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***Mycobacterium tuberculosis* Lineage 1 genetic diversity in Pará, Brazil, suggests common ancestry with East-African isolates potentially linked to historical slave trade**

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Abstract

Lineage 1 (L1) is one of seven *Mycobacterium tuberculosis* complex (MTBC) lineages. The objective of this study was to improve the complex taxonomy of L1 using phylogenetic SNPs, and to look for the origin of the main L1 sublineage prevalent in Para, Brazil. We developed a high-throughput SNPs-typing assay based on 12-L1-specific SNPs. This assay allowed us to experimentally retrieve SNP patterns on nine of these twelve SNPs in 277 isolates previously tentatively assigned to L1 spoligotyping-based sub lineages. Three collections were used: Pará-Brazil (71); RIVM, Netherlands (102), Madagascar (104). One-hundred more results were generated *in Silico* using the PolyTB database. Based on the final SNPs combination, the samples were classified into 11 clusters (C1-C11). Most isolates within a SNP-based cluster shared a mutual spoligotyping-defined lineage. However, L1/EAI1-SOM (SIT48, sp 40) and L1/EAI6-BGD1 (SIT591, sp 23) showed a poor correlation with SNP data and are not monophyletic. L1/EAI8-MDG and L1/EAI3-IND belonged to C5; this result suggests that they share a common ancestor. L1.1.3/SIT129, a spoligotype pattern found in SNPs-cluster C6, was found to be shared between Pará/Brazil and Malawi. SIT129 was independently found to be highly prevalent in Mozambique, which suggests a migration history from East-Africa to Brazil during the 16th-18th slave trade period to Northern Brazil.

Keywords: *Mycobacterium tuberculosis* complex, spoligotyping, Lineage 1, Whole Genome Sequencing, Single-Nucleotide Polymorphisms, molecular evolution.

1. Introduction

Insights from Whole Genome Sequencing (WGS) provided a classification of the *Mycobacterium tuberculosis* complex (MTBC) into seven main human-adapted lineages (Lineages 1-7) and has demonstrated a larger genetic diversity than expected (Coscolla and Gagneux, 2014). PolyTB (Coll et al., 2014b), a WGS web-database, is a powerful tool to investigate deep phylogenetic correlation among MTBC lineages based on 1,627 *M. tuberculosis* isolates, containing 74,039 (63% non-synonymous) high quality SNPs (Coll et al., 2014a). These markers are linked to spoligotypes, among which 62 allow to define a barcode typing system. Spoligotyping patterns, based on CRISPR-*locus* (Direct Repeat region) polymorphism, has previously revealed strong phylogeographical specificity (Brudey et al., 2006). The latest database release (SITVIT2) contains 111,635 isolates from 169 countries with a total of 9,658 patterns (Couvin et al., 2018).

The East-African-Indian (EAI) lineage was defined by a combination of characteristic spoligotype and VNTR patterns and was later encompassed within Lineage 1 (L1) (Sola et al., 2001), as it is considered an "*ancient*" lineage, phylogeographically restricted to East-Africa, South-Asia, South-East-Asia and the Philippines (Palittapongarnpim et al., 2018). L1 is likely to be among the most genetically diverse lineages and some of its sublineages have been associated to spoligotyping-patterns as shown in TB-MINER and SITVIT2, whereas others are not monophyletic (Azé et al., 2015; Couvin et al., 2018; Palittapongarnpim et al., 2018).

The issue of TB origin in Americas is a subject of interest for already many years. In Brazil, TB is likely to have first been imported and secondly spread through centuries of migration from Europe and Africa (Stelzig, 2008). Rio de Janeiro was a main entry port for millions of Africans during the slave trade and a study confirmed that African slaves came along with tuberculosis infections from Africa (Jaeger et al., 2013). A recent study carried out in Pará and elsewhere, has shown the existence of L1 sub lineages, which are rare in South America, including one named SIT129 (Conceição et al., 2017; Duarte et al., 2017). Since this

signature was previously found in Malawi and Mozambique, it could suggest an East-African origin of these isolates. However, the introduction of TB in Northern Brazil could also be related to other less ancient immigration events (Perdigão et al., 2018). To study the genetic diversity of L1 isolates in Para, we developed a multiplex SNP assay as a first step to a more extended WGS-based characterization of the L1 lineage.

2. Materials and methods

2.1 Strains and Study Population

The study includes 377 isolates from four collections of L1 isolates: Pará-Brazil (71); RIVM, The Netherlands (102), Madagascar (104); and 100 *in Silico* spoligotypes from WGS obtained from PolyTB: Malawi (64); UK, Midlands (19); The Netherlands (5); Russia and Vietnam (4 each); Uganda (2); Ghana and Tanzania (1 each).

