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# Validation of Equilibrated Warping—image registration with mechanical regularization—on 3D ultrasound images

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**Abstract.** Image registration plays a very important role in quantifying cardiac motion from medical images, which has significant implications in the diagnosis of cardiac diseases and the development of personalized cardiac computational models. Many approaches have been proposed to solve the image registration problem; however, due to the intrinsic ill-posedness of the image registration problem, all these registration techniques, regardless of their variabilities, require some sort of regularization. An efficient regularization approach was recently proposed based on the equilibrium gap principle, named equilibrated warping. Compared to previous work, it has been formulated at the continuous level within the finite strain hyperelasticity framework and solved using the finite element method. Regularizing the image registration problem using this principle is advantageous as it produces a realistic solution that is close to that of an hyperelastic body in equilibrium with arbitrary boundary tractions, but no body load. The equilibrated warping method has already been extensively validated on both tagged and untagged magnetic resonance images. In this paper, we provide full validation of the method on 3D ultrasound images, based on the 2011 MICCAI Motion Tracking Challenge data.

**Keywords:** Image registration · Finite element method · Equilibrium gap regularization.

## 1 Introduction

Image registration plays a very important role in quantifying cardiac motion from medical images, which has significant implications in the diagnosis of cardiac diseases [21] and the development of personalized cardiac computational models [9, 5] to understand the pathophysiological mechanisms of heart diseases [2, 20] and the effects of treatments [16, 14]. While image registration is still

largely performed as a separate step in the personalization of models [9, 6], it can be integrated and coupled with data assimilation techniques [13], also called integrated correlation [10], to estimate model parameters in a robust and efficient manner [2].

Many approaches have been proposed to solve the image registration problem, and they can be broadly categorized into local and global approaches. In the latter category, the different image registration techniques vary depending on (i) the displacement field support (image-based *vs.* mesh-based), (ii) the interpolation scheme (*e.g.*, linear *vs.* splines *vs.* polynomials), (iii) the chosen image similarity metric (*e.g.*, L2 *vs.* mutual information) and/or (iv) the minimization algorithm [1, 17]. Due to the intrinsic ill-posedness of the image registration problem, however, all these registration techniques, regardless of their variabilities, require some sort of regularization [3, 4, 18, 12, 19, 17, 7]. Various regularizers have been proposed, from pure mathematical (laplacian smoothing) to geometrical to complex mechanical regularizer enforcing that the solution satisfies basic physical principles.

To address this issue, an efficient regularization approach was recently proposed based on the equilibrium gap principle [4], named equilibrated warping [7]. Compared to previous work [4, 10], it has been formulated at the continuous level within the (nonlinear) finite strain hyperelasticity framework, discretized consistently, and solved using the finite element method. Regularizing the image registration problem using this principle is advantageous as it produces a realistic solution that is close to that of an hyperelastic body in equilibrium with arbitrary boundary tractions (but no body load). It could also be potentially formulated and integrated with data assimilation techniques to estimate boundary tractions (*e.g.*, cavity pressure) that has important clinical implications [7]. Here, we propose to validate the equilibrated warping method based on the 2011 MICCAI Motion Tracking Challenge data [17] on both tagged (3DTAG) and untagged (SSFP) magnetic resonance and 3D ultrasound (3DUS) images.

## 2 Methods

### 2.1 Image Registration Problem

$\tilde{I}_0$  &  $\tilde{I}$  are two images representing the same body  $\mathcal{B}$  at two instants  $t_0$  &  $t$ :

$$\tilde{I}_0 : \begin{cases} \square_0 \rightarrow \mathbb{R} \\ \underline{X} \mapsto \tilde{I}_0(\underline{X}) \end{cases} , \quad \tilde{I} : \begin{cases} \square \rightarrow \mathbb{R} \\ \underline{x} \mapsto \tilde{I}(\underline{x}) \end{cases} , \quad (1)$$

where  $\square_0$  &  $\square$  are the image domains at  $t_0$  &  $t$ , which are usually identical. The domains occupied by the body  $\mathcal{B}$  at  $t_0$  &  $t$  are denoted  $\Omega_0$  &  $\Omega$ , respectively. The problem is to find the smooth mapping  $\underline{\Phi}$  between materials points in the reference and deformed domains:

$$\underline{\Phi} : \begin{cases} \Omega_0 \rightarrow \Omega \\ \underline{X} \mapsto \underline{x} = \underline{\Phi}(\underline{X}) \end{cases} , \quad (2)$$

where  $\underline{X}$  &  $\underline{x}$  denote the position of a given material point in the reference and deformed configurations. Equivalently, one can search for the smooth displacement field  $\underline{U}$ :

$$\underline{U} : \begin{cases} \Omega_0 \rightarrow \mathbb{R}^3 \\ \underline{X} \mapsto \underline{U}(\underline{X}) = \underline{\Phi}(\underline{X}) - \underline{X} \end{cases} . \quad (3)$$

