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## **I** In vitro interaction and biocompatibility of titanate nanotubes with

2 <b>microglial</b>	cells
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- 15 Abstract

16 Titanate nanotubes (TiONts) are promising agents for biomedical applications. Microglial 17 activation and associated oxidative burst are major challenges in drug delivery applications 18 across the brain. Here, TiONts were designed for drug delivery systems by functionalizing them 19 with (3-aminopropyl) triethoxysilane (APTES), their interactions and biocompatibility were 20 studied *in vitro* using murine microglial BV-2 cells. TiONts-APTES exposure resulted in 21 increased ROS production and transient mitochondrial hyperpolarization. However, there was no indication of microglial proliferation in BV-2 cells as suggested by cell cycle analysis and cell count. The internalization process of TiONts-APTES into cells by endocytosis vesicles and passive diffusion were proved by transmission electron microscopy (TEM) with and without amiloride, the endocytosis inhibiting agent. In addition, the TiONts-APTES exhibited good biocompatibility on microglial BV-2 cells as revealed by the morphology and viability analysis.

Keywords: Titanate nanotubes; reactive oxygen species; microglial activation;
mitochondrial hyperpolarisation; lysosomal integrity; apoptosis

## 29 Introduction

30 The central nervous system (CNS), comprising the brain and the spinal cord, is a 31 highly protected organ, owing to the crucial functions it performs. Due to this fact, 32 biomedical interventions in the brain are hard to achieve. Nanoparticles being very 33 small in size can access otherwise protected sites in the body including blood brain 34 barrier and placenta (Kessler, 2011). With the advancement of nanotechnology, many 35 nanoparticles have been suggested as diagnostic and therapeutic modalities for 36 neurobiological applications. Titanate nanotubes (TiONts) are one such particle with a 37 needle-shaped morphology and surface chemistry (with hydroxides) which permit 38 further functionalization (Papa et al., 2015). Since their discovery by Kasuga et al. (1998), TiONts have been studied for a variety of applications (Bavykin and Walsh, 39 40 2010). Recently, applications of TiONts have turned to the biomedical field (Kijima, 41 2010) including dopamine detection (Niu et al., 2008), DNA transfection (Papa et al., 42 2013), bioimaging (Papa et al., 2011), drug delivery (Loiseau et al., 2017), and cancer 43 cell radiosensitization (Mirjolet et al., 2013, Mirjolet et al., 2017).

44 TiONts have been proposed for glioma therapy (Mirjolet *et al.*, 2013) in addition
45 to drug delivery and imaging applications (Boudon *et al.*, 2014). Moreover, it is

reported that TiONts can be used for the detection of neurotransmitters in the brain (Niu *et al.*, 2008). Though many neurobiological applications have been proposed for
TiONts, only a little information is available on the biocompatibility and cellular
interaction of these nanoparticles (Rihane *et al.*, 2016, Sallem *et al.*, 2017).

50 One of the main hurdles in the neuro-bio applications of nanoparticles includes 51 nanoparticle-mediated microglial activation. Microglia are major immune cells of the 52 brain which are involved in the scavenger functions and cytokine secretion. The 53 activated microglia can induce oxidative stress in the brain which leads to further 54 complications. In a recent study (Bussy *et al.*, 2015), it was reported that the carbon 55 nanotubes toxicity mainly comes from the microglial activation and oxidative stress.

In the present study, TiONts were synthesized by hydrothermal synthesis and 56 57 functionalized with APTES ((3-aminopropyl) triethoxysilane) for drug delivery 58 applications. APTES functionalization provides TiONts with additional amine functions 59 for drug molecule conjugation, making them better drug carriers. Moreover, APTES-60 functionalized TiONts exhibit better water dispersion and colloidal stability than bare 61 TiONts. The interaction and biocompatibility of fully characterized TiONts-APTES 62 with microglial cells were studied using microglial cells. BV-2 cell line was selected 63 because it is derived from normal murine microglia and has phenotypic features similar 64 to that of normal microglia (Blasi et al., 1990). The toxicological responses including 65 morphological alteration, reactive oxygen species (ROS) production, cell cycle alteration and cell death were studied using different bio-assays. 66

## 67 Methodology

## 68 Particle synthesis and characterization

## 69 Titanate nanotubes (TiONts) synthesis:

70 TiONts were prepared by a classical hydrothermal method in a basic medium 71 (Papa et al., 2009). TiO<sub>2</sub> rutile precursor powder (1 g) was added to a solution of NaOH 72 (10 M, 250 mL). The mixture was ultrasonicated (30 min, 375 W, Sonics Vibra-Cells) 73 and then transferred into a Teflon reactor with mechanical stirring and heating at 155 °C 74 for 36 h. After cooling, the precipitate was centrifuged (24,000×g for 10 min), dialyzed 75 four days (Cellu-Sep® tubular membranes of 12-14 kDa) and washed by ultrafiltration 76 (regenerated cellulose membranes with a MWCO of 100 kDa) with deionized water 77 until the conductivity reached  $2 \mu S \text{ cm}^{-1}$ . Finally, the TiONts were freeze dried.

## 78 Functionalization of TiONts with a stabilizing electrostatic agent (APTES):

Silane coupling agents present high reactivity with hydroxyl groups on the
surface of metal oxide materials. Therefore, TiONts were modified with APTES (in
large excess) *via* hydrolysis and condensation in a solution of water and ethanol (50:50
V:V) under magnetic stirring for 24 h to yield TiONts-APTES nanohybrids (Loiseau *et al.*, 2017). After the reaction, a part of the solvent was removed with a rotary evaporator
(80 °C; 250 mbar) then the resulting suspension was ultrafiltered (100 kDa) to eliminate
the unreacted APTES. Finally, TiONts-APTES were freeze-dried.

## 86 Nanoparticles characterization:

87

The TEM (Transmission Electron Microscopy) used was a JEOL JEM 2100

LaB6 microscope with an acceleration voltage of 200 kV and equipped with a high tilt pole-piece achieving a point-to-point resolution of 0.25 nm to study nanotube morphology and their agglomeration state. The samples were prepared by dropping a dilute suspension of powders on carbon-coated copper grids.