2.2 Spoligotyping

Spoligotyping patterns were determined using a Luminex®200 device (Luminex Corporation, Austin, USA) as previously described (Zhang et al., 2010). PolyTB spoligotypes were constructed *in silico* using SpolPred (Coll et al., 2012).

2.3 SNP-typing

We included eight L1/EAI-specific SNPs as proposed previously. Four other SNPs linked to L1 also discovered using PolyTB, were added (Rv3056, Rv0944, Rv1317c and Rv1629) (Table S1). Dual-priming oligonucleotide (DPO) primers (Chun et al., 2007) were designed using Bioedit v7.0.5. The probes were designed manually, based on Wild-Type (Wt) and Mutant (Mut) alleles for each SNP, with a 5'-Amino-C12-linker moiety (Table S2). In total 24 oligonucleotides (12 Wt and 12 Mut) were coupled to a set of 24 MicroPlex® beads

by an Ethyl-Diethyl-Carbodiimide (EDC)-based chemistry (Luminex® Cookbook). For the validation of the PCR, we first performed single-plex experiments for each target and optimized the multiplex assay using a touchdown-PCR principle (Korbie and Mattick, 2008; Mokrousov et al., 2004) (Table S3). We assessed the allelic status of the targeted SNPs on the PCR product by direct hybridization (Abadia et al., 2010). In brief, 2µl of PCR product was added to a mix of 33µl (31.5 µl TMAC 1,5X and 1.5 µl of the final 24-plex microspheres mix with a final bead concentration of 35-50 beads/µl concentration per target) and 15µl Tris-EDTA (TE) pH=8. The hybridizing conditions were 95°C (10 min) and 52°C (20 min) using a thermocycler (BioRad-Alpha-Unit-Block, Mexico). Relative fluorescence Intensities (RFI) were read after SA-PE addition (Streptavidin-Phycoerythrin Lumigrade®, Roche Biochemicals, Pennsberg, Germany) and allelic status inferred. All results are shown in Table S4.

2.4 Bioinformatical Data-analysis

Data were exported from xPONENT® (version 3.1, Luminex Corp, Austin, TX) to Excel® spreadsheets (Microsoft, Redmond, USA) and imported into Bionumerics® v.6.0 (Biomérieux, Applied-Maths, Sint-Martens-Latem, Belgium). A Minimum Spanning Trees (MST) and dendrograms were produced (Figure 1 and 2).

3. Results and Discussion

Among the 12 SNPs detected, only nine were used in the final analysis (Table 1S). SNPs for Rv3915 and Rv3056 genes worked only in single-plex, whereas the other targets (Rv0524, Rv2707, Rv2907c, Rv2343c, Rv1326c, Rv3111, Rv3101c) worked well in the multiplex assay.

Based on nine SNPs included in the analysis, 11 clusters were observed (Figure 1 and Table S4), with most isolates gathered in a SNP-based sub lineage being also clustered within one spoligotyping sub lineage, showing a good correlation between SNP and spoligotype patterns (Figure 1 and Figure 2). The largest cluster, C5, gathered EAI8_MDG and EAI3_IND, which share a common ancestor within L1.1.2. Most EAI1_SOM were assigned to cluster C11; however, some were found in C2, C3, C9 and C10. C5 was shown to be linked to C7, and C6 to C8, defining L1.1.2 and L1.1.3, respectively. Clearly EAI1_SOM/SIT48, EAI6_BGD/SIT591 and EAI5/SIT236 (ancestor type) are not monophyletic, a result independently obtained by previous investigators (Palittapongarnpim et al., 2018; Rahim et al., 2007). The formerly defined EAI6_BGD1 was mainly included in C6, and these isolates were derived from Brazil, Malawi and the Netherlands (Table S4) but are also found South-East Asia. A recent WGS-based study found 18 sublineages among 480 L1 isolates in Northern Thailand, all included into three major sublineages: i) L1.1 which gathers L1.1.1, L1.1.2 and L1.1.3 (including EAI6_BGD1); ii) L1.2, that includes L1.2.1 (EAI2_PHL and EAI2_NTB) and iii) L1.2.2. This study also unraveled monophyletic SITs from polyphyletic ones (SIT236, SIT48, SIT591) (Palittapongarnpim et al., 2018). Based on the previous knowledge on spoligotypes, SNPs and the latest Thailand WGS study (Coll et al., 2014b; Comas et al., 2015, 2009; Ferdinand et al., 2005; Mallard et al., 2015; Palittapongarnpim et al., 2018; Stavrum et al., 2014; Viegas et al., 2015) we suggest an improved definition of L1 sublineages with at least 6 sublineages (Table S5).

