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## Development of a microfluidic method for preparing monodispersed microparticles with controllable sizes and mechanical properties

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#### **Abstract**

In this article, we present a microfluidic method for the production of monodispersed hydrogel microparticles of Na-/Ca-alginate with controllable sizes (micrometer range) and mechanical properties without using surfactant. Our ultimate aim is to produce microparticles that mimic red blood cells (RBCs). *Ex situ* gelation is carried out to realize the transformation from Na-alginate to Ca-alginate microparticles. Gelation proves essential to obtaining desirable microparticle properties, such as insolubility in water and RBC-like mechanical properties. For both Na-alginate and Ca-alginate microparticles, a smooth surface and a porous inner structure are observed under a scanning electron microscope. A platform of microgrippers is successfully developed to manipulate the microparticles. The Young's modulus, measured using an atomic force microscope on the surface of Ca-alginate microparticles, is of the same order as that of RBCs. Combined with size control to micrometer range, this confirms the feasibility of using Ca-alginate microparticles to mimic RBCs.

#### Introduction

Microfluidics has emerged as a powerful technique to form discrete and uniform hydrogel structures at the microscale ( $<100\mu m$ ).<sup>1</sup> This is because droplet-based microfluidic systems permit generation of discrete and uniform microdroplets which are dispersed in a continuous phase.<sup>2</sup> Each microdroplet can be the site of a gelation reaction forming microparticles.<sup>1, 3-5</sup> In this paper, we explore the generation of monodispersed hydrogel microparticles for potential applications in medical and biological fields.<sup>6</sup>

Alginate is a well-known biopolymer obtained from brown algae. It has been widely applied in drug delivery and tissue engineering due to its biocompatibility, low toxicity, nonimmunogenicity, relatively low cost, and simple gelation with divalent cations such as  $\text{Ca}^{2+}$  (covalent cross-linking). Alginate is a linear polysaccharide containing varying amounts of 1,4-linked  $\beta$ -D-Mannuronic acid and  $\alpha$ -L-Guluronic acid residues arranged in a block-wise manner along the backbone. The composition and residue sequence vary depending on the source. Seeking to control the mechanical and/or swelling properties of alginate gels, researchers have investigated covalent cross-linking with different types of molecules and cross-linking densities. The purpose of our study was to produce microparticles with size (8-10 $\mu$ m) and mechanical properties (E=26±7kPa  $^9$ ) similar to red blood cells (RBCs).

Calcium alginate (Ca-alginate) was chosen for its mechanical properties.<sup>8</sup> Alginate forms hydrogel by simple gelation with divalent cations, such as calcium cation (Ca<sup>2+</sup>). However, direct mixing of a calcium solution with an alginate solution in a microfluidic chip gives rise to instant gelation, likely resulting in clogging and non-uniform microdroplet formation<sup>1</sup>. To

overcome this, other approaches were proposed in the literature to provide calcium (a cross-linker):

- (1) dissolution of solid particles: internal gelation when solid particles of calcium carbonate are in the dispersed alginate phase, or external gelation when solid particles of calcium acetate are in the continuous phase<sup>3</sup>;
- (2) chelation of calcium and its controlled release in the microdroplet via acidification<sup>1</sup> or exchange of ligands<sup>4</sup>;
- (3) injection of a buffer to delay gelation, acting as a barrier to delay calcium diffusion but requiring a complicated microfluidic chip with up to 7 inlet channels and with a risk of clogging. Thus, in situ gelation using a microfluidic method remains an issue. We develop a simple 3-step alginate microparticle synthesis. First, sodium alginate (Na-alginate) microdroplets of the desired size and concentration are produced without using surfactant. Second, Na-alginate microparticles are obtained by microdroplet shrinkage via water extraction by dimethyl carbonate (DMC). Third, ex situ cross-linking is performed with a calcium chloride (CaCl<sub>2</sub>) solution, which yields Ca-alginate microparticles. Here, unlike most approaches where particle size is controlled exclusively by channel size , the final size is controlled by channel diameter, chemical composition of the continuous phase, initial Na-alginate concentration ( $\mathbf{C}_i$ ), ratio ( $\mathbf{k}$ ) of flow rates between the continuous phase and the dispersed phase and total flow rate ( $\phi_{TOT}$ ) of the two phases.

#### Materials and methods

#### **Chemical materials**

Sodium alginate (I3G80), dimethyl carbonate (ReagentPlus 99%, CAS number 613-38-6,) and calcium chloride (22 317.297) were obtained from Alliance Gums and Industries, SIGMA-ALDRICH and PROLABO, respectively. All of these reagents are used without further purification.

#### Principle of droplet-based microfluidic system with DMC

A droplet-based microfluidic system is constructed (Fig.1) using commercially available polyether ether ketone (PEEK) junctions and Teflon-like tubing (IDEX Health and Science) with inner diameter (ID) 150µm, initially designed for high-performance liquid chromatography (HPLC) systems. These two materials were previously tested in our group and proved to be compatible with almost all solvents, showing excellent resistance and no solvent evaporation.<sup>10</sup>

This droplet-based microfluidic system is used to generate hydrogel microparticles dispersed in a flow of continuous phase. We use an aqueous Na-alginate solution (concentration varying over 3 orders of magnitude from 0.006 to 1wt%) as the dispersed phase and dimethyl carbonate (DMC) as the continuous phase. Although these two phases are immiscible at room temperature, water still has a low solubility (3wt%) in DMC<sup>11</sup>, which ensures the slow exchange between DMC and water.

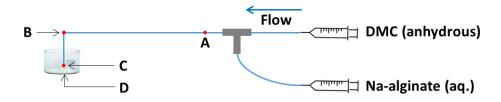


Figure 1: Diagram of the microfluidic setup where **A**, **B**, **C** and **D** are observation points after microdroplet generation, at the end of the channel, at the outlet of the channel and at the bottom of the collecting vial respectively.

