

# High-fidelity copying is not necessarily the key to cumulative cultural evolution: a study in monkeys and children

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## 1 High-fidelity copying is not necessarily the key to cumulative cultural

## 2 evolution: a study in monkeys and children

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#### 12 Abstract

13 The unique cumulative nature of human culture has often been explained by high-fidelity copying mechanisms found only in human social learning. However, transmission chain 14 15 experiments in human and non-human primates suggest that cumulative cultural evolution 16 (CCE) might not necessarily depend on high-fidelity copying after all. In this study we test 17 whether defining properties of CCE can emerge in a non-copying task. We performed 18 transmission chain experiments in Guinea baboons and human children where individuals 19 observed and produced visual patterns composed of four squares on touch screen devices. 20 In order to be rewarded, participants had to avoid touching squares that were touched by a 21 previous participant. In other words, they were rewarded for innovation rather than

22	copying. Results nevertheless exhibited fundamental properties of CCE: an increase over
23	generations in task performance and the emergence of systematic structure. However,
24	these properties arose from different mechanisms across species: children, unlike baboons,
25	converged in behaviour over generations by copying specific patterns in a different location,
26	thus introducing alternative copying mechanisms into the non-copying task. In children prior
27	biases towards specific shapes lead to convergence in behaviour across chains, while
28	baboon chains showed signs of lineage specificity. We conclude that CCE can result from
29	mechanisms with varying degrees of fidelity in transmission and thus that high-fidelity
30	copying is not necessarily the key to CCE.
31	Keywords: social learning; iterated learning; transmission chain; cumulative cultural
32	evolution; primate behaviour; comparative cognition;
22	
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45	However, there are theoretical and empirical arguments suggesting that this view might be
46	mistaken. Firstly, the notion of fidelity in cultural transmission is highly problematic [20]; it is
47	unclear whether there is a critical level of fidelity required to the build-up of CCE and
48	whether that required level of fidelity can ever be achieved [20]. Secondly, when fidelity can
49	be measured, it is generally low and unlikely to sustain long lasting cultural traditions [21,
50	although not always, e.g. 22]. These results suggest that, even in humans, social learning is
51	not in itself of sufficiently high fidelity to prevent the loss of cultural modifications; other
52	mechanisms such as trial and error learning for instance can have a stabilising role [23].
53	Furthermore, transmission chain studies in humans have shown that fundamental
54	properties of CCE can be reproduced with social learning mechanisms that exist in non-
55	human animals, suggesting that CCE is not dependent on special cognitive capacities unique
56	to humans [24-26]. Claidière et al. [26], for instance, performed a transmission chain study
57	in which baboons observed and reproduced visual patterns on touch screen computers. The
58	baboons were organised into chains of transmission, where each baboon was provided with
59	the patterns produced by the previous individual in their chain; as in some human
60	transmission chain experiments [27 for instance], the baboons had no visual access to the
61	behaviour of other individuals, simply the products of those behaviours. With this
62	procedure, transmission led to the emergence of cumulative culture, as indicated by three
63	fundamental aspects of human cultural evolution: (i) a progressive increase in performance,
64	(ii) the emergence of systematic structure and (iii) the presence of lineage specificity [26].
65	Surprisingly, these results were achieved with an extremely low fidelity of pattern
66	reproduction during the first generation of transmission (only 37% of the patterns were
67	reproduced without errors). This initially low level of fidelity did not prevent the

68 accumulation of modifications, and we observed a sharp increase in fidelity as patterns 69 were passed on from generation to generation (reaching 72% in the 12th generation). 70 Similar results have been found in transmission experiments with human participants, for 71 example where the transmission of miniature languages results in the emergence of 72 languages which can be easily learned, even if the initial languages in each chain of 73 transmission are transmitted only with very low fidelity [e.g. 28, 29]. Together, these results 74 suggest that high-fidelity transmission may not always be the cause of cumulative culture 75 and may in fact itself be a product of CCE. Individuals may transform input variants in 76 accordance to their prior biases, and if those biases are shared at the population level, we expect transformations in the same direction to accumulate at each transmission step. This 77 78 could thus lead to the evolution of variants which are more faithfully transmitted because 79 they match the prior biases more and more closely over generations giving a misleading 80 impression of high-fidelity transmission.

The vast majority of experiments on social learning and cultural transmission in humans and non-human animals focus on copying tasks [see 30, 31 for reviews, 32]. In our opinion, this almost exclusive interest in copying has prevented a more neutral exploration of the mechanisms through which humans, and probably other animals, use and transmit the information gained from other individuals and whether these other forms of social learning and transmission may result in cumulative culture [see also 33].

Encouraged by the results of [26] showing that crucial properties of CCE can also result from initially low transmission fidelity, we decided to test whether CCE could occur in a transmission task that did not require copying. We performed an experiment with baboons and children using the same protocol as [26] but with an "anti-copying" task in which the

91	individuals were trained to avoid directly reproducing the patterns produced by a previous
92	individual.

