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Friedrich Wehrung. Real spectra and  $\ell$ -spectra of algebras and vector lattices over countable fields. 2019. hal-02179699v1

### HAL Id: hal-02179699 https://hal.science/hal-02179699v1

Preprint submitted on 11 Jul 2019 (v1), last revised 12 Aug 2021 (v4)

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## REAL SPECTRA AND $\ell$ -SPECTRA OF ALGEBRAS AND VECTOR LATTICES OVER COUNTABLE FIELDS

#### FRIEDRICH WEHRUNG

Dedicated to the memory of Klaus Keimel

ABSTRACT. In an earlier paper we established that every second countable, completely normal spectral space is homeomorphic to the  $\ell$ -spectrum of some Abelian  $\ell$ -group. We extend that result to  $\ell$ -spectra of vector lattices over any countable totally ordered division ring k. Extending our original machinery, about finite lattices of polyhedra, from linear to affine and allowing relativizations to convex subsets, then invoking Baro's Normal Triangulation Theorem, we obtain the following result:

**Theorem.** For every countable formally real field k, every second countable, completely normal spectral space is homeomorphic to the real spectrum of some commutative unital k-algebra.

The countability assumption on k is necessary: there exists a second countable, completely normal spectral space that cannot be embedded, as a spectral subspace, into either the  $\ell$ -spectrum of any right vector lattice over an uncountable directed partially ordered division ring, or the real spectrum of any commutative unital algebra over an uncountable field.

#### 1. Introduction

The same way the usual Zariski spectrum of a commutative unital ring A topologizes the collection of all surjective homomorphisms from A onto a domain, the real spectrum of A topologizes the collection of all surjective homomorphisms from A onto a totally ordered domain. However, while the class of all topological spaces that arise as Zariski spectra of commutative unital rings has been well known since Hochster [15] — we get exactly the so-called spectral spaces, the corresponding problem for real spectra has been open for decades, and dates back at least to Problem 12 in Klaus Keimel's 1995 survey paper [18]. Observe that the order structure of real spectra (without the topology) got completely elucidated in Dickmann, Gluschankof, and Lucas [12].

Building on Delzell and Madden's construction of a completely normal spectral space which cannot arise as a real spectrum [9], Mellor and Tressl [23] proved that the class  $\mathcal{R}$  of all Stone duals of real spectra (which is thus a class of bounded

Date: July 11, 2019.

<sup>2010</sup> Mathematics Subject Classification. 14P10; 12D15; 13J30; 46A55; 52B99; 06D05; 06D50; 06F20; 06D35.

Key words and phrases. Spectral space; real spectrum; Brumfiel spectrum; f-ring; vector lattice; totally ordered; field; division ring; semi-algebraic; convex; polyhedron; simplicial complex; flat triangulation; real-closed; formally real; lattice; CN-purity; join-irreducible; completely normal; consonance; Stone duality; measure; scale.

distributive lattices) is not closed under  $\mathcal{L}_{\infty\lambda}$ -elementary equivalence, for any infinite cardinal  $\lambda$ . As usual,  $\mathcal{L}_{\infty\lambda}$  denotes the extension of first-order logic allowing conjunctions and disjunctions of arbitrary length and universal or existential quantifiers over strings of variables of length less than  $\lambda$ . There is not much room for improvement of Mellor and Tressl's solution beyond  $\mathcal{L}_{\infty\lambda}$ : for example, it is not hard to verify, using the description of the Stone dual of a real spectrum in terms of generators and relations given in Johnstone [17, § V.4.11], that  $\mathcal{R}$  is the class of lattice reducts of the class of all models of some  $\mathcal{L}_{\omega_1\omega}$  sentence in a larger first-order language; thus a so-called *projective class* (cf. the argument concluding the Introduction in Wehrung [35]).

Mellor and Tressl's solution involves (necessarily) the construction of lattices of arbitrarily large cardinality. The main result of the present paper is thus a positive counterpart of Mellor and Tressl's negative solution (cf. Corollary 8.7):

**Theorem A.** Let k be a countable formally real field. Every second countable completely normal spectral space arises as the real spectrum of some commutative unital k-algebra.

Our road to Theorem A involves an extension of the tools introduced, in the author's paper [33], for proving an analogue result for the corresponding problem on *Abelian*  $\ell$ -groups. Those tools are a blend of lattice theory and semilinear geometry, and they were originally focused on finite lattices of polyhedral cones in real vector spaces. One of the main underlying lattice-theoretical concepts of [33] is the one of *consonance* (cf. Section 2.1). We will need to introduce here the lattice-theoretical concept of *CN*-purity (cf. Definition 7.8) and relate it to Baro's Normal Triangulation Theorem from [2] (cf. Proposition 7.9).

The main result of [33] is a precursor of Theorem A for  $\ell$ -spectra of Abelian  $\ell$ -groups. The concept of  $\ell$ -spectrum can in turn be extended to (right) vector lattices over totally ordered division rings k, by allowing the  $\ell$ -ideals to be closed under the action of k. A byproduct of our study is the following version of Theorem A for vector lattices, which also extends the main result of [33] to those structures (cf. Corollary 6.3).

**Theorem B.** Let k be a countable totally ordered division ring. Every second countable completely normal spectral space arises as the  $\ell$ -spectrum of some right k-vector lattice.

Analogues of Mellor and Tressl's result, for spectra of various classes of  $\ell$ -groups (including all Abelian ones), also answering related questions from Iberkleid *et al.* [16], were recently obtained by the author in [34, 35].

We also prove that the countability assumption on k, in both Theorems A and B, cannot be dispensed with (cf. Corollary 9.7):

**Theorem C.** There exists a second countable, completely normal spectral space that cannot be embedded, as a spectral subspace, into either the  $\ell$ -spectrum of any right vector lattice over an uncountable directed partially ordered division ring or the real spectrum of any commutative unital algebra over an uncountable field.

Theorem C follows from a more general result, Theorem 9.3, that states that if a distributive lattice D can be represented via a vector lattice on a directed partially ordered division ring of cardinality greater than the one of D, then every indecomposable element of D is join-irreducible.

We also prove (cf. Corollary 10.7) that a completely normal generalized spectral space X can be represented via vector lattices over arbitrary totally ordered division rings iff the Stone dual of X is a generalized dual Heyting algebra. The real spectrum analogue of that result fails (cf. Example 10.9).

#### 2. Basic concepts

- 2.1. Lattices. A standard reference on lattice theory is Grätzer [14]. A nonzero element p in a lattice L with zero is
  - (1) indecomposable if  $(p = x \lor y \text{ and } x \land y = 0) \Rightarrow (x = 0 \text{ or } y = 0),$
  - (2) join-irreducible if  $p = x \lor y \Rightarrow (p = x \text{ or } p = y)$ ,
  - (3) join-prime if  $p \le x \lor y \Rightarrow (p \le x \text{ or } p \le y)$

for all  $x, y \in L$ . In particular,  $(3) \Rightarrow (2) \Rightarrow (1)$ , and  $(2) \Leftrightarrow (3)$  if L is distributive. If L is finite distributive, then for every  $p \in \text{Ji } L$  then there exists a largest  $p^{\dagger} \in L$  such that  $p \nleq p^{\dagger}$ . Furthermore,  $p \wedge p^{\dagger} = p_*$ , the unique lower cover of p in L, and the assignment  $p \mapsto p^{\dagger}$  is order-preserving.

We will denote by  $\operatorname{Ji} L$  the set of all join-irreducible elements in a lattice L.

We say that a distributive lattice D is a generalized dual Heyting algebra if for all  $a, b \in D$  there exists a smallest  $x \in D$ , then denoted  $a \setminus_D b$ , such that  $a \leq b \vee x$ .

We denote by  $\to_D$  the Heyting implication in any Heyting algebra D. That is, for  $a, b \in D$ ,  $a \to_D b$  is the largest  $x \in D$  such that  $a \land x \leq b$ .

Two elements a and b in a distributive lattice D with zero are consonant (cf. Wehrung [33, Definition 2.2]) if there are  $x,y\in D$  such that  $a\leq b\vee x,\ b\leq a\vee y,$  and  $x\wedge y=0$ . A lattice map  $f\colon D\to E$  is consonant if f(x) and f(y) are consonant whenever  $x,y\in D$ . We say that D is completely normal if every pair of elements in D is consonant.

For join-semilattices A and B, a join-homomorphism  $f: A \to B$  is closed (cf. Wehrung [33, Definition 2.4]) if for all  $a_0, a_1 \in A$  and  $b \in B$ , if  $f(a_0) \leq f(a_1) \vee b$ , then there exists  $x \in A$  such that  $a_0 \leq a_1 \vee x$  and  $f(x) \leq b$ .

2.2. Stone duality for distributive lattices. A topological space X is generalized spectral if it is sober and the set  $\mathcal{K}(X)$  of all compact open subsets of X is a basis of the topology of X, closed under binary intersection. If, in addition, X is compact, we say that X is a spectral space. A map, between generalized spectral spaces, is spectral if the inverse image of any compact open subset is compact open. Stone duality relates the category of all distributive lattices with zero with cofinal 0-lattice homomorphisms, and the category of all generalized spectral spaces with spectral maps. That duality sends every distributive lattice D with zero to its spectrum, which is the space Spec D of all its prime ideals with the hull-kernel topology; in the other direction, it sends every generalized spectral space X to the lattice  $\mathcal{K}(X)$ . For more detail, see Stone [31], Rump and Yang [26, page 63], Johnstone [17, § II.3], Grätzer [14, § II.5].

A generalized spectral space X is completely normal if for any points x and y in the closure of a singleton  $\{z\}$ , either x belongs to the closure of  $\{y\}$  or y belongs to the closure of  $\{x\}$ . It follows from Monteiro [24, Théorème V.3.1] that X is a completely normal space iff  $\mathcal{K}(X)$  is a completely normal lattice.

<sup>&</sup>lt;sup>1</sup>A subset X in a poset P is *cofinal* if every element of P lies below some element of X.

2.3. Vector lattices,  $\ell$ -spectra. Denote by  $G^+$  the positive cone of any partially ordered group G. A division ring k, endowed with a translation-invariant partial ordering, is a partially ordered division ring if  $k^{++} \stackrel{\text{def}}{=} k^+ \setminus \{0\}$  is a (nonempty) multiplicative subsemigroup of k, closed under  $\xi \mapsto \xi^{-1}$ . It is only in Sections 9 and 10 that the partially ordered division rings in question will not necessarily be totally ordered.

Let k be a partially ordered division ring. A right vector space V over k, endowed with a translation-invariant lattice order, is a vector lattice if  $V^+k^+\subseteq V^+$ . Then

$$x\lambda \vee y\lambda = (x \vee y)\lambda$$
 and  $x\lambda \wedge y\lambda = (x \wedge y)\lambda$ , for all  $x, y \in V$  and  $\lambda \in \mathbb{k}^+$ . (2.1)

Define  $\ell$ -k-homomorphisms as the maps preserving both the  $\ell$ -group structure and the vector space structure. As customary in module theory, the structure of right vector space of V over k will be emphasized by writing  $V_k$  instead of just V. A linear subspace (not only an additive subgroup) of V is an  $\ell$ -ideal of  $V_k$  if it is both order-convex and closed under  $x \mapsto |x|$ . The lattice  $\mathrm{Id}^{\ell} V_k$  of all  $\ell$ -ideals of  $V_k$  is algebraic (i.e., it is complete and every element is a join of compact elements; see Grätzer [14]).

Now assume that  $\mathbb{k}$  is directed (equivalently,  $\mathbb{k} = \mathbb{k}^+ + (-\mathbb{k}^+)$ ). Then the  $\ell$ -ideals of  $V_{\mathbb{k}}$  are exactly the  $\ell$ -ideals of the underlying Abelian  $\ell$ -group of V which are closed under multiplication by positive scalars, and the usual argument, involving (2.1), shows that the collection  $\mathrm{Id}_{\mathbf{c}}^{\ell} V_{\mathbb{k}}$  of all finitely generated (equivalently, principal)  $\ell$ -ideals of  $V_{\mathbb{k}}$  is a 0-sublattice of  $\mathrm{Id}^{\ell} V_{\mathbb{k}}$ . The elements of  $\mathrm{Id}_{\mathbf{c}}^{\ell} V_{\mathbb{k}}$  are those of the form  $\langle x \rangle_{\mathbb{k}}^{\ell} \stackrel{\mathrm{def}}{=} \{ y \in V \mid (\exists \lambda \in \mathbb{k}^+)(|y| \leq |x|\lambda) \}$  for  $x \in V$ . The lattice  $\mathrm{Id}_{\mathbf{c}}^{\ell} V_{\mathbb{k}}$  is a homomorphic image of the lattice of all convex additive  $\ell$ -subgroups of V (via  $\langle x \rangle^{\ell} \mapsto \langle x \rangle_{\mathbb{k}}^{\ell}$ ), thus it is completely normal (cf. Madden [19, § I.2]).

The  $\ell$ -spectrum of  $V_{\mathbb{k}}$ , denoted by  $\operatorname{Spec}_{\ell} V_{\mathbb{k}}$ , can be defined as the Stone dual of the lattice  $\operatorname{Id}_{\ell}^{\ell} V_{\mathbb{k}}$ . It is a completely normal generalized spectral space.

2.4. f-rings, k-algebras, Brumfiel spectrum, and real spectrum. Here we shall only set up some basic facts and notation over the structures in question, referring the reader to Delzell and Madden [10], Johnstone [17, Chapter 5], Keimel [18], Coste and Roy [8], Dickmann [11, Chapter 6], or Wehrung [32,  $\S$  3] for more information.

Recall (cf. Bigard et al. [4]) that an f-ring is a (not necessarily unital) ring A endowed with a translation-invariant lattice order for which  $A^+ \cdot A^+ \subseteq A^+$  and  $x \wedge y = 0$  implies that  $x \wedge yz = x \wedge zy = 0$  whenever  $x, y \in A$  and  $z \in A^+$ . A subset I of A is an  $\ell$ -ideal if it is simultaneously an  $\ell$ -ideal of the underlying  $\ell$ -group of A and a two-sided ideal of the underlying ring of A. The lattice  $\operatorname{Id}^r A$  of all radical  $\ell$ -ideals of a commutative  $\ell$ -ring A is algebraic, and the collection  $\operatorname{Id}^r_c A$  of all its finitely generated (equivalently, principal) members is a completely normal sublattice. The elements of that lattice are those of the form

 $\langle x \rangle^{\text{r}} \stackrel{\text{def}}{=} \{ y \in A \mid |y|^n \leq |x|z \text{ for some } z \in A^+ \text{ and } n \text{ a positive integer} \}$  for  $x \in A$ . The Stone dual of  $\text{Id}_c^{\text{r}} A$  is the *Brumfiel spectrum*  $\text{Spec}_B A$  of A.

A ring A, endowed with an additional structure of right vector space over a field  $\mathbb{k}$ , is a  $\mathbb{k}$ -algebra if  $(xy) \cdot \lambda = (x \cdot \lambda)y = x(y \cdot \lambda)$  whenever  $x, y \in A$  and  $\lambda \in \mathbb{k}$ .

<sup>&</sup>lt;sup>2</sup>An ideal I of a commutative ring A is radical if  $x^n \in I$  implies that  $x \in I$  whenever  $x \in A$  and n is a positive integer.

If A is unital then this amounts to a unital ring homomorphism from  $\mathbb{k}$  to A with central range (viz.  $\lambda \mapsto 1_A \cdot \lambda$ ). If, in addition,  $\mathbb{k}$  is a totally ordered field and A is an f-ring with  $A^+ \cdot \mathbb{k}^+ \subseteq A^+$ , we say that A is an f- $\mathbb{k}$ -algebra (cf. Delzell and Madden [10, § 6] for the unital case).