#### Principle of shrinkage during generation and collection of microdroplets

Our approach is inspired by Rondeau and Cooper-White<sup>12</sup> who studied the formation of biopolymer microparticles using DMC as continuous phase. Along the microfluidic channel, water contained in Na-alginate microdroplets diffuses slowly into carrier fluid DMC (Fig.2). Consequently, the concentration of alginate in the microdroplets increases, assuming negligible diffusion of alginate in DMC.<sup>12</sup> Furthermore, this mechanism implies that the desired microparticle size and concentration can be obtained without using low ID channels or injecting a highly concentrated alginate solution which would be viscous. Note that both of these conditions complicate the procedure, with the risk of blocking microdroplets. Finally, shrunk microdroplets are collected in a DMC-containing vial, where water extraction continues. Because of water extraction by DMC, Na-alginate microdroplets generated in the microfluidic channel shrink, becoming Na-alginate microparticles. After drying, alginate crosslinking is realized simply by adding an aqueous CaCl<sub>2</sub> solution, forming Ca-alginate microparticles. Figure 3 shows optical micrographs of microdroplets/particles at different observation points during the experiment.

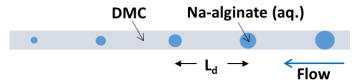


Figure 2: Schematic illustration of the shrinkage of microdroplets in DMC along the microfluidic channel where  $\mathbf{L}_d$  is the distance between 2 successive microdroplets.

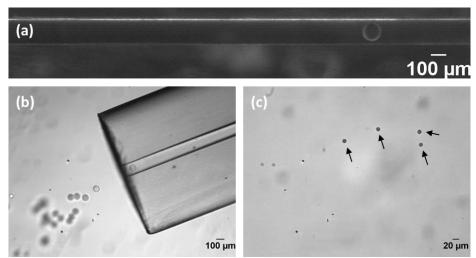


Figure 3: Optical micrographs of Na-alginate microdroplets ( $C_i$ =0.06wt%) in DMC in a channel (ID 150 $\mu$ m) (a) after generation (point **A** in Fig.1) and (b) at the outlet of the channel (point **C** in Fig.1) during collection in DMC. (c) Na-alginate microparticles (d=11.7 $\mu$ m±1.9%) in DMC, after complete shrinkage, at the bottom of the collecting vial (point **D** in Fig.1).

#### **Characterization of microparticles**

#### - Scanning electron microscope

The topology and inner structure of microparticles are observed by scanning electron microscope (SEM, JEOL 6340F), with a low acceleration voltage of 1kV and without coating.

After drying at room temperature in air, microparticles are fixed to the bottom of a recipient. They are then transferred to a copper sample plot with carbon tape. This step may break some microparticles, revealing the inner structure. A razor blade is also used to cut microparticles to observe the inner structure.

#### - Microgrippers

The mechanical properties of microparticles are first evaluated using microgrippers (FT-G 102 Force Sensing Microgrippers from FemtoTools). Alginate microparticles, seated in a glass petri dish filled with aqueous solution, are observed with a transmission optical microscope.

#### - Atomic force microscope

The mechanical properties of microparticles are also characterized by measuring the Young's modulus using a NanoWizard atomic force microscope (JPK, Bruker, Santa Barbara, CA, USA). The experiment involves the use of a V-shaped cantilever, an MLCT-Bio-DC(C) (Bruker, Santa Barbara, CA, USA) with a pyramidal probe and a spring constant of 0.01 N/m. Microparticles are deposited on a surface and observed via an inverted optical microscope coupled AFM.

#### **Results and discussion**

#### In-channel microdroplet shrinkage

We discuss here in detail the shrinkage of spherical microdroplets in the microfluidic channel. For each microdroplet, water diffuses both left and right in the channel (Fig.4 (a)). To facilitate the calculation, we consider that water diffuses exclusively in one direction, either left or right (Fig.4 (b)), until DMC is saturated.

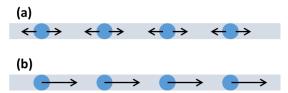


Figure 4: Illustration of water diffusion (a) in reality and (b) for simplified calculation during the extraction by DMC in the channel.

Thus, the quantity of DMC in the volume between two microdroplets  $(V_d)$ , related to the distance between two microdroplets  $(L_d)$ , determines the maximum quantity of water that can be extracted from one microdroplet. For a microfluidic channel of inner diameter d,  $V_d$  is calculated with the equation (1).

$$V_d = L_d \times \pi(d/2)^2 \qquad (1)$$

Obviously, given the limited solubility (3wt%) of water in DMC, a certain  $L_d$  (or  $V_d$ ) is required to achieve the desired decrease in diameter (or volume) of microdroplets.

