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Benefit-Cost model for comparing data center performance from a Biomimicry perspective

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Abstract

Data centers are estimated to have the fastest growing carbon footprint from across the whole information and communication technology (ICT) sector. Evaluating the performance of data centers in terms of energy efficiency and sustainability is becoming an increasingly important matter for organizations and governments (e.g., for regulation or reputation purposes). It nonetheless remains difficult to achieve such evaluation, as data centers imply to take into consideration a wide range of dimensions and stakeholders. Even though a wide range of sustainability performance indicators exist in the literature, there is still a lack of frameworks to help data center stakeholders (spanning from data center owners, governmental regulators to engineers/field operators) to evaluate and understand how a data center performs in terms of sustainable development/behavior. Our research work proposes such a framework, whose originality lies in the combination of state-of-the-art sustainability metrics with the biomimicry commandments of eco-mature system, which enables holistic sustainability assessment of data centers. From a theoretical perspective, the proposed model is designed based on a benefit-cost analysis using the Analytic Hierarchy Process (AHP) technique. This approach allows data center stakeholders for specifying their own preferences and/or expertise in the comparison process, whose practicability is demonstrated in this paper considering three data center candidates, which are respectively located in France, Germany and Sweden.

Keywords: Green computing, Green networking, Sustainability, Multiple criteria decision-making, Analytic hierarchy process (AHP), Biomimicry

1. Introduction

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The rapid growth of data centers is driven by the increasing need for fast and efficient data processing and storage services [1, 2]. In a context where data centers are becoming the power plants of the information age, one of the greatest challenges is the exponential rise in energy consumption, as they must operate 24/24 hours and 7/7 days [3]. At the time of writing, the ICT sector contributes to 2% of the global carbon emissions with data centers accountable for 14% of the total ICT footprint [4]. It therefore becomes crucial that the next generation of data centers integrates solutions that can lower the total cost of ownership, while decreasing the complexity of management [5].

Towards this goal, data center owners and operators should put in place measures to evaluate the per-

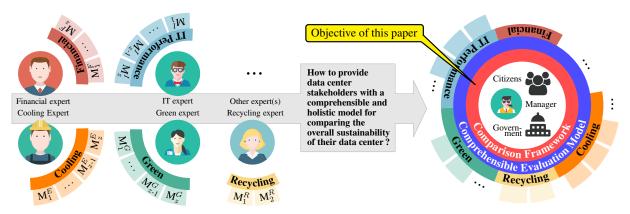
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formance of their facilities/services [6, 7]. However,

while businesses are forced to continuously evaluate their economic health, they often omit to analyze how sustainable they are. This has been revealed by the survey presented at the Datacenter Dynamics conferences [8], which reports that only 30% of the respondents analyzed the efficiency of their data center in terms of sustainability. However, research remains to be done to encompass the complexities of sustainability and enable holistic assessment of the sustainability of data centres [9, 10]. This is important because most of existing green building rating initiatives/tools focus mostly on environmental impact assessment [11, 12, 13, 14], such as LEED (Leadership in Energy and Environmental Design), BREEAM (Building Research Establishment Environmental Assessment Methodology), CASBEE (Comprehensive Assessment System for Building Environmental Efficiency), Green Globes, Green-Star, Green Mark, etc. [15], while sustainability is a much wider concept. Just looking at the recent survey on "Metrics for Sustainable Data Centers" [5], more than one hundred sustainability metrics are reported, spanning from energy efficiency to cooling, networking, security and financial. One may then wonder how different cate-

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 M_X , k: Refers to a domain-specific metric, X referring to the application domain and k to the k^{th} metric that domain-related experts are acquainted with.

Figure 1: A need of comprehensible schemes/models to help non-expert to evaluate and compare data center performance

gories of stakeholders, with different areas and levels of expertise, can make sense of all these metrics at once? This motivation question, which is also stressed by other studies [16, 17, 18], is at the origin of this research work. Figure 1 provides a graphical representation of this motivation question.

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In this paper, we attempt to address the gap in research in designing a holistic data center performance comparison model that that has the capacity to fully capture the interlinked nature of a system, where improvements in one area and to one impact, can adversely affect a totally different area and totally different impacts. To achieve this, a benefit-cost model is adopted. Our approach differs from traditional CBA (Cost-Benefit Analyses) that usually focus on economical assessment, while ours is primarily designed to deal with sustainability metrics.

Section 2 provides further evidence that current research fails to shift away from environmental impact assessment towards sustainability, and thus that further research is required to encompass the complex 101 multi-criteria nature of sustainability assessment in 102 data centers. Section 3 presents the proposed benefit- 103 cost model that follows a similar approach to the one 104 proposed in [19], namely a two-hierarchy approach using the Analytic Hierarchy Process (AHP): a first hierarchy identifying the benefits in terms of sustain- 106 ability development/behavior of the data center candidates, and a second hierarchy identifying their costs. A key originality of our approach lies in the combination of state-of-the-art sustainability metrics with biomimicry commandments, which contributes to realize the holistic nature of the proposed benefit-cost model. The practicability of this model is demonstrated in section 4 considering three data center candidates; the conclusion follows.

Note that all abbreviations used in this paper are summarized in the form of tables in Appendix A and Appendix B. 118

2. Performance comparison in data center and cloud computing environments

Although some distinguish between data centers and cloud computing (e.g., considering the "onpremise" vs. "off-premise" forms of storing and processing data), existing models for comparing data center or cloud computing candidate solutions are often based on similar sets of criteria such as price, reputation, reliability, availability, etc. To deal with this real world problems with multiple, conflicting, and incommensurate criteria and/or objectives, multicriteria decision analyses (MCDA) are carried out using MCDM techniques such as AHP, ELECTRE, PROMETHEE, TOPSIS. In Section 2.1, we briefly explain how MCDA stands with respect to the life cycle assessment (LCA) methodology. Section 2.2 then reviews the literature to analyze papers that deal with MCDM problems in data centers and cloud computing applications, as well as the extent to which they succeed (or fail) to cover the sustainability dimension. Based on this analysis, a more in-depth overview of state-of-the-art sustainability metrics is provided in Section 2.3, followed by Section 2.4 in which we introduce and discuss the biomimicry and Lifes' principles.

2.1. How MCDA is aiding life cycle assessment (LCA) in results interpretation

One may wonder how MCDA stands with respect to LCA analyses? To better understand the link between MCDA-LCA, we refer the reader to the paper published by Zanghelini et al., entitled "How Multi-Criteria Decision Analysis (MCDA) is aiding Life Cycle Assessment (LCA) in results interpretation" [20], in which the authors explain very clearly the relation between these two methodologies. First, let us note that they are both decision-making aiding tools (impact indicators and criteria cover the same notion respectively from the LCA and MCDA viewpoint [21].

The difference is that LCA quantifies its impact indicators [22], whereas MCDA often needs to be fed by criteria (interpretation-oriented only). While the former is directed to products and services and is based on the compilation of the inputs and outputs and the potential environmental impacts of a product system throughout its life cycle [23], the latter is based on different protocols for eliciting inputs, structures, algorithms and processes to interpret and use formal results in actual advising or decision-making contexts.

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Thus, generally, the combination of MCDA and LCA can occur in a two-ways path: LCA can be applied to add an environmental indicator to the MCDA process, and MCDA can be used to interpret LCA outcomes. There are many reasons for combining these tools, but according to [24] the main one lies in their complementary characteristics: LCA is objective, reproducible and standardized, whereas MCDA evaluation methods take into account subjective ele- 192 ments (such as the opinions of stakeholders and decision makers) in the evaluation of the different criteria. In this paper, the list of performance indicators used as part of our Benefit-Cost model are not based on a given LCA standards but on the survey on "Metrics for Sustainable Data Centers" carried out by Reddy et al. [5], which allows us to be very specific and exhaustive to the data center domain. However, our model could be either re-used as part of a more generic LCA model covering the economical and social dimensions, too, or refined with other performance indicators defined in one or more LCA standards.

2.2. Data center & Cloud computing-related multicriteria decision analysis

Evaluating and comparing the performance of data 210 centers or cloud computing candidate solutions is one 211 such problem due to both the large variety of performance metrics and the need to take human expertise 213 into consideration in the decision process [25, 40, 35]. 214 A number of research papers have applied MCDM 215 techniques [42, 43] (e.g., AHP, DEA, Delphi, ELEC-TRE, PROMETHEE, TOPSIS, VIKOR) to solve such problems, as summarized in Table 1. It can be observed that most of the papers employ such techniques, and particularly AHP [44], to help users/decision makers in solving the problem of selection of 221 the best cloud service provider or the best site to build 222 a data center. The reported papers are more thor- 223 oughly discussed in the following, along with the lack 224 of comprehensible and holistic models for comparing 225 the overall sustainability of data centers.

To solve the problem of site selection for data centers, Daim et al. [35] propose an AHP-based model 228 that takes into account geographical, financial, political and social factors. Covas et al. [36] claim, at 290

the time of writing (i.e., back to 2013), that the existing research did not pay sufficient attention to include the location criterion for the development of sustainable data centers, and therefore come up with a new model. Later, Ounifi et al. [32] present a model that covers the sustainability dimension by considering energy sources, electricity as well as the average temperature in the region. Their model uses ELECTRE with the goal to minimize the overall costs of data centers.

