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# The global impact of *Wolbachia* on mitochondrial diversity and evolution

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15 **Running title:** *Wolbachia* and mitochondrial evolution

## Abstract

20 The spread of maternally inherited microorganisms, such as *Wolbachia* bacteria, can induce indirect selective sweeps on host mitochondria, to which they are linked within the cytoplasm. The resulting reduction in effective population size might lead to smaller mitochondrial diversity and reduced efficiency of natural selection. While documented in several host species, it is currently unclear if such a scenario is common enough to globally impact the

25 diversity and evolution of mitochondria in *Wolbachia*-infected lineages. Here we address this question using a mapping of *Wolbachia* acquisition / extinction events on a large mitochondrial DNA tree, including over 1,000 species. Our analyses indicate that on a large phylogenetic scale, other sources of variation, such as mutation rates, tend to hide the effects of *Wolbachia*. However, paired comparisons between closely related infected and uninfected

30 taxa reveal that *Wolbachia* is associated with a twofold reduction in silent mitochondrial polymorphism, and a 13% increase in non-synonymous substitution rates. These findings validate the conjecture that the widespread distribution of *Wolbachia* infections throughout arthropods impacts the effective population size of mitochondria. These effects might in part explain the disconnection between genetic diversity and demographic population size in

35 mitochondria, and also fuel red-queen-like cytonuclear coevolution through the fixation of deleterious mitochondrial alleles.

## Introduction

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Variations in population size have deep consequences on molecular evolution: small populations harbor fewer polymorphic sites and accumulate deleterious mutations at faster rates because of the predominance of drift. However, other, non-demographic processes, such as intense episodes of selection, also affect genetic diversity and substitution rates, which  
45 tends to uncouple the true population size from its abstract genetic counterpart, the effective population size ( $N_e$ ). Mitochondrial DNA (mtDNA), although commonly used as a genetic marker for a number of good reasons (notably, technical ease-of-use and high mutation rates) is notoriously subject to such disconnection between the true and effective population size (Hurst & Jiggins, 2005; Bazin *et al.*, 2006; Galtier *et al.*, 2009). Here we test the hypothesis  
50 that *Wolbachia* bacteria might be part of the explanation, by investigating their global effects on patterns of mitochondrial diversity and evolution.

These intracellular (and thus maternally inherited) symbionts display an impressive variety of effects that make them invasive (O'Neill *et al.*, 1997; Werren *et al.*, 2008; Martinez *et al.*, 2014). They can kill male embryos or turn them to females, reallocating part or all of  
55 the reproductive efforts toward the transmitting sex. They can also impede the reproduction of uninfected females, using infected males as sterilizing weapons. *Wolbachia* also commonly provides protection against natural enemies such as viruses, and thus indiscriminately benefits individuals of both sexes. In any case, if the net fitness gain to the infected maternal lineage is sufficient, *Wolbachia* can increase in frequency and drag along the mitochondrial lineage with  
60 which it happens to be associated, because the two are genetically linked through maternal transmission (Turelli *et al.*, 1992).

If *Wolbachia* is perfectly transmitted from mothers to offspring, this process ends with the fixation of both the symbiont and the associated mitochondria, erasing the pre-existing

molecular diversity. If transmission is imperfect, *Wolbachia* does not get fixed, but reaches an  
65 equilibrium prevalence, where selection for the infected lineage is balanced by imperfect  
transmission (O'Neill *et al.*, 1997). Interestingly, even in that case, the ancestral  
mitochondrial polymorphism is erased in the long run, because all uninfected lineages  
ultimately originate from infected mothers, through imperfect transmission (Turelli *et al.*,  
1992). Thus, depending on the stage of the infection, the reduction in polymorphism within a  
70 species should either affect only its infected portion, or also extend to the uninfected  
individuals if the transmission / selection balance has been reached.

