

## Validation of Jason-3 tracking modes over French rivers

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19 Abstract

39

20 Satellite nadir radar altimeters have been widely used to measure river and lake surface water 21 elevations. They can now retrieve the elevations of some rivers less than 200 m wide. However, 22 as these satellite missions are primarily designed to observe ocean surface topography, they are 23 not always able to observe continental surfaces. For steep-sided rivers (i.e. in river valleys no 24 more than a few km wide and surrounded by slopes over 50 m high), altimeters tend to observe 25 the top of the surrounding topography rather than the river itself. 26 The Jason-3 altimetry mission, launched in January 2016, has an alternative instrument operation 27 mode, the so called Open-Loop (OL) or Digital Elevation Model (DEM) tracking mode. This 28 mode is intended to help overcome this issue, by using an on-board DEM. However it was not 29 used in 2016 as the operational mode because of difficulties in defining an accurate on-board 30 global-scale DEM. Mainland France has been chosen to test the OL tracking mode, as water 31 masks and DEMs of sufficient accuracy are available. 32 Following the launch of Jason-3, Jason-2 (its predecessor) was maintained on the same nominal 33 orbit as its follow-on, for more than 6 months. During this tandem period, data from the first 10 34 Jason-3 cycles (a Jason-2/-3 cycle corresponds to 10 days) were acquired in the traditional 35 Closed-Loop (CL) tracking mode. Jason-3 data from the last 13 cycles were acquired in OL 36 tracking mode. Jason-2 was always in CL tracking mode. Compared to nearby in situ gages and for river wider than 100 m, Jason-3 water elevation anomalies have a RMSE between 0.20 and 37 38 0.30 m for most reaches. Jason-3 performance over narrow rivers is similar to that of Jason-2. In

40 frequently than Jason-2 (due to the specific post-launch Jason-2 altimeter tuning). This study

41 shows that Jason-2 observed 60% of river reaches studied (48 of 86 reaches), whereas Jason-3 in

CL tracking mode, Jason-3 altimeter tends to be locked over the surrounding topography more

42	OL tracking mode was able to measure all river reaches for every cycle. This result clearly
43	highlights the significant advantages of the OL tracking mode for observation of steep-sided
44	rivers. However, further investigations are required to compute an accurate on-board global-scale
45	DEM and to determine those locations where the use of OL tracking mode is or is not
46	appropriate.
47	
48	Keywords: Jason-3; altimetry; rivers; Open-Loop/DEM tracking mode
49	
50	1. Introduction
51	Continental water plays an important role in the Earth's water and energy cycles, and is at the
52	interface between the atmosphere and the ocean. For climate studies, long-term (i.e. multi-
53	decadal) observations of the time variations in lake and river levels are necessary to estimate lake
54	storage variability and river discharges. These data are also essential for water management, as
55	water is a resource that is vital to human societies. This monitoring has traditionally been
56	performed using in situ gage networks, which are usually operated at local or national level.
57	However, viewed from a global perspective, in situ networks are heterogeneous. The total
58	number of gages has been decreasing over recent decades (IAHS Ad Hoc Group on Global Water
59	Data Sets et al., 2001), and their measurements are not always shared publicly, especially in
60	transboundary basins (Gleason and Hamdan, 2017). That's why, satellite data, including water
61	elevation measurements obtained from radar altimeters have been used since the early 90's as an
62	additional observation system (e.g. Birkett, 1995), even if they cannot replace in situ gages, as
63	noted by Fekete et al. (2012).

64 Since the launch in August 1992 of Topex/Poseidon, developed by NASA (National Aeronautics 65 and Space Administration) and CNES (Centre National d'Études Spatiales), nadir radar 66 altimeters have been widely used to measure ocean surface topography, which was the scientific 67 objective of the mission (e.g. Fu and Cazenave, 2001). However, soon after its launch, the high potential of the altimeter to retrieve river and lake water elevations has been demonstrated by 68 69 Koblinsky et al. (1993). In the present study, water elevation is defined as the distance from the 70 water surface to a reference surface (an ellipsoid or a geoid). Pioneering studies demonstrated that 24 large lakes (with an area greater than 300  $\text{km}^2$ ) and 8 large rivers (more than 2 km wide) 71 72 could be measured accurately with, at best, RMSE (Root Mean Square Error) of around 0.04 m 73 and 0.11 m respectively (Birkett, 1995; 1998). Subsequently, radar altimetry has been 74 increasingly used to monitor inland water bodies (for review see for example Crétaux et al., 75 2017). The launch of Topex/Poseidon was followed by that of several nadir altimetry satellites: 76 ERS-2 in 1995, GFO in 1998, Jason-1 in 2001, ENVISAT in 2002, Jason-2 in 2008, CryoSat-2 in 77 2010, SARAL in 2013, Jason-3 in 2016 and Sentinel-3A in 2016. Online databases (G-REALM, 78 https://www.pecad.fas.usda.gov/cropexplorer/global\_reservoir/; Hydroweb, 79 http://hydroweb.theia-land.fr; River and Lake database, 80 http://tethys.eaprs.cse.dmu.ac.uk/RiverLake/shared/main; DAHITI, http://dahiti.dgfi.tum.de; 81 HydroSat, http://hydrosat.gis.uni-stuttgart.de/php/index.php) using this series of altimeters have 82 been developed over recent decades to provide freely accessible water elevation time series for 83 selected rivers and lakes. Continuity of measurements is also guaranteed, as many nadir altimetry 84 missions are already scheduled for launch in the near future. These include Sentinel-3B (early 2018), Sentinel-3C and -3D (launch dates currently unknown, as they will provide continuity of 85 86 observation after Sentinel-3A and -3B), Sentinel-6/Jason-CS A (around 2020), and SWOT

87 (around 2021; this satellite will carry not only a nadir altimeter, but also a wide swath altimeter). 88 Even where the instrument footprint on the ground is a few kilometres wide, enhancements made 89 to the instruments, especially after ENVISAT and Jason-2, have improved the ability to observe 90 smaller water bodies (width < 500 m) to an extent not previously thought possible (e.g. Santos da 91 Silva et al., 2010; Michailovsky et al., 2012; Baup et al., 2014; Frappart et al., 2015a; Sulistioadi 92 et al., 2015; Biancamaria et al., 2017). The ability of an altimeter to observe a river is dependent 93 on river width, but is determined to an even greater extent by the "surrounding topography, the 94 observation configuration, previous measurements and the instrument design" (Baup et al., 2014; 95 Biancamaria et al., 2017). 96 Nadir altimeters present some limitations. For instance, they provide elevation measurements 97 only along or close to their track (in the nadir direction or in a quasi-nadir direction) and 98 therefore miss many water bodies (the number of objects overflown depends on the satellite 99 orbit). In addition, altimeters are mainly designed to observe oceans with the best accuracy. They 100 are not optimized for the monitoring of continental surfaces, which could pose a problem with 101 steep-sided rivers. For these rivers, the altimeter might measure the top of the surrounding 102 topography, instead of the river valley. This problem has been extensively described and 103 discussed by Biancamaria et al. (2017). However, in the future, more researchers and water 104 resources managers will be interested in the water elevation of steep-sided rivers and smaller rivers. It is therefore important to address this issue as soon as possible, in order to obtain the 105 106 longest possible time series in the coming decades, over regions previously unobserved by 107 altimetry. To do so, CNES has developed an alternative instrument operating mode, usually 108 referred to as "Open-Loop" (OL) or Digital Elevation Model tracking mode or DEM tracking 109 mode. It requires the loading of an along-track DEM on board the altimeter. Very few studies

have analysed measurements made for such rivers in this tracking mode, in comparison with the
usual "Closed-Loop" (CL) tracking mode (Birkett and Beckley, 2010).