Looking at the cloud service selection problem, Kwon and Seo [34] present a decision-making model using Fuzzy AHP in order to guide companies in selecting a suitable cloud service provider. The proposed model essentially focuses on performance, reputation and pricing metrics. Alabool et al. [37] present an approach based on VIKOR for trust-based service selection in public cloud computing. In total, 15 criteria are covered, spanning from business, governance, certification, SLA, security and sustainability. Hybrid frameworks (i.e., combining distinct MCDM techniques) have been proposed, as in [38, 29, 28], in which criteria related to financial dynamics (ROI, maintenance costs...), management practices (risk sharing, reogranization/...) and performance (service availability, accuracy, security...) are taken into consideration. For cloud service selection, Boutkhoum et al. [30] combine Fuzzy AHP and PROMETHEE, but with a special focus on ranking the most suitable cloud computing alternative to accommodate Big Data from an e-governance, security and business continuity perspective. Xu et al. [31] propose a nonparametric DEA method to evaluate cloud services based on values related to price/hour, virtual core, compute units, memory and disk. Silas et al. [41] present a methodology for selecting the best middleware services in cloud computing environments by employing the ELEC-TRE technique; criteria such as flexibility, time, service cost, scalability, trust and capability are included. Karim et al. [39] present a model aiming at ranking the candidate cloud services for end-users by, first, mapping the users' Quality of Service (QoS) requirements of cloud services to the right QoS specifications of Software-as-a-a-Service, and, second, by mapping them to the best Infrastructure-as-a-Service (i.e., the one that offers the optimal QoS guarantees). In a similar way, Garg et al. [40] and Jatoth et al. [27] propose distinct frameworks that help customers to evaluate cloud offerings and to rank them based on their ability to meet the user's QoS requirements. These two frameworks take into account criteria such as interoperability, reliability, cost, accuracy, elasticity, suitability and other performance metrics such as service response time and throughput. In line with the idea to meet QoS requirements, Liu et al. [33] propose an ontology-based service matching in order to maximize accuracy of cloud service discovery, while giving enough flexibility to cloud customers to dis-

Table 1: Research work in which MCDM techniques have been applied for data center performance evaluation and comparison purposes

Ref.	Year	(Fuzzy) AHP	DEA	Delphi	ELECTRE	PROMOTHEE	TOPSIS	VIKOR	Others	Problem tackled	Sustainability-related criteria
[25]	2018	~	~							Cloud service selection	-
[26]	2018	~					~			Cloud service selection	-
[27]	2017	~	~							Cloud service selection	-
[28]	2016	~		~			~		~	Cloud service selection	-
[29]	2016	~		~						Cloud service selection	-
[30]	2016	~				~				Cloud service selection	-
[31]	2015		~							Cloud service selection	-
[32]	2015								~	Site selection	PUE
[33]	2014	~					~			Cloud service selection	-
[34]	2013	~								Cloud service selection	-
[35]	2013									Site selection	Power availability, Water availability, Laws related to Urban Planning
[36]	2013	~								Site selection	Renewable Energy sources, Free cooling,
											Local environmental impact, Local pollution
[37]	2013							~		Cloud service selection	Sustainability
[38]	2012							~	~	Cloud service selection	-
[39]	2013									Cloud service selection	-
[40]	2013									Cloud service selection	DCiE, PUE, DPPE
[41]	2012									Cloud service selection	-

cover their best suited services. Recently, Abdel-262 Basset et al. [25] presented an original approach in 263 the sense that the authors do not only focus on evaluating the quality of cloud services, but also on improving the service quality by creating a competition 266 between cloud providers. This competition is based 267 on their level of security, performance, accessibility, 268 scalability and adaptability.

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Overall, the above literature review reveals that even though the sustainability dimension is covered in a few studies (cf., last column of Table 1), it is too often omitted, or, when considered, the sustainability metrics remain limited. For example, only DCiE (Data Center Infrastructure Efficience), DPPE (Data Center Performance per Energy) and PUE are considered in [40], while only the latter is considered in [32]. Other studies, such as [37], state that the sustainability is covered but without providing any detail about what sustainability is referring to. Another aspect evidenced by this review is that most of the proposed models have focused on cloud service and site selection so far, but none has ever proposed a comprehensible and holistic model that helps non-expert users in comparing the overall sustainability of data centers. In this paper, we seek to contribute to proposing such a model, as will be presented in the next section.

2.3. Sustainability metrics

Over the decades, a wide range of sustainability 290 metrics for data centers and cloud computing solutions have been introduced [46, 47, 48, 49, 9, 50]. 292 At the time of writing, and to the best of our knowl-

edge, the most extensive study of sustainability metrics is given by Reddy et al. [5], who gathered and reported state-of-the-art metrics spanning from energy efficiency, cooling, green, air management, network, security, storage to financial. Since these metrics are going to be used as part of our comparison model, we summarized them in Table A.7.

The first limitation with the reported metrics is that they are often domain-specific and this specificity is not necessarily understandable by all stakeholders of a data center who have to globally (together) manage and optimize its performance. This issue is reinforced by the multiplication of metrics for greening data centers. In [5], the authors enumerate more than one hundred metrics, which makes it difficult for human beings, not to say impossible, to handle and consider all of them to make decisions. The second limitation is about reducing the complexity of the performance evaluation analysis. The same authors gather the metrics under nine categories, as will be discussed in Section 3, which can be seen as a first simplification of the model. However, such a categorization do not help different types of stakeholders to assess the overall sustainability of data centers because some contradictions between the categories and metrics may occur. In other words, the positive optimization of one metric may negatively impact the optimization of another metric (e.g., the "carbon emission" category can be mitigated in increasing the nuclear energy source, which is in total contrast with the "green energy source" category). The objective of our study is to provide a comprehensible and holistic comparison

Table 2: The ten biomimicry commandments (lessons) introduced by Benyus [45]

	Commandment (lesson)	Examples of interpretation in the context of data centers
$\overline{C_1}$	Use waste as a resource	Considering data center (IT) equipment wastes as resources for recycling company. Reusing
		data center heat for heating building, swimming pools, etc. close to data centers.
C_2	Diversify and cooperate to fully use	Cooperating with local companies (ecopark); for example, reusing data center heating for heat-
	the habitat	ing building.
C_3	Gather and use energy efficiently	Mitigating data center energy consumption. Using Energy produced locally.
C_4	Optimize rather than maximize	Offering IT quality of service in respect to customer requirements.
C_5	Use materials sparingly	Increasing (IT) equipment lifetime. Mitigating (IT) equipment use (e.g., virtualization).
C_6	Don't foul their nests	Reducing harmful emissions in the environment (carbon in air). Avoiding electronic wastes.
C_7	Don't draw down resources	Increasing green energy use. Mitigating (IT) equipment use to avoid earth resource depletion.
C_8	Remain in balance with biosphere	In our research, the idea of lessons 6 and 8 are similar and are merged accordingly.
C_9	Run on information	In our research, the idea of lessons 2 and 9 are similar and are merged accordingly.
C_{10}	Shop locally	Using local energy for avoiding energy transportation lost. Using air and water for cooling.
		Manufacturing data centers with local companies.

model that overcome the above limitations. The approach advocated here is to use biomimicry.

2.4. Biomimicry & Lifes' principles

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The biomimicry, which means "imitation of life", 337 is defined by Oxford dictionary as: "The design and 338 production of materials, structures, and systems that 339 are modelled on biological entities and processes". The majority of application domains inspired by the 341 nature are materials and locomotion [51] and consists in mainly copying physiology, morphology, and 343 anatomy of vegetal and animal world for optimiz- 344 ing or making more efficient the structure of materi- 345 als. In computer sciences, many heuristics for optimizing data processing/transport/storage solutions are 347 commonly used and are based on natural behavioral 348 observations, such as ant colony, bat, natural evolution (genetic algorithm), machine learning (e.g., neural networks). However, optimizing an artefact in copying biologic entity does not guarantee that the optimized artefact will have less negative impact on the nature (e.g., if the genetic algorithm fitness function seeks to maximize the pollution, the solution will not be environmentally friendly even if the optimisation algorithm copies a natural evolution process). Another idea initially suggested by J. Benyus [45] is that the life on earth has evolved a set of strategies that have sustained over 3.8 billion years and these 360 strategies could be interesting to apply when designing an artefact. The simple expectation is the fol- 362 lowing: if the artefact is developed along with life 363 strategies, the artefact is likely to be environmentfriendly. Originally, J. Benyus identified a set of ten strategies, named the "Ten Commandments of Mature Ecosystem", as reported in Table 2. De Pauw [52] explained that biomimicry guild proposed successively new versions of life's principles in 2007, 2010 369 and 2013 respectively. The same author evidenced that case studies using the Life's principles for designing environmentally-friendly artefacts are scarce.

