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Benefit-Cost model for comparing data center performance from a Biomimicry perspective

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Abstract

Data centers are estimated to have the fastest growing carbon footprint from across the whole information and communication technology (ICT) sector. Evaluating the performance of data centers in terms of energy efficiency and sustainability is becoming an increasingly important matter for organizations and governments (e.g., for regulation or reputation purposes). It nonetheless remains difficult to achieve such evaluation, as data centers imply to take into consideration a wide range of dimensions and stakeholders. Even though a wide range of sustainability performance indicators exist in the literature, there is still a lack of frameworks to help data center stakeholders (spanning from data center owners, governmental regulators to engineers/field operators) to evaluate and understand how a data center performs in terms of sustainable development/behavior. Our research work proposes such a framework, whose originality lies in the combination of state-of-the-art sustainability assessment of data centers. From a theoretical perspective, the proposed model is designed based on a benefit-cost analysis using the Analytic Hierarchy Process (AHP) technique. This approach allows data center stakeholders for specifying their own preferences and/or expertise in the comparison process, whose practicability is demonstrated in this paper considering three data center candidates, which are respectively located in France, Germany and Sweden.

Keywords: Green computing, Green networking, Sustainability, Multiple criteria decision-making, Analytic hierarchy process (AHP), Biomimicry

1. Introduction

The rapid growth of data centers is driven by the 2 increasing need for fast and efficient data processing 3 and storage services [1, 2]. In a context where data 4 centers are becoming the power plants of the informa-5 6 tion age, one of the greatest challenges is the exponen-7 tial rise in energy consumption, as they must operate 24/24 hours and 7/7 days [3]. At the time of writing, 8 the ICT sector contributes to 2% of the global carbon 9 emissions with data centers accountable for 14% of 10 the total ICT footprint [4]. It therefore becomes cru-11 cial that the next generation of data centers integrates 12 solutions that can lower the total cost of ownership, 13 while decreasing the complexity of management [5]. 14 Towards this goal, data center owners and opera-15 tors should put in place measures to evaluate the per-16 formance of their facilities/services [6, 7]. However, 17

while businesses are forced to continuously evaluate 18 their economic health, they often omit to analyze how 19 sustainable they are. This has been revealed by the 20 survey presented at the Datacenter Dynamics conferences [8], which reports that only 30% of the respon-22 dents analyzed the efficiency of their data center in 23 terms of sustainability. However, research remains 24 to be done to encompass the complexities of sustainability and enable holistic assessment of the sustain-26 ability of data centres [9, 10]. This is important be-27 28 cause most of existing green building rating initiatives/tools focus mostly on environmental impact as-29 sessment [11, 12, 13, 14], such as LEED (Leader-30 ship in Energy and Environmental Design), BREEAM 31 (Building Research Establishment Environmental As-32 sessment Methodology), CASBEE (Comprehensive 33 Assessment System for Building Environmental Effi-34 ciency), Green Globes, Green-Star, Green Mark, etc. 35 [15], while sustainability is a much wider concept. 36 Just looking at the recent survey on "Metrics for Sus-37 tainable Data Centers" [5], more than one hundred 38 sustainability metrics are reported, spanning from en-39 ergy efficiency to cooling, networking, security and 40 financial. One may then wonder how different cate-

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M_X, k: Refers to a domain-specific metric, X referring to the application domain and k to the kth metric that domain-related experts are acquainted with.

Figure 1: A need of comprehensible schemes/models to help non-expert to evaluate and compare data center performance

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gories of stakeholders, with different areas and levels 42 of expertise, can make sense of all these metrics at 43 once? This motivation question, which is also stressed 44 by other studies [16, 17, 18], is at the origin of this re-45 search work. Figure 1 provides a graphical represen-46 tation of this motivation question. 47

In this paper, we attempt to address the gap in re-48 search in designing a holistic data center performance 49 comparison model that that has the capacity to fully 50 capture the interlinked nature of a system, where im-51 provements in one area and to one impact, can ad-52 versely affect a totally different area and totally differ-53 ent impacts. To achieve this, a benefit-cost model is 54 adopted. Our approach differs from traditional CBA 55 (Cost-Benefit Analyses) that usually focus on eco-56 nomical assessment, while ours is primarily designed 57 to deal with sustainability metrics. 58

Section 2 provides further evidence that current re-59 search fails to shift away from environmental impact 60 assessment towards sustainability, and thus that fur-61 ther research is required to encompass the complex 101 62 multi-criteria nature of sustainability assessment in 102 63 data centers. Section 3 presents the proposed benefit- 103 64 cost model that follows a similar approach to the one 104 65 proposed in [19], namely a two-hierarchy approach 66 using the Analytic Hierarchy Process (AHP): a first 67 hierarchy identifying the benefits in terms of sustain-68 ability development/behavior of the data center candi-69 dates, and a second hierarchy identifying their costs. 70 A key originality of our approach lies in the combi-71 nation of state-of-the-art sustainability metrics with 72 biomimicry commandments, which contributes to re-73 alize the holistic nature of the proposed benefit-cost 74 model. The practicability of this model is demon-75 strated in section 4 considering three data center can-76 didates; the conclusion follows. 77

Note that all abbreviations used in this paper are 116 78 summarized in the form of tables in Appendix A and 117 79 Appendix B. 80

2. Performance comparison in data center and cloud computing environments

Although some distinguish between data centers and cloud computing (e.g., considering the "onpremise" vs. "off-premise" forms of storing and processing data), existing models for comparing data center or cloud computing candidate solutions are often based on similar sets of criteria such as price, reputation, reliability, availability, etc. To deal with this real world problems with multiple, conflicting, and incommensurate criteria and/or objectives, multicriteria decision analyses (MCDA) are carried out using MCDM techniques such as AHP, ELECTRE, PROMETHEE, TOPSIS. In Section 2.1, we briefly explain how MCDA stands with respect to the life cycle assessment (LCA) methodology. Section 2.2 then reviews the literature to analyze papers that deal with MCDM problems in data centers and cloud computing applications, as well as the extent to which they succeed (or fail) to cover the sustainability dimension. Based on this analysis, a more in-depth overview of state-of-the-art sustainability metrics is provided in Section 2.3, followed by Section 2.4 in which we introduce and discuss the biomimicry and Lifes' principles.

2.1. How MCDA is aiding life cycle assessment (LCA) in results interpretation

One may wonder how MCDA stands with respect to LCA analyses? To better understand the link between MCDA-LCA, we refer the reader to the paper published by Zanghelini et al., entitled "How Multi-Criteria Decision Analysis (MCDA) is aiding Life Cycle Assessment (LCA) in results interpretation" [20], in which the authors explain very clearly the relation between these two methodologies. First, let us note that they are both decision-making aiding tools (impact indicators and criteria cover the same notion respectively from the LCA and MCDA viewpoint [21].

The difference is that LCA quantifies its impact indi-174 119 cators [22], whereas MCDA often needs to be fed by 175 120 criteria (interpretation-oriented only). While the for- 176 121 mer is directed to products and services and is based 177 122 on the compilation of the inputs and outputs and the 178 123 potential environmental impacts of a product system 179 124 throughout its life cycle [23], the latter is based on 180 125 different protocols for eliciting inputs, structures, al-181 126 gorithms and processes to interpret and use formal re-182 127 sults in actual advising or decision-making contexts. 128 Thus, generally, the combination of MCDA and 184 129 LCA can occur in a two-ways path: LCA can be ap-185 130 plied to add an environmental indicator to the MCDA 131 186 process, and MCDA can be used to interpret LCA 187 132 outcomes. There are many reasons for combining 188 133 these tools, but according to [24] the main one lies 189 134 in their complementary characteristics: LCA is objec-190 135

tive, reproducible and standardized, whereas MCDA 136 191 evaluation methods take into account subjective ele- 192 137 ments (such as the opinions of stakeholders and de-193 138 cision makers) in the evaluation of the different cri-139 teria. In this paper, the list of performance indica-140 tors used as part of our Benefit-Cost model are not 141 based on a given LCA standards but on the survey 142 on "Metrics for Sustainable Data Centers" carried out 143 by Reddy et al. [5], which allows us to be very spe-144 cific and exhaustive to the data center domain. How-200 145 ever, our model could be either re-used as part of a 146 201 more generic LCA model covering the economical 202 147 and social dimensions, too, or refined with other per-203 148 formance indicators defined in one or more LCA stan-204 149 dards. 150

2.2. Data center & Cloud computing-related multi-151 criteria decision analysis 152

Evaluating and comparing the performance of data 210 153 centers or cloud computing candidate solutions is one 211 154 such problem due to both the large variety of perfor-212 155 mance metrics and the need to take human expertise 213 156 into consideration in the decision process [25, 40, 35]. 214 157 A number of research papers have applied MCDM 215 158 techniques [42, 43] (e.g., AHP, DEA, Delphi, ELEC-216 159 TRE, PROMETHEE, TOPSIS, VIKOR) to solve such 217 160 problems, as summarized in Table 1. It can be ob-161 218 served that most of the papers employ such tech-162 niques, and particularly AHP [44], to help users/de-220 163 cision makers in solving the problem of selection of 221 164 the best cloud service provider or the best site to build 222 165 a data center. The reported papers are more thor- 223 166 oughly discussed in the following, along with the lack 224 167 of comprehensible and holistic models for comparing 225 168 the overall sustainability of data centers. 169

To solve the problem of site selection for data cen-227 170 ters, Daim et al. [35] propose an AHP-based model 228 171 that takes into account geographical, financial, polit- 229 172 ical and social factors. Covas et al. [36] claim, at 230 173

the time of writing (i.e., back to 2013), that the existing research did not pay sufficient attention to include the location criterion for the development of sustainable data centers, and therefore come up with a new model. Later, Ounifi et al. [32] present a model that covers the sustainability dimension by considering energy sources, electricity as well as the average temperature in the region. Their model uses ELECTRE with the goal to minimize the overall costs of data centers.