Note 2.1. For a commutative f- $\mathbb{k}$ -algebra A, the meaning of  $\langle x \rangle^{\mathrm{r}}$ , for  $x \in A$ , is unambiguous with respect to the action of  $\mathbb{k}$ , for whenever  $x \in A$  and  $\lambda \in \mathbb{k}$ ,  $(x \cdot \lambda)^2 = (x \cdot \lambda^2)x \in \langle x \rangle^{\mathrm{r}}$  whence  $x \cdot \lambda \in \langle x \rangle^{\mathrm{r}}$ .

Let A be a commutative unital ring. A subset of A is a *cone* if it is both an additive and a multiplicative submonoid of A, containing all squares in A. A cone P of A is prime if  $A = P \cup (-P)$  and  $P \cap (-P)$  is a prime ideal of A. The collection  $\operatorname{Spec_r} A$  of all prime cones of A, endowed with the topology generated by all subsets of the form  $\{P \in \operatorname{Spec_r} A \mid a \notin P\}$ , for  $a \in A$ , is called the *real spectrum* of A.

A field k is formally real if -1 is not a sum of squares in k. For background on real-closed fields and semi-algebraic sets, we refer the reader to Bochnak et al [5].

2.5. A final bit of notation. The operator of closure and interior, relative to a subspace  $\Omega$  in a given topological space, will be denoted by  $cl_{\Omega}$  and  $int_{\Omega}$ , respectively. If  $\Omega$  is the ambient space we will omit the subscript  $\Omega$  in that notation.

For any functions f, g, any subset  $\Omega$  of the intersection of the domains of f and g, and any binary relation  $\triangleleft$ , we will set  $\llbracket f \triangleleft g \rrbracket_{\Omega} \stackrel{\text{def}}{=} \{x \in \Omega \mid f(x) \triangleleft g(x)\}$ . Throughout the paper "countable" will mean "at most countable", and  $\omega$  will denote the set of all nonnegative integers, also identified with the first infinite ordinal. We will denote by card X the cardinality of any set X.

#### 3. Semilinear geometry on totally ordered division rings

Many results of this paper will rely on extensions of known results, of semilinear geometry, from real vector spaces to (right) vector spaces over totally ordered division rings. In this section we shall briefly describe the required extensions.

First some standard terminology. Let  $\mathbbm{k}$  be a totally ordered division ring and let  $\mathbbm{V}$  be a right  $\mathbbm{k}$ -vector space. For convex subsets A and B of  $\mathbbm{V}$ , A is a face of B if  $A\subseteq B$  and for all  $b_1,b_2\in B$  and all  $\lambda\in\mathbbm{k}$ ,  $0<\lambda<1$  and  $b_1\cdot(1-\lambda)+b_2\cdot\lambda\in A$  implies that  $\{b_1,b_2\}\subseteq A$ . A subset C of  $\mathbbm{V}$  is a polyhedron if it is the convex hull of a finite subset X of  $\mathbbm{V}$ . If X is affinely independent we say that C is a simplex. The open simplex associated to C (not to be confused with the topological interior of C) is then the complement in C of the union of all proper faces of C. A map  $f: \mathbbm{V} \to \mathbbm{k}$  is an affine functional if f - f(0) is a linear functional. A closed (resp., open) affine half-space of  $\mathbbm{V}$  is a subset of  $\mathbbm{V}$  of the form  $\{x\in \mathbbm{V}\mid f(x)\geq 0\}$  (resp.,  $\{x\in \mathbbm{V}\mid f(x)>0\}$ ) for some nonconstant affine functional f on  $\mathbbm{V}$ .

**Proposition 3.1.** Let V be finite-dimensional. Then the polyhedra of V are exactly the bounded intersections of finite collections of closed affine half-spaces of V.

While Proposition 3.1 is usually stated in the literature for real vector spaces, it can be proved in our more general context involving totally ordered division rings—the main technical ingredient of the proof then being Fourier-Motzkin elimination. For more detail, we refer the reader to Schrijver [27, Corollary 7.1(c)] or Ziegler

[36, Theorem 1.1] for proofs of Proposition 3.1 extending to our general context, Madden [19, § 3.6] for extensions to arbitrary totally ordered fields<sup>3</sup>.

A finite set  $\mathbb{K}$  of nonempty polyhedra of  $\mathbb{V}$  is a polyhedral complex if every nonempty face of a member of  $\mathbb{K}$  belongs to  $\mathbb{K}$  and for all  $X,Y\in\mathbb{K},\ X\cap Y$  is a (possibly empty) common face of X and Y. The geometric realization of  $\mathbb{K}$  is  $|\mathbb{K}| \stackrel{\text{def}}{=} \bigcup \mathbb{K} = \bigcup \{S \mid S \in \mathbb{K}\}.$  A polyhedral complex  $\mathbb{K}$  is a *simplicial complex* if every element of  $\mathbb{K}$  is a simplex. Then the open simplices of  $\mathbb{K}$  form a partition of  $|\mathbb{K}|$ ; the unions of elements of that partition are the sets partitioned by  $\mathbb{K}$ . A simplicial complex  $\mathbb{L}$  is a *subdivision of*  $\mathbb{K}$  if every open simplex of  $\mathbb{K}$  is partitioned by  $\mathbb{L}$  and every open simplex of  $\mathbb{L}$  is contained in an open simplex of  $\mathbb{K}$ ; of course in that case  $|\mathbb{K}| = |\mathbb{L}|$ .

The following result can be found, for example, in Rourke and Sanderson [25, Proposition 2.9]. Although the material in that reference is occasionally stated in terms specific to the topology of the real line (starting with the definition of a polyhedron), the argument of the proof of [25, Proposition 2.9] (i.e., starring at successive vertices of cells from the original complex) can be easily adapted, using Proposition 3.1, to lead to the desired generalization.

**Proposition 3.2.** Every polyhedral complex can be subdivided to a simplicial complex without introducing new vertices.

Observing that for polyhedral complexes  $\mathbb{K}_1$  and  $\mathbb{K}_2$ , the nonempty intersections  $X_1 \cap X_2$ , where each  $X_i \in \mathbb{K}_i$ , also form a polyhedral complex (cf. Rourke and Sanderson [25, § 2.8(5)]), a finite iteration of Proposition 3.2 then leads to the following generalization of Rourke and Sanderson [25, Addendum 2.12].

**Proposition 3.3.** For every polyhedral complex  $\mathbb{K}$  and finitely many polyhedra  $C_1$ , ...,  $C_n$  contained in  $|\mathbb{K}|$ , there exists a simplicial subdivision  $\mathbb{L}$  of  $\mathbb{K}$  such that each  $C_i$  is partitioned by  $\mathbb{L}$ .

#### 4. Lattices of convex relatively open polytopes

Throughout this section we shall fix a totally ordered division ring k endowed with its interval topology, together with a topological right k-vector space V. For any set  $\mathcal{F}$  of affine functionals on  $\mathbb{V}$  and any subset  $\Omega$  of  $\mathbb{V}$ , we define

- $\bullet \ \Sigma_{\mathcal{F},\Omega} \stackrel{\mathrm{def}}{=} \{ [\![f>0]\!]_\Omega \mid f \in \mathcal{F} \} \cup \{ [\![f<0]\!]_\Omega \mid f \in \mathcal{F} \},$
- $\overline{\Sigma}_{\mathcal{F},\Omega} \stackrel{\text{def}}{=} \{ [\![f \geq 0]\!]_{\Omega} \mid f \in \mathcal{F} \} \cup \{ [\![f \leq 0]\!]_{\Omega} \mid f \in \mathcal{F} \},$  Bool $(\mathcal{F},\Omega)$ , the Boolean subalgebra of the powerset of  $\Omega$  generated by  $\Sigma_{\mathcal{F},\Omega}$ (equivalently, by  $\overline{\Sigma}_{\mathcal{F},\Omega}$ ),
- $Clos(\mathcal{F}, \Omega)$  (resp.,  $Op(\mathcal{F}, \Omega)$ ), the 0, 1-sublattice of  $Bool(\mathcal{F}, \Omega)$  consisting of all closed (resp., open) members of Bool( $\mathcal{F}, \Omega$ ).

The notation  $Bool(\mathcal{F},\Omega)$ ,  $Clos(\mathcal{F},\Omega)$ ,  $Op(\mathcal{F},\Omega)$  thus differs from the one of Wehrung [33] in two aspects: F is now a set of affine functionals (as opposed to a set of hyperplanes), and the second parameter  $\Omega$  stands for the ambient space. Aside from those differences, the corresponding material will be very similar to the one introduced in [33].

<sup>&</sup>lt;sup>3</sup>As Madden himself observes, much of the material in [19] does not require the commutativity of the field in question, thus our choice of the more general context of ordered division rings.

The basic open members of  $\operatorname{Op}(\mathcal{F},\Omega)$  are defined as the finite intersection of sets of the form  $[\![f>0]\!]_{\Omega}$  or  $[\![f<0]\!]_{\Omega}$  where  $f\in\mathcal{F}$ ; in particular,  $\mathbb V$  is basic open. In case the subset  $\Omega$  is understood from the context, we will often use the notation

$$f^0 \stackrel{\mathrm{def}}{=} \llbracket f = 0 \rrbracket_{\Omega} \, ; \, f^+ \stackrel{\mathrm{def}}{=} \llbracket f > 0 \rrbracket_{\Omega} \, ; \, f^- \stackrel{\mathrm{def}}{=} \llbracket f < 0 \rrbracket_{\Omega} \, ; \, \overline{f}^+ \stackrel{\mathrm{def}}{=} \llbracket f \geq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{def}}{=} \llbracket f \leq 0 \rrbracket_{\Omega} \, ; \, \overline{f}^- \stackrel{\mathrm{de$$

The following lemma originates, in a more restrictive context, in Wehrung [33, Lemma 5.4]. We present an alternative, streamlined proof.

**Lemma 4.1.** Let  $\Omega$  be a convex subset of  $\mathbb{V}$  and let  $\mathfrak{F}$  be a set of continuous affine functionals on  $\mathbb{V}$ . Then  $\operatorname{Bool}(\mathfrak{F},\Omega)$  is closed under both topological interior and closure operators relative to  $\Omega$ , and  $\operatorname{Op}(\mathfrak{F},\Omega)$  is a Heyting subalgebra of the Heyting algebra of all relative open subsets of  $\Omega$ . Furthermore, the 0-sublattice  $\operatorname{Op}^-(\mathfrak{F},\Omega)$  of  $\operatorname{Op}(\mathfrak{F},\Omega)$  generated by  $\Sigma_{\mathfrak{F},\Omega}$  satisfies  $\operatorname{Op}(\mathfrak{F},\Omega) = \operatorname{Op}^-(\mathfrak{F},\Omega) \cup \{\mathbb{V}\}$ .

*Proof.* The first part of Lemma 4.1 boils down to establishing that whenever A is an atom of Bool( $\mathcal{F}, \Omega$ ),  $\operatorname{cl}_{\Omega}(A)$  belongs to Bool( $\mathcal{F}, \Omega$ ) (for then, Bool( $\mathcal{F}, \Omega$ ) is closed under topological closure). There exists  $\varepsilon \colon \mathcal{F} \to \{0,+,-\}$  such that (applying Notation 4.1)  $A = \bigcap_{f \in \mathcal{F}} f^{\varepsilon(f)}$ . The closure of A relative to  $\Omega$  is contained in  $B \stackrel{\text{def}}{=} \bigcap_{f \in \mathcal{F}} \overline{f}^{\varepsilon(f)}$ . Pick  $a \in A$ . Since every member of  $\mathcal{F}$  is an affine functional and since  $\Omega$  is convex, for every  $b \in B$ , the half-open segment [a,b) is contained in A; thus  $b \in \operatorname{cl}_{\Omega}(A)$ . This completes the proof that  $B = \operatorname{cl}_{\Omega}(A)$ .

For the last part of Lemma 4.1, it suffices to observe that every proper basic open subset of  $\Omega$  is contained in  $\bigcup_{f \in \mathcal{F}} \llbracket f \neq 0 \rrbracket_{\Omega}$ , which is thus the largest element of  $\operatorname{Op}^-(\mathcal{F}, \Omega)$ .

**Notation 4.2.** For every  $U \in \operatorname{Op}(\mathcal{F}, \Omega)$ , we set  $\mathcal{F}_U \stackrel{\text{def}}{=} \{ f \in \mathcal{F} \mid U \cap \llbracket f = 0 \rrbracket_{\Omega} \neq \emptyset \}$ . The set  $\nabla U \stackrel{\text{def}}{=} \bigcap (\llbracket f = 0 \rrbracket_{\Omega} \mid f \in \mathcal{F})$  is a closed affine subspace of  $\mathbb{V}$ .

The following result is an analogue of Wehrung [33, Lemma 6.4]. Since [33, Lemma 5.1] fails to relativize to arbitrary convex subsets of  $\mathbb{V}$ , we need to modify the original proof of [33, Lemma 6.4], taking the opportunity to slightly amplify its original statement.

**Lemma 4.3.** Let  $\Omega$  be a convex subset of  $\mathbb V$  and let  $\mathfrak F$  be a finite set of continuous affine functionals on  $\mathbb V$ . Then a member P of  $\operatorname{Op}(\mathfrak F,\Omega)$  is join-irreducible in  $\operatorname{Op}(\mathfrak F,\Omega)$  iff it is basic open and  $P\cap \nabla P\neq \varnothing$ . Moreover, for each such P,

- (1) the lower cover  $P_*$  of P in  $Op(\mathfrak{F},\Omega)$  is equal to  $P \setminus \nabla P$ ;
- (2) there exists a unique  $\varepsilon \colon \mathcal{F} \setminus \mathcal{F}_P \to \{+, -\}$  (the "sign function" of P) such that (cf. (4.1))  $P = \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_P} f^{\varepsilon(f)}$ , and then  $\operatorname{cl}_{\Omega}(P) = \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_P} \overline{f}^{\varepsilon(f)}$ ;
- (3) the largest member  $P^{\dagger}$  of  $\mathrm{Op}(\mathfrak{F},\Omega)$  not containing P is the complement in  $\Omega$  of the set

$$\operatorname{cl}_{\Omega}(P \cap \nabla P) = \operatorname{cl}_{\Omega}(P) \cap \nabla P = \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_{P}} \overline{f}^{\varepsilon(f)} \cap \nabla P. \tag{4.2}$$

*Proof.* We begin with a claim.

**Claim.** If P is join-irreducible, then it is basic open and  $P \cap \nabla P \neq \emptyset$ .

Proof of Claim. Since every element of  $\operatorname{Op}(\mathcal{F},\Omega)$  is a finite union of basic open sets, every join-irreducible element of  $\operatorname{Op}(\mathcal{F},\Omega)$  is basic open, thus convex. Now suppose that  $P \cap \nabla P = \emptyset$ , that is,  $P \subseteq \bigcup_{f \in \mathcal{F}_P} \llbracket f \neq 0 \rrbracket_{\Omega}$ . Since P is join-irreducible in the distributive lattice  $\operatorname{Op}(\mathcal{F},\Omega)$ ,  $P \subseteq \llbracket f \neq 0 \rrbracket_{\Omega}$  for some  $f \in \mathcal{F}_P$ , in contradiction with the definition of  $\mathcal{F}_P$ .