112 The purposes of this study are: (1) to validate measurements of the newly launched Jason-3

satellite (17 January 2016) for small to medium-sized rivers (between 35 m and 300 m wide)

114 over mainland France and (2) to study whether the Jason-3 alternative instrument operating mode

115 is able to overcome the problem of the measurement of steep-sided rivers and is therefore

advantageous. Section 2 of this paper discusses nadir altimetry and its potential issue with steep-

117 sided rivers. Section 3 introduces the study domain and the validation data used (sub-section

118 3.1), the computation of the Jason-3 on-board DEM over the study domain and the Jason-3 data

119 validation methodology (section 3.2). Section 4 then presents and discusses the results obtained.

120 Finally, some conclusions and perspectives on the use of Jason-3 for the measurement of small to

121 medium-sized rivers, in particular those that are steep-sided, are provided in section 5.

122

123 2. Satellite Nadir Radar Altimetry and the issue of steep-sided water bodies

124 2.1. Surface Elevation retrieval from nadir altimetry

125 Nadir altimeters provide water elevation measurements at the intersections between satellite 126 ground tracks and rivers (or lakes/reservoirs). These intersections are usually referred to as 127 "virtual stations" (VS). A more detailed description of water surface elevation estimation from 128 nadir altimetry over continents can be found, for example, in Biancamaria et al. (2017) and in 129 Crétaux et al. (2017). For this reason, essential information only is mentioned in this section. 130 Nadir altimeters emit a radar pulse in the nadir direction (or local vertical, Figure 1) and then 131 record the radar echo using a pulse compression technique. This record, also known as the 132 waveform, contains the value of the returned power as a function of time or, equivalently, of

133 distance between the radar and the reflectors (Chelton et al., 2001; Frappart et al., 2017). The 134 two-way travel time (T), given by the leading edge of the waveform signal, is estimated 135 accurately using a "retracking" algorithm applied to the waveforms by the mission processing 136 centre. This processing is based on the use of a theoretical model built for ocean conditions 137 (Brown, 1977) and provides a high level of precision and accuracy over oceans. Over inland 138 waters, estimation of the two-way travel time is more difficult since the waveform shape, 139 affected by several complex backscattering phenomena, differs markedly from the theoretical 140 model. For these cases, alternative retracking algorithms should be used (e.g. Frappart et al., 141 2006). The two-way travel time T is converted to the distance between the satellite centre of 142 mass and the ground surface, called the altimeter "range" (R, Figure 1), assuming a constant 143 electromagnetic wave propagation speed equal to the speed of light in vacuum. However, 144 estimates of the range R need to be corrected for propagation delays of the radar signal within 145 the atmosphere (these corrections are labelled  $\Delta R_{propagation}$ ) and for some known geophysical 146 signals that affect measurement (these corrections are labelled  $\Delta R_{geophysical}$ ) (e.g. Chelton et al., 147 2001; Frappart et al., 2017). In our study,  $\Delta R_{\text{propagation}}$  includes the ionosphere correction, the dry 148 troposphere correction, and the wet troposphere correction (for more details, see Biancamaria et 149 al., 2017).  $\Delta R_{geophysical}$  corresponds to corrections for crustal vertical motions due to the solid 150 Earth and pole tides. Moreover, the satellite altitude (H, Figure 1) can be calculated with an 151 accuracy better than 0.02 m (e.g. Couhert et al., 2015). All these computations allow for the 152 estimation of the ground elevation above the reference ellipsoid (h) from the nadir altimeter, as 153 follows:

154  $h = H - (R + \Delta R_{propagation} + \Delta R_{geophysical})$  (1)





Figure 1. Conceptual view of nadir altimeter measurements and notations used in this study (the copyright of the Jason-3 satellite image used in this sketch belongs to CNES/Mira Production)

157	This study has processed data from the Jason-2 and Jason-3 altimetry missions. The Jason-2
158	satellite was launched on 20 June 2008 and was developed by CNES, EUMETSAT (European
159	Organisation for the Exploitation of Meteorological Satellites), NASA, and NOAA (National
160	Oceanic and Atmospheric Administration). Its main payload comprises the Poseidon-3 dual
161	frequency (Ku- and C-bands, 13.575 GHz and 5.3 GHz respectively) radar altimeter
162	(Desjonquères et al., 2010). Jason-2 is on a 10-day repeat cycle orbit at an altitude of 1336 km,
163	and a 66° inclination. Its ground-track spacing is around 315 km at the equator and 220 km over
164	mainland France. Jason-3, developed by the same agencies as Jason-2 plus the European Union
165	(through the Copernicus program), was launched on 17 January 2016 and was put on the same
166	orbit as Jason-2. The two satellites were 80 seconds apart. Jason-3 has a similar payload to

Jason-2, in particular a Poseidon-3 class altimeter (Poseidon-3B). After more than 6 months
sharing a common orbit, Jason-2 was put on an "interleaved" orbit on 13 October 2016. This has
the same characteristics as its previous orbit, but is shifted in longitude by half the ground-track
spacing at the equator.

171

172 2.2. Altimeter tracking modes

173 For technical reasons associated with the pulse compression technique used for emission and 174 owing to the limited signal bandwidth (Chelton et al., 2001), the altimeter records the power 175 returned from the ground over only a limited window of ranges (called "tracking window" on 176 Figure 1). The vertical size of the range window (see Figure 1) for Poseidon-3 class altimeters 177 (i.e. for Jason-2 and Jason-3) is equal to 50 m. As it is orders of magnitude smaller than the 178 altitude of the satellite (around 1336 km), this window needs to enclose the water surface 179 elevation. Otherwise, the altimeter processing unit will not receive any signal backscattered by 180 the river and it will not be possible to derive the actual river elevation. The position of this 181 window (R<sub>track</sub> on Figure 1) is continuously updated on board to roughly follow the ground 182 topography. This process is called "tracking". It is performed automatically by the Adaptive 183 Tracking Unit (ATU), based on the analysis of waveforms received previously. More information 184 on the ATU system can be found in Chelton et al. (2001) and Desjonquères et al. (2010). This 185 tracking mode is generally referred to as the "autonomous" or "Closed-Loop" (CL) mode. The 186 retracking processing determines the position of the water surface in the waveform (a distance 187 known as epoch). Finally, the range R is estimated using equation (2).