Brazil's population was established through a 300 years slave trade history, in relation to the development of the first sugar cane industry in the Northern and North Eastern regions of Brazil, that lasted from the mid-sixteenth century to the mid-nineteenth century. Slaves were coming from territories known today as Guinea, Angola, Mozambique, Nigeria and more. We suggest that this slave trade has played a major role in shaping today's MTBC L1

population structure observed in North Brazil. Most likely this population structure should be quite different from that found in South Brazil, where a later migration from Europe occurred.

SIT129 is rarely detected in Portugal and Brazil but it is of a great epidemiological significance in two places: (1) the coastal region of Beira and Sofala/Mozambique, a region recently investigated (Anselmo et al. 2019, unpublished results), and (2) in Northern Malawi. Malawi is an English-speaking country that welcomed 250,000 refugees of Mozambican nationality in the 90s, a potential origin of the outbreak in Malawi (Mallard et al., 2015; Toole and Waldman, 1993).

WGS of isolates from Pará and Mozambique is now in progress, to ascertain our scenario, and to compute the estimated divergence time on various isolates harboring SIT129, whose origin, whether purely African or “*back to Africa*” (with an Asian origin) also remains to be unraveled.

5. Conclusions

Based on a 9-SNPs combination analysis, 377 EAI samples were classified into 11 clusters (C1-C11), showing a good correlation between SNP phylogeny and spoligotyping profiles. However, for EAI1-SOM (SIT48), EAI5 (SIT236) and EAI6-BGD1 (SIT591), there is a poorer correlation with SNP data, showing that these signatures cover polyphyletic sublineages. Our results also suggest that EAI8_MDG and EAI3_IND are evolutionary linked and belong to L1.1.2. Additionally, a common spoligotype among isolated from Pará/Brazil, Malawi and Mozambique (SIT129) and common SNPs in the respective isolates from Pará and Malawi suggests a shared evolutionary history, possibly due to transmission during the slave trade from Mozambique-Malawi region in the Northern region of Brazil, and more recently from Mozambique to Malawi, spread by refugees.

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Abbreviations

DPO: dual-priming oligonucleotide; DR: Direct Repeat; EAI: East-African-Indian; Lineage 1 (L1), MTBC: *Mycobacterium tuberculosis* complex; Mutant: Mut; SA-PE: Streptavidin-Phycoerythrin; SIT: Shared International Type; SNPs: single-nucleotide polymorphisms; TE: Tris-EDTA; WGS: whole-genome sequencing; Wild-Type: Wt.

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Authors' Contributions

Conceived the project: ECC, HGM, CS, PNS, KVBL and RSD; designed the experiments: CS, ECC, GR and HGM; provided samples and informations: MLP, KVBL, FC, NHR, VRR, DS, LR, SG and VRB; designed probes and primers: XOD and ECC; provided reagents and equipments: CS and GR; performed experiments: ECC and HGM; performed data analysis:

ECC, CS, GR and CS; supervising: CS, GR, RSD and KVBL; wrote the manuscript: ECC, CS and GR. All authors have revised the manuscript.

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List of Figures and Tables

Figure 1. A Minimum Spanning Tree (MST) based on nine SNPs that allow classification of the L1 lineage isolates from the present study in 11 clusters (C): C1 (n=15), C2 (n=18), C3 (n=10), C5 (n=107), C6 (n=89), C7 (n=3), C8 (n=4), C9 (n=15), C10 (n=43) and C11 (n=69). Clusters are colored according to spoligotyping-defined lineages.

Figure 2. Bionumerics® based phylogenetic tree computed on SNP-typing in association with spoligotyping and corresponding country of origin and WGS-based lineage names.