A number of case studies have demonstrated that the spread of *Wolbachia* can indeed  
affect the mtDNA polymorphism (Turelli *et al.*, 1992; Solignac *et al.*, 1994; Ballard *et al.*,  
1996; Keeling *et al.*, 2003; Charlat *et al.*, 2009; Graham & Wilson, 2012; Richardson *et al.*,  
75 2012; Schuler *et al.*, 2016). Shoemaker (2004) also provided evidence for an elevated non-  
synonymous substitution rate in an infected *Drosophila* species compared to its uninfected  
sister species, making *Wolbachia* and reduction in  $N_e$  a very plausible explanation.

While these studies indicate that *Wolbachia* can have an effect on mitochondrial  
diversity and evolution, in particular in cases where it is highly invasive, it remains to be  
80 determined if such situations are frequent enough to produce a global effect. Here we test this  
hypothesis using the SymbioCode system, a sample of more than one thousand arthropod  
species collected in four Polynesian islands (Ramage *et al.*, 2017). We map the previously  
inferred evolutionary history of *Wolbachia* acquisitions (Bailly-Bechet *et al.*, 2017) on the  
mtDNA tree, and show that these symbionts affect both the mtDNA polymorphism and  
85 substitution rates. While these effects are masked by other sources of variation on a large  
phylogenetic scale, they become clear once closely related infected and uninfected taxa are  
compared. These results attest the marked global effect of *Wolbachia* infections on  
mitochondrial diversity and evolution.

## Material and Methods

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### Dataset

The present analysis is based on the SymbioCode dataset which has been described in details elsewhere (Bailly-Bechet *et al.*, 2017; Ramage *et al.*, 2017) ([dx.doi.org/10.5883/DS-SYMC](https://doi.org/10.5883/DS-SYMC)).

95 In brief 10,929 arthropod specimens were collected in four islands of the Society

Archipelago, and sorted into morpho-species. DNA barcodes, that is, a 658 bp fragment of the

CO1 mitochondrial gene, were obtained by Sanger sequencing from 3,627 specimens,

spanning most of the taxonomic and geographic diversity of the initial sample (GenBank ids:

KX051578 - KX055204). DNA barcodes clustered into 1,110 species-like groups or

100 Operational Taxonomic Units (OTUs) covering 26 orders, the most species-rich being Diptera

(306 OTUs), Lepidoptera (222), Hymenoptera (171), Hemiptera (132) and Coleoptera (106).

The presence of *Wolbachia* was ascertained by a double PCR assay (Simões *et al.*, 2011) in

32% of the specimens, and a standard *Wolbachia* marker, the *fbpA* gene, was directly

sequenced from PCR products using Sanger sequencing (Bailly-Bechet *et al.*, 2017).

105 Specimens carrying uncharacterized *Wolbachia* strains (detected by PCR but not successfully sequenced) were excluded from the subsequent analysis, where sequence data was required.

To eliminate possible cases of transient infections or artificial contaminations, we also filtered out OTUs carrying a single infected specimen from the present analysis.

A co-phylogenetic analysis based on the tree reconciliation program ALE (Szöllősi *et*

110 *al.*, 2013a; b) allowed us to map 1,000 plausible scenarios of *Wolbachia* acquisitions on the

host mtDNA tree, sampled according to their likelihood (Bailly-Bechet *et al.*, 2017). From

these, we estimated the probability that any branch was infected as the proportion of scenarios

where this branch was found infected. Notably, this proportion might differ between the start

and the end of a branch (if infection was lost or acquired on this branch); we thus used the  
115 average of the two proportions as a measure of the infection probability.

### **Nucleotide diversity and dN/dS estimations**

Within each OTU, the silent nucleotide diversity ( $\pi_s$ ) was approximated as the mean of raw  
120 genetic distances between all specimens at the 3<sup>rd</sup> position of codons in the CO1 gene using  
the R function *nuc.div* (PEGAS, Paradis 2010). The  $\pi_{s\_inf}$  value corresponds to infected OTUs  
(that is, those carrying at least two infected specimens) while the  $\pi_{s\_un}$  value corresponds to  
uninfected OTUs.