ThermoGravimetric Analysis (TGA, TA instrument, Discovery TGA) was used to determine the amount of grafted molecules on the surface of TiONts: water desorption and organic decomposition. The measurements were carried out under air atmosphere (25 mL min<sup>-1</sup>) with a heating rate of 10 °C min<sup>-1</sup> from 50°C to 800°C.

96 Specific surface area measurements were performed with a Micromeritics 97 Tristar II apparatus and were calculated with the BET (Brunauer Emmett Teller) 98 method ( $S_{BET}$ ) from N<sub>2</sub> gas adsorption. Samples were first outgassed *in situ* under a 99 pressure of 20 mTorr at 100°C (16 h) before N<sub>2</sub> adsorption/desorption cycles.

2 Zeta potentials (ζ-potentials) were measured with a Malvern Nano ZS 101 instrument supplied by DTS Nano V7.11 software. The pH of the suspensions was 102 adjusted from 3 to 11 by adding HCl or NaOH solutions. The Smoluchowski equation 103 was used for zetametry measurements. For each measurement, powders were dispersed 104 in 12 mL of aqueous NaCl solution (the final concentration of NaCl is  $10^{-2}$  M). pH 105 titrations were performed using aqueous solutions of HCl (0.1 M), NaOH (0.1 M), or 106 NaOH (0.01 M).

107 UV-visible absorbance measurements were carried out using Shimadzu UV-108 2550 at 600 nm. Stability measurements were made in PBS 0.1 M at pH = 7.4 and T =109 25°C (one measurement/5 min) during 120 min to analyze the colloidal stability.

The equipment of Fourier-Transform InfraRed spectroscopy (FT-IR) was a
Bruker Vertex 70v using OPUS version 3.1. FT-IR spectra were obtained from

transmission measurements of thin pellets which consist of 2 mg of nanohybrids powder
finely ground with dried KBr (198 mg) before being pressed into a pellet.

114 X-ray Photoelectron Spectroscopy (XPS) analyses were recorded by a PHI 5000 115 Versaprobe apparatus with a monochromatic Al  $K_{\alpha 1}$  X-ray source (energy of 1486.7 eV 116 with a 200 µm spot size, accelerating voltage of 12 kV, and power of 200 W). The 117 resolution was 2.0 eV for global spectra and 1.3 eV for windows corresponding to 118 selected lines. The powders were deposited on an indium sheet then pressed. 119 Photoemission peak areas were calculated after subtracting background using a Shirley 120 routine. The titanium 2p peak (458.7 eV) was used as reference and allowed the 121 correction of charge effects. Gauss (70%)-Lorentz (30%) profiles were used and data 122 were analyzed with CasaXPS software for curve fitting and MultiPak software for 123 quantitative analysis.

## 124 Cell culture and nanoparticles treatment

The BV-2 cells were cultured in RPMI medium with 10% heat inactivated fetal calf serum (FCS) and antibiotic/antimycotic solution as previously described (Nury *et al.*, 2017). For the nano-interaction studies, cells were seeded in appropriate seeding density and cultured overnight. The cells were exposed to TiONts-APTES for different times (6 and 24 h) at concentrations of 1, 5, 10, 20, 40 and 80  $\mu$ g/mL by diluting the stock solution (4 mg/mL).

## 131 Cytotoxicity and dose response: MTT assay

132 Cell viability was assessed as a function of mitochondrial activity using MTT (3-133 (4-,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay. Cells were seeded 134 in 12 well plates at an initial seeding density of  $5 \times 10^4$  and incubated overnight at 135 37°C, 5% CO<sub>2</sub>. After TiONts-APTES exposure, cells were harvested by centrifugation 136 at 200×g for 5 min and then incubated with MTT (0.05 mg/mL) for 3 h in the dark. The 137 formazan crystals formed were solubilized using 200  $\mu$ L DMSO and absorbance was 138 read at 540 nm. Final results are obtained by subtracting the absorbance of control wells 139 (without cells) from the absorbance of treated samples in order to avoid nanoparticles 140 interference in MTT results.

## 141 Cell morphology: Phase contrast microscopy

142 Cells were seeded in 12-well plates at an initial seeding density of 143  $5 \times 10^4$  cells/well. Cells were exposed to TiONts-APTES for 24 h. Cellular morphology 144 was assessed under a phase contrast microscope (Axiovert 40 CFL, Zeiss). Digital 145 images were taken with an Axiocam ICm1 camera (Zeiss).

## 146 Particle uptake:

#### 147 *Flow cytometry*

148 Uptake of TiONts-APTES by microglia was analyzed using flow cytometry 149 following the method of Suzuki et al. (2007). Particle uptake by cells leads to an 150 increase in granularity which is revealed by an increase in the side-scattered light 151 (SSC), while the forward-scattered light (FSC) remains the same. In this experiment, 152 cells were seeded in 6-well plates at an initial density of  $1 \times 10^5$  and kept overnight for 153 incubation. Cells were then exposed to 5, 20, and 80 µg/mL of TiONts-APTES for 6 h. 154 Cells, harvested by centrifugation, were resuspended in 500  $\mu$ L PBS and analyzed by 155 flow cytometry using a Dako/Partec/GALAXY flow cytometer (Partec, Münster, 156 Germany) equipped with a solid blue laser (50 mW) and controlled by 'FloMax' 157 software (version 2.4; Partec).