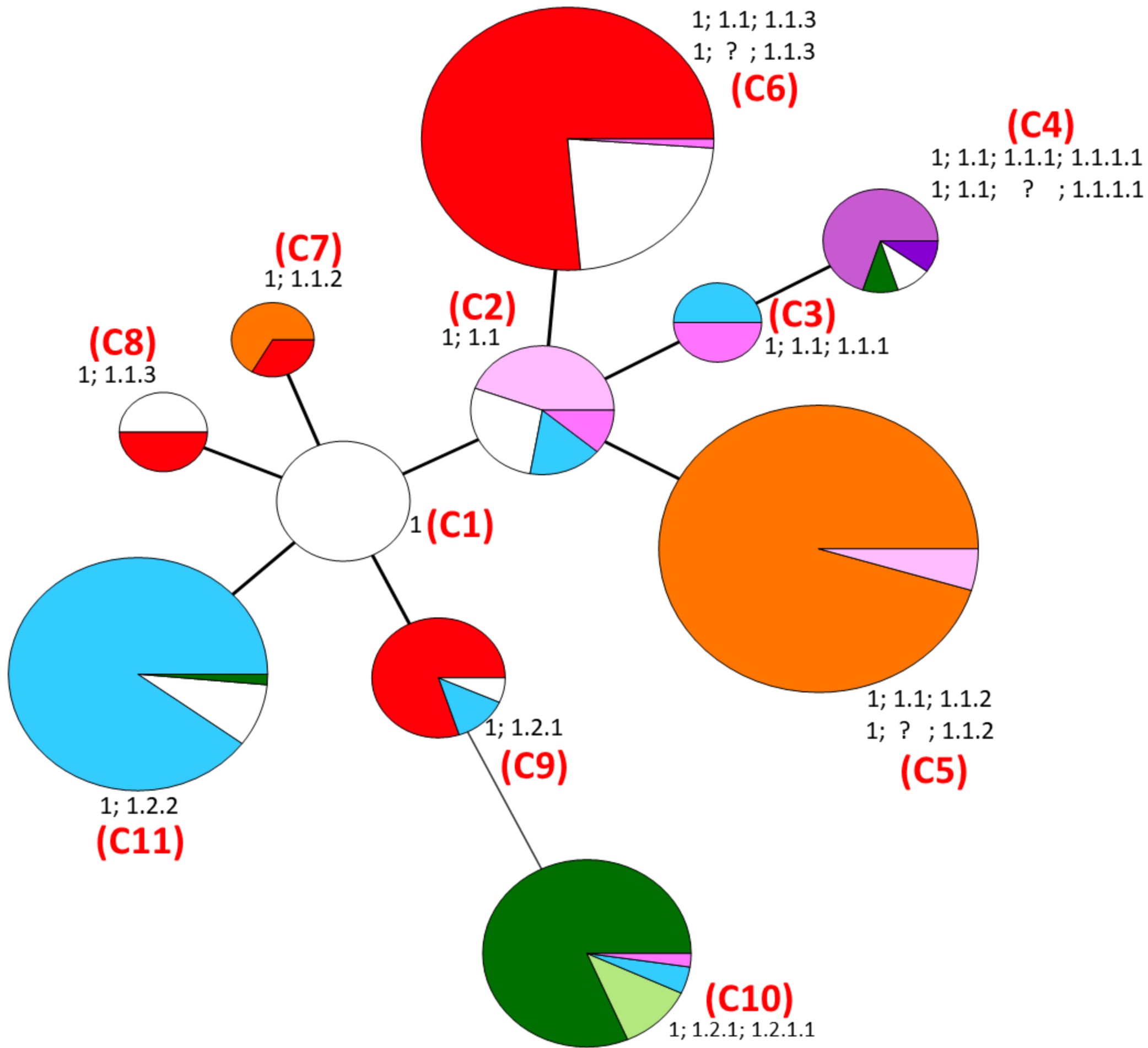
Table S1. SNPs designed in this study.

Table S2. Description of oligonucleotides and probes designed for SNP-typing.

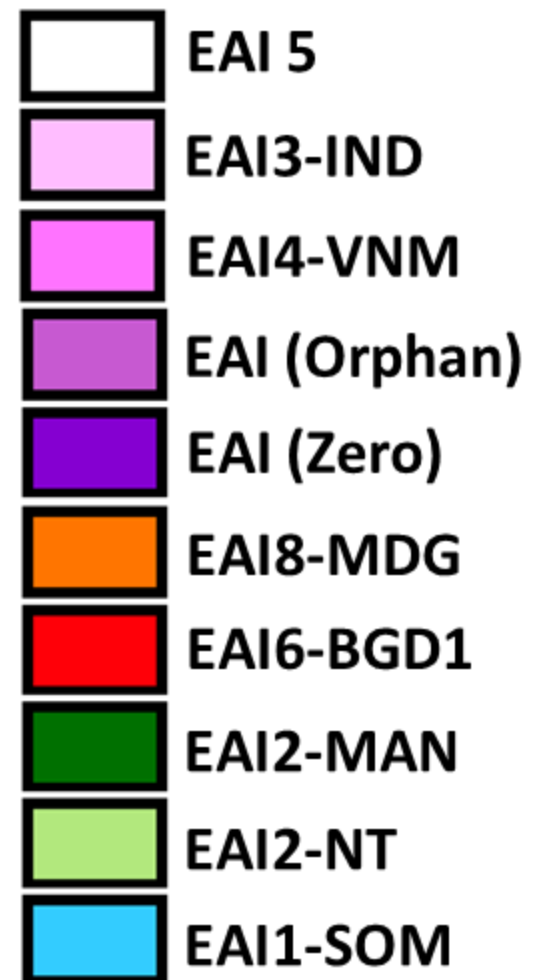
Table S3. PCR conditions using a touchdown-PCR principle.

Table S4. Total sample list and results (377) correlating spoligotyping and SNPs data.

Table S5. Suggested sub-classification of the L1 lineage obtained by combination of WGS, SNPs and spoligotyping from various studies.



SITVIT2 Lineages



Spoligotyping 43

SIT

SITVIT Lineage

SNPs Lineage

Collection

