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Diabatic pseudofragmentation and nonadiabatic excitation-energy transfer in *meta*-substituted dendrimer building blocks

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Abstract. Excitation-energy transfer in multichromophoric assemblies is often pictured in terms of excitonic models whereby the underlying diabatic states correlate with the ground (acceptor) and excited (donnor) electronic states of well-defined separated fragments. Poly(phenylene ethynylene) dendrimers also exhibit ultrafast unidirectional excitation-energy transfer; however, the definition of the fragments is no longer straightforward, as adjacent chromophores share a common *meta*-substituted phenylene ring, which cannot be viewed as a spectator bridge from an electronic perspective. Here, we show how a pseudofragmentation scheme can be used to define the relevant diabatic representation, provided the interacting sites are based on orbital subsets rather than atomic clusters. This is illustrated with the smallest *meta*-substituted oligomer, for which we characterised a conical intersection responsible of nonadiabatic internal conversion between the first two excited electronic states, consistent with a diabatic picture based on para-conjugated pseudofragments sharing a ring.

Keywords: excitation-energy transfer (EET), diabatic representation, nonadiabatic internal conversion, conical intersection, poly(phenylene ethynylene) (PPE) dendrimers.

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1 Introduction

A crucial aspect for any study involving so-called grid-based quantum dynamics is the availability – hence, the actual production in a preliminary stage – of a potential-energy surface (PES), given as an analytic, high-dimensional function of the nuclear coordinates. In the context of nonadiabatic dynamics, beyond the Born-Oppenheimer approximation, the electronic Hamiltonian for the subset of coupled electronic states is not reduced to a single of its eigenvalues, but rather expressed as a matrix, most often in a diabatic representation, with potential energies on the diagonal part and potential couplings on the off-diagonal part.

As opposed to what occurs for the adiabatic representation, the values of the diabatic matrix elements on the relevant set of molecular geometries are not computed directly from quantum-chemistry calculations and first depend on a nontrivial adiabatic-to-diabatic transformation, called diabatisation, of the quantum-chemistry data as a prerequisite. A review on this topic is out of the scope of the present work. Let us mention perhaps one of the most standard procedures in this context, resorting to what is known as a "diabatisation by ansatz", namely, the vibronic-coupling Hamiltonian model developed in the 1980s by Köppel *et al.*, which has been used since then with great success in numerous quantum-dynamics simulations [1, 2].

By definition, diabatic states preserve their character (electronic distribution; in particular bonding pattern) when the molecular geometry changes. In molecular systems baring a single chromophore, such states will often be labelled according to dominant electronic configurations (*e.g.*, $n\pi^*$ or $\pi\pi^*$, as in pyrazine [3]) or to the change in the electronic distribution with respect to the ground state (*e.g.*, charge transfer (CT) or locally excited (LE), as in aminobenzonitrile [4]).

Although seemingly more complex, systems made of several weakly interacting chromophores, such as supramolecular light-harvesting antennae, yield diabatic states that are somewhat more obvious to define, as they correspond to local excitations that involve only one specific site at a time. In this context, excitation-energy transfer (EET) [5] if often pictured in terms of local excitonic models whereby each elementary act occurs from a donor site in its excited state to an neighbouring acceptor site in its ground state. The underlying states are essentially diabatic and correlate with the ground and excited electronic states of well-defined separated fragments. This is for example the basis for the fragment excitation difference (FED) scheme aimed at addressing EET in this context [6, 7].

In other words, EET among diabatic states can be regarded as a nonadiabatic process. Accounting for the motion of the nuclei means that EET essentially boils down to internal conversion, likely to occur around conical intersections or weakly avoided crossings among locally excited (LE) states within the whole system.

In much the same way, poly(phenylene ethynylene) (PPE) dendrimers exhibit ultrafast unidirectional EET through LE states. These are tree-like, hyperbranched multichromophoric macromolecules, designed to mimic natural photosynthesis artificially. The most famous one is called the nanostar and was first synthesised by J. S. Moore et al. in 1994 [8].

Dendrimers present a huge potential in the context of organic nano-optoelectronics [9, 10]. They are made of building blocks of increasing length from the periphery to the core, which yields an excitation-energy gradient responsible of unidirectional energy transduction along several, converging branched pathways, inducing fluorescence-efficiency enhancement of the fluorophore at the core [11, 12, 13, 14].

Much effort has been made over the last thirty years to unravel the mechanisms governing the behaviour of PPEs on the atomistic scale. First insights into the electronic structure of PPEs were provided by steady-state spectroscopy experiments [12, 15]. These established that the absorption spectrum of the nanostar is almost additive and dominated by individual contributions of $\pi\pi^*$ LE states on linear *para*-conjugated building blocks. This was later confirmed by other experimental and theoretical studies on various types of PPE dendrimer subunits; see *e.g.* Refs. [16, 17, 18, 19, 20, 21, 22] and references therein.

However, the definition of the coupled fragments in PPE dendrimers is no longer straightforward, since adjacent chromophores share a common *meta*substituted phenylene, which cannot be viewed as a spectator bridge [23]. Here, we show that a pseudofragmentation scheme is able to generate the relevant diabatic representation, provided the definition of the sites is based on orbital subsets rather than atomic clusters. This is illustrated with the smallest *meta*-substituted oligomer, *meta*-diphenylethynylphenylene, for which we characterised a conical intersection likely to be responsible of ultrafast and efficient internal conversion between the first two excited electronic states.

The energy landscapes of the first two excited electronic states are first described, including minima, transition states, and a minimum-energy conical intersection. A discussion of the relevant molecular orbitals in then provided, setting the foundations for a description of the adiabatic states in terms of diabatic states corresponding to pseudofragments sharing a common ring.

2 Computational Details

All calculations were performed with the Gaussian16 package (revision A03) [24] using DFT (ground states) and TD-DFT (excited states) at the CAM-B3LYP/6-31+G^{*} level of theory, the validity of which having been already assessed in a previous work [25] on *para*-conjugated PPE oligomers with two, three, and four rings, yielding vibronic absorption spectra in excellent agreement with experiments (DFT: density-functional theory; TD-DFT: time-dependent DFT, *i.e.*, first-order linear-response DFT). LE states (the focus of the present work) in *meta*-substituted oligomers are thus expected to be described adequately at this level of theory. However, states with large CT contributions may be overstabilised, which is well known, and their energies should be considered with caution, as for example pointed out in this context in Ref. [26].