#### **2.** Methods

#### 94 2.1 Methods for baboons

- 95 2.1.1 Participants and testing facility
- 96 Twelve Guinea baboons (*Papio papio*) belonging to a large social group of 25 from the CNRS
- 97 Primate Centre in Rousset-sur-Arc (France) participated in this study. They were 6 males
- 98 (median age 8 years, min = 5, max = 11) and 6 females (median age 8 years, min = 5, max =
- 99 12), all born within the primate centre.
- 100 The study was conducted in a facility developed by J.F., where baboons have free access to
- 101 computerized testing booths that are installed in trailers next to their outdoor enclosure [for
- 102 further information see 34, 35-37].
- 103 2.1.2 Computer-based tasks

104 Each trial began with the display of a grid made of 16 squares, 12 white and 4 green (see 105 ESM video 1). Touching the display triggered the immediate abortion of the trial and the 106 display of a green screen for 3 s (time-out). After 400 ms all the green squares became white 107 and, in order to obtain a food reward, the monkey had to select and touch four squares in 108 this matrix which had not previously been highlighted in green. Touching these four squares 109 could be done in any order and with less than 5 s between touches. Squares became black 110 when touched to avoid being touched again and did not respond to subsequent touches. A 111 trial was completed when 4 different squares had been touched. If four correct squares 112 were touched, the trial was considered a success and the computer triggered the delivery of

3-4 wheat grains; otherwise, the trial was considered a failure and a green time-out screenappeared for 3 s.

The stimuli consisted of 80x80 pixel squares (white or green) equally spaced on a 600x600 pixel grid and were displayed on a black background on a 1024x768 pixels screen. The intertrial interval was at least 3 s but could be much longer since the baboons chose when to initiate a trial.

119 2.1.3 Training to criterion

Training followed a progressive increase in the complexity of the task, starting with one white square and one green square, followed by a stage with an increasing number of white squares (up to 6), then by a progressively increasing number of white and green squares up to 12. Training blocks consisted of 50 non-aborted trials (aborted trials were immediately represented, and the abortion rate was very low: mean = 2.2%, min = 0.23% and max = 4.6%).Progress through training was conditioned on performing above criteria (80% success on a block of 50 random trials, excluding aborted trials).

127 2.1.4 Between-individuals transmission procedure

We followed the transmission procedure described in [26] and therefore only report the main elements here. Testing began when all 12 monkeys reached the learning criterion with 4 green squares and 12 white squares randomly placed on the grid. For each transmission chain, a first baboon was randomly selected, and this subject received a first block of 50 transmission trials consisting of randomly-generated patterns. The squares touched by the first individual in responding on a given trial, whether they were correct or not, were then used as green squares on that trial for the next individual in the chain. The 50 transmission

trials were randomly reordered in a new block of 50 trials that became the set of patternsshown to the next individual in that chain.

137 When the individuals were not involved in the transmission chain, they could perform 138 random trials that were generated automatically by the computer and were not part of the 139 transmission process. We ran 9 such chains with a total of 10 generations (i.e., 10 individuals 140 in each chain), each initialised with a different set of randomly-generated trials. We also 141 made sure that each baboon did not appear more than once in each chain and performed at 142 least 500 random trials between sets of transmission trials to avoid interference between 143 chains (the order of the baboons in each chain was determined opportunistically). In our 144 analyses, the last 50 responses recorded in this set of 500 random trials were compared to 145 those obtained in the transmission chain, to infer the effects of cumulative culture. A 146 minimum of 450 random trials therefore separated the responses to transmission trials 147 from the responses to the random trials used in our analysis.

148 2.2 Met

## Methods specific to children

The experimental procedure for children was as similar as possible to the experimentalprocedure for baboons; in this section we detail the differences.

151 2.2.1 Participants and materials

152 Participants were 90 English-speaking children between the ages of 5 and 7 years old (42

153 female, mean age = 6 yo), recruited at the hall of the Edinburgh Zoo's Budongo Trail. Four

154 further participants were excluded from the study because they failed the pre-established

155 criterion to achieve at least 2/3 successful trials during training.

The experiment was conducted on iPads using iOS application Pythonista 3, in a single
session of approximately three minutes. All participants were rewarded with stickers at the
end of the experiment.

159 2.2.2 Procedure: iPad-based tasks

160 The experiment was divided into two phases, a training phase and a testing phase. The training phase followed a progressive increase in the complexity of the task over three 161 162 blocks, starting with a grid of two squares (one white, one red)<sup>1</sup>, then a grid of four (two 163 red, two white) followed by the final grid of 16 (four red, 12 white). Training blocks 164 consisted of three trials each. During testing, each trial (20 total) began with the display of a 165 grid made of 16 squares as in the baboons' version, 12 white and four red. If four correct squares (any four of those which were not displayed in red) were touched the trial was 166 167 considered a success and the smiley face of a monkey emoji was displayed along a reward 168 sound effect. Otherwise, the face of the monkey emoji was displayed with both hands covering the mouth along a child-friendly incorrect answer sound effect. After the monkey 169 170 emoji faded away, the screen remained black for 1 s before the next trial began. At the end 171 of the experiment, irrespective of the participant's performance, the display filled with 172 animated stars while a reward melody was played.

- 173 2.2.3 Between-individuals transmission procedure
- 174 The transmission procedure was exactly as described in section 2.1.4 for the baboon's
- version, with the only difference being the size of the testing/transmission set, which is 20

<sup>&</sup>lt;sup>1</sup> We decided to change the colour of the squares in the input patterns to follow the (human) western colour conventions in which red is associated with prohibition.