Looking at the cloud service selection problem, Kwon and Seo [34] present a decision-making model using Fuzzy AHP in order to guide companies in selecting a suitable cloud service provider. The proposed model essentially focuses on performance, reputation and pricing metrics. Alabool et al. [37] present an approach based on VIKOR for trust-based service selection in public cloud computing. In total, 15 criteria are covered, spanning from business, governance, certification, SLA, security and sustainability. Hybrid frameworks (i.e., combining distinct MCDM techniques) have been proposed, as in [38, 29, 28], in which criteria related to financial dynamics (ROI, maintenance costs...), management practices (risk sharing, reogranization/...) and performance (service availability, accuracy, security...) are taken into consideration. For cloud service selection, Boutkhoum et al. [30] combine Fuzzy AHP and PROMETHEE, but with a special focus on ranking the most suitable cloud computing alternative to accommodate Big Data from an e-governance, security and business continuity perspective. Xu et al. [31] propose a nonparametric DEA method to evaluate cloud services based on values related to price/hour, virtual core, compute units, memory and disk. Silas et al. [41] present a methodology for selecting the best middleware services in cloud computing environments by employing the ELEC-TRE technique; criteria such as flexibility, time, service cost, scalability, trust and capability are included. Karim et al. [39] present a model aiming at ranking the candidate cloud services for end-users by, first, mapping the users' Quality of Service (QoS) requirements of cloud services to the right QoS specifications of Software-as-a-a-Service, and, second, by mapping them to the best Infrastructure-as-a-Service (i.e., the one that offers the optimal QoS guarantees). In a similar way, Garg et al. [40] and Jatoth et al. [27] propose distinct frameworks that help customers to evaluate cloud offerings and to rank them based on their ability to meet the user's QoS requirements. These two frameworks take into account criteria such as interoperability, reliability, cost, accuracy, elasticity, suitability and other performance metrics such as service response time and throughput. In line with the idea to meet QoS requirements, Liu et al. [33] propose an ontology-based service matching in order to maximize accuracy of cloud service discovery, while giving enough flexibility to cloud customers to dis-

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Table 1: Research work in which MCDM techniques have been applied for data center performance evaluation and comparison purposes



cover their best suited services. Recently, Abdel- 262 231 Basset et al. [25] presented an original approach in 263 232 the sense that the authors do not only focus on eval- 264 233 uating the quality of cloud services, but also on im- 265 234 proving the service quality by creating a competition 266 235 between cloud providers. This competition is based 267 236 on their level of security, performance, accessibility, 268 237 scalability and adaptability. 238

Overall, the above literature review reveals that 239 even though the sustainability dimension is covered 240 in a few studies (cf., last column of Table 1), it is too 241 often omitted, or, when considered, the sustainabil-242 ity metrics remain limited. For example, only DCiE 243 (Data Center Infrastructure Efficience), DPPE (Data 244 Center Performance per Energy) and PUE are consid-245 ered in [40], while only the latter is considered in [32]. 246 Other studies, such as [37], state that the sustainabil-247 ity is covered but without providing any detail about 248 what sustainability is referring to. Another aspect ev-249 idenced by this review is that most of the proposed 250 models have focused on cloud service and site selec-251 tion so far, but none has ever proposed a comprehen-252 sible and holistic model that helps non-expert users in 253 comparing the overall sustainability of data centers. 254 In this paper, we seek to contribute to proposing such 255 a model, as will be presented in the next section. 256

2.3. Sustainability metrics 257

Over the decades, a wide range of sustainability 258 metrics for data centers and cloud computing solu- 291 259 tions have been introduced [46, 47, 48, 49, 9, 50]. 292 260 At the time of writing, and to the best of our knowl-261

edge, the most extensive study of sustainability metrics is given by Reddy et al. [5], who gathered and reported state-of-the-art metrics spanning from energy efficiency, cooling, green, air management, network, security, storage to financial. Since these metrics are going to be used as part of our comparison model, we summarized them in Table A.7.

The first limitation with the reported metrics is that they are often domain-specific and this specificity is not necessarily understandable by all stakeholders of a data center who have to globally (together) manage and optimize its performance. This issue is reinforced by the multiplication of metrics for greening data centers. In [5], the authors enumerate more than one hundred metrics, which makes it difficult for human beings, not to say impossible, to handle and consider all of them to make decisions. The second limitation is about reducing the complexity of the performance evaluation analysis. The same authors gather the metrics under nine categories, as will be discussed in Section 3, which can be seen as a first simplification of the model. However, such a categorization do not help different types of stakeholders to assess the overall sustainability of data centers because some contradictions between the categories and metrics may occur. In other words, the positive optimization of one metric may negatively impact the optimization of another metric (e.g., the "carbon emission" category can be mitigated in increasing the nuclear energy source, which is in total contrast with the "green energy source" category). The objective of our study is to provide a comprehensible and holistic comparison

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	Table 2: The te	n biomimicry commandments (lessons) introduced by Benyus [45]
	Commandment (lesson)	Examples of interpretation in the context of data centers
C_1	Use waste as a resource	Considering data center (IT) equipment wastes as resources for recycling company. Reusing
		data center heat for heating building, swimming pools, etc. close to data centers.
C_2	Diversify and cooperate to fully use	Cooperating with local companies (ecopark); for example, reusing data center heating for heat-
	the habitat	ing building.
C_3	Gather and use energy efficiently	Mitigating data center energy consumption. Using Energy produced locally.
C_4	Optimize rather than maximize	Offering IT quality of service in respect to customer requirements.
C_5	Use materials sparingly	Increasing (IT) equipment lifetime. Mitigating (IT) equipment use (e.g., virtualization).
C_6	Don't foul their nests	Reducing harmful emissions in the environment (carbon in air). Avoiding electronic wastes.
C_7	Don't draw down resources	Increasing green energy use. Mitigating (IT) equipment use to avoid earth resource depletion.
C_8	Remain in balance with biosphere	In our research, the idea of lessons 6 and 8 are similar and are merged accordingly.
C_9	Run on information	In our research, the idea of lessons 2 and 9 are similar and are merged accordingly.
C_{10}	Shop locally	Using local energy for avoiding energy transportation lost. Using air and water for cooling.
		Manufacturing data centers with local companies.

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model that overcome the above limitations. The ap- 333 294 proach advocated here is to use biomimicry. 295

2.4. Biomimicry & Lifes' principles 296

The biomimicry, which means "imitation of life", 337 297 is defined by Oxford dictionary as: "The design and 338 298 production of materials, structures, and systems that ³³⁹ 299 are modelled on biological entities and processes". 340 300 The majority of application domains inspired by the 341 301 nature are materials and locomotion [51] and con-302 sists in mainly copying physiology, morphology, and ³⁴³ 303 anatomy of vegetal and animal world for optimiz-344 304 ing or making more efficient the structure of materi- 345 305 als. In computer sciences, many heuristics for opti-306 mizing data processing/transport/storage solutions are 347 307 commonly used and are based on natural behavioral 348 308 observations, such as ant colony, bat, natural evolu-349 309 tion (genetic algorithm), machine learning (e.g., neu-310 ral networks). However, optimizing an artefact in 351 311 copying biologic entity does not guarantee that the 312 optimized artefact will have less negative impact on 313 the nature (e.g., if the genetic algorithm fitness func-354 314 tion seeks to maximize the pollution, the solution will 355 315 not be environmentally friendly even if the optimi-356 316 sation algorithm copies a natural evolution process). 357 317 Another idea initially suggested by J. Benyus [45] is 318 that the life on earth has evolved a set of strategies 359 319 that have sustained over 3.8 billion years and these 360 320 strategies could be interesting to apply when design-361 321 ing an artefact. The simple expectation is the fol- 362 322 lowing: if the artefact is developed along with life 363 323 strategies, the artefact is likely to be environment-364 324 friendly. Originally, J. Benyus identified a set of ten 365 325 strategies, named the "Ten Commandments of Mature 326 *Ecosystem*", as reported in Table 2. De Pauw [52] 367 327 explained that biomimicry guild¹ proposed succes-368 328 sively new versions of life's principles in 2007, 2010 369 329 and 2013 respectively. The same author evidenced 370 330 that case studies using the Life's principles for de-371 331 signing environmentally-friendly artefacts are scarce. 372 332

[53] studied the perception of life's principles in construction industry from a survey collecting responses from different construction professionals (Civil Engineers, architects, etc.). The primary objective was not to apply Life's principles for architecting a building but only to rank the importance of those principles in the construction sector. [54] used different natureinspired design strategies from two case studies developed by students, whose goal was to compare approaches based on the life's principles, cradle to cradle and eco-design. The main difference observed is that the students following the biomimicry and cradle-tocradle methods investigated more solutions than the ones using eco-design. In the same vein as our study, Drouant et al. [55] proposed to define green networking metrics based on the ten lessons of mature ecosystem.