Synonymous (dS) and non-synonymous (dN) substitution rates were estimated on  
125 each branch of the host tree using a substitution mapping approach. In a first step, we  
estimated the  $\omega$  parameter ( $\omega=dN/dS$ ) by maximum likelihood, using a homogeneous  
model, i.e. assuming a single  $\omega$  parameter over the entire tree. In a second step, synonymous  
and non-synonymous substitutions are mapped on the tree to compute branch-specific values  
of  $\omega$ . This approach was shown to be more accurate than a full maximum likelihood  
130 approach, where one would aim at estimating  $\omega$  separately for each branch (O'Brien *et al.*,  
2009; Romiguier *et al.*, 2012).

Technically, the estimation of  $\omega$  required to split the maximum likelihood CO1 tree  
(Bailly-Bechet *et al.*, 2017) in 18 clades of computationally manageable size, each including  
170 leaves on average. For each clade, this tree and the corresponding CO1 alignment were  
135 used to optimize an homogeneous substitution model by maximum likelihood (Yang &  
Nielsen, 1998) with the program *bppml* (Bio++ *Maximum Likelihood*) (Dutheil & Boussau,  
2008). The model included the following parameters: equilibrium base composition,  
transition/transversion equilibrium ratio ( $\kappa=ts/tv$ ), position specific base compositions at

the root, and a single  $\omega$ . *MapNH* (from the *TestNH* package) (Dutheil *et al.*, 2012) was then  
140 used to count the number of synonymous and non-synonymous substitutions, as well as the  
number of synonymous and non-synonymous sites, on each branch of the trees. For each  
branch, the non-synonymous and synonymous substitution rates (dN and dS) are the ratio  
150 between the number of substitutions and the number of sites.

To compare  $\omega$  values of infected and uninfected branches, we pooled estimates  
145 from all branches within small clades rather than use single branch estimates, for the  
two following reasons. First, dN and dS are inaccurately estimated on each specific  
branch, so that a pool of branches produces more robust estimates. Second, there is  
some uncertainty in the infection status of each branch, captured in our analysis by the  
estimated probability of infection. When this probability is not 0 or 1, a specific branch  
150 cannot be assigned to the uninfected or infected categories. However, by combining  
several branches, we can produce pooled estimates of  $\omega$  for the infected and uninfected  
lineages by weighting data from every branch using its probability of infection. To  
combine data from neighbouring branches, we defined clades including specimens  
distant by no more than 0.2 substitutions per CO1 site (using branch length as a distance  
155 measure). This threshold was chosen as a compromise to increase the amount of data  
for each estimation, without exceedingly increasing the heterogeneity within each pool.  
Within each of the 536 clades thus identified, we calculated  $\omega$  along infected and uninfected  
lineages as follows:

$$\omega_{inf} = \frac{dN_{inf}}{dS_{inf}} = \frac{\sum_{k=1}^{k=K} dN_k * P_k}{\sum_{k=1}^{k=K} dS_k * P_k}$$
$$\omega_{un} = \frac{dN_{un}}{dS_{un}} = \frac{\sum_{k=1}^{k=K} dN_k * (1 - P_k)}{\sum_{k=1}^{k=K} dS_k * (1 - P_k)}$$

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Where  $P_k$  denotes the probability that branch  $k$  is infected and  $dN_k$  and  $dS_k$  denote the non-  
synonymous and synonymous substitution rates on branch  $k$  (among  $K$  branches). This

calculation ensures that the weight of each branch is proportional to its length and the level of confidence in its infection status. We limited this calculation to clades where  $\omega_{inf}$  or  $\omega_{un}$  could be estimated from sufficient data, that is, from branches summing to at least 2% in dS. The  $\omega$  values along infected and uninfected lineages were thus estimated in 178 and 216 clades, respectively, with 176 clades providing estimates for both categories.