Suppose, conversely, that P is basic open and  $P \cap \nabla P \neq \emptyset$ . For each  $f \in \mathcal{F} \setminus \mathcal{F}_P$ , P is contained in  $[\![f \neq 0]\!]_{\Omega} = f^+ \cup f^-$  (cf. (4.1)), thus, since P is nonempty and convex,  $P \subseteq f^{\varepsilon(f)}$  for a unique  $\varepsilon(f) \in \{+, -\}$ ; whence  $P \subseteq \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_P} f^{\varepsilon(f)}$ . Since P is basic open, there are  $\mathcal{X} \subseteq \mathcal{F}$  and  $\eta \colon \mathcal{X} \to \{+, -\}$  such that  $P = \bigcap_{f \in \mathcal{X}} f^{\eta(f)}$ . For each  $f \in \mathcal{X}$ , P is contained in  $f^{\eta(f)}$  thus it is disjoint from  $f^0$ , so  $f \in \mathcal{F} \setminus \mathcal{F}_P$  and  $\varepsilon(f) = \eta(f)$ ; whence  $\mathcal{X} \subseteq \mathcal{F} \setminus \mathcal{F}_P$ , and so  $P \supseteq \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_P} f^{\varepsilon(f)}$ . Therefore

$$P = \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_P} f^{\varepsilon(f)} \,. \tag{4.3}$$

Now the relative closure of P is obviously contained in  $\overline{P} \stackrel{\mathrm{def}}{=} \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_P} \overline{f}^{\varepsilon(f)}$ . Conversely, picking  $p \in P$ , any  $x \in \overline{P}$  satisfies that the half-open segment [p,x) is contained in P (this is again because  $\Omega$  is convex and every member of  $\mathcal{F}$  is an affine functional); thus  $x \in \mathrm{cl}_{\Omega}(P)$ . This completes the proof that  $\overline{P} = \mathrm{cl}_{\Omega}(P)$ , and thus of (2) above.

In order to prove that P is join-irreducible and that (1) holds, it suffices to prove that every join-irreducible element Q of  $\operatorname{Op}(\mathfrak{F},\Omega)$  properly contained in P is contained in  $P \setminus \nabla P$ . Applying (2), together with the Claim above, to Q, we get a map  $\eta \colon \mathcal{F} \setminus \mathcal{F}_Q \to \{+,-\}$  such that  $Q = \bigcap_{f \in \mathcal{F} \setminus \mathcal{F}_Q} f^{\eta(f)}$ . From  $Q \subsetneq P$  and (4.3) it thus follows that  $\mathcal{F}_Q \subsetneq \mathcal{F}_P$  and  $\eta$  extends  $\varepsilon$ . Pick  $f \in \mathcal{F}_P \setminus \mathcal{F}_Q$ . Then  $Q \subseteq f^{\eta(f)}$  (because  $f \notin \mathcal{F}_Q$ ) and  $\nabla P \subseteq f^0$  (because  $f \in \mathcal{F}_P$ ); whence  $Q \cap \nabla P = \emptyset$ , as required. The join-irreducibility of P, together with (1), follows.

Since the second equation in (4.2) follows from (2), establishing (4.2) reduces to proving the containment  $\bigcap_{f \in \mathcal{T} \setminus \mathcal{T}_P} \overline{f}^{\varepsilon(f)} \cap \nabla P \subseteq \operatorname{cl}_{\Omega}(P \cap \nabla P)$ . Letting x be any element of the left hand side of that containment, and picking  $p \in P \cap \nabla P$ , the half-open segment [p,x) is contained in  $P \cap \nabla P$ ; whence  $x \in \operatorname{cl}_{\Omega}(P \cap \nabla P)$ , as required. This completes the proof of (4.2). The statement that  $P^{\dagger}$  is the complement in  $\Omega$  of  $\operatorname{cl}_{\Omega}(P \cap \nabla P)$  is then proved the same way as at the end of the proof of [33, Lemma 6.4].

The proof of the following easy corollary is, *mutatis mutandis*, identical to the one of Wehrung [33, Proposition 6.5], so we omit it.

**Corollary 4.4.** Let  $\Omega$  be a convex subset of  $\mathbb{V}$ , let  $\mathfrak{F}$  be a finite set of continuous affine functionals on  $\mathbb{V}$ , and let  $P, Q \in \operatorname{Ji}\operatorname{Op}(\mathfrak{F}, \Omega)$ . If  $P \subsetneq Q$ , then  $\nabla Q \subsetneq \nabla P$ .

Although the following result will not be needed in the remainder of the paper, it might find further uses so we record it here.

**Proposition 4.5.** Let  $\mathcal{F}$  be a finite set of continuous affine functionals on  $\mathbb{V}$  and let P, Q be join-irreducible members of  $\operatorname{Op}(\mathcal{F},\Omega)$ . If  $P \cap Q \neq \emptyset$ , then  $P \cap Q$  is join-irreducible in  $\operatorname{Op}(\mathcal{F},\Omega)$  and  $\mathcal{F}_{P \cap Q} = \mathcal{F}_{P} \cap \mathcal{F}_{Q}$ . In particular,  $\operatorname{Ji}\operatorname{Op}(\mathcal{F},\Omega) \cup \{\emptyset\}$  is a meet-semilattice under intersection.

*Proof.* Pick  $x \in P \cap \nabla P$  and  $y \in Q \cap \nabla Q$ , and set  $z \stackrel{\text{def}}{=} \frac{1}{2}(x+y)$ . For each  $f \in \mathcal{F}$ , we shall determine the position of z with respect to  $\llbracket f = 0 \rrbracket$ . Denote by  $\varepsilon$  and  $\eta$  the sign functions of P and of Q, respectively. We separate cases.

- Case 1.  $f \in \mathfrak{CF}_P \cap \mathfrak{CF}_Q$ . Then  $P \subseteq f^{\varepsilon(f)}$  and  $Q \subseteq f^{\eta(f)}$ . Since  $P \cap Q \neq \emptyset$  it follows that  $\varepsilon(f) = \eta(f)$ , whence  $x \in P \subseteq f^{\varepsilon(f)}$  and  $y \in Q \subseteq f^{\varepsilon(f)}$ , so  $z \in f^{\varepsilon(f)} = f^{\eta(f)}$  as well.
- Case 2.  $f \in \mathbb{CF}_P \cap \mathcal{F}_Q$ . Then  $\nabla Q \subseteq f^0$  (thus f(y) = 0) and  $P \subseteq f^{\varepsilon(f)}$  (thus  $\varepsilon(f)f(x) > 0$ ). It follows that  $\varepsilon(f)f(z) > 0$ , that is,  $z \in f^{\varepsilon(f)}$ .
- Case 3.  $f \in \mathcal{F}_P \cap \mathcal{CF}_Q$ . In a similar fashion as in Case 2, we get  $z \in f^{\eta(f)}$ .
- Case 4.  $f \in \mathcal{F}_P \cap \mathcal{F}_Q$ . Then  $\nabla P$  and  $\nabla Q$  are both contained in  $f^0$ ; whence  $z \in f^0$ .

Using Lemma 4.3, we get from Cases 1–4 above that

$$z \in P \cap Q \cap \bigcap (f^0 \mid f \in \mathcal{F}_P \cap \mathcal{F}_Q)$$
 (4.4)

In particular,  $\mathcal{F}_P \cap \mathcal{F}_Q$  is contained in  $\mathcal{F}_{P \cap Q}$ . The converse containment being trivial, we get  $\mathcal{F}_{P \cap Q} = \mathcal{F}_P \cap \mathcal{F}_Q$ . By Lemma 4.3 together with (4.4), it follows that  $P \cap Q$  is join-irreducible.

The following Lemma 4.7 extends the author's [33, Lemma 6.6] from the linear case to the relativization of the affine case to a convex set. Its proof involves a lattice-theoretical homomorphism extension result established in [33, Lemma 4.2]. Let us first state a remark about the latter result.

Remark 4.6. In the original statement of [33, Lemma 4.2], the author had overlooked the fact that in the statement of that lemma, Condition (5) follows from Conditions (2) and (3); thus it is redundant. Let us prove this, thus assuming that D is a Heyting subalgebra of a finite distributive lattice (thus Heyting algebra) E and  $a, b \in E$  with  $a \wedge b = 0$ . We need to verify that for all join-irreducible  $p, q \in D$ , if  $p \leq p_* \vee a$  and  $q \leq q_* \vee b$ , then p and q are incomparable. Suppose, to the contrary, that p and q are comparable, say  $p \leq q$ . We get  $p \wedge b \leq (p_* \vee a) \wedge b = (p_* \wedge b) \vee (a \wedge b) \leq p_*$ , thus, using the assumption that D is a Heyting subalgebra of E, we get  $b \leq (p \to_E p_*) = (p \to_D p_*) = p^{\dagger}$ . Now  $p \leq q$  implies  $p^{\dagger} \leq q^{\dagger}$ , so  $b \leq q^{\dagger}$ . But then,  $q \leq q_* \vee b \leq q^{\dagger}$ , a contradiction.

**Lemma 4.7.** Let  $\Omega$  be a convex subset of  $\mathbb{V}$ , let  $\mathfrak{F} \cup \{g\}$  be a finite set of continuous affine functionals on  $\mathbb{V}$ , and let L be a generalized dual Heyting algebra. Then every consonant 0-lattice homomorphism  $\varphi \colon \operatorname{Op}(\mathfrak{F}, \Omega) \to L$  extends to a lattice homomorphism  $\psi \colon \operatorname{Op}(\mathfrak{F} \cup \{g\}, \Omega) \to L$  such that for every  $G \in \{[g > 0]]_{\Omega}, [[g < 0]]_{\Omega}\}$ ,

$$\psi(G) = \varphi_*(G) \stackrel{\mathrm{def}}{=} \bigwedge (\varphi(P) \setminus_L \varphi(P_*) \mid P \in \mathrm{Ji}\,\mathrm{Op}(\mathfrak{F},\Omega), \ P \subseteq P_* \cup G) \ .$$

*Proof.* We verify that Conditions (1)–(4) of the statement of [33, Lemma 4.2] are satisfied (by Remark 4.6 above, Condition (5) is redundant). Conditions (1) and (2) follow from Lemma 4.1, whereas Condition (3) (i.e.,  $[g > 0]_{\Omega} \cap [g < 0]_{\Omega} = \emptyset$ ) is trivial. Since  $P \cap \nabla P$  is convex, Condition (4) can be established the same way as in the proof of [33, Lemma 6.6]. Now apply [33, Lemma 4.2].

Our next lemma extends the author's [33, Lemma 7.1] from the linear case to the relativization of the affine case to a convex set.

**Lemma 4.8.** Let  $\Omega$  be a convex subset of  $\mathbb{V}$ , let  $\mathfrak{F}$  be a finite set of continuous affine functionals on  $\mathbb{V}$ , and let  $a,b \in \mathcal{F}$ . We set  $\mathcal{F}_{\lambda} \stackrel{\text{def}}{=} \mathcal{F} \cup \{a - \lambda b\}$  for every  $\lambda \in \mathbb{R}$ . Then for all large enough positive  $\lambda \in \mathbb{R}$ , the following statement holds: for every generalized dual Heyting algebra L, every consonant 0-lattice homomorphism  $\varphi \colon \operatorname{Op}(\mathfrak{F},\Omega) \to L$  can be extended to a lattice homomorphism  $\psi \colon \operatorname{Op}(\mathfrak{F}_{\lambda},\Omega) \to L$ such that

$$\psi\left(\llbracket a>0\rrbracket_{\Omega} \setminus_{\operatorname{Op}(\mathcal{F}_{\lambda},\Omega)} \llbracket b>0\rrbracket_{\Omega}\right) = \varphi(\llbracket a>0\rrbracket_{\Omega}) \setminus_{L} \varphi(\llbracket b>0\rrbracket_{\Omega}). \tag{4.5}$$

*Proof.* The proof goes along the same lines as the one of [33, Lemma 7.1], with a trivialization of the proof of the corresponding Claim, as follows.

Let us state how large  $\lambda$  should be. Set  $\mathfrak{M} \stackrel{\text{def}}{=} \{U \in \operatorname{Op}(\mathfrak{F},\Omega) \mid [\![b>0]\!]_{\Omega} \not\subseteq U\}$ and pick  $x_U \in [b > 0]_{\Omega} \setminus U$  for every  $U \in \mathcal{M}$ . Let  $\lambda$  be a strict upper bound in k of  $\{0\} \cup \{a(x_U)b(x_U)^{-1} \mid U \in \mathcal{M}\}$ . We claim that

$$[a < \lambda b]_{\Omega} \subseteq U \implies [b > 0]_{\Omega} \subseteq U$$
, whenever  $U \in \operatorname{Op}(\mathfrak{F}, \Omega)$ .

Indeed, suppose otherwise. Then  $U \in \mathcal{M}$  by definition, thus  $\lambda > a(x_U)b(x_U)^{-1}$ by assumption on  $\lambda$ , and thus (as  $b(x_U) > 0$ )  $a(x_U) < \lambda b(x_U)$ , and therefore  $x_U \in [a < \lambda b]_{\Omega} \setminus U$ , a contradiction. This completes the proof of our claim.

The remainder of the proof goes exactly like the part of the proof of [33, Lemma 7.1] following its claim, with m replaced by  $\lambda$  and [33, Lemma 6.6] by its extension given in Lemma 4.7. Due to the crucial character of Lemma 4.8, we include a proof for convenience.

Let L be a generalized dual Heyting algebra and let  $\varphi \colon \operatorname{Op}(\mathfrak{F},\Omega) \to L$  be a consonant 0-lattice homomorphism. We consider the extension  $\psi$  of  $\varphi$ , to a homomorphism from  $Op(\mathcal{F}_{\lambda}, \Omega)$  to L, given by Lemma 4.7, with  $a - \lambda b$  in place of g. In particular,

$$\psi(\llbracket a > \lambda b \rrbracket_{\Omega}) = \bigvee (\varphi(P) \setminus_{L} \varphi(P_{*}) \mid P \in \operatorname{Ji}\operatorname{Op}(\mathfrak{F}, \Omega), \ P \subseteq P_{*} \cup \llbracket a > \lambda b \rrbracket_{\Omega}) \ . \tag{4.6}$$

We claim that the following inequality holds:

$$\varphi(\llbracket a > 0 \rrbracket_{\Omega}) \wedge \psi(\llbracket a > \lambda b \rrbracket_{\Omega}) \le \varphi(\llbracket a > 0 \rrbracket_{\Omega}) \setminus_{L} \varphi(\llbracket b > 0 \rrbracket_{\Omega}). \tag{4.7}$$

Since L is distributive, this amounts to proving the following statement:

$$\varphi(\llbracket a > 0 \rrbracket_{\Omega}) \wedge \left( \varphi(P) \setminus_{L} \varphi(P_{*}) \right) \leq \varphi(\llbracket a > 0 \rrbracket_{\Omega}) \setminus_{L} \varphi(\llbracket b > 0 \rrbracket_{\Omega}),$$
for every  $P \in \text{Ji Op}(\mathcal{F}, \Omega)$  such that  $P \subseteq P_{*} \cup \llbracket a > \lambda b \rrbracket_{\Omega}$ . (4.8)

Let P as in the premise of (4.8); so  $P \cap \nabla P \subseteq [a > \lambda b]_{\Omega}$ . By Lemma 4.3, we get

$$\operatorname{cl}_{\Omega}(P) \cap \nabla P = \operatorname{cl}_{\Omega}(P \cap \nabla P) \subseteq \llbracket a \geq \lambda b \rrbracket_{\Omega},$$

that is,  $[a < \lambda b]_{\Omega} \subseteq P^{\dagger}$ . From the assumption on  $\lambda$  we thus get  $[b > 0]_{\Omega} \subseteq P^{\dagger}$ ,

that is,  $P \subseteq \llbracket b > 0 \rrbracket_{\Omega}$ . Since  $\llbracket b > 0 \rrbracket_{\Omega} \in \operatorname{Op}(\mathfrak{F}, \Omega)$ , it follows that  $P \cap \llbracket b > 0 \rrbracket_{\Omega} \subseteq P_*$ . Now suppose that  $P \subseteq \llbracket a > 0 \rrbracket_{\Omega}$ . Since  $P \cap \llbracket b > 0 \rrbracket_{\Omega} \subseteq P_*$ , the inequalities  $P \subseteq P_* \cup \llbracket a > 0 \rrbracket_{\Omega}$  and  $P \cap \llbracket b > 0 \rrbracket_{\Omega} \subseteq P_*$  both hold, thus also

$$\varphi(P) \le \varphi(P_*) \vee \varphi(\llbracket a > 0 \rrbracket_{\Omega}) \quad \text{and} \quad \varphi(P) \wedge \varphi(\llbracket b > 0 \rrbracket_{\Omega}) \le \varphi(P_*).$$

By Lemmas 3.5 and 3.6 in [33], we get  $\varphi(P) \setminus_L \varphi(P_*) \leq \varphi(\llbracket a > 0 \rrbracket_{\Omega}) \setminus_L \varphi(\llbracket b > 0 \rrbracket_{\Omega})$ , which implies (4.8) right away.

