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1 Rare earth element fractionation in heterogenite (CoOOH):
2 Implication for cobalt oxidized ore in the Katanga Copperbelt
3 (Democratic Republic of Congo)

4

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14

15 **Abstract**

16 Heterogenite (CoOOH) is the most abundant cobalt oxide mineral in the Katanga Copperbelt,
17 which hosts around half of the world's known reserves of mineable cobalt. Heterogenite
18 formed by the oxidation of Co-sulfides and accumulated as residual deposits during a
19 Pliocene weathering event. Bulk analysis samples of oxidized cobalt ore samples containing
20 with variable heterogenite concentration display two rare earth element (REE) patterns: (i)
21 Type 1 is enriched in middle REE, with a negative cerium anomaly and a relatively low REE
22 content; (ii) Type 2 is variably enriched in light REE (LREE), without a cerium anomaly and

1 with higher REE content. However, *in situ* LA-ICP-MS reveals that the Type 2 patterns are
2 due to the mixing of heterogenite with a LREE-rich mineral.

3 Weathering processes leading to heterogenite formation mainly consist of water-rock
4 interactions at high Co activity, in the near-surface environment. These result in the formation
5 of a lateritic deposit. Heterogenite precipitates at near-neutral pH conditions together with
6 manganese oxides. REE are mainly fractionated between these Co- and Mn-oxide minerals. In
7 the deeper part of the oxidized profile, cobalt activity decreases and the heterogenite stability
8 field shifts to alkaline pH conditions due to the dissolution of dolostone in the bedrock. In
9 such an alkaline environment, REE speciation is mainly driven by carbonate
10 complexation/precipitation. This environment would be favorable for the formation of REE-
11 rich carbonate which is intimately associated with heterogenite (LREE-rich Type 2 patterns).

12 The combined whole-rock and *in situ* geochemical analyses presented here clearly
13 help (1) to distinguish the REE signature of the Co oxidized ore (mineral paragenesis
14 comprising heterogenite) and of heterogenite itself, and subsequently (2) to highlight two
15 different chemical environments for the formation of heterogenite in supergene ores. This
16 study therefore improves the understanding of REE behaviour and metal mobility in near-
17 surface environments, and more particularly in environments where the supergene ores form.
18 In the future, the approach developed here can be applied to other Co-Ni-Mn lateritic
19 deposits such as those in New-Caledonia and Cameroon.

20

21 **Keywords:** Cobalt, lanthanide, Katanga, Democratic Republic of Congo, Manganese oxide,
22 LA-ICP-MS

23

24 **1. Introduction**

1 The Katanga Copperbelt is situated in the southeastern Democratic Republic of Congo, which
2 is part of the Lufilian fold-and-thrust belt and hosts ~45% of the world's known reserves of
3 mineable cobalt (3.4 Mt Co metal content; USGS, 2014).

4 Heterogenite (CoOOH) is the most abundant cobalt oxide in the Copperbelt. Previous
5 works on the Katanga heterogenite (Hey, 1962; Deliens, 1974; Deliens and Goethals, 1973;
6 Gauthier and Deliens, 1999; Burlet et al., 2011; Vanbrabant, 2013) distinguished two sub-
7 types of heterogenite: (i) rhombohedral heterogenite 3R is the most abundant variety (Deliens,
8 1974), and (ii) heterogenite 2H, which is a hexagonal polytype defined by Deliens and
9 Goethals (1973). Recent Raman microspectroscopy analyses coupled with electron
10 backscattered diffraction (EBSD), however have shown that heterogenite is commonly poorly
11 crystallized Burlet et al. (2011).

12 In the Katanga Copperbelt, heterogenite is derived from the oxidation of primary
13 sulfide minerals hosted in stratiform deposits. Mio-Pliocene weathering strongly concentrated
14 Co in the near-surface oxidized ore, within the so-called "cobalt-cap" (Decrée et al., 2010;
15 Gauthier and Deliens, 1999). The genesis of heterogenite in the general Mio-Pliocene
16 geodynamic framework in the Katanga has been recently reinvestigated by Decrée et al.
17 (2010).

18 Here, further insights into the processes responsible for heterogenite formation are
19 investigated through the study of rare earth elements (REE) distribution. This is a powerful
20 tool in understanding the formation of oxi-hydroxides (Pourret and Davranche, 2013) since
21 the fractionation of REE can be used as a tracer of these processes.

22 In this paper, the REE distribution is studied on mineral separates that remain variably
23 admixed with the host rock. These samples are therefore representative of the bulk Co
24 oxidized ore. They are investigated using X-Ray diffraction and scanning electron microscopy
25 (SEM) with energy-dispersive spectroscopy (EDS) in order to determine their mineralogical

1 assemblage. Besides these bulk analyses, *in situ* (electron microprobe and LA-ICP-MS)
2 analyses have been acquired, avoiding any contamination from the host rock. The REE
3 signature of heterogenite is obtained using the LA-ICP-MS. The electron microprobe analyses
4 are needed to determine how much the heterogenite is mixed with Mn oxi-hydroxides. This
5 parameter is important since Mn oxi-hydroxides are known to strongly affect the REE
6 behaviour. Eventually, modeling is used to predict how the REE speciation and how these
7 elements should behave in a multi-ligand groundwater in the presence of Mn oxi-hydroxide.

8 All together, these data allow to investigate precisely the REE speciation in relation
9 with the geochemistry (and mineralogy) of heterogenite (and Co oxidized ore) samples.
10 Therefore, they can be used to clarify the processes leading to the formation of Co oxidized
11 ore during the weathering in the Katanga Copperbelt.

12 At a larger scale, the study dedicated to the REE behavior in heterogenite could be
13 applied to other Co-Ni-Mn oxide mineralizations that form laterite (on serpentized
14 ultramafic rocks), as those present in New Caledonia (Llorca and Monchoux 1991), Cuba
15 (Moa Bay; Roqué-Rossell et al. 2010), Cameroon (Nkamouna; Dzemua et al. 2013),
16 Colombia (Cerro Matoso; Gleeson et al., 2004), Australia (Greenvale; Zeissink, 1969) and
17 USA (Oregon; Hotz, 1964).

18

19 **2. Geological setting**

20 The Cu-Co deposits of the Katanga Copperbelt are predominantly hosted in the lower part of
21 the Neoproterozoic Katanga Supergroup (Roan Group, 880-730 Ma; Kampunzu et al., 2009)
22 (**Figure 1**). The overlying deposits are part of the barren upper Roan (R-4, Mwashya
23 Subgroup), Nguba (730-635 Ma) and Kundelungu (635-~573 Ma) Groups (ages after
24 Kampunzu et al., 2009). The primary stratabound Cu-Co mineralization is typically hosted by
25 metasedimentary rocks belonging to the lower part of the Roan Group (Faults and Roan

1 breccias on **Figure 1**). These host-rocks comprise dolomitic siltstones, stromatolitic
2 dolostones, siltstones, dolomitic and carbonaceous shales, together with local sandstones and
3 arkoses (Cailteux et al., 2005). The mineralization is also observed in brecciated and faulted
4 lithotypes occurring mainly in the lower part of the Kundelungu Group (**Figure 1**). The
5 primary stratiform Cu-Co sulfide mineralization is early syn-diagenetic (Dewaele et al., 2006;
6 El Desouky et al., 2010; Muchez et al., 2008) and occurred around 820 Ma (Selley et al.,
7 2005) and/or after ~750 Ma (Hitzman et al., 2005). Later mineralization events took place
8 before or during the pan-African Lufilian folding (Cailteux et al., 2005; Decrée et al., 2011;
9 Decrée et al., 2014; Dewaele et al., 2006; El Desouky et al., 2009; Haest and Muchez, 2011;
10 Haest et al., 2007; Haest et al., 2009; Kampunzu et al., 2009). In these primary deposits,
11 carrollite (CuCo_2S_4), siegenite ($(\text{Ni},\text{Co})_3\text{S}_4$) and linnaeite ($\text{Co}^{2+}\text{Co}^{3+}_2\text{S}_4$) are the most abundant
12 primary cobalt ore minerals.

13 The post-orogenic history of the mineralization is poorly known. During this period,
14 the Lufilian fold-and-thrust belt underwent various stages of weathering and erosional
15 episodes. As a result, the sulfide ore deposits are now oxidized, usually down to a depth of
16 ~100 m (Ngongo, 1975). Recent studies have shown that the Katanga Cu-Co oxidized ore is
17 the result of a Pliocene weathering/oxidizing episode (SIMS U-Pb age of the heterogenite is
18 dated at 3.4 ± 0.8 Ma; Decrée et al., 2010). This weathering process is economically
19 significant because it strongly concentrated Co in the supergene ore when compared to the
20 primary ore (64% Co for heterogenite *v.* 39% Co for carrollite). Heterogenite is thought to
21 have formed in (sub)surface oxidizing conditions, whereas Cu migrates deeper downward and
22 precipitates as malachite near the water table (De Putter et al., 2010; Decrée et al., 2010).
23 Heterogenite caps can therefore be considered as a residual deposit, similar to iron-rich
24 laterites.

25

26 **3. Material and methods**

1 The 24 heterogenite samples studied here originate from cobalt caps in 14 mines (see location
2 on **Figure 1**). The samples were either collected in the field or recovered from the mineral
3 collection in the Royal Museum for Central Africa, Tervuren, Belgium (**Table 1**). Mineral
4 separates of these samples have been prepared. However, due to the intimate mixing of
5 heterogenite with the host rock (especially when heterogenite impregnates earthy material),
6 these samples are more representative of the bulk ore than pure heterogenite.

7 The texture of the samples was studied using scanning electron microscopy (SEM) on
8 a Quanta 20 ESEM (FEI), with energy-dispersive spectroscopy (Apollo 10 Silicon Drift
9 EDS detector; EDAX) at the Royal Belgian Institute of Natural Sciences. The mineralogy of
10 the rock samples was determined using a Bruker–Siemens X-ray micro-diffraction
11 instrument equipped with a Cu K α X-ray tube (operating at 40 kV and 30 mA), and a
12 General Area Detector Diffraction System (GADDS) detector system at the “Laboratoire de
13 Géochimie et Minéralogie appliquée” (Université Libre de Bruxelles), Belgium. Samples
14 were scanned from 2-70°2 θ and the software EVA 2, version 13 equipped with the JCPDS
15 PDF-2 database (ICDD, 2003) was used for qualitative analysis of XRD patterns.

16 Quantitative micro-analyzes were acquired using a Cameca SX50 electron microprobe
17 at the University of Mons operated at 15 kV / 20 nA with a defocused 20 μ m diameter beam
18 (Supplementary material, **Table 1**). The microprobe is equipped with four wavelength-
19 dispersive (WDS) spectrometers and standard LIF, PET, TAP and PCs crystals.
20 Geochemical analyzes (major and trace elements, Supplementary material, **Tables 2 and 3**)
21 were performed on representative powders (50 grams) of the heterogenite separates/Co
22 oxidized ore at Actlabs, Ontario, Canada. To get the major elements and REE contents, the
23 samples have been dissolved by lithium metaborate/tetraborate fusion, then digested in weak
24 nitric acid solution before being analyzed by ICP-MS. Co and Cu contents have been obtained

1 after acid digestion by four different acids (hydrochloric, nitric, perchloric and hydrofluoric)
2 and ICP-OES analyses.

3 Laser ablation ICP-MS measurements (Supplementary material, **Table 4**) were
4 performed at the Geology and Mineralogy Department, Royal Museum for Central Africa,
5 Belgium. A New-Wave UP-193 FX fast excimer (193 nm) laser coupled with a Thermo
6 Scientific X-Series2 quadrupole ICP-MS was used. The laser was run at 50 Hz and a fluence
7 of 10 J/cm² for a 100 µm spot size. He gas was flushed into the ablation cell at a flow rate of
8 0.65 L/min and was mixed afterwards in the cell with Ar carrier gas at a flow rate of 0.76
9 L/min. Co has been used as an internal standard for correcting instrumental drift and ablation
10 rate. The NIST SRM 614, 612 and 610 were used as external standards and were measured
11 frequently during the course of analyzes. The precision at 1 sigma level on the NIST SRM
12 612 is below 10% RSD.

13 The stability diagrams were modelled using the computer programs PHREEQC
14 (Parkhurst and Appelo, 1999) and PhreePlot (Kinniburgh and Cooper, 2009). Both programs
15 used the llnl.dat data base, which was modified to include well-accepted infinite-dilution (25
16 °C) stability constants for Co and Mn (Chivot et al., 2008; Collins and Kinsela, 2010; Hem et
17 al., 1985).

18 The speciation calculations were performed using the computer program PHREEQC
19 (Parkhurst and Appelo, 1999) using NAGRA/PSI data base (Hummel et al., 2002), which was
20 modified to include well-accepted infinite-dilution (25 °C) stability constants for REE
21 inorganic complexes (hydroxide, fluoride, chloride, sulfate and carbonate; Klungness and
22 Byrne, 2000; Luo and Byrne, 2004; Migdisov et al., 2009; Schijf and Byrne, 2004) and
23 surface complexation with hydrous manganese oxides (Pourret and Davranche, 2013).

24

25 **4. Results**

1 4.1. Typology, paragenesis and chemistry of the Katanga heterogenite

2 Macroscopically, the heterogenite specimens studied here occur as: (i) large (cm scale)
3 reniform masses, (ii) massive impregnation of the silicified host-rock, in which quartz
4 constitutes the dominant mineral phase, or (iii) earthy material finely admixed with the host-
5 rock at an infra- μm scale, i.e. which is not visible using the SEM (see **Table 1** for further
6 details). Under the microscope, heterogenite (3R polytype, see XRD patterns in **Figure 2**) is
7 commonly present as small and finely laminated (μm to mm-thick laminae) nodular
8 aggregates or botryoids (**Figure 3A-L**). These nodules include intercalations of heterogenite
9 finely co-precipitated with Mn(-Fe) with oxides (mostly manganite and asbolane, as
10 determined using XRD) and growths on a core made up of goethite or lepidocrocite (**Figure**
11 **2**). In the samples where heterogenite impregnates the host-rock or exhibits an earthy texture,
12 quartz grains or phyllosilicate flakes are enclosed within the Co oxide (**Figures 3B,C,D,K**).
13 Cuprite is present in association with heterogenite in several samples (**Figures 3C,D**).

14 From a geochemical point of view, heterogenite shows a wide range of Co
15 concentration (16.9-86.4 wt. % Co_2O_3). The low Co content is generally related to the
16 enrichment in other metals such as Cu (up to 24.5 wt. % CuO), Fe (up to 3.1 wt. % Fe_2O_3),
17 Mn (up to 54.6 wt. % MnO_2), or Ni (up to 20.6 wt. % NiO). This is likely due to (1) the co-
18 precipitation/intergrowth of heterogenite with other oxides, (2) metal substitution in the
19 crystal lattice of heterogenite, and (3) the adsorption of these elements onto heterogenite. Low
20 to moderate P enrichment (up to 1.9 wt. % P_2O_5) is observed in heterogenite. They are
21 presumably related to the coprecipitation of heterogenite with secondary minerals resulting
22 from the weathering of phosphates associated with Co-sulfides in the primary paragenesis. In
23 addition, heterogenite may be enriched in U (from a few mg/kg to 3.2 wt.%) and Pb (see
24 Decrée et al., 2014 for additional data about samples GE 10788 and GE 10816).

1 Among the minerals that form in Co-Ni-Mn laterite-like deposits, heterogenite shows
2 the highest Co contents when compared to (1) absolute-lithiophorite minerals from
3 Nkamouna (2.3-17.0 wt.% CoO; Dzemua et al., 2013), New Caledonia (0.9-12.6 wt.% CoO;
4 Llorca and Monchoux 1991) and Moa Bay (1.2-7.8 wt.% CoO; Roqué-Rossell et al., 2010)
5 and (2) cryptomelane, pyrolusite and other Mn oxides from Nkamouna (with 0.7-16.9 wt.%
6 CoO; Dzemua et al., 2013). Additionally, most of the Katanga heterogenites possess the
7 highest ratios of Co against Mn, Al, Ni, and plot very close to the Co pole in Co-Al-Ni and
8 Co-Ni-Mn ternary diagrams (**Figure 4**). Heterogenite in Mn-rich layers are rather close in
9 composition to the pyrolusite and “lithiophorite-asbolane intermediate” from the Nkamouna
10 area.

11

12 4.2. Heterogenite separates – cobalt oxidized ore (whole rock signatures)

13 Selected major and trace elements of the studied samples are presented as **Supplementary**
14 **material**. Heterogenite is characterized by high but variable Co contents, ranging from 18.44
15 to 68.79 wt.% CoO. It also contains variable contents of Cu (0.48-16.8 wt.% CuO), Mn (0.01-
16 4.81 wt.% MnO) and Fe (0.12-16.26 wt.% Fe₂O₃(t)). These elements are part of the
17 heterogenite structure or represent a fine admixture of cuprite or Fe/Mn-oxides (see **Figure**
18 **1**). The high SiO₂ contents observed in most samples are due remnants of host-rock minerals
19 in the Co oxidized ore (e.g., in **Figure 3B**). The high P₂O₅ contents in samples RGM 4418
20 and RGM 13025 are attributed to the presence of phosphates. Three analyzed samples (i.e.,
21 RGM 3335, RGM 14091 and RGM 10794), which are admixed with Mn-oxides or the host-
22 rocks, show particularly low Co contents (i.e., 11.25, 4.79 and 2.64wt.% CoO, respectively).

23 Co enrichment in Katanga heterogenite ore is particularly well highlighted in the Co-
24 Al-Ni and Co-Ni-Mn diagrams (**Figure 4**), when compared to Co-bearing nickeliferous
25 laterites from Cerro Matoso (Colombia), Greenvale (Australia) and Oregon (USA). In these

1 lateritic ores, the CoO content is below 0.6 wt.% (Gleeson et al., 2004; Hotz, 1964; Zeissink