### Comparative analysis

Phylogenetic inertia can produce strong but spurious correlations between variables, or on the contrary blur correlations between causally linked variables (Felsenstein, 1985). To test the effect of *Wolbachia* on either nucleotide diversity or substitution patterns, we controlled for this effect using paired comparisons. We used the above-defined 536 clades including specimens distant by no more than 0.2 substitutions per CO1 site. Within each clade including both infected and uninfected individuals, we then calculated the statistic of interest ( $\pi$  or  $\omega$ ) for the infected and uninfected categories. For the polymorphism analysis, these were simply the mean  $\pi$  values of each category of taxa. For the dN/dS analysis, the  $\omega_{inf}$  and  $\omega_{un}$  were computed as detailed above. For both analyses, we used Wilcoxon paired signed rank tests to assess differences between the infected and uninfected categories.

**Does *Wolbachia* reduce mitochondrial polymorphism?**

To assess the effect of *Wolbachia*-induced sweeps, we used sequences of the CO1 gene to  
190 compare the silent mitochondrial polymorphism of 134 infected and 241 uninfected arthropod  
species, collected in French Polynesia as part of the SymbioCode project (Bailly-Bechet *et*  
*al.*, 2017; Ramage *et al.*, 2017). Despite a trend in the expected direction, this global  
comparison did not reveal a significant reduction of polymorphism linked with the presence  
of *Wolbachia* (Figure 1a; mean  $\pi_{s\_un}$ =0.54% (SEM=0.07%); mean  $\pi_{s\_inf}$ =0.45%  
195 (SEM=0.07%); Wilcoxon rank sum test, W=16947, p=0.38). However, this comparison can  
be confounded by background variation in mutation rates, census population size, or any other  
factor affecting polymorphism and varying across arthropod clades. To control for these  
effects, we used a paired comparison on a subset of the data: 54 infected and 138 uninfected  
species distributed across 18 small clades (of maximum 20% CO1 divergence) including both  
200 categories. This more sensitive approach validates the hypothesis that *Wolbachia* reduces the  
mitochondrial polymorphism (Figure 1b, Wilcoxon paired test, V=28, p=0.04), with an  
overall twofold reduction associated with the presence of *Wolbachia* (mean  $\pi_{s\_un}$ =1.1%; mean  
 $\pi_{s\_inf}$ =0.51%; mean difference = 0.58%; SE of the mean difference = 0.28%; n=18 clades).  
Importantly, this effect is visible in clades from several arthropod orders (Figure 1b;  $\pi_{s\_un}$  is  
205 larger than  $\pi_{s\_inf}$  in only 5 clades out of 18), although the signal is necessarily less clear in  
those harboring a very low polymorphism.

## Does *Wolbachia* reduce purifying selection efficiency?

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The spread of *Wolbachia* temporarily reduces the mitochondrial effective population size, which produces the above-documented reduction in polymorphism. But are these sweeps frequent and intense enough to also affect substitution patterns, that is, to increase the rate of fixation of non-synonymous mutations, most of which would otherwise be prevented by purifying selection? We tested this hypothesis by comparing  $\omega$ , i.e. the ratio between non-synonymous and synonymous substitution rates (dN/dS) among infected and uninfected lineages.

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To this end, we used the output of a *Wolbachia* / mtDNA cophylogenetic analysis to estimate the probability that *Wolbachia* was present on each branch of the CO1 tree (Bailly-Bechet *et al.*, 2017) and also estimated the number of synonymous and non-synonymous substitutions for each branch. To reduce the uncertainty in our analysis, we did not directly use branch specific estimates, but rather pooled the information from closely related branches, that is, branches belonging to the same clade of maximum 20% CO1 divergence. We further selected the pooled estimates that were based on sufficient total branch length (total dS > 2%). The final dataset thus includes 178 estimates of  $\omega_{inf}$ , and 216 estimates of  $\omega_{un}$ , distributed across 218 clades of maximum 20% CO1 divergence.