We demonstrate here a method of estimating the required  $\mathbf{L}_d$  to obtain a given reduction in diameter after shrinkage of microdroplets of initial diameter  $\mathbf{d}_i$ . Because the diffusion of Naalginate in DMC is negligible<sup>12</sup>, the decrease in microdroplet diameter (or volume) is only due to the loss of water extracted by DMC. The desired diameter is attained when DMC is saturated by water. This equilibrium state is described by equation (2) indicating that the volume of water diffused to DMC determines the reduction in microdroplet volume  $\mathbf{R}_v(\%)$ :

$$V_d \times 3\% = (4/3)\pi(d_i/2)^3 \times R_v(\%)$$
 (2)

As a first approximation, we assume that microdroplets are generated with an initial diameter  $\mathbf{d}_i$  close to the channel ID ( $\mathbf{d}_i \approx \mathbf{d}$ ). Then by combining equation (1) and (2), we get equation (3)

showing the ratio between required microdroplet distance  $\mathbf{L}_d$  and initial microdroplet diameter  $\mathbf{d}_i$ .

$$L_d/d_i \approx \frac{2}{9} R_v$$
 (3)

As an example, there is a decrease in diameter of 90% from microdroplets with diameter 150 $\mu$ m to microparticles with the same diameter range as RBCs (e.g. 15 $\mu$ m). This corresponds to a decrease in volume  $R_v$  of 99.97%. Therefore, according to the theoretical calculation (3), the ratio  $L_d/d_i$  required is:

$$L_d/d_i \approx 22.2$$
 (4)

#### Operating parameters required for desired microdroplet diameter

The channel containing microdroplets and DMC is observed at points **A** and **B**, situated at 5cm and 63cm respectively from the outlet of T-junction (Fig.1).

#### Controlling the distance between microdroplets L<sub>d</sub>

Experiments are conducted to study the generation of microdroplets at point **A**, by varying the flow rates of dispersed phase  $\phi_D$  and continuous phase  $\phi_C$  (Fig.S1 in the supplementary material).  $\phi_D$  is varied from 0.001 to 0.3  $\mu$ L/s and  $\phi_C$  from 0.01 to 0.6  $\mu$ L/s. For each pair ( $\phi_D$  and the corresponding  $\phi_C$ ),  $\mathbf{L}_d$  is measured at point **A**. Each pair corresponds to a given ratio  $\mathbf{k}$  ( $\phi_C/\phi_D$ ). Regarding the total flow rate  $\phi_{TOT}$  ( $\phi_D+\phi_C$ ), our pairs are divided into two groups with low and high values of  $\phi_{TOT}$ . Plotting  $\mathbf{L}_d/\mathbf{d}_i$  versus  $\mathbf{k}$  for each range of  $\phi_{TOT}$  (Fig.S2 in the supplementary material) shows an increase in  $\mathbf{L}_d/\mathbf{d}_i$  with  $\mathbf{k}$ , with a linear relationship and slope depending on  $\phi_{TOT}$ . This result is consistent with our previous findings on the influence of  $\phi_D/\phi_C$  (i.e.  $\mathbf{1/k}$ ) and  $\phi_{TOT}$  on microdroplet diameter  $\mathbf{d}_i$ .<sup>2</sup>

Finally, the fitting equation obtained for each value of  $\phi_{TOT}$  gives the value of k required to reach  $L_d/d_i$ =22.2 (Eq. (4)), corresponding to a volume reduction of 99.97% (crosses in Fig.S2 in the supplementary material). This means that with a low and a high total flow rate, a value of 21 and 38 for k respectively ensures a volume reduction of 99.97%. Moreover, assuming a maximum reduction of volume  $R_v$ <100% for the final microparticle, equation (3) demonstrates that this  $R_v$  is attainable with  $L_d/d_i$ <22.3. This is why the fitting curves are stopped at  $L_d/d_i$ <22.3. However, loss of water takes time and the microdroplet moves forward in the channel during this time. Therefore, the length of channel required to reach the final diameter depends on flow rates and is discussed below.

#### - Influence of residence time on shrinkage rate

For a given  ${\bf k}$ , microdroplets are observed at points  ${\bf A}$  and  ${\bf B}$ . Figure 5 shows optical micrographs of microdroplets at point  ${\bf B}$  obtained for  $\phi_{TOT}$  varying from 0.1 to 0.2  $\mu$ L/s, thus between the two  $\phi_{TOT}$  ranges described above (see fitting curves). Because of  ${\bf k}$  values (20, 30 and 40),  ${\bf L}_{d}$  is too large to be photographed for measurement. Nevertheless, we observed microdroplet shrinkage for all three values of  ${\bf k}$ . According to optical micrographs taken at points  ${\bf A}$  and  ${\bf B}$ , the volume of microdroplet reduces 17%, 71% and 99% respectively for  ${\bf k}$  of 20, 30 and 40. Thus, microdroplet shrinkage or water loss increases with  ${\bf k}$ . Moreover, the significant shrinkage for  ${\bf k}$ =40 is confirmed by observation of microdroplets at different points along the channel (between  ${\bf A}$  and  ${\bf B}$ ) in Figure S3 of the supplementary material. The final diameter of microparticles is about 10 $\mu$ m, which is in the same range as RBCs.

However, assuming  $L_d/d_i$ =22.2 (eq. (4)) for  $R_v$  of 99.97%, i.e. a diameter reduction of 90% (crosses in Fig. 5), experiments should lead to a diameter reduction of around 50%, 80% and

almost 100% for  $\bf k$  of 20, 30 and 40, respectively. Obviously, the shrinkage rate at point  $\bf B$  is lower in reality than that calculated. This is probably due to the insufficient residence time  $\bf \tau$  of microdroplets in the channel between points  $\bf A$  and  $\bf B$ , which limits the loss of water. Moreover,  $\bf \tau$  decreases with  $\phi_{TOT}$  since the distance between  $\bf A$  and  $\bf B$  is fixed. Therefore, we can either increase the channel length or continue the shrinkage by collecting microdroplets in DMC.