Due to its intrinsic ill-posedness, the problem is formulated as a regularized minimization problem:

$$\text{find } \underline{\Phi}^{\text{sol}} = \text{argmin}_{\{\underline{\Phi}\}} \{(1 - \beta) \Psi^{\text{cor}}(\underline{\Phi}) + \beta \Psi^{\text{reg}}(\underline{\Phi})\}, \quad (4)$$

where  $\Psi^{\text{cor}}$  is the image similarity metric, or ‘‘correlation energy’’,  $\Psi^{\text{reg}}$  is the regularization energy, and  $\beta$  defines the regularization strength. The correlation energy is assumed to be convex, at least in the neighborhood of the solution, though it is in general not quadratic. Similarly, the regularization energy is assumed to be convex in the neighborhood of the solution.

## 2.2 Image intensity-based global image registration

In image intensity-based global approaches, the following correlation energy is generally used:

$$\Psi^{\text{cor}}(\underline{\Phi}) = \frac{1}{2} \int_{\Omega_0} \left( \tilde{I}(\underline{\Phi}(\underline{X})) - \tilde{I}_0(\underline{X}) \right)^2 d\Omega_0. \quad (5)$$

Other metrics have been proposed; however, we retain this one notably because it can be differentiated straightforwardly.

It can happen that the body to track is partly out of the images, at the reference frame and/or at the registered frames. This is especially true for cardiac echocardiography, where the ventricle barely fits within the imaging cone. In this case, in order to prevent the body parts outside the images to play a role in the registration, we propose the following augmentation of the correlation energy:

$$\Psi^{\text{cor}}(\underline{\Phi}) = \frac{1}{2} \int_{\Omega_0} \mathbb{1}(\underline{X}) \mathbb{1}(\underline{\Phi}(\underline{X})) \left( \tilde{I}(\underline{\Phi}(\underline{X})) - \tilde{I}_0(\underline{X}) \right)^2 d\Omega_0, \quad (6)$$

where  $\mathbb{1}$  denotes the indicative function of the imaging domain, which corresponds to the entire image box in MRI, and only to the imaging cone in 3DUS.

## 2.3 Equilibrium gap regularization

In order to describe the equilibrium gap regularization that was first introduced in [4] and first formulated at the continuum level within the framework of non-linear finite strain hyperelasticity in [7], let us first recall that mechanical equilibrium, *i.e.*, conservation of momentum, in absence of body load and inertia, can be expressed as:

$$\begin{cases} \text{Div}(\underline{F} \cdot \underline{S}) = 0 \\ {}^t \underline{S} = \underline{S} \end{cases} \quad \forall \underline{X} \in \Omega_0, \quad (7)$$

where  $\underline{\underline{S}}$  is the second Piola-Kirchhoff stress tensor, and  $\underline{\underline{F}} = \frac{\partial \phi}{\partial \underline{\underline{X}}}$  is the transformation gradient [8]. These relations correspond to the conservation of linear and angular momentum, respectively. We also recall that the second principle of thermodynamics requires that:

$$\underline{\underline{S}} = \frac{\partial \rho_0 \psi}{\partial \underline{\underline{E}}}, \quad (8)$$

where  $\rho_0 \psi$  is the free energy density, and  $\underline{\underline{E}} = \frac{1}{2} (\underline{\underline{C}} - \underline{\underline{1}})$  the Green-Lagrange strain tensor, with  $\underline{\underline{C}} = {}^t \underline{\underline{F}} \cdot \underline{\underline{F}}$  the right Cauchy-Green dilatation tensor [8]. The Green-Lagrange strain tensor is symmetric, such that when computed through relation (8), the second Piola-Kirchhoff stress tensor is necessarily symmetric and the conservation of angular momentum is automatically verified. However, the conservation of linear momentum still needs to be enforced. In principle one could use  $\Psi^{\text{reg}} = \frac{1}{2} \|\text{Div}(\underline{\underline{F}} \cdot \underline{\underline{S}})\|_{L^2(\Omega_0)}^2$ . However, Problem (4) is discretized using standard Lagrange finite elements, so that  $\underline{\underline{F}}$  and  $\underline{\underline{S}}$  belong to  $L^2(\Omega_0)$ , but not to  $H(\text{div}; \Omega_0)$ . Thus, the following equivalent norm is used instead:

$$\Psi^{\text{reg}} = \sum_K \frac{1}{2} \|\text{Div}(\underline{\underline{F}} \cdot \underline{\underline{S}})\|_{L^2(K)}^2 + \sum_F \frac{1}{2h} [[\underline{\underline{F}} \cdot \underline{\underline{S}} \cdot \underline{\underline{N}}]]_{L^2(F)}^2, \quad (9)$$

where  $K$  denotes the set of elements,  $F$  the set the interior faces with normal  $\underline{\underline{N}}$ , and  $h$  a characteristic length of the finite element mesh. See [7] for more details.