Finally, the "Biomimicry for Social Innovation" initiative² help leaders bring nature's adaptive genius into their organizations and enterprises, and rely for this on 23 life's principles. Overall, the multiplication of life's principles are necessary to better guide engineers in the selection of appropriate solutions and recommendations specific to the domain of design. For example, among the 23 life's principle, "Do chemistry in water" is a useful principle in material design, but not in software engineering. However, the multiplication of principles produce noise and complexity when they are applied for measuring an artefact in a holistic way. In our work, we decided to only use the initial Ten Commandments, which are more philosophical requirements disconnected to engineering process such as "Do chemistry in water" or "Fit form to function" principles. The commandments are easy to understand and do not require expertise in biology. Consequently, the artefact environmental assessment using the commandments is independent to the engineering area, especially when designing complex systems requiring multiple experts. The commandments can play the role of a universal lan-

¹https://biomimicry.org/, last access: May 2019

²https://bio-sis.net/life-principles/, last access: May 2019



Figure 2: Methodology towards the AHP-based comparison model to compare data centers in terms of sustainable development/behavior

guage for considering environment. Table 2 presents 412 373 the Ten Commandments and provides some examples 413 374 of interpretation in the context of data centers. How- 414 375 ever, two commandments C_8 and C_9 are left aside 415 376 in our study because: (i) C_8 conveys a similar mes-377 416 sage as C_6 , namely that we are living in a closed sys-378 417 tem and it is crucial to maintain the stability of envi-379 ronment in avoiding to "foul our nests" (both com-380 mandments are therefore merged into a same com-381 mandment); (ii) C_9 conveys a similar message as C_2 : 382 421 "Run on information" (C_9) refers to feedback mech-383 422 anisms for considering ecosystems (competition/col-384 laboration between companies, new legislations, new 385 423 marketing demands) with the objective to "diversify 386 101 and cooperate to fully use the habitat" (C_2) . Both 387 425 commandments are thus also combined into a unique 426 388 commandment. Even though other commandments 389 427 have close meaning, they include specific information 390 that enriches the knowledge of the artefact environ-391 mental impact, as will be discussed in the next sec-428 392 tion. 393 429

334 3. A comprehensible and holistic comparison 395 model for sustainable data centers

Determining a model that allows us for comparing 434 396 data centers alternatives requires to integrate a wide 435 397 436 range of domain-specific metrics (more than one hun-398 dred for data centers [5]), and this from both a bene-437 399 ficial and detrimental viewpoint (as improvements in 400 one area and to one impact, can adversely affect a to-401 439 tally different area and totally different impacts [10]). 402 This paper aims at proposing a benefit-cost model that 403 makes use of the biomimicry commandments for eval- 441 404 uation together with metric categories in order to sim-405 442 plify the evaluation/comparison process (reducing all 406 metrics into eight biomimicry indicators). The pro-407 443 posed model can easily be configured by stakeholders 408 444 (i.e., prioritizing or not one or more commandments) 409 since commandments do not require expertise in biol-410 445 ogy. This complies with the original idea promoted by 446 411

J. Benyus [45] in her Chapter entitled "Where will we go from here?".

The methodology underlying our comparison model is a two-step approach, as depicted in Figure 2:

- i) *Metric categorization & Translation:* with the aim to translate datacenter metrics into biomimicry lessons/commandments, the sustainability metrics reported in Table A.7 are categorized in terms of benefit and cost implications from a biomimicry perspective. This step is detailed in section 3.1;
- Benefit-Cost analysis: the metrics, associated categories, and biomimicry commandments are then combined/structured in the form of a benefitcost analysis using the AHP method. This step is described in section 3.2.

3.1. Metric Categorization & Translation

As a first step, the sustainability metrics listed in Table A.7 are categorized in terms of benefit and cost implications from a biomimicry standpoint [56, 57]. Concretely, we ask ourselves whether a metric relates to the energy, carbon, recycling or other categories? and whether the metric maximization or minimization results in a benefit or cost from a sustainability viewpoint? In this study, the metric categorization is based on the categories identified in [5], namely:

- *Energy:* evaluates the energy efficiency of the system's overall useful work done in comparison to the energy consumed;
- Materials: evaluates IT equipment efficiency;
- *Cooling:* evaluates the cooling system efficiency;
- *Green:* evaluates the amount of energy of the data center that comes from clean sources;
- Carbon: partly evaluates carbon emissions (only associated with Co2/Wh);

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	Category	Optimization	Metrics	C_1	$C_{2,9}$	C_3	C_4	C_5	$C_{6,8}$	C_7	C_{10}
	Enormy	Minimize	DCa, DCLD, DC-FVER, EWR, PUE, pPUE, SI-POM, SPUE, TUE								
	Energy	Maximize	APC, CADE, CPE, DCeP, DCiE, DCPD, DCPE, DPPE, DWPE, EES, H-POM,	-	-	9	9	-	5	3	-
			ITEE, ITEU, OSWE, PDE, PEs, PUEsc, PpW, SWaP								
	Materials	Maximize	DCcE, DH-UI, DH-UR, ScE	-	-	9	9	9	9	9	-
-	Cooling	Minimize	DCCSE, DCSSF			0	0	3	5	3	
	Cooling	Maximize	CoP, EER, HSE, AEUF	-	_	<i>'</i>	1	5	5	5	-
	Carbon	Minimize CUE, TCE				9	9	3	9	5	3
. <u></u>	Carbon	Maximize	Co2s	_	_	<i>′</i>	1	5	1	5	5
hef	Green	Minimize	GUF	1	-	5	9	3	9	5	9
Bei		Maximize	GEC	_	_	5	1	5	<i>´</i>	5	1
	Recycling	Minimize	ERE, EDE, MRR	9	5	5	9	5	5	9	3
_		Maximize	ERF		5	5	1	5	5	<i>´</i>	5
	Water	Minimize	WUE, WUEs	-	-	-	9	-	-	-	-
	IT Perf	Minimize	CNEE, ECR-VL, INPUE								
_	II I ent.	Maximize BJC, NetT, RSmax, TEER, Unet, Capacity, LSP, MemU, OSE, Su, Thght, Ustor		-	-	9	9	5	3	5	-
	Financial	Minimize	OpEx	-	-	9	9	9	3	5	-
	Water	Minimize	WUE, WUEs	-	-	5	-	3	-	9	9
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Table 3: Metric categorization from a "Benefit" and "Cost" perspective

• *Recycling:* evaluates waste resource utilization 482 efficiency of energy and materials in data centers; 483

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IT Performance: evaluates the extent to which 485 449 the system efficiently executes the IT tasks, 486 450 which can be related to the (i) Network, (ii) Stor-451 age, or (iii) Security; 452

- Water: this is an important resource for consider-453 ation when designing, identifying ideal location 454 for a data center. Energy and Water are the main 455 metrics to be controlled in a data center [58]; 456
- Financial: increased resource utilization can 457 contribute to high energy costs and maintenance 458 of IT equipment. Organizations should therefore 459 assess its performance for saving money. 460

499 Table 3 highlights, for each category and sub-461 500 categories, what metrics must be minimized or maxi-462 mized in order to "Benefit" the efficiency of data cen-501 463 ters, while highlighting - through the "Cost" catego-464 *rization* – whether this can lead to negative efficiency 465 impacts. Indeed, many contradictions may come up 466 when studying ecological systems, for example: (i) 505 467 the electricity produced by nuclear power plants must 506 468 be limited when considering the GEC (Green Energy) 469 metric, but at the same time should be increased with 470 regard to CUE (Carbon), or (ii) the water usage must 471 be mitigated when only analysing WUE (Water), but 510 472 should be increased when looking at GEC (Green). In 473 511 the following, we seek to specify the extent to which 474 a given category may positively or negatively impact 512 475 (benefit-cost) on each of the commandments. 476 To this end, we propose to first model the impact 514 477

that one biomimicry commandment may have on the 515 478 others, as given in Figure 3(a). Let us take the mod-479 516 elling related to the "Energy" category, as given in 517 480 Figure 3(b). It can be stated that metrics under this 518 481

category have an impact on C_3 , C_4 , $C_{6,8}$ and C_7 . Indeed, C_3 and C_4 are about energy efficiency/optimization; $C_{6,8}$ is related to the pollution that is intrinsically linked to the energy consumption (emitted Co2); and C_7 is about earth resource utilization for nonrenewable energy consumption minimization. The other commandments (i.e., C_1 , $C_{2,9}$, C_9 and C_{10}) are not taken into account because their impact is either null, negligible, or too uncertain for providing a correspondence. In order to quantify the extent to which the maximization (or minimization) of a given metric category results in a benefit or cost in terms of sustainability efficiency, a three-scale rating has been used $\{3, 5, 9\}$, respectively meaning that a category has a moderate, strong or absolute beneficial or costly impact. Given the example of Figure 3(b), the "Energy" category is deemed to have an absolute impact on C_3 and C_4 (all about energy efficiency/optimization); strong impact on $C_{6,8}$ due to some level of uncertainty about the type of energy used (e.g., level of pollution produced by coil being much higher compared with solar panels); and a moderate impact on C_7 due to uncertainty about the level of resources used (dependent on how energy is produced).

In a similar way, the above analysis was carried out for each category and the associated weight vectors generated (cf., columns denoted by C_1 to C_{10} in Table 3). These vectors serve as inputs of the benefit/cost analysis presented in the next section.