[53] studied the perception of life's principles in construction industry from a survey collecting responses from different construction professionals (Civil Engineers, architects, etc.). The primary objective was not to apply Life's principles for architecting a building but only to rank the importance of those principles in the construction sector. [54] used different natureinspired design strategies from two case studies developed by students, whose goal was to compare approaches based on the life's principles, cradle to cradle and eco-design. The main difference observed is that the students following the biomimicry and cradle-tocradle methods investigated more solutions than the ones using eco-design. In the same vein as our study, Drouant et al. [55] proposed to define green networking metrics based on the ten lessons of mature ecosys-

Finally, the "Biomimicry for Social Innovation" initiative² help leaders bring nature's adaptive genius into their organizations and enterprises, and rely for this on 23 life's principles. Overall, the multiplication of life's principles are necessary to better guide engineers in the selection of appropriate solutions and recommendations specific to the domain of design. For example, among the 23 life's principle, "Do chemistry in water" is a useful principle in material design, but not in software engineering. However, the multiplication of principles produce noise and complexity when they are applied for measuring an artefact in a holistic way. In our work, we decided to only use the initial Ten Commandments, which are more philosophical requirements disconnected to engineering process such as "Do chemistry in water" or "Fit form to function" principles. The commandments are easy to understand and do not require expertise in biology. Consequently, the artefact environmental assessment using the commandments is independent to the engineering area, especially when designing complex systems requiring multiple experts. The commandments can play the role of a universal lan-

¹https://biomimicry.org/, last access: May 2019

²https://bio-sis.net/life-principles/, last access: May 2019

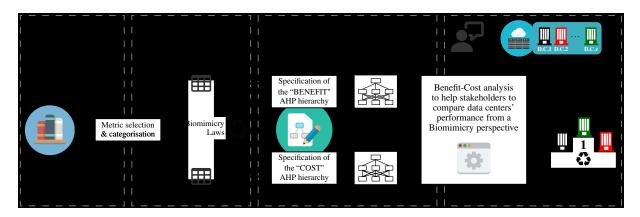


Figure 2: Methodology towards the AHP-based comparison model to compare data centers in terms of sustainable development/behavior

guage for considering environment. Table 2 presents 412 the Ten Commandments and provides some examples 413 of interpretation in the context of data centers. How- 414 ever, two commandments C_8 and C_9 are left aside 415 in our study because: (i) C_8 conveys a similar message as C_6 , namely that we are living in a closed system and it is crucial to maintain the stability of environment in avoiding to "foul our nests" (both commandments are therefore merged into a same commandment); (ii) C_9 conveys a similar message as C_2 : "Run on information" (C_9) refers to feedback mechanisms for considering ecosystems (competition/collaboration between companies, new legislations, new marketing demands) with the objective to "diversify and cooperate to fully use the habitat" (C_2) . Both commandments are thus also combined into a unique 426 commandment. Even though other commandments have close meaning, they include specific information that enriches the knowledge of the artefact environmental impact, as will be discussed in the next sec-

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3. A comprehensible and holistic comparison model for sustainable data centers

Determining a model that allows us for comparing data centers alternatives requires to integrate a wide range of domain-specific metrics (more than one hundred for data centers [5]), and this from both a beneficial and detrimental viewpoint (as improvements in one area and to one impact, can adversely affect a totally different area and totally different impacts [10]). This paper aims at proposing a benefit-cost model that makes use of the biomimicry commandments for evaluation together with metric categories in order to simplify the evaluation/comparison process (reducing all metrics into eight biomimicry indicators). The proposed model can easily be configured by stakeholders (i.e., prioritizing or not one or more commandments) since commandments do not require expertise in biology. This complies with the original idea promoted by

J. Benyus [45] in her Chapter entitled "Where will we go from here?".

The methodology underlying our comparison model is a two-step approach, as depicted in Figure 2:

- i) Metric categorization & Translation: with the aim to translate datacenter metrics into biomimicry lessons/commandments, the sustainability metrics reported in Table A.7 are categorized in terms of benefit and cost implications from a biomimicry perspective. This step is detailed in section 3.1;
- ii) Benefit-Cost analysis: the metrics, associated categories, and biomimicry commandments are then combined/structured in the form of a benefit-cost analysis using the AHP method. This step is described in section 3.2.

3.1. Metric Categorization & Translation

As a first step, the sustainability metrics listed in Table A.7 are categorized in terms of benefit and cost implications from a biomimicry standpoint [56, 57]. Concretely, we ask ourselves whether a metric relates to the energy, carbon, recycling or other categories? and whether the metric maximization or minimization results in a benefit or cost from a sustainability viewpoint? In this study, the metric categorization is based on the categories identified in [5], namely:

- Energy: evaluates the energy efficiency of the system's overall useful work done in comparison to the energy consumed;
- Materials: evaluates IT equipment efficiency;
- *Cooling:* evaluates the cooling system efficiency;
- *Green:* evaluates the amount of energy of the data center that comes from clean sources;
- *Carbon:* partly evaluates carbon emissions (only associated with Co2/Wh);

			Imp	act of	n bioi	nımıc	cry co	ommai	name	nts	
	Category	Optimization	Metrics	C_1	$C_{2,9}$	C_3	C_4	C_5	$ C_{6,8} $	C_7	C_{10}
	Energy	Minimize Maximize	DCa, DCLD, DC-FVER, EWR, PUE, pPUE, SI-POM, SPUE, TUE APC, CADE, CPE, DCeP, DCiE, DCPD, DCPE, DPPE, DWPE, EES, H-POM, ITEE, ITEU, OSWE, PDE, PES, PUEsc, PpW, SWaP	-	-	9	9	-	5	3	-
	Materials	Maximize	DCcE, DH-UI, DH-UR, ScE	-	-	9	9	9	9	9	
	Cooling	Minimize DCCSE DCSSE		-	1	9	9	3	5	3	-
.= .	Carbon	Minimize Maximize	CUE, TCE Co2s	-	-	9	9	3	9	5	3
Benefit	Green	Minimize Maximize	GUF GEC	-	-	5	9	3	9	5	9
	Recycling	Minimize Maximize	ERE, EDE, MRR ERF	9	5	5	9	5	5	9	3
	Water	Minimize	WUE, WUEs	-	-	-	9	-	- 1	-	-
	IT Perf.	Minimize Maximize	CNEE, ECR-VL, INPUE BJC, NetT, RSmax, TEER, Unet, Capacity, LSP, MemU, OSE, Su, Thght, Ustor	-	-	9	9	5	3	5	-
	Financial	Minimize	OpEx	-	-	9	9	9	3	5	-
	Water	Minimize	WUE, WUEs	-	-	5	-	3	-	9	9
Cost	IT Perf.	Minimize Maximize	ACPR, DTE, Lat, RC, RCD, T ATR, CC, CER, DeD, DeP, HTTPt, IAS, IPFH, IPt, ITH, RT	-	-	9	-	9	5	9	-
	Financial	Minimize	REL	-	-	9	9	9	3	5	-

• Recycling: evaluates waste resource utilization 482 efficiency of energy and materials in data centers; 483

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- *IT Performance:* evaluates the extent to which the system efficiently executes the IT tasks, which can be related to the (i) Network, (ii) Storage, or (iii) Security;
- *Water:* this is an important resource for consideration when designing, identifying ideal location for a data center. Energy and Water are the main metrics to be controlled in a data center [58];
- Financial: increased resource utilization can contribute to high energy costs and maintenance of IT equipment. Organizations should therefore assess its performance for saving money.

Table 3 highlights, for each category and subcategories, what metrics must be minimized or maximized in order to "Benefit" the efficiency of data centers, while highlighting - through the "Cost" categorization – whether this can lead to negative efficiency impacts. Indeed, many contradictions may come up when studying ecological systems, for example: (i) the electricity produced by nuclear power plants must be limited when considering the GEC (Green Energy) metric, but at the same time should be increased with regard to CUE (Carbon), or (ii) the water usage must be mitigated when only analysing WUE (Water), but should be increased when looking at GEC (Green). In the following, we seek to specify the extent to which a given category may positively or negatively impact 512 (benefit-cost) on each of the commandments.

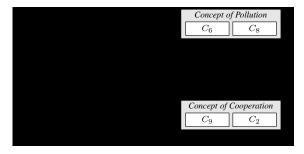
To this end, we propose to first model the impact 514 that one biomimicry commandment may have on the 515 others, as given in Figure 3(a). Let us take the mod-516 elling related to the "Energy" category, as given in 517 Figure 3(b). It can be stated that metrics under this 518

category have an impact on C_3 , C_4 , $C_{6,8}$ and C_7 . Indeed, C_3 and C_4 are about energy efficiency/optimization; $C_{6,8}$ is related to the pollution that is intrinsically linked to the energy consumption (emitted Co2); and C_7 is about earth resource utilization for nonrenewable energy consumption minimization. The other commandments (i.e., C_1 , $C_{2,9}$, C_9 and C_{10}) are not taken into account because their impact is either null, negligible, or too uncertain for providing a correspondence. In order to quantify the extent to which the maximization (or minimization) of a given metric category results in a benefit or cost in terms of sustainability efficiency, a three-scale rating has been used {3, 5, 9}, respectively meaning that a category has a moderate, strong or absolute beneficial or costly impact. Given the example of Figure 3(b), the "Energy" category is deemed to have an absolute impact on C_3 and C_4 (all about energy efficiency/optimization); strong impact on $C_{6,8}$ due to some level of uncertainty about the type of energy used (e.g., level of pollution produced by coil being much higher compared with solar panels); and a moderate impact on C_7 due to uncertainty about the level of resources used (dependent on how energy is produced).