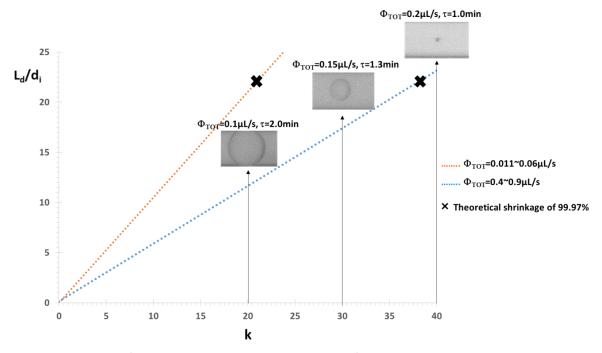


Figure 5: Fitting of  $\mathbf{L}_d/\mathbf{d}_i$  versus  $\mathbf{k}$  for two range values of  $\phi_{TOT}$  from figure S2 with observations of microdroplets at point  $\mathbf{B}$  (63cm from T-junction outlet, Fig.1) for  $\phi_{TOT}$  from 0.1 to 0.2 $\mu$ L/s. Markers (×) correspond to theoretical shrinkage of 99.97% in microdroplet volume, i.e. 90% diameter reduction ( $\mathbf{L}_d/\mathbf{d}_i$ =22.2).  $\tau$ : Residence time of microdroplets in the channel. (Initial Na-alginate concentration  $\mathbf{C}_i$ =0.02wt%, channel length  $\mathbf{L}$ =70cm.)

However, a long channel causes instability of fluids probably because of the pressure drop. For instance, microdroplets at the end of the channel for k=40 and  $\phi_{\tau\sigma\tau}$ =0.205 $\mu$ L/s are observed to approach each other, forming aggregations (Fig S4 of the supplementary material). They flow together towards the outlet, despite the fact that they start out relatively far from each other due to the high value of  $L_d$ . Actually, because of shrinkage, when microdroplet diameter becomes too small compared to channel ID, microdroplets can then move towards the inner wall of the channel and may be affected by contamination there. According to our experience, a clean channel is key to avoiding the aggregation of microdroplets. Thus, careful cleaning of the channel with ethanol before experiments is highly recommended, especially when a high k is required. Contrastingly, for low values of k, the main risk is coalescence of microdroplets when leaving the channel. This can be prevented by gentle hydrodynamic agitation. Thus, in addition to the careful choice of k, certain operating techniques are also important in achieving desired microparticle size.

# - Shrinkage during collection: from microdroplets to microparticles of Na-alginate When collected in DMC, Na-alginate microdroplets continue to shrink and finally form spherical Na-alginate microparticles. Initial values of microdroplet diameter (d<sub>i</sub>) and Na-

alginate concentration ( $C_i$ ) are varied to study their effect on the final values of microparticle diameter ( $d_f$ ) and concentration ( $C_f$ ) after collection.

For the experiments in Figure 6, microdroplets of  $\mathbf{d}_i$  from 110 to 220 $\mu$ m are generated with  $\mathbf{C}_i$  of Na-alginate solutions varying from 0.006 to 1wt%. Figure 6a shows that  $\mathbf{d}_f$  increases with both  $\mathbf{C}_i$  and  $\mathbf{d}_i$ . Similar results were previously found for porous microparticles of Napoly(styrenesulfonate) formed by inkjet injection<sup>13</sup>. In our study, for each  $\mathbf{C}_i$ ,  $\mathbf{d}_f$  versus  $\mathbf{d}_i$  is fitted by a power law. According to the fitting equations, the exponent is about 0.3-0.4 for all  $\mathbf{C}_i$ , whereas the constant factor increases with  $\mathbf{C}_i$  (Fig.6a). Since  $\mathbf{d}_f$  does not greatly vary with  $\mathbf{d}_i$  when  $\mathbf{C}_i$  is fixed, a mean value of  $\mathbf{d}_f$  is calculated for each  $\mathbf{C}_i$  and plotted versus  $\mathbf{C}_i$  (Fig.6b). This leads to another power law dependence. This curve enables the initial concentration of Na-alginate to be defined so as to obtain a given final microparticle size. It is noteworthy that spherical microparticles with a post-collection diameter  $\mathbf{d}_f$  of 7.7 $\mu$ m±3.4% are obtained with  $\mathbf{C}_i$ =0.006wt% (Fig S5 of the supplementary material), indicating that RBC size is attainable using this process.

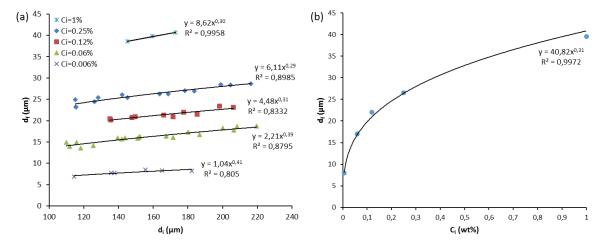


Figure 6: (a) The final microparticle size  $\mathbf{d}_f$  as a function of initial micropropert size  $\mathbf{d}_i$  for different Naalginate concentrations  $\mathbf{C}_i$  (0.006 to 1wt%); (b) Plot of the final microparticle mean diameter  $\mathbf{d}_f$  after collection versus the initial Na-alginate concentration  $\mathbf{C}_i$ .

In addition, the final concentration of Na-alginate ( $C_f$ ) in the microparticle after collection is estimated from initial and final volumes of the microdroplet ( $V_i$  and  $V_f$ ), considering that the quantity of Na-alginate in the microdroplet remains constant. Therefore, we assume as above that water diffusion from microdroplets to DMC is the only mass transfer. Then  $C_f$  is given by equation (5).

$$C_f = C_i \times (d_i/d_f)^3$$
 (5)

From data in Figure 6a and equation (5),  $\mathbf{C}_f$  is calculated for different  $\mathbf{d}_i$  and  $\mathbf{C}_i$  (Figure 7). It is obvious that  $\mathbf{C}_f$  depends drastically on  $\mathbf{d}_i$ , whatever the value of  $\mathbf{C}_i$ . Thus, a power law fits all the points without distinguishing  $\mathbf{C}_i$  values. Note that the fitting equation can be derived from those in Fig.6a (mathematical derivation in supplementary material). This curve enables the initial size of droplets to be defined so as to achieve a given final concentration of Na-alginate in microparticles. This final concentration is important, as it can affect the mechanical properties of the microparticles. Thus, the initial size of droplets controls the final

concentration of Na-alginate in microparticles, which in turn may control microparticle mechanical properties.