Minima and transition states were obtained and characterised with analytic first- and second-derivative calculations in the ground and excited electronic states. The minimum-energy conical intersection within the C_{2v} subspace was obtained upon minimising the gradient average, projected out of the gradient difference. Both are totally symmetric, while the derivative coupling here is not; we did not look for broken-symmetry conical intersections, as the energy landscape in the vicinity of the C_{2v} minimum-energy conical intersection seems to indicate that this is the lowest-energy crossing point. The branching-space vectors of the minimum-energy conical intersection (directions of first-order degeneracy lifting) are the (halved) gradient difference (obtained directly from the two gradients) and the derivative coupling at this point. The latter was generated from a two-Hessian-based, "wavefunction free", procedure, detailed in Ref. [27]. Molecular orbitals displayed in the following are canonical Kohn-Sham DFT orbitals (0.02 a.u. isovalue surface plots). The Cartesian coordinates of all critical points discussed below are provided in Supplementary Information.

For the C_{2v} point group of symmetry, we used Mulliken's convention, so that z (A_1) is the C_2 rotation axis, y (B_2) lies within the molecular plane (left-right direction), and x (B_1) is orthogonal to it (up-down direction). Only inplane deformations were considered, and the C_s point group of lower symmetry mentioned below corresponds to mirror symmetry with respect to the molecular plane (A_1 and B_2 both become A').

3 Results and Discussion

3.1 Multistate Energy Landscape

Here, we characterise the energy landscape corresponding to the first and second excited singlet electronic states, S_1 and S_2 , of the *meta*-diphenylethynylphenylene species, denoted m22 from now on. In particular, we show how the symmetry breaking at the S_1 equilibrium geometry, and subsequent electronic localisation on either one of the two equivalent sides, is consistent with the presence of a conical intersection between S_1 and S_2 .

The m22 species belongs to the C_{2v} point group of symmetry at the S_0 equilibrium geometry, \min_{S_0} ; see Figure 1. The values of the internal coordinates are almost identical to those of the 2-ring linear species (diphenylethynylene, also known as diphenylacetylene or tolane, further on denoted p2) in its ground electronic state, as illustrated for the bond lengths in Table 1, exhibiting a typical alternation of single-triple-single CC bonds between rings. Note that the small differences for the central phenylene ring indicate that it behaves as if it had been rotated through $\pm 60^{\circ}$ to account for symmetrical *meta*-substitution.

At this geometry, also called Franck-Condon (FC) point, the S_1 and S_2 states are almost degenerate: the corresponding vertical transition energies are 4.43 and 4.47 eV, respectively. The S_0 state is 1^1A_1 , the S_1 state 1^1B_2 (bright; oscillator strength: 1.707), and the S_2 state 2^1A_1 (bright; oscillator strength: 0.367). Further details on the electronic structure will be given below, in Sec. 3.3. S_1 and S_2 can be identified to two diabatic states, determined by symmetry, thus noninteracting over the whole C_{2v} subspace. We will show later on that these delocalised diabatic states can be recast as the normalised sum and difference of two localised diabatic states. The latter two both involve local excitations of either the "left" or "right" p2 subunits contained within m22. In addition, we will rationalise how these can be understood in terms of a pseudofragmentation scheme, whereby the pseudofragments share the *meta*-substituted central ring.

The two excited states cross within the C_{2v} subspace. The minimum-energy conical intersection within this subspace, denoted $\operatorname{CoIn}_{S_2/S_1}$, occurs at 4.29 eV above the S_0 minimum (see Figure 6). It is lower in energy than the FC point in S_2 , and thus potentially accessible (see Table 1). Two transition states in the S_1 PES lye on either side of the conical intersection, each behaving as an apparent minimum within the C_{2v} subspace. One corresponds to 1^1B_2 at 4.25 eV (same side as the FC point), the other to 2^1A_1 at 4.29 eV (beyond the crossing). They will further be denoted TS_{B_2} and TS_{A_1} , respectively (see Table 1). The TS_{A_1} point is almost identical to the $\operatorname{CoIn}_{S_2/S_1}$ point. More precisely, $\operatorname{CoIn}_{S_2/S_1}$ is essentially the same as the crossing point occurring along the interpolation path in internal coordinates between TS_{B_2} and TS_{A_1} , near TS_{A_1} (see below) where the gradient average, projected out of the gradient difference, is already small before further optimisation.

Owing to the respective PES topographies, the CoIn_{S_2/S_1} point also occur to play the role of the actual minimum (conical, but not stationary, due to the two-dimensional cusp) of the S_2 PES. The gradient difference calculated at the conical intersection essentially corresponds to the direction connecting TS_{A_1} on one side to TS_{B_2} on the other side. As will be shown soon, the derivative coupling is essentially the direction leading to the pair of equivalent lower-symmetry S_1 minima.

The main difference between the geometry of TS_{B_2} and TS_{A_1} is localised on the central ring, in particular the length of its quinoidal bonds, which is shorter for TS_{B_2} (see Table 1). They can be represented in terms of Lewis structures as a biradical quinoidal ring (Dewar-benzene bonding) for TS_{B_2} , and a combination of two single-bond-linked allyl radicals in TS_{A_1} ; see Figure 2. This agrees with the topologies of the relevant near-frontier orbitals (see Sec. 3.2).

The transition vector (unstable mode) of each transition state is of B_2 symmetry (in-plane, left-right symmetry breaking). Both are displayed in Figure 3. They directly connect the two mirror-image S_1 minima of C_s symmetry on both sides of the S_1 unstable ridge, further denoted min_{S_1} and min'_{S_1} (see Figure 1 and Table 1). At each of these two equivalent minima, the system shows an alternation of single-triple-single CC bonds on one side (similar to a ground-state p2 moeity) and a cumulenic (double-double-double) bonding scheme on the other one, typical of an excited-state p2 moiety (see Figure 1 and Table 1). In other words, such geometries reflect two equivalent local excitations, on either one or the other p2 pseudofragment sharing the same *meta*-substituted central ring.