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Regardless of the presence of *Wolbachia*, we found that non-synonymous substitution rates are very low in all lineages, reaching about 1% of the synonymous substitution rate, in line with strong purifying selection acting on the mitochondrial CO1 gene (James *et al.*, 2016). To assess the effect of *Wolbachia* on the efficiency of selection, we first compared the infected and uninfected  $\omega$  values using a global, non-paired approach (Figure 2a). Although the average infected  $\omega$  is slightly larger than the average uninfected  $\omega$ , this non-paired test does not reveal a significant difference (mean  $\omega_{inf}$ =0.0086, n=178 (SEM=0.0005); mean

235  $\omega_{un}=0.0077$  (SEM=0.0004),  $n=216$ ; Wilcoxon rank sum test,  $W=17530$ ,  $p=0.13$ ). To better control for the effect of background variations in  $\omega$ , we selected the 176 clades where both the infected and uninfected  $\omega$  values could be computed and compared. This paired approach, illustrated in Figure 2b, indicates a significant difference (Wilcoxon paired test,  $V=5685$ ,  $p=0.003$ ), with a 13% increase in  $\omega$  associated with the presence of *Wolbachia* (mean  $\omega_{inf}=0.008$ ; mean  $\omega_{un}=0.0077$ ; mean difference=0.001; SE of the mean difference = 0.0004).

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## Discussion

Theory suggests that *Wolbachia* infections should reduce the mitochondrial effective population size, while case studies indicate this can occasionally impact the polymorphism (Turelli *et al.*, 1992; Solignac *et al.*, 1994; Ballard *et al.*, 1996; Johnstone & Hurst, 1996b; Keeling *et al.*, 2003; Charlat *et al.*, 2009; Graham & Wilson, 2012; Richardson *et al.*, 2012; Schuler *et al.*, 2016) and possibly the efficacy of purifying selection (Shoemaker *et al.*, 2004). The comparative approach used here suggests these effects are strong enough to globally affect the polymorphism and the molecular evolution of mitochondria. The presence of *Wolbachia* appears to be associated with a twofold reduction in polymorphism, and a 13% increase in the dN/dS ratio. We also note that other factors that are not the focus of this study, such as variation in census population size or mutation rates among different arthropod orders (Allio *et al.*, 2017) introduce substantial variations in mitochondrial diversity and evolution on a large phylogenetic scale, so that the effects of *Wolbachia* are only detected with a paired approach, where we compare closely related infected and uninfected species or branches. This means that *Wolbachia* is one among several forces shaping the mitochondrial polymorphism and substitutions patterns across arthropods.

The observed reduction in polymorphism in *Wolbachia* infected species supports the hypothesis that natural selection acting on the symbiont has produced recent reductions in mitochondrial effective population size in many species. Under this view, several more specific and non-mutually exclusive hypotheses can be distinguished. First, and most obvious, it might be that the recent reduction in  $N_e$  was caused by the recent spread of new *Wolbachia* infections, as documented in several case studies (Turelli *et al.*, 1992; Richardson *et al.*, 2012; Schuler *et al.*, 2016). A second possibility is that ancient infections are subject to recurrent selective sweeps, associated with repeated episodes of *Wolbachia* adaptive evolution within its host, which would reduce  $N_e$  beyond the initial invasion phase. Finally, a long-term reduction in  $N_e$  could also occur if the equilibrium prevalence is low. Indeed, the uninfected part of the population is an evolutionary dead end (that does not contribute to mitochondrial  $N_e$ ), so that a low equilibrium prevalence can in principle maintain an abnormally low polymorphism in the long run (Johnstone & Hurst, 1996a). Recent estimates of the *Wolbachia* turnover suggest that most infections have been acquired during the last few million years (Bailly-Bechet *et al.*, 2017), a time frame that does not rule out any of the above explanations.