 $188 R = R_{track} + epoch (2)$ 

189 As nadir altimeters are designed to observe oceans, the ATU is not optimized to follow 190 continental surfaces, where elevations may vary by tens to hundreds of meters over an along-191 track distance of a few kilometres. This is an issue for steep-sided rivers enclosed in valleys that 192 are a few kilometres wide (i.e. similar to the footprint of the instrument) and more than 50 m (i.e. 193 a distance greater than the tracking window size) lower than the surrounding hills. In this case, 194 the altimeter can remain "locked" on the top of the surrounding hills (or even lose tracking data) 195 and never observe the river below. This problem could even occur with slightly shallower 196 valleys. More detailed explanations and specific examples of this issue can be found, for 197 instance, in Biancamaria et al. (2017). 198 A new instrument mode, implemented on board Jason-2, SARAL, Jason-3, and Sentinel-3A/B, 199 was designed by CNES and its contractors to solve this specific issue. It consists in setting the 200 tracking window to an *a priori* value close to the river valley elevation, rather than letting the 201 ATU estimate its position based solely on previous waveforms. This solution requires storing on 202 board a priori elevation values for targets of interest (e.g. water bodies), as well as their location 203 along the satellite track. Then, the on-board software uses these *a priori* elevations and the 204 altitude of the satellite computed in real time by the DIODE (Doris Immediate Orbit on board 205 Determination) software to force the tracking window to these positions when the satellite 206 overflies them (Desjonquères et al., 2010). To build the on board tables used by the OL tracking 207 mode, an *a priori* list of targets of interest (therefore a water mask) and an elevation associated 208 with each target is required. Accuracies of both target geolocation and associated elevation are 209 crucial to the observation of these water bodies. Therefore, the OL tracking mode has been used 210 on a very small number of cycles on Jason-2 (cycles 3, 5, 7, 34, 209, and 220) and on SARAL 211 (passes 601 to 800 during cycle 1). The elevations used by Jason-2 over France were taken from

- the 1 km Altimetry Corrected Elevation global DEM (ACE; Berry et al., 2000) and the water
- 213 mask from the Generic Mapping Tools (GMT, <u>http://gmt.soest.hawaii.edu/</u>) (Desjonquères,
- 214 2009). The *a priori* global DEM used for water body elevations for SARAL was ACE2 (Berry et
- al., 2010) and the land/water mask was derived from Globcover
- 216 (<u>http://due.esrin.esa.int/page\_globcover.php</u>), supplemented by some water body elevations
- available on the Hydroweb database.
- In OL mode, the leading edge of the waveform (therefore the tracking window, see Figure 1)
- should ideally be centred on the first third of the tracking window. As this window size is around
- 220 50 m for Jason-2 and Jason-3, CNES estimated that the accuracy for water body a priori
- 221 elevations should be around  $\pm 10$  m.

- 222 The OL tracking mode has not been studied extensively. Birkett and Beckley (2010) evaluated
- both the CL and the OL tracking modes for Jason-2 over 28 lakes and reservoirs. For these
- targets, they found that CL and OL modes provide similar results when the water body is
- 225 observed in both modes, but that the CL mode observed more targets than the OL mode. Birkett
- and Beckley (2010) assumed that "inadequate resolution and/or data in the DEM" might be the
- reason for OL mode underachievement in comparison with CL mode. They concluded that the
- on-board DEM might not be "optimized for all regions". Biancamaria et al. (2017) showed that
- some locations in the steep-sided Garonne River valley (within mainland France) could not be
- 230 observed by nadir altimeters in CL mode. During the first SARAL cycle, two VS were observed
- in OL mode. The on-board DEM value for one VS was close to the river valley elevation and
- therefore the river was observed (which was not the case during subsequent cycles in CL mode).

However it was not the case for the second VS. The issue was an incorrect water mask and not a

- lack of accuracy in the *a priori* DEM used to compute the on-board DEM. For this reason

Biancamaria et al. (2017) concluded that the on-board DEM is highly dependent on both input
water mask and the *a priori* DEM used, which should be internally consistent.

The launch of Jason-3 and the need to validate its measurements during 2016 represented a good

238 opportunity to construct a finely tuned on-board DEM over a specific zone, where precise local

239 DEMs are available. The methodology used to achieve this end and the results obtained are

240 presented in the following sections.

241

242 3. Methods

243 3.1. Study domain and a priori/validation data

244 The study domain chosen to validate Jason-3 measurements over rivers corresponded to 245 mainland France (Figure 2). The four main rivers (ranked by their mean annual discharge at their outlet indicated in brackets) in this study domain are: the Rhône (1700  $\text{m}^3.\text{s}^{-1}$ ), the Loire (900 246  $m^3.s^{-1}$ ), the Garonne (600  $m^3.s^{-1}$ ) and the Seine (520  $m^3.s^{-1}$ ). This region was selected because of 247 248 the large number of in situ gages operated by regional public agencies, i.e. DREALs (Directions 249 Régionales de l'Environnement, de l'Aménagement et du Logement). These observations are 250 collected by the SCHAPI (Service Central d'Hydrométéorologie et d'Appui à la Prévision des 251 Inondations), which releases them publicly via the online 'Banque Hydro' national database 252 (http://www.hydro.eaufrance.fr) within a period of a few weeks to a few months. Gages used in 253 this study are shown in Figure 2 (green stars). Their records were downloaded from the Banque 254 Hydro database or obtained directly from the operator (DREALs). For all these gages, water 255 elevations measured were referenced to NGF-IGN69, the official vertical reference system for 256 mainland France. Their measurements were available for the period of interest: the tandem phase when Jason-2 and Jason-3 shared the same orbit and ground track. The time series obtained from 257

- these gages have a non-uniform time step, which is dependent on the water elevation stage.
- 259 Instruments automatically adjust recording time steps to water elevation variations. However, the
- 260 median time step for all gages is, on average, around 30 minutes.
- Figure 2 shows Jason-2/-3 tandem phase orbit tracks (red lines) superimposed on the study
- 262 domain and their 86 intersections or VS (white and red diamonds) with rivers indicated as wider
- 263 than 50 m in the IGN (Institut National de l'Information Géographique et Forestière) BD
- 264 Carthage database (available at <u>www.sandre.eaufrance.fr</u>). The VS with the maximum river
- width (~300 m) is obtained for the most downstream orbit intersection with the Loire River (near
- 266 La Ménitré, at -0.280°E and 47.393°N).



Figure 2. Study domain (Mainland France, delimited by the black line) and the river network (light blue lines) from the IGN BD Carthage database (only rivers wider than 50 m according to this database are shown). Red lines correspond to Jason-2 and Jason-3 tandem phase orbit tracks. White and red diamonds correspond to virtual stations (VS), where Jason-3 on-board DEM values were computed. Red diamonds are virtual stations where Jason-2 and Jason-3 river

elevation time series were compared to in situ gage (green stars) measurements. The background image is derived from the NASA MODIS "Blue Marble Next Generation" image (Stöckli et al., 2005)

268

- 269 3.2. Jason-3 on-board DEM and validation methodology
- 270 3.2.1. On-board DEM computation over France

271 The IGN BD Carthage database with rivers wider than 50 m corresponds to the water mask used

in this study. These river reaches were intersected with the Jason-2/-3 orbit obtained from the

273 Aviso+ website (http://www.aviso.altimetry.fr), leading to the creation of 89 VS. Three

intersections with the river network and orbit tracks in the most southern part of the Garonne

basin (see Figure 2) were not selected. For these locations the on-board DEM has been set by

276 CNES to the altitude of transponders used for calibrating/validating the altimeter.

277 Next, it was necessary to estimate the DEM values for the 86 selected VS (white and red

diamonds on Figure 2). This was achieved using the 25 m resolution DEM from IGN's BD Alti

279 database (<u>http://professionnels.ign.fr/bdalti</u>). The absolute vertical accuracy of this DEM is

assessed by IGN at between 2 to 8 m, depending on the local data source used. Elevations are

281 provided as integers and are referenced to the NGF-IGN69 vertical reference system. Rather than

simply extracting DEM values at the position of each VS, to take into account the facts that (1)

the altimeter ground footprint is a few kilometres wide, (2) the satellite ground track is controlled

to within  $\pm 1$  km around its nominal position (Dumont et al., 2016) and (3) rivers are in the lowest

part of the valley, we computed the minimum elevation over a 2 km radius centred on each VS.