159

## 160 Transmission electron microscopy (TEM):

161 The mechanism of nanoparticles uptake by BV-2 cells was studied using TEM. 162 The cells were seeded at an initial density of  $1.175 \times 10^{5}$ /mL and incubated overnight. 163 Cells were subsequently exposed to 20 µg/mL of TiONts-APTES for 24 h without and 164 with 100 µM amiloride, a macropinocytosis inhibitor (added 1h prior to nanoparticles 165 exposure). At the end of treatment, cells were harvested and fixed for 1 h at 4 °C in 4% 166 paraformaldehyde and 2.5% of glutaraldehyde in Sorensen phosphate buffer (0.1 mM, 167 pH 7.3). After fixation, samples were washed by Sorensen phosphate buffer. The post-168 fixation treatment was realized with 1% osmium tetraoxide at room temperature for 1h. 169 Dehydration and resin impregnation of the samples were performed with a Leica EM 170 AMW automatic microwave tissue processor: dehydration was done by increasing 171 degrees of ethyl alcohol ( $50^\circ$ ,  $70^\circ$ ,  $95^\circ$ ,  $100^\circ$ ), the substitution of ethanol by resin was 172 progressively done by three absolute ethanol/Embed-812 resin mixtures (3/4, 1/2, 1/3 173 ethanol), followed by two impregnations in pure Embed-812 resin. The polymerization 174 of samples was performed with a mixture Embed-812/3% benzyldimethylamine 175 (BDMA, polymerisation catalyst) in gelatin capsule maintained for 48 h at 60 °C. 176 Blocks were cut on a Reichert ultramicrotome and slices (thickness of 70 nm) were 177 deposited on copper and palladium grid. After drying, grids were contrasted with uranyl acetate and lead citrate. TEM observations of cells were realized on a HITACHI H-178 179 7500 operating at 80 kV. For each test carried out, one grid per sample was observed, 180 which corresponds to a total of 30 to 50 cells. The photos presented are representative 181 of the cells observed by samples.

#### 182 Reactive oxygen species analysis

## 183 Superoxide radicals: DHE probe

184 Superoxide anions production in presence of TiONts-APTES was measured 185 using a cell permeable molecule, dihydroethidium (DHE; Life Technologies, St Aubin, 186 France). DHE, mainly upon reaction with superoxide anions  $(O_2^{\bullet})$ , forms a red 187 fluorescent product, 2-hydroxyethidium, which intercalates with DNA. The cells were seeded in 12-well plates at a density of  $5 \times 10^4$  cells/well and kept overnight in the CO<sub>2</sub> 188 189 incubator. After TiONts-APTES exposure, the cells were harvested by centrifugation. 190 The pellets were resuspended in 1 mL PBS containing 1.6 mM DHE and incubated for 191 15 min at 37°C. The cells were analyzed by Dako/Partec/GALAXY flow cytometer 192 (Partec) through a 590/10 nm band pass filter.

#### 193 Peroxide radicals: DHR123 probe

194 Hydrogen peroxide production in the presence of TiONts-APTES was estimated 195 using dihydrorhodamine 123 (DHR123, Sigma-Aldrich, USA). DHR 123 is an 196 uncharged and non-fluorescent molecule that can passively diffuse across plasma 197 membranes where it is oxidized to cationic rhodamine 123 by hydrogen peroxide 198 (H<sub>2</sub>O<sub>2</sub>) to give green fluorescence. The cells were seeded on 24 well plates at an initial 199 density of  $2 \times 10^4$  cells/well and exposed to 5, 20, 40 and 80 µg/mL of TiONts-APTES 200 for 6 and 24 h. The cells were harvested after centrifugation and resuspended in 1 mL 201 PBS. 2mM DHR123 were added to each tube at a final concentration of 6 µM and 202 incubated for 15 min at 37°C. Fluorescence were measured using 203 Dako/Partec/GALAXY flow cytometer (Partec) with a 520/20 nm band pass filter.

#### 204 Transmembrane mitochondrial potential ( $\Delta \Psi m$ ): DiOC<sub>6</sub>(3) probe

205 Alteration in transmembrane mitochondrial potential ( $\Delta \Psi m$ ) was analyzed using 206 a fluorescent probe: 3,3'-dihexyloxacarbocyanine iodide (DiOC<sub>6</sub>(3) (Life Technologies, 207 Molecular Probes).  $DiOC_6(3)$  is a cell-permeant, green-fluorescent, lipophilic dye, 208 which is selective for the mitochondria of live cells when used at a nanomolar 209 concentration. DiOC<sub>6</sub>(3) allows measuring  $\Delta \Psi m$ . The cells were seeded at an initial density of  $2 \times 10^4$  cells/well of 24 well plates and incubated overnight. They were 210 211 exposed to TiONts-APTES of concentration 1, 10, 20, 40 and 80 µg/mL for 6 h and 212 24 h. Cells incubated with 4% paraformaldehyde (in DPBS for 10 min at 37 °C) were 213 used as positive control. The cells washed in PBS were incubated with  $DIOC_6(3)$ 214 (0.1 µM in PBS) for 15 min at 37°C. The flow cytometry analysis was done using the 215 DAKO/GALAXY flow cytometer (Partec, Germany) with a 520/20 nm band pass filter.

## 216 Lysosomal membrane integrity: Acridine orange staining

217 Lysosomal destabilization in presence of nanoparticles was analyzed using 218 acridine orange (AO). AO is a lipophilic, cationic and metachromatic fluorochrome 219 capable of permeating cells and organelle membrane structure. Under a blue light 220 excitation, the dye differentially stains cytoplasm and acid vesicles (Pierzyńska-Mach et 221 al., 2014). In normal cells, the dye gets protonated inside acidic vesicles and emits 222 orange fluorescence whereas the cytoplasm remains green in color. When the cells have 223 altered lysosomes, leading to an alteration of the proton pump, the resulting 224 alkalinisation of the lysosomes is associated with a green/yellow fluorescence of these vesicles. The cells, seeded at an initial density of  $2 \times 10^4$  cells/well of 24 well plates, 225 226 were exposed to TiONts-APTES (5, 20, 40, and 80 µg/mL) for 6 h and 24 h. The cells, 227 harvested by direct pipetting, were washed with PBS and incubated in 1 mL AO

228	solution (2 $\mu$ g/mL in PBS) for 15 min at 37°C. The analysis was carried out using a	1
229	Dako/Partec/ GALAXY flow cytometer (Partec) with a 590/10 nm band pass filter.	

## 230 Plasma membrane integrity: Propidium Iodide (PI) staining

The plasma membrane integrity on TiONts-APTES exposure was analyzed by Propidium Iodide (PI) staining. The principle underlying the PI staining is dye exclusion by living cells (Lizard *et al.*, 1995). Cells seeded at an initial density of  $2 \times 10^4$ cells/well of 24 well plates were exposed to TiONts-APTES (5, 20, 40, and 80 µg/mL) for 6 and 24 h. Harvested cells were washed and stained with PI (1 µg/mL) for 15 min at 37°C. The flow cytometry analysis was done using Dako/Partec/GALAXY flow cytometer (Partec) with a 630 nm long pass filter.

