

# Identification and Characterization of a Halotolerant, Cold-Active Marine Endo- $\beta$ -1,4-Glucanase by Using Functional Metagenomics of Seaweed-Associated Microbiota

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- 1 Functional screening of a metagenomic library of seaweed-associated
- 2 microbiota: identification and characterization of a halotolerant, cold-
- 3 active marine endo-ß-1,4-endoglucanase
- 4
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- 14 Running head: Functional screening of an algal biofilms metagenomic library
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# 17 Abstract

18 A metagenomic library was constructed from microorganisms associated with the 19 brown alga Ascophyllum nodosum. Functional screening of this library revealed 20 thirteen novel putative esterase genes and two glycoside hydrolase genes. Sequence 21 and gene cluster analysis showed the wide diversity of the identified enzymes and 22 gave an idea of the microbial populations present during the sample collection period. 23 Lastly, an endo-B-1,4-endoglucanase having less than 50% identity to sequences of 24 known cellulases was purified and partially characterized, showing activity at low 25 temperature and after prolonged incubation in concentrated salt solutions.

26

### 27 Keywords

28 Microbial enzymes; *Ascophyllum nodosum*; metagenomics; plates tests; biomass
29 hydrolysis; cellulase; beta-glucosidase; esterase; functional screening, GH5

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# 32 Introduction

33 Previous surveys have revealed that less than 1% of existing microorganisms can be 34 studied by traditional culturing methods. This leaves most microorganisms and their 35 by-products unknown and unexploited (1, 2) and explains why metagenomics, a 36 culture-independent approach using total microbial genomes from environment 37 samples, has met with great success over the past decade (3, 4). Sequence-based 38 metagenomics has already provided information about the composition, organization, 39 functioning, and hierarchy of microbial communities in particular habitats (5). On the 40 other hand, functional genomics applied to metagenomic libraries from diverse 41 environments has led to the discovery of many new enzymes and bioactive 42 compounds and to substantial enrichment of genome databases. To date, most of the 43 enzymes brought to light through metagenomics have been derived from soil (6-9) 44 and gut (10–13) samples.

45 Marine microorganisms represent great candidate sources of original biocatalysts, as 46 they are exposed to extreme conditions of temperature, pressure, salinity, nutrient 47 availability, etc. Hence, functional screening of marine microbial populations should 48 yield new enzymes with specific and unique physiological and biochemical 49 properties, produced by organisms far different from those usually described in 50 terrestrial environments (14). New enzymes have already been identified in marine 51 metagenomic libraries from seawater samples (15, 16) and from microorganisms in 52 symbiosis with marine organisms such as sponges and corals (17). To our knowledge, 53 however, nobody has yet performed functional screening of metagenomic libraries 54 from algal microbial communities. As algal microbial biofilms are in constant 55 interaction with algal biomass, they represent an interesting source of enzymes and other active compounds (18). Sequence-based studies have already revealed the 56

57 importance and functions of microbial communities living on the surfaces of algae, 58 showing tight interdependence between algae and their biofilms (19-21). 59 Furthermore, many genes coding for enzymes involved in hydrolyzing algal biomass, 60 such as cellulases, xylanases,  $\alpha$ -amylases, and specific algal polysaccharidases (e.g. agarases, carrageenases, and alginate lyases) have been identified from cultivable 61 62 marine bacteria (22, 23). Only a few such enzymes have been found again in marine 63 metagenomes by functional screening. For example, no cellulase gene has yet been 64 identified by marine metagenomics, although cellulase genes have been identified in 65 the genomes of cultivable marine bacteria such as Caldocellum saccharolyticum (24), Paenibacillus sp. BME14 (25), Martelella mediterranea (26), and Marinobacter sp. 66 67 MS1032 (27). Cellulases are widely used in biotechnological applications (e.g. in the 68 pulp and paper, textile, and food industries) and their capacity to convert cellulosic 69 biomass is increasingly exploited in sustainable applications and bioprocesses (28). 70 Although several cellulases are already in use, there is an increasing demand for 71 cellulases with better or special industrial properties (29). Here we describe the 72 construction and functional screening of a metagenomic library from microorganisms 73 living on the annual brown alga Ascophyllum nodosum. The library was screened for 74 particular hydrolytic enzymes. The screen has yielded genes encoding new esterases 75 belonging to diverse lipolytic enzyme families, a novel beta-glucosidase, and a novel 76 cellulase. These discoveries highlight the utility of seeking original biocatalysts in 77 algal biofilm populations. We have purified and characterized the identified new 78 cellulase. To our knowledge, no functional screening of a metagenomic library from 79 alga-associated microbial biofilms has been realized before, and no cellulase has 80 already been identified by functional metagenomics in a marine environment.

- 4 -

# 81 Material and methods

### 82 Environmental Sample Collection

83 Several plants (~ 100g) of *Ascophyllum nodosum* were collected from the foreshore 84 (48° 43' 36.07" SE, -3° 59' 22.96") in Roscoff (Brittany, France), at the start of 85 February 2012. Samples were collected in triplicate and put in sterile plastic bags. The 86 algae were rinsed three times with sterile seawater to remove loosely associated 87 microorganisms and cut into smaller sections. Washed and cut algae were freeze-dried 88 at -80°C in new sterile plastic bags until DNA extraction.