It remains to handle the case where  $P \not\subseteq [a > 0]_{\Omega}$ . From  $\lambda > 0$  it follows that  $[a > \lambda b]_{\Omega} \subseteq [a > 0]_{\Omega} \cup [b < 0]_{\Omega}$ , thus  $P \subseteq P_* \cup [a > 0]_{\Omega} \cup [b < 0]_{\Omega}$ , and thus, since P is join-prime in  $\operatorname{Op}(\mathfrak{F},\Omega)$ , we get  $P \subseteq \llbracket b < 0 \rrbracket_{\Omega}$ . It follows that  $\varphi(P) \searrow_L \varphi(P_*) \leq \varphi(\llbracket b < 0 \rrbracket_{\Omega})$ , thus, using the relations  $\varphi(\llbracket b > 0 \rrbracket_{\Omega}) \land \varphi(\llbracket b < 0 \rrbracket_{\Omega}) = 0$  and  $\varphi(\llbracket a > 0 \rrbracket_{\Omega}) \leq \varphi(\llbracket b > 0 \rrbracket_{\Omega}) \lor (\varphi(\llbracket a > 0 \rrbracket_{\Omega}) \searrow_L \varphi(\llbracket b > 0 \rrbracket_{\Omega}))$ ,

$$\begin{split} &\varphi(\llbracket a>0 \rrbracket_{\Omega}) \wedge \left(\varphi(P) \searrow_L \varphi(P_*)\right) \leq \varphi(\llbracket a>0 \rrbracket_{\Omega}) \wedge \varphi(\llbracket b<0 \rrbracket_{\Omega}) \\ &\leq \left(\varphi(\llbracket b>0 \rrbracket_{\Omega}) \wedge \varphi(\llbracket b<0 \rrbracket_{\Omega})\right) \vee \left(\left(\varphi(\llbracket a>0 \rrbracket_{\Omega}) \searrow_L \varphi(\llbracket b>0 \rrbracket_{\Omega})\right) \wedge \varphi(\llbracket b<0 \rrbracket_{\Omega})\right) \\ &= \left(\varphi(\llbracket a>0 \rrbracket_{\Omega}) \searrow_L \varphi(\llbracket b>0 \rrbracket_{\Omega})\right) \wedge \varphi(\llbracket b<0 \rrbracket_{\Omega}) \\ &\leq \varphi(\llbracket a>0 \rrbracket_{\Omega}) \searrow_L \varphi(\llbracket b>0 \rrbracket_{\Omega})\,, \end{split}$$

thus completing the proof of (4.8) in the general case, and therefore of (4.7). Now  $[a>0]_{\Omega} \subseteq [b>0]_{\Omega} \cup ([a>0]_{\Omega} \cap [a>\lambda b]_{\Omega})$ , that is,

$$[\![a>0]\!]_\Omega \smallsetminus_{\operatorname{Op}(\mathcal{F}_\lambda,\Omega)} [\![b>0]\!]_\Omega \subseteq [\![a>0]\!]_\Omega \cap [\![a>\lambda b]\!]_\Omega \,.$$

It follows that

$$\begin{split} \psi(\llbracket a>0 \rrbracket_{\Omega} \smallsetminus_{\operatorname{Op}(\mathcal{F}_{\lambda},\Omega)} \llbracket b>0 \rrbracket_{\Omega}) &\leq \psi(\llbracket a>0 \rrbracket_{\Omega} \cap \llbracket a>\lambda b \rrbracket_{\Omega}) \\ &= \varphi(\llbracket a>0 \rrbracket_{\Omega}) \wedge \psi(\llbracket a>\lambda b \rrbracket_{\Omega}) \leq \varphi(\llbracket a>0 \rrbracket_{\Omega}) \smallsetminus_{L} \varphi(\llbracket b>0 \rrbracket_{\Omega}) \,. \end{split}$$

Since  $\varphi(\llbracket a>0 \rrbracket_{\Omega}) \leq \varphi(\llbracket b>0 \rrbracket_{\Omega}) \vee \psi(\llbracket a>0 \rrbracket_{\Omega} \setminus_{\operatorname{Op}(\mathcal{F}_{\lambda},\Omega)} \llbracket b>0 \rrbracket_{\Omega})$ , the converse inequality

$$\varphi(\llbracket a>0 \rrbracket_{\Omega}) \smallsetminus_L \varphi(\llbracket b>0 \rrbracket_{\Omega}) \leq \psi(\llbracket a>0 \rrbracket_{\Omega} \smallsetminus_{\operatorname{Op}(\mathcal{F}_{\lambda},\Omega)} \llbracket b>0 \rrbracket_{\Omega})$$
 holds, and therefore 
$$\varphi(\llbracket a>0 \rrbracket_{\Omega}) \smallsetminus_L \varphi(\llbracket b>0 \rrbracket_{\Omega}) = \psi(\llbracket a>0 \rrbracket_{\Omega} \smallsetminus_{\operatorname{Op}(\mathcal{F}_{\lambda},\Omega)} \llbracket b>0 \rrbracket_{\Omega}).$$

An iteration, of finite length  $4 \cdot (\operatorname{card} \mathcal{F})^2$ , of Lemma 4.8, involving a feature of consonance stated in Wehrung [33, Lemma 3.9], leads to the following extension, to our context, of [33, Lemma 7.2]. As Lemma 4.9 is, *mutatis mutandis*, obtained from Lemma 4.8 the same way as [33, Lemma 7.2] is obtained from [33, Lemma 7.1], we omit its proof.

**Lemma 4.9.** Let  $\Omega$  be a convex subset of  $\mathbb{V}$ , let  $\mathfrak{F}$  be a finite set of continuous affine functionals on  $\mathbb{V}$ , let L be a completely normal distributive lattice with zero, let  $\varphi \colon \operatorname{Op}(\mathfrak{F},\Omega) \to L$  be a 0-lattice homomorphism, and let  $U,V \in \operatorname{Op}^-(\mathfrak{F},\Omega)$  and  $\gamma \in L$  such that  $\varphi(U) \leq \varphi(V) \vee \gamma$ . Then there exists a finite set  $\mathfrak{F}$ , containing  $\mathfrak{F}$ , of linear combinations of  $\mathfrak{F}$ , together with  $W \in \operatorname{Op}^-(\mathfrak{F},\Omega)$  and a lattice homomorphism  $\psi \colon \operatorname{Op}(\mathfrak{F},\Omega) \to L$  extending  $\varphi$ , such that  $U \subseteq V \cup W$  and  $\psi(W) \leq \gamma$ .

#### 5. Enlarging the range of a homomorphism: semilinear case

Throughout this short section  $\mathbb{k}$  will be a totally ordered division ring endowed with its interval topology and I will be a set. We endow the right  $\mathbb{k}$ -vector space  $\mathbb{k}^{(I)}$ , with basis I, with the coarsest topology making all canonical projections  $\delta_i \colon \mathbb{k}^{(I)} \to \mathbb{k}$ , for  $i \in I$ , continuous.

This section will be devoted to stating the modifications required for adapting to our context the author's results from [33, § 8] regarding the enlargement of the range of a homomorphism from some  $Op(\mathcal{F}, \Omega)$  to a bounded distributive lattice L.

Denote by  $\mathcal{F}_I$  the set of all linear combinations of the form  $f = \sum_{i \in S} \delta_i \xi_i + \lambda$ , for a finite subset S of I and elements  $\xi_i \in \mathbb{k} \setminus \{0\}$  and  $\lambda \in \mathbb{k}$ . In particular, f is a continuous affine functional on  $\mathbb{k}^{(I)}$ . Write  $\operatorname{supp}(f) \stackrel{\text{def}}{=} S$ , the support of f. Moreover, write  $\operatorname{supp}(\mathcal{F}) \stackrel{\text{def}}{=} \bigcup (\operatorname{supp}(f) \mid f \in \mathcal{F})$  for every  $\mathcal{F} \subseteq \mathcal{F}_I$ .

Denote by  $J_2$  the distributive lattice represented in Figure 5.1. As observed in [33, § 4], for every bounded distributive lattice D, the free product (i.e., coproduct)  $D*J_2$  of D and  $J_2$  in the category of all bounded distributive lattices can be identified with the lattice of all triples  $(x, y, z) \in D^3$  such that  $z \leq x \wedge y$ . Via that identification, (x, y, z) corresponds to  $(x \wedge a) \vee (y \wedge b) \vee z$  (cf. [33, Lemma 4.1]). In particular, any largest element of D is also the largest element of  $D*J_2$ .

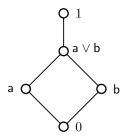


FIGURE 5.1. The lattice  $J_2$ 

The proofs of the following lemmas are, *mutatis mutandis*, identical to those of Lemmas 8.2 and 8.3 of [33], respectively, and we thus omit them.

**Lemma 5.1.** Let  $\mathcal{F} \subseteq \mathcal{F}_I$ , let  $S \stackrel{\text{def}}{=} \operatorname{supp}(\mathcal{F})$ , and let  $i \in I \setminus \operatorname{supp}(\mathcal{F})$ . We denote by  $\psi \colon \operatorname{Op}(\mathcal{F}, \mathbb{k}^{(I)}) \to \operatorname{Op}(\mathcal{F} \cup \{\delta_i\}, \mathbb{k}^{(I)})$  the inclusion map and  $\varphi \colon \operatorname{Op}(\mathcal{F}, \mathbb{k}^{(I)}) \to \operatorname{Op}(\mathcal{F}, \mathbb{k}^{(I)}) * \mathsf{J}_2$  the diagonal map, respectively, and we set

$$\varepsilon(X,Y,Z) \stackrel{\mathrm{def}}{=} (X \cap \llbracket \delta_i > 0 \rrbracket_{\Bbbk^{(I)}}) \cup (Y \cap \llbracket \delta_i < 0 \rrbracket_{\Bbbk^{(I)}}) \cup Z$$

for all  $(X, Y, Z) \in \operatorname{Op}(\mathfrak{F}, \mathbb{k}^{(I)}) * \mathsf{J}_2$ . Then  $\varepsilon$  is an isomorphism and  $\psi = \varepsilon \varphi$ .

**Lemma 5.2.** Let  $\mathcal{F} \subseteq \mathcal{F}_I$  and let  $i \in I \setminus \text{supp}(\mathcal{F})$ . Let L be a bounded distributive lattice and let  $a, b \in L$  such that  $a \wedge b = 0$ . Then every 0, 1-lattice homomorphism  $\varphi \colon \operatorname{Op}(\mathcal{F}, \Bbbk^{(I)}) \to L$  extends to a unique lattice homomorphism  $\psi \colon \operatorname{Op}(\mathcal{F} \cup \{\delta_i\}, \Bbbk^{(I)}) \to L$  such that  $\psi(\llbracket \delta_i > 0 \rrbracket_{\Bbbk^{(I)}}) = a$  and  $\psi(\llbracket \delta_i < 0 \rrbracket_{\Bbbk^{(I)}}) = b$ .

#### 6. \( \ell - \text{SPECTRA OF COUNTABLE VECTOR LATTICES} \)

The author proved in [33, Theorem 9.1] that every second countable completely normal generalized spectral space is homeomorphic to the  $\ell$ -spectrum of some Abelian  $\ell$ -group. In this section we shall show how to extend that result to  $\ell$ -spectra of right vector lattices over arbitrary countable totally ordered division rings. As unproblematic as that extension may seem, the auxiliary results of Sections 4–5 will also play a crucial role in the proof of the extension of [33, Theorem 9.1] to f-rings stated in Theorem 8.4.

For a totally ordered division ring k and any set I, denote by  $F_{\ell}(I, k)$  the free right k-vector lattice on I, and by  $\mathcal{O}^{\mathrm{pl}}(k^{(I)})$  the sublattice of the powerset of  $k^{(I)}$  generated by all subsets  $[f > 0]_{k^{(I)}}$  where  $f : k^{(I)} \to k$  is a (finite) linear combination of coordinate projections. Madden's arguments in [19, Ch. III] (in particular Proposition III.1.3 and Lemma III.5.2 from that thesis), themselves extending results from Baker [1] and Bernau [3], from subfields of the reals to any totally ordered field, do not require the commutativity of k. Hence,

**Proposition 6.1.** For any totally ordered division ring  $\mathbb{k}$  and any set I, the assignment  $\langle f \rangle_{\mathbb{k}}^{\ell} \mapsto \llbracket f \neq 0 \rrbracket_{\mathbb{k}^{(I)}}$  defines a lattice isomorphism from  $\mathrm{Id}_{\mathrm{c}}^{\ell} \mathrm{F}_{\ell}(I,\mathbb{k})_{\mathbb{k}}$  onto  $\mathrm{O}^{\mathrm{pl}}(\mathbb{k}^{(I)})$ .

**Theorem 6.2.** Let k be a countable totally ordered division ring. Then every countable, completely normal, distributive lattice L with zero is isomorphic to  $\operatorname{Id}^{\ell} V_{k}$  for some right k-vector lattice V.

Outline of proof. Our proof follows exactly the same lines as the one of Wehrung [33, Theorem 9.1], thus we will just show the required changes:

- The additive subgroup  $\Lambda$  generated by all coordinate projection maps  $\mathbb{R}^{(\omega)} \to \mathbb{R}$  is to be replaced by the subspace  $\Lambda_{\mathbb{k}}$  consisting of all linear combinations of coordinate projections  $\mathbb{k}^{(\omega)} \to \mathbb{k}$ .
- The notation  $Op(\mathcal{H})$ , used in [33] with  $\mathcal{H}$  a set of (linear) hyperplanes, is replaced here by  $Op(\mathcal{A}, \mathbb{k}^{(\omega)})$ , for a finite subset  $\mathcal{A}$  of  $\Lambda_{\mathbb{k}}$ .
- The step n = 3m involves Lemma 5.2 (linear case,  $\Omega \stackrel{\text{def}}{=} \mathbb{k}^{(\omega)}$ ) instead of [33, Lemma 8.3].
- The step n = 3m + 1 involves Lemma 4.7 (linear case,  $\Omega \stackrel{\text{def}}{=} \mathbb{k}^{(\omega)}$ ) instead of [33, Lemma 6.6].
- The step n=3m+2 involves Lemma 4.9 (linear case,  $\Omega \stackrel{\text{def}}{=} \mathbb{k}^{(\omega)}$ ) instead of [33, Lemma 7.2].
- The final step, invoking Baker's representation of the  $\ell$ -ideals of the free Abelian  $\ell$ -group on  $\omega$  generators, is to be replaced by Proposition 6.1.  $\square$

By applying Stone duality, we thus obtain:

Corollary 6.3. Let k be a countable totally ordered division ring. Then every second countable, completely normal distributive lattice with zero is homeomorphic to the  $\ell$ -spectrum of some right k-vector lattice.