## 238 Poly(ADP-ribose) polymerase (PARP) cleavage assay

The cells were seeded at an initial density of  $2 \times 10^4$  cells/well of 24 well plates and incubated overnight. The cells were exposed to 20 µg/mL and 80 µg/mL of TiONts-APTES for 24 h. Untreated cells were used as control. After treatment cells were harvested and centrifuged at 200×g, 4°C for 5 min.

## 243 Sample lysis and protein quantification

The cell pellets were transferred to fresh tubes and washed with 1 mL cold PBS. After discarding supernatant, the pellets were incubated with 80  $\mu$ L of RIPA buffer containing 1× anti-protease for 30 min on ice. The cell suspension was centrifuged at 12,000×g for 20 min at 4°C. Supernatant was collected in a fresh tube (this solution can be stored at -20°C for weeks and thawed at room temperature) and the pellet was discarded. The concentration of protein solution was measured by BCA (Bicinchoninic

- acid assay) with 2% copper sulfate solution. 25 µL of BSA was used as protein standard
- and concentration was calculated from the standard graph obtained.

## 252 Loading and running gel

The isolated protein was loaded in 8% polyacrylamide gel at a concentration of
70 µg/well. Electrophoresis was carried out for 2h (30 min at 70 V followed by 1.5 h at
120 V).

256 Gel to membrane transfer of protein

The polyvinylidene difluoride (PVDF) membrane was activated with methanol for 1 min and rinsed with transfer buffer. Gel stack was prepared by sandwiching the gel and PVDF membrane between filter papers and sponges and keeping them in transfer buffer for 1 h.

## 261 Antibody revelation by chemiluminescence

262 The protein attached membrane was blocked using 5% milk solution for 1 h at 263 room temperature and washed 3 times with PBST (PBS and Tween 20, 0.1%) for 5 min 264 each. It was transferred into a solution containing primary antibody (1:1,000) and 265 incubated overnight at 4°C. Antibody for actin (1: 10,000) was used as a standard. The 266 solution was washed 5 times with PBST (5 min each). The membrane was then incubated with secondary antibody (in 1% milk) for 1 h at 25°C and covered in a 267 268 transparent plastic wrap after removing excess reagent. The image was acquired by 269 chemiluminescence method.

## 270 Cell cycle analysis: propidium iodide staining

271 The changes in cell cycle patterns were analyzed by staining with propidium 272 iodide (PI) (Marel et al., 2008). In brief, the cells were seeded at an initial density of 273  $2 \times 10^4$  cells/well on 24 well plates and exposed to TiONts-APTES (5, 20, 40, and 274 80 µg/mL) for 24 h. Cells, harvested by direct pipetting, were washed with PBS. The 275 pellets were fixed using 1 mL cold 80% ethanol (kept at -20°C), by vortexing, to avoid 276 cell aggregation and kept at -20°C for at least 2 h (cells can be kept in this condition for 277 few days.). The cells were washed (350×g for 5 min) and resuspended in 300  $\mu$ L PBS containing 80 µg/mL PI and 200 µg/mL RNase. After 1h incubation at 37°C, the 278 279 solution was made up to 1 mL by adding 700 µL PBS and analyzed using flow 280 cytometry on a Dako/Partec/GALAXY flow cytometer (Partec) with a 630nm long pass 281 filter.

282

#### 283 Statistical analysis

All the experiments were done in triplicates and the values were expressed as mean  $\pm$  SD. Statistical comparison between the control and experimental values were done using one-way ANOVA test. In result, analyses with p<0.05 were considered as statistically significant.

288 **Results** 

## 289 Nanotubes synthesis, functionalization, and characterizations

TEM images were used to highlight the synthesis of TiONts after the hydrothermal treatment. They present a spiral-shaped morphology, with internal cavity (Figure 1a,b) and a high specific surface area ( $S_{BET} = 174 \pm 1 \text{ m}^2 \text{ g}^{-1}$ ). They are (10 ± 1) 293 nm in outer diameter and  $(170 \pm 50)$  nm in length.

294 The functionalization of TiONts with APTES (Figure 1e,f) seems to reduce 295 nanoparticles agglomeration and improve their dispersion in comparison to bare TiONts 296 (Figure 1c,d). The grafting ratio of APTES on the surface of TiONts was determined by 297 TGA (Figure 2). The amount of hydroxyl groups on the surface of bare TiONts was 298 calculated based on water weight loss from TGA curve. Indeed, the release of one 299 molecule of chemisorbed water involves the condensation of two hydroxyl groups. As 300 the weight loss below 175°C is due to physisorbed water (Sabzi et al., 2009), only the 301 weight loss related to chemisorbed water between 175 °C and 800 °C is taken into 302 account for hydroxyl and grafting ratio estimation. Consequently, a greater weight loss 303 is obtained after APTES grafting (Figure 2 and Table 1). The results show a hydroxyl density of about (10.6  $\pm$  1.5) OH.nm<sup>-2</sup> and APTES grafting ratio of (7.3  $\pm$ 304 0.5) APTES.nm<sup>-2</sup>. It should be noted that the specific surface area of TiONts decreases 305 after their functionalization with APTES (S<sub>BET</sub> =  $147 \pm 2 \text{ m}^2 \text{ g}^{-1}$ ). APTES may form an 306 307 envelope all around TiONts and hinder, at least partially, access to internal cavities.

308  $\zeta$ -potential measurements of TiONts-APTES indicated an isoelectric point (IEP) 309 at pH 8.5 (Figure 3). This value is greater than that of bare TiONts (IEP at pH 3.3) due 310 to the presence of amine functions (Paris *et al.*, 2015). Moreover, an inversion in 311  $\zeta$ -potential sign is also observed at physiological pH, where it is positive *ca.* + 10 mV 312 for APTES-functionalized TiONts and largely negative (*ca.* -25 mV) for bare TiONts.

313 X-ray Photoelectron Spectroscopy (XPS) analyses are realized to evaluate the 314 chemical composition of the surface of TiONts-APTES. Besides to the chemical 315 elements that are present in bare TiONts, some additional specific elements, such as 316 nitrogen and silicon, are also found in TiONts-APTES (Table 2), thus proving the 317 presence of APTES at the surface of nanotubes.