3.2. Benefit/Cost Analysis

The objective of the proposed comparison model is to help assessing and identifying the overall most sustainable data center among a set of candidates, whose results must be expressed in non-technical terms. To serve this purpose, a two-hierarchy approach is adopted, as proposed in [19]. Concretely, two distinct criteria hierarchies are specified, one quantify-



 C_X $C_Y C_X$ has an impact on C_Y

(b) Extent to which "Energy" category impacts on commandments

Figure 3: Relationships between biomimicry commandments & Extent to which a given metric category may impact on those commandments

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ing the benefits in terms of sustainable data center 558 519 behavior, and a second quantifying the cost implica-520 tions. These two hierarchies (i.e., Benefit and Cost) 521 560 are respectively given in Figures 4(a) and 4(b), whose 561 522 design follows the logic described below. Note that 562 523 all variables used in this paper are summarized in Ta- 563 524 ble **B.8** (as an Appendix): 525 564

(a) Relationships between the ten biomimicry commandments

- Level 4 (Alternatives): the set of data center candidates, denoted as A, are individually evaluated with regard to the metrics reported in Table 3;
- 2. Level 3 & 2: those metrics are clustered according to the category they belong to, as defined in Table 3 (e.g., Energy, Material, *etc.*). This is denoted by \mathcal{M}_{P_i} , where P_i refers to the category to which a given set of metrics belongs to;
- 3. Level 1: those categories have been, in turn, clustered depending on what biomimicry commandment(s) their impact on. For example, the Energy category has beneficial implications on C_3 , C_4 , $C_{6,8}$, C_7 (no cost implication), which results in the bold/red linkage highlighted in Figure 4(a);
- 4. *Level 0*: aggregated scores of all data center candidates are computed for both the Benefit and Cost hierarchies. These two scores, respectively denoted by $S_{A_l}^B$ and $S_{A_l}^C$, are divided to get the final Benefit/Cost score, which serves as basis to rank data center candidates in terms of sustainable development/behavior.

As a next step, pairwise comparisons between all 547 elements of a given level of the AHP hierarchy must 548 be carried out by one or more stakeholders of our ap-549 proach/tool. Table 4 provides a brief insight into what 573 550 stakeholders could be concerned by such a pairwise 574 551 comparison process. Since the proposed model is in-575 552 tended to provide a holistic view of how sustainable 553 a data center is, non-technical stakeholders (e.g., ex-577 554 ecutives, regulators) are more likely to communicate 578 555 preferences at level 1 (e.g., to place particular empha-556 sis on C_5 to know which data center performs the best 580 557

in "using materials sparingly"), while stakeholders at level 3 are more likely to communicate preferences about domain-specific metrics (e.g., importance of PUE over other energy metrics). Level 2 corresponds to the expertise needed to match the biomimicry commandments with the sustainability metric categories, which corresponds to expertise. Although a first expertise is provided in Table 3, this does not prevent another biomimicry expert from modifying, if needed, the proposed expertise. Sections 3.2.1 to 3.2.5 detail the theoretical basis of the pairwise comparison process performed at levels 1, 2, 3 and 4 respectively, along with the aggregation process to obtain the final ranking of the data center alternatives.

572 3.2.1. Pairwise comparisons at Level 1

Let $(C)_{z \times z}$ be the pairwise comparison matrix at level 1, as formalized in Eq 1, where c_{ij} $(i, j \in C)$ is supposed to reflect how many more times commandment *i* is preferred – or deemed more important by the data center stakeholder – over commandment *j*. In our study, the stakeholder's evaluation is carried out based on the Saaty's scale: {1,3,5,7,9}, where $c_{ij} = 1$ means that C_i and C_j are of equal importance and $c_{ij} = 9$ means that C_i is strongly preferred over C_j .

$$(C)_{z \times z} = \begin{bmatrix} C_1 & C_2 & \dots & C_z \\ C_1 & C_{12} & \dots & C_{1z} \\ C_2 & c_{21} & c_{22} & \dots & c_{2z} \\ \vdots & \vdots & \vdots & \vdots \\ C_z & c_{21} & c_{22} & \dots & c_{zz} \end{bmatrix}$$
(1)

The priority vector, denoted by $E_{(C)_{2\times 2}}$ must then be computed. To this end, the geometric mean method proposed by Crawford and Williams [59] is applied, as formalized in Eq. 2 in this study. Even though not detailed, it should be noted that the consistency ratio (CR) of any pairwise comparison matrix must be measured, whose inconsistency is regarded as acceptable if CR $\leq 10\%$ [44].



(a) BENEFIT-related AHP

(b) COST-related AHP



Table 4: Stakeholders and respective involvement in the comparison process

AHP	Data Center Stakeholders	Focus & Interest
Level 1	Data center owners; Executives; Sponsors; Regulators; Public	They take a big-picture approach to data center sustainabilty effectiveness
Level 2	Biomimicry experts	It corresponds to the expertise needed to model the extent to which the categories of metrics impact on the biomimicry commandments. This paper provides a first expertise in Section 3.1 and Table 3, although this does not prevent other biomimicry experts from modifying it.
Level 3	Designers, Engineers; Field oper- ators; Maintainers	They must develop mechanical and electrical designs that drive energy efficiency and sustainability, while ensuring that solutions fit within the framework of a live, operational facility
Level 4	N/A	Pairwise comparisons are performed based on measurable/supervised system parameters (i.e., based on automated mechanisms)

$$E_{C_i} = \frac{\left(\prod_{j=1}^{z} c_{ij}\right)^{\frac{1}{z}}}{\sum_{i=1}^{z} \left(\prod_{j=1}^{z} c_{ij}\right)^{\frac{1}{z}}}$$
(2)

$$E_{(C)_{z \times z}} = \left[E_{(C)_{z \times z}, 1}, E_{(C)_{z \times z}, 2}, \dots, E_{(C)_{z \times z}, z} \right]^{T}$$
(3)

3.2.2. Pairwise comparisons at Level 2 581

594 Pairwise comparisons at level 2 of the Benefit and 582 595 Cost hierarchies must be carried out as well. As ex-583 596 plained in Table 4, pairwise comparison matrices be-584 597 tween the metric categories with regard to the set 585 598 of commandments are created based on the weights 586 specified in Table 3. For example, the pairwise com-587 599 parison matrix regarding C_{10} , which is denoted by 588 600 $(P_{C_{10}})_{3\times 3}$, is generated as in Eq. 4³ (cf., weights high-589 601 lighted in bold/red correspond to the ones specified in 590

Table 3). The resulting priority vectors are denoted by 591 $E_{(P_{C_m})_{y\times y}}.$ 592

$$\begin{pmatrix} \text{Carbon Green Recycling} \\ \text{Carbon} \\ \left(P_{C_{10}}\right)_{3\times3} = \begin{matrix} 1 & \frac{3}{9} & \frac{3}{3} \\ \\ \text{Becycling} \end{matrix} \begin{bmatrix} 1 & \frac{3}{9} & \frac{3}{3} \\ \frac{1}{c_{12}} & 1 & \frac{9}{3} \\ \frac{1}{c_{13}} & \frac{1}{c_{23}} & 1 \end{matrix} \right]$$
(4)

It should be noted that we do not expect that data center stakeholders perform pairwise comparison at this level, even though it does not prevent an expert from modifying the impact analysis proposed in Section 3.1 and Table 3.

3.2.3. Pairwise comparisons at Level 3

Pairwise comparisons at level 3 (i.e., between metrics of a given category P_k) must be performed by stakeholders (cf., Table 4). For example, regarding the Recycling category, one may want to put more emphasis on the "MRR" metric at the expense of "ERF" be-

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⁶⁰³ ³Note: All consistency rations (CR) of the pairwise comparison matrices of level 2 are < 0.1.

cause the stakeholder deems the recycling of material as more important than the reuse of data center heat extraction/dissipation to warm up buildings. Pairwise comparison matrices are denoted by $(M_{P_i})_{x \times x}$ and the

associated priority vector denoted by $E_{(M_{P_k})_{xxx}}$.