### 89 Microbial Genomic DNA Extraction

90 Bacterial DNA was extracted by swabbing the algal surfaces with sterile cotton tips 91 followed by classic DNA extraction (30). Six cotton tips were used per 25 g of algae. 92 Microbial DNA was extracted from the cotton tips with 6.75 ml lysis buffer (100 mM 93 Tris-HCl; 0.5 M EDTA; 100 mM sodium phosphate; 5 M NaCl; 10% CTAB) and 94 incubated with 50 µl proteinase K (10 mg/ml) at 37°C. After one hour, 750 µl of 20% 95 SDS was added and the cotton tips were incubated for 2 h at 65°C, with manual 96 inversion every 20 minutes. After centrifugation, 1 volume of chloroform-isoamyl 97 alcohol (v:v, 24:1) was added to the supernatant and the mixture centrifuged. The 98 aqueous phase was recovered and DNA was precipitated with 0.6 vol isopropanol for 99 one hour at room temperature. DNA was recovered by centrifugation (30 min – 100 10.000g) at 4°C, washed with 70% ethanol, and the pellet was air dried and 101 resuspended in 100 µl Tris-EDTA. The DNA extracted from triplicate samples was 102 pooled for DNA library construction. The quantity of DNA was measured with a 103 Qubit® (Invitrogen) and its quality was checked on a 0.8% agarose gel.

#### 104 Library Construction and functional screening

105 The pool of DNA obtained from triplicate samples was partially cut with DpnII (New

106 Engeland Biolabs). A PEG/NaCl size-selective precipitation was done according to

107 Biver et al. (6) in order to remove small DNA inserts. An equal volume of 6.5% 108 PEG/0.4M NaCl was added to the restriction fragments (final DNA concentration: 20 109 ng/µl) and the mixture was incubated for 2 h at RT. The DNA was purified by 110 migration through a 1% low-melting-point agarose gel (Promega) and DNA inserts 111 between 1.5 and 7 kb were recovered by AgarACE digestion (Promega). The inserts 112 were ligated into the BamHI (Roche) linearized and dephosphorylated (Roche) shuttle 113 vector YEp356 (Novagen) with T4 DNA ligase (Roche). Electrompetent Electromax 114 E. coli cells (Life Technologies) were finally transformed with the ligation product. 115 The average DNA insert size was estimated by isolation and purification of 20 116 randomly chosen plasmids. The transformants were pooled and the metagenomic 117 library was plated and screened for lipolytic activity on 3% tribuytrin 2xYT agar 118 plates containing 100 µg/ml ampicillin. Beta-glucosidases were sought on 2xYT agar 119 containing 0.5% esculin (Sigma-Aldrich) and 0.1% ammonium iron (III) citrate 120 (Sigma—Aldrich) (12). Cellulolytic activity was detected by plating the library on 121 2xYT agar plates containing 1 g/l insoluble synthetic AZCL-HE-cellulose 122 (Megazyme) and 100µg/ml ampicillin. A blue halo was observed around colonies 123 exhibiting cellulase activity. The plasmids of positive clones were extracted and used 124 for activity confirmation in DH5a E. coli subcloning cells (Life Technologies). Insert 125 sizes were estimated with restriction enzymes cutting at both extremities of the DNA 126 insert (HindIII and EcoRI, Roche).

### 127 DNA sequencing and sequence analysis

DNA inserts of positive clones were sequenced at GATC Biotech (Germany).
Sequence similarity searches were carried out with the NCBI BlastP program.
Phylogenetic trees were produced by the neighbor-joining method with MEGA6.06.
Signal peptides were predicted with the SignalP 4.1 server (31). All putative proteins

corresponding to the identified ORFs in the inserts were analyzed with BlastP against
the GenBank non-redundant database and Swissprot (curated database). The putative
esterases were also analyzed with Pfam (32).

### 135 Overexpression and purification of the CellMM5.1 cellulase

The coding sequence of the *Cell5.1\_3* gene was amplified, without its putative peptide signal, with Platinum *Pfx* DNA Polymerase, 0.5x PCRx Enhancer Solution (Invitrogen), 5'- gggaattc<u>CATATG</u>CAAACCTCTGACAGCTATGTTCCGC -3' as forward primer, and 5'-

# 140 cgc<u>GGATCC</u>TCATCA**GTGGTGGTGGTGGTGGTGGCG**CCCAAGCAAGGCGT

141 -3' as reverse primer. The restriction sites (NdeI, BamHI) used for subcloning are 142 underlined and the histidine tag sequence appears in bold. PCR products were cloned 143 into the pET30b(+) vector (Novagen) and the resulting plasmids were introduced into 144 E. coli Rosetta 2 (DE3) cells (Novagen). The cellulolytic activity of the obtained 145 transformants was evaluated by spotting 5  $\mu$ l overnight culture (grown at 37°C in 146 liquid 2xYT medium, 30 µg/ml chloramphenicol and 30 µg/ml kanamycin) onto solid 147 2xYT medium supplemented with 10  $\mu$ M isopropyl  $\beta$ -D-1-thiogalactopyranoside 148 (IPTG) (Fermentas), 1g/l AZCL-HE-cellulose (Megazyme), and appropriate 149 concentrations of antibiotics (30 µg/ml chloramphenicol and 30 µg/ml kanamycin). 150 The bacteria were allowed to grow at 37°C for 3 days. To purify the recombinant 151 cellulase, an overnight culture of transformed E. coli Rosetta cells was diluted to 152 OD<sub>600nm</sub>=0.005 in a 1-l shake flask containing 200 ml 2xYT medium and the 153 corresponding antibiotics. The cultures were grown at 37°C under shaking at 160 rpm 154 until the  $OD_{600nm}$  reached about 0.4. Overproduction was induced by addition of 1  $\mu$ M 155 IPTG followed by incubation at 16°C for ~20 h. The cells were harvested by 156 centrifugation and lysed at 37°C for 30 min in 17 ml lysis buffer (20 mM Tris-HCl