## 2.4 Implementation and Workflow

The method has been implemented based on FEniCS<sup>4</sup> [11] and VTK<sup>5</sup> [15] libraries, and the code is freely available<sup>6</sup>. In practice, to register the images, one only needs a segmentation of the object of interest, which can then be automatically meshed. From there the registration is entirely automatic.

## 3 Results & Discussion

In this section we present the validation of the equilibrated warping method for 3DUS cardiac images registration (results for SSFP & 3DTAG images were already presented in [7]), using the publicly available dataset of the Cardiac Motion Analysis Challenge that was held at the 2011 MICCAI workshop<sup>7</sup> and is described in details in [17]. Briefly, the dataset consists of untagged (SSFP) and tagged (3DTAG) magnetic resonance images as well as 3DUS images acquired from a dynamic phantom (PHANTOM) and fifteen healthy volunteers (V1-V2,

<sup>4</sup> <https://www.fenicsproject.org>

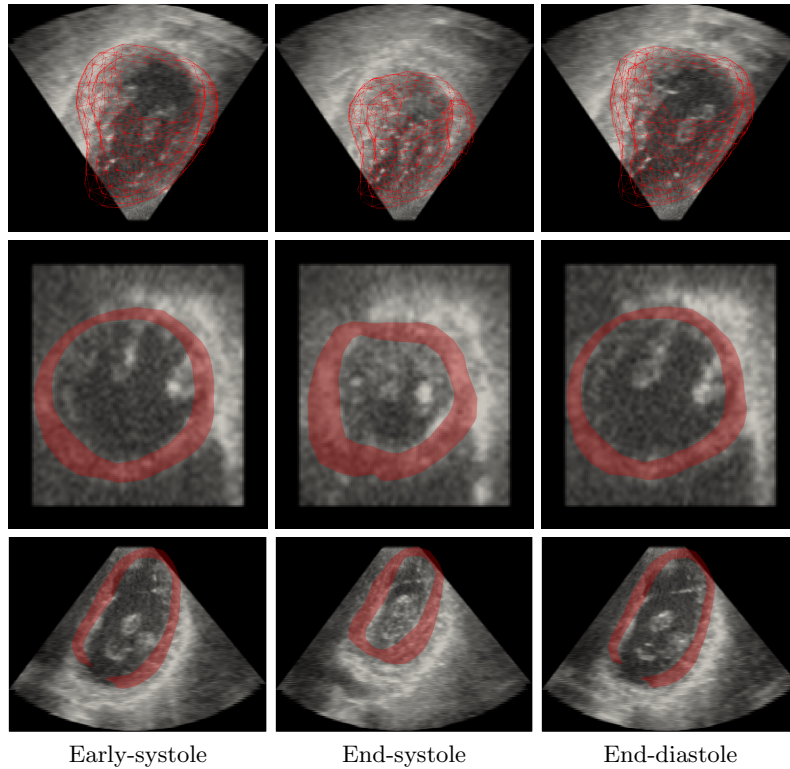
<sup>5</sup> <https://www.vtk.org>

<sup>6</sup> [https://gitlab.inria.fr/mgenet/dolphin\\_dic](https://gitlab.inria.fr/mgenet/dolphin_dic)

<sup>7</sup> <https://www.cardiacatlas.org/challenges/motion-tracking-challenge>

V4-V16) with corresponding segmentations and markers that were manually tracked by experts (*i.e.*, ground truth, GT). Results, in the form of tracked markers, from the challenge competitors (INRIA, IUCL, MEVIS, UPF) are also provided in the dataset.

Equilibrated warping was applied to all sets of 3DUS images. For all cases, a regularization strength of 0.1 was used, which was shown to be a good compromise in [7]. For the sake of illustration, Figure 1 shows the result of the registration for V1. One can see the relatively good tracking of the ventricle despite the low image quality.