Energy pathways, obtained as linear interpolations in internal coordinates, between the aforementioned points are shown in Figure 5. They are smooth, nearly harmonic, energy curves, which confirms that the relevant "anchor points" of the two-state energy landscape have all extensively been identified within the operational energy window accessible from the FC point. By this, we mean that the representative topographies, governed by local-curvature variations, of the S_2 and S_1 PESs, are fully determined by the presence of both TS_{B_2} and TS_{A_1} on the same higher-symmetry ridge within the C_{2v} subspace. Each occurs on either side of the minimum-energy $CoIn_{S_2/S_1}$ point, and both are connected – directly – downhill to either \min_{S_1} or \min'_{S_1} , which are mirror-image minima $(\mathcal{C}_{\rm s} \text{ symmetry})$. This ideal picture was confirmed quantitatively with steepestdescent paths originated from the FC point. First, minimising the S_2 energy from the FC point directly leads to the $CoIn_{S_2/S_1}$ point. Second, the intrinsicreaction coordinate started along the S_2 gradient also goes directly to the TS_{A_1} point via the $\operatorname{CoIn}_{S_2/S_1}$ crossing. It further continues on S_1 to one of the two broken-symmetry minima. A more detailed analysis of the deactivation mechanism awaits quantum-dynamics simulations, which will be addressed in a future work.

When approaching either one of the two S_1 minima, one can notice a weakly avoided crossing between S_2 and S_3 (around 4.6 eV), which is expected to be of little consequence on the photodynamics, once the system has escaped the original region of near-crossing between S_2 and S_1 (especially owing to the fact that it lies higher in energy than the FC point on S_2 , at about 4.5 eV).

In principle, initial excitation will populate preferentially S_1 , given its larger

oscillator strength. However, we are specifically interested here in the evolution of the part of the system starting from S_2 , as a prototype of nonadiabatic energy transfer in dendrimers. A schematic sketch of the plausible deactivation pathway from S_2 to S_1 is displayed with arrows in Figure 5. After excitation to the S_2 state at the FC point, the system will descend along a very narrow trench in the S_2 state towards the region around the minimum-energy conical intersection, which is expected to act as an efficient "kitchen sink" to the S_1 state. As the energy difference is low all along this pathway, quantum or semiclassical dynamics should predict large and early population transfer. In fact, this case is quite exceptional, as the energy difference is significantly small - < 0.1 eV – already from the original FC point, and a semiclassical description such as surface hopping may be at risk regarding the relevance of a supposedly high hopping repetition rate to be damped by a fewest-switch scheme.

Once in the region near the conical intersection at the bottom of the S_2 PES, the system can branch to two sides when keeping C_{2v} symmetry: turning slightly aside to the TS_{B_2} region on the same side of the crossing, whereby the energy difference will increase as much as possible, thus separating efficiently the S_1 wavepacket component from the original S_2 one, or keeping to the TS_{A_1} region, on the other side but not far from the crossing region, which is likely to act as a turning point and send the system back again to the original side for further crossings. In any case, it must be understood that the S_1 PES in this region essentially corresponds to a very narrow unstable ridge. The adiabatic wavepacket component on S_2 should thus spread strongly and early on because it lives in a very narrow trench; correlatively, the wavepacket component transferred from S_2 to S_1 will land on a very steep ridge, and is expected to also keep spreading very fast on S_1 , so as to reach broken-symmetry regions typical of the S_1 minimum in a short time. In other words, the nonadiabatic coupling between S_2 and S_1 is expected to be exceptionnally strong and efficient. The present case is typically far from a Born-Oppenheimer situation, which makes the adiabatic Born-Oppenheimer representation almost inadequate (in other words, the two electronic states are too close in energy).

The surroudings of the conical intersection within the branching space are displayed in Figure 6 (S_2 and S_1 energies along the plane spanned by the gradient difference and derivative coupling). Geometric relaxation is obviously not fully achieved for the TS_{B_2} and TS_{A_1} points, or for the min_{S1} and min'_{S1} ones, within this subspace, but the energy landscape is representative of the S_2 and S_1 PES topographies: the conical intersection is the actual S_2 minimum; there are two S_1 transition states along the gradient difference (one on each side of the conical intersection) connecting two equivalent S_1 minima on both sides, along the derivative coupling.



Figure 1: S_0 (bottom) and S_1 (top) equilibrium geometries of m22 (right) and p2 (left). Representative bonds are labelled according to bond lengths given in Table 1. The typical bonding schemes (S_0 alternated, bottom; S_1 cumulenic, top) of p2 are also indicated for reference.

	aa	ab	bb	bq	E_0	E_1	E_2	E_3
$p2 S_0 min$	1.210	1.431	1.389		0	4.48		
$p2 S_1 min$	1.255	1.374	1.377		0.32	4.14		
$m2 \min_{S_0}$	1.210	1.431	1.389	1.402	0	4.43	4.47	4.61
$m2 \min_{S_1} / \min'_{S_1}$	1.210/1.254	1.429/1.374	1.389/1.377	1.415/1.438	0.32	4.12	4.66	4.74
m2 TS _{B_2}	1.233	1.396	1.381	1.419	0.18	4.25	4.33	4.52
m2 TS_{A_1}	1.229	1.405	1.384	1.447	0.19	4.29	4.30	4.53
m2 CoIn $_{S_2/S_1}$	1.230	1.404	1.384	1.444	0.19	4.29^{*}	4.29^{*}	4.52

Table 1: Selection of representative bond lengths (in Å) and corresponding energies (in eV) of the first four electronic states of m22 at the five critical points discussed in the main text. Similar quantities are provided for the S_0 and S_1 minima of p2 for comparison. Absolute energies at both S_0 minima: -846.19837 hartree (m22); -539.15684 hartree (p2). * The energy difference at the S_2/S_1 conical intersection is 5.10^{-4} eV.



Figure 2: Lewis representations of the bonding schemes on the *meta*-substituted phenylenes of TS_{B_2} (left) and TS_{A_1} (right). Quinoidal bond lengths are given in Å.