In a similar way, the above analysis was carried out for each category and the associated weight vectors generated (cf., columns denoted by C_1 to C_{10} in Table 3). These vectors serve as inputs of the benefit/cost analysis presented in the next section.

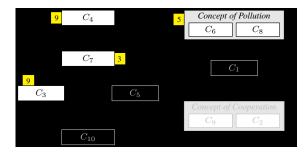
3.2. Benefit/Cost Analysis

The objective of the proposed comparison model is to help assessing and identifying the overall most sustainable data center among a set of candidates, whose results must be expressed in non-technical terms. To serve this purpose, a two-hierarchy approach is adopted, as proposed in [19]. Concretely, two distinct criteria hierarchies are specified, one quantify-



 C_X $C_Y C_X$ has an impact on C_Y

(a) Relationships between the ten biomimicry commandments



(b) Extent to which "Energy" category impacts on commandments

Figure 3: Relationships between biomimicry commandments & Extent to which a given metric category may impact on those commandments

ing the benefits in terms of sustainable data center behavior, and a second quantifying the cost implications. These two hierarchies (i.e., Benefit and Cost) 560 are respectively given in Figures 4(a) and 4(b), whose design follows the logic described below. Note that all variables used in this paper are summarized in Table B.8 (as an Appendix): 564

- 1. Level 4 (Alternatives): the set of data center candidates, denoted as \mathcal{A} , are individually evaluated with regard to the metrics reported in Table 3;
- 2. Level 3 & 2: those metrics are clustered according to the category they belong to, as defined in 570 Table 3 (e.g., Energy, Material, etc.). This is denoted by \mathcal{M}_{P_i} , where P_i refers to the category to which a given set of metrics belongs to;
- 3. Level 1: those categories have been, in turn, clustered depending on what biomimicry commandment(s) their impact on. For example, the Energy category has beneficial implications on C_3 , C_4 , $C_{6,8}$, C_7 (no cost implication), which results in the bold/red linkage highlighted in Figure 4(a);
- 4. Level 0: aggregated scores of all data center candidates are computed for both the Benefit and Cost hierarchies. These two scores, respectively denoted by S^B_{A_l} and S^C_{A_l}, are divided to get the final Benefit/Cost score, which serves as basis to rank data center candidates in terms of sustainable development/behavior.

As a next step, pairwise comparisons between all elements of a given level of the AHP hierarchy must be carried out by one or more stakeholders of our approach/tool. Table 4 provides a brief insight into what stakeholders could be concerned by such a pairwise comparison process. Since the proposed model is intended to provide a holistic view of how sustainable a data center is, non-technical stakeholders (e.g., executives, regulators) are more likely to communicate preferences at level 1 (e.g., to place particular emphasis on C_5 to know which data center performs the best 580

in "using materials sparingly"), while stakeholders at level 3 are more likely to communicate preferences about domain-specific metrics (e.g., importance of PUE over other energy metrics). Level 2 corresponds to the expertise needed to match the biomimicry commandments with the sustainability metric categories, which corresponds to expertise. Although a first expertise is provided in Table 3, this does not prevent another biomimicry expert from modifying, if needed, the proposed expertise. Sections 3.2.1 to 3.2.5 detail the theoretical basis of the pairwise comparison process performed at levels 1, 2, 3 and 4 respectively, along with the aggregation process to obtain the final ranking of the data center alternatives.

3.2.1. Pairwise comparisons at Level 1

Let $(C)_{z \times z}$ be the pairwise comparison matrix at level 1, as formalized in Eq 1, where c_{ij} $(i, j \in C)$ is supposed to reflect how many more times commandment i is preferred – or deemed more important by the data center stakeholder – over commandment j. In our study, the stakeholder's evaluation is carried out based on the Saaty's scale: $\{1, 3, 5, 7, 9\}$, where $c_{ij} = 1$ means that C_i and C_j are of equal importance and $c_{ij} = 9$ means that C_i is strongly preferred over C_j .

$$(C)_{z \times z} = \begin{bmatrix} C_1 & C_2 & \dots & C_z \\ C_1 & C_{11} & c_{12} & \dots & c_{1z} \\ c_{21} & c_{22} & \dots & c_{2z} \\ \vdots & \vdots & \vdots & \vdots \\ c_{z1} & c_{z2} & \dots & c_{zz} \end{bmatrix}$$
(1)

The priority vector, denoted by $E_{(C)_{2\times 2}}$ must then be computed. To this end, the geometric mean method proposed by Crawford and Williams [59] is applied, as formalized in Eq. 2 in this study. Even though not detailed, it should be noted that the consistency ratio (CR) of any pairwise comparison matrix must be measured, whose inconsistency is regarded as acceptable if $CR \le 10\%$ [44].



Figure 4: AHP-based data center performance comparison from BENEFIT and COST perspectives

Table 4: Stakeholders and respective involvement in the comparison process

AHP	Data Center Stakeholders	Focus & Interest
Level 1	Data center owners; Executives; Sponsors; Regulators; Public	They take a big-picture approach to data center sustainabilty effectiveness
Level 2	Biomimicry experts	It corresponds to the expertise needed to model the extent to which the categories of metrics impact on the biomimicry commandments. This paper provides a first expertise in Section 3.1 and Table 3, although this does not prevent other biomimicry experts from modifying it.
Level 3	Designers, Engineers; Field operators; Maintainers	They must develop mechanical and electrical designs that drive energy efficiency and sustainability, while ensuring that solutions fit within the framework of a live, operational facility
Level 4	N/A	Pairwise comparisons are performed based on measurable/supervised system parameters (i.e., based on automated mechanisms)

$$E_{C_i} = \frac{\left(\prod_{j=1}^{z} c_{ij}\right)^{\frac{1}{z}}}{\sum_{i=1}^{z} \left(\prod_{j=1}^{z} c_{ij}\right)^{\frac{1}{z}}}$$
(2)

$$E_{(C)_{z \times z}} = \left[E_{(C)_{z \times z}, 1}, E_{(C)_{z \times z}, 2}, \dots, E_{(C)_{z \times z}, z} \right]^{T}$$
 (3)

3.2.2. Pairwise comparisons at Level 2

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Pairwise comparisons at level 2 of the Benefit and Cost hierarchies must be carried out as well. As explained in Table 4, pairwise comparison matrices between the metric categories with regard to the set of commandments are created based on the weights specified in Table 3. For example, the pairwise comparison matrix regarding C_{10} , which is denoted by $(P_{C_{10}})_{3\times3}$, is generated as in Eq. 4^3 (cf., weights highlighted in bold/red correspond to the ones specified in

Table 3). The resulting priority vectors are denoted by $E_{(P_{C_m})_{v \times v}}$.

Carbon Green Recycling
$$\begin{pmatrix}
C_{C_{10}} \\
P_{C_{10}} \\
P_{$$

It should be noted that we do not expect that data center stakeholders perform pairwise comparison at this level, even though it does not prevent an expert from modifying the impact analysis proposed in Section 3.1 and Table 3.

3.2.3. Pairwise comparisons at Level 3

Pairwise comparisons at level 3 (i.e., between metrics of a given category P_k) must be performed by stakeholders (cf., Table 4). For example, regarding the Recycling category, one may want to put more emphasis on the "MRR" metric at the expense of "ERF" be-

 $^{^3}$ Note: All consistency rations (CR) of the pairwise comparison matrices of level 2 are < 0.1.

cause the stakeholder deems the recycling of material as more important than the reuse of data center heat extraction/dissipation to warm up buildings. Pairwise comparison matrices are denoted by $(M_{P_k})_{x \times x}$ and the associated priority vector denoted by $E_{(M_{P_k})_{x \times x}}$.