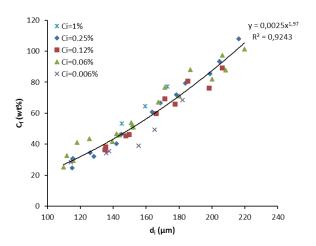


Figure 7: Relationship between the calculated final Na-alginate concentration  $C_f$  in the microparticle and the initial diameter  $d_i$  of droplets with different initial concentrations  $C_i$ , with the fitting curve of all data.

#### From Na-alginate microparticles to Ca-alginate microparticles

All the Na-alginate microparticles are observed to be soluble in water, which limits the chemical composition of media used in further research or applications. Thus, to optimize, we use a divalent cation, Ca<sup>2+</sup> in our case, for alginate gelation.

#### Formation of Ca-alginate microparticles by cross-linking with a CaCl<sub>2</sub> solution

After total evaporation of DMC, an aqueous  $CaCl_2$  solution is added to the collecting vial containing dried Na-alginate microparticles. The cation of calcium ( $Ca^{2+}$ ) induces cross-linking  $^{13}$ , thus transforming Na-alginate microparticles into Ca-alginate microparticles. Immediate swelling is observed when  $CaCl_2$  (0.5%, 1% or 10wt%) is added. The diameter of microparticles increases from 29.5 $\mu$ m±1.1% before cross-linking (dried microparticles obtained with  $C_{i=0.25}$ wt%, k=5 and  $\phi_{TOT}=0.06\mu$ L/s) to 33.5 $\mu$ m±1.5% after immersion and cross-linking (Fig.8 for 0.5wt% of  $CaCl_2$ ). The results are identical whatever the  $CaCl_2$  concentration, showing that it has no obvious effect, neither on the shape nor on the size of final Ca-alginate microparticles. Unlike Na-alginate microparticles, all the Ca-alginate microparticles are observed to be insoluble in water. This holds promise for future applications in water.

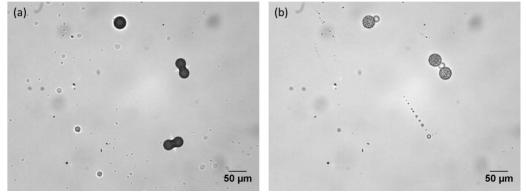


Figure 8: Images of (a) dried Na-alginate microparticles and (b) Ca-alginate microparticles after immersion in CaCl<sub>2</sub> solution (0.5wt%). Experimental conditions:  $\mathbf{C}_i = 0.25$ wt%, **DMC flowrate**=0.05µl/s and  $\mathbf{k}$ =5.

#### Characterization of Na-alginate and Ca-alginate microparticles

In addition to the optical microscope, the scanning electron microscope (SEM) is also used to observe the topology and the inner structure of microparticles. Furthermore, preliminary attempts to characterize microparticle mechanical properties are carried out using microgrippers.

#### **Topology of Na-alginate and Ca-alginate microparticles**

SEM images (Fig.9) show that both Na-alginate and Ca-alginate microparticles are spherical with a smooth surface. Ca-alginate microparticles are slightly smaller than Na-alginate microparticles, which seems incompatible with the swelling previously observed (Fig.8). This can be explained by the manipulating conditions under vacuum in SEM. Hence water remaining in the microparticle is extracted and quickly evaporates, causing a second shrinkage after production, until a new equilibrium is reached. This second shrinkage sometimes even causes deformation in the microparticle surface (Fig.S7(b) in the supplementary materials), depending on microparticle properties such as diameter and concentration. This is why, for both Na-alginate and Ca-alginate microparticles, the diameter measured by SEM is smaller than that measured by optical microscope. Moreover, according to SEM measurements, Na-alginate microparticles are larger than corresponding Ca-alginate ones, suggesting that Ca-alginate microparticles lose more water due to the vacuum.

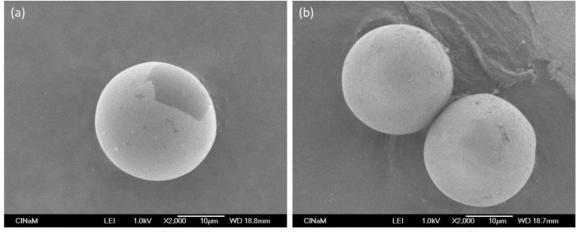


Figure 9: SEM photographs of (a) Na-alginate and (b) Ca-alginate microparticles (formed in a 0.5wt% CaCl<sub>2</sub> solution). (Same experimental conditions as for Figure 8)

#### Inner structure of Na-alginate and Ca-alginate microparticles

Observing a Na-alginate microparticle in DMC under optical microscope (Fig.S6 in the supplementary material) and a dried one under SEM (Fig.10 (a)) reveals a porous inner structure in both cases. Based on this observation, we propose the following mechanism of microparticle formation, in accordance with Yang et al.<sup>13</sup> First, after Na-alginate microdroplets are generated, water moves from the microdroplets to DMC. Within the microdroplets, this movement of water also induces the migration of alginate molecules towards the interface with DMC. As a result, 1) an outer shell of alginate forms gradually until it becomes so dense that water can no longer be extracted and therefore remains trapped inside; 2) several inner areas become vacant after the alginate migrates, which explains the observation of pores. Furthermore, the inner structure of Ca-alginate microparticles is also observed to be porous (Fig.10 (b) and Fig.S8), implying that cross-linking by calcium cation does not have an obvious influence on the inner structure of microparticle.