157	buffer pH 8 containing 0.3 M NaCl, 1 ml/l Triton X-100, and 5 mg/ml lysozyme)
158	according to Biver et al (33). After sonication on ice (4 cycles of 5 s, 100 W, Sonifier
159	250, Branson), the lysate was centrifuged at 10,000g for 20 min (4°C) to separate
160	insoluble (I0) from soluble (S0) proteins. To solubilize the recombinant cellulase
161	found mostly in the resulting pellet (I0), the latter was incubated at 4°C for 4 h in 5 ml
162	solubilization buffer (20 mM Tris-HCl pH 8, 0.5 M NaCl, 20 ml/l Triton X-100, 2 M
163	urea). The partly resuspended fraction was then centrifuged again to collect the
164	soluble fraction (S1) now containing a sufficient amount of His-tagged cellulase,
165	which was next purified by Ni-NTA affinity chromatography (Qiagen). The soluble
166	fraction was diluted 10 times in 20 mM Tris-HCl pH 8 and 0.5 M NaCl to reduce the
167	urea and Triton X-100 concentrations to 0.2 M and 2 ml/l, respectively, and then
168	incubated overnight at 4°C with 200 $\mu l$ Ni-NTA agarose pre-equilibrated with binding
169	buffer (20 mM Tris-HCl pH 8, 0.5 M NaCl, 2 ml/l Triton X-100, 0.2 M urea). The
170	resin was recovered by centrifugation at 800 g for 5 min and washed twice with 1 ml
171	washing buffer 1 (20 mM Tris-HCl pH 7.5, 0.5 M NaCl, 1 ml/l Triton X-100, 10 mM
172	imidazole) and twice with 1 ml washing buffer 2 (20 mM Tris-HCl pH 7.5, 0.5 M
173	NaCl, 1 ml/l Triton X-100, 20 mM imidazole) before elution with 2 ml of 20 mM
174	Tris-HCl pH 7.5, 0.5 M NaCl, 250 mM imidazole. After analysis by SDS-PAGE, this
175	first eluate (E1) was repurified by Ni-NTA chromatography (E2) and then dialyzed at
176	4°C against 50 mM sodium phosphate pH 6.8 containing 50 mM NaCl.

### 177 Cell5.1\_3 cellulase activity characterization

Enzymatic activity was quantified by measuring the quantity of generated reducing sugars with the 3',5'-dinitrosalicylic acid reagent (DNSA, Sigma) (34). The enzymatic assay was performed with 1% (w/v) carboxymethylcellulose (CMC) (Ultra low viscosity, Fluka), 50 mM phosphate buffer pH 7 for 10 minutes at 40°C in a total

182	volume of 390 $\mu$ l, to which 10 $\mu$ l suitably diluted enzyme was added. Then an equal
183	volume (400 $\mu$ l) of DNSA was added and the mixture heated for 10 minutes at 99°C.
184	After cooling on ice, the absorbance was read at 540 nm (Multiskan Go,
185	ThermoScientific). The concentration of reducing sugar was determined against a
186	glucose standard. Cell5.1_3 activity was measured in µmoles of glucose released per
187	minute. All assays were performed in triplicate. The temperature range tested was
188	from 0°C to 70°C. The tested pH ranges were from pH 4 to pH 6 (in sodium acetate
189	buffer), from pH 6 to pH 8 (in sodium phosphate buffer), from pH 8 to pH 9 (in
190	Tris/HCl buffer), and from pH 8 to pH 10 (in glycine/NaOH buffer). The temperature
191	and pH stability were estimated, respectively, by incubating the enzyme for 1 hour at
192	the appropriate temperature or for 24 h at the appropriate pH (in the appropriate
193	buffer). Residual activities were then measured under standard conditions.
194	Halotolerance was determined by measuring the residual activity after 1.5, 5, and 24 h
195	of incubation in 3 M KCl or 4 M NaCl. Substrate specificity was determined by
196	replacing CMC in the standard assay with 1% (w/v) lichenan (1-3,1-4- $\beta$ -D-glucan,
197	Megazyme), laminarin (Sigma), xyloglucan (Megazyme), mannan (1,4-β-D-mannan,
198	Megazyme), or crystalline cellulose (Avicel, Sigma). For the insoluble substrates
199	mannan and Avicel, the reaction time was extended to 5 h at room temperature, with
200	automatic inversion. Effects of metal ions on the activity of the Cell5.1_3 cellulase
201	were determined under standard conditions with the metal ion of interest at 1 mM
202	final concentration. Effects of the chemicals EDTA (10 mM), SDS (1%), ethanol
203	(10%), Triton (5%), glycerol (15%), and DMSO (15%) were also tested under
204	standard conditions. Kinetic parameters ( $K_m$ and $k_{cat}$ ) were determined from a
205	Lineweaver-Burk plot obtained by varying the concentration of CMC $(0.5 - 4\%)$ in
206	assays performed under standard conditions.