3.2.4. Pairwise comparisons at Level 4

Finally, pairwise comparisons at level 4 are carried out between the set of alternatives (i.e., data center candidates), which is denoted by \mathcal{A} . One difference with the previous pairwise comparisons is that, while before they were reflecting either the stakeholder preferences/expertise, they are now performed based upon measurable/supervised system parameters. In other words, all data center candidates are evaluated with respect to all metrics based on automated mechanisms. Pairwise comparison matrices are denoted by $\left(A^{M_{P_k,J}}\right)_{w\times w}$, as in Eq. 5, j referring to the j^{th} metric of category P_k , and l to the l^{th} data center candidate. The resulting priority vectors are denoted by $E_{\left(A_i^{M_{P_k,J}}\right)}$.

$$\begin{pmatrix}
A_{1} & \dots & A_{w} \\
A_{1} & \begin{bmatrix}
1 & \dots & \frac{A_{1}^{M_{P_{k},j}}}{A_{w}^{M_{P_{k},j}}}
\end{bmatrix} & & 638 \\
\vdots & \vdots & \ddots & \\
A_{w} & \begin{bmatrix}
\frac{A_{z}^{M_{P_{k},j}}}{A_{1}^{M_{P_{k},j}}} & \dots & 1
\end{bmatrix} & & 641 \\
642 & & 642
\end{bmatrix}$$

3.2.5. Alternative ranking

All the priority vectors previously computed must now be aggregated in order to obtain the final score of each alternative with respect to the Benefit and the cost objectives (scores being respectively denoted by $S_{A_i}^B$ and $S_{A_i}^C$). To this end, the weighted sum method is applied, as given in Eq. 6, where f(i) and f(m) refer to the mapping functions that depend on the size of vectors $E_{(P_{C_m})_{\text{aver}}}$ and $E_{(M_{P_k})_{\text{ever}}}$ respectively.

$$S_{A_{l}}^{\{B;C\}} = \sum_{j=1}^{f(i)} \left[\sum_{i=1}^{f(m)} \left[\sum_{m=1}^{z} \left(E_{\left(A_{i}^{M_{P_{k},j}}\right)_{w \times w}, j} \right) \cdot \left(E_{\left(M_{P_{k}}\right)_{x \times x}, i} \right) \cdot \left(E_{\left(P_{C_{m}}\right)_{y \times y}, m} \right) \cdot \left(E_{\left(C\right)_{z \times z}, m} \right) \right] \right]$$

$$(6)$$

The Benefit/Cost score, denoted by S_{A_l} in Eq. 7, is carried out by dividing the two scores, which is used as basis for ranking all data center candidates in terms of sustainable development/behavior.

$$S_{A_{I}} = \frac{S_{A_{I}}^{B}}{S_{A_{I}}^{C}} \tag{7}$$

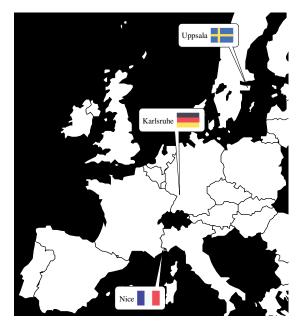


Figure 5: Data center candidates compared in the case study

4. Case study

The geographic distribution of data centers across the globe goes along with different environmental and economic considerations (e.g., cost of the electricity or the cooling effort needed in a given country/region), which has a direct impact on the overall sustainability of data centers. In order to run experiments with data centers operating under different climate and energy production conditions, we selected three data centers respectively located in Nice (France), Karlsruhe (Germany) and Uppsala (Sweden), as depicted in Figure 5. Table 5 reports the coefficients needed to produce energy in these three countries (data source: International Energy Authority website⁴.

Section 4.1 details the methodology that has been applied to collect the datasets related to each data center, as well as the computational steps performed with regard to the Benefit/Cost analysis. Section 4.2 analyzes the results/ranking obtained when comparing the three data center candidates using our approach.

4.1. Data center settings, Stakeholder preference & Computational steps

Section 4.1.1 details how datasets related to the data center candidates have been collected. Section 4.1.2 presents both the stakeholder preferences specified in the pairwise comparison process and the computational steps performed based on our approach.

⁴https://www.iea.org, last access: May 2019.

Table 6: Values of metrics related to the three use case scenarios: TC, CW	Table 6:	Values of metric	s related to the	three use case	scenarios: TC.	CW,
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		Traditio	onal Coolii	ng (TC)	V ₁	rtualizatioi	1 (V)
	Formula	Fr	Ge	Sw	Pre-V	Post-V	Post-V-R
PUE (Ratio)	Total Facility Power IT Equipment Power	1.560	1.550	1.540	2.278	1.720	2.117
CUE (Co2/Wh)	Total Carbon Emissions Total IT Equipment Energy	0.096	0.785	0.039	0.140	0.106	0.130
GEC (%)	Green Energy used in the Data center Total Data Center Source Energy	0.190	0.300	0.580	0.190	0.190	0.190
WUE (L/kWh)	Annual Water Usage IT Equipment Energy	0.000	0.000	0.000	0.137	0.169	0.169
WUEs (L/kWh)	Annual Site Water Use+Annual Srce Water Use IT Equipment Energy	10.083	3.897	29.356	10.083	10.181	10.083
DCiE (%)	1 PUE	0.640	0.650	0.650	0.440	0.580	0.470
OPEX (\$)	Energy Cost \times Consumed Energy	1151K	1807K	1086K	1197K	733K	902K
SPUE (Ratio)	Total Facility Power Server Power	N/A	N/A	N/A	4.556	4.473	5.518
REL (Faults/h)	number of failures or outages Total surviving hours	N/A	N/A	N/A	0.001	0.001	0.0005

Table 5: Country-related data from IEA⁴

	country	Hydro	Wind	Biomass	Solar CSP	Geothermal	Solar PV	Nuclear	Natural Gaz	Oil	Coal
SS.	Fr	11	4	2	2	0	/	73	6	0	2
er %	Ge Sw	3	12	9	6	0	/	13	13	1	43
Energy	Sw	41	10	7	0	0	/	40	1	0	1
Carbon (ko/kWh)	(10-3)	4	12	18	22	45	46	16	469	84	1001

4.1.1. Data center-related datasets

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It is not an easy task for academics to be able to access/collect data center-related datasets. The reason for this is two-fold: (i) due to a lack of transparency and impossibility of accessing backend systems of data center organizations; (ii) some of the state-of-the-art metrics are not necessarily implemented/monitored by data center organizations. While the first difficulty can be partially overcome/influenced by government regulatory bodies [60, 61, 62], the second one requires further sensor network deployments in data centers to be able to compute all the state-ofthe-art metrics integrated to our model (cf., Table 3) [63, 64, 65, 66]. Nonetheless, as the primary objective of our research is not to provide an in-depth comparison study of existing data centers, but rather to present 695 the theoretical foundation of our approach, we made 696 use of an online calculator - Data Center Tradeoff Tools of Schneider Electric⁵ – to generate the datasets 697 related to the data center candidates. However, this tool only allows us to generate a limited number of metrics compared with the one integrated to our model (cf., Figure 4). The subset of metrics for which data could be generated is detailed in Table 6.



Figure 6: Screenshot of Schneider Electric online calculator

The Schneider Electric calculator requires to set up some operating conditions of the data center, as given in the software screenshot in Figure 6 (cf., Power & Environmental Characteristics). The following conditions were specified for experiment purposes:

- Data Center IT Capacity: 1000kW;
- Data Center IT Load: 70%;
- IT Operating Environment: User defined temperature;
- IT Inlet Temperature: 32°;
- Power & Lighting: No power of lighting losses.

In addition of this scenario, a second one was specified consisting in virtualizing part of the physical infrastructure. This requires to modify the alternative level of the Benefit and Cost hierarchies, for which alternatives are no longer the data center candidates but the set of virtualization configuration candidates in a

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⁵https://www.schneider-electric.com/en/work/solutions/for-business/data-centers-and-networks/trade-off-tools/, last access: May 2019.

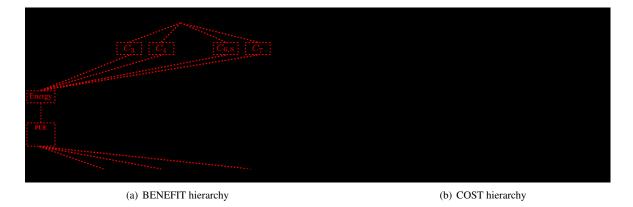


Figure 7: AHP-based data center performance comparison from BENEFIT and COST perspectives

data center. Three configuration candidates are compared: (i) Pre-Virtualization (Pre-V): amount of energy used in the data center and by the IT equipments before virtualization; (ii) Post-Virtualization (Post-50%): a total of 1000 physical servers are considered for set up, 50% of which being virtualized without redundancy; and (iii) Post-Virtualization (Post-50%-R): same except that physical servers are redundant. Given these scenarios, all metric values regarding the three data center and virtualization candidates were generated, as given in Table 6. The following section details an example of how a the weights are generated and aggregated in our approach.

4.1.2. Stakeholder preference & Computational steps

In this scenario, we assume that stakeholders at levels 1 and 3 do not want to prioritize one command- 748 ment/metric criterion over others. It is important to 749 understand that this preference set is only meant to 750 illustrate the functioning of our approach and could be easily adapted, if needed, depending on the stakeholders' needs/interests. Given the set of metrics considered in our scenario (cf., Table 6), along with the benefit/cost analysis detailed in section 3.2, the resulting Benefit and Cost hierarchies are depicted in Figures 7(a) and 7(b) respectively. In order to ease the understanding of how weights are generated/computed and aggregated in our approach, we propose to detail in the following the computational steps regarding the PUE metric from the Benefit hierarchy (cf., red/dashed lines in Figure 7(a)).