We observed in our dataset that in species where both infected and uninfected lineages coexist, the mitochondrial polymorphism tends to be smaller in the infected portion than in the uninfected portion ( $\pi_s=0.35\%$  versus  $0.53\%$ ). Theory and case studies indicate that once a maternally inherited symbiont has reached its equilibrium prevalence, the reduction in polymorphism also affects the uninfected part of the population, because this part is only maintained through the loss of infection from the infected lineage (Turelli *et al.*, 1992; Solignac *et al.*, 1994; Richardson *et al.*, 2012). Our results indicate that infected species (including those also carrying uninfected specimens) have a lower mitochondrial polymorphism than uninfected ones ( $0.51\%$  versus  $1.1\%$ ), suggesting the equilibrium prevalence has been reached in many cases, but also that the infected portion of infected

species harbor an even lower polymorphism (0.35%), suggesting the equilibrium infection prevalence has not yet been reached in a substantial proportion of species. In this context, we  
285 also note that species carrying only one infected specimen were removed from the analysis; this allows us to eliminate natural or artificial *Wolbachia* DNA contaminations, but also tends to exclude infected species with low *Wolbachia* prevalence.

While a reduction of polymorphism provides hints on recent selective sweeps, the  $\omega$  (i.e. dN/dS) ratio integrates all substitutions having occurred over long periods, and can thus  
290 reveal long-term variations in  $N_e$ . We found a 13% increase in  $\omega$  associated with the presence of *Wolbachia* on branches of the CO1 tree. We can use previous estimation of the distribution of fitness effects (DFE) of non-synonymous mutations in mitochondria (James *et al.*, 2016) to evaluate the magnitude of change in  $N_e$  that would be compatible with our observations. James *et al.* (2016) estimated the shape of the DFE from over 500 animal species. The shape  
295 parameter of this distribution provides a simple relationship between the proportion of non-synonymous mutations becoming effectively neutral (Ohta, 1977; Kimura, 1979; Welch *et al.*, 2008) when  $N_e$  is reduced:  $x^{-\lambda}=p$  (where  $x$  is the factor of change in  $N_e$ ,  $\lambda$  is the shape parameter of the DFE, and  $p$  is the proportion of effectively neutral mutations). From this, we can derive an estimation of  $x$ , knowing  $p$  and  $\lambda$ :  $x=\exp^{-(\log(p)/\lambda)}$ . We estimated that  $\omega$  is  
300 1.13 times larger in *Wolbachia* infected lineages. Assuming the majority of non-synonymous substitutions are deleterious, this means a 1.13 increase in the proportion of effectively neutral mutations. In other words, we estimate  $p=1.13$ . James *et al.* (2016) estimate a global  $\lambda$  of 0.44, so that  $x=\exp^{-(\log(1.13)/0.44)}=0.76$ . Thus, we estimate that  $N_e$  is reduced by 24% in lineages where *Wolbachia* is present, which, considering the various sources of uncertainty, is not  
305 incompatible with the 50% reduction in  $N_e$  estimated from the silent polymorphism data.

The global effect of *Wolbachia* on mitochondrial polymorphism and evolution argues against the view that *within species*, *Wolbachia* might be frequently transmitted between

different maternal lineages, either through occasional paternal transmission (Hoffmann *et al.*, 1990), or horizontally transfer *sensu stricto* (Huigens *et al.*, 2000, 2004). Thus, while non  
310 vertical transmission is known to occur and clearly underlies the global distribution of this  
symbiont (Werren & Windsor, 2000; Engelstädter & Hurst, 2006; Zug *et al.*, 2012; Bailly-  
Bechet *et al.*, 2017), its rate appears too low to break the genetic linkage between *Wolbachia*  
and mitochondria *within* species.