Figure 3: Transition modes of TS_{B_2} (left) and TS_{A_1} (right). The original positions of the C-atoms are in blue, the displaced ones in red (H-atoms are not shown, as they barely move). Green arrows are schematic representations of the dominant motions. The corresponding imaginary frequencies are $\bar{\nu}(TS_{B_2}) = i4891 \text{cm}^{-1}$ and $\bar{\nu}(TS_{A_1}) = i15119 \text{cm}^{-1}$ (the latter is unusually high because of the presence of a conical intersection in the vicinity of TS_{A_1}).



Figure 4: Derivative coupling (left) and gradient difference (right) calculated at $\operatorname{CoIn}_{S_2/S_1}$. The original positions of the C-atoms are in blue, the displaced ones in red (H-atoms are not shown, as they barely move). Green arrows are schematic representations of the dominant motions.



Figure 5: Linear interpolations (from 0 to 10), augmented with extrapolations (beyond 0 to 10), in internal coordinates between the most relevant critical points (at either 0 or 10). Blue: $2^1A_1(\mathcal{C}_{2v})$, S_2 or S_1 ; red: $1^1B_2(\mathcal{C}_{2v})$, S_1 or S_2 ; purple: ${}^1A'(\mathcal{C}_s)$, S_1 or S_2 ; green: S_3 . Orange arrows: schematic deactivation pathway.



Figure 6: Conical intersection between the S_2 and S_1 PESs within the branching space (GD: (halved) gradient difference; DC: derivative coupling). Cumulative displacements over the whole set of nuclei along both gradient-type directions are given in Å; energies are given in eV. The projected positions of TS_{B_2} (blue), TS_{A_1} (red), and min_{S_1} (purple) are marked with oval dots.

3.2 Pseudofragment Orbitals

The excited electronic states of m22 can be rationalised via a four-electronin-four-orbital model. Below, we show how the four near-frontier molecular orbitals (HOMO-1, HOMO, LUMO, LUMO+1) of m22 naturally arise from two equivalent pairs of frontier orbitals (HOMO, LUMO) corresponding to the two embedded p2 pseudofragments on the "right" or "left" within m22, sharing a common ring (HOMO: highest-occupied molecular orbital; LUMO: lowestunoccupied molecular orbital).

As already pointed out in Ref. [23], the four near-frontier delocalised orbitals of m22 at the $C_{2v} S_0$ minimum can be obtained from a rotation of two equivalent pairs (HOMO, LUMO) of localised orbitals, on each p2 chromophore. Ignoring orthogonalisation tails due to nonzero overlaps, such delocalised orbitals simply are the normalised sum and differences of localised ones (45°-rotation). The HOMO and LUMO of m22 are of π -type and of symmetry a_2 , while the HOMO-1 and LUMO+1 also are of π -type, and of symmetry b_1 ; see Figure 7. The orbitals of a_2 symmetry are "minus" combinations of p2 frontier orbitals, while b_1 symmetry corresponds to "plus" combinations. The corresponding orbital diagram is depicted in Figure 7. Let us recall here that Mulliken's axis convention was used here for C_{2v} : z (A_1) is the C_2 rotation axis, y (B_2) lies within the molecular plane, and x (B_1) is orthogonal to it.

The frontier orbitals of p2 (see Figure 8) essentially involve first-neighbour interactions between benzene and acetylene frontier π - and π *-orbitals: all-antibonding (most destabilised) benzene-acetylene frontier-orbital interactions within the p2 HOMO, and all-bonding (most-stabilised) benzene-acetylene frontier-orbital interactions within the p2 LUMO. The frontier π - and π *-orbitals of a single benzene form two pairs of degenerate orbitals. Only one per pair can be combined with the nearest acetylene π - or π *-orbital in p2, for symmetry reasons.

The pairs of delocalised orbitals of m22 are nearly but not degenerate, due to weak interactions between the orbitals localised on p2 pseudofragments (see Figure 7). The interaction magnitude can be related to the overlap between p2 orbitals. For a bare benzene, the overlap between two degenerate, *meta*-oriented orbitals ($\pm 60^{\circ}$ -rotated), either HOMO or LUMO, is equal to $-\frac{1}{2}$ (see Figure 9). The overlap between p2 orbitals is obviously closer to zero, as they expand over a larger space, thus explaining that HOMO and HOMO-1 (or LUMO and LUMO+1) are nearly degenerate in m22.

This essentially reminds of a fragmentation scheme (the limiting case being a diatom for which the molecular orbitals are linear combinations of overlapping atomic orbitals). However, there is a significant difference here: the localised orbitals involve subsets of atoms (fragments) that have in common the central phenylene ring. This is the very reason why we call the two p2 moeities "pseudofragments". The contributions on the central phenylene essentially correspond to the normalised sum and difference of two degenerate, but nonorthogonal, benzene orbitals oriented along either the right or the left phenylethynylene substituent; see Figure 9. In other words, the fact that the central phenylene ring can bring contributions from two degenerate HOMOs and two degenerate LUMOs within *meta*-substitution is the key explanation: a *meta*-substituted phenylene somewhat behaves as a twofold site (within the framework of a first-neighbour, tight-binding picture). In contrast, a *para*-substituted phenylene behaves as a standard site, involving only one local HOMO for the global HOMO or one local LUMO for the global LUMO, for symmetry reasons, the other staying noninteracting, hence nonbonding.



Figure 7: Orbital diagram describing the interactions between the frontier orbitals of the pseudo-fragments p2 to form the orbitals of m22 at the symmetrical S_0 minimum. The localised orbitals (ℓ, ℓ^*, r, r^*) on the left- or right-hand side were obtained via a 45°-rotation from the delocalised Kohn-Sham molecular orbitals $(b_1, a_2, a_2^*, \text{ and } b_1^*)$ displayed in the central part.

Let us now consider one of the two equivalent S_1 minima. The left-right equivalence is lost between the two p2 pseudofragments: one of them is in its ground-state geometry (alternated), while the other one is in its excited-state geometry (cumulenic). The two pairs of near-frontier orbitals become polarised, *i.e.*, partly localised, as they no longer result from interactions between orbitals of the same energy (see Figure 10).