#### 7. Extending homomorphisms of lattices of open semi-algebraic sets

Now we move to the context of semi-algebraic sets and real spectra. Throughout this section we shall fix a real-closed field  $\mathbbm{k}$  endowed with its interval topology, together with a positive integer d. We shall endow  $\mathbbm{k}^d$  with its natural structure of topological vector space over  $\mathbbm{k}$ , and establish a few extension theorems for lattice embeddings between finite lattices of semi-algebraic relatively open subsets of finite powers of the unit interval of  $\mathbbm{k}$ . Those finite lattices will resemble our previously introduced lattices  $\mathrm{Op}(\mathcal{A},\Omega)$ , modulo a semi-algebraic homeomorphism whose existence will be ensured by a strong form of triangulation, due to Baro, stated in Proposition 7.3.

**Definition 7.1.** Given semi-algebraic subsets  $S, S_1, \ldots, S_l$  of  $\mathbb{k}^d$  with all  $S_i \subseteq S$ , a triangulation of  $(S; S_1, \ldots, S_l)$  is a pair  $(\mathbb{K}, \tau)$  where  $\mathbb{K}$  is a simplicial complex in  $\mathbb{k}^d$  and  $\tau \colon S \to |\mathbb{K}|$  is a semi-algebraic homeomorphism such that each  $\tau[S_i]$  is partitioned by  $\mathbb{K}$ .

Note 7.2. Triangulations are often defined by replacing  $\tau$  by  $\tau^{-1}$  in Definition 7.1.

The Triangulation Theorem for semi-algebraic sets (cf. Bochnak et al. [5, Theorem 9.2.1]) states that every finite sequence  $(S; S_1, \ldots, S_l)$ , where S and all  $S_i$  are semi-algebraic, S is closed bounded, and each  $S_i \subseteq S$ , has a triangulation. Baro

strengthens this result in [2] with his "Normal Triangulation Theorem", valid in any o-minimal structure over a real-closed field. Baro's [2, Theorem 1.4], together with the remark on top of [2, p. 277], can be formulated as follows.

**Proposition 7.3.** Let  $\mathbb{K}$  be a simplicial complex of  $\mathbb{k}^d$  and let  $S_1, \ldots, S_l$  be semi-algebraic subsets of  $|\mathbb{K}|$ . Then there exists a triangulation  $(\mathbb{L}, \psi)$  of  $(|\mathbb{K}|; S_1, \ldots, S_l)$  such that  $\mathbb{L}$  is a subdivision of  $\mathbb{K}$  and  $\psi[S] = S$  for every open simplex S of  $\mathbb{K}$ .

Remark 7.4. Shiota establishes in [30, Proposition 3.9] a version of Proposition 7.3 for  $\mathbb{k} = \mathbb{R}$ . It is conceivable that a suitable application of Tarski-Seidenberg's transfer principle, similar to the argument of Coste [7], might turn Shiota's result into the general form of Proposition 7.3. The author did not investigate this.

**Definition 7.5.** For any semi-algebraic subset  $\Omega$  of  $\mathbb{R}^d$ , we denote by  $\mathcal{O}^{\mathrm{sa}}(\Omega)$  the lattice of all semi-algebraic subsets of  $\Omega$  that are open with respect to the relative topology on  $\Omega$ . A sublattice  $\mathbb{D}$  of  $\mathcal{O}^{\mathrm{sa}}([-1,1]^d)$  is *flatly triangulable* if there are a polyhedron  $\Omega$  of  $\mathbb{R}^d$ , a semi-algebraic homeomorphism  $\tau : [-1,1]^d \to \Omega$ , and a finite set  $\mathcal{A}$  of affine functionals on  $\mathbb{R}^d$  such that  $\tau \mathbb{D} \stackrel{\mathrm{def}}{=} \{\tau[U] \mid U \in \mathbb{D}\}$  is equal to  $\mathrm{Op}(\mathcal{A},\Omega)$ .

Observe that the definition of a flatly triangulable lattice involves not only the algebraic structure of the lattice, but also the way it is embedded as a lattice of semi-algebraic open sets. Our next lemma states that the polyhedron  $\Omega$  of Definition 7.5 can be taken as the geometric realization of a simplicial complex partitioning all elements of  $Op(\mathcal{A}, \Omega)$ .

**Lemma 7.6.** Let  $\mathbb{D}$  be a flatly triangulable sublattice of  $\mathbb{O}^{sa}([-1,1]^d)$ . Then there are a simplicial complex  $\mathbb{K}$  of  $\mathbb{k}^d$  with  $|\mathbb{K}|$  convex, a semi-algebraic homeomorphism  $\tau \colon [-1,1]^d \to |\mathbb{K}|$ , and a finite set  $\mathcal{A}$  of affine functionals on  $\mathbb{k}^d$  such that  $\tau \mathbb{D} = \operatorname{Op}(\mathcal{A}, |\mathbb{K}|) = \operatorname{Op}^-(\mathcal{A}, |\mathbb{K}|)$  and every member of  $\operatorname{Bool}(\mathcal{A}, |\mathbb{K}|)$  is partitioned by  $\mathbb{K}$ .

*Proof.* Let  $\Omega$ ,  $\mathcal{A}$ ,  $\tau$  witness the flat triangulability of  $\mathbb{D}$ . By Propositions 3.2 and 3.3, there exists a simplicial complex  $\mathbb{K}$  such that  $|\mathbb{K}| = \Omega$  and every member of  $\operatorname{Clos}(\mathcal{A}, \Omega)$  (thus also every member of  $\operatorname{Bool}(\mathcal{A}, \Omega)$ ) is partitioned by  $\mathbb{K}$ . Adjoining to  $\mathcal{A}$  the constant function with value 1 ensures that  $\operatorname{Op}(\mathcal{A}, \Omega) = \operatorname{Op}^-(\mathcal{A}, \Omega)$ .  $\square$ 

**Lemma 7.7.** Every finite subset  $\mathbb{X}$  of  $\mathbb{O}^{\mathrm{sa}}([-1,1]^d)$  is contained in a flatly triangulable sublattice of  $\mathbb{O}^{\mathrm{sa}}([-1,1]^d)$ .

*Proof.* By the Triangulation Theorem for semi-algebraic sets (cf. Bochnak *et al.* [5, Theorem 9.2.1]), there exists a triangulation  $(\mathbb{K}, \tau)$  of  $([-1, 1]^d; (X \mid X \in \mathbb{X}))$ . Now by Proposition 3.1, there exists a finite set  $\mathcal{A}$  of nonconstant affine functionals on  $\mathbb{k}^d$  such that every simplex in  $\mathbb{K}$  belongs to  $\operatorname{Clos}(\mathcal{A}, \mathbb{k}^d)$ . For every  $X \in \mathbb{X}$ ,  $\tau[X]$  is partitioned by  $\mathbb{K}$ , thus it belongs to  $\operatorname{Bool}(\mathcal{A}, \mathbb{k}^d)$ ; it is also a relative open subset of  $|\mathbb{K}|$ , thus it in fact belongs to  $\operatorname{Op}(\mathcal{A}, |\mathbb{K}|)$ . Therefore,  $\mathbb{X}$  is contained in the flatly triangulable lattice  $\tau^{-1}\operatorname{Op}(\mathcal{A}, |\mathbb{K}|)$ .

The following lattice-theoretical concept will be repeatedly used throughout the remainder of the paper.

**Definition 7.8.** Let A be a 0-sublattice of a distributive lattice B with zero. We say that A is CN-pure in B if every 0-lattice homomorphism from A to a completely normal distributive lattice L with zero extends to a lattice homomorphism from B to L.

Most of our interest on flat triangulability arises from the following result.

**Proposition 7.9.** Let  $\mathbb{D}$  and  $\mathbb{E}$  be finite sublattices of  $\mathbb{O}^{\mathrm{sa}}([-1,1]^d)$  with  $\mathbb{D} \subseteq \mathbb{E}$ . If  $\mathbb{D}$  is flatly triangulable, then  $\mathbb{D}$  is CN-pure in  $\mathbb{E}$ .

*Proof.* Let  $\mathbb{K}$ ,  $\mathcal{A}$ ,  $\tau$  be as in Lemma 7.6. By Proposition 7.3, there are a subdivision  $\mathbb{L}$  of  $\mathbb{K}$  and a semi-algebraic self-homeomorphism  $\psi$  of  $|\mathbb{K}| = |\mathbb{L}|$  such that

- (1) for each  $Y \in \mathbb{E}$ ,  $\psi[Y]$  is partitioned by  $\mathbb{L}$ ;
- (2)  $\psi[S] = S$  for every open simplex S of  $\mathbb{K}$ .

By Proposition 3.1, there exists a finite set  $\mathcal{B}$  of nonconstant affine functionals on  $\mathbb{k}^d$ , containing  $\mathcal{A}$ , such that every simplex in  $\mathbb{L}$  belongs to Bool( $\mathcal{B}, \mathbb{k}^d$ ) (thus to Clos( $\mathcal{B}, \mathbb{k}^d$ )). Now for every  $X \in \mathbb{D}$ ,  $\tau[X]$  belongs to Op( $\mathcal{A}, |\mathbb{K}|$ ) thus it is a union of open simplices of  $\mathbb{K}$ , and thus, by (2) above,  $\psi\tau[X] = \tau[X]$ . This means that

$$\mathbb{D} \xrightarrow{\overline{\tau}} \operatorname{Op}(\mathcal{A}, |\mathbb{K}|)$$
inclusion
$$\mathbb{E} \xrightarrow{\overline{\psi} \circ \overline{\tau}} \operatorname{Op}(\mathcal{B}, |\mathbb{K}|)$$

FIGURE 7.1. A commutative diagram of finite distributive lattices

the diagram represented in Figure 7.1 (in which  $\overline{\tau}\colon X\mapsto \tau[X]$  and  $\overline{\psi}\colon Y\mapsto \psi[Y]$ ) is commutative. Now by (a finite iteration of) Lemma 4.7,  $\operatorname{Op}(\mathcal{A}, |\mathbb{K}|)$  is CN-pure in  $\operatorname{Op}(\mathcal{B}, |\mathbb{K}|)$ . Since  $\overline{\tau}$  is an isomorphism, every 0-lattice homomorphism  $\xi$  from  $\mathbb{D}$  to a completely normal distributive lattice L with zero induces the 0-lattice homomorphism  $\xi \circ \overline{\tau}^{-1}\colon \operatorname{Op}(\mathcal{A}, |\mathbb{K}|) \to L$ , which extends to a lattice homomorphism  $\eta\colon \operatorname{Op}(\mathcal{B}, |\mathbb{K}|) \to L$ , which induces the lattice homomorphism  $\eta \circ \overline{\psi} \circ \overline{\tau}\colon \mathbb{E} \to L$ , which extends  $\xi$ . Hence  $\mathbb{D}$  is CN-pure in  $\mathbb{E}$ .

We conclude this section with a variant of Lemma 5.1 tailored to the semi-algebraic case.

**Lemma 7.10.** Let  $I \cup \{j\}$  be a finite set, with  $j \notin I$ , and let  $\mathbb{D}$  be a 0,1-sublattice of  $\mathbb{O}^{\mathrm{sa}}([-1,1]^I)$ . We set

$$\varepsilon(X,Y,Z) \stackrel{\mathrm{def}}{=} (X \times (0,1]) \cup (Y \times [-1,0)) \cup (Z \times [-1,1]) \quad \textit{whenever} \ (X,Y,Z) \in \mathbb{D} * \mathsf{J}_2 \,.$$

Then  $\varepsilon$  defines a 0,1-lattice embedding from  $\mathbb{D}*J_2$  into  $\mathfrak{O}^{\mathrm{sa}}([-1,1]^{I\cup\{j\}})$ . Furthermore, if  $\mathbb{D}$  is flatly triangulable, then so is the range of  $\varepsilon$ .

*Proof.* The set  $T \stackrel{\text{def}}{=} \varepsilon(X,Y,Z)$  determines the triple  $(X,Y,Z) \in \mathbb{D} * \mathsf{J}_2$ , because

$$\begin{split} X &= \left\{ t \in [-1,1]^I \mid (t,1) \in T \right\} \,, \\ Y &= \left\{ t \in [-1,1]^I \mid (t,-1) \in T \right\} \,, \\ Z &= \left\{ t \in [-1,1]^I \mid (t,0) \in T \right\} \,. \end{split}$$

It follows that  $\varepsilon$  is a 0, 1-lattice embedding. Denote by  $\overline{\mathbb{D}}$  the range of  $\varepsilon$ .

Now suppose that  $\mathbb D$  is flatly triangulable. Let  $\Omega$  be a polyhedron, let  $\mathcal A$  be a finite set of affine functionals on  $\mathbb K^I$ , and let  $\tau\colon [-1,1]^I\to \Omega$  be a semi-algebraic homeomorphism such that  $\tau\mathbb D=\operatorname{Op}(\mathcal A,\Omega)$ . Then  $\Omega\times[-1,1]$  is a polyhedron of  $\mathbb K^{I\cup\{j\}}$  and  $\overline{\tau}\colon [-1,1]^{I\cup\{j\}}=[-1,1]^I\times[-1,1]\to \Omega\times[-1,1],\ (x,t)\mapsto (\tau(x),t)$ 

is a semi-algebraic homeomorphism. Furthermore, denoting by  $p \colon \mathbb{k}^{I \cup \{j\}} \to \mathbb{k}$  the jth coordinate projection, defining  $\overline{a} \colon (x,t) \mapsto a(x)$  for  $a \in \mathcal{A}$ , and setting  $\overline{\mathcal{A}} \stackrel{\text{def}}{=} \{ \overline{a} \mid a \in \mathcal{A} \}$ , it is not hard to verify that  $\overline{\tau} \overline{\mathbb{D}} = \operatorname{Op}(\overline{\mathcal{A}} \cup \{p\}, \Omega \times [-1,1])$ .  $\square$ 

#### 8. Real spectra of countable algebras

Now we are about to reach our main result, Theorem 8.4. We will state that result in the language of f-rings, radical  $\ell$ -ideals, and Brumfiel spectra. Translations to real spectra will follow right away.

**Definition 8.1.** For any real-closed field k and any set I, we set

$$\mathbb{I}^{(I)} \stackrel{\text{def}}{=} \left\{ x \in \mathbb{k}^{(I)} \mid -1 \le x_i \le 1 \text{ for every } i \in I \right\}, \text{ the } cube \text{ of } dimension \ I \text{ in } \mathbb{k} \,.$$

We endow the  $\mathbb{k}$ -algebra  $\mathbb{k}^{\mathbb{I}^{(I)}}$  with its componentwise ordering and we denote by  $\mathbb{k}\langle I \rangle$  the f-subring of  $\mathbb{k}^{\mathbb{I}^{(I)}}$  generated by the constant map with value 1 together with all projection maps ( $\mathbb{I}^{(I)} \to \mathbb{k}$ ,  $p \mapsto p(i)$ ) for  $i \in I$ .

A subset X of  $\mathbb{k}^{(I)}$  is semi-algebraic if there are a finite subset J of I and a semi-algebraic subset Y of  $\mathbb{I}^{(J)}$  such that  $X = \{p \in \mathbb{I}^{(I)} \mid p \upharpoonright_J \in Y\}$  — from now on let us write  $X = Y \times \mathbb{I}^{(I \backslash J)}$ . Accordingly, we extend the notation  $\mathfrak{O}^{\mathrm{sa}}(\Omega)$  to the infinite-dimensional case (cf. Definition 7.5), and we denote by  $\mathfrak{O}^{\mathrm{sa}}(\Omega)$  the lattice of all semi-algebraic relatively open subsets of a semi-algebraic subset  $\Omega$  of  $\mathbb{k}^{(I)}$ .