# 207 Accession numbers

The DNA insert sequences of *LipMM5.1*, *LipMM5.3*, *LipMM5.5*, *LipMM5.7*, *LipMM5.8*, *LipMM5.11*, *LipMM5.12*, *LipMM5.13*, *LipMM5.14*, *LipMM5.15*, *LipMM5.19*, *CellMM5.1*, and *BglucMM5.1* have been submitted to GenBank under
accession numbers KF726988, KF726989, KF726990, KF726991, KF726992,
KF726993, KF726994, KF726995, KF726996, KF726997, KF726998, KF726999,
and KJ499810, respectively (see also TABLE 1).

# 214 **Results**

### 215 DNA extraction, library construction, and functional screening

216 Bacterial DNA was first extracted according to Burke et al. (35) with a tri-enzymatic 217 cleaner (ANIOS PlaII, France). As this procedure resulted in co-extraction of 218 polyphenols, present in brown algal cell walls (36), the DNA could not be purified 219 (data not shown). Therefore, a method described by Lachnit et al. (30), consisting of 220 swabbing the algal surfaces with sterile cotton tips followed by classic DNA 221 extraction, was tried. DNA quality was checked by migration through an agarose gel. 222 High-molecular-weight DNA, without any visible degradation smear, was observed 223 (data not shown). An average of 0.11 µg DNA per gram of Ascophyllum nodosum was 224 recovered with this method. As 75 g of algae were swabbed, approximately 8 µg of 225 microbial DNA was recovered and used to construct the metagenomic library. The 226 DNA was restricted and small DNA fragments were removed by PEG/NaCl 227 precipitation followed by agarose purification. DNA fragments with a size between 3 228 and 10 kb were ligated into the YEp356 cloning vector and the resulting recombinant 229 plasmids were inserted into electrocompetent DH10b E. coli cells. The generated 230 metagenomic library was plated, resulting in approximately 40,000 clones (180 Mb) 231 with an average DNA insert size of 4.5 kb (estimated on 20 randomly chosen

232 recombinant plasmids). The recombinant clones were pooled and screening was done 233 by plating the library several times on 2xYT agar plates containing tributyrin, 234 esculetin, or AZCL-HE-cellulose (for detection of lipase (clear halo), beta-glucosidase 235 (brown precipitate), or cellulase (blue halo) activity, respectively). The screens 236 yielded respectively 20, 1, and 1 candidate(s). Activity was confirmed by extraction of 237 their recombinant plasmids and transformation of DH5a E. coli cells. The novel 238 recombinant clones were then plated again on selective media: from the 20 candidates 239 showing lipase activity, 11 plasmids were found to confer lipolytic activity 240 (LipMM5.1, LipMM5.3, LipMM5.5, LipMM5.7, LipMM5.8, LipMM5.11, LipMM5.12, 241 LipMM5.13, LipMM5.14, LipMM5.15, LipMM5.19), and the plasmids assumed to 242 carry a cellulase gene (CellMM5.1) or a beta-glucosidase gene (BglucMM5.1) 243 accordingly conferred the corresponding activity.

### 244 DNA Sequence analysis

The DNA inserts of the positive clones were sequenced. ORFs on the DNA inserts were sought with ORF Finder. On the DNA insert of each positive candidate, 3 to 7 ORFs were indentified. Each ORF gene product was analyzed with BlastP against the GenBank non-redundant database and Swissprot (a curated database). The putative esterases were also analyzed with Pfam (32) (Supplementary Data, DATASET S1).

In each cluster, we identified at least one ORF whose predicted product shows sequence similarity to the amino acid sequence of a known esterase, beta-glucosidase, or cellulase (shown in TABLE 1). Putative esterase genes were assigned to four carboxyesterase families by amino acid sequence alignment (data not shown). Lip5.8\_1 shows sequence similarity to members of bacterial esterase family II (37, 38). Lip5.1\_5, Lip5.3\_3, Lip5.5\_4, Lip5.13\_3, Lip5.14\_3, and Lip5.19\_3 belong to family IV, Lip5.13\_1 to family V, and Lip5.11\_3 and Lip5.15\_3 to family VIII. The gene products of *Lip5.7\_2* and *Lip5.12\_2* could not be assigned to any esterase family
described by Arpigny (37) nor to one of the novel families EstA (39) and LipG (16).
However, these gene products could be assigned to the Pfam alpha/beta-hydrolase
family (subfamilies 6 and 5, respectively), which contains numerous esterases. In fact,
the esterase families IV and V correspond to alpha/beta-hydrolase subfamilies 3 and
6, respectively (32).

263 On the DNA insert of the beta-glucosidase-positive clone, the following were 264 identified: an incomplete ORF encoding a putative glycoside hydrolase (GH), an 265 incomplete ORF encoding a putative xylulokinase, and a complete ORF encoding a 266 putative esterase (GDSL). The incomplete glycoside hydrolase gene ( $Bgluc5.1_1$ ) was 267 probably responsible for the beta-glucosidase activity and was assigned to family 268 GH3 of the CAZyme database (40). The esterase gene (Bgluc5.1\_2) encodes a 269 putative GDSL lipolytic protein assigned to family II by amino acid sequence 270 alignment (37, 38).

