.
- 344

# Table 2 - Relation between metallic capping used, the enthalpy of oxide formation Δ<sub>f</sub>H<sup>0</sup>, the intensity ratio between Ti<sup>4+</sup> and Ti<sup>3+</sup> peaks (extracted from XPS) and the relative

- 347 concentration of metal oxide in the metallic capping. Higher (negative) enthalpies of formation
- 348 mean that the oxide has a higher tendency to oxidize, which leads to a higher Ti<sup>3+</sup> shoulder in
- 349 the Ti 2p XPS spectra (more electrons transferred towards the interface) and larger
- 350 concentration of metal oxide formed.
- 351
- 352 **DISCUSSION:**

- 353 During substrate termination, one should be extremely careful with the submerging time in HF
- solution. We observed under- and over-etched surfaces by varying just 5s with regard to the
- 355 original recipe. Additionally, we observed a dependence between substrate step size and
- 356 submerging time. For smaller step sizes (less than 100 nm) submerging 30s might lead to over-
- etching, even though afterwards the annealing procedure might be sufficient to properly
- reconstruct the surface. Due to the risks of using HF based acids, we also advise the
- optimization of an acid-free termination technique such as the one described in Ref.<sup>9</sup> which
- 360 should lead to similar results.
- 361
- Concerning the growth of LAO, we advise the use of single crystal targets to avoid the possible
- 363 preferential ablation of specific species, which might occur for example in ceramic/sintered
- targets. In our case, samples grown with a ceramic target resulted in insulating samples, most
- likely due to off stoichiometry of the grown films<sup>13</sup>. The 20000 pulses pre-ablation step we
- describe might seem overly long, however we observed that the transport properties
- deteriorate extremely quickly by overlooking this step. Pre-ablating with less than 10000 pulses
- 368 repeatedly showed insulating LAO/STO interfaces. As expected from PLD growth we
- additionally advise not to grow on substrates larger than 10x10 mm in lateral size. 4-point
- electrical probing on different regions of these 10x10 samples showed slight inconsistencies
- 371 likely due to off-stoichiometry issues at the samples corners. We also note that all samples
- were grown with the center of the plume vertically aligned with the substrate. At low oxygen
- 373 pressure (lower than  $10^{-2}$  mbar) and due to the low target-substrate distance, ablated species
- travel in a ballistic regime, which might also justify why the fluence utilized affects so drastically
- 375 the properties of the interfacial conductivity<sup>12</sup>.
- 376 Finally, since the laser energy measurement is done outside the chamber and the laser
- entrance window gets coated over time, which yield to a loss of transmission, we guide
- ourselves by targeting a constant growth rate value instead. The optimized growth rate for LAO
- 379 was found to be approximately 25 pulses/unit cell for our specific PLD growth process.
- 380
- The RHEED oscillations measured during growth are extremely sensitive to the fine positioning of the substrate. The exact same growth process might result in substantial differences in terms of RHEED oscillations intensities. We advise using the same positioning parameters and probing the same diffraction spots for every growth. For consistency purposes, we have grown all of our
- samples monitoring only the (0-1) or (01) diffraction spots, off of the specular reflection. TEM
- imaging showed good uniformity and confirmed, together with standard X-ray diffraction, the
- 387 correct corresponding number of LAO unit cells.
- 388
- 389 Concerning the sputtering deposition, we emphasize that different metals have different
- 390 wetting behaviors on top of oxides substrates<sup>15</sup>. Consider increasing the thickness accordingly
- to achieve full coverage. Films under a few nm in thickness might result in non-percolated films.
- 392 Perform SEM characterization after deposition to verify good uniformity.
- 393 Using slower deposition rates and less energetic incoming species is also recommended, to
- 394 finely control the film's thickness and avoid possible penetration of sputtered species into the
- 395 sample. To do this, one might increase the target-substrate distance and/or reduce the plasma
- 396 excitation current.

397

- Regarding the XPS measurements, since the measurements are performed *in situ*, there is no
- electrical connection between the metallic overlayer (or the 2DEG) and the sample holder. This
- 400 means that charging effects will be severe due to the insulating nature of the STO substrate.
- 401 We therefore advise not to conclude on the position of the collected peaks, since they might be
- 402 shifted several eV. It is also known that metals tend to screen electrons quite efficiently so that
- a 1 nm metallic capping might already impede probing other buried layers. An additional charge
   compensation by a flood gun might help to avoid peak shifting and deformation. In our case,
- 405 peak deformation was negligible since we used a non-monochromated X-ray source providing
- 406 enough secondary electrons.
- Angle-dependent studies can also provide valuable information on the depth profile. If the
   electron takeoff angle is 90° (surface normal parallel with the electron analyzer axis) then the
- 409 maximum volume is probed. When the takeoff angle decreases (tilted sample) electrons from
- the same depth will travel larger distances, so that the total volume measured is reduced. One
- 411 is then able to, for example, assess the thickness of a q2DEG through a valence state depth-
- 412 dependent analysis, as was previously shown for LAO/STO heterostructures<sup>17</sup>.
- 413
- 414 We also bring the attention to additional peaks seen in the survey spectra. If the sample size is
- smaller than the detected area of the electron analyzer, traces of silver paste on the borders of
- the sample and of the species deposited on the substrate holder, such as La or Al, are collected.
- 417 Note that these species will, to some extent, suffer different charging effects, which will lead
- 418 for example to the appearance of double peak features. This can be easily fixed by reducing the
- entrance slit size for electron collection and increase the dwell time (for higher electron count).
- 421 Overall, although the instructions provided in this paper serve as a guide to grow LAO ultra-thin
- films, they demonstrate a generic way of growing most ABO<sub>3</sub> perovskites with PLD. Even though
- 423 each material will require specific steps for optimized desired structural, electrical, or magnetic
- 424 properties, one should be especially attentive to some essential features: type and composition
- 425 of the target<sup>18</sup>, oxygen partial pressures during growth and annealing<sup>19,20</sup>, substrate
- 426 temperature, ablation frequency and laser fluence<sup>12,21</sup> and type of substrates (and previous
- 427 surface treatments).
- 428 Through a combination of transport and spectroscopy experiments, we are also able to draw a
- 429 more accurate and complete picture of a complex system where capturing the interplay
- 430 between electrostatic and chemical phenomena is essential to understand the intrinsic versus
- 431 extrinsic doping mechanisms. We reiterate that due to their strongly correlated character,
- 432 these complex oxide systems are extremely sensitive to small stoichiometry and electronic
- 433 changes such that their comprehensive study requires different complementary *in situ* and *ex*
- 434 *situ* techniques.
- 435

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