The energy order of the orbitals within a pair is consistent with their respective localisations on a given side. On Figure 11, the excited pseudofragment is on the right-hand side. The geometry of the pseudofragment on the left corresponds to the ground-state equilibrium geometry of p2 (single-triplesingle), while that on the right to the excited-state equilibrium geometry of p2



Figure 8: Geometries, bonding patterns, and frontier orbitals of an isolated p2 at its S_0 and S_1 minima.



Figure 9: Decomposition of the two degenerate pairs of frontier π - and π^* -orbitals of benzene in terms of combinations of nonorthogonal *meta*-oriented orbitals (±60°-rotated). Normalisation factors are $N_{\pm} = \frac{1}{\sqrt{1\pm S}}$ (according to the sign of the combination); the overlap is equal to S = -1/2 in both cases, such that $N_{+} = 1$ and $N_{-} = \frac{1}{\sqrt{3}}$.

(double-double-double). For the occupied orbitals, the first-neighbour interactions between atomic orbitals along the CCCC chain between two rings are: antibonding-bonding-antibonding. An alternated bonding pattern is thus stabilised by single-triple-single bond lengths: HOMO-1 (stabilised) is localised on the unexcited pseudofragment (alternated), while HOMO (destabilised) is localised on the excited one (cumulenic). In contrast, the interactions for the unoccupied orbitals are: bonding-antibonding-bonding. A cumulenic bonding pattern is thus stabilised by double-double-double bond lengths: LUMO (stabilised) is localised on the excited pseudofragment (cumulenic), while LUMO+1 (destabilised) is localised on the unexcited one (alternated). As a result, the S_1 state at the S_1 minimum essentially corresponds to a single HOMO \rightarrow LUMO excitation, and the electronic redistribution is consistent with the geometrical changes.



Figure 10: Orbital diagram describing the interactions between the frontier orbitals of the pseudo-fragments p2 to form the orbitals of m22 at the non-symmetrical S_1 minimum (alternated on the left, cumulenic on the right). The Kohn-Sham molecular orbitals ($\sim \ell, \sim r, \sim r^*, \sim \ell^*$) displayed on the central part are approximately localised. The localised orbitals (ℓ, ℓ^*, r, r^*) on the left-or right-hand side are those from Figure 7.



Figure 11: Bonding schemes along a CCCC chain and interpretation in terms of first-neighbour interactions between p orbitals; alternated bonding scheme (left), cumulenic bonding scheme (right). B stands for bonding interactions and AB for antibonding interactions.

3.3 Diabatic States

We now analyse the nature of the excited states and define delocalised and localised diabatic states from the adiabatic ones, according to the pseudofragmention scheme presented above.

As will be illustrated below, the S_1 , S_2 , and S_3 states can be approximated in terms of a simple four-electron-in-four-orbital model. The symetrical HOMO-1, HOMO, LUMO, and LUMO+1 of m22 will be denoted b_1 , a_2 , and a_2^* , and b_1^* , respectively. They read

$$b_1 = \frac{\ell + r}{\sqrt{2}} \,, \tag{1a}$$

$$a_2 = \frac{\ell - r}{\sqrt{2}} \,, \tag{1b}$$

$$a_2^* = \frac{\ell^* - r^*}{\sqrt{2}}, \qquad (1c)$$

$$b_1^* = \frac{\ell^* + r^*}{\sqrt{2}},$$
 (1d)

where ℓ and ℓ^* denote the pseudofragment orbitals localised on the left and rand r^* the same on the right (see Figure 7). As already mentioned, they are almost identical to the frontier orbitals of two isolated p2 species (see Figure 8). Note that the orthogonalisation tails of ℓ , ℓ^* , r, and r^* , constructed as orthogonal orbitals via a 45°-rotation of b_1 , a_2 , a_2^* , and b_1^* are tiny (they are not even visible in Figure 7), which means that fully localised, nonorthogonal orbitals would have negligible overlaps (in other words, ℓ , ℓ^* , r, and r^* are almost fully localised).

Let us then introduce the singlet configuration-state functions (CSFs) made of single excitations within the four-orbital subset. The four delocalised CSFs read

$$\Phi_{ba} = \frac{|b_1 \overline{a_2^*}| + |a_2^* \overline{b_1}|}{\sqrt{2}}, \qquad (2a)$$

$$\Phi_{ab} = \frac{|a_2 \overline{b_1^*}| + |b_1^* \overline{a_2}|}{\sqrt{2}}, \qquad (2b)$$

$$\Phi_{aa} = \frac{|a_2 \overline{a_2^*}| + |a_2^* \overline{a_2}|}{\sqrt{2}}, \qquad (2c)$$

$$\Phi_{bb} = \frac{|b_1 \overline{b_1^*}| + |b_1^* \overline{b_1}|}{\sqrt{2}} \,. \tag{2d}$$

They correspond to HOMO $-1(b_1) \rightarrow \text{LUMO}(a_2^*)$, HOMO $(a_2) \rightarrow \text{LUMO} + 1(b_1^*)$, HOMO $(a_2) \rightarrow \text{LUMO}(a_2^*)$, and HOMO $-1(b_1) \rightarrow \text{LUMO} + 1(b_1^*)$, respectively. Lower-lying doubly occupied orbitals are implicit in the Slater determinants involved in Eq. 2.