271 On the CellMM5.1 candidate, we identified an ORF (Cell5.1\_3) of 359 amino acids 272 showing 42% sequence identity to a glycoside hydrolase of *Hirschia baltica* ATCC 49814 (GenBank: YP\_003060354.1). A GH5-family protein signature was also 273 274 identified. Phylogenetic analysis showed that the enzymes most similar to the 275 Cell5.1\_3 putative cellulase are subfamily-GH5\_25 enzymes of proteobacteria such as 276 Hirschia baltica, Caulobacter sp., and Ramlibacter sp. (41) (FIG 1). A signal peptide 277 was also predicted. As no other cellulase has been identified to date by functional 278 metagenomics in a marine environment, we chose to purify and characterize the 279 putative cellulase gene (*Cell5.1 3*) product.

It is difficult to attribute a specific biological function to the different gene clusters on the basis of gene annotations, especially for the clusters containing esterases. In 282 contrast, curated gene annotations give insights into the taxonomic affiliation of the 283 Ascophyllum-associated bacteria, at least at the level of the class or order. In most of 284 the clusters, the different genes have a consistent taxonomic affiliation (based on the 285 bacterial origin of the closest homologous proteins). The LipMM5.1 insert is an 286 exception: its affiliation is ambiguous, with homologous genes found among the 287 Firmicutes, Actinobacteria, and Gammaproteobacteria. The LipMM5.7 and 288 LipMM5.13 inserts seem to come from representatives of the Betaproteobacteria and 289 Gammaproteobacteria, respectively. The remaining inserts originate from 290 Alphaproteobacteria members, mainly of the order Rhodobacterales. Interestingly, 291 several inserts seem to belong to bacteria close to Robiginitomaculum antarcticum, a 292 marine Rhodobacterales species isolated from Antarctic seawater (42).

#### 293 Cellulase purification and activity characterization

294 The recombinant Cell5.1\_3 cellulase, without its signal peptide, was produced in E. 295 coli Rosetta 2 (DE3) from the pET30b vector and purified by Ni-chromatography. 296 SDS-PAGE of the eluted fractions showed a clear band between 30 and 40 kDa 297 (Figure 2), in accordance with the predicted molecular mass (38 kDa). The activity of 298 the Cell5.1\_3 enzyme was tested by the DNSA method on CMC. Its optimal 299 temperature was found to be about 40°C, although the enzyme proved unstable after a 300 1-hour incubation at this temperature (FIG 3A). At 40°C, the optimal pH was pH 7, 301 and the cellulase appeared stable over a wide pH range when pre-incubated for 24 h at 302 4°C in different buffers (FIG 3C, D). The kinetic parameters determined for the 303 reaction with CMC, at optimal pH and temperature, were  $K_{\rm m} = 8.37 \ \mu M$  and  $k_{\rm cat} =$ 10.35 s<sup>-1</sup>. Halotolerance was also observed: the activity of the enzyme remained 304 305 unchanged, under standard conditions, after a 24-hour pre-incubation with 4 M NaCl 306 or 3 M KCl (FIG 3B). The specificity of the enzyme was tested in the presence of 307 different substrates (TABLE 2). The Cell5.1\_3 cellulase failed to hydrolyze the 308 insoluble substrates crystalline cellulose (Avicel) and mannan, even when incubated 309 for 5 h at 20°C. Among the soluble substrates tested, it showed no activity against 310 xyloglucan, low activity against laminarin, and higher activity against CMC and lichenan ( $\beta$ -1,3;1,4-glucan). The activity of the enzyme was enhanced by Mn<sup>2+</sup> and 311  $Cd^{2+}$  but clearly inhibited by  $Cu^{2+}$ ,  $Zn^{2+}$ , and  $Fe^{2+}$  (Figure 3E). Triton X-100 was 312 313 found to increase the activity of the enzyme to 350%, while the other tested additives 314 were found to reduce (EDTA, glycerol) or totally inhibit (SDS, EtOH, DMSO) its 315 activity (FIG 3E).

# 316 **Discussion**

317 Microorganisms on algae, in the context of their numerous interactions with the host, 318 are known to produce diverse hydrolytic enzymes. Furthermore, the complexity of the 319 marine environment in which these microorganisms live leads to the production of 320 specific enzymes with original biochemistry (18). Here we have constructed a 321 metagenomic library from the genomic DNA of the bacterial communities associated 322 in February 2012 to the brown alga Ascophyllum nodosum (Fucales order) and have 323 screened it for diverse hydrolytic enzymes. From this library we have identified a 324 range of new esterase genes and two new glycoside hydrolase genes, thus 325 demonstrating the pertinence of seeking new enzymes in such microbial communities 326 by functional metagenomics. All these hydrolase genes can most likely be assigned to 327 the phylum Proteobacteria and essentially to the class Alphaproteobacteria, and a few 328 to the classes Betaproteobacteria and Gammaproteobacteria. This is consistent with 329 previous findings on the bacterial community associated in January 2007 with another 330 Fucales species, Fucus vesiculosus, as this bacterial community appeared dominated 331 by Alphaproteobacteria and Gammaproteobacteria species (20). Nonetheless,

*Bacteroidetes* and *Planctomycetes* species are also relatively abundant on brown algal surfaces (20, 43), and one can be surprised that our functional metagenomic screen yielded no genes identified as originating from these phyla. An explanation might be that our *E. coli* expression strain (*Gammaproteobacteria*) does not readily recognize gene promoters of *Bacteroidetes* and *Planctomycetes* species.