Some central features of mitochondrial evolution should be revisited in the light of our  
315 findings. Notably, it has been shown that mitochondrial polymorphism is often disconnected  
from the true population size (Hurst & Jiggins, 2005; Bazin *et al.*, 2006; Galtier *et al.*, 2009).  
Our results suggest that *Wolbachia* might be part of the explanation, since infected species  
generally harbour an abnormally low diversity. Evidence is also accumulating that  
coevolution between mitochondrial and nuclear genes often produces incompatibilities  
320 between recently isolated populations, thus contributing to the evolution of reproductive  
barriers (Burton *et al.*, 2013; Chou & Leu, 2015; Hill, 2016). Specifically, it is hypothesized  
that mitochondrial properties (high mutation rate, maternal inheritance and lack of  
recombination) are responsible for the fixation of deleterious or selfish alleles, producing a  
red-queen-like cytonuclear coevolution. Under this view, mitochondria would represent an  
325 Achilles' heel for adaptive evolution, driving compensatory evolution in the nucleus. The  
increased rate of non-neutral mitochondrial evolution in *Wolbachia* infected lineages might  
further exacerbate this process.

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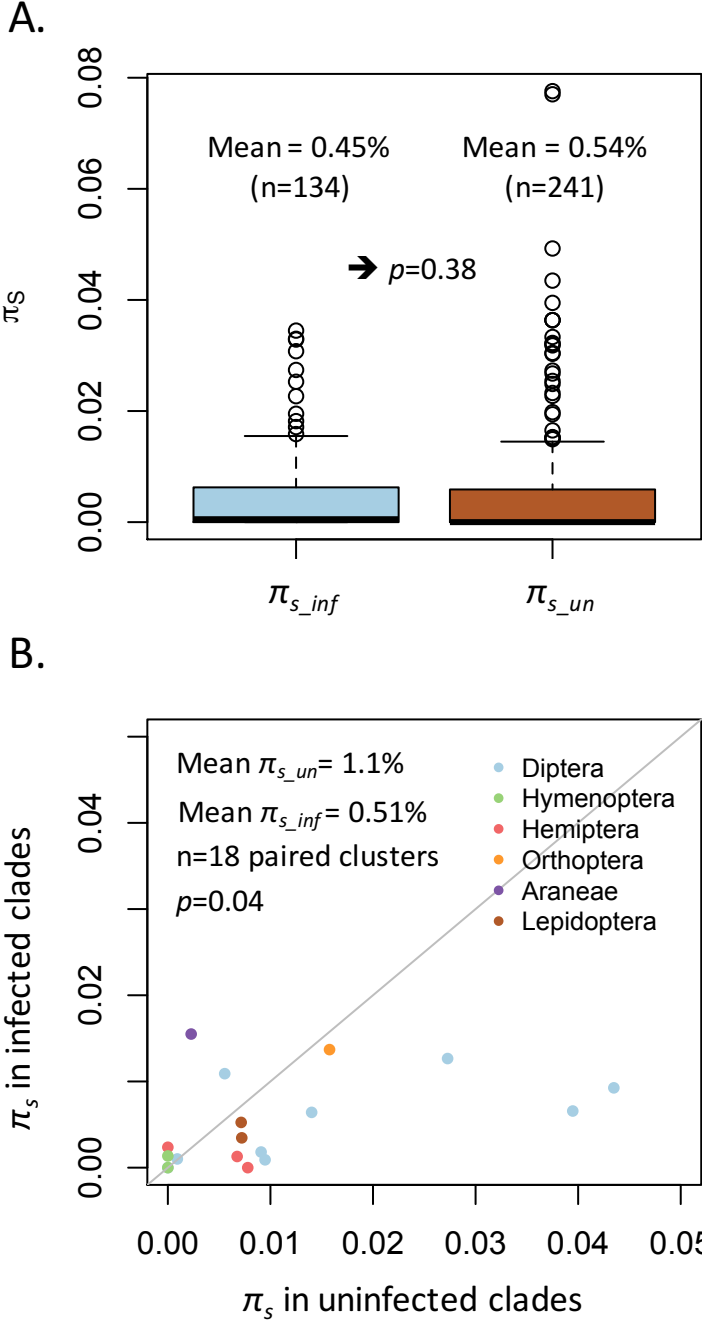
## Figures legends

**Fig. 1.** The effect of *Wolbachia* on silent nucleotidic diversity. A: non paired comparison  
445 between 134  $\pi_{s\_inf}$  and 241  $\pi_{s\_un}$  values (in blue and brown, respectively). B: paired  
comparison, plotting the mean  $\pi_{s\_inf}$  and mean  $\pi_{s\_un}$  of 18 clades carrying both  
categories of species. Two points are on the diagonal, 5 are above, and 11 are below.