610 3.2.4. Pairwise comparisons at Level 4

Finally, pairwise comparisons at level 4 are carried 611 out between the set of alternatives (i.e., data center 612 candidates), which is denoted by \mathcal{A} . One difference 613 with the previous pairwise comparisons is that, while 614 before they were reflecting either the stakeholder pref-615 erences/expertise, they are now performed based upon 616 measurable/supervised system parameters. In other 617 words, all data center candidates are evaluated with 618 respect to all metrics based on automated mecha-619 nisms. Pairwise comparison matrices are denoted by 620 $(A^{M_{P_{k,j}}})_{w \times w}$, as in Eq. 5, *j* referring to the *j*th metric of 621 category P_k , and *l* to the *l*th data center candidate. The 622 resulting priority vectors are denoted by $E_{(A_i^{M_{Pk,j}})}$ 623

$$\begin{pmatrix} A_{1} & \dots & A_{w} & {}^{637} \\ A_{1} & \begin{bmatrix} 1 & \dots & \frac{A_{1}^{M_{P_{k},j}}}{A_{w}^{M_{P_{k},j}}} \\ \vdots & \vdots & \ddots \\ A_{w} & \begin{bmatrix} \frac{A_{2}^{M_{P_{k},j}}}{A_{1}^{M_{P_{k},j}}} & {}^{638} \\ \vdots & \vdots & \ddots \\ \frac{A_{2}^{M_{P_{k},j}}}{A_{1}^{M_{P_{k},j}}} & \dots & 1 \end{bmatrix}$$

624 3.2.5. Alternative ranking

All the priority vectors previously computed must 646 625 now be aggregated in order to obtain the final score 626 of each alternative with respect to the Benefit and the 648 627 Cost objectives (scores being respectively denoted by 649 628 $S_{A_i}^B$ and $S_{A_i}^C$). To this end, the weighted sum method is ⁶⁵⁰ 629 applied, as given in Eq. 6, where f(i) and f(m) refer 651 630 to the mapping functions that depend on the size of 652 631 vectors $E_{(P_{C_m})_{v \neq v}}$ and $E_{(M_{P_k})_{v \neq v}}$ respectively. 653 632 654

$$S_{A_{l}}^{\{B;C\}} = \sum_{j=1}^{f(i)} \left[\sum_{i=1}^{c(m)} \left[\sum_{m=1}^{z} \left(E_{\left(A_{i}^{M_{P_{k},j}}\right)_{w \times w}, j} \right) \cdot \left(E_{\left(M_{P_{k}}\right)_{x \times x}, i} \right) \cdot \left(E_{\left(P_{C_{m}}\right)_{y \times y}, m} \right) \cdot \left(E_{\left(C\right)_{z \times z}, m} \right) \right] \right]$$

$$(6) \quad {}^{657}_{658}$$

The Benefit/Cost score, denoted by S_{A_l} in Eq. 7, is carried out by dividing the two scores, which is used as basis for ranking all data center candidates in terms of sustainable development/behavior.

$$S_{A_l} = \frac{S_{A_l}^B}{S_{A_l}^C} \tag{7}$$



Figure 5: Data center candidates compared in the case study

4. Case study

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The geographic distribution of data centers across the globe goes along with different environmental and economic considerations (e.g., cost of the electricity or the cooling effort needed in a given country/region), which has a direct impact on the overall sustainability of data centers. In order to run experiments with data centers operating under different climate and energy production conditions, we selected three data centers respectively located in Nice (France), Karlsruhe (Germany) and Uppsala (Sweden), as depicted in Figure 5. Table 5 reports the coefficients needed to produce energy in these three countries (data source: International Energy Authority website⁴.

Section 4.1 details the methodology that has been applied to collect the datasets related to each data center, as well as the computational steps performed with regard to the Benefit/Cost analysis. Section 4.2 analyzes the results/ranking obtained when comparing the three data center candidates using our approach.

4.1. Data center settings, Stakeholder preference & Computational steps

Section 4.1.1 details how datasets related to the data center candidates have been collected. Section 4.1.2 presents both the stakeholder preferences specified in the pairwise comparison process and the computational steps performed based on our approach.

⁴https://www.iea.org, last access: May 2019.

		Traditio	onal Coolii	1g (TC)	Virtualization (V)				
	Formula	Fr	Ge	Sw	Pre-V	Post-V	Post-V-R		
PUE (Ratio)	Total Facility Power IT Equipment Power	1.560	1.550	1.540	2.278	1.720	2.117		
CUE (Co2/Wh)	<u>Total Carbon Emissions</u> Total IT Equipment Energy	0.096	0.785	0.039	0.140	0.106	0.130		
GEC (%)	Green Energy used in the Data center Total Data Center Source Energy	0.190	0.300	0.580	0.190	0.190	0.190		
WUE (L/kWh)	Annual Water Usage IT Equipment Energy	0.000	0.000	0.000	0.137	0.169	0.169		
WUEs (L/kWh)	Annual Site Water Use+Annual Srce Water Use IT Equipment Energy	10.083	3.897	29.356	10.083	10.181	10.083		
DCiE (%)	1 PUE	0.640	0.650	0.650	0.440	0.580	0.470		
OPEX (\$)	Energy Cost \times Consumed Energy	1151K	1807K	1086K	1197K	733K	902K		
SPUE (Ratio)	Total Facility Power Server Power	N/A	N/A	N/A	4.556	4.473	5.518		
REL (Faults/h)	number of failures or outages Total surviving hours	N/A	N/A	N/A	0.001	0.001	0.0005		

Table 6: Values of metrics related to the three use case scenarios: TC, CW, V

Table 5: Country-related data from IEA⁴

	country	Hydro	Wind	Biomass	Solar CSP	Geotherma	Solar PV	Nuclear	Natural Ga	Oil	Coal
S.	Fr	11	4	2	2	0	/	73	6	0	2
90 80	Ge	3	12	9	6	0	/	13	13	1	43
Ē	Sw	41	10	7	0	0	/	40	1	0	1
Carbon (kg/kWh)	(10^{-3})	4	12	18	22	45	46	16	469	84	1001

664 4.1.1. Data center-related datasets

It is not an easy task for academics to be able to 665 access/collect data center-related datasets. The rea-666 son for this is two-fold: (i) due to a lack of trans-667 parency and impossibility of accessing backend sys-668 tems of data center organizations; (ii) some of the 669 state-of-the-art metrics are not necessarily implement-670 ed/monitored by data center organizations. While the 671 690 first difficulty can be partially overcome/influenced by 672 691 government regulatory bodies [60, 61, 62], the sec-673 ond one requires further sensor network deployments 674 in data centers to be able to compute all the state-of-675 693 the-art metrics integrated to our model (cf., Table 3) 676 [63, 64, 65, 66]. Nonetheless, as the primary objective ₆₉₄ 677 of our research is not to provide an in-depth compari-678 son study of existing data centers, but rather to present 695 679 the theoretical foundation of our approach, we made 696 680 use of an online calculator - Data Center Tradeoff 681 *Tools of Schneider Electric*⁵ – to generate the datasets 697 682 related to the data center candidates. However, this 683 698 tool only allows us to generate a limited number of 684 699 metrics compared with the one integrated to our model 685 (cf., Figure 4). The subset of metrics for which data 686 700 could be generated is detailed in Table 6. 687 701



Figure 6: Screenshot of Schneider Electric online calculator

The Schneider Electric calculator requires to set up some operating conditions of the data center, as given in the software screenshot in Figure 6 (*cf.*, *Power & Environmental Characteristics*). The following conditions were specified for experiment purposes:

- Data Center IT Capacity: 1000kW;
- Data Center IT Load: 70%;
- IT Operating Environment: User defined temperature;
- IT Inlet Temperature: 32°;
- Power & Lighting: No power of lighting losses.

In addition of this scenario, a second one was specified consisting in virtualizing part of the physical infrastructure. This requires to modify the alternative level of the Benefit and Cost hierarchies, for which alternatives are no longer the data center candidates but the set of virtualization configuration candidates in a

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⁵https://www.schneider-electric.com/en/work/solutions/forbusiness/data-centers-and-networks/trade-off-tools/, last access: May 2019.



(a) BENEFIT hierarchy

(b) COST hierarchy

Figure 7: AHP-based data center performance comparison from BENEFIT and COST perspectives

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data center. Three configuration candidates are com-706 pared: (i) Pre-Virtualization (Pre-V): amount of en-707 ergy used in the data center and by the IT equipments 708 before virtualization; (ii) Post-Virtualization (Post-709 50%): a total of 1000 physical servers are considered 710 for set up, 50% of which being virtualized without 711 redundancy; and (iii) Post-Virtualization (Post-50%-712 R): same except that physical servers are redundant. 713 Given these scenarios, all metric values regarding the 714 three data center and virtualization candidates were 715 generated, as given in Table 6. The following section 716 details an example of how a the weights are generated 717 740 and aggregated in our approach. 718

719 4.1.2. Stakeholder preference & Computational steps

In this scenario, we assume that stakeholders at lev-720 747 els 1 and 3 do not want to prioritize one command-748 721 ment/metric criterion over others. It is important to 749 722 understand that this preference set is only meant to 750 723 illustrate the functioning of our approach and could 724 be easily adapted, if needed, depending on the stake-725 holders' needs/interests. Given the set of metrics con-726 sidered in our scenario (cf., Table 6), along with the 727 benefit/cost analysis detailed in section 3.2, the re-728 sulting Benefit and Cost hierarchies are depicted in 729 Figures 7(a) and 7(b) respectively. In order to ease 730 the understanding of how weights are generated/com-731 puted and aggregated in our approach, we propose to 732 detail in the following the computational steps regard-733 ing the PUE metric from the Benefit hierarchy (cf., 734 red/dashed lines in Figure 7(a)). 735

736	1. Level 1 (L1): As it is assumed that all command-
737	ments are considered of the same importance by
738	the stakeholder, this results in the pairwise com-
739	parison matrix and priority vector given in Eq. 8; 751

		C_3	C_4	C_5	$C_{6,8}$	C_7	C_{10}	
С	3	1	1	1	1	1	1	
С	4	1	1	1	1	1	1	
C^{-C}	5	1	1	1	1	1	1	
$C^{-}C$	6,8	1	1	1	1	1	1	
С	7	1	1	1	1	1	1	
С	10	1	1	1	1	1	1]	
	C_3	С	4 C	5	C _{6,8}	C_7	C_{10}	
$E_C =$	[1/6	<u>5</u> 1/	6 1	/6	1/6	1/6	1/6]	(8)