176	trials in this version instead of 50. We ran nine transmission chains with a total of 10
177	generations each chain was initialised with a different set of randomly-generated trials.
178	2.3 Ethics statement
179	The research with baboons was carried out in accordance with French and EU standards and
180	received approval from the French Ministère de l'Education Nationale et de la Recherche
181	(approval # APAFIS-2717-2015111708173794-V3). Procedures were also consistent with the
182	guidelines of the Association for the Study of Animal Behaviour.
400	
183	The experiment with children was carried out in accordance with the research ethics
184	procedures of the Edinburgh Zoo's Bundongo Trail and approved by the ethics committee of
185	the School of Philosophy, Psychology and Language Sciences at The University of Edinburgh
186	(Ref # 325-1718).
187	2.4 Statistical analysis
188	The aim of our analysis was to evaluate the strength of the evidence for cumulative culture
189	considering the three criteria highlighted in [26], that is, to test (i) a progressive increase in
190	performance, (ii) the emergence of systematic structure, and (iii) the presence of lineage
191	specificity. To this aim, we first analysed the data from baboons comparing transmission
192	versus random trials and later we analysed the data from transmission trials in children and
193	baboons.
194	2.4.1 Analysis restricted to the baboon data

- 195 We followed the procedure used in [26] to analyse the results and ran mixed-effects
- regression models using the Ime4 package developed in R [38, 39] The type of model (linear

or logistic) will vary according to the dependent variable<sup>2</sup>. All models contain a fixed effect
of Generation (continuous variable with the 10 generations, ranging from 0 to 9) and a fixed
effect for Trial Type (two levels: transmission as the baseline, and random trials; 50 trials
each)<sup>3</sup> with an interaction term between them. To control for the non-independence within
a given chain, models contain random intercepts for Subjects and Chain as well as bySubject random slopes for the effect of trial type, and by-Chain slopes for the effect of
Generation.

204 2.4.2 Cross-species analysis between baboons and children

205 The models used for the cross-species analysis have a very similar structure to those

described above. The only difference is that they do not contain a fixed effect for Trial Type,

207 but they do contain a fixed effect for Primate Species (two levels: children as the baseline,

and baboons) and its interaction with Generation. The random-effects structure is

209 consequently reduced to only include random intercepts for Chain as well as by-Chain

210 random slopes for the effect of Generation.

**3. Results** 

## 212 **3.1** Is cumulative cultural evolution possible without copying in baboons?

213 Increase in performance. We found a progressive increase in performance over generations

- in transmission chains with baboons (see Figure 1a). Using a dependent binary variable
- 215 determining the success or failure for each trial, the results of the logistic regression model

<sup>&</sup>lt;sup>2</sup> For linear regression models, we obtained p-values using the ImerTest package where p-values are calculated based on Satterthwaite's approximation for degrees of freedom. For logistic models, we followed the standard practice and obtained p-values based on asymptotic Wald tests.

<sup>&</sup>lt;sup>3</sup> Transmission trials were the test trials in which the baboons' input was the output of the previous baboon in the transmission chain, and the random trials were those 50 trials that the same baboons produced before the transmission trials.

suggest that the proportion of successful trials increases significantly with generation in transmission trials ( $\beta = 0.0654$ , SE = 0.026, z = 2.466, p = 0.014) and that it does so significantly less in random trials ( $\beta = -0.05$ , SE = 0.019, z = -2.580, p = 0.01). This difference in the increase in performance over time between trial types reveals a clear benefit of cultural transmission.

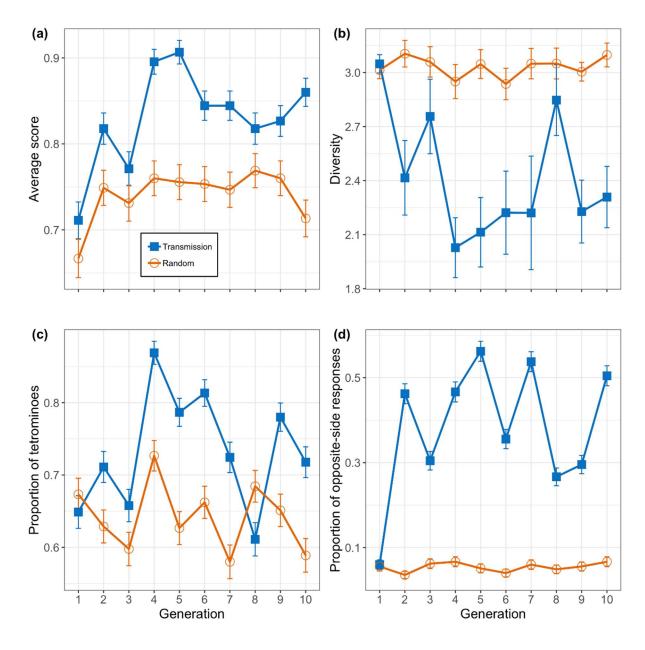




Figure 1: Results from transmission and random trials in baboons, depicted by blue squares and orange circles
 respectively. (a) Average score defined by the proportion of successful trials; (b) average Shannon's diversity

index within the set of responses; (c) average proportion of tetrominoes produced; and (d) average increase in
 opposite-side responses. Error bars represent standard errors.