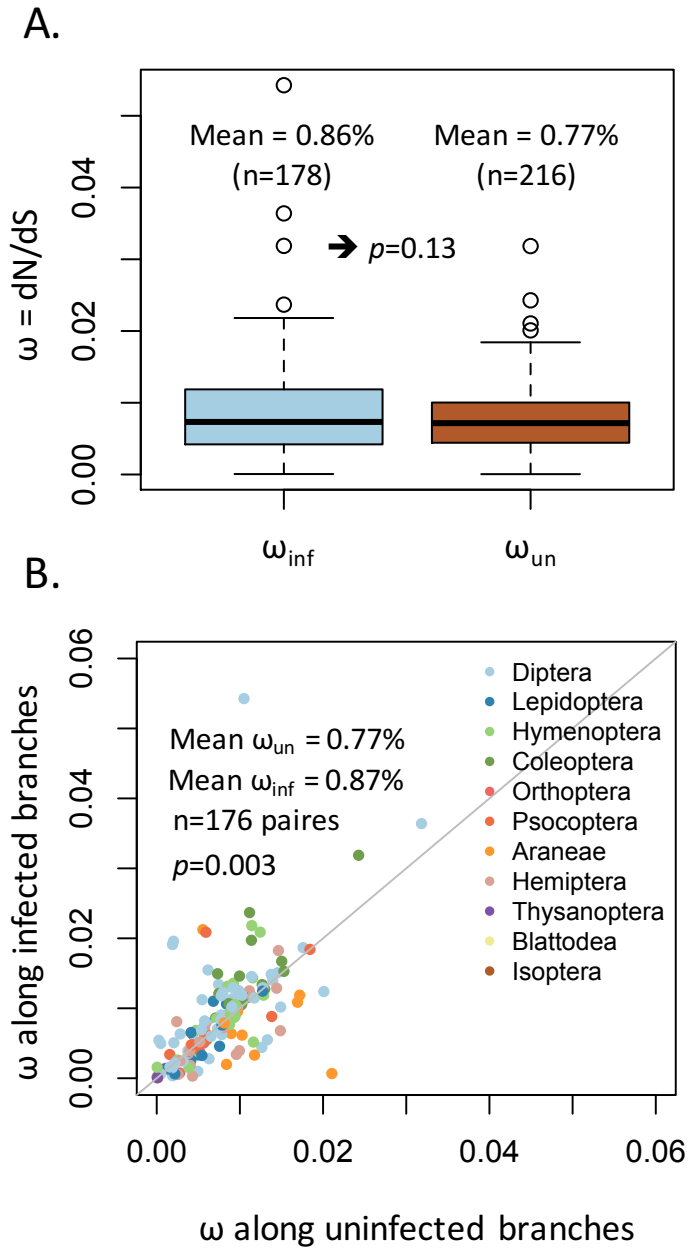
**Fig. 2.** The effect of *Wolbachia* on non-synonymous substitution rates, standardized by  
450 synonymous substitution rates ( $\omega=dN/dS$ ). A: non-paired comparisons between 178  
 $\omega_{inf}$  values and 216  $\omega_{un}$  values (in blue and brown, respectively). B: paired comparison,  
plotting  $\omega_{inf}$  versus  $\omega_{un}$  across 176 clades where both  $\omega_{inf}$  or  $\omega_{un}$  were computed. Two  
points are on the diagonal, 108 are above, and 66 are below.

455

Figures



460 Figure 1



**Figure 2**