318 The FT-IR spectrum of TiONts-APTES proves the grafting of APTES molecule 319 onto the surface of TiONts (Figure 4). APTES characteristic bands are located at 320  $1000 \text{ cm}^{-1}$  (v Si-O-Si), 1100 - 1200 cm<sup>-1</sup> (v C-N) and 3250 cm<sup>-1</sup> (v NH<sub>2</sub>).

## 321 Cytotoxicity and dose response: MTT assay

After 6 h, the exposure to TiONts-APTES does not markedly alter cells viability, which is evaluated with the MTT assay by measuring the activity of succinate dehydrogenase, except for 80  $\mu$ g/mL treated wells. A statistically significant increase in absorbance (% control) is observed for 80  $\mu$ g/mL treated samples at 6h of exposure which subsided by 24h. The absorbance is significantly reduced in 20 (93. 80 ± 5.84 %), 40 (90.12 ± 4.17 %) and 80 (84.24 ± 4.12 %)  $\mu$ g/mL TiONts-APTES treated wells at 24 h of incubation (Figure 5a).

## 329 Cell morphology

The cellular morphology in presence of TiONts-APTES is evaluated using a phase contrast microscopy. The treated cells do not show any alteration in their morphology like membrane rupture, swelling, blebbing and/or cytoplasmic disintegration which are morphological features usually associated to cytotoxic effects. The cells size and morphology are similar to those of the negative control cells (Figure 6a).

336 Particle uptake

337 TiONts-APTES uptake by BV-2 cells is analyzed from the SSC changes in flow 338 cytometry. As shown in Figure 6b the TiONts-APTES exposed cells exhibit a dose-339 dependent increase in SSC (5  $\mu$ g/mL: 5.04% and 80  $\mu$ g/mL: 35%) compared to negative

340 (2.56%) and positive controls (12.5%) suggesting an internalization and/or an
341 interaction of nanoparticles with the plasma membrane.

342 To define whether the nanoparticles were internalized and to determine by 343 which mechanism – the passive (diffusion through the plasma membrane) or the active 344 one (endocytose) – they can accumulate inside the cells, the nanoparticles uptake was 345 studied by TEM. Cells are incubated with TiONts-APTES (20 µg/mL, 24 h), without 346 and with amiloride (100 µM), an endocytosis inhibiting molecule introduced in the 347 culture medium 1 h before nanoparticles (Figure 7). In TiONts-APTES-treated cells, the 348 presence of nanoparticles was revealed in large vesicles (average diameters of the 349 vesicles:  $0.85 \pm 0.32 \ \mu\text{m}$ ) in all the observed cells (Figure 7b,b1,b2). However, when 350 BV-2 cells were cultured with amiloride, only few cells with internalized nanoparticles 351 (around 10 %) were found: this data supports TiONts-APTES internalization mainly by 352 endocytosis (Figure 7c,c1). In these conditions, the interactions of nanoparticles with 353 the plasma membrane are however observed, and their diffusion through the plasma 354 membrane are detected (Figure 7c2,c3,c4). It is noteworthy that the size of the 355 endocytic vesicles in amiloride-treated cells ( $0.50 \pm 0.16 \mu m$ ) are smaller than those in 356 cells treated with nanoparticles only. Altogether, these data support that nanoparticles 357 enter in cells mainly by endocytosis and show that a passive diffusion does exist. In the 358 latter case, more individualized TiONts are observed into the cytoplasm and some of 359 them are closer to nucleus.

360 On the other hand, a change in the mitochondrial behavior is observed in cells 361 uptaking nanoparticles. Indeed, in the control cells, mitochondria are usually situated 362 near to nucleus, in the majority of cells, however, in contact with TiONts-APTES, many 363 mitochondria are located near the cellular membrane (Figure c2) or near the endocytic 364 vesicles containing nanoparticles (Figure d,e). In addition, bigger mitochondria are

- 365 observed in nanoparticles-treated cells ( $0.94\pm0.57 \mu m$ ) compared to control ( $0.64\pm0.29$
- 366 µm). This change in mitochondrial size could be induced by the presence of TiONts-
- 367 APTES nanoparticles and may affect the mitochondrial function.

#### 368 **ROS overproduction**

### 369 Superoxide radicals

Superoxide anion production in the presence of TiONts-APTES is studied using the probe DHE. The cells exhibited a concentration dependent increase in DHE fluorescence at 6h of incubation. However, at 24h of treatment the particular trend was not observed in the treated wells, where the percentage of cells exhibiting DHE fluorescence declined. No concentration dependent increase in DHE positive cells were observed at 24h except for 80 µg/mL TiONts-APTES (Figure 8a).

## 376 Hydrogen Peroxide

377 Hydrogen peroxide production in the presence of TiONts-APTES is studied 378 using the probe DHR 123 which is a cellular indicator of peroxides and peroxynitrite 379 production. The results obtained shows little increase in the percentage of cells 380 overproducing peroxide radicals' at 6 h except for the highest concentration of TiONts-381 APTES (80 µg/mL) (Figure 8a). Cells treated with 80µg/mL showed statistically 382 significant increase in peroxide radical production at 6h. On the other hand, TiONts-383 APTES exposure at 24h showed a concentration-dependent and statistically significant 384 increase in peroxides production (Figure 8b).

#### **385** Transmembrane mitochondrial potential (ΔΨm)

A possible  $\Delta \Psi m$  change in response to TiONts-APTES is evaluated using fluorescent probe DiOC<sub>6</sub>(3). Cells exhibit an initial mitochondrial hyperpolarization after 6 h of exposure, as revealed by the increase in the green intensity of DiOC<sub>6</sub>(3). 7-ketocholesterol (7-kc)-treated cells (positive control) also exhibits similar response. However, after 24 h, the  $\Delta \Psi m$  in treated cells reverts to normal state and becomes similar to controls (Figure 9).

## 392 Lysosomal membrane integrity

393 Lysosomal membrane integrity in the presence of TiONts-APTES is analyzed by 394 staining with acridine orange (AO). Flow cytometry analysis of AO stained cells does 395 not indicate any signs of lysosomal membrane permeabilization (LMP) in TiONts-396 APTES-treated cells. AO signals increase in the treated cells for both 6 and 24 h 397 suggesting the absence of TiONts-APTES mediated LMP in BV-2 cells (Figure 10).