226

227	Emergence of systematic structure. One indicator of the emergence of structure is a
228	progressive decrease in response diversity due to a focus on a subset of responses. We
229	observed a reduction of diversity among sets of grids during transmission trials compared to
230	random trials (Figure 1b). A linear mixed effects model with the Shannon diversity index
231	(equal to Shannon entropy, [40]) as the dependent variable suggests marginally significant
232	reduction in diversity over generations in transmission trials ( $\beta$ = –0.036, SE = 0.018, t =
233	$-2.031$ , p = 0.047) and no strong evidence for a different trajectory in random trials ( $\beta$ =
234	0.038, SE = 0.022, t = 1.679, p = 0.095). This linear model fails to capture the sharp decrease
235	in diversity between generations 1 and 2 and predicts a much lower diversity value for
236	generation 1 in transmission trials ( $\beta$ = 2.64) than the one observed in Fig 1b (>3).
237	Consequently, the difference in the overall diversity observed in Fig 1b from generation 2
238	onwards is captured by the effect of Trial Type ( $\beta$ = 0.394, SE = 0.136, t = 2.890, p = 0.006),
239	suggesting that diversity is significantly higher in random trials than in transmission trials
240	overall.

To explore the type of structures that emerged during transmission and which might guide the observed decrease in diversity, we looked at the main structures found in [26], that is, tetrominoes (grids where all four squares are connected—lines, squares, L-shapes, T-shapes, S-shapes; tetrominoes will be familiar to anyone who has played Tetris). Figure 1c shows the proportion of tetrominoes produced over generations. The results from a logistic mixed regression model with a binary dependent variable representing the presence or absence of

a tetromino suggest that baboons have a significant tendency to produce tetrominoes,

similar across random and transmission trials (intercept,  $\beta$  = 1.01, SE = 0.217, z = 4.675, p <

249 0.001; trial type,  $\beta = -0.308$ , SE = 0.194, z = -1.59, p = 0.112). However, we found that the

proportion of tetrominoes did not change over generations in either random ( $\beta$  = 0.014, SE =

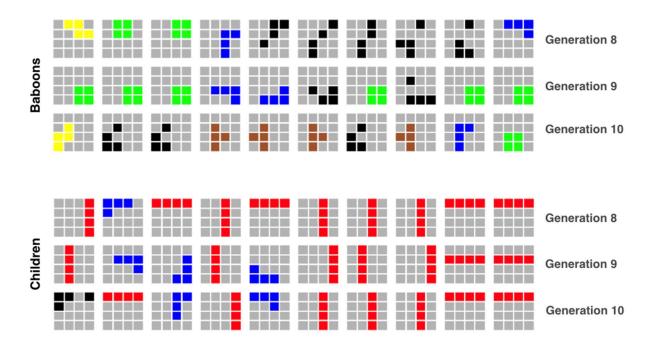
251 0.018, z = 0.817, p = 0.414) or transmission trials ( $\beta$  = -0.027, SE = 0.017, z = -1.586,

252 p=0.113).

253 Further inspection of the response strategies suggested a spatial alternation of the 254 responses (from one side of the response grid to the opposite side) between subsequent 255 generations in transmission chains (see Figure 2). To quantify this, we created a binary 256 variable that indicated if the position of the response was in a part of the screen that was 257 opposite to that of the stimulus. We divided the screen into four quadrants: right half, left 258 half, top half and bottom half. If the stimulus and the response were in different quadrants 259 (left vs right or top vs bottom), we coded them as opposite-side responses (only responses 260 that were entirely in one quadrant were considered). Figure 1d shows the number of 261 opposite-side responses increases sharply during the first generation and remains high 262 compared to random trials. Results from the logistic regression model suggest that the 263 percentage of opposite-side responses marginally increases over generations in 264 transmission trials ( $\beta$  = 0.068, SE = 0.037, z =1.826, p = 0.069) and not in random trials ( $\beta$  = 265 -0.071, SE = 0.027, z = -2.648, p = 0.008). Thus although the linear model fails to capture 266 the sharp increase in the first generation and provides weak evidence of an increase in the 267 proportion of opposite-side responses over generations in transmission trials, it provides 268 stronger evidence against such increase in random trials. Moreover, the model captures a 269 significantly lower proportion of opposite-side responses in random trials than in

transmission trials ( $\beta$  = -2.034, SE = 0.22, z = -9.238, p < 0.001), further confirming the





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Figure 2: Baboon's and children's example responses (extracted from their corresponding Chain 5). Rows
correspond to generations 8 to 10 (from top to bottom), each row contains 10 example grids. Colouring of
each grid reflects the tetromino class each pattern comes from (red for lines, green for squares, blue for Lshapes, brown for t-shapes, yellow for s-shapes, black for non-tetrominoes).

277 Presence of lineage specificity. If responses are indeed dependent on those of previous 278 generations within a given chain and independent between chains, we expect different 279 transmission chains, or lineages, to develop different responses. For instance, one chain might converge on alternating between top and bottom responses when another might use 280 281 left vs. right, or one chain might contain more S-shapes and another more T-shapes. In order 282 to assess the presence of lineage-specific systems and its potential effect on the baboons' 283 performance, we conducted a follow up study in which we tested baboons' performance on trials from the 10<sup>th</sup> generations of the nine chains (this additional experiment is presented in 284

detail in the ESM). In one condition the test sets were unmodified (all the trials within a set
belonged to the same chain), in another condition they were randomly pooled from
different chains. If there is lineage specificity, we expect the baboons to perform better on
the unmodified sets than the randomly pooled sets.

As expected, baboons were more successful in the unmodified set condition ( $\beta$  = 0.18, SE = 0.79, z = 2.28, p = 0.022; details provided in the ESM). Importantly, the divergence between lineages is not solely due to differences in response position but also to differences in shape distributions (see ESM).

To summarise the baboons' results, we found the three distinctive properties of CCE outlined above: an increase in score, the emergence of systematic structure in the response set and the presence of lineage specificity. These results are also in line with the core criteria for CCE outlined by [32]; in this non-copying task, we observe a repeated cycle of changes in behaviour that improve performance as they are transmitted to other individuals. We now turn to compare these results with those obtained in the experimental version with children.