286 Different values of this radius have been investigated (1 km to 5 km). A 2 km radius is a good

287 compromise between having sufficient variation to capture the river elevation (when compared

to a small number of VS close to a gage) and not being excessively impacted by elevations

289 outside the river valley targeted. As a quality check, the DEM was inspected visually and

290 comparisons made with Google Earth images for the 22 VS where the difference between the 291 minimum elevation computed on this circle and the elevation of the closest Banque Hydro 292 database in situ gage was greater than 10 m. Different cases were observed as follows: the 293 difference was due to an obvious issue with the gage elevation in the Banque Hydro, the closest 294 gage was on a different river to the VS, or the VS was on a reservoir and the gage was not (or the 295 reverse). For each case, the final elevation value was selected manually to take into account the 296 specificity of each case and the local topography in the vicinity of the VS. For all other VS, the 297 minimum elevation on the 2 km circle was selected. This radius might change a little for regions 298 other than France, depending, for example, on the accuracy of the DEM and the water mask. But 299 the methodology should be fairly robust, easily adapted to any other location, and should not be 300 specific to French rivers. Finally, these elevations were sent to CNES, which uploaded them on 301 board Jason-3 on 2 May 2016.

302 3.2.2. Validation of Jason-3 measurements

303 Between 17 February and 2 October 2016, Jason-2 (cycles 281 to 303) and Jason-3 (cycles 1 to 304 23) flew on the same orbit, 80 seconds apart. Therefore, they observed the same locations almost 305 simultaneously, which allowed the direct comparison of measurements from both satellites. 306 Jason-2 was in CL tracking mode throughout the study period, whereas thirteen Jason-3 cycles 307 were in OL tracking mode, for the most part after May 2016 (see Table 1 for cycle numbers and 308 start dates). Therefore, it is possible to evaluate the benefits of the OL tracking mode for small to 309 medium-sized steep-sided rivers by comparing Jason-3 cycles in OL mode to Jason-2 data and in 310 situ measurements.

Table 1. Number and start times of Jason-3 cycles in OL tracking mode, during the shared orbit period with Jason-2

Jason-3 cycles in OL	
tracking mode	Cycle start time

9	06 May 2016
11	26 May 2016
12	05 June 2016
13	15 June 2016
14	25 June 2016
15	05 July 2016
16	15 July 2016
17	25 July 2016
18	04 August 2016
19	13 August 2016
21	02 September 2016
22	12 September 2016
23	22 September 2016

312 To validate Jason-3 measurements (section 4), an initial qualitative test was performed to 313 compare Jason-2 and Jason-3 measurements over the 86 VS. For each VS, the absolute 314 difference (noted dR on Figure 1) between  $R_{track}$  (the tracked distance between the satellite and 315 the tracking window, see Figure 1 and section 2.2) and R<sub>DEM</sub> (the distance between the satellite 316 and the position of the surface given by the DEM, see Figure 1) was computed. dR allows to 317 quantitatively estimate how the position of the tracking window in CL mode is far from the on 318 board DEM value (which should be close to the river elevation). Figure 1, illustrates a case when 319 Jason-3 in CL mode successfully observes the river. In this case, dR is smaller than the size of 320 the tracking window. Therefore, dR should provide useful information to assess if a SV in CL 321 mode observe the river (dR much smaller than 50 m) or the surrounding topography (dR higher 322 than 50 m). That's why, in section 4, its temporal mean value for Jason-3 cycles in CL mode is 323 analysed. Similar averages were computed for corresponding Jason-2 data during the same

324 cycles. It allowed us to estimate, on average, how the tracked elevation differs between Jason-2
325 and Jason-3, when their altimeters are both in CL mode and when Jason-3 is in OL mode and
326 Jason-2 is in CL mode. In addition, the backscatter coefficient, which takes a high value in the
327 event that water contributes to the power recorded by the altimeter (e.g. Frappart et al., 2015c),
328 was analysed.

329 For quantitative validation, all VS close to a "Banque Hydro" in situ gage were selected. For 330 these VS, Jason-2 and Jason-3 measurements were processed to extract elevation time series (see 331 section 2.1), without using any *a priori* information from the gage time series. Jason-3 time 332 series were computed using all available measurements, without differentiating between cycles 333 in CL mode and in OL mode. For both Jason-2 and Jason-3, some cycles had valid measurements 334 of river elevations for many VS, whereas other cycles measured the elevations of the surrounding 335 topography. Measurements from the latter cycles were easily excluded, as they were much higher 336 than those of valid cycles. For this reason, some VS time series present observation gaps. 337 A thorough inspection led to the selection of 24 in situ gages (Figure 2, green stars) which were 338 compared to 21 VS (Figure 2, red diamonds). The number of VS selected was different to the 339 number of gages selected, as some VS had two in situ gages sited nearby (one upstream and one 340 downstream), or conversely, some gages were sited close to two VS. The selection criteria were 341 that (1) the distance between gages and VS should be a few kilometres, (2) they should be located on the same river with no large intervening tributaries, and (3) the in situ gage time series 342 343 should be available from the Banque Hydro or directly from regional DREAL agencies (see 344 section 3.1). More information (WGS84 longitude/latitude coordinates, river width, and the 345 intervening distance) for each pair of gage and VS compared is presented in the supplementary 346 material.

347 To carry out this quantitative assessment for validation purposes, Jason-2 and Jason-3 time series 348 were both compared to the in situ gage time series, using the same statistical tests as Biancamaria 349 et al. (2017): correlation coefficient, mean bias, and Root Mean Square Error (RMSE) between 350 the two time series for absolute water elevations referenced to NGF-IGN69. In situ and altimetry 351 water elevation anomalies were also computed, by removing their respective temporal mean 352 (calculated for the same common dates). The RMSE and the Nash-Sutcliffe (NS) coefficient 353 (Nash and Sutcliffe, 1970) were computed for anomalies. The NS coefficient (which varies 354 between  $-\infty$  and 1) was used in this study for its ability to assess the accuracy of the match 355 between two time series, both in time and amplitude. It is more informative than the correlation 356 coefficient, which only provides information about the linear relationship between two time 357 series, without taking into account amplitude agreement (Moriasi et al., 2007). The NS 358 coefficient for absolute elevation is not provided, as a bias is expected between altimetry 359 measurements and the gage time series, caused at least partly by the slope of the river between 360 the VS and the gage.

361 The Jason-2 and Jason-3 data used in this study were taken from the Geophysical Data Records 362 (GDR), version D, provided by the CNES/NASA processing centres and formatted by the Centre 363 de Topographie de l'Océan et de l'Hydrosphère (CTOH, http://ctoh.legos.obs-mip.fr) in a 364 consistent NetCDF format with geophysical corrections coherent with previous altimetry missions. The retracking algorithm used in this study is Ice-1/ICE (Wingham et al., 1986), as 365 366 recommended by Frappart et al. (2006) for continental surfaces. Water elevation time series were 367 computed using Multi-mission Altimetry Processing Software (MAPS) that allows a refined selection of valid altimetry data to build VS (Frappart et al., 2015b). MAPS is a graphical user 368 369 interface that allows the loading of altimetry data formatted by CTOH that are located within the