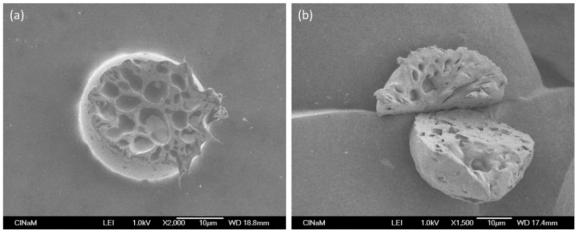


Figure 10: SEM photographs of the inner structure of a (a) Na-alginate and (b) Ca-alginate microparticle (formed in a 0.5wt% CaCl<sub>2</sub> solution). (Same experimental conditions as for Figure 8)

#### Mechanical properties evaluated by microgrippers

After drying, Na-alginate and Ca-alginate microparticles are grasped with force sensing microgrippers to estimate their mechanical properties. Using a 6D positioning system, the microgrippers are placed around the microparticle to be tested. Depending on the voltage supplied to the microgripper left arm (0-170V), the microparticle is grasped and the force sensor of the right arm provides applied force of up to  $250\mu N$ . This applied force combined with the optical observation gives access to the microparticle reaction to the compression. For the Na-alginate microparticle (Fig.11), we observed (Fig.11 (c)) slipping of the left gripper arm, which indicates a strong resistance to the maximum applied force of  $250\mu N$ . For both Na-alginate and Ca-alginate microparticles manipulated by microgrippers, no overall deformation was observed.

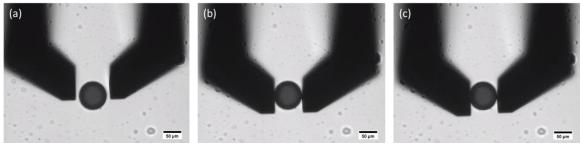


Figure 11: A dried Na-alginate microparticle between microgrippers during (a) approach, (b) contact and (c) force-controlled gripping.

#### Mechanical properties measured by AFM

The Young's moduli of both Na-alginate and Ca-alginate microparticles are measured by AFM. All measurements are carried out by applying the same force of 0.4nN, an approach velocity of  $2\mu$ m/s and a z length of  $1\mu$ m. An 8x8 force curve grid (points separated from each other by 2.5 $\mu$ m) is acquired in the center of the microparticle (Fig.12 (b)). Each point is measured 5 times to obtain 5 force curves/point.

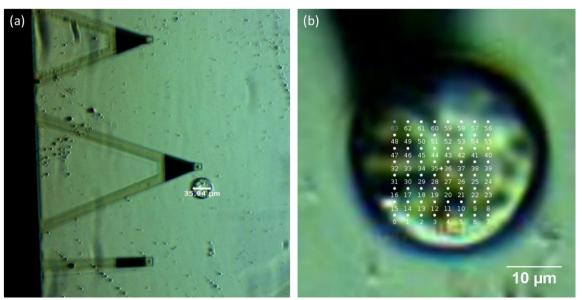


Figure 12: Image of (a) the cantilever used for the force measurement by AFM and a Ca-alginate microparticle in water and (b) an example of a force curve grid on a Ca-alginate microparticle, acquired during the experiment with the inverted optical microscope coupled with AFM.

An example of a force curve is shown in Figure 13. The vertical deflection of the cantilever, proportional to the force, depends on the distance between the AFM probe and the microparticle surface. During measurement, the AFM probe indents about 320nm on the surface (Fig.13), which is less than 1% of the diameter of Ca-alginate microparticles (35 $\mu$ m). Thus, the Young's modulus is measured at the surface of the microparticles.

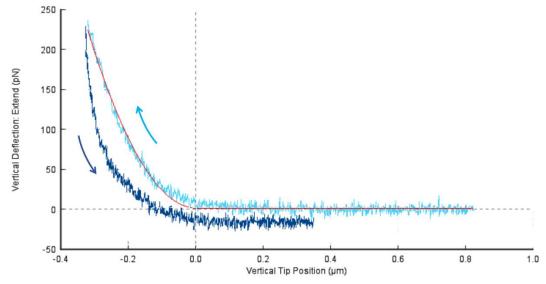


Figure 13: Example of a force curve on a Ca-alginate microparticle: curves relative to cantilever approach and retraction, indicated by arrows, are respectively in light and dark blue; the Hertz Model fit is in red. Zero vertical position shows the point of contact between cantilever and microparticle where no force is applied and the distance between cantilever and sample surface is zero. The negative values of the abscissa, in absolute value, are the indentation, which is the bead surface deformation.

Furthermore, we find that the Young's modulus of Na-alginate microparticles is of the order of MPa, whereas the Young's modulus of Ca-alginate microparticles is 47±43kPa. Obviously, there is a significant decrease in Young's modulus from Na-alginate microparticles to Ca-alginate microparticles. This is due to the swelling observed during the gelation process when

Na-alginate microparticles are immersed in CaCl<sub>2</sub> solution. Consequently, Ca-alginate microparticles contain more water than Na-alginate microparticles, reducing the Young's modulus.

Moreover, the Young's modulus of Ca-alginate microparticles (47±43kPa) is of the same order as that of RBCs (26±7kPa), where a similar indentation of 200nm is reported using a similar AFM probe. <sup>9</sup> It is notable that larger Young's modulus values have been found in pathological RBCs (e.g. 90±20kPa for erythrocytes with G6PD deficiency) <sup>9</sup> and in RBCs that have undergone specific treatments (e.g. 191±28kPa for formalin-treated cells) <sup>14</sup>. Nevertheless, the Young's modulus of Na-alginate microparticles (~MPa) remains beyond the latter range. Gelation is therefore required to effectively produce microparticles with mechanical properties close to those of RBCs.