#### 398 Plasma membrane integrity

Plasma membrane integrity in the presence of TiONts-APTES is analyzed using PI staining. Flow cytometry analysis of the PI stained cells indicates no significant increase in the PI positive cells for 24 h with respect to control. As shown in Figure 11, cells treated with 80  $\mu$ g/mL of TiONts-APTES show only 4.5% PI positive cells compared to 65.5% PI positive cells in 7-kc treated wells (positive control).

#### 404 Mechanism of cell death

405 PARP cleavage assay is carried out to study the mechanism of TiONts-APTES
406 mediated cell death in BV-2 cells. The little cells death observed in the present study is

407 *via* apoptosis as evidenced by PARP cleavage assay. A light band of cleaved PARP
408 could be visible in both 20 and 80 µg/mL of TiONts-APTES treated cells at 24h (Figure
409 12).

## 410 Cell cycle analysis

TiONts-APTES mediated cell cycle changes are evaluated by staining the cells with PI. The flow cytometry analysis of PI-stained cells indicates no alteration in cell cycle pattern in the presence of TiONts-APTES. Moreover, SubG1 population indicative of apoptotic cells (Ormerod *et al.*, 1992) are not visible in TiONts-APTES treated cells for 24 h of incubation (Figure 13).

## 416 **Discussion**

TiONts have been proposed for a variety of biomedical applications including drug delivery, bioimaging, cancer therapy, biosensing and disease diagnosis (Papa *et al.*, 2011, Mirjolet *et al.*, 2013, Papa *et al.*, 2013, Boudon *et al.*, 2014, Loiseau *et al.*, 2017, Mirjolet *et al.*, 2017). TiONts are ideal candidates for neuro-bio applications because of their low toxicity. In the present study, TiONts-APTES nanoparticles, synthesized for drug delivery applications across BBB, are evaluated for their toxicity using microglial cells line BV-2.

424 APTES grafting onto TiONts surface is confirmed by several chemical 425 characterization techniques such as zeta potential measurement, XPS and IR 426 spectroscopy. Indeed, the increase in the zeta potential value and the isoelectric point 427 after APTES grafting prove the presence of positively charged functional group on the 428 surface (amine groups). The increase in carbon percentage and the appearance of new 429 chemical elements such as nitrogen and silicon, observed by XPS analysis, confirm the 430 APTES grafting. Moreover, IR spectroscopy shows the characteristic vibration bands of 431 APTES in TiONts-APTES spectrum. The grafting density of this molecule is estimated 432 by thermogravimetric analysis to  $(7.3 \pm 0.5)$  APTES/nm<sup>2</sup> of nanotubes, based on the 433 difference in the weight losses between bare and grafted TiONts.

434 Cytotoxicity and dose response are studied in terms of mitochondrial activity by 435 MTT assay. The mitochondrion is a highly sensitive organelle and hence early stage 436 changes in the cellular health are reflected in mitochondrial activity. Dose-dependent 437 alteration in mitochondrial activity after cells exposure to TiONts has been reported in 438 some studies (Iavicoli et al., 2011). Despite the change in mitochondria location and 439 morphology observed in the present study through TEM images, no marked reduction in 440 mitochondrial activity is observed after TiONts-APTES exposure. The statistically 441 significant reduction observed in the mitochondrial activities are not beyond 30% to be 442 called as cytotoxic according to the ISO 10993-5 guidelines for the *in vitro* cytotoxicity 443 evaluation of medical devices. It is reported that TiO<sub>2</sub> nanoparticles interfere with MTT 444 to give false positive results (Lupu and Popescu, 2013). In the present study, absorbance 445 values of controls (without cells) are subtracted from the MTT results to rule out 446 nanoparticles interference.

447 MTT results are just an indicator of cell metabolic state. Changes in MTT 448 signals can be due to a cytotoxic effect, a cytostatic effect (Riss et al., 2016) or to a loss 449 of cells during the assay procedure. Therefore it is important to correlate the MTT 450 results with cellular morphology and total cell count (Quent et al., 2010). For this 451 reason, Trypan blue exclusion assay is carried out to count the cells (data not shown 452 here). In the present study, even high concentrations of TiONts-APTES does not elicit 453 any morphological alteration or reduction in cell size or density after 24 h of exposure. 454 It can be concluded from the obtained results, that the decrease in mitochondrial

455 activity, observed in MTT assay, cannot be due to the cytotoxic effect of TiONts-456 APTES. It is reported that  $TiO_2$  nanoparticles induce size reduction and morphological 457 alterations in cells (Saquib *et al.*, 2012). However, it is shown in the current result that 458 TiONts-APTES do not elicit any morphological alterations in BV-2 cells.

459 Cellular uptake of nanoparticles is an important event in bio-nano interaction. 460 Drug delivery applications require nanoparticles internalization. Moreover, the low 461 toxicity, indicated in nanotoxicological studies, can be due to the failure of cells to 462 internalize particles. In the present study cellular internalization of TiONts-APTES is 463 proved by SSC granularity measurements and TEM observations. On the one hand, the 464 dose dependent increase in SSC indicates nanohybrids uptake by BV-2 cells. Indeed, 465 the results of the current study are in corroboration with those of Chen et al. (2010) 466 where cellular internalization of TiO<sub>2</sub> nanorods was shown. As the increase in SSC may 467 be also the result of apoptotic vesicles increase, the cells are exposed to a cytotoxic 468 agent, 7-kc, to differentiate if the observed increase in granularity is due to TiONts-469 APTES uptake or apoptosis. The SSC changes in 7-kc treated cells are not as 470 pronounced as those observed for TiONts-APTES which confirms the latter's uptake by 471 BV-2 cells. On the other hand, TEM images confirm the internalization of TiONts-472 APTES and show two uptake processes; the first and main one is endocytosis process 473 which is a dynamic internalization way, and during which the nanoparticles uptake is 474 carried out by endocytic vesicles. The second and less probable process is the 475 internalization of individualized nanotubes by passive diffusion through the cellular 476 membrane. The latter mechanism is observed when the endocytosis phenomenon is 477 inhibited with amiloride. This molecule is also used by (Huerta-Garcia et al., 2015) to 478 illustrate the  $TiO_2$  nanoparticles internalization by macropinocytosis for glial cells. It 479 has been chosen because macropinocytosis is the main internalization pathway of "large" objects (Benmerah and Lamaze, 2002). Moreover, this endocytic route has been previously emphasized for TiONts internalization in cardiomyocytes (Papa *et al.*, 2013). Since the nanotubes elaborated in this study are agglomerated with sizes higher than several hundreds of nanometers (see Figure 7), macropinocytosis seems to be relevant. Moreover, the presence of nanoparticles in contact with microglial cells may induce a change in mitochondria location and size which can be explained by changes in mitochondrial activity, however no change in cell size or morphology is observed.