The corresponding localised CSFs read

$$\Phi_{\ell\ell} = \frac{|\ell\overline{\ell^*}| + |\ell^*\overline{\ell}|}{\sqrt{2}}, \qquad (3a)$$

$$\Phi_{rr} = \frac{|r\overline{r^*}| + |r^*\overline{r}|}{\sqrt{2}}, \qquad (3b)$$

$$\Phi_{\ell r} = \frac{|\ell \overline{r^*}| + |r^* \overline{\ell}|}{\sqrt{2}}, \qquad (3c)$$

$$\Phi_{r\ell} = \frac{|r\overline{\ell^*}| + |\ell^*\overline{r}|}{\sqrt{2}} \,. \tag{3d}$$

The two sets are related through

$$\Phi_{ba} = \frac{\Phi_{\ell\ell} - \Phi_{rr} - \Phi_{\ell r} + \Phi_{r\ell}}{2} , \qquad (4a)$$

$$\Phi_{ab} = \frac{\Phi_{\ell\ell} - \Phi_{rr} + \Phi_{\ell r} - \Phi_{r\ell}}{2}, \qquad (4b)$$

$$\Phi_{aa} = \frac{\Phi_{\ell\ell} + \Phi_{rr} - \Phi_{\ell r} - \Phi_{r\ell}}{2}, \qquad (4c)$$

$$\Phi_{bb} = \frac{\Phi_{\ell\ell} + \Phi_{rr} + \Phi_{\ell r} + \Phi_{r\ell}}{2} \,. \tag{4d}$$

The localised CSFs obviously play the role of zero-order, localised diabatic states of pure LE or CT type,

$$\Psi_{\rm LE\ell\ell}^{\rm dia0} = \Phi_{\ell\ell} \,, \tag{5a}$$

$$\Psi_{\rm LErr}^{\rm dia0} = \Phi_{rr} \,, \tag{5b}$$

$$\Psi_{\mathrm{CT}\ell r}^{\mathrm{dia0}} = \Phi_{\ell r} \,, \tag{5c}$$

$$\Psi_{\mathrm{CT}r\ell}^{\mathrm{dia0}} = \Phi_{r\ell} \,. \tag{5d}$$

Delocalised diabatic states of pure LE or CT type are then obtained from the latter via a $45^\circ\text{-}\mathrm{rotation},$

$$\Psi_{\rm LE-}^{\rm dia0} = \frac{\Psi_{\rm LE\ell\ell}^{\rm dia0} - \Psi_{\rm LErr}^{\rm dia0}}{\sqrt{2}} = \frac{\Phi_{\ell\ell} - \Phi_{rr}}{\sqrt{2}} = \frac{\Phi_{ba} + \Phi_{ab}}{\sqrt{2}}, \qquad (6a)$$

$$\Psi_{\rm LE+}^{\rm dia0} = \frac{\Psi_{\rm LE\ell\ell}^{\rm dia0} + \Psi_{\rm LErr}^{\rm dia0}}{\sqrt{2}} = \frac{\Phi_{\ell\ell} + \Phi_{rr}}{\sqrt{2}} = \frac{\Phi_{aa} + \Phi_{bb}}{\sqrt{2}}, \tag{6b}$$

$$\Psi_{\rm CT-}^{\rm dia0} = \frac{\Psi_{\rm CT\ell r}^{\rm dia0} - \Psi_{\rm CTr\ell}^{\rm dia0}}{\sqrt{2}} = \frac{\Phi_{\ell r} - \Phi_{r\ell}}{\sqrt{2}} = \frac{-\Phi_{ba} + \Phi_{ab}}{\sqrt{2}}, \qquad (6c)$$

$$\Psi_{\rm CT+}^{\rm dia0} = \frac{\Psi_{\rm CT\ell r}^{\rm dia0} + \Psi_{\rm CTr\ell}^{\rm dia0}}{\sqrt{2}} = \frac{\Phi_{\ell r} + \Phi_{r\ell}}{\sqrt{2}} = \frac{-\Phi_{aa} + \Phi_{bb}}{\sqrt{2}} \,. \tag{6d}$$

Note that each delocalised CSF is thus an equal mixture of both LE and CT contributions.

At the S_0 (1¹ A_1) minimum, min_{S₀}, the first three adiabatic excited states, S_1 (1¹ B_2 , bright: f = 1.707), S_2 (2¹ A_1 , bright: f = 0.367), and S_3 (1¹ A_1 , dark: f = 0.001), result mainly from combinations of two single excitations involving the four near-frontier orbitals discussed above, as shown in Table 2. The fourth related state (within the space generated by the four CSFs) is S_8 (4¹ A_1 , almost dark: f = 0.018) at 5.45 eV (f denote the oscillator strength).

	min	I_{S_0}	\min_{S_1}			
	deloc. CSF	coefficient	loc. CSF			
	Φ_{ba}	0.71	$\sim \Phi_{\ell\ell}$	-0.03		
	Φ_{ab}	0.66	$\sim \Phi_{rr}$	0.91		
S_1			$\sim \Phi_{\ell r}$	0.26		
			$\sim \Phi_{r\ell}$	0.23		
		(94%)		(94%)		
	Φ_{aa}	0.87	$\sim \Phi_{\ell\ell}$	0.08		
	Φ_{bb}	0.41	$\sim \Phi_{rr}$	0.05		
S_2			$\sim \Phi_{\ell r}$	-0.65		
			$\sim \Phi_{r\ell}$	0.53		
		(92%)		(72%)		
	Φ_{ba}	-0.55	$\sim \Phi_{\ell\ell}$	0.60		
	Φ_{ab}	0.60	$\sim \Phi_{rr}$	0.27		
S_3			$\sim \Phi_{\ell r}$	-0.42		
			$\sim \Phi_{r\ell}$	-0.56		
		(66%)		(93%)		
	Φ_{aa}	-0.41	$\sim \Phi_{\ell\ell}$	0.72		
	Φ_{bb}	0.85	$\sim \Phi_{rr}$	-0.20		
S_n			$\sim \Phi_{\ell r}$	0.41		
			$\sim \Phi_{r\ell}$	0.38		
		(89%)		(86%)		

Table 2: Coefficients of the singlet CSFs, restricted to the four near-frontier orbitals, contributing to the first three excited states of m22 at min_{S0} and min_{S1} and to the fourth related state S_n (n = 8 at min_{S0} and n = 7 at min_{S1}). The global weight of such contributions within the full configuration-interaction-like TD-DFT expansion is indicated within parentheses.Note that S_4 to S_7 at min_{S0} are dark valence states involving noninteracting π orbitals on both external benzene rings and in-plane π/π^* acetylene orbitals. They correlate at min_{S1} with S_4 to S_6 , and S_8 , where excitations are of LE character within p2 pseudofragments.