On the other hand, we also find that the Young's modulus varies substantially depending on the measuring point on the microparticle surface (Fig.S10 in supplementary material). Moreover, this variation also depends on the individual microparticle, even though they are all prepared in the same way from the same experimental batch. This is actually reflected by the relatively high standard deviation in the results (E =  $47\pm43$  kPa). Variation in Young's modulus can be due to sample surface irregularities; however, this is not the case here, since the surface of both Na-alginate and Ca-alginate microparticles is smooth according to SEM photographs (Fig.9). Thus, we suggest that the variation may be caused by the microparticles' porous inner structure (Fig. 10 and 14). As shown in Figure 14, the thickness of the shell, defined as the space between the surface and the closest inner pore of the microparticle, varies greatly. Because of the heterogeneity of the porous inner structure, this thickness can be of the same order (500nm) as the indentation of the cantilever probe (320nm), or larger (in  $\mu$ m range). Consequently, it seems that the varying Young's moduli measured can be linked to varying shell thickness. However, further investigations would be required to validate this explanation.

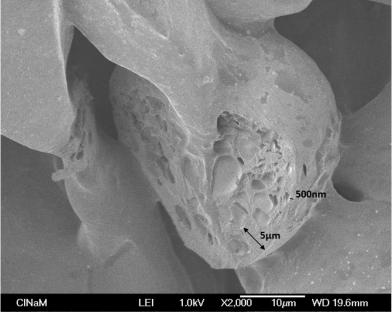


Figure 14: SEM photograph of the inner structure of a Ca-alginate microparticle.

#### **Conclusions and perspectives**

In this article, we present a microfluidic method for the production of monodispersed hydrogel microparticles of Na-/Ca-alginate with controllable sizes (micrometer range) and mechanical properties without using surfactant. Our ultimate aim is to produce microparticles that mimic red blood cells (RBCs). *Ex situ* gelation is carried out to realize the transformation from Na-alginate to Ca-alginate microparticles. Gelation proves essential to obtaining desirable microparticle properties, such as insolubility in water and RBC-like mechanical properties. For both Na-alginate and Ca-alginate microparticles, a smooth surface and a porous inner structure are observed under a scanning electron microscope. A platform of microgrippers is successfully developed to manipulate the microparticles. The Young's modulus, measured using an atomic force microscope on the surface of Ca-alginate microparticles, is of the same order as that of RBCs. Combined with size control to micrometer range, this confirms the feasibility of using Ca-alginate microparticles to mimic RBCs.

Interesting future perspectives include studying parameters such as the concentration of CaCl<sub>2</sub> and pH, to determine whether they have an impact on the mechanical properties of Caalginate microparticles. This would lead to better understanding and control of microparticle mechanical properties.

#### **Conflicts of interest**

There are no conflicts of interest to declare

#### Acknowledgements

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#### Supplementary Material for:

# Development of a microfluidic method for preparing monodispersed microparticles with controllable sizes and mechanical properties

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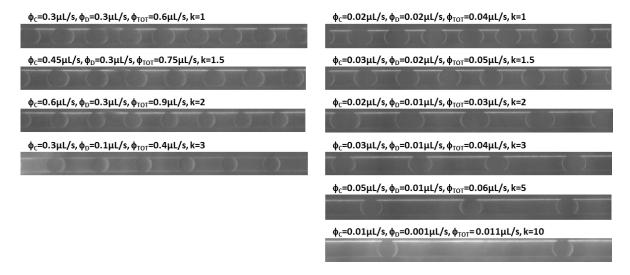


Figure S1: Observation of microdroplets generated in a channel (ID 150 $\mu$ m) under different conditions: flow rates, the ratio of flow rates **k** between continuous phase and dispersed phase, and total flow rate  $\phi_{TOT}$ .

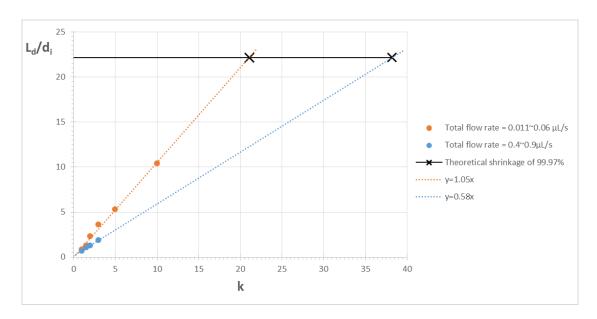


Figure S2: Estimation of  $L_d/d_i$  as a function of k from Figure S1. Crosses show the theoretical k for  $L_d/d_i$ =22.2, calculated with eq. (3), to obtain a shrinkage rate of 99.97% in volume for each total flow rate.

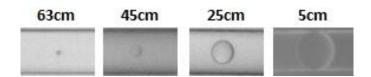


Figure S3: Observation of microdroplet shrinkage at different distances from the T-junction, for the following operational parameters:  $\mathbf{k}$ =40,  $\phi_{\mathbf{c}}$ =0.2 $\mu$ L/s,  $\phi_{\mathbf{p}}$ =0.005 $\mu$ L/s. Channel ID 150 $\mu$ m.

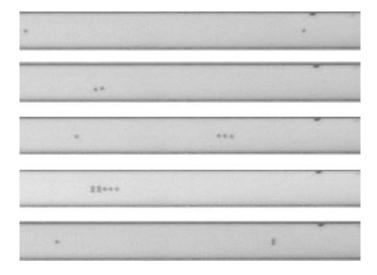


Figure S4: Observation of microdroplets at 63cm from the T-junction, for the following operational parameters:  $\mathbf{k}$ =40,  $\phi_c$ =0.2 $\mu$ L/s,  $\phi_D$ =0.005 $\mu$ L/s. Channel ID 150 $\mu$ m.