2. Level 2 (L2): Eq. 9 details the pairwise comparison matrix related to commandment C_3 , along with the resulting priority vector (please refer to section 3.2.2 to obtain more details on how the pairwise comparison matrix is created). Eq. 10 to 12 only provide the priority vectors resulting from the pairwise comparison matrix related to commandments C_3 , C_4 , $C_{6,8}$ and C_7 (the ones needed to compute the red/dashed part highlighted in Figures 7(a)).

$$P_{C_{3}} = \begin{bmatrix} \text{Ener} & 1 & \frac{9}{9} & \frac{9}{5} & \frac{9}{9} \\ \frac{9}{9} & 1 & \frac{9}{5} & \frac{9}{9} \\ \frac{9}{9} & 1 & \frac{9}{5} & \frac{9}{9} \\ \frac{5}{9} & \frac{5}{9} & 1 & \frac{5}{9} \\ \frac{9}{9} & \frac{9}{9} & \frac{9}{5} & 1 \end{bmatrix}$$

$$Ener \quad \text{Carb} \quad \text{Gree} \quad \text{Fina}$$

$$E_{P_{C_{3}}} = \begin{bmatrix} 0.281 & 0.281 & 0.157 & 0.281 \end{bmatrix} \quad (9)$$

$$Ener \quad \text{Carb} \quad \text{Gree} \quad \text{Wate} \quad \text{Fina}$$

$$E_{P_{C_{4}}} = \begin{bmatrix} 0.2 & 0.2 & 0.2 & 0.2 & 0.2 \end{bmatrix} \quad (10)$$

$$Ener \quad \text{Gree} \quad \text{Fina}$$

$$E_{P_{C_{6,8}}} = \begin{bmatrix} 0.295 & 0.529 & 0.176 \end{bmatrix} \quad (11)$$

$$Ener \quad \text{Carb} \quad \text{Gree} \quad \text{Fina}$$

$$E_{P_{C_{7}}} = \begin{bmatrix} 0.166 & 0.278 & 0.278 & 0.278 \end{bmatrix} \quad (12)$$

3. Level 3 (L3): As we assume that metrics in this 783 752

category are considered of the same importance 753

by the stakeholder, this leads to the comparison 784 754 matrix and priority vector given in Eq. 13; 755

PUE
 DCiE
 SPUE
 787

 PUE
 1
 1
 1
 788

$$M_{\text{Ener}} = DCiE$$
 1
 1
 1
 789

 SPUE
 1
 1
 1
 789

 PUE
 DCiE
 SPUE
 780

 PUE
 DCiE
 SPUE
 790

 PUE
 DCiE
 SPUE
 790

 $E_{M_{\text{Ener}}} = \begin{bmatrix} 1/3 & 1/3 & 1/3 \end{bmatrix}$
 (13)
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 792
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4. Level 4 (L4): The three data center candidates 794 757 are then evaluated with respect to each metric of 795 758 the Energy, Carbon, Green, Water and Financial 796 759 categories. Eq. 14 details only the pairwise com-760 parison matrix and the resulting priority vector 761 798 related to the PUE metric. Each pairwise com-799 762 parison is built based on the values reported in 800 763 Table 6 (cf., red/bold row in the table). 801 764

$$\begin{array}{cccc} & & & & & & & \\ & & & & & \\ Fr & & & & \\ \left(A^{\text{PUE}}\right) = & & & \\ & & & & \\ & & & & \\ Sw & & & & \\ \frac{1.550}{1.560} & 1 & \frac{1.540}{1.550} \\ & & & & \\ \frac{1.540}{1.560} & \frac{1.540}{1.550} & 1 \end{array} \right] \\ & & & & \\ Fr & & & \\ & & & \\ E_{A^{\text{PUE}}} = & & \\ & & & \\ \left[0.336 & 0.333 & 0.331 \right] \end{array} \tag{14}$$

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5. Weight aggregation: All the priority vectors pre-766 viously computed must then be aggregated 767 813 based on Eq. 7 - in order to obtain the "benefit" 768 814 score of each data center candidate with regard 769 to each metric, of each category. In our example, 770 816 we detail the aggregation score with regard to the 771 PUE metric, which is denoted by $S_{A_i}^{B(PUE)}$ in the 772 following. This computational stage is detailed 773 in Eq. 15 for the data center located in France 774 (score of 0.0176). Data centers located in Ger-775 821 many and Sweden obtain a lower beneficial score 776 822 with regard to PUE, as given in Eq. 16 and 17 $(S_{Sw}^{B(PUE)} < S_{Ge}^{B(PUE)} < S_{Fr}^{B(PUE)})$. All benefit and 777 823 824 cost scores, with regard to all metrics, are com-779 825 puted and aggregated in a similar way, and fur-780 ther divided (cf., Eq. 7) to rank the data center 826 781 candidates in terms of sustainable behavior. 827 782

$$\begin{split} S_{F_{r}}^{B(\text{PUE})} = & \sum_{i = \{C_{3,4,6,8,7}\}} \left(E_{A^{\text{PUE}}}[\text{Fr}] \cdot E_{M_{\text{Ener}}}[\text{PUE}] \cdot E_{P_{C_{3}}}[\text{PUE}] \cdot E_{C}[C_{3}] \right)_{830}^{829} \\ = & (0.336 \cdot 1/3 \cdot 0.281 \cdot 1/6) + (0.336 \cdot 1/3 \cdot 0.2 \cdot 1/6) + \\ & (0.336 \cdot 1/3 \cdot 0.295 \cdot 1/6) + (0.336 \cdot 1/3 \cdot 0.166 \cdot 1/6) \\ = & 0.0176 \\ S_{Ge}^{B(\text{PUE})} = & 0.0174 \\ S_{Sw}^{B(\text{PUE})} = & 0.0173 \\ \end{array}$$

4.2. Benefit/Cost analysis

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Given the experimental settings, the Benefit/Cost analyses for the two scenarios were carried out using MATLAB, which are respectively presented in sections 4.2.1 and 4.2.2, while section 4.2.3 discusses the limitation of our experiments and the "take away" message of our research.

4.2.1. Comparison of data center candidates

Figures 8(a) and 8(b) provide insight into the overall scores obtained by the three data center candidates in terms of Benefit $(S_{A_l}^B)$ and Cost $(S_{A_l}^C)$ implications, while Figure 8(c) gives insight into the final Benefit/Cost analysis (S_{A_i}) . Looking independently at the benefit and cost analyses, it can be observed that data center 3 (Uppsala) is the most beneficial and data center 2 the less beneficial (cf., Figure 8(a)), while the situation is exactly the opposite regarding the cost analysis. This logically leads to increasing the gap when carrying out the Benefit/Cost analysis in Figure 8(c): data center 3 (Uppsala) being ranked first in terms of sustainable behavior/development, followed by data centers 1 (Nice) and 2 (Karlsruhe) respectively. A comment about these results is that a data center with a same specification has different environmental impacts depending on its location, which is mainly due to the type of climate, of energy used, and the cost of energy. One may imagine that such a ranking model could be used by data center owners in the decision making process for deciding where to build new data centers, which has a direct impact on the economy of a country/region depending on the adopted political decisions.

To more thoroughly analyze the results and to better understand how a data center behaves regarding one or more of the biomimicry commandements (i.e., level 1 of the AHP hierarchies) and/or one or more of the metric categories (i.e., level 2), let us look at Figures 9(a) and 9(b). While the latter provides a technical representation that is more adapted to data center experts (all axes referring to the Datacenter metric categories), the former is more adapted to non-expert users. For example, the representation from:

Figure 9(a) could be integrated into a more global analysis such as an ecopark (gathering other industries) or as support for political decisionmaking in Conference of the Parties (COP)-like events during which ICT performance are analyzed and debated. In this respect, the holistic nature of our approach could be used. For example, if politicians would like to focus the debate on pollution mitigation, they would just need to privilege C_6 over the other commandments in order to get the corresponding data center ranking.



Figure 8: Benefit/Cost analysis of the three data center candidates: Nice vs. Karlsruhe vs. Upssala

Data Center 1 (Nice, Fr)

Data Center 2 (Karlsruhe, Ge)

Data Center 3 (Uppsala, Sw)



(a) Data center sustainability w.r.t the biomimicry commandments

(b) Data center sustainability w.r.t the metric categories

Figure 9: Holistic view of the data center sustainability with regard to both the biomimicry commandments and the metric categories

• Figure 9(b) could be used by experts to take ap- 858 837 propriate actions in order to meet the political de- 859 838 cisions above-discussed. For example, an inter-839 esting finding from Figure 9(b) is that Sweden is 861 840 actually less performant than the other data cen-841 ters regarding the Water category, but it is less 863 842 costly when evaluating the Cost implication (cf., 864 843 Figure 8(b)). The reason for this is that water ₈₆₅ 844 implies to emit less carbon and to use less non-845 866 renewable energy. 867 846

Another comment about Figures 9(a) and 9(b) is that ₈₆₉ 847 the perception of the performance is different. For ex-848 ample, for Sweden, Figure 9(a) shows balanced and $_{871}$ 849 good results, which is not the case in Figure 9(b) re-850 garding the Water category. This means that, when 873 851 decisions are selected by experts (Figure 9(b)), it is 852 important to analyze Figure 9(a) at the same time, as 874 853 it provides a holistic view of the impacts on ecosys- 875 854 tems. Overall, these two views could help data center 876 855 operators to tune data center parameters by consider-856 877 ing all interrelations of complex ecological systems. 857

4.2.2. Comparison of virtualization configuration candidates

In a similar manner as before, Figures 10(a) and 10(b) provide insight into the overall scores obtained by the three virtualization configuration candidates in terms of Benefit and Cost implications, and Figure 10(c) into the final Benefit/Cost analysis. The Benefit and Cost analyses confirm the fact that virtualizing physical servers contributes to improving the overall sustainability of the data center, and, interestingly, the redundancy of servers does not affect/degrade - or very lightly - the sustainability score in this experiment. Even though we do not need to be an expert to understand this result, one may imagine to use this approach for marketing purposes with customers or politics.