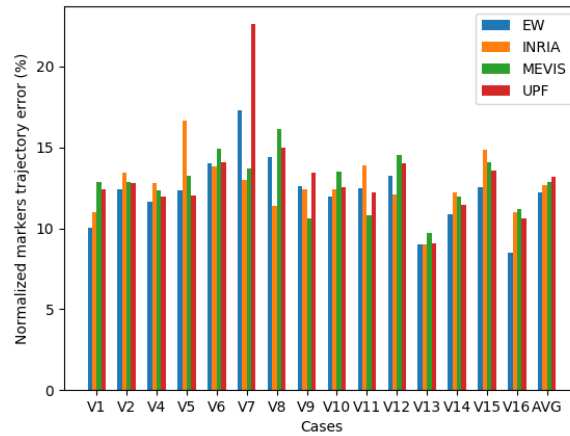
**Fig. 1.** Sequence of 3DUS images (V1 case) with superimposed warped mesh (top), and sliced mesh in roughly short axis (middle) and long axis (bottom).

After successful computation of the displacement fields over the mesh at all time frames, the displacements were interpolated onto the markers, which were then warped as well. In order to quantitatively assess the quality of the registration, the following normalized error on the markers trajectory was used:

$$\text{err} = \frac{1}{n_{\text{markers}}} \sum_{m=1}^{n_{\text{markers}}} \frac{\sum_{f=1}^{n_{\text{frames}}} \|\underline{X}^m(f) - \underline{X}^{m,\text{GT}}(f)\|}{\sum_{f=1}^{n_{\text{frames}}-1} \|\underline{X}^{m,\text{GT}}(f+1) - \underline{X}^{m,\text{GT}}(f)\|}, \quad (10)$$

where  $n_{\text{markers}}$  is the number of “valid” markers (*i.e.*, markers that lie within the mesh in the reference configuration and can thus be tracked),  $n_{\text{frames}}$  is the number of frames,  $\underline{X}^{m,\text{GT}}(f)$  is the ground truth position of marker  $m$  at frame  $f$ , and  $\underline{X}^m(f)$  is the tracked position of marker  $m$  at frame  $f$ . See [7] for more details. A comparison of the normalized error between equilibrated warping and other challenge competitors is shown on Figure 2. One can draw similar conclusions as for SSFP & 3DTAG images: equilibrated warping performs as well, if not better, than established registration methods.

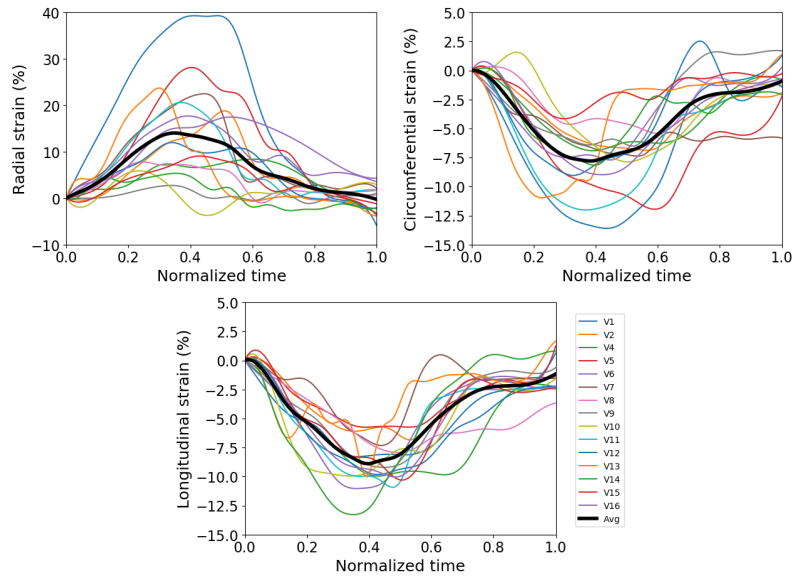
For the sake of completeness, tracked strain components are also shown, on Figure 3. Radial thickening, and circumferential and longitudinal shortenings are well captured, though underestimated, as already noted in [17].



**Fig. 2.** Normalized markers error, for 3DUS images and for all cases, as well as normalized markers error mean over all cases (last column). EW stands for Equilibrated Warping [7], while the other columns represent challenge competitors [17].

## 4 Conclusion

Equilibrated warping provides a good registration in three different types of images (*i.e.* tagged and untagged magnetic resonance images as illustrated in [7], and 3DUS images as presented here for the first time) with a normalized marker error that is comparable, if not better, than other established methods from the challenge competitors. Besides its ability to correctly register material points in medical images as we have shown here, the main advantage of having a mechanically-sound regularization in equilibrated warping ensures that the displacement and strain fields are proper physical fields that can be used to derive physiologically relevant biomarkers [21, 5].



**Fig. 3.** Radial, circumferential and longitudinal strain components tracked from 3DUS images, for all cases as well as the average value.

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