1. *Level 1 (L1):* As it is assumed that all commandments are considered of the same importance by the stakeholder, this results in the pairwise comparison matrix and priority vector given in Eq. 8; 751

2. Level 2 (L2): Eq. 9 details the pairwise comparison matrix related to commandment C_3 , along with the resulting priority vector (please refer to section 3.2.2 to obtain more details on how the pairwise comparison matrix is created). Eq. 10 to 12 only provide the priority vectors resulting from the pairwise comparison matrix related to commandments C_3 , C_4 , $C_{6,8}$ and C_7 (the ones needed to compute the red/dashed part highlighted in Figures 7(a)).

$$P_{C_3} = \begin{bmatrix} \text{Ener} & \text{Carb} & \text{Gree} & \text{Fina} \\ \text{Ener} & 1 & \frac{9}{9} & \frac{9}{5} & \frac{9}{9} \\ \text{Carb} & \frac{9}{9} & 1 & \frac{9}{5} & \frac{9}{9} \\ \frac{5}{9} & \frac{5}{9} & 1 & \frac{5}{9} \\ \frac{5}{9} & \frac{5}{9} & 1 & \frac{5}{9} \\ \frac{9}{9} & \frac{9}{9} & \frac{9}{5} & 1 \end{bmatrix}$$

$$= \begin{bmatrix} \text{Ener} & \text{Carb} & \text{Gree} & \text{Fina} \\ \text{Epc}_3 & = \begin{bmatrix} 0.281 & 0.281 & 0.157 & 0.281 \end{bmatrix} & (9)$$

$$= \begin{bmatrix} \text{Ener} & \text{Carb} & \text{Gree} & \text{Wate} & \text{Fina} \\ \text{Epc}_4 & = \begin{bmatrix} 0.2 & 0.2 & 0.2 & 0.2 & 0.2 & 0.2 \end{bmatrix} & (10)$$

$$= \begin{bmatrix} \text{Ener} & \text{Gree} & \text{Fina} \\ \text{Epc}_{6,8} & = \begin{bmatrix} 0.295 & 0.529 & 0.176 \end{bmatrix} & (11)$$

$$= \begin{bmatrix} \text{Ener} & \text{Carb} & \text{Gree} & \text{Fina} \\ \text{Epc}_{7} & = \begin{bmatrix} 0.166 & 0.278 & 0.278 & 0.278 \end{bmatrix} & (12)$$

3. Level 3 (L3): As we assume that metrics in this 783 category are considered of the same importance by the stakeholder, this leads to the comparison 784 matrix and priority vector given in Eq. 13;

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4. Level 4 (L4): The three data center candidates 794 are then evaluated with respect to each metric of 795 the Energy, Carbon, Green, Water and Financial 796 categories. Eq. 14 details only the pairwise comparison matrix and the resulting priority vector 798 related to the PUE metric. Each pairwise com- 799 parison is built based on the values reported in 800 Table 6 (*cf.*, red/bold row in the table).

$$(A^{\text{PUE}}) = \begin{cases} & \text{Fr} & \text{Ge} & \text{Sw} \\ 1 & \frac{1.560}{1.550} & \frac{1.560}{1.540} \\ \frac{1.550}{1.560} & 1 & \frac{1.550}{1.540} \\ \frac{1.550}{1.560} & \frac{1.540}{1.550} & 1 \end{cases}$$

$$Fr & \text{Ge} & \text{Sw}$$

$$E_{A^{\text{PUE}}} = \begin{bmatrix} 0.336 & 0.333 & 0.331 \end{bmatrix}$$
 (14)

5. Weight aggregation: All the priority vectors previously computed must then be aggregated based on Eq. 7 – in order to obtain the "benefit" score of each data center candidate with regard to each metric, of each category. In our example, we detail the aggregation score with regard to the PUE metric, which is denoted by $S_{A_l}^{B(\text{PUE})}$ in the following. This computational stage is detailed in Eq. 15 for the data center located in France (score of 0.0176). Data centers located in Germany and Sweden obtain a lower beneficial score with regard to PUE, as given in Eq. 16 and 17 $(S_{Sw}^{B(PUE)} < S_{Ge}^{B(PUE)} < S_{Fr}^{B(PUE)})$. All benefit and cost scores, with regard to all metrics, are computed and aggregated in a similar way, and further divided (cf., Eq. 7) to rank the data center 826 candidates in terms of sustainable behavior.

4.2. Benefit/Cost analysis

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Given the experimental settings, the Benefit/Cost analyses for the two scenarios were carried out using MATLAB, which are respectively presented in sections 4.2.1 and 4.2.2, while section 4.2.3 discusses the limitation of our experiments and the "take away" message of our research.

4.2.1. Comparison of data center candidates

Figures 8(a) and 8(b) provide insight into the overall scores obtained by the three data center candidates in terms of Benefit $(S_{A_l}^B)$ and Cost $(S_{A_l}^C)$ implications, while Figure 8(c) gives insight into the final Benefit/Cost analysis (S_{A_l}) . Looking independently at the benefit and cost analyses, it can be observed that data center 3 (Uppsala) is the most beneficial and data center 2 the less beneficial (cf., Figure 8(a)), while the situation is exactly the opposite regarding the cost analysis. This logically leads to increasing the gap when carrying out the Benefit/Cost analysis in Figure 8(c): data center 3 (Uppsala) being ranked first in terms of sustainable behavior/development, followed by data centers 1 (Nice) and 2 (Karlsruhe) respectively. A comment about these results is that a data center with a same specification has different environmental impacts depending on its location, which is mainly due to the type of climate, of energy used, and the cost of energy. One may imagine that such a ranking model could be used by data center owners in the decision making process for deciding where to build new data centers, which has a direct impact on the economy of a country/region depending on the adopted political decisions.

To more thoroughly analyze the results and to better understand how a data center behaves regarding one or more of the biomimicry commandements (i.e., level 1 of the AHP hierarchies) and/or one or more of the metric categories (i.e., level 2), let us look at Figures 9(a) and 9(b). While the latter provides a technical representation that is more adapted to data center experts (all axes referring to the Datacenter metric categories), the former is more adapted to non-expert users. For example, the representation from:

Figure 9(a) could be integrated into a more global analysis such as an ecopark (gathering other industries) or as support for political decisionmaking in Conference of the Parties (COP)-like events during which ICT performance are analyzed and debated. In this respect, the holistic nature of our approach could be used. For example, if politicians would like to focus the debate on pollution mitigation, they would just need to privilege C_6 over the other commandments in order to get the corresponding data center ranking.

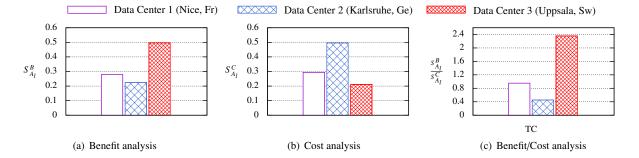


Figure 8: Benefit/Cost analysis of the three data center candidates: Nice vs. Karlsruhe vs. Upssala

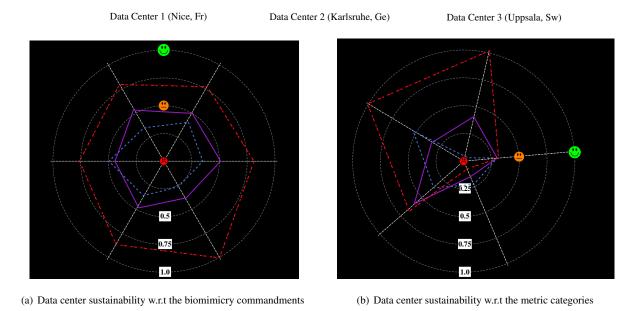


Figure 9: Holistic view of the data center sustainability with regard to both the biomimicry commandments and the metric categories

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• Figure 9(b) could be used by experts to take ap- 858 propriate actions in order to meet the political de- 859 cisions above-discussed. For example, an interesting finding from Figure 9(b) is that Sweden is 861 actually less performant than the other data cen-862 ters regarding the Water category, but it is less 863 costly when evaluating the Cost implication (cf., 864 Figure 8(b)). The reason for this is that water 865 implies to emit less carbon and to use less nonrenewable energy.

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Another comment about Figures 9(a) and 9(b) is that 869 the perception of the performance is different. For ex-870 ample, for Sweden, Figure 9(a) shows balanced and 871 good results, which is not the case in Figure 9(b) re- 872 garding the Water category. This means that, when 873 decisions are selected by experts (Figure 9(b)), it is important to analyze Figure 9(a) at the same time, as 874 it provides a holistic view of the impacts on ecosys- 875 tems. Overall, these two views could help data center 876 operators to tune data center parameters by considering all interrelations of complex ecological systems.

4.2.2. Comparison of virtualization configuration candidates

In a similar manner as before, Figures 10(a) and 10(b) provide insight into the overall scores obtained by the three virtualization configuration candidates in terms of Benefit and Cost implications, and Figure 10(c) into the final Benefit/Cost analysis. The Benefit and Cost analyses confirm the fact that virtualizing physical servers contributes to improving the overall sustainability of the data center, and, interestingly, the redundancy of servers does not affect/degrade – or very lightly – the sustainability score in this experiment. Even though we do not need to be an expert to understand this result, one may imagine to use this approach for marketing purposes with customers or politics.