337 Esterases are the category of enzymes most frequently isolated by functional 338 metagenomics. Here we have identified 13 esterase-encoding genes by screening a 339 relatively low number of Mb: approximately 180 Mb, which makes on the average 1 340 esterase per 14 Mb screened. This is much higher than in other metagenomic studies 341 (see review of Steele et al. (44)). The 13 esterase genes identified here can be assigned 342 to four esterase families. Six of them (Lip5.1\_5, Lip5.3\_3, Lip5.5\_4, Lip5.13\_3, 343 Lip5.14\_3, Lip5.19\_3) have been assigned to family IV. This esterase family appears 344 highly represented in marine metagenomes, as out of 34 esterases identified in 8 345 screens of marine metagenomes, 27 have been classified as family-IV esterases (39, 346 45–51). We have assigned one of the esterase genes identified here  $(Lip5.13_1)$  to 347 esterase family V, which appears to count relatively few members. Esterases of this 348 family have been found in microorganisms displaying very different growth-349 temperature ranges, such as Sulfolobus (thermophilic archaea), Psychrobacter 350 (psychrophiles), and Moraxella (mesophiles) species (37, 38). Only two esterases of 351 marine origin (FJ483459, FJ483468), identified in a metagenomic library from marine 352 sediments, have been assigned to this family previously (48). The Lip5.11\_3 and 353 Lip5.15 3 esterases have been classified as family-VIII esterases. Members of family 354 VIII show no typical  $\alpha/\beta$ -hydrolase fold but are very similar to  $\beta$ -lactamases. 355 Furthermore, they have a typical molecular weight around 42 kDa (38). The predicted 356 Lip5.11\_3 esterase shows sequence identity to  $\beta$ -lactamases and has an estimated

357	molecular weight of 41.4 kDa. The predicted Lip5.15 esterase has an estimated
358	molecular weight of 41.5 kDa. To our knowledge, no marine-metagenome-derived
359	esterase has previously been assigned to this family. We have identified conserved
360	domains of the SGNH superfamily (family-II esterases) in the esterase gene
361	(Bgluc5.1_2) found on the DNA insert of the beta-glucosidase candidate BglucMM5.1
362	and in the esterase gene (Lip5.8_1) of LipMM5.8. Only one marine-metagenome-
363	derived esterase (AB432912), identified in a sponge-associated bacterial metagenome
364	(50), has been classified previously as a member of this family. SGNH hydrolases are
365	a subgroup of the GDSL family II esterases. GDSL esterases have thioesterase,
366	protease, lysophospholipase, and arylesterase activities (52). In the BLAST results for
367	both Bgluc5.1_2 and Lip5.8_1, we found sequence identities to thioesterases and
368	lysophospholipases.

369 Finally, the two thioesterase genes identified on LipMM5.11 (Lip5.11\_4 and \_5) seem 370 to be in an operon. The first gene (Lip5.11\_4) has sequence identity to a thioesterase 371 of Caulobacter sp. K31 (Caul\_4896, YP\_001686513.1), located with another 372 thioesterase in a 3'-5' oriented operon (Caul 4895, YP 001686512.1). The other 373 thioesterase gene (Lip5.11\_5) has sequence identity to a thioesterase gene of 374 Parvibaculum lavamentivorans DS-1 (Plav\_0440, YP\_001411720.1), which is also in 375 a 3'-5' oriented operon with another thioesterase (Plav\_0441, YP\_001411721.1). The 376 thioesterases and carboxylesterases constitute separate enzyme groups, although a few 377 proteins classified as carboxylesterases also show thioesterase activity. Despite careful 378 annotation of the esterase-containing gene clusters, it is difficult to predict the exact 379 biological function of these esterases on the basis of the genomic context.

380 Because (i) we have found thirteen genes encoding esterases, (ii) we have assigned 381 these genes to four esterase families, and (iii) most of the predicted protein sequences 385 As compared to esterase genes, few cellulase genes have been identified by functional 386 metagenomics. To our knowledge no cellulase has been identified by metagenomics 387 in a marine environment before. All of the cellulases identified by analysis of soil 388 (53–56) or rumen (57, 58) metagenomes display more than 50% sequence identity to 389 known cellulases, in contrast to our cellulase, which is only 42% identical to the 390 closest known cellulase. Hence, our cellulase appears new and only distantly related 391 to known cellulases. We have assigned the Cell5.1\_3 cellulase to endo-ß-1,4-392 glucanase family GH5, subfamily 25. Although most cellulases identified by 393 metagenomics have been classified as GH5-family enzymes (8, 54, 57-59), only one 394 of them (ABE60714.1), identified in a pulp sediment metagenome from a paper mill 395 effluent, belongs to subfamily 25 (60). The other members of this subfamily were 396 identified in cultivable microorganisms such as Caulobacter, Clostridium, 397 Dictyoglomus, Fervidobacterium, and Thermotoga species isolated from diverse 398 environments (CAZyme database, www.cazy.org). Only two subfamily-25 enzymes 399 have been characterized so far: Tm\_Cel5A (Q9X273) of Thermotoga maritima (61, 400 62) and FnCel5A (A7HNC0) of Fervidobacterium nodosum (63). Both of these 401 appear thermostable. Cell5.1 3, in contrast, shows low thermostability: when pre-402 incubated for 1 hour at various temperatures, it began to show decreased CMC-403 hydrolyzing activity when the pre-incubation temperature exceeded 25°C, and when 404 the pre-incubation temperature exceeded 40°C, no activity was observed. Under our 405 assay conditions, its activity was highest at 40°C, which is relatively low as compared 406 to the enzymes Tm\_Cel5A and FnCel5A, identified in the thermophile Thermotogales