In particular,  $\mathbb{k}\langle I \rangle$  is a commutative unital f-ring. For all  $x \in \mathbb{k}\langle I \rangle$ , the *cozero* set of x,  $\operatorname{COZ}(x) \stackrel{\operatorname{def}}{=} \{ p \in \mathbb{I}^{(I)} \mid x(p) \neq 0 \}$  is a semi-algebraic relatively open subset of  $\mathbb{I}^{(I)}$ .

**Proposition 8.2.** For any real-closed field  $\mathbb{k}$  and any set I, the assignment  $\langle a \rangle^{r} \mapsto \text{COZ}(a)$  defines a lattice isomorphism from  $\text{Id}_{c}^{r} \mathbb{k} \langle I \rangle$  onto  $\mathbb{O}^{\text{sa}}(\mathbb{I}^{(I)})$ .

*Proof.* Since every element of  $\mathbb{k}\langle I\rangle$  or  $\mathbb{O}^{\mathrm{sa}}(\mathbb{I}^{(I)})$ , respectively, depends only on a finite set of coordinates in I, it is easy to reduce the problem to the case where I is finite. Now that case is covered by Delzell and Madden [10, Proposition 6.2].

The following easy lemma was already stated in Wehrung [32, § 3].

**Lemma 8.3.** Let A be a commutative f-ring, let L be a distributive lattice with zero, and let  $\varphi \colon \operatorname{Id}^{\operatorname{r}}_{\operatorname{c}} A \twoheadrightarrow L$  be a closed surjective lattice homomorphism. Then the subset  $I \stackrel{\operatorname{def}}{=} \{x \in A \mid \varphi(\langle x \rangle^{\operatorname{r}}) = 0\}$  is a radical  $\ell$ -ideal of A and there is a unique isomorphism  $\psi \colon \operatorname{Id}^{\operatorname{c}}_{\operatorname{c}}(A/I) \to L$  such that  $\psi(\langle x+I \rangle^{\operatorname{r}}) = \varphi(\langle x \rangle^{\operatorname{r}})$  for every  $x \in A$ .

**Theorem 8.4.** Let k be a countable totally ordered field. Then every countable, completely normal, bounded distributive lattice L is isomorphic to  $\operatorname{Id}^{\mathrm{r}}_{\mathrm{c}} A$  for some commutative unital f-k-algebra A.

*Proof.* We may replace k by its real closure and thus assume that k is real-closed. Now we follow the lines of the proof of Wehrung [33, Theorem 9.1], replacing the original auxiliary results by those obtained in the present paper's Sections 4–7.

We fix a generating subset  $\{a_m \mid m < \omega\}$  of L and a sequence  $((S_m, A_m) \mid m < \omega)$ , where each  $S_m$  is a finite subset of  $\omega$  and each  $A_m \in \mathcal{O}^{\mathrm{sa}}(\mathbb{I}^{(S_m)})$ , such that  $A_0 = \emptyset$  and

$$\mathbb{O}^{\mathrm{sa}}(\mathbb{I}^{(\omega)}) = \left\{ A_m \times \mathbb{I}^{(\omega \setminus S_m)} \mid m < \omega \right\} .$$

Further, we fix an enumeration  $((X_k, Y_k, \gamma_k) \mid k < \omega)$  of all triples  $(X, Y, \gamma)$  where  $X, Y \in \mathcal{O}^{\mathrm{sa}}(\mathbb{I}^{(\omega)})$  and  $\gamma \in L$ .

We shall construct inductively a sequence  $((I_n, \mathbb{D}_n, \varphi_n) \mid n < \omega)$ , where for every  $n < \omega$ ,  $I_n$  is a finite subset of  $\omega$ ,  $\mathbb{D}_n$  is a flatly triangulable 0,1-sublattice of  $\mathcal{O}^{\mathrm{sa}}(\mathbb{I}^{(I_n)})$ , and  $\varphi_n \colon \mathbb{D}_n \to L$  is a 0,1-lattice homomorphism, subjected to the following conditions:

- (1) every  $a_m$  belongs to the range of some  $\varphi_n$ ,
- (2) for all m there exists n such that  $S_m \subseteq I_n$  and  $A_m \times \mathbb{I}^{(I_n \setminus S_m)} \in \mathbb{D}_n$ , (3) for all  $k \leq n$ , if  $X_k = X \times \mathbb{I}^{(\omega \setminus I_n)}$  and  $Y_k = Y \times \mathbb{I}^{(\omega \setminus I_n)}$  for some  $X, Y \in \mathbb{D}_n$ such that  $\varphi_n(X) \leq \varphi_n(Y) \vee \gamma_k$ , then there exists  $W \in \mathbb{D}_{n+1}$  such that  $X \times \mathbb{I}^{(I_{n+1} \setminus I_n)} \subseteq (Y \times \mathbb{I}^{(I_{n+1} \setminus I_n)}) \cup W$  and  $\varphi_{n+1}(W) \leq \gamma_k$ ,
- (4) for all  $n < \omega$  and every  $U \in \mathbb{D}_n$ ,  $U \times \mathbb{I}^{(I_{n+1} \setminus I_n)} \in \mathbb{D}_{n+1}$  and the equality  $\varphi_n(U) = \varphi_{n+1}(U \times \mathbb{I}^{(I_{n+1} \setminus I_n)})$  holds.

For n=0 we just set  $I_0\stackrel{\mathrm{def}}{=}\{0\}$  (thus  $\mathbb{I}^{(I_0)}=[-1,1]^{\{0\}}\cong[-1,1]$ ),  $\mathbb{D}_0\stackrel{\mathrm{def}}{=}\{\varnothing,[-1,1]\}$ , and  $\varphi_0\colon\mathbb{D}_0\to\{0_L,1_L\}$  is the unique isomorphism. Suppose  $(I_n, \mathbb{D}_n, \varphi_n)$  already constructed.

If n = 3m for an integer m, denote by k the least element of  $\omega \setminus I_n$ , set  $I_{n+1} \stackrel{\text{def}}{=}$  $I_n \cup \{k\}$ , and let  $\varepsilon \colon \mathbb{D}_n * \mathsf{J}_2 \hookrightarrow \mathcal{O}^{\mathrm{sa}}(\mathbb{I}^{(I_n \cup \{k\})})$  be the 0,1-lattice embedding defined in Lemma 7.10. By that lemma, the range  $\mathbb{D}_{n+1}$  of  $\varepsilon$  is flatly triangulable and there exists a unique 0,1-lattice homomorphism  $\varphi_{n+1} \colon \mathbb{D}_{n+1} \to L$  extending  $\varphi_n$  in the sense of (4) above whereas sending  $\mathbb{I}^{(I_n)} \times (0,1]$  to  $a_m$  and  $\mathbb{I}^{(I_n)} \times [-1,0]$  to 0. This will take care of (1) above.

If n = 3m + 1 for an integer m, we set  $I_{n+1} \stackrel{\text{def}}{=} I_n \cup S_m$ . Setting  $\mathbb{D}'_n \stackrel{\text{def}}{=} \{U \times \mathbb{I}^{(S_m \setminus I_n)} \mid U \in \mathbb{D}_n\}$ , it follows from Lemma 7.7 that  $\mathbb{D}'_n \cup \{A_m \times \mathbb{I}^{(I_n \setminus S_m)}\}$ is contained in a flatly triangulable sublattice  $\mathbb{D}_{n+1}$  of  $\mathbb{O}^{\mathrm{sa}}(\mathbb{I}^{(I_{n+1})})$ . Now  $\mathbb{D}'_n$  is easily seen to be flatly triangulable. Hence, by Proposition 7.9, the 0,1-lattice homomorphism  $\mathbb{D}'_n \to L$ ,  $U \times \widetilde{\mathbb{I}}^{(S_m \setminus I_n)} \mapsto \varphi_n(U)$  extends to a lattice homomorphism  $\varphi_{n+1} \colon \mathbb{D}_{n+1} \to L$ . This will take care of (2) above.

Let finally n = 3m + 2 for some integer m. Now set  $I_{n+1} \stackrel{\text{def}}{=} I_n$ . Since  $\mathbb{D}_n$ is flatly triangulable, there are a polyhedron  $\Omega$ , a finite set  $\mathcal{A}$  of affine functionals on  $\mathbb{k}^{I_n}$ , and a semi-algebraic homeomorphism  $\tau \colon \mathbb{I}^{(I_n)} \to \Omega$  such that  $\tau \mathbb{D}_n = \operatorname{Op}(\mathcal{A}, \Omega)$ . We may add to  $\mathcal{A}$  the constant function with value 1 and thus assume that  $Op(A, \Omega) = Op^{-}(A, \Omega)$ . By a finite iteration of Lemma 4.9, initially applied to the homomorphism  $\varphi_n \tau^{-1}$ : Op $(\mathcal{A}, \Omega) \to L$ , there exists a finite set  $\mathcal{B}$ of linear combinations of  $\mathcal{A}$ , containing  $\mathcal{A}$  (so  $Op(\mathcal{B},\Omega) = Op^{-}(\mathcal{B},\Omega)$ ), together with a homomorphism  $\psi \colon \operatorname{Op}(\mathcal{B}, \Omega) \to L$  extending  $\varphi_n \tau^{-1}$ , such that for every  $k \leq n$ , if  $X_k = X \times \mathbb{I}^{(\omega \setminus I_n)}$  and  $Y_k = Y \times \mathbb{I}^{(\omega \setminus I_n)}$  for some  $X, Y \in \mathbb{D}_n$  with  $\varphi_n(X) \leq \varphi_n(Y) \vee \gamma_k$ , there exists  $W \in \operatorname{Op}(\mathcal{B}, \Omega)$  such that  $\tau[X] \subseteq \tau[Y] \cup W$ and  $\psi(W) \leq \gamma_k$ . The lattice  $\mathbb{D}_{n+1} \stackrel{\text{def}}{=} \tau^{-1} \operatorname{Op}(\mathcal{B}, \Omega)$  is flatly triangulable and contains  $\mathbb{D}_n$ , and the homomorphism  $\varphi_{n+1} \stackrel{\text{def}}{=} \psi \tau$  extends  $\varphi_n$ . This will take care of (3) above.

The sequence  $((I_n, \mathbb{D}_n, \varphi_n) \mid n < \omega)$  satisfies Conditions (1)-(4). For each  $n < \omega$ ,  $\mathbb{E}_n \stackrel{\text{def}}{=} \{U \times \mathbb{I}^{(\omega \setminus I_n)} \mid U \in \mathbb{D}_n\}$  is an isomorphic copy of  $\mathbb{D}_n$  in  $\mathbb{O}^{\text{sa}}(\mathbb{I}^{(\omega)})$  and the assignment  $U \times \mathbb{I}^{(\omega \setminus I_n)} \mapsto \varphi_n(U)$  defines a 0,1-lattice homomorphism  $\psi_n \colon \mathbb{E}_n \to L$ . It follows from Condition (4) that both sequences  $(\mathbb{E}_n \mid n < \omega)$  and  $(\psi_n \mid n < \omega)$ are ascending; thus the union  $\psi$  of all  $\psi_n$  is a 0,1-lattice homomorphism from  $\mathbb{E} \stackrel{\text{def}}{=} \bigcup (\mathbb{E}_n \mid n < \omega)$  to L. Moreover, it follows from Condition (1) that  $\psi$  is surjective, from Condition (2) that  $\mathbb{E} = \mathbb{O}^{\text{sa}}(\mathbb{I}^{(\omega)})$ , and from Condition (3) that  $\psi$  is a closed map. By Proposition 8.2,  $\psi$  thus induces a closed surjective lattice homomorphism  $\psi' \colon \operatorname{Id}_{\mathbf{c}}^{\mathbf{r}} \mathbb{k} \langle \omega \rangle \twoheadrightarrow L$ . Now by Lemma 8.3,  $\psi'$  in turn induces an isomorphism  $\operatorname{Id}_{\mathbf{c}}^{\mathbf{r}} A \to L$  where A is the quotient of  $\mathbb{k} \langle \omega \rangle$  by a suitable radical  $\ell$ -ideal.  $\square$ 

Corollary 8.5. Let k be a countable totally ordered field. Then every countable, completely normal distributive lattice L with zero is isomorphic to  $\operatorname{Id}^{\operatorname{r}}_{\operatorname{c}} A$  for some commutative f-k-algebra A.

*Proof.* Observe that L is an ideal of the bounded distributive lattice  $L^{\infty} \stackrel{\text{def}}{=} L \cup \{\infty\}$  for a new top element  $\infty$ . Since  $L^{\infty}$  is a countable completely normal bounded distributive lattice, it follows from Theorem 8.4 that  $L^{\infty} \cong \operatorname{Id}^{\operatorname{r}}_{\operatorname{c}} A$  for some commutative unital f- $\mathbb{k}$ -algebra A. Therefore,  $J \stackrel{\text{def}}{=} \{x \in A \mid \langle x \rangle^{\operatorname{r}} \neq \infty\}$  is a radical  $\ell$ -ideal of A, thus a commutative f- $\mathbb{k}$ -algebra, and  $\operatorname{Id}^{\operatorname{r}}_{\operatorname{c}} J \cong L$ .

Since  $\mathrm{Id}^{\mathrm{r}}_{\mathrm{c}}A$  is the Stone dual of the Brumfiel spectrum of A, we thus obtain the following topological version of Theorem 8.4 and Corollary 8.5.

Corollary 8.6. Let k be a countable totally ordered field. Every second countable, completely normal generalized spectral space X is homeomorphic to the Brumfiel spectrum of some commutative f-k-algebra A. If, in addition, X is compact (i.e., it is a spectral space), then A can be taken unital.

In Wehrung [32, § 3], we observe, invoking results by Delzell and Madden [10], Schwartz and Madden [29], and Schwartz [28], that the class of real spectra of all commutative unital rings (resp., real-closed rings) and the class of Brumfiel spectra of all commutative unital f-rings coincide. The corresponding constructions carry over to algebras over totally ordered fields.

Corollary 8.7. Let k be a countable formally real field. Every second countable completely normal spectral space is homeomorphic to the real spectrum of some countable commutative unital k-algebra.

Proof. Since  $\mathbbm{k}$  is formally real, it has a compatible total ordering, that we shall fix through the remainder of the proof. By Corollary 8.6, the given space is homeomorphic to the Brumfiel spectrum of some commutative unital f- $\mathbbm{k}$ -algebra A. Now we argue as in [32, § 3], reducing the Brumfiel spectrum to the real spectrum. In some more detail: first observe that the assignment  $P \mapsto A^+ + P$  defines a homeomorphism from  $\operatorname{Spec}_{B} A$  onto  $\operatorname{Spec}_{C}(A,A^+) \stackrel{\text{def}}{=} \{Q \in \operatorname{Spec}_{C} A \mid A^+ \subseteq Q\}$ . Now  $\operatorname{Spec}_{C}(A,A^+)$  is a closed subspace of  $\operatorname{Spec}_{C}(A,A^+)$  of A (cf. Schwartz [28]); thus  $\operatorname{Spec}_{C}(A,A^+) \cong \operatorname{Spec}(C_{C}(A)/I)$  for some radical ideal I of  $\operatorname{Cr}(A)$ . Now the ring  $\operatorname{Cr}(A)/I$  is real-closed, thus  $\operatorname{Spec}(\operatorname{Cr}(A)/I) \cong \operatorname{Spec}_{C}(\operatorname{Cr}(A)/I)$ . Therefore,  $\operatorname{Spec}_{B} A \cong \operatorname{Spec}_{C}(\operatorname{Cr}(A)/I)$ . Now since A is a  $\mathbbm{k}$ -algebra, so is  $\operatorname{Cr}(A)/I$ .  $\square$ 

#### 9. The case of uncountable partially ordered division rings

This section will be mostly devoted to the discussion of an example, showing that the countability assumption on k cannot be dispensed with in our positive representability results, most notably Theorems 6.2 and 8.4. This will be done by

isolating an additional property satisfied by lattices that can be represented over division rings of larger cardinality.