4.2.3. Discussion of experiment results & findings

Before concluding this paper, it is important to remind ourselves that the the above experiments are only meant to illustrate the practicality and holistic nature of the proposed approach, as was discussed in

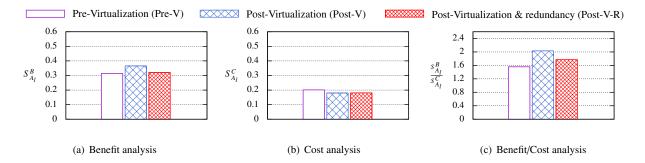


Figure 10: Benefit/Cost analysis of the three Virtualization configuration candidates: Pre-V vs. Post-V-R

section 4.2.1. This is important to be noted because the key message to be conveyed in this paper is that we do not aim to carry out an in-depth comparison study of existing data centers over the globe, but rather to present the theoretical foundation of an innovative approach that could help data center stakeholders to compare the overall sustainability of data centers in a holistic and non-technical manner. This message is important as our experiments are limited in the following respect:

- we could not collect real datasets from existing data centers;
- the online calculator enabled us to work only with a limited number of metrics compared with the exhaustive list considered in the theoretical model of our approach (cf., section 3).

Such limitations have direct impact on the relevance of our comments/findings when discussing the data 937 center performance results. This should therefore 938 be taken with caution, or at least understood by the 939 reader. 940

5. Conclusions, implications, limitations and future research

5.1. Conclusions

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The importance of data centers for society has changed, as public life, economy and society depend to a large extend on the proper functioning of data centers. From different parts of society, the sustainability of data centers is questioned, thus raising questions about the "data center equation" of "people, planet, profit". While it is common place to think that making data centers sustainable comes down to implementing technical measures, it actually goes well beyond the walls of the data center, touching upon economic and political questions. As a consequence, it is imperative to develop and propose holistic models that help data center stakeholders, spanning from data center owners, governmental regulators to engineers/field operators, to evaluate and understand how a data center

performs in terms of sustainable development and behavior. While a large number of sustainability metrics exist in the literature, there is still a lack of frameworks that make it possible to reduce the complexity of the performance evaluation analysis.

In order to fill this gap in literature, this paper presents an innovative approach based on a benefit-cost analysis using the Analytic Hierarchy Process (AHP). The originality lies in the combination of state-of-the-art sustainability metrics with the biomimicry commandments of eco-mature system defined by J. Benyus [45], and the possibility for data center stakeholders to analyze and compare performance of data centers in a more or less holistic manner (depending on their needs, focus, expertise). For example, one data center stakeholder may want to prioritize the biomimicry commandment C_6 when debating on pollution aspects (data centers would, indeed, be ranked with emphasis on the extent to which the pollute locally), while another one could be provided with a more in-depth analysis of how a data center performs with respect to the energy, water, green or still financial dimensions. The practicability of our model is demonstrated considering three data center candidates, which are respectively located in France, Germany and Sweden. However, it is important to note that the key contribution and message to be conveyed in this paper is about the theoretical foundation of the proposed approach, rather than on comparing existing data centers over the world.

5.2. Implications

This research presents three main theoretical implications.

First, it has implications in the field of LCA (as was previously discussed in section 2.1), and particularly of CBA (Cost-Benefit Analysis) [22]. Even though our approach differs from traditional CBA analyses (which mostly focus on economical assessment), many studies have considered AHP in order to cope with the CBA's weakness in reflecting stakeholders' knowledge in the evaluation process of projects [67, 68]. Although the assessment of CBA versus

AHP capabilities remains an open research question 1012 [69, 70], our Benefit-Cost model is, to the best of 1013 our knowledge, the first one that explores the possi- 1014 bility of combining state-of-the-art sustainability met- 1015 rics with the biomimicry principles in order to enable holistic assessment of the sustainability performance 1016 of data centres. One key interest and novelty of such a 1017 combination lies in the fact that the biomimicry com- 1018 mandments provide a universal language/representa- 1019 tion that makes it possible the integration of any fu- 1020 ture/new metrics without having to change the un- 1021 derlying model (except complementing the expertise 1022 specified in Table 3).

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Second, the proposed approach also contributes 1024 to move towards increasing professional and public 1025 awareness of how data centers behave in terms of sus- 1026 tainability, both from a biomimicry viewpoint and/or 1027 when looking at specific metric categories (e.g., from 1028 an energy, financial, green or still water viewpoint). 1029 This could be helpful for organizations and regula- 1030 tory institutions to establish strategic plans to both im- 1031 prove current data center practices to meet the United 1032 Nations Sustainable Development Goals⁶ (SDGs) and 1033 contribute to the welfare of the society. In this re- 1034 spect, and from a theoretical implication perspective, 1035 our approach could serve as a basis to further address 1036 the Arrow's impossibility theorem [71], as it has been 1037 proved that the combination of the AHP and geomet- 1038 ric mean methods (approach adopted in this paper) 1039 contributes to find a social welfare function that sat- 1040 isfies the four conditions: (i) Pareto optimality, (ii) 1041 Independence from irrelevant alternatives, (iii) unre- 1042 stricted domain, and (iv) Non-dictatorship [72, 73].

Third, our approach supports, to a certain extent, 1044 an efficient solution to tackle environmental exter- 1045 nalities. Indeed, different overconsumption (e.g. in 1046 terms of energy) will result in an increase of the costs 1047 (i.e., negative externality) in our model; for instance, 1048 satisfying commandment C_3 (mitigating energy con- 1049 sumption and local energy production) would be a 1050 true leverage for a private cooperation to minimize 1051 that effect (the cost will be here the incentive). The 1052 same may apply for commandment C_1 (use waste as 1053 ressource). In addition, society will intervene in the 1054 markets and apply new taxes (for instance related to Co2) and may even define temporary closures for in- 1055 stance in case of (carbon in) air pollution, leading to 1056 a loss of income and usage effectiveness. Command- 1057 ment C_6 will aim at repelling this risk.

5.3. Limitations

In this research work, AHP is used as underly- ¹⁰⁶¹ ing technique for combining, in a holistic way, the ¹⁰⁶²

biomimicry commandments and state-of-the-art sustainability metrics. However, several limitations of this approach should be noted, which are respectively discussed through sections 5.3.1, 5.3.2 and 5.3.3.

5.3.1. Dealing with uncertainties

The path towards sustainable development is not a straightforward process, as explained by Zanghelini et al. [20] who point out three complex factors in the ideal environmental decision-making: (i) uncertainty & vagueness: difficulty lies in the quantity of data, the multiple unit types, judgmental values to be applied and the uncertainty of background and foreground data; (ii) subjectivity: because personal judgment vary on which topics are most important; and the (iii) multi-stakeholders involvement: because it must be considered in ideal decision-making. Given these factors, Petrillo et al. [74] explain that providing a real and substantial application of sustainability through the measurement and comparability of results, in a way that satisfies the principles of sustainability of all the stakeholders is one of the biggest challenge (knowing that uncertainties vary depending on who we ask). There is still research to be done in this area.

Although AHP is among the most popular techniques for dealing with MCDA, it does not allow us to tackle uncertainty and vagueness in the expert judgments/preferences, while it could be arguable to ask for precise pairwise comparison values because these coefficients are arguably imprecisely known by experts [75]. To overcome this, AHP could further be combined with Fuzzy Logic, also known as FAHP (Fuzzy AHP) [43], even though some scholars, such as Dubois [76], argue that fuzzy sets have often been incorporated into existing methods (e.g., into AHP, PROMETHEE, ELECTRE) without clear benefits. The main reason behind such a criticism lies in the difficulty of successfully satisfying the transitivity and reciprocal axioms [76, 77]. Other approaches than FAHP could also be explored to handle uncertainty and vagueness, such as the Statistical Value Chain (SVC) [78], which is designed to help evaluating the process of decision support from a statistical viewpoint.

5.3.2. Misuse of the analysis?

One may raise the question: "would it be possible to misuse the analysis by manipulating either data or analysis procedure?" A straightforward answer would be "yes", but any multi-attribute decision-making (MADM) technique that takes into consideration (as inputs) human judgments/preferences, as is the case with AHP, can lead to risks of misusing/manipulating the input judgments in order to obtain the desired alternative ranking (different sets of preferences resulting in different ranking results). However, this is more a problem of integrity than a limitation of

⁶https://www.un.org/sustainabledevelopment, last access: May 2019

AHP. Although making transparent the pairwise comparison judgments/preferences would help to not mislead anyone, this problem is out of scope of this study.

5.3.3. Lack of real-life datasets

Even though the primary message of this research is not to present an in-depth comparison analysis of existing data centers over the globe, but rather present the theoretical foundation of our approach, a next step would be to carry out such comparison analysis considering real-life datasets from data centers. As was discussed in section 4.1.1, this poses a two-fold challenge: (i) to be able to access backend systems of data center organizations; and (ii) to support and/or incentivize organizations to implement/monitor new sustainability metrics in their facilities.