487 Particles taken up by the cells ultimately fuse with lysosomes. Lysosomal 488 membrane permeabilization (LMP) is one of the factors contributing to metal oxide 489 nanoparticles toxicity (Sabella *et al.*, 2014). In this work TiONts-APTES nanoparticles 490 do not induce LMP in BV-2 cells as it is indicated by the unaltered intensity of AO 491 signal.

492 ROS production is an important factor contributing to nanoparticle mediated 493 toxicity. In the present study, BV-2 cells exhibit dose dependent increase in superoxide 494 anion production after 6 h which get normalized by 24 h. On the other hand, the level of 495 peroxide radicals remains similar across all experiment groups for 6h which get 496 elevated in a dose dependent manner for 24 h. This time-dependent increase in peroxide 497 radicals may be due to the delayed induction of superoxide dismutase which carries out 498 the enzymatic conversion of superoxide radicals. Microglia in response to toxic signals 499 may undergo an oxidative burst. This can be monitored by the immediate rise in 500 superoxide radicals which ultimately get converted into peroxide radicals (Long et al., 501 2006). The results obtained in this work are in concordance with the aforementioned 502 findings.

503 BV-2 cells exposed to TiONts-APTES exhibit an increase in  $\Delta \Psi m$  6 h after 504 incubation. Hyperpolarization is an early and reversible event in immune cell activation

505 and apoptosis (Perl et al., 2004). Oxidative burst and mitochondrial hyperpolarization 506 point to the chance of TiONts-APTES mediated microglial activation in cells. 507 Microglial activation is characterized by increased cell proliferation, altered 508 morphology and increase in the cell size (Block and Hong, 2007). To examine 509 microglial activation potential of TiONts-APTES, cell cycle analysis is carried out. No 510 sign of cell cycle alterations is observed in TiONts-APTES exposed cells. Normal 'S' 511 phase in treated cells confirms the absence of increased DNA synthesis in BV-2 cells. 512 On the other hand, cytotoxic agent 7-kc cholesterol induces a significant reduction in S 513 and G2-M phases with a corresponding increase in Sub G1 (apoptotic) population. The 514 morphological analysis further supports these findings where no change in morphology, 515 cell size or cell density is observed in BV-2 cells exposed to TiONts-APTES suggesting 516 their low cytotoxicity.

517 Nanoparticle-mediated cell death can occur via the two main types of cell death: apoptosis and necrosis (Hirsch et al., 1998). To study the mechanism of TiONts-APTES 518 519 mediated cell death, the PARP cleavage assay is carried out. PARP cleavage is a marker 520 for apoptotic mode of cell death and is mediated by suicidal proteases like caspases and 521 cathepsin (Boya and Kroemer, 2008). TiONts-APTES exposure induced PARP 522 cleavage in BV-2 cells. Studies show that lysosomal proteases are probably involved in 523 nanoparticles toxicity (Sruthi and Mohanan, 2016). To evaluate whether the PARP 524 cleavage is influenced by the lysosomal proteases (cathepsin), cells are pre-treated with 525 a cathepsin inhibitor Z-FA-FMK. In contrary to the expectation, the cathepsin inhibitor, 526 which also induces PARP fragmentation, does not attenuate PARP cleavage in BV-2 cells. The result of the PARP cleavage suggests a potential activation of an apoptotic 527 528 mode of cell death in TiONts-APTES exposed BV-2 cells.

The analysis of plasma membrane integrity further confirms the apoptotic mode of cell death. The loss of plasma membrane integrity occurs in the late phase of apoptosis. Cells at the early stage of apoptosis possess intact membrane. Absence of PI positive cells in the population after 24 h of TiONts-APTES incubation further supported TiONts-APTES mediated apoptosis in BV-2 cells. Moreover, it suggests that BV-2 cells are probably at their early stage of apoptosis at 24 h of treatment.

## 535 Conclusion

536 Safety and toxicity are major concerns when it comes to biomedical applications 537 of nanoparticles. In the present study, bio-interaction of APTES-functionalized TiONts 538 were carried out using BV-2 microglial cells. Despite the changes in mitochondrial 539 activity and ROS production, no morphological variation or plasma membrane 540 permeability alteration was observed in cells exposed to TiONts-APTES. These results 541 were confirmed by TEM images that showed a normal cellular morphology after 542 TiONts-APTES uptake and changes in mitochondria location and size. The particles 543 induced an initial increase in transmembrane mitochondrial potential ( $\Delta \Psi m$ ) which 544 reverted to normal state by 24 h. TiONts-APTES do not interfere with lysosomal 545 membrane integrity or cell cycle. Caspase-dependent apoptosis probably mediates the 546 toxicity. The time- and dose-dependent toxicity is obvious only at the highest 547 concentration used (80 µg/mL). It is shown through TEM images that the main process 548 of nanoparticles internalization in BV-2 cells is endocytosis. These cells do not show 549 any sign of activation which is a major hurdle in drug delivery applications across brain. 550 This study proves that APTES-functionalized TiONts are a potential candidate for drug 551 delivery applications across the brain.

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#### 567 **Declaration of interest**

568 The authors report no conflicts of interest.

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	Starting	Mass loss	Degraded	Molecule.nm <sup>-2</sup>
	degradation	(%)	molecular weight	
	temperature (°C)		(g.mol <sup>-1</sup> )	
TiONts	175	2.8	18	(10.6 ± 1.5) OH, n=10
<b>TiONts-APTES</b>	160	10.3	58	(7.3 ± 0.5) APTES, n=7

Table 1. Results of mass loss and hydroxyl groups density and grafting ratio of bare
TiONts and TiONts-APTES respectively (n is the number of syntheses realized before
pooling the different batches, they allow to evaluate the reproducibility of our
syntheses).