407	(around 80°C for both). On the other hand, our enzyme retained 11.8% of its
408	maximum activity at 0°C and 28.7% at 10°C. According to Asperborg et al. (64), most
409	of the endoglucanases of this subfamily have been derived from thermophiles, but
410	interestingly, the here-identified cold-active cellulase Cell5.1_3 would appear to come
411	from a mesophilic (or even psychrophilic) bacterium. A cold-active cellulase (CelX)
412	identified in Pseudoalteromonas sp. DY3 shows very similar optimal temperature and
413	thermostability (65). However, Cell5.1_3 is active over a broad pH range, from 5 to 8,
414	and is stable at pH values from 4 to 10 (it is still active after a 24-hour pre-incubation
415	in this pH range), which is not the case of the cold-active CelX (65). What's more,
416	other metagenome-derived GH5 cellulases show stability only at acidic (4-6.6, (59))
417	or alkaline (6-10, (55)) pH. Another advantage of Cell5.1_3 is its halotolerance, as it
418	retains 93% of its activity after a 24-hour pre-incubation in 3 M KCl, and 97% of its
419	activity after a pre-incubation in 4 M NaCl. These remaining activities are much
420	higher than those reported for other halotolerant GH5 enzymes. For example, Cel5A,
421	isolated from a soil metagenome, retains approximately 87% activity after a 20-h pre-
422	incubation in 3M NaCl or 4M KCl (54), and a GH5 endoglucanase of the
423	thermophilic eubacterium Thermoanaerobacter tengcongensis MB4 retains less than
424	15% of its activity after a 12-hour pre-incubation in 4 M NaCl (66). As GH5
425	endoglucanases hydrolyze a wide range of cellulose substrates (64), we have tested
426	the substrate specificity of Cell5.1_3. The enzyme appears to degrade CMC and
427	mixed glucans (lichenan ( $\beta$ 1,3- $\beta$ 1,4) and laminarin ( $\beta$ 1,3- $\beta$ 1,6)), but not $\beta$ -1,4 linked
428	xyloglucan. Other metagenome-derived cellulases either fail to degrade laminarin
429	(53-55, 58) or, like the GH5 enzyme described by Juan et al., show very low specific
430	activity (approximately 0.002 U/mg) (59). In agreement with its classification as an
431	endoglucanase, Cell5.1_3 failed, in our assay, to degrade the insoluble substrates

432 Avicel and mannan. Triton X-100 enhances significantly the activity of Cell5.1\_3. 433 Zheng et al (67) reported three main hypothesis explaining the enhancement of 434 enzymatic cellulose hydrolysis in the presence of non-ionic surfactants: 1) they 435 stabilize the enzyme by reducing thermal and/or mechanical shear forces; 2) they 436 change the substrate structure, enhancing the substrate accessibility; and 3) they affect 437 enzyme-substrate interaction, preventing enzymes inactivation due to non-productive 438 adsorption when hydrolyzing for example lignocellulosic substrates. This last 439 hypothesis doesn't concern the substrate we used (CMC) and as, generally, no 440 significant (positive or negative) effect of Triton X-100 has been observed on other 441 bacterial cellulase activities characterized with CMC (9, 54, 63, 68), the second 442 hyptothesis must not be the reason why Cell5.1\_3 activity increases to 350% in the 443 presence of Triton X-100. However, Cell5.1\_3 is probably more stable, and therefore 444 more active on CMC, in the presence of Triton X-100.

# 445 **Competing interests**

446 The authors declare no competing interests.

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FIG 1 Neighbor-joining phylogenetic tree of subfamily GH5\_25 and classification of
the cellulase gene *Cell5.1\_3*. Bootstrap values higher than 50% are indicated at
branch points (1,000 replicates). Reference sequences from the GenBank database are
added.

672

**FIG 2** SDS-PAGE analysis of the recombinant cellulase. **MW**: Molecular weight, **T**: Total extract. **I0** and **S0** show the insoluble and soluble fractions obtained by sonication, followed by centrifugation, of *E* .*coli* cells overexpressing the cellulase gene *Cell5.1\_3*. As the GH was mostly present in the insoluble fraction (I0), we resuspended it for 4 h in a solubilization buffer and centrifuged it, aiming to obtain a higher, and sufficient, amount of our cellulase in the soluble fraction (S1). This fraction was then purified twice by chromatography (E1 and E2)

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**FIG 3** Characterization of the activity of Cell5.1\_3. (A) Influence of temperature on Cell5.1\_3 activity (•) and thermostability after one hour incubation at indicated temperature (•) (B) Halotolerance of Cell5.1\_3 (C) Influence of pH on Cell5.1\_3 activity (D) Residual activity of Cell5.1\_3 after a 24-hour incubation at the indicated pH, (Ac : acetate Buffer, Ph: phosphate buffer, Tris : Tris-HCl buffer, Gly : glycine-NaOH buffer) (E) Effects of ions and other additives on the activity of the Cell5.1\_3.