The TD-DFT configuration-interaction-like coefficients displayed in Table 2 show that each of the four states is an almost balanced combination of two

delocalised CSFs. They can be recast as

$$\Psi_1^{\text{adia}}(\min_{S_0}) = 0.97(\cos 43^{\circ} \Phi_{ba} + \sin 43^{\circ} \Phi_{ab}) + \dots, \qquad (7a)$$

$$\Psi_2^{\text{adia}}(\min_{S_0}) = 0.96(\cos 25^{\circ} \Phi_{aa} + \sin 25^{\circ} \Phi_{bb}) + \dots, \qquad (7b)$$

$$\Psi_3^{\text{adia}}(\min_{S_0}) = 0.81(-\sin 42^\circ \Phi_{ba} + \cos 42^\circ \Phi_{ab}) + \dots, \qquad (7c)$$

$$\Psi_8^{\text{adia}}(\min_{S_0}) = 0.94(-\sin 26^\circ \Phi_{aa} + \cos 26^\circ \Phi_{bb}) + \dots$$
(7d)

For each state, the deviation of the global rescaling factor (e.g., 0.97 for S_1 ; its square, 94%, is the global weight given in Table 2) with respect to an ideal value of 1 is a measure of the contributions of other CSFs than the four dominant ones spanning the four-state model subspace. Such contributions are small (slightly less so for the S_3 state). After rescaling (partial renormalisation), it is clear from Eq. 7 that S_1 and S_3 (B_2 states) are rotated together within the { Φ_{ba}, Φ_{ab} } subspace through about 42-43°, while S_2 and S_8 (A_1 states) are rotated together within the { Φ_{aa}, Φ_{bb} } subspace through about 25-26°. Angle values of 45° would correspond to the delocalised diabatic states of pure LE or CT type defined in Eq. 6. In other words, the deviation from 45° is a measure of the admixture between LE and CT contributions. The adiabatic states can thus be recast in terms of the zero-order diabatic states,

$$\Psi_1^{\text{adia}}(\min_{S_0}) = 0.97(\cos 2^\circ \Psi_{\text{LE}^-}^{\text{dia}0} - \sin 2^\circ \Psi_{\text{CT}^-}^{\text{dia}0}) + \dots, \qquad (8a)$$

$$\Psi_2^{\text{adia}}(\min_{S_0}) = 0.96(\cos 20^{\circ} \Psi_{\text{LE}+}^{\text{dia}0} - \sin 20^{\circ} \Psi_{\text{CT}+}^{\text{dia}0}) + \dots, \qquad (8b)$$

$$\Psi_3^{\text{adia}}(\min_{S_0}) = 0.81(\sin 3^\circ \Psi_{\text{LE}-}^{\text{dia}0} + \cos 3^\circ \Psi_{\text{CT}-}^{\text{dia}0}) + \dots, \qquad (8c)$$

$$\Psi_8^{\text{adia}}(\min_{S_0}) = 0.94(\sin 19^\circ \Psi_{\text{LE}+}^{\text{dia0}} + \cos 19^\circ \Psi_{\text{CT}+}^{\text{dia0}}) + \dots , \qquad (8d)$$

i.e.,

$$\Psi_1^{\text{adia}}(\min_{S_0}) = 0.97 \Psi_{\text{LE}-}^{\text{dia}0} - 0.04 \Psi_{\text{CT}-}^{\text{dia}0} + \dots , \qquad (9a)$$

$$\Psi_2^{\text{adia}}(\min_{S_0}) = 0.90 \Psi_{\text{LE}+}^{\text{dia0}} - 0.33 \Psi_{\text{CT}+}^{\text{dia0}} + \dots, \qquad (9b)$$

$$\Psi_3^{\text{adia}}(\min_{S_0}) = 0.04\Psi_{\text{LE}-}^{\text{dia}0} + 0.81\Psi_{\text{CT}-}^{\text{dia}0} + \dots, \qquad (9c)$$

$$\Psi_8^{\text{adia}}(\min_{S_0}) = 0.31 \Psi_{\text{LE}+}^{\text{dia0}} + 0.89 \Psi_{\text{CT}+}^{\text{dia0}} + \dots$$
(9d)

Such relationships correspond *de facto* to originating a diabatisation "by hand" at the \min_{S_0} point. The diabatic representation is based on zero-order diabatic states (model subspace) but could be turned into a more refined effective-Hamiltonian model (yielding the same eigenvalues as the target subspace defined by the four adiabatic states) upon relaxing the definition of the diabatic states.

Squaring the coefficients yields the LE or CT character of each state: S_1 is 93% LE, 0.2% CT (and 6% due to external CSF contributions); S_2 is 82% LE, 11% CT (and 8% due to external CSF contributions); S_3 is 66 % CT, 0.2 % LE (and 34% due to external CSF contributions); S_8 is 80% CT, 10% LE (and 11% due to external CSF contributions). Note that the sum of such contributions do not seem to sum up to 100% only because of rounding. This situation holds all over the C_{2v} subspace, in particular at the CoIn_{S_2/S_1} , TS_{B_2} , and TS_{A_1} points,

except that S_1 and S_2 swap their diabatic characters from one to the other side of the conical intersection.

Let us now analyse the composition of the adiabatic states at one of the two equivalent S_1 minima, min_{S1}. The HOMO and LUMO orbitals are rather localised on the excited p2 pseudofragment (cumulenic bonding pattern and corresponding geometry), while HOMO-1 and LUMO+1 are rather localised on the unexcited p2 pseudofragment (alternated) (see Figure 10). Note that HOMO and LUMO are more localised than the other two. The S_1 state (bright: f =1.133) corresponds to an almost pure (82%) HOMO \rightarrow LUMO single excitation, which clearly is an LE state on the excited p2 pseudofragment. As already mentioned, the S_2 and S_3 states have crossed at a weakly avoided crossing along the path from the conical intersection to the S_1 minimum and thus swapped their diabatic characters. The S_3 state (bright: f = 0.931) is dominated by the other LE state (HOMO $-1 \rightarrow$ LUMO+1, 36%) on the p2 pseudofragment having a ground-state geometry, with significant CT contributions, while the S_2 state (dark: f = 0.015) is almost a pure combination of the two CT states, correlating with the dark S_3 (1¹ A_1) at the FC point. The fourth related state (within the space generated by the four CSFs) is S_7 (7¹A', almost dark: f = 0.034) at 5.46 eV. It also is dominated by the other LE state (HOMO $-1 \rightarrow$ LUMO+1, 51%).