370 boundaries of a VS polygon, the computation of water elevations using Eq. 1 and the manual 371 selection/removal of some measurements. These water elevations provided by MAPS correspond 372 to ellipsoid heights. They have then been referenced to NGF-IGN69, for comparison to in situ 373 water elevation time series. 374 375 4. Results 376 4.1. Jason-3 statistics over the study domain 377 Figure 3 shows maps of Jason-2 and Jason-3 absolute difference dR (see section 3.2.2 and Figure 378 1), averaged over Jason-3 CL mode cycles (Figure 3.a and 3.c) and those where Jason-3 is in OL 379 mode (Figure 3.b and 3.d). As the size of the Jason-2 and Jason-3 tracking window is around 50 380 m, wherever and whenever this difference is greater than 50 m (Figure 3, red diamonds), it can 381 be assumed that the altimeter is locked on the surrounding hills. This figure clearly shows that 382 Jason-2 behaved in a similar manner before (Figure 3.a) and after (Figure 3.b) May 2016. It also 383 shows that Jason-3 exhibited similar behaviour to that of Jason-2 when it was in CL tracking 384 mode (Figure 3.c), with a slight tendency to have a few more VS locked on the surrounding hills 385 (especially in the downstream part of the Garonne River). 386 Table 2 summarizes these results by showing some basic information for the 86 VS. Jason-2 387 behaves consistently over the whole time period. Therefore, the differences between the Jason-3 388 measurements in CL and OL modes can only be explained by the differences in tracking mode. 389 For Jason-2, 25 VS (29% of all VS) are always locked on the top of the topography (first line in 390 Table 2). This number increases to 38 VS (44%) for Jason-3 in CL mode. Around half of the VS 391 had some cycles affected by this behaviour, as 34 (40 %) VS (Jason-2) and 49 (57 %) VS (Jason-392 3 in CL mode) have an average dR of over 50 m. Table 2 also indicates the mean and the

393	maximum values of the backscatter coefficient $\sigma_0$ (in dB) averaged over all VS. The average
394	value of $\sigma_0$ over all VS is equal to 29 dB and 25 dB for Jason-2 and Jason-3 in CL mode,
395	respectively. $\sigma_0$ tends to be lower over land than over water (smoother and more reflective
396	surface than land, e.g. Frappart et al., 2015c). Therefore, its lower value for Jason-3
397	measurements in CL mode confirms its tendency to be locked on the surrounding topography
398	more often than Jason-2. Nevertheless the $\sigma_0$ for both altimeters remain close. However, the
399	average $\sigma_0$ for Jason-3 in OL mode increased to 40 dB, confirming that Jason-3 in OL mode
400	observed more rivers than Jason-2. However, it is not sufficient to show that the signal
401	backscattered by the river is measured by the altimeter. There remains the task of checking that
402	these measurements can be converted to useful water elevation time series. For this reason, the
403	next section compares the Jason-2 and Jason-3 time series to in situ water elevation time series.



Figure 3. Mean absolute difference (dR) between R<sub>track</sub> and R<sub>DEM</sub> (see Figure 1) for Jason-2 (a. and b.) and Jason-3 (c. and d.) for cycles when Jason-3 is in closed-loop mode (approximately between February and May, 2016; a. and c.) and when Jason-3 is in open-loop mode (approximately between May and September, 2016, see Table 1 for the list of cycles; b. and d.)

405

Table 2. Statistics computed using the 86 VS shown on Figure 2 (red and white diamonds) indicating the number of VS for which the min, max, and mean difference between the altimeter tracked height and on-board DEM values (dR) is greater than the size of the tracking window (50 m), values of the mean and max dR (in m) over all VS and the mean and max backscatter coefficient  $\sigma_0$  (in dB) over all VS

	Jason-2 (J-3 CL cycles)	Jason-3 (CL cycles)	Jason-2 (J-3 OL cycles)	Jason-3 (OL cycles)
Nb VS with	25	38	24	0
min(dR) > 50m				
Nb VS with	34	49	35	0
mean(dR) > 50m				
Nb VS with	50	55	50	0

max(dR) > 50m				
Mean(dR) (in m)	50	82	51	-2
Max(dR) (in m)	311	720	299	31
$Mean(\sigma_0)$ (in dB)	29	25	30	40
$Max(\sigma_0)$ (in dB)	54	56	53	54

407 4.2. Validation of Jason-3 data for the selected gages

408 This section focuses on the 21 VS (Figure 2, red diamonds) that are close to in situ gages (Figure

409 2, green stars) which were selected for a quantitative assessment of Jason-2 and Jason-3 errors

410 (see section 3.2.2).

411 Table 3 and Table 4 show the comparative statistics for in situ, and Jason-2 and Jason-3 satellite 412 time series, respectively, for all VS/gage pairs. Data shown in these two tables suggest that 413 altimeters are capable of accurately monitoring some small rivers, such as the JA-002 VS on the 414 Marne River, which is 40 m wide. On this river both Jason-2 and Jason-3 display an RMSE of 415 0.20 m for water elevation anomalies, whereas the amplitude of the in situ time series at the 416 satellite observation times is much larger (2.72 m). However, this instance is very favourable for 417 altimetry, as the topography is quite flat, with little surrounding vegetation. For Jason-2, 418 correlation coefficients greater than 0.9 are associated mainly with rivers wider than 130 m, 419 whilst for Jason-3, correlation coefficients greater than 0.9 are mainly associated with rivers 420 wider than 100 m. The Jason-2 RMSE for elevation anomalies for these rivers ranged from 0.20 421 to 0.63 m, whereas the Jason-3 RMSE was between 0.11 and 0.28 m. Eight Jason-2 VS (38% of 422 VS selected) were significantly impacted by the surrounding topography, with fewer than 5 423 cycles (out of 23) observing the river valley or with a bias compared to in situ measurements 424 around 50 m or more. All of these VS were observed correctly by Jason-3 only when operating in 425 OL mode (except for JA3-014 for which 7 cycles in CL mode observed the river valley) with a 426 small bias for absolute elevation compatible with what might be expected from the river slope (a 427 couple of metres over a distance of a few kilometres). The same statistics as those shown in 428 Table 3 and 4, but computed only for Jason-3 CL mode cycles and Jason-3 OL mode cycles for 429 both Jason-2 and Jason-3, are presented in the supplementary material associated with this 430 article. They show that the accuracy of Jason-3 measurements is fairly similar for those VS that 431 work well in both CL and OL modes. This result indicates that the OL tracking mode is operating 432 as expected and allows the observation of steep-sided rivers that previously were practically 433 never observed, resolving the issue described in Biancamaria et al. (2017). It should also be 434 noted that Jason-3 observed the river valley for all VS during the 13 OL mode cycles. In Table 4, 435 for VS with only 13 cycles in their time series, these cycles correspond solely to OL mode cycles 436 (there are no observations for CL cycles). This is shown clearly in the tables presented in the 437 supplementary material. There are three Jason-3 VS (JA3-075, JA3-017, and JA3-010) that are 438 always locked on the surrounding topography in CL mode (0 cycle used in Table 4 in the 439 supplementary material), whereas the corresponding Jason-2 VS provide some measurements of 440 the river elevation (i.e. two or more cycles could be used, their bias with in situ measurements is 441 below 50 m, and their correlation coefficient with in situ measurements is above 0.50). 442 However, it should be noted that, for JA-075 and JA-017 VS, unlike JA-010 VS, even for Jason-443 3 OL mode cycles or Jason-2 cycles with valid measurements, the RMSEs for water elevation 444 anomalies are still large, because of the small river widths (see supplementary material for these 445 RMSEs). For other Jason-3 VS with 0 cycle used in CL mode, Jason-2 does not provide useful 446 measurements of the river elevation (see supplementary material). For some other VS, the Jason-447 3 time series had fewer observation dates than the corresponding Jason-2 time series, owing to

there being more Jason-3 cycles in CL tracking mode locked on the surrounding topography (see

- table in supplementary material). This is consistent with the results obtained by the qualitative
- 450 assessment (section 4.1).

Table 3. Correlation coefficient, mean bias, RMSE (m), RMSE for anomalies (m), Nash-Sutcliffe (NS) coefficient for anomalies between Jason-2 and in situ time series. River width at the VS, amplitude (Ampl.) of the in situ time series for dates in common with Jason-2, and the number of these common dates are also provided. Rows shaded red correspond to VS/gage pairs for which the mean bias is around 50 m or more and/or the correlation coefficient is below 0.5 and the NS coefficient for anomalies is negative. Rows shaded orange correspond to VS for which half or more cycles are locked on the surrounding topography (11 or less cycles used)

Jason-2	VS Riv.	Gage ID	Corr.	Bias	RMSE	RMSE	NS	Ampl.	Nb
VS ID	Width				(m)	anom	anom	(m)	cycles
	(m)				. ,	(m)			used
JA2-074	35	M1511610	0.62	3.33	3.35	0.30	0.26	1.46	23
JA2-075	35	M3230930	0.47	26.07	26.07	0.25	-0.39	0.65	17
JA2-002	40	H5201010	0.97	-2.23	2.24	0.2	0.95	2.72	23
JA2-017	40	U1074020	0.43	12.55	12.57	0.64	0.15	2.64	12
JA2-011	45	P7121510	-	0.25	0.25	-	-	-	1
JA2-014	45	Q1420010	0.88	3.67	3.7	0.44	0.63	1.85	4
JA2-077	45	X3310010	-0.16	-11.39	11.51	1.63	$-3.5 \times 10^4$	0.05	23
JA2-077	45	X3500010	-0.25	16.42	16.51	1.67	-76.79	0.83	20
JA2-020	60	U0610010	0.87	1.44	1.49	0.39	0.75	3.14	22
JA2-071	60	M0520610	0.62	-5.59	5.59	0.15	0.30	0.59	20
JA2-071	60	M0630610	0.62	5.56	5.57	0.18	0.38	0.71	17
JA2-042	70	K0910010	0.56	1.04	1.16	0.5	-2.05	0.78	5
JA2-012	80	Q5421020	0.8	-34.32	34.32	0.32	0.53	1.56	19
JA2-012	80	Q5501010	0.76	13.73	13.74	0.36	0.30	1.35	19
JA2-094	90	V3130021	0.4	127.92	127.93	2.02	-5.43	1.97	11
JA2-009	110	P5550010	-	3.96	3.96	-	-	-	1
JA2-093	120	V3130021	0.1	126.9	126.96	3.74	-23.98	1.97	13
JA2-010	130	O9190010	0.97	-0.15	0.43	0.4	0.93	4.36	8
JA2-030	155	O9090010	0.91	3.58	3.64	0.63	0.75	4.37	23
JA2-030	155	O9000010	0.91	-3.01	3.08	0.63	0.78	4.53	23
JA2-080	165	H8100021	0.55	82.39	82.4	0.14	0.31	0.66	12
JA2-032	200	O9090010	0.95	0.96	1.03	0.37	0.89	3.56	10
JA2-036	245	P5770010	0.74	3.89	3.98	0.81	-51.61	0.38	20
JA2-064	250	K6830020	0.47	49.18	49.28	3.19	-8.54	3.28	23
JA2-082	300	L8700020	0.99	-0.09	0.37	0.36	0.93	5.5	23
JA2-082	300	L8700030	0.99	1.74	1.75	0.19	0.97	4.73	23

*Table 4. Correlation coefficient, mean bias, RMSE (m), RMSE for anomalies (m), Nash-Sutcliffe (NS) coefficient for anomalies between Jason-3 and in situ time series. River width at the VS,* 

amplitude (Ampl.) of the in situ time series for dates in common with Jason-3, and the number of
these common dates are also provided. Rows shaded red correspond to VS/gage pairs for which
the correlation coefficient is below 0.5 and the NS coefficient for anomalies is negative

Jason-3	VS Riv.	Gage ID	Corr.	Bias	RMSE	RMSE	NS	Ampl.	Nb
VS ID	Width				(m)	anom	anom	(m)	cycles
	(m)					(m)			used
JA3-074	35	M1511610	0.71	2.92	2.94	0.31	0.24	1.46	22
JA3-075	35	M3230930	-0.27	-1.45	1.68	0.85	-26.69	0.74	13
JA3-002	40	H5201010	0.97	-2.62	2.63	0.2	0.95	2.72	23
JA3-017	40	U1074020	0.13	11.67	11.7	0.8	-0.49	2.48	13
JA3-011	45	P7121510	0.86	-0.71	0.88	0.52	-15.34	0.46	13
JA3-014	45	Q1420010	0.84	3.49	3.5	0.23	0.67	1.52	20
JA3-077	45	X3310010	0.35	-12.04	12.13	1.47	$-2.6 \times 10^4$	0.05	21
JA3-077	45	X3500010	0.25	15.77	15.84	1.45	-53.61	0.82	18
JA3-020	60	U0610010	0.84	1.11	1.18	0.42	0.7	3.14	22
JA3-071	60	M0520610	0.55	-5.91	5.92	0.19	-0.10	0.59	21
JA3-071	60	M0630610	0.51	5.25	5.25	0.21	0.11	0.71	18
JA3-042	70	K0910010	0.94	0.1	0.23	0.21	0.85	1.78	13
JA3-012	80	Q5421020	0.64	-34.83	34.84	0.45	0.2	1.55	18
JA3-012	80	Q5501010	0.65	13.23	13.24	0.42	0.03	1.35	18
JA3-094	90	V3130021	1	0.32	0.33	0.11	0.99	2.6	12
JA3-009	110	P5550010	0.99	2.42	2.43	0.14	0.97	2.94	13
JA3-093	120	V3130021	1	0.51	0.56	0.24	0.94	2.6	13
JA3-010	130	O9190010	0.99	-0.71	0.73	0.16	0.97	2.84	13
JA3-030	155	O9090010	0.95	2.44	2.46	0.28	0.9	2.52	16
JA3-030	155	O9000010	0.96	-4.13	4.13	0.27	0.92	2.6	16
JA3-080	165	H8100021	0.95	0.19	0.34	0.28	0.64	1.76	13
JA3-032	200	O9090010	0.99	0.4	0.42	0.14	0.98	2.52	16
JA3-036	245	P5770010	0.7	3.81	4.01	1.26	-127.05	0.38	21
JA3-064	250	K6830020	0.98	1.54	1.56	0.21	0.96	3.28	13
JA3-082	300	L8700020	0.99	-0.53	0.60	0.28	0.96	5.5	22
JA3-082	300	L8700030	0.99	1.29	1.31	0.20	0.97	4.73	22

453 Figures 4, 5, and 6 show the comparison of elevation time series derived from Jason-2 and Jason-

454 3 against in situ data for three pairs of VS/gage: JA-082/L8700020, JA-030/O9000010, and JA-

455 064/K6830020, respectively. Blue lines and dots correspond to gage time series, whereas red

456 lines and dots correspond to nadir altimetry measurements. For the altimetry time series, the grey

457 vertical bar for each observation time corresponds to the mean absolute deviations of all selected

458 altimetry measurements for that time within the VS boundaries computed by MAPS software

459 (see section 2.1 and for more details Frappart et al., 2015b and Biancamaria et al., 2017).

460 In the case shown in Figure 4, Jason-3 cycles perform well in both CL and OL tracking modes, 461 similarly to Jason-2. It shows that the OL mode, when the on-board DEM is accurate, performs 462 as well as the CL mode with valid cycles. Both modes perform well probably because there is an 463 elevation difference of less than 50 m between the surrounding hills and the river valley. The 464 high mean absolute deviation for one Jason-3 cycle (early April 2016) shown in Figures 4.b and 465 4.d is due to an outlier in the selected points within the VS, which may correspond to a 466 measurement of the surrounding topography. In the case shown in Figure 5 all Jason-2 cycles 467 (i.e. in CL tracking mode) observe the river, whereas only 3 (out of 10) Jason-3 cycles in CL 468 (and all cycles in OL) observe the river. Finally, Figure 6 illustrates a Jason-2 VS that never 469 observes the river and remains locked on the surrounding hills, whereas only Jason-3 cycles in 470 OL mode are able to measure the river elevation. All these figures, and in particular their bottom 471 panels show that a Jason-2/-3 sampling period of 10 days is coarse for this type of river. 472 Combining measurements from multiple altimetry missions might be beneficial here. The study 473 time period (mid-February to late September 2016) covered a period of high flows 474 (February/March), a flooding event on the Loire River lasting several days (in early June 2016) 475 and a low flow period (July/September). The altimeter time series allowed sampling of the 476 seasonal cycle (transition from high to low flow periods), but missed local maxima, especially 477 the flood peak on the Loire at VS JA3-064. As previously stated by Biancamaria et al. (2017), 478 Jason-2/-3 time sampling allows the study of the seasonal cycles of small to medium-sized 479 rivers, but will miss high frequency dynamics (periods of hours to a few days). Having 480 measurements of this kind will not assist flood forecasting for the type of rivers presented in this 481 study, but they are important for studying the seasonal cycle, the annual, interannual, and even 482 decadal dynamics of small and medium-sized rivers, especially in areas where no gaging



network is present or maintained. The OL tracking mode will help to obtain more observations

484 from around the world for these types of river.

483

Figure 4. Jason-2 (left panels; a., c. and e.) and Jason-3 (right panels; b., d. and f.) water elevation time series (red lines and red dots) on the Loire River at La Menitré (JA-082) compared to in situ time series (blue lines and blue dots) at Gennes. Top panels (a. and b.) correspond to absolute elevations with reference to (wrt) NGF-IGN69 at satellite observation

times. Middle panels (c. and d.) correspond to elevation anomalies at satellite observation times. Bottom panels (e. and f.) correspond to times series with all available times for both satellite and in situ time series. Pale rose zones on panels b., d., and f. correspond to time periods when Jason-3 altimeter was in CL tracking mode



Figure 5. Jason-2 (left panels; a., c. and e.) and Jason-3 (right panels; b., d. and f.) water elevation time series (red lines and red dots) on the Garonne River at Caumont-sur-Garonne (JA-030) compared to in situ time series (blue lines and blue dots) at Tonneins. Panels are

similar to those in Figure 4. Pale rose zones on panels b., d., and f. correspond to time periods when Jason-3 altimeter was in CL tracking mode



Figure 6. Jason-2 (left panels; a., c. and e.) and Jason-3 (right panels; b., d. and f.) water elevation time series (red lines and red dots) on the Loire River at Saint-Michel-sur-Loire (JA-064) compared to in situ time series (blue lines and blue dots) at Langeais. Panels are similar to those in Figure 4. As Jason-2 is locked on the surrounding topography, panels c. and e. are not

relevant, but are kept for consistency with Figure 4 and Figure 5. Pale rose zones on panels b., d., and f. correspond to time periods when Jason-3 altimeter was in CL tracking mode

486

487 4.3. Discussion on the difference between Jason-2 and Jason-3 performance in CL mode 488 Results from both qualitative (section 4.1) and quantitative (section 4.2) Jason-3 validation 489 assessments showed that Jason-3 in CL tracking mode was more frequently locked on the 490 surrounding topography than Jason-2. Jason-3 and Jason-2 altimeters use the same CL tracking 491 algorithm, called the median tracker. The aim of the median tracker is to "track" as much 492 backscattered energy from the ground as possible, in the hope that the tracked ground 493 corresponds to water bodies. This is a statistical approach, which almost always works, but 494 which does not discriminate between surface types. In other words, in CL tracking mode we 495 cannot give preference to water bodies over other ground targets. The fact that Jason-2 tracks 496 more hydrological targets than Jason-3 in CL mode may appear to be counterintuitive. 497 Although Jason-2 and Jason-3 share a common algorithm, the hardware is not exactly the same. 498 Algorithm parameters values must be adapted to each instrument. They are defined during 499 altimeter ground tuning and testing. These are critical values and are generally not updated in 500 flight unless strictly necessary. Owing to some unexpected in-flight behaviour of Jason-2 ("ghost 501 echoes") the post-launch modification of the original tuning of the altimeter was unavoidable. A 502 side-effect of this procedure was a slight degradation of radar sensitivity in CL tracking mode. 503 When the altimeter operates in CL mode, it first searches for a backscattered radar signal. For 504 this purpose, it scans from higher to lower altitudes. Then when the energy received by the 505 altimeter is sufficiently strong with respect to its sensitivity, it stops scanning altitudes and locks 506 its tracking loop to the current target altitude.

508 In the case of areas with relief, generally the higher the altitude, the weaker the backscattered 509 signal. As the sensitivity of the Jason-2 altimeter has been degraded, the result is that, in 510 principle, for such regions, it will lock its tracker at a lower altitude than the Jason-3 altimeter. 511 As rivers are particularly located in valleys, Jason-2 will have a better chance of tracking a 512 hydrological target than Jason-3.

513 The drawback of the median and more generally the CL tracker is the lack of control and of 514 target prioritization. For this reason, the OL tracking mode was designed to overcome this issue. 515 It has the capability to designate a target and therefore to give highest priority to water bodies. 516 Prior to the Jason-3 launch, it was not anticipated that Jason-2 altimeter tuning was improving 517 water elevation observation over continents. As this modification was not applied to Jason-3 (or 518 to any other past, present or planned altimeter), it should be expected that Jason-3 in CL tracking 519 mode will be locked over surrounding topography for steep-sided rivers more frequently than 520 Jason-2, as shown in this study. However, the yield of Jason-3 in OL tracking mode will be 521 dramatically better than that of Jason-2 if the behaviour observed over mainland France, can be 522 obtained for all global locations.

523

524 5. Conclusions and Perspectives

525 The 6 month period during which the recently launched Jason-3 altimetry mission shared the 526 same orbit as Jason-2 was the perfect time to validate the Jason-3 Closed-Loop (CL) tracking 527 mode and to assess the benefit of the Jason-3 Open-Loop (OL) tracking mode. A high-quality 528 DEM and water mask available from the IGN were used to compute the on-board DEM for the 529 OL mode over mainland France which was loaded onto Jason-3 on 2 May 2016 (the first Jason-3 cycles being in CL tracking mode). Accurate water elevations from in situ gages were used to validate both Jason-2 and Jason-3 measurements for river widths between 35 and 300 m. This study has shown that altimeters such as Jason-2 and Jason-3 are able to accurately measure rivers with a width of 100 m or greater with an RMSE for elevation anomalies of around 0.20 to 0.30 m. The 10 day sampling period is adapted for observing the seasonal cycle, but misses some local maxima. Smaller rivers could also be observed, but with a higher RMSE and time sampling could be more critical for this type of river.

537 This study demonstrates that Jason-2 and Jason-3 in CL mode provide similar results, although 538 Jason-3 has a tendency to remain locked on the surrounding topography more frequently than 539 Jason-2. This issue is due to a Jason-2 altimeter post-launch tuning, which solved a problem but 540 led to a slight degradation in radar sensitivity. It was not anticipated that this modification would 541 improve observations over continents. As the problem had been resolved on the Jason-3 542 altimeter, this modification was not applied to the Jason-3 CL tracking mode. Of the Jason-2 VS, 543 38% measured the top of the surrounding hills almost all of the time. On the contrary, water 544 elevation measurements were always measured at the corresponding Jason-3 VS in OL mode. 545 Even for Jason-2 VS that were only partially affected by this issue (a small number of cycles 546 locked on the surrounding topography), Jason-3 was able to observe the river in OL mode for all 547 cycles, increasing the number of observation times. This shows the significant advantages 548 provided by the OL tracking mode for steep-sided rivers that were unobserved or only partially 549 observed by previous altimetry missions, thus potentially significantly increasing the number of 550 river reaches that could be monitored worldwide by satellite radar altimeters. Even much wider 551 rivers could benefit from the OL tracking mode if they are located in a narrow river valley (a few 552 kilometres across), or are very close to surrounding hills that are higher than the river elevation

553 by 50 m or more. Jason-3 has greater flexibility than previous missions (i.e. Jason-2 and 554 SARAL) had in OL mode, because small portions (a few kilometres) of the track can be in OL 555 tracking mode (for locations where the water mask and the *a priori* DEM are coherent and 556 sufficiently accurate), while the rest of the track remains in the classical CL tracking mode. 557 Demonstrating the potential of the OL tracking mode is important in the early stages of the 558 Jason-3 mission and also in the context of recently launched (Sentinel-3A), or future altimetry 559 missions (Sentinel-3B planned for launch in early 2018, Sentinel-3C/D whose launch dates are 560 currently unknown, as they will ensure continuity of observation after Sentinel-3A/B, Jason-561 CS/Sentinel-6 in 2020, SWOT in 2021, and follow-ons), that operate or will operate using this 562 tracking mode. The use of the OL tracking mode will substantially increase the number of river 563 basins that can be monitored using satellite radar altimetry.

564 The OL mode over mainland France successfully provided observations of river stages, because 565 an accurate on-board DEM could be computed, based on accurate local database. Unfortunately, 566 such a database is not available globally and other sources will have to be used for the rest of the 567 world. For some locations where the global DEMs (GDEM) are sufficiently accurate, these could 568 be used to compute the on-board DEM for OL mode. This could be the case for GDEMs such as 569 the latest version of the Shuttle Radar Topography Mission GDEM released in September 2014 570 (see https://www2.jpl.nasa.gov/srtm/), the ASTER GDEM (Tachikawa et al., 2011), or the 571 upcoming DLR TanDEM-X GDEM (Zink et al., 2014), whose absolute elevation in some 572 regions has been assessed to be within  $\pm 10$  m. They will also need to be coupled with a coherent 573 global water mask more precise than that of Globcover. For example, the global water mask established from satellite imagery computed by Pekel et al. (2016) could represent a good 574 575 alternative. Some local information provided by the broader scientific community (based on field

trip measurements, local maps...) could also be used. For locations where an accurate on-board DEM over water bodies cannot be computed, the altimeter would be left in classical CL tracking mode, to avoid setting the tracking window to an incorrect position for all cycles. In the coming years, more extensive work will be needed to improve the Jason-3 on-board DEM, but also for incoming altimetry missions that are not on the same orbit (for example the Sentinel-3 series of satellites).

582 The benefits of the OL tracking mode for lakes and reservoirs need to be carefully investigated. 583 Similar results are anticipated to those obtained for rivers where an accurate on-board DEM can 584 be computed. However computation of the on-board DEM may be even harder than for rivers, as 585 many reservoirs (and lakes) are in mountainous regions where the DEMs usually available are 586 not accurate to within  $\pm 10$  m. Further, for large lakes and reservoirs, the OL tracking mode might 587 not be suitable, as they can display seasonal and/or interannual variations much larger than those 588 of rivers. For such variations, setting the tracking window to one constant value might not be 589 appropriate for all observation times. A potential solution would be to update the on-board DEM 590 on a seasonal basis. Specific studies are needed to investigate these cases.

591

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- 743
- 744
- 745 List of figure captions:

Figure 1. Conceptual view of nadir altimeter measurements and notations used in this study (the
copyright of the Jason-3 satellite image used in this sketch belongs to CNES/Mira Production)

749 Figure 2. Study domain (Mainland France, delimited by the black line) and the river network 750 (light blue lines) from the IGN BD Carthage database (only rivers wider than 50 m according to 751 this database are shown). Red lines correspond to Jason-2 and Jason-3 tandem phase orbit tracks. 752 White and red diamonds correspond to virtual stations (VS), where Jason-3 on-board DEM 753 values were computed. Red diamonds are virtual stations where Jason-2 and Jason-3 river 754 elevation time series were compared to in situ gage (green stars) measurements. The background 755 image is derived from the NASA MODIS "Blue Marble Next Generation" image (Stöckli et al., 756 2005)

758 Figure 3. Mean absolute difference (dR) between Rtrack and RDEM (see Figure 1) for Jason-2 759 (a. and b.) and Jason-3 (c. and d.) for cycles when Jason-3 is in closed-loop mode (approximately 760 between February and May, 2016; a. and c.) and when Jason-3 is in open-loop mode 761 (approximately between May and September, 2016, see Table 1 for the list of cycles; b. and d.) 762 763 Figure 4. Jason-2 (left panels; a., c. and e.) and Jason-3 (right panels; b., d. and f.) water 764 elevation time series (red lines and red dots) on the Loire River at La Menitré (JA-082) 765 compared to in situ time series (blue lines and blue dots) at Gennes. Top panels (a. and b.) 766 correspond to absolute elevations with reference to (wrt) NGF-IGN69 at satellite observation 767 times. Middle panels (c. and d.) correspond to elevation anomalies at satellite observation times. 768 Bottom panels (e. and f.) correspond to times series with all available times for both satellite and 769 in situ time series. Pale rose zones on panels b., d., and f. correspond to time periods when Jason-770 3 altimeter was in CL tracking mode 771 772 Figure 5. Jason-2 (left panels; a., c. and e.) and Jason-3 (right panels; b., d. and f.) water 773 elevation time series (red lines and red dots) on the Garonne River at Caumont-sur-Garonne (JA-774 030) compared to in situ time series (blue lines and blue dots) at Tonneins. Panels are similar to 775 those in Figure 4. Pale rose zones on panels b., d., and f. correspond to time periods when Jason-776 3 altimeter was in CL tracking mode 777 778 Figure 6. Jason-2 (left panels; a., c. and e.) and Jason-3 (right panels; b., d. and f.) water

elevation time series (red lines and red dots) on the Loire River at Saint-Michel-sur-Loire (JA-

780 064) compared to in situ time series (blue lines and blue dots) at Langeais. Panels are similar to

- those in Figure 4. As Jason-2 is locked on the surrounding topography, panels c. and e. are not
- relevant, but are kept for consistency with Figure 4 and Figure 5. Pale rose zones on panels b., d.,
- and f. correspond to time periods when Jason-3 altimeter was in CL tracking mode