4.2.3. Discussion of experiment results & findings

Before concluding this paper, it is important to remind ourselves that the the above experiments are only meant to illustrate the practicality and holistic nature of the proposed approach, as was discussed in

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Figure 10: Benefit/Cost analysis of the three Virtualization configuration candidates: Pre-V vs. Post-V vs. Post-V-R

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section 4.2.1. This is important to be noted because 918 879 the key message to be conveyed in this paper is that 919 880 we do not aim to carry out an in-depth comparison 920 881 study of existing data centers over the globe, but rather 921 882 to present the theoretical foundation of an innovative 922 883 approach that could help data center stakeholders to 923 884 compare the overall sustainability of data centers in 885 924 a holistic and non-technical manner. This message is 925 886 important as our experiments are limited in the fol- 926 887 lowing respect: 888 927

• we could not collect real datasets from existing 889 929 data centers: 890

931 • the online calculator enabled us to work only 891 with a limited number of metrics compared with 892 933 the exhaustive list considered in the theoretical 893 934 model of our approach (cf., section 3). 894 935

Such limitations have direct impact on the relevance 936 895 of our comments/findings when discussing the data 937 896 center performance results. This should therefore 897 be taken with caution, or at least understood by the 939 898 reader. 899

5. Conclusions, implications, limitations and fu-900 ture research 901

5.1. Conclusions 902

The importance of data centers for society has 903 947 changed, as public life, economy and society depend 904 to a large extend on the proper functioning of data cen-905 948 ters. From different parts of society, the sustainability 906 of data centers is questioned, thus raising questions 949 907 about the "data center equation" of "people, planet, 950 908 profit". While it is common place to think that making 951 909 data centers sustainable comes down to implementing 952 910 technical measures, it actually goes well beyond the 953 911 walls of the data center, touching upon economic and 954 912 political questions. As a consequence, it is imperative 955 913 to develop and propose holistic models that help data 956 914 center stakeholders, spanning from data center own-915 957 ers, governmental regulators to engineers/field oper-916 958 ators, to evaluate and understand how a data center 959 917

performs in terms of sustainable development and behavior. While a large number of sustainability metrics exist in the literature, there is still a lack of frameworks that make it possible to reduce the complexity of the performance evaluation analysis.

In order to fill this gap in literature, this paper presents an innovative approach based on a benefit-cost analysis using the Analytic Hierarchy Process (AHP). The originality lies in the combination of state-of-the-art sustainability metrics with the biomimicry commandments of eco-mature system defined by J. Benyus [45], and the possibility for data center stakeholders to analyze and compare performance of data centers in a more or less holistic manner (depending on their needs, focus, expertise). For example, one data center stakeholder may want to prioritize the biomimicry commandment C_6 when debating on pollution aspects (data centers would, indeed, be ranked with emphasis on the extent to which the pollute locally), while another one could be provided with a more in-depth analysis of how a data center performs with respect to the energy, water, green or still financial dimensions. The practicability of our model is demonstrated considering three data center candidates, which are respectively located in France, Germany and Sweden. However, it is important to note that the key contribution and message to be conveyed in this paper is about the theoretical foundation of the proposed approach, rather than on comparing existing data centers over the world.

5.2. Implications

This research presents three main theoretical implications.

First, it has implications in the field of LCA (as was previously discussed in section 2.1), and particularly of CBA (Cost-Benefit Analysis) [22]. Even though our approach differs from traditional CBA analyses (which mostly focus on economical assessment), many studies have considered AHP in order to cope with the CBA's weakness in reflecting stakeholders' knowledge in the evaluation process of projects [67, 68]. Although the assessment of CBA versus

AHP capabilities remains an open research question 1012 960 [69, 70], our Benefit-Cost model is, to the best of 1013 961 our knowledge, the first one that explores the possi- 1014 962 bility of combining state-of-the-art sustainability met- 1015 963 rics with the biomimicry principles in order to enable 964 holistic assessment of the sustainability performance 1016 965 of data centres. One key interest and novelty of such a 1017 966 combination lies in the fact that the biomimicry com- 1018 967 mandments provide a universal language/representa- 1019 968 tion that makes it possible the integration of any fu- 1020 969 ture/new metrics without having to change the un- 1021 970 derlying model (except complementing the expertise 1022 971 specified in Table 3). 972 1023

Second, the proposed approach also contributes 1024 973 to move towards increasing professional and public 1025 974 awareness of how data centers behave in terms of sus- 1026 975 tainability, both from a biomimicry viewpoint and/or 1027 976 when looking at specific metric categories (e.g., from 1028 977 an energy, financial, green or still water viewpoint). 1029 978 This could be helpful for organizations and regula- 1030 979 tory institutions to establish strategic plans to both im- 1031 prove current data center practices to meet the United 1032 981 Nations Sustainable Development Goals⁶ (SDGs) and 1033 982 contribute to the welfare of the society. In this re- 1034 983 spect, and from a theoretical implication perspective, 1035 984 our approach could serve as a basis to further address 1036 985 the Arrow's impossibility theorem [71], as it has been $_{1037}$ 986 proved that the combination of the AHP and geomet- 1038 987 ric mean methods (approach adopted in this paper) 1039 988 contributes to find a social welfare function that sat- 1040 989 isfies the four conditions: (i) Pareto optimality, (ii) 1041 990 Independence from irrelevant alternatives, (iii) unre- 1042 991 stricted domain, and (iv) Non-dictatorship [72, 73]. 992 1043

Third, our approach supports, to a certain extent, 1044 993 an efficient solution to tackle environmental exter- 1045 994 nalities. Indeed, different overconsumption (e.g. in 1046 995 terms of energy) will result in an increase of the costs 1047 996 (i.e., negative externality) in our model; for instance, 1048 997 satisfying commandment C_3 (mitigating energy con- 1049 998 sumption and local energy production) would be a 1050 999 true leverage for a private cooperation to minimize 1051 1000 that effect (the cost will be here the incentive). The 1052 1001 same may apply for commandment C_1 (use waste as 1053 1002 ressource). In addition, society will intervene in the 1054 1003 markets and apply new taxes (for instance related to 1004 Co2) and may even define temporary closures for in- 1055 1005

stance in case of (carbon in) air pollution, leading to $\frac{1056}{1007}$ a loss of income and usage effectiveness. Command- $\frac{1057}{1058}$ ment C_6 will aim at repelling this risk. $\frac{1058}{1058}$

1009 5.3. Limitations

In this research work, AHP is used as underlying technique for combining, in a holistic way, the $\frac{1061}{1082}$ biomimicry commandments and state-of-the-art sustainability metrics. However, several limitations of this approach should be noted, which are respectively discussed through sections 5.3.1, 5.3.2 and 5.3.3.

5.3.1. Dealing with uncertainties

The path towards sustainable development is not a straightforward process, as explained by Zanghelini et al. [20] who point out three complex factors in the ideal environmental decision-making: (i) uncertainty & vagueness: difficulty lies in the quantity of data, the multiple unit types, judgmental values to be applied and the uncertainty of background and foreground data; (ii) subjectivity: because personal judgment vary on which topics are most important; and the (iii) multi-stakeholders involvement: because it must be considered in ideal decision-making. Given these factors, Petrillo et al. [74] explain that providing a real and substantial application of sustainability through the measurement and comparability of results, in a way that satisfies the principles of sustainability of all the stakeholders is one of the biggest challenge (knowing that uncertainties vary depending on who we ask). There is still research to be done in this area.

Although AHP is among the most popular techniques for dealing with MCDA, it does not allow us to tackle uncertainty and vagueness in the expert judgments/preferences, while it could be arguable to ask for precise pairwise comparison values because these coefficients are arguably imprecisely known by experts [75]. To overcome this, AHP could further be combined with Fuzzy Logic, also known as FAHP (Fuzzy AHP) [43], even though some scholars, such as Dubois [76], argue that fuzzy sets have often been incorporated into existing methods (e.g., into AHP, PROMETHEE, ELECTRE) without clear benefits. The main reason behind such a criticism lies in the difficulty of successfully satisfying the transitivity and reciprocal axioms [76, 77]. Other approaches than FAHP could also be explored to handle uncertainty and vagueness, such as the Statistical Value Chain (SVC) [78], which is designed to help evaluating the process of decision support from a statistical viewpoint.

5.3.2. *Misuse of the analysis?*

One may raise the question: "would it be possible to misuse the analysis by manipulating either data or analysis procedure?" A straightforward answer would be "yes", but any multi-attribute decisionmaking (MADM) technique that takes into consideration (as inputs) human judgments/preferences, as is the case with AHP, can lead to risks of misusing/manipulating the input judgments in order to obtain the desired alternative ranking (different sets of preferences resulting in different ranking results). However, this is more a problem of integrity than a limitation of

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⁶https://www.un.org/sustainabledevelopment, last access: May ¹⁰⁶⁵ 2019. 1066

1067 AHP. Although making transparent the pairwise com-

1068 parison judgments/preferences would help to not mis-

¹⁰⁶⁹ lead anyone, this problem is out of scope of this study.