3.2 Are the trends in CCE without copying similar across children and baboons? 300 301 A visual inspection of the data obtained from the transmission chain experiments with 302 children reveals strikingly similar tendencies to those found in baboons (see Figure 3). Using 303 the analyses described in section 2.4.2, we found a clear increase in task performance over 304 generations ( $\beta$  = 0.124, SE = 0.045, z = 2.719, p = 0.007), a significant decrease in the diversity of the sets of responses ( $\beta = -0.046$ , SE = 0.019, t = -2.433, p = 0.016), a stable high 305 306 proportion of tetrominoes over generations (intercept:  $\beta$  = 1.717, SE = 0.246, z = 6.979, p < 307 0.001; generation:  $\beta$  = 0.059, SE = 0.048, z = 1.249, p = 0.212) and a significant increase in

308	the (overall high) proportion of opposite-side responses (intercept, $\beta$ = $-1.149$ , SE = 0.225, z
309	= $-5.117$ , p < 0.001; generation, $\beta$ = 0.102, SE = 0.04, z = 2.538, p = 0.011 ). The analyses
310	further suggest no difference in the effect of Generation across species in all these
311	tendencies; we did not find a single significant interaction between Generation and Primate
312	Species (score, z = -0.924, p = 0.355; diversity, t = 0.186, p = 0.853; tetrominoes, z = -0.636,
313	p =0.525; opposite-side responses, $z = -0.565$ , p = 0.572). However, we found differences
314	across species in overall score as well as in the overall production of tetrominoes: baboons
315	scored lower ( $\beta$ = –0.962, SE = 0.250, z = –3.844, p < 0.001) and produced less tetrominoes
316	than children ( $\beta$ = –0.748, SE = 0.328, z = –2.277, p = 0.023), confirming the differences
317	observed in Figures 3a and 3c respectively. Results therefore suggest that the general
318	tendencies found in children are very similar to those found in baboons.

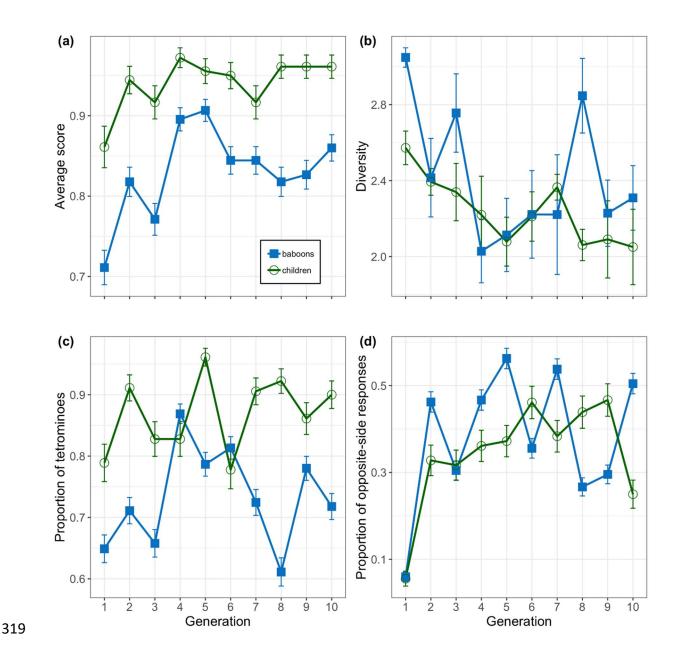


Figure 3: Results from the transmission chains with baboons (blue squares) and children (green circles): (a) average score defined by the proportion of successful trials; (b) average Shannon's diversity index within the set of responses; (c) average proportion of tetrominoes produced; and (d) average increase in opposite-side responses. Error bars represent standard errors.

However, the inspection of the specific patterns produced (see e.g. Figure 2) suggested that
children tended to copy the overall shape of the response of the previous individual but
shifted its position to avoid direct copying of the observed pattern—which was possible
because the non-copying task only prevented them from copying both shape and location of

328 the input patterns. Figure 4a shows the proportion of input tetrominoes whose shape was 329 copied (in a different location) in the response, and Figure 4b shows the proportion of trials 330 in which the tetromino produced at a given generation is the exact re-production (shares 331 the same shape and location) of the one produced two generations ago in the same chain. 332 We observe that while baboons tend not to copy the overall shape of input tetrominoes in 333 their responses, children seem to do so increasingly over generations. A logistic mixed-334 effects model confirms that children copy input tetrominoes increasingly over generations 335  $(\beta = 0.099, SE = 0.025, z = 3.923, p < 0.001)$  and significantly more than baboons (as 336 suggested by the interaction between Generation and Primate Species,  $\beta = -0.082$ , SE = 337 0.034, z = -2.374, p = 0.018). Another model further confirms that the proportion of re-338 production of the exact same response as the one produced two generation ago also 339 increased in children ( $\beta$  = 0.099, SE = 0.030, z = 3.282, p = 0.001), and significantly more than 340 in baboons ( $\beta = -0.042$ , SE = 0.035, z = -2.371, p = 0.018).