**Definition 9.1.** Let  $\mathbb{k}$  be an ordered (not necessarily totally) division ring, let V be a right  $\mathbb{k}$ -vector lattice, and let D be a distributive lattice with zero. A map  $\mu \colon V \to D$  is a *premeasure* if  $\mu \upharpoonright_{V^+}$  is a 0-lattice homomorphism,  $\mu(x) = \mu(|x|)$ , and  $\mu(x\lambda) \leq \mu(x)$  whenever  $(x,\lambda) \in V \times \mathbb{k}$ . If, in addition,  $\mu^{-1} \{0_D\} = \{0_V\}$ , then we say that  $\mu$  is a *measure*.

**Lemma 9.2.** The following statements hold, for any premeasure  $\mu: V \to D$ :

- (1)  $\mu(x+y) \le \mu(x) \lor \mu(y)$  for all  $x, y \in V$ , with equality holding if  $x, y \in V^+$ ;
- (2)  $\mu(x\lambda) = \mu(x)$  for all  $x \in V$  and all  $\lambda \in \mathbb{k} \setminus \{0\}$ ;
- (3) the subset  $I \stackrel{\text{def}}{=} \mu^{-1} \{0\}$  is an  $\ell$ -ideal of  $V_{\mathbb{k}}$  and the assignment  $x + I \mapsto \mu(x)$  defines a measure from  $(V/I)_{\mathbb{k}}$  to D.

Proof. Ad (1). If  $x, y \in V^+$ , then, since  $x \vee y \leq x + y \leq 2(x \vee y)$  within  $V^+$  and  $\mu(2(x \vee y)) = \mu((x \vee y) \cdot 2) \leq \mu(x \vee y)$  we get  $\mu(x + y) = \mu(x \vee y) = \mu(x) \vee \mu(y)$ . In the general case,  $\mu(x + y) = \mu(|x + y|) \leq \mu(|x| + |y|) = \mu(|x|) \vee \mu(|y|) = \mu(x) \vee \mu(y)$ . Ad (2). Just observe that  $\mu(x) = \mu(x\lambda\lambda^{-1}) \leq \mu(x\lambda) \leq \mu(x)$ .

Ad (3) is straightforward.

**Theorem 9.3.** Let D be a distributive lattice with zero. If there are a partially ordered division ring k with card  $D < \operatorname{card} k^+$ , a right k-vector lattice V, and a surjective premeasure  $\mu \colon V_k \twoheadrightarrow D$ , then every indecomposable element of D is join-irreducible.

*Proof.* It follows from Lemma 9.2(3) that  $\mu$  can be taken a *measure*. Suppose that D has an indecomposable element e which is not join-irreducible. Since  $\mu$  is surjective, there are  $a,b \in V^+$  such that  $e = \mu(a) \vee \mu(b)$  whereas  $\mu(a) < e$  and  $\mu(b) < e$ . Setting  $e \stackrel{\text{def}}{=} a + b$ , we get  $\mu(e) = e$ .

Set  $x \setminus y \stackrel{\text{def}}{=} x - (x \wedge y)$ , for all  $x, y \in V$ .

Claim 1. Let  $x, y \in V$  be incomparable. Then  $\mu(x-y) \neq e$ .

Proof of Claim. From  $(x \setminus y) \land (y \setminus x) = 0$  we get  $\mu(x \setminus y) \land \mu(y \setminus x) = 0$ . Since x and y are incomparable and  $\mu^{-1}\{0\} = \{0\}$ ,  $\mu(x \setminus y)$  and  $\mu(y \setminus x)$  are both nonzero. Since e is indecomposable in D, it follows that  $\mu(x \setminus y) \lor \mu(y \setminus x) \neq e$ , so  $\mu(x-y) = \mu(|x-y|) = \mu(x \setminus y) \lor \mu(y \setminus x) \neq e$ .  $\square$  Claim 1.

Until the end of the proof of Theorem 9.3 we will set  $E \stackrel{\text{def}}{=} \{x \in V \mid \mu(x) \leq e\}$ . Observe that E is an  $\ell$ -ideal of  $V_{\mathbb{k}}$  containing e as an element. Set

$$r(x) \stackrel{\mathrm{def}}{=} \{\lambda \in \mathbbm{k} \mid \mu(x - e\lambda) \neq \mathbf{e}\} = \{\lambda \in \mathbbm{k} \mid \mu(x - e\lambda) < \mathbf{e}\} \;, \quad \text{for all } x \in E \,.$$

Claim 2. For every  $x \in E$ , the set r(x) is order-convex in k.

Proof of Claim. Let  $\alpha < \gamma < \beta$  in  $\mathbbm{k}$  with  $\{\alpha, \beta\} \subseteq r(x)$ . If  $\gamma \notin r(x)$ , then, by Claim 1, either  $x \leq e\gamma$  or  $e\gamma \leq x$ . In the first case,  $e\beta - x \geq e(\beta - \gamma) \geq 0$  with  $\beta - \gamma \in \mathbbm{k}^{++}$ , thus, using Lemma 9.2,  $\mu(e\beta - x) \geq \mu(e(\beta - \gamma)) = \mu(e) = e$ , in contradiction with  $\beta \in r(x)$ . In the second case,  $x - e\alpha \geq e(\gamma - \alpha) \geq 0$  with  $\gamma - \alpha \in \mathbbm{k}^{++}$ , thus  $\mu(x - e\alpha) \geq \mu(e(\gamma - \alpha)) = \mu(e) = e$ , in contradiction with  $\alpha \in r(x)$ .

Claim 3. For all  $x \in E$  and all distinct  $\alpha, \beta \in \mathbb{k}$ ,  $\mu(x - e\alpha) \vee \mu(x - e\beta) = e$ .

Proof of Claim. From  $e(\alpha - \beta) = (x - e\beta) - (x - e\alpha)$  it follows, using Lemma 9.2, that  $e = \mu(e) = \mu(e(\alpha - \beta)) \le \mu(x - e\alpha) \lor \mu(x - e\beta)$ . The converse inequality follows from  $x \in E$ .

**Claim 4.** For every  $x \in E$ , r(x) is an incomparable subset of  $\mathbb{k}$ .

Proof of Claim. Suppose, to the contrary, that there are  $\alpha < \beta$  in r(x). By Claim 2, the interval  $[\alpha, \beta]$  is contained in r(x). By Claim 3, it follows that the assignment  $\gamma \mapsto \mu(x - e\gamma)$  defines a one-to-one map from  $[\alpha, \beta]$  into D; whence  $\operatorname{card}[\alpha, \beta] \leq \operatorname{card} D$ . Since  $\xi \mapsto \alpha + (1 + \xi)^{-1}(\beta - \alpha)$  defines a one-to-one mapping from  $\mathbb{R}^+$  into  $(\alpha, \beta]$ , it follows that  $\operatorname{card} \mathbb{R}^+ \leq \operatorname{card} D$ , a contradiction.  $\square$  Claim 4.

Claim 5. Let  $x \in E$ . If  $0 \in r(x)$ , then  $x\lambda \leq e$  for every  $\lambda \in \mathbb{k}^{++}$ .

Proof of Claim. By Claim 4 and since  $0 < \lambda^{-1}$ , we get  $\lambda^{-1} \notin r(x)$ . By Claim 1, it follows that either  $e\lambda^{-1} \leq x$  or  $x \leq e\lambda^{-1}$ . In the first case,  $\mu(x) \geq \mu(e\lambda^{-1}) = \mu(e) = e$ , in contradiction with  $0 \in r(x)$ . Therefore the second case holds.  $\square$  Claim 5.

Now we can finish the proof of Theorem 9.3. Since  $\{a,b\} \subseteq E$  and  $\mu(a)$  and  $\mu(b)$  are both distinct from e, we get  $0 \in r(a)$  and  $0 \in r(b)$ . By Claim 5, it follows that  $3a \le e$  and  $3b \le e$ . Adding those inequalities together yields  $3e \le 2e$ , so e = 0, and so e = 0, a contradiction.

Throughout the remainder of this section we shall denote by [0, 1] the unit interval of the rational ordered field  $\mathbb{Q}$ . The lattice  $\mathbb{D}$  of all finite unions of relatively open intervals of [0, 1] (with rational endpoints) is obviously bounded and distributive.

**Proposition 9.4.** The lattice  $\mathbb{D}$  is completely normal.

*Proof.* Denote by  $d:(x,y)\mapsto |x-y|$  the canonical distance function on [0,1]. For  $A,B\in\mathbb{D}$ , with respective complements A' and B', the subsets X and Y defined by

$$X \stackrel{\mathrm{def}}{=} \{z \in [0,1] \mid d(z,B') < d(z,A')\} \text{ and } Y \stackrel{\mathrm{def}}{=} \{z \in [0,1] \mid d(z,A') < d(z,B')\}$$
 both belong to  $\mathbb{D}$ . Moreover,  $A \subseteq B \cup X$ ,  $B \subseteq A \cup Y$ , and  $X \cap Y = \emptyset$ .

Now we can start reaping the consequences of Theorem 9.3.

**Corollary 9.5.** Let  $\mathbbm{k}$  be a directed partially ordered division ring. If  $\mathbbm{k}$  is uncountable, then there are no right vector lattice V over  $\mathbbm{k}$  and no surjective lattice homomorphism from  $\mathrm{Id}_c^\ell V_\mathbbm{k}$  onto  $\mathbb{D}$ .

*Proof.* The assumption that  $\mathbb{k}$  is directed ensures that  $\langle x \wedge y \rangle_{\mathbb{k}}^{\ell} = \langle x \rangle_{\mathbb{k}}^{\ell} \wedge \langle y \rangle_{\mathbb{k}}^{\ell}$  for all  $x, y \in V^+$  (use (2.1)); whence  $\mathrm{Id}_{\mathbf{c}}^{\ell} V_{\mathbb{k}}$  is a sublattice of  $\mathrm{Id}^{\ell} V_{\mathbb{k}}$ . Now suppose that the conclusion of Corollary 9.5 fails. By precomposing the given homomorphism with the map  $x \mapsto \langle x \rangle_{\mathbb{k}}^{\ell}$ , we obtain a surjective premeasure  $\mu \colon V \twoheadrightarrow \mathbb{D}$ . The top element of  $\mathbb{D}$ , namely [0,1], is indecomposable in  $\mathbb{D}$ , but not join-irreducible (e.g.,  $[0,1]=[0,1)\cup(0,1]$ ). Apply Theorem 9.3.

Corollary 9.6. Let k be a directed partially ordered field. If k is uncountable, then there are no commutative f-k-algebra A and no lattice homomorphism from  $\operatorname{Id}_{c}^{r}A$  onto  $\mathbb{D}$ .

*Proof.* Denote by V the underlying right  $\mathbb{R}$ -vector lattice of A. As in Wehrung [32, Proposition 4.6] and using Note 2.1, observe that the assignment  $\langle x \rangle_{\mathbb{R}}^{\ell} \mapsto \langle x \rangle^{r}$  defines a surjective lattice homomorphism from  $\mathrm{Id}_{c}^{\ell} V_{\mathbb{R}}$  onto  $\mathrm{Id}_{c}^{r} A$ . Apply Corollary 9.5.  $\square$ 

By Proposition 9.4, Spec  $\mathbb{D}$  is a completely normal spectral space. By applying Stone duality to our previous results, we obtain:

**Corollary 9.7.** The space  $\operatorname{Spec} \mathbb{D}$  cannot be embedded, as a spectral subspace, into either following kind of spectral space:

- (1) Spec $_{\ell}$   $V_{\Bbbk}$ , for any uncountable directed partially ordered division ring  $\Bbbk$  and any right  $\Bbbk$ -vector lattice V:
- (2) Spec<sub>r</sub> A, for any uncountable field k and any commutative unital k-algebra A.

*Proof.* Ad (1). Apply Stone duality to Corollary 9.5.

Ad (2). Suppose that Spec  $\mathbb{D}$  embeds, as a spectral subspace, into Spec<sub>r</sub> A for a commutative unital algebra A over an uncountable field  $\mathbb{k}$ . Since Spec<sub>r</sub> A is nonempty,  $\mathbb{k}$  is formally real, which means that it can be partially ordered via the positive cone  $\mathbb{k}^+$  consisting of all sums of squares in  $\mathbb{k}$ . Observe that this ordering makes  $\mathbb{k}$  directed, for

$$x = 2\left(\left(\frac{x+1}{2}\right)^2 - \left(\frac{x}{2}\right)^2 - \left(\frac{1}{2}\right)^2\right)$$
 for all  $x \in \mathbb{k}$ .

Since  $\operatorname{Spec_r} A$  is homeomorphic to the Brumfiel spectrum of the universal commutative unital f-ring  $\widetilde{A}$  of A (cf. Delzell and Madden [10, Proposition 3.3]),  $\operatorname{Spec} \mathbb{D}$  embeds as a spectral subspace into  $\operatorname{Spec}_B \widetilde{A}$ . Applying Stone duality, it follows that  $\mathbb{D}$  is a homomorphic image of  $\operatorname{Id}_{\operatorname{c}}^{\operatorname{r}} \widetilde{A}$ . Since every square of  $\mathbb{k}$  belongs to  $\widetilde{A}^+$  and by definition of the ordering on  $\mathbb{k}$ , the canonical map from  $\mathbb{k}$  to  $\widetilde{A}$  is order-preserving, so  $\widetilde{A}$  is also an f- $\mathbb{k}$ -algebra; in contradiction with Corollary 9.6.  $\square$ 

Let us point that analogues of Corollary 9.5, established in a completely different, module-theoretical context, can be found in Goodearl and Menal's paper [13]. A key point is [13, Lemma 2.1], which can be viewed as an analogue of Claim 3 in the proof of Theorem 9.3.

#### 10. Representability over arbitrary totally ordered division ring

It is well known that every completely normal generalized dual Heyting algebra is isomorphic to the principal  $\ell$ -ideal lattice of some Abelian  $\ell$ -group (cf. Cignoil et al. [6, Theorem 3.3], Iberkleid et al. [16, Theorem 3.1.1]). In this section we shall amplify that result, in that process noticeably modifying the presentation of the above works, enabling us to extend it to vector lattices over arbitrary totally ordered division rings and to state it in functorial terms. Functoriality will be achieved by a construction via generators and relations (cf. Definition 10.1).

Following the notation of Iberkleid *et al.* [16], for any elements a and b in a distributive lattice D, let  $a \ll b$  hold if  $a \leq b$  and for all  $x \in D$ ,  $b \leq a \vee x$  implies that  $b \leq x$ . Equivalently,  $a \leq b$  and  $b \searrow_D a = b$ .

**Definition 10.1.** Let D be a distributive lattice with zero. For any totally ordered division ring  $\mathbb{k}$  and any right  $\mathbb{k}$ -vector lattice V, a 0-lattice homomorphism  $\nu \colon D \to V^+$  is a *pre-scale* if  $a \ll b$  implies that  $\nu(a)\lambda \leq \nu(b)$  whenever  $a, b \in D$  and  $\lambda \in \mathbb{k}$ . If, in addition,  $\nu^{-1} \{0_V\} = \{0_D\}$ , we say that  $\nu$  is a *scale*.