At the S_1 minimum, the four near-frontier orbitals are only approximately localised (see Figure 10), so that the interpretation is not so clear as for the S_0 minimum where symmetry helps. However, analysing other properties supports the diabatic picture discussed above. In particular, a comparison between the energies of m22 and p2 reflect that m22 behaves as a pair of weakly coupled p2 chromophores, and not only at high-symmetry points. The ground-state energy at the lower-symmetry S_1 minimum (see Table 1) is 0.32 eV for both m22 and p2. In other words, varying the geometry of m22 from the S_0 minimum to the S_1 minimum requires the same energy as for a single p2 subunit, in agreement with the pseudofragmentation scheme. At the S_1 minimum of m22, the vertical transition energy of the first LE state S_1 (excitation of the p2 subunit that is in its excited-state geometry) is 3.80 eV, while the vertical transition energy of a bare p2 at its S_1 minimum is 3.82 eV. The vertical transition of the second LE state S_3 (excitation of the other p2 subunit, being in its ground-state geometry) is 4.42 eV, while the vertical transition energy of a bare p2 at its S_0 minimum is 4.48 eV.

This property is not coincidental. For example, we calculated the energies of S_1 and S_2 from the FC point when varying the length of one of the two acetylenic bonds in m22 and compared it to the S_0 and S_1 energies of a bare p2 undergoing the same geometric change. The S_1 and S_2 vertical energies of m22 are 4.43 and 4.47 eV, respectively. The underlying diabatic states should thus cross at 4.45 eV (for a bond length equal to 1.210 Å), with an off-diagonal coupling term equal to half the difference: 0.02 eV (much as a resonance integral between two sites). The S_1 energy of p2 was thus shifted from 4.48 to 4.45 eV (to account for the small effect of CT contributions in m22 on the S_1 and S_2 energies), while the S_0 energy of p2 was shifted to the energy of the crossing point too. As can be observed in Figure 12, the agreement is almost perfect, such that the S_1 and S_2 curves in m22 can be regarded indeed as resulting from a weakly avoided crossing between two p2 pseudofragments, one excited and the other not.



Figure 12: Evolution of the energies of the first two excited states of m22 along a variation of the bond length of one acetylenic bond, d, from its ground-state minimum (full lines). Evolution of the energies of the ground- and first-excited state of p2 along the same geometric change (dashed lines), shifted so as to make the curves coincide at the avoided crossing point: d = 1.21 Å and E = 4.45 eV.

4 Conclusions

We showed that the S_1 and S_2 states of m22 (*meta*-diphenylethynylphenylene) are essentially a pair of LE states that cross at a conical intersection. They can be described in terms of a two-state diabatic model (ignoring the CT states) based on a pseudofragmentation scheme involving two p2 (diphenylethynylene, also known as diphenylacetylene or tolane) subunits sharing a *meta*-substituted phenylene ring, owing to the fact that this site contributes with two pairs (two HOMOs and two LUMOs) of degenerate benzene orbitals.

Two equivalent zero-order diabatic representations can be used: the delocalised one is symmetry-adapted and coincides with the adiabatic one over the high-symmetry C_{2v} subspace; the localised one coincides with the adiabatic one around both S_1 minima so as to rationalise the double-well topography of the S_1 PES as a symmetrical avoided crossing. The latter representation accounts for the small energy gap between S_1 and S_2 over the high-symmetry C_{2v} subspace as resulting from a weak interaction between two identical p2 pseudofragments.

Localising the excitation on either one or the other pseudofragment is achieved upon breaking the symmetry to $C_{\rm s}$ so as to relax the geometry of the excited pseudofragment from a single-triple-single-bond (alternated) to a doubledouble-double-bond (cumulenic) geometry, according to the bonding pattern induced by transitions among frontier orbitals. Changing from the delocalised, $\{\Psi_{\rm LE-}^{\rm dia0}, \Psi_{\rm LE+}^{\rm dia0}\}$, for the localised, $\{\Psi_{\rm LE\ell\ell}^{\rm dia0}, \Psi_{\rm LE\ell\ell}^{\rm dia0}\}$, diabatic representation, using Eq. 6, corresponds to a mere 45°-rotation (normalised sum and difference), known in this context as a Nikitin transformation [28, 29, 30].

The m22 species is the smallest subunit of PPE dendrimers for which EET can be envisioned (single and unique *meta*-substitution between a pair of the simplest linear peudofragments, p2). Due to symmetry, there will be no net EET nor net CT in this system from a dynamical point of view, as such processes selfcompensate symmetrically between the left and right sides (the two localised minima are mirror images of each other). However, this molecule is of interest on its own sake, as it plays the role of the "leaves" of the "nano-trees" that PPE dendrimers are expected to mimick. Also, m22 is a prototype for lessersymmetrical situations. Work is in progress, with a similar study being carried out on m23 (to be submitted soon), whereby we identified a weakly avoided crossing between S_1 and S_2 , now related to a nonsymmetrical double well, in perfect agreement with unidirectional EET regarded as nonadiabatic internal conversion between LE states attached to either p2 or p3 pseudofragments (see Figure 13). Our pseudofragmentation procedure is thus expected to be general for the definition of practical diabatic representations in this context, and a prerequisite for further nonadiabatic quantum-dynamics simulations of EET in multichromophoric assemblies.

As a final word, let us mention that we focussed our study on in-plane bond-stretching distortions, as such motions most directly affect the electronic structure due to correlated changes in the bonding patterns. However, the effect of soft modes such as local torsions and global (phonon-like) warpings deserve special attention, as they will modulate π -conjugation. Along a similar line, let



Figure 13: Schematic representation of symmetrical (m22, left) and nonsymmetrical (m23, right) weakly avoided crossings responsible of EET in *meta*-substituted PPE subunits.

us mention that in-plane "zigzag" bending modes of CCCC chains may induce significant changes in the nature of the electronic states, as hinted for the p2 species in Ref. [31]. Such open questions will be addressed in future work, involving nonadiabatic quantum dynamics.

5 Supplementary Information

The Cartesian coordinates of the two p2 and five m2 critical points discussed above are provided in Supplementary Information.

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