5.4. Future research

Beyond addressing the limitations raised in the previous section, we believe that the theoretical model proposed in this paper is fully applicable to other green ICT sectors including core network infrastructures, internet providers, ICT in enterprise, or still to smart applications such as smart cities, smart transport, Industry 4.0, *etc.* One perspective of this research is thus to keep refining the modelling in translating other metrics in term of eco-mature system lessons. To this end, and as previously discussed in section 2.1, we believe that our model could be either re-used as part of a more generic LCA model covering the economical and social dimensions, too, or be refined with other performance indicators defined in LCA standards.

Acknowledgment

The research reported here was supported and funded by the PERCCOM Erasmus Mundus Program of the European Union (PERCCOM- FPA 2013-0231). The authors would like to express their gratitude to all the partner institutions, sponsors, and researchers involved in the PERCCOM program [79].

Appendix A. Sustainable data center metrics

Table A.7: Metrics for Sustainable data centers reported in [5]

	Metric	Definition
,	ACPR	Average Comparisons Per Rule
	AEUF	Air Economizer Utilization Factor
	APC	Adaptability Power Curve
	ATR	Application Transaction Rate
,	BJC	Bits per Joule Capacity
	CADE	Corporate Average Data Center Efficiency
	Capacity	Capacity
		Continued on next column

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Metric	Definition
CC	Concurrent Connections
CER	Connection Establishment Rate
CNEE	Communication Network Energy Efficiency
Co2s	Co2 Savings
CoP	Coefficient of Performance Ensemble
CPE	Compute Power Efficiency
CUE	Carbon Usage Effectiveness
DCA	DCAdapt
DCcE	Data Center Compute Efficiency
DCCSE	Data Center Cooling System Efficiency
DCeP	Data Center Energy Productivity
DC-FVER	Data Center Fixed to Variable Energy Ratio
DCiE	Data Center Infrastructure Efficiency
DCLD	Data Center Lighting Density
DCPD	Data Center Power Density
DCPE	Data Center Performance Efficiency
DCSSF	Data Center Cooling System Sizing Factor
DeD D-D	Defense Depth
DeP	Deployed Hardware Utilization Efficiency
DH-UE	Deployed Hardware Utilization Efficiency
DH-UR DPPE	Deployed Hardware Utilization Ratio Data Center Performance Per Energy
DTE	Data Transmission Exposure
DWPE	Data Center Workload Power Efficiency
ECR-VL	Energy Consumption Rating Variable Load
EDE TE	Electronics Disposal Efficiency
EER	Energy Efficiency Ratio
EES	Energy ExpenseS
ERE	Energy Reuse Effectiveness
ERF	Energy Reuse Factor
EWR	Energy Wasted Ratio
GEC	Green Energy Coefficient
GUF	Grid Utilization Factor
H-POM	IT Hardware Power Overhead Multiplier
HSE	HVAC System Effectiveness
HTTP	HTTP Transfer Rate
IAS	Interface Accessibility Surface
IPFH	IP Fragmentation Handling
IPt	IP throughput
ITEE	IT Equipment Energy
ITEU ITH	IT Equipment Utilization
Lat	Illegal Traffic Handling Lat
Lat LSP	Lat Low-cost Storage Percentage
MemU	Memory Usage
MRR	Material Recycling Ratio
NetT	Network Traffic per Kilowatt-Hour
NPUE	Network Power Usage Effectiveness
OSE	Overall Storage Efficiency
OSWE	Operating System Workload Efficiency
OpEx	Operational Expenditure
PDE	Power Density Efficiency
PEs	Primary Energy Savings
pPUE	partial Power Usage Effectiveness
PpW	Performance per Watt
PUE	Power Usage Effectiveness
PUEs	Power Usage Effectiveness Scalability
RC	Reachability Count
RCD	Rogue Change Days
REL	Reliability
RSmax	Maximum Relative Size
RT	Response Time
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Metric	Definition
ScE	Server Compute Efficiency
SI-POM	Site Infrastructure Power Overhead Multiplier
SPUE	Server Power Usage Efficiency
SU	Slot Utilization
SWaP	Space, Watts and Performance
T	Vulnerability Exposure
TCE	Technology Carbon Efficiency
TEER	Telecommunications Energy Efficiency Ratio
Thght	Throughput
TUE	Total-Power Usage Effectiveness
Unet	Network Utilization
Ustor	Storage Usage
WUE	Water Usage Effectiveness (on-site)
WUEs	Water Usage Effectiveness (on-site and off-site)

Appendix B. Abbreviation & Important concepts

Table B.8 summarizes all abbreviations used 1177 throughout the paper, except the list of sustainable 1178 data center metrics which is given as a separate table 1179 1180 in Appendix A. 1181

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	Agronym	Full form
	Acronym	ruii iorm
	AHP - FAHP	Analytic Hierarchy Process - Fuzzy Analytic Hierarchy Process
	CBA	Cost-Benefit Analysis
	COP	Conference of the Parties
	CR	Consistency Ratio
	Solar CSP-PV	Concentrated Solar Power - Solar Photovoltaic
	DEA	Data Envelopment Analysis
	ELECTRE	ÉLimination Et Choix Traduisant la RÉalité
	ICT / IT	Information (and Communication) Technologies
Abbreviation	LCA	Life Cycle Assessment
iat	MADM	Multi-Attribute Decision Making
rev	MCDA	Multi-Criteria Decision Analysis
Pp	MCDM	Multi-Criteria Decision Making
₹	PROMETHEE	Preference Ranking Organization Method for Enrichment Evaluations
	QoS	Quality of Service
	Pre-V	Pre-Virtualization
	Post-V(-R)	Post-Virtualization (Post-V); Post-Virtualization with Redundancy (Post-V-R)
	SDGs	Sustainable Development Goals
	SLA	Service Level Agreement
	SVC	Statistical Value Chain Takai in a fac Order of Professional kee Similarity to Ideal Salution
	TOPSIS VIKOR	Technique for Order of Preference by Similarity to Ideal Solution Vlos Veitorii medico Ortiniga edia L. Vorganomino Presente (means, multipritario entimization and comprenies colution)
	C	VlseKriterijumska Optimizacija I Kompromisno Resenje (means: multicriteria optimization and compromise solution) Set of biomimicry commandments. Elements of C are denoted by $\{C_1,, C_i,, C_z\}$ and the associated pairwise com-
	C	parison matrix is denoted by $(C)_{z\times z}$, as given in Eq. 1.
	$\mathcal{P},\mathcal{P}_{C_m}$	\mathcal{P} refers to the set of categories given in Table 3 (i.e., Energy, Materials, Cooling, <i>etc.</i>). Elements of \mathcal{P} are denoted
	γ , γ C_m	by $\{P_1,, P_k,, P_u\}$. \mathcal{P}_{C_m} refers to a subset of \mathcal{P} indicating what categories impact on, and thus are linked with, a
		given commandment C_m . For example, $\mathcal{P}_{C_{10}}$ ={Cooling, Carbon, Green, Recycling} for the Benefit hierarchy, and
		$\mathcal{P}_{C_{10}}$ ={Water} for the Cost hierarchy (cf., Table 3). Elements of \mathcal{P}_{C_m} are denoted by { $P_{C_m,1},,P_{C_m,i},,P_{C_m,y}$ } and the
Ş		associated pairwise comparison matrix is denoted by $(P_{C_m})_{y \times y}$.
ple	\mathcal{M}_{P_k}	Set of metrics that belongs to category P_k (cf., Table 3). Elements of \mathcal{M}_{P_k} being denoted by $\{M_{P_k,1},,M_{P_k,i},,M_{P_k,x}\}$
arië		and the associated pairwise comparison matrix is denoted by $(M_{P_k})_{r \times r}$.
7	${\mathcal A}$	Set of alternative (data center) candidates. Elements of \mathcal{A} being denoted by $\{A_1,,A_l,A_w\}$. All candidates must be
tic		evaluated with respect to all metrics and categories. The corresponding pairwise comparison matrix is denoted by
Mathematical Variables		$(A^{M_{P_k,j}})_{n \in \mathbb{N}^n}$, with j the j th metric of category P_k and l the l th data center candidate.
ath	E_p	Priority/Eigen vector (EV) of a pairwise comparison matrix p. Elements of E_p are denoted by $\{E_{p,1},,E_{p,i},,E_{p,v}\}$.
Ξ	r	In our study, p corresponds to one of the above-specified pairwise comparison matrices, namely $(C)_{7\times7}$, $(P_{Cm})_{y\times y}$, etc.,
		whose corresponding eigenvectors are denoted by $E_{(C)_{z \times z}}$, $E_{(P_{C_m})_{y \times y}}$, etc.
	$S_{A_l}^{\{B;C\}}$	Aggregated scores of a given alternative (data center) A_l with regard to the Benefit hierarchy (score denoted by $S_{A_l}^B$)
	A_l	and the Cost hierarchy (score denoted by $S_{A_I}^C$). The formula to compute these two scores is given in Eq. 6.
	C	and the Cost incracting (scote denoted by A_{A_i}). The formula to compute these two scotes is given in Eq. ().

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Overall score of a given alternative (data center) A_l , which is the division of the Benefit and Cost aggregated scores

(cf., Eq. 7). This overall score is used to achieve the final ranking of the compared data center candidates.

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