Given D and k, we denote by  $k\lfloor D\rfloor$  the (image of the) universal pre-scale on D. In more detail,  $k\lfloor D\rfloor$  is thus the right k-vector lattice defined by generators  $\overline{a}$ , for  $a \in D$ , and relations  $\overline{0} = 0$ ,  $\overline{a \lor b} = \overline{a} \lor \overline{b}$ , and  $\overline{a \land b} = \overline{a} \land \overline{b}$ , for  $a, b \in D$ , together with  $\overline{a}\lambda \leq \overline{b}$  whenever  $a \ll b$  in D and  $\lambda \in k$ .

**Lemma 10.2.** The assignment  $D \mapsto \mathbb{k} \lfloor D \rfloor$  can be extended to a functor, from the category of all distributive lattices with zero and closed homomorphisms, to right  $\mathbb{k}$ -vector lattices with  $\ell$ - $\mathbb{k}$ -homomorphisms.

*Proof.* Any closed 0-lattice homomorphism  $f \colon D \to E$ , between distributive lattices with zero, preserves the relations defining the construction  $\Bbbk\lfloor \_ \rfloor$ . This means that there exists a unique  $\ell$ - $\Bbbk$ -homomorphism  $\Bbbk\lfloor f \rfloor \colon \Bbbk\lfloor D \rfloor \to \Bbbk\lfloor E \rfloor$  sending each  $\overline{a}$  to  $\overline{f(a)}$ .

We will be interested only in the case where D is a completely normal generalized dual Heyting algebra and  $\mathbbm{k}$  is a totally ordered division ring. As we will see shortly, in that case everything boils down to the case where D is finite, that is, the poset  $P \stackrel{\text{def}}{=} \text{Ji } D$  is a root system (i.e., every principal upper subset of P is a chain). For  $x \in \mathbbm{k}^P$ , denote by  $\Gamma(x)$  the set of all maximal elements of the support  $\{p \in P \mid x(p) \neq 0\}$  of x. Then  $x \geq 0$  iff x(p) > 0 for all  $p \in \Gamma(x)$ , and the Hahn power  $(\mathbbm{k}^P, +, 0, \leq)$  is a right  $\mathbbm{k}$ -vector lattice. The argument of the proof in Iberkleid et al. [16, Theorem 3.1.1(3)] yields the following.

**Lemma 10.3.** Let D be finite. The map  $\nu_D \colon D \to (\mathbb{k}^P)^+$ , sending every a to the characteristic function of the set of all maximal elements of  $P \downarrow a \stackrel{\text{def}}{=} \{ p \in P \mid p \leq a \}$ , is a scale on D.

Our next lemma shows that  $(\mathbb{k}^P, \nu_D)$  is the universal (pre-)scale on D.

**Lemma 10.4.** Let D be a finite completely normal dual Heyting algebra, set  $P \stackrel{\text{def}}{=} \text{Ji } D$ , let k be a totally ordered division ring, and let V be a right k-vector lattice. Then for every pre-scale  $\nu \colon D \to V^+$ , there exists a unique  $\ell$ -k-homomorphism  $\varphi \colon k^P \to V$  such that  $\nu = \varphi \circ \nu_D$ . Moreover, if  $\nu$  is a scale, then  $\varphi$  is an  $\ell$ -k-embedding.

*Proof.* Necessarily,  $\varphi \colon \mathbb{k}^P \to V$ ,  $x \mapsto \sum (\nu(p)x(p) \mid p \in P)$ .

Let  $x \in \mathbb{k}^P$ . Since P is a root system, the lower subsets  $P \downarrow p$ , for  $p \in \Gamma(x)$ , are pairwise disjoint, thus the elements  $x_p \stackrel{\text{def}}{=} x \upharpoonright_{P \downarrow p}$  (extended by 0 outside  $P \downarrow p$ ) generate pairwise orthogonal  $\ell$ -ideals in  $\mathbb{k}^P$  and  $x = \sum (x_p \mid p \in \Gamma(x))$ . Now each  $x_p$  is either positive or negative according to whether x(p) > 0 or x(p) < 0, respectively. In particular, setting  $\Gamma^+(x) \stackrel{\text{def}}{=} \{p \in \Gamma(x) \mid x(p) > 0\}$ , we get

$$x \vee 0 = \sum (x_p \mid p \in \Gamma^+(x))$$
 and  $\varphi(x) \vee 0 = \sum (\varphi(x_p) \mid p \in \Gamma^+(x))$ .

Therefore,  $\varphi$  is an  $\ell$ -k-homomorphism.

Now suppose that  $\nu$  is a scale. We must prove that  $\varphi(x) \geq 0$  implies that  $x \geq 0$ , for every  $x \in \mathbb{k}^P$ . Using the decomposition  $x = \sum (x_p \mid p \in \Gamma(x))$  above, it suffices to consider the case where the support of x has a largest element p. In that case,  $\nu(p) > 0$ , together with the assumption that  $\nu$  is a pre-scale, entails that  $\varphi(x)$  is either positive or negative according to whether x(p) > 0 or x(p) < 0, that is, x > 0 or x < 0, respectively; so we are done.

**Theorem 10.5.** Let k be a totally ordered division ring. Then for every completely normal generalized dual Heyting algebra D, the assignment  $a \mapsto \langle \overline{a} \rangle_{\mathbb{k}}^{\ell}$  defines an isomorphism from D onto  $\mathrm{Id}_c^{\ell} \, \mathbb{k} \, |D|_{\mathbb{k}}$ . This homomorphism is natural in D with respect to closed 0-lattice homomorphisms.

Proof. Owing to Maksimova [20] (where the result is stated in terms of Heyting algebras), the variety CNdHA of all completely normal generalized dual Heyting algebras (in the signature  $(\vee, \wedge, \vee)$ ) is generated by all finite chains. Now for every positive integer n, every totally ordered member of CNdHA generated by an nelement chain has at most n+1 elements. Using McKenzie et al. [22, Lemma 4.98], it follows that every n-generated member of **CNdHA** has at most  $(n+1)^{(n+1)^n}$  elements; so every member of **CNdHA** is the directed union of its finite subalgebras. This reduces the verification of Theorem 10.5 to the finite case.

Now if D is finite, all required proofs are straightforward except perhaps the one of the surjectivity of  $a \mapsto \langle \overline{a} \rangle_{\mathbb{k}}^{\ell}$ . However, the decomposition  $x = \sum_{k} (x_p \mid p \in \Gamma(x))$ introduced in the proof of Lemma 10.4 reduces the problem to elements x whose support has a largest element p, in which case  $\langle x \rangle_{\mathbb{k}}^{\ell} = \langle \overline{p} \rangle_{\mathbb{k}}^{\ell}$ .

Finally, the required naturality statement follows from Lemma 10.2. 

**Theorem 10.6.** For any completely normal distributive lattice D with zero, the following are equivalent:

- (i) There are a partially ordered division ring k and a right k-vector lattice V, such that every subset of k with at most card D elements has an upper bound, and  $D \cong \operatorname{Id}_{c}^{\ell} V_{\mathbb{k}}$ .
- (ii) For every totally ordered division ring k, there exists a right k-vector lattice Vsuch that  $D \cong \operatorname{Id}_{c}^{\ell} V_{\mathbb{k}}$ .
- (iii) D is a generalized dual Heyting algebra.

*Proof.* (i) $\Rightarrow$ (iii). Let  $D = \operatorname{Id}_{\operatorname{c}}^{\ell} V_{\Bbbk}$  and set, as usual,  $x \smallsetminus y \stackrel{\operatorname{def}}{=} x - (x \wedge y)$  for all  $x, y \in V$ . For all  $a \in D$  pick  $\overline{a} \in V^+$  such that  $a = \langle \overline{a} \rangle_{\Bbbk}^{\ell}$ . Further, let  $S \subseteq \Bbbk^{++}$  of cardinality at most  $(\operatorname{card} D)^3$  such that

$$\left\{\left\langle \overline{a} \smallsetminus \overline{b} \lambda\right\rangle_{\Bbbk}^{\ell} \mid \lambda \in \Bbbk^{++}\right\} = \left\{\left\langle \overline{a} \smallsetminus \overline{b} \lambda\right\rangle_{\Bbbk}^{\ell} \mid \lambda \in S\right\} \quad \text{whenever } a,b \in D \,.$$

The subset S has an upper bound  $\xi$  in  $\mathbb{k}^{++}$  (this is obvious if D is finite, and follows from our assumption otherwise). Hence  $\langle \overline{a} \setminus \overline{b} \xi \rangle_{\mathbb{k}}^{\ell} \subseteq \langle \overline{a} \setminus \overline{b} \lambda \rangle_{\mathbb{k}}^{\ell}$  for all  $a, b \in D$  and all  $\lambda \in \mathbb{k}^{++}$ . We claim that for all  $a, b \in D$ ,  $a \setminus_D b$  is defined and equal to  $\langle \overline{a} \setminus \overline{b} \xi \rangle_{\mathbb{k}}^{\ell}$ . Indeed,  $\langle \overline{a} \rangle_{\Bbbk}^{\ell} \subseteq \langle \overline{b} \rangle_{\Bbbk}^{\ell} \vee \langle \overline{a} \setminus \overline{b} \xi \rangle_{\Bbbk}^{\ell}$ . Further, for all  $x \in D$  such that  $a \leq b \vee x$ , there exists  $\lambda \in \mathbb{k}^{++}$  such that  $\overline{a} \leq (\overline{b} + \overline{x})\lambda$ , which implies that  $\overline{a} \vee \overline{b}\lambda \leq \overline{x}\lambda$ , whence  $\langle \overline{a} \setminus \overline{b} \xi \rangle_{\mathbb{k}}^{\ell} \subseteq \langle \overline{a} \setminus \overline{b} \lambda \rangle_{\mathbb{k}}^{\ell} \subseteq \langle \overline{x} \rangle_{\mathbb{k}}^{\ell} = x$ , thus completing the proof of our claim. (iii) $\Rightarrow$ (ii) follows from Theorem 10.5.

 $(ii) \Rightarrow (i)$ . There are totally ordered fields as in (i).

By Stone duality, we obtain:

Corollary 10.7. A completely normal generalized spectral space X is the  $\ell$ -spectrum of a right vector lattice over any totally ordered division ring iff the Stone dual of X is a generalized dual Heyting algebra.

We shall now relate Theorem 10.6 to the results of Section 9.

Theorem 9.3 is not the first occurrence of the property "indecomposable  $\Rightarrow$  join-irreducible". In particular, a slight modification of the argument of Martínez [21, Lemma 2.2.3] yields the following:

**Proposition 10.8.** Let D be a completely normal generalized dual Heyting algebra. Then every indecomposable element of D is join-irreducible.

*Proof.* Let  $e \in D$  and let  $a, b \in D$  such that  $e = a \vee b$ . From the complete normality of D we get the disjoint join  $e = a' \vee b'$  with  $a' \stackrel{\text{def}}{=} a \setminus_D (b \setminus_D a)$  and  $b' \stackrel{\text{def}}{=} (b \setminus_D a) \setminus_D a = b \setminus_D a$ . If e is indecomposable, then  $e \in \{a', b'\}$ , thus, since  $a' \leq a$  and  $b' \leq b$ , we get  $e \in \{a, b\}$ . Therefore, e is join-irreducible.  $\square$ 

Although Theorem 9.3 and Proposition 10.8 may raise the hope that the representability of a distributive lattice D, as the range of premeasures on vector lattices over arbitrary totally ordered fields, implies that D is a generalized dual Heyting algebra, the following example shows that this is not the case, and a bit more.

**Example 10.9.** A countable, bounded, completely normal distributive lattice D (necessarily not a generalized dual Heyting algebra) such that:

- (1) For every totally ordered division ring  $\mathbb{K}$ , there are a right  $\mathbb{K}$ -vector lattice V such that Spec D embeds into Spec $\ell$   $V_{\mathbb{K}}$  as a spectral subspace.
- (2) For every formally real field k, there exists a commutative unital k-algebra A such that  $\operatorname{Spec}_r A \cong \operatorname{Spec} D$ .
- (3) There exists a totally ordered field k such that there is no right k-vector lattice V with  $\operatorname{Spec}_{\ell} V_k \cong \operatorname{Spec} D$ .

*Proof.* Let D be the lattice denoted by  $\mathbf{D}_{\omega}$  in Wehrung [33, Example 10.5]. That is, D consists of all pairs (X,k), where X is a subset of  $\omega$  that is either finite or cofinite,  $k \in \{0,1,2\}$ , k=0 implies that X is finite, and k>0 implies that X is cofinite. The ordering on D is defined componentwise.

Setting  $\mathbf{3} \stackrel{\text{def}}{=} \{0,1,2\}$ , the sublattice E of  $\mathbf{3}^{\omega}$ , consisting of all eventually constant sequences, is a dual Heyting algebra. For each  $x \in E$ , the subset  $\sup(x) \stackrel{\text{def}}{=} \{n < \omega \mid x(n) \neq 0\}$  is either finite or cofinite in  $\omega$  and  $x(\infty)$ , defined as x(n) for large enough n, belongs to  $\mathbf{3}$ . The map  $\pi \colon E \to D$ ,  $x \mapsto (\sup(x), x(\infty))$  is a surjective lattice homomorphism (cf. Wehrung [33, Example 10.6]).

Let k be a totally ordered division ring and consider the lexicographical product K of k by itself, endowed with its canonical structure of (totally ordered) right k-vector lattice. The map  $\rho \colon K \to \mathbf{3}$  defined by the rule

$$\rho(x,y) \stackrel{\text{def}}{=} \begin{cases} 0 \,, & \text{if } x = y = 0 \,, \\ 1 \,, & \text{if } x = 0 \text{ and } y \neq 0 \,, \end{cases} \quad \text{for all } (x,y) \in K$$

$$2 \,, & \text{if } x \neq 0 \,, \end{cases}$$

is a surjective measure from  $K_{\mathbb{k}}$  onto 3. Denoting by V the right  $\mathbb{k}$ -vector lattice consisting of all eventually constant sequences of elements of K, the assignment  $((x_n,y_n)\mid n<\omega)\mapsto (\rho(x_n,y_n)\mid n<\omega)$  defines a surjective measure  $\overline{\rho}\colon V_{\mathbb{k}}\twoheadrightarrow E$ . Therefore,  $\pi\circ\overline{\rho}$  is a surjective measure from  $V_{\mathbb{k}}$  onto D, so it defines a surjective homomorphism from  $\mathrm{Id}_{\mathbb{C}}^{\ell}V_{\mathbb{k}}$  onto D. By Stone duality, (1) follows.

Now let us prove (2). We may assume that k is a real-closed field. Replacing the real field  $\mathbb{R}$  by k in the argument of the proof, relative to  $\mathbb{R}[[G]]$ , of Dickmann

et al. [12, Proposition 1.1], then taking G a divisible totally ordered Abelian group with exactly two nonzero Archimedean classes, we get a totally ordered real-closed k-algebra A, without zero divisors, such that the real spectrum of A is the two-element chain. Denoting by K any real-closed field extension of A, it follows that the map  $\varepsilon\colon \mathbf{3} \twoheadrightarrow \mathbf{2}$ , defined by  $\varepsilon(0)=0$  and  $\varepsilon(1)=\varepsilon(2)=1$ , is represented, with respect to the Stone dual of the functor  $\operatorname{Spec}_{\mathbf{r}}$ , by the inclusion map from A into K. Therefore, mimicking part of the argument of [32, Theorem 4.4],  $\operatorname{Spec} D \cong \operatorname{Spec}_{\mathbf{r}} R$  where the "condensate" R is defined as the k-algebra of all eventually constant sequences of elements of K with limit in A.

Finally, there is no least  $x \in D$  such that  $(\omega, 2) \leq (\omega, 1) \vee x$ ; whence D is not a generalized dual Heyting algebra. Using Corollary 10.7, Item (3) follows.

This suggests the following problem.

**Problem.** Characterize the spectral spaces that can be represented as real spectra of algebras over any formally real field.

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