Atomic concentration (%)	C <sub>1s</sub>	0 <sub>1s</sub>	Na <sub>KLL</sub>	Ti <sub>2p</sub>	N <sub>1s</sub>	Si <sub>2p</sub>
TiONts	7.3	58.7	13.5	20.5	-	-
% Elements TiONts / Ti	0.3	2.9	0.7	1.0	-	-
<b>TiONts-APTES</b>	11.2	56.8	5.7	21.5	2.3	2.5
% Elements TiONts-APTES / Ti	0.5	2.6	0.3	1.0	0.1	0.1

Table 2. XPS elemental analyses and their corresponding ratio to titanium of bareTiONts and TiONts-APTES.

## 711 Figures

712



713 Graphical abstract: (3-aminopropyl) triethoxysilane (APTES) functionalized titanate 714 nanotubes (named TiONts on the scheme) enter the BV-2 cell both via endocytosis and 715 passive diffusion. Inside cell, TiONts-APTES are capable of inducing superoxide 716 radicals which later get converted to peroxides by SOD activity. TiONts-APTES 717 interact with mitochondria to induce a transient increase in  $\Delta \Psi m$  which further gives 718 way to mitochondria mediated ROS production. TiONts-APTES do not affect lysosomal integrity or cell cycle pattern. At high concentration TiONts-APTES 719 720 probably induce caspase-mediated apoptosis in BV-2 cells leading to PARP cleavage.



Figure 1. TEM images highlight (a-b) the formation of bare TiONts and the evolution of

723 TiONts dispersion (c-d) before and (e-f) after APTES grafting.



Figure 2. TGA curves of bare TiONts and TiONts-APTES under air atmosphere.



Figure 3. (a) ζ potential curves of bare TiONts and TiONts-APTES (the vertical dashed
line corresponds to the physiological pH). (b) Colloidal stability of bare TiONts and
TiONts-APTES (PBS 0.1 M; pH 7.4) over 120 min following their absorbance
at 600 nm by turbidimetry. The pictures of TiONts (left) and TiONts-APTES (right)
suspensions in PBS (0.1 M; pH 7.4) correspond to the vertical dashed line at 30 min.



Figure 4. FT-IR spectra of bare TiONts and TiONts-APTES. Red vibrational bands
highlight the presence of APTES on the surface of TiONts.

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Figure 5. (a) Dose response and cytotoxicity in BV-2 cells exposed to TiONts-APTES for 6 and 24 h indicated by percentage MTT positive cells. Data represents mean  $\pm$  SD of three independent experiments. Asterisks denote statistically significant differences compared to the control (\* p<0.05, \*\* p< 0.01 and \*\*\* p<0.001).



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Figure 6. (a) Cell morphology analysis of BV-2 cells exposed to TiONts-APTES for 24 h. Scale bar represents 100  $\mu$ m. As the highest concentration used the nanoparticles sediments could be observed. (b) Cellular uptake of TiONts-APTES by BV-2 cells: cells were exposed to TiONts-APTES for 6 h and the increase in side scatter (SSC) with respect to forward scatter (FSC) was measured. 7-ketocholesterol-treated cells were used as positive control. All the cellular debris were gated out to represent the data.







Figure 7 : TEM images of (a) BV-2 control cells, (b,b1,b2) BV-2 cells treated with 20  $\mu$ g/mL of TiONts-APTES without amiloride (c,c1,c2,c3,c4) BV-2 cells treated with 20  $\mu$ g/mL of TiONts-APTES and 100 $\mu$ M of amiloride, incubated for 24 h (d) endocytosis vesicle surrounded by four mitochondria (e) endocytosis vesicle in contact with mitochondria.



Figure 8. ROS generation in BV-2 cells exposed to TiONts-APTES for 6 and 24 h. (a) Superoxide radical formation (b), hydrogen peroxide radical formation. Data represents mean  $\pm$ SD of three independent experiments. Asterisks denote statistically significant differences compared to control (\* p<0.05, \*\* p< 0.01 and \*\*\* p<0.001).



769

770 Figure 9. (a) Transmembrane mitochondrial potential in BV-2 cells exposed to TiONts-771 APTES at 6 and 24 h. Cells incubated with 4% paraformaldehyde were used as positive 772 control (PC). Red solid peaks represent  $DiOC_6(3)$  intensity of untreated control while 773 blue tinted peaks represent treated groups. The gated population includes both dead and 774 viable cells. (b) Flow cytometric quantification of transmembrane mitochondrial 775 potential ( $\Delta \Psi m$ ). Data shown represent mean  $\pm$  SD of three independent experiments. Asterisks denote statistically significant differences compared to control (\*\*\* p value 776 777 <0.001).



Figure 10. (a) Lysosomal membrane integrity of BV-2 cells exposed to TiONts-APTES
for 6 and 24 h. The red solid peaks denote AO intensity in control population while blue
tinted peaks represent treatment groups. The gated population includes both viable and
dead cells. (b) Flow cytometric quantification of the lysosomal membrane integrity.
Data shown represent mean ± SD of three independent experiments.



Figure 11. Plasma membrane integrity in BV-2 cells exposed to TiONts-APTES for 24 h. 7-ketocholesterol treated cells were used as positive control. The gating was done with respect to PI fluorescence of positive control. Gated population includes both live and dead cells. n = 3.



Figure 12. PARP cleavage assay in BV-2 cells exposed to TiONts-APTES for 24 h.
Lane 1: protein marker, Lane 2: control cells, Lane3: control cells pre-treated with ZFa-FMK, lane 4: TiONts-APTES (80 µg/mL), lane 5: 80 µg/mL TiONts-APTES pretreated with Z-Fa-FMK.



Figure 13. (a) Cell cycle analysis of BV-2 cells exposed to TiONts-APTES for 24 h. (b)
quantification of the cell cycle analysis. 7-ketocholestrol-treated cells were used as
positive control (PC). All the cellular debris has been gated out to represent the data.
Data shown represent mean ± SD of three independent experiments. Asterisks denote
statistically significant differences compared to control (\* p value <0.05, \*\* p value <</li>
0.01 and \*\*\* p value <0.001).</li>