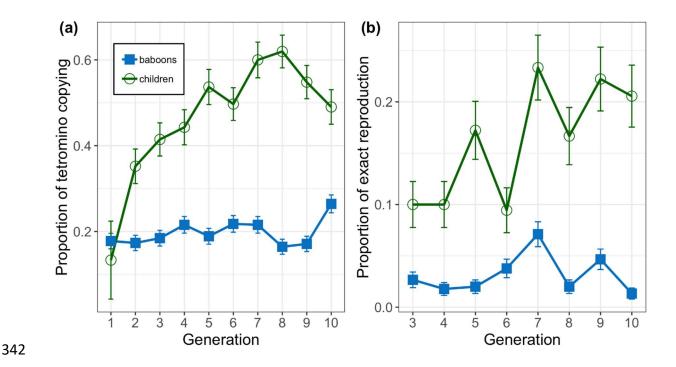


Figure 4. (a) Average proportion of tetrominoes that are copied from one generation to the next. (b)proportion of responses that are identical between every other generation.

345 We further explored the difference in tetromino copying between children and baboons by 346 examining specific tetromino shapes, because the inspection of the patterns produced also 347 suggested that children tended to produce many lines and that they copied them more so 348 than any other pattern. An inspection of the average number of tetrominoes produced as 349 well as the proportion of tetromino-copying subset by each of the five possible tetromino 350 shapes (see ESM) reveals a clear preference for lines over other tetrominoes in children. A 351 logistic mixed-effects regression model (detailed in the ESM) show that lines are the most 352 copied tetrominoes ( $\beta$  = 0.803, SE = 0.206, z = 3.905, p < 0.001; the smallest difference is 353 shown with square tetrominoes:  $\beta = -1.342$ , SE = 0.316, z = -4.250, p < 0.001) but that this tendency to copy lines does not increase over time ( $\beta = -0.012$ , SE = 0.036, z = 0.324, p < 354 355 0.001). Nonetheless, a further logistic mixed-effects model excluding line tetrominoes (see 356 ESM) suggests that this constant tendency to copy lines is not the sole driver of the effect of 357 generation on the overall proportion of copied tetrominoes; children still copy the shape of358 other input tetrominoes increasingly over generations

#### 359 **4. Discussion**

The idea that faithful copying is essential to CCE is both intuitive and appealing: if socially learned behaviours are not faithfully transmitted, modifications to what is being transmitted will not be passed on to other individuals and will therefore be lost [14]. In a process closely similar to biological replication, faithful copying could guarantee the transmission of modifications and therefore naturally lead to CCE.

365 The purpose of this study was to test this fundamental hypothesis by examining the

366 possibility of finding essential properties of CCE with what was set up as a non-copying task.

367 We used a cultural transmission task similar to the copying task used in [26] but in which the

368 participants had to avoid what was produced by the previous individual in the chain. The

369 results from the transmission chain experiments with baboons exhibited all three

370 fundamental properties of CCE examined: (i) an increase in score linked to (ii) the

371 emergence of some type of systematic structure, and (iii) lineage specificity. Despite the

372 presence of a large evolutionary space (1820 possible responses) and a 27% chance of being

373 correct by chance, we found the emergence of systematic responses alternating in position

374 from one side of the response grid to another. The results from baboons thus show that the

375 three fundamental properties of CCE examined are possible without copying.

376 Next, we aimed at testing the generalisability of our results to children. Interestingly,

377 children's results were very similar to the baboons' regarding CCE: we also found an

378 increase in score linked to the emergence of systematic structures. However, unlike the

baboons, children introduced copying mechanisms into the non-copying task by copying the

shape of the input pattern in a different location, which was not prevented in the task (the
non-copying task only forbid them from copying the exact grid pattern in the input, which
included both the shape and location of the stimulus). This strategy adopted by children
might in turn potentially explain their higher scores and tetromino production in
comparison to baboons.

385 The observed copying strategy could be in line with children's tendency to high-fidelity copy 386 even when not required in the task [41, 42]. Complementarily, it could also be partly 387 explained by the fact that children, unlike baboons, only saw grids of two and four squares 388 during training before the target grid of 16, and in these grids, the rewarded output is 389 necessarily the mirror image of the input. However, we only observe high-fidelity copying of 390 specific shapes (i.e., tetrominoes), which are potentially already preferred by children 391 because they are easier to produce and/or remember than more scattered grid patterns 392 (80% of responses are tetrominoes the first generation of child chains). Once these 393 preferred shapes are in the system, they are maintained. Results thus suggest that the 394 observed bias is not solely a copying bias, but a bias towards tetromino shapes which results 395 in a behaviour that can appear as high-fidelity copying once these patterns are introduced. 396 Further support for this conclusion comes from the lack of lineage specificity in children's 397 results, which reveals a shared prior bias in children's performance: all transmission chains 398 converge on the same behaviour, constituted mainly of tetromino responses, and in 399 particular of lines.

However, in spite of the large number of lines, we also found evidence of an increase in a
general tendency to copy, suggesting that the more the systems became structured, the
more likely specific structures were to be copied (Figure 4a).

The fact that the children copied the pattern they saw while at the same trying to avoid its location created a remarkable situation in which the responses of the individuals separated by one generation became more likely to be exactly the same (both in shape and position; Figure 4b). A tendency to avoid what the previous individual did may be conceived as a reproduction of behaviour over two steps when the number of possible behaviours is limited, an interesting illustration of the theoretical example of re-construction given in [33].