**TABLE 1** ORFs on the esterase-, cellulase-, and beta-glucosidase-encoding plasmids,

689 showing sequence identities to known esterases and glycoside hydrolases.

690

691 **TABLE 2** Substrate specificity of Cell5.1\_3.



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			•				-
Activity	Candidate name	Gene name	ORF size (amino acids)	Best hit against GenBank (Source organisms)	Sequence Identity (%)	Family assignment	Accession number
Carboxyesterase	LipMM5.1	Lip5.1_5	295	Lipase/esterase [uncultured bacterium] ACZ16567	58	Est IV	KF726988
	LipMM5.3	Lip5.3_3	314	Alpha/beta hydrolase [Hirschia baltica ATCC 49814] YP_003058522	41	Est IV	KF726989
	LipMM5.5	Lip5.5_4	328	Alpha/beta hydrolase [Maricaulis maris] YP_757536.1	36	Est IV	KF726990
	LipMM5.7	Lip5.7_2	414	Lysophospholipase [Burkholderia sp. KJ006] YP_006332790	33	/	KF726991
		Lip5.8_1	237	Hydrolase GDSL [Ponticaulis koreensis] WP_006609299.1	46	Est II	
	1. 14142.0	Lip5.8_2	221	ABC Transporter [Erythrobacter litoralis HTCC2594] YP_458689.1	57	/	1/1772/0002
	<i>LıрММ</i> 5.8	Lip5.8_3	844	Putative ABC-type transport system involved in lysophospholipase L1 biosynthesis, permease component [ <i>Caulobacter vibrioides</i> ] WP_004617736.1	41	/	— KF726992
		Lip5.11_3	378	Penicillin-binding protein, beta-lactamase class C [Caulobacter vibrioides] WP_004621811	51	Est VIII	
	LipMM5.11	Lip5.11_4	153	Thioesterase superfamily protein [Caulobacter sp. K31] YP_001686513	49	/	KF726993
		Lip5.11_5	138	Thioesterase superfamily protein [Parvibaculum lavamentivorans DS-1] YP_001411720.1	52	/	_
	LipMM5.12	Lip5.12_2	288	Esterase [uncultured bacterium] ACF49126.1	38	/	KF726994
=	LipMM5.13	Lip5.13_1	332	Alpha/beta hydrolase [Pseudomonas pseudoalcaligenes] WP_004422344.1	34	Est V	KF726995
		Lip5.13_3	348	Lipase/esterase [uncultured sludge bacterium] ADC79145.1	47	Est IV	
	LipMM5.14	Lip5.14_3	314	Alpha/beta hydrolase [Hirschia baltica ATCC 49814] WP_017932623.1	41	Est IV	KF726996
	LipMM5.15	Lip5.15_3	383	Esterase [Glaciecola nitratireducens FR1064] YP_004870252.1	57	Est VIII	KF726997
	LipMM5.19	Lip5.19_3	283	Esterase [Erythrobacter litoralis HTCC2594] YP_458833.1	48	Est IV	KF726998
Beta- glucosidase		Bgluc5.1_1	749*	1,4-beta-D-glucan glucohydrolase [Xanthomonas campestris] WP_017932269	56	GH3	
~	BglucMM5.1	Bgluc5.1_2	244	G-D-S-L family lipolytic protein [ <i>Hirschia baltica</i> ATCC 49814] YP_003059731.1	37	Est II	KJ499810
		Bgluc5.1_4	521*	Xylulokinase [Novosphingobium sp. PP1Y] YP_004534688	60	/	
Cellulase	CellMM5.1	Cell5.1_3	359	Glycoside hydrolase family protein ( <i>Hirschia baltica</i> ATCC 49814) YP_003060354.1	42	GH5	KF726999

TABLE 1 ORFs on the esterase-, cellulase-, and beta-glucosidase-encoding plasmids, sequences showing sequence identities to known esterases and glycoside hydrolases.

\* Incomplete ORF

- 1 -

Substrates	Main linkage type	Specific activity <sup>a</sup> (U/mg)
Soluble substrates		
Carboxymethylcellulose	ß-1,4-glucan	$10.22\pm0.002$
Lichenan	ß-1,3/1,4-glucan	$12.61\pm0.008$
Laminarin	ß-1,3/1,6-glucan	$5.08\pm0.004$
Xyloglucan	ß-1,4-xyloglucan	UD
Insoluble substrates <sup>b</sup>		
Mannan	ß-1,4-mannan	UD
Avicel	ß-1,4-glucan	UD

**TABLE 2** Substrate specificity of Cell5.1\_3

UD, Undetectable  $^a$  One unit of enzyme activity (U) corresponds to 1  $\mu mole$  of glucose released in 1 min.

Measurements were performed in triplicate and standard deviations were calculated.

<sup>b</sup> For these substrates, the reaction time was extended to 5 h at 20°C, with automatic inversion.