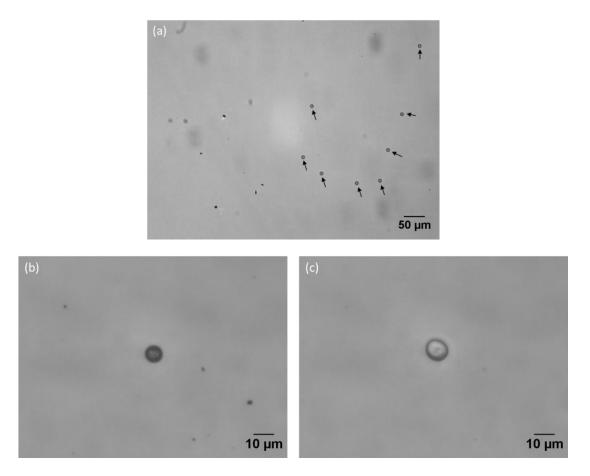


Figure S5: (a) alginate microparticles in DMC; (b) a dried alginate microparticle; (c) the same microparticle as in (b) immersed in  $CaCl_2$  (1.35wt%). Experimental conditions:  $\textbf{C}_i$ =0.006wt%, **DMC flow rate**=0.15 $\mu$ L/s, k=30, L=30cm.

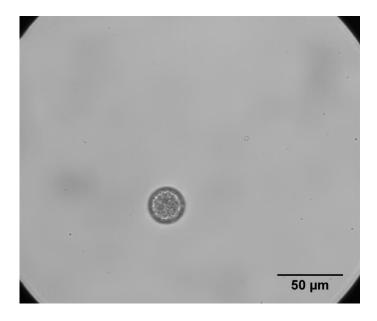


Figure S6: Optical micrograph of Na-alginate microparticle of Fig.8a in suspension in DMC.

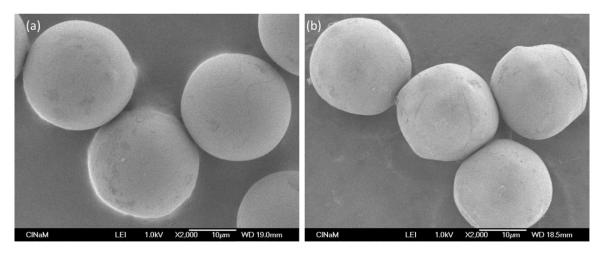


Figure S7: SEM photographs of (a) Na-alginate and (b) Ca-alginate microparticles (formed in a 0.5wt% CaCl<sub>2</sub> solution). Experimental conditions:  $C_i = 0.25$ wt%, L=19cm, DMC flow rate=0.15µl/s and k=50.

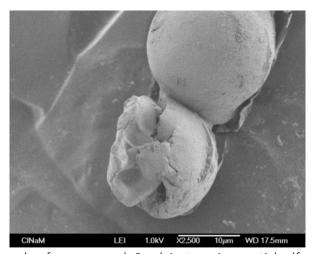


Figure S8: SEM photograph of a squeezed Ca-alginate microparticle (formed in a 0.5wt% CaCl2 solution). (Same experimental conditions as for Figure S7)

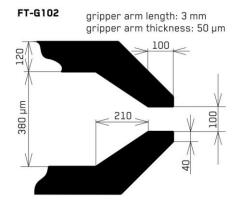


Figure S9: Dimension of the FT-G 102 Force Sensing microgrippers from FemtoTools official site (https://www.femtotools.com/products/ft-mta03/accessories/ft-g-force-sensing-microgripper).

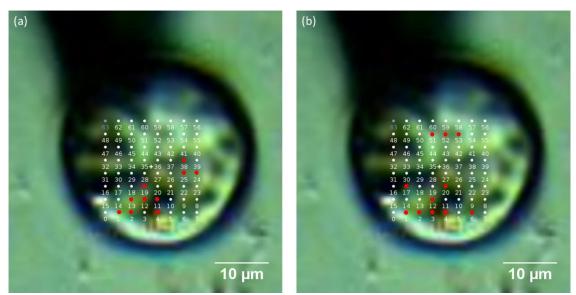


Figure S10: Images of force curve grid on two different Ca-alginate microparticles from the same experimental batch. Red points show the distribution of Young's modulus maxima.

Mathematical derivation of the fitting equation in Figure 7:

From Figure 6a, we have the fitting equation (1) of power law showing  $\mathbf{d_f}$  as a function of  $\mathbf{C_i}$  and  $\mathbf{d_i}$ .  $d_f = A_{Ci} \times d_i^{\alpha}$  (1)

Here, the constant factor  $A_{Ci}$  varies depending on  $C_i$ . The exponent  $\alpha$  is about 0.3-0.4. We apply it to equation (5) in the article.

$$C_f = C_i \times \left(\frac{d_i}{d_f}\right)^3 = C_i \times \left(\frac{d_i}{A_{Ci} \times d_i^{\alpha}}\right)^3 = \left(\frac{C_i}{A_{Ci}^3}\right) \times d_i^{3-3\alpha} \quad (2)$$

In our experiments,  $\left(\frac{C_i}{A_{Ci}^3}\right)$  varies from 0.001 to 0.005 and (3-3 $\alpha$ ) varies from 1.8 to 2.1.

Consequently, equation (2) corresponds well with the fitting equation shown in Figure 7:  $C_f = 0.0025 \times d_i^{1.97}$ .