1070 5.3.3. Lack of real-life datasets

Even though the primary message of this research 1071 is not to present an in-depth comparison analysis of 1072 existing data centers over the globe, but rather present 1073 the theoretical foundation of our approach, a next step 1074 would be to carry out such comparison analysis con-1075 sidering real-life datasets from data centers. As was 1076 discussed in section 4.1.1, this poses a two-fold chal-1077 lenge: (i) to be able to access backend systems of 1078 data center organizations; and (ii) to support and/or 1079 incentivize organizations to implement/monitor new 1080 sustainability metrics in their facilities. 1081

1082 5.4. Future research

Beyond addressing the limitations raised in the pre-1083 vious section, we believe that the theoretical model 1084 proposed in this paper is fully applicable to other 1085 green ICT sectors including core network infrastruc-1086 tures, internet providers, ICT in enterprise, or still to 1087 smart applications such as smart cities, smart trans-1088 port, Industry 4.0, etc. One perspective of this re-1089 search is thus to keep refining the modelling in trans-1090 1107 lating other metrics in term of eco-mature system 1091 lessons. To this end, and as previously discussed in 1092 section 2.1, we believe that our model could be either 1093 re-used as part of a more generic LCA model cover-1094 ing the economical and social dimensions, too, or be 1095 refined with other performance indicators defined in 1096 LCA standards. 1097

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1105 Appendix A. Sustainable data center metrics

Table A.7: Metrics for Sustainable data centers reported in [5]

	Metric	Definition
	ACPR	Average Comparisons Per Rule
	AEUF	Air Economizer Utilization Factor
	APC	Adaptability Power Curve
16	ATR	Application Transaction Rate
	BJC	Bits per Joule Capacity
	CADE	Corporate Average Data Center Efficiency
	Capacity	Capacity

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Metric	Definition
CC	Concurrent Connections
CER	Connection Establishment Rate
CNEE	Communication Network Energy Efficiency
Co2s	Co2 Savings
CoP	Coefficient of Performance Ensemble
CPE	Compute Power Efficiency
CUE	Carbon Usage Effectiveness
DCA	DCAdapt
DCcE	Data Center Compute Efficiency
DCCSE	Data Center Cooling System Efficiency
DC EVER	Data Center Energy Productivity
DC-FVER	Data Center Infrastructura Efficiency
DCLD	Data Center Lighting Density
DCPD	Data Center Power Density
DCPE	Data Center Performance Efficiency
DCSSF	Data Center Cooling System Sizing Factor
DeD	Defense Depth
DeP	Detection Performance
DH-UE	Deployed Hardware Utilization Efficiency
DH-UR	Deployed Hardware Utilization Ratio
DPPE	Data Center Performance Per Energy
DTE	Data Transmission Exposure
DWPE	Data Center Workload Power Efficiency
ECR-VL	Energy Consumption Rating Variable Load
EDE	Electronics Disposal Efficiency
EEK	Energy Efficiency Ratio
EES	Energy Pause Effectiveness
FRE	Energy Reuse Factor
EWR	Energy Wasted Ratio
GEC	Green Energy Coefficient
GUF	Grid Utilization Factor
H-POM	IT Hardware Power Overhead Multiplier
HSE	HVAC System Effectiveness
HTTP	HTTP Transfer Rate
IAS	Interface Accessibility Surface
IPFH IDt	IP Fragmentation Handling
IPI	IP inrougnput IT Equipment Energy
ITEL	IT Equipment Utilization
ITH	Illegal Traffic Handling
Lat	Lat
LSP	Low-cost Storage Percentage
MemU	Memory Usage
MRR	Material Recycling Ratio
NetT	Network Traffic per Kilowatt-Hour
NPUE	Network Power Usage Effectiveness
OSE	Overall Storage Efficiency
OSWE	Operating System Workload Efficiency
OPEX	Power Density Efficiency
PEs	Primary Energy Savings
pPUE	partial Power Usage Effectiveness
PpW	Performance per Watt
PUE	Power Usage Effectiveness
PUEs	Power Usage Effectiveness Scalability
RC	Reachability Count
RCD	Rogue Change Days
REL	Reliability
RSmax	Maximum Relative Size
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Metric	Definition
ScE	Server Compute Efficiency
SI-POM	Site Infrastructure Power Overhead Multiplier
SPUE	Server Power Usage Efficiency
SU	Slot Utilization
SWaP	Space, Watts and Performance
Т	Vulnerability Exposure
TCE	Technology Carbon Efficiency
TEER	Telecommunications Energy Efficiency Ratio
Thght	Throughput
TUE	Total-Power Usage Effectiveness
Unet	Network Utilization
Ustor	Storage Usage
WUE	Water Usage Effectiveness (on-site)
WUEs	Water Usage Effectiveness (on-site and off-site)

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Appendix B. Abbreviation & Important concepts

1110Table B.8 summarizes all abbreviations used11761111throughout the paper, except the list of sustainable11781112data center metrics which is given as a separate table11791113in Appendix A.1181

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	Acronym	Table B.8: List of acronyms and variables used throughout the paper
		Andreis II: marke Dessen. Franziska Listen be Dessen
	AHP - FAHP	Analytic Hierarchy Process - Fuzzy Analytic Hierarchy Process
	COR	Conference of the Dertice
	CP	Considered to the Fairles
	CK Solar CSD DV	Conservated Salar Device - Salar Destavaltain
	DEA	Concentrated Solar Fower - Solar Filolovoltate
	ELECTRE	Data Envelopinen Analysis Él iminition Et Choix Traduisant la Déalité
	ELECTKE	Elemination el Choix Haduisant la KEante
п		Life Cycle Assessment
tio		Intercepted Assessment
VIa	MCDA	Multi-Artibute Decision Making
Abbre	MCDM	Multi-Criteria Decision Making
	PROMETHEE	Preference Ranking Organization Making
	Oos	Quality of Service
	Pre-V	Pre-Virtualization
	Post-V(-R)	Post-Virtualization (Post-V): Post-Virtualization with Redundancy (Post-V-R)
	SDGs	Sustainable Development Goals
	SLA	Service Level Agreement
	SVC	Statistical Value Chain
	TOPSIS	Technique for Order of Preference by Similarity to Ideal Solution
	VIKOR	VlseKriterijumska Optimizacija I Kompromisno Resenje (means: multicriteria optimization and compromise solution)
	С	Set of biomimicry commandments. Elements of C are denoted by $\{C_1,, C_i,, C_z\}$ and the associated pairwise com-
		parison matrix is denoted by $(C)_{z \times z}$, as given in Eq. 1.
	$\mathcal{P}, \mathcal{P}_{C_m}$	\mathcal{P} refers to the set of categories given in Table 3 (i.e., Energy, Materials, Cooling, <i>etc.</i>). Elements of \mathcal{P} are denoted
		by $\{P_1,, P_k,, P_u\}$. \mathcal{P}_{C_m} refers to a subset of \mathcal{P} indicating what categories impact on, and thus are linked with, a
		given commandment C_m . For example, $\mathcal{P}_{C_{10}}$ ={Cooling, Carbon, Green, Recycling} for the Benefit hierarchy, and
		$\mathcal{P}_{C_{10}}$ ={Water} for the Cost hierarchy (cf., Table 3). Elements of \mathcal{P}_{C_m} are denoted by { $P_{C_m,1},, P_{C_m,i},, P_{C_m,y}$ } and the
es		associated pairwise comparison matrix is denoted by $(P_{C_m})_{y \times y}$.
ab	\mathcal{M}_{P_k}	Set of metrics that belongs to category P_k (cf., Table 3). Elements of \mathcal{M}_{P_k} being denoted by $\{M_{P_k,1},, M_{P_k,x}\}$
var		and the associated pairwise comparison matrix is denoted by $(M_{P_k})_{x \in X}$.
a a	Я	Set of alternative (data center) candidates. Elements of \mathcal{A} being denoted by $\{A_1,, A_k\}$. All candidates must be
Itic		evaluated with respect to all metrics and categories. The corresponding pairwise comparison matrix is denoted by
ŝ		$(A^{M_{P_k,j}})$, with j the j th metric of category P_k and l the l th data center candidate.
ath	E_n	Priority/Eigen vector (EV) of a pairwise comparison matrix p. Elements of E_p are denoted by $\{E_{p,1}, \dots, E_{p,i}, \dots, E_{p,v}\}$.
Ë	P	In our study, p corresponds to one of the above-specified pairwise comparison matrices, namely $(C)_{2757}$ $(P_{Cm})_{1275}$ $(e_{Cm})_{1275}$ $(e_{Cm})_{1275}$
		whose corresponding eigenvectors are denoted by $E_{(C), \gamma}, E_{(P_C)}$, etc.
	$S^{\{B;C\}}$	Aggregated scores of a given alternative (data center) A_i with regard to the Benefit hierarchy (score denoted by S^B)
	S_{A_l}	and the Cost hierarchy (score denoted by S^{C}). The formula to compute these two scores is given in Eq. 6
	C.	and the cost interacting (see the noted by $S_{A/}$). The formula to compute hisse two sectors is given in Eq. 0.
	S_{A_l}	(cf., Eq. 7). This overall score is used to achieve the final ranking of the compared data center candidates.

Table B.8: List of acronyms and variables used throughout the pap

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