409 Social learning is usually defined as a broad notion that encompasses any form of 410 transmission of information between individuals (Heyes 1994); however, studies of social 411 learning tend to focus on the observational learning of technological problems. Our study 412 broadens the experimental perspective on social learning and CCE in several ways. Firstly, 413 we focus on the tendency to avoid doing what others have done before, a clear but 414 understudied case of social learning. Furthermore, our experiment lacks observational 415 learning because it is based on the indirect transmission of visual patterns through a 416 network of computers, a common feature of human social learning. Lastly, individuals in our 417 task are trying to best respond to each other's inputs, rather than acting collectively improve 418 an artefact.. From that perspective our results also speak to the relationship between CCE 419 and collective intelligence, which also suggests that repeated interactions among individuals 420 can improve group performance without the need for copying [43].

Finally, the purpose of this experiment was to address a theoretical question concerning the possibility of observing defining properties of CCE with a non-copying task, not to assess the importance or relevance of this phenomenon in nature. Nevertheless, are there natural examples of the type of transmission studied here? In animals, for example, when resources are scarce, the observation of others going to a (e.g., food or nesting) patch could promote

the search of a different patch. In humans specifically, there is an often-explicit search for
innovation, for instance in art and science. In conclusion, our results suggest that CCE does
not necessarily depend on high-fidelity copying and that there is a broad spectrum of
possible transmission mechanisms that will lead to CCE; these mechanisms that are not
based solely, or even mainly, on indiscriminate high-fidelity copying remain to be further
explored.

### 432 **5.** Data Accessibility

The data that support the findings of this study are openly available in the Open Science
Foundation repository at https://doi.org/10.17605/OSF.IO/ZA265.

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results and their implications, and commented on the manuscript at all stages. J.F.
developed the ALDM test systems. C.S., J.F., S.K. and N.C. coded the software for the
experiments. C.S., J.F. and N.C. collected the data. C.S. and N.C. analysed the results.

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- 549

## High-fidelity copying is not necessarily the key to cumulative cultural

## evolution: a study in monkeys and children

Authors: Carmen Saldana, Joël Fagot, Simon Kirby, Kenny Smith, Nicolas Claidière

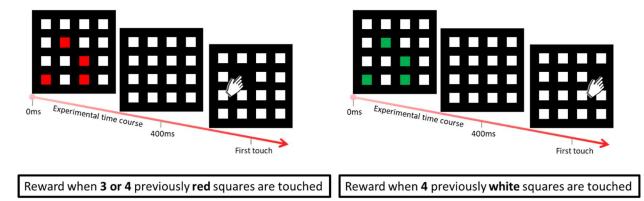
Proceedings of the Royal Society B, doi: 10.1098/rspb.2019.0729

## Supplementary material A:

## Comparison of the copying and anti-copying tasks

## Original CSKF copying task

Anti-copying task



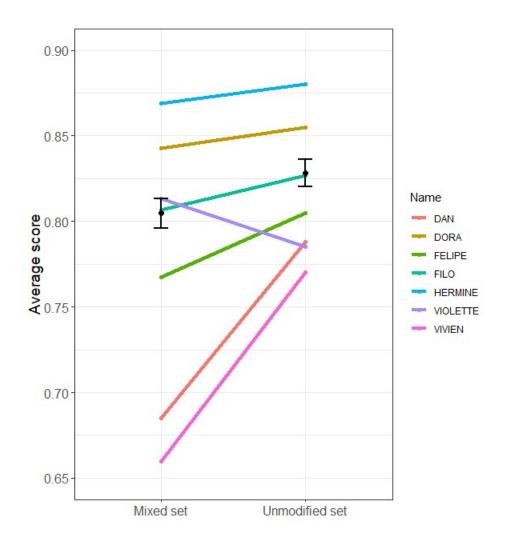
Supplementary Figure A1: From left to right: experimental designs of the copying task used in Claidière, Smith,

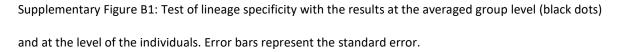
Kirby, and Fagot (2014) and the non-copying task used here.

#### Supplementary material B:

#### Test of lineage specificity in baboons

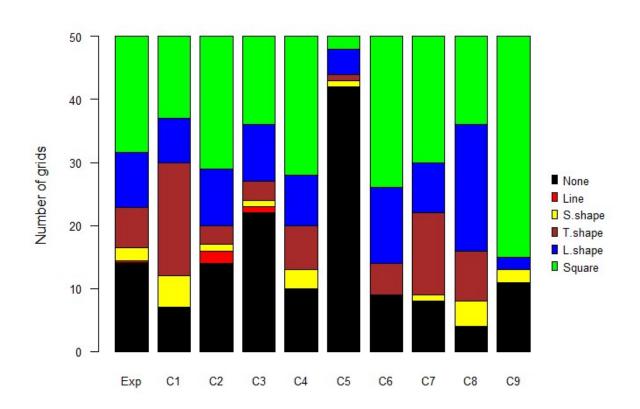
After having performed the nine transmission chains described in the main text, we conducted an experimental test of lineage specificity borrowed from Cornish, Smith & Kirby (2013) to assess the divergence between the transmission chains. Based on Claidière et al (2014), we expected that CCE would produce systematicity because the set of 50 grids that were transmitted together should tend to collectively adapt to the task (e.g. by exploiting similar patterns or using similar regions of the grid), but that independent chains should converge to different solutions (i.e. each chain will constitute an independent and distinct lineage). This allowed us to experimentally test for lineage-specificity and systematicity, by comparing the baboons' performance on sets of grids that evolved within a single chain of transmission to their performance on artificially-constructed sets of grids created by intermingling grids drawn from the last generation of several independent chains of transmission. Specifically, we used the test trials of the last generation of each chain to compare the baboons' performance when exposed to unmodified sets of test trials and sets made of a recombination of test trials from different chains. 'Natural' sets of grids which had evolved together should be systematically related and therefore easier for the baboons; artificial sets constructed by mixing grids from different chains should lack systematicity due to their independence from each other and therefore should be harder for the baboons.





Supplementary Figure B1 shows that, as expected, baboons were more successful in the unmodified set condition compared to the randomly mixed set; this seems to be the case at the group and individual levels for all but one baboon (i.e., Violette). We used a logistic mixed-effects regression model with trial success as dependent variable to test the significance of the difference between these two conditions. The model included Condition (mixed vs. unmodified) as a fixed effect and random intercepts for Subject as well as by-Subject random slopes for the effect of condition order. Results from the model suggest a significant difference between conditions: the odds of success were an estimated 18.7%

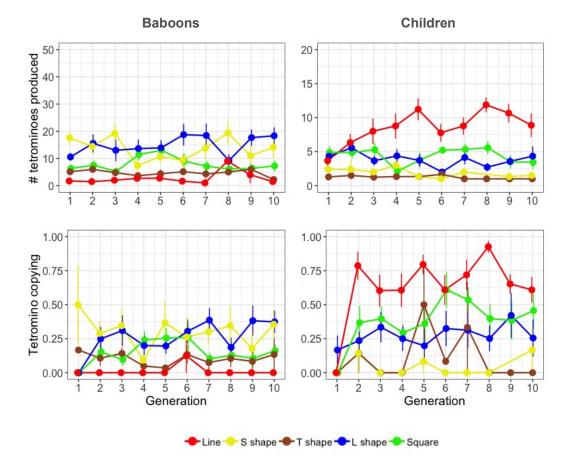
higher in the unmodified sets compared to the mixed sets ( $\beta = 0.172$ , s.e. = 0.079, z = 2.161, p = 0.031).



Supplementary Figure B2: Lineage specific set of tetrominoes. Distribution of the different grid types in the 9 chains at generation 10 and expected distribution obtained by collapsing across chains at this generation (Exp).

To further test for the presence of lineage specificity, we explored the cross-lineage divergence between the systems with regard to the grid types they contained. Supplementary Figure B2 shows a substantial diversity in the distribution of the different grid types between chains. We compared the distribution of the six grid types (T-shape, Lshape, S-shape, line and square tetrominoes as well as non-tetrominoes) at generation 10 in each chain to an expected distribution obtained by collapsing all the systems across all 9 chains at generation 10. Under the null hypothesis, we would expect individual chains to look like draws from this expected distribution (Supplementary Figure 2B). Three chains showed a significant degree of lineage specificity (chain 1:  $\chi^2$ =31.05, p=0.002; chain 2:  $\chi^2$ =11.07, p=0.14; chain 3:  $\chi^2$ =9.11, p=0.20; chain 4:  $\chi^2$ =2.88, p=0.71; chain 5:  $\chi^2$ =77.70, p<0.001; chain 6:  $\chi^2$ =7.44, p=0.23; chain 7:  $\chi^2$ =2.88, p=0.71; chain 8:  $\chi^2$ =77.70, p<0.001; chain 9:  $\chi^2$ =7.44, p=0.23; all p-values calculated by simulation with Benjamini–Hochberg correction for multiple comparisons).

### Supplementary materials C:



#### Tetromino copying by tetromino type

Figure C1: Top row: Average number of tetrominoes produced in children and baboons by tetromino shape (over 20 and 50 trials respectively). Bottom row: Average proportion of tetrominoes that are copied from one generation to the next by shape.

Supplementary Figure C1 shows the average number of tetrominoes produced as well as the proportion of tetromino copying subset by each of the five possible tetromino shapes. A visual inspection of Supplementary Figure C1 reveals a clear preference for lines over other tetrominoes in children but no specific preference in baboons. Moreover, lines are the only pattern that shows an increase in production over time in children. We ran a logistic mixedeffects regression model to test whether the observed increase in the production of lines over generations in children could be accompanied by an increase in tetromino-copying specific to lines. Our model's DV was whether or not the output tetromino type matched the input. We included fixed effects for Generation and Tetromino Type, random intercepts for Chain and by-Chain slopes for the effect of Generation. As reported in the main text, results show that lines are the most copied tetrominoes ( $\beta = 0.803$ , s.e. = 0.206, z = 3.905, p < 0.001; the smallest difference is shown with square tetrominoes:  $\beta = -1.342$ , s.e. = 0.316, z = -4.250, p < 0.001) but that this tendency to copy lines does not increase over time ( $\beta = -0.012$ , s.e. = 0.036, z = -0.324, p = 0.746). Altogether, these results suggest that children have a constant tendency to copy lines (above other tetrominoes), and once lines are introduced in the system, they are maintained. This in turn results in their accumulation and increase of the number of lines over time as new ones are introduced. Nonetheless, a further logistic mixed-effects model excluding lines (and also excluding random slopes to avoid a singular model fit) suggests that this constant tendency to copy lines is not the sole driver of the effect of generation on the overall proportion of copied tetrominoes; children still copy the shape of other input tetrominoes increasingly over generations ( $\beta$  = 0.009, s.e. = 0.003, z = 2.921, p = 0.003), and marginally more so than baboons ( $\beta$  = -0.007, s.e. = 0.035, z = -1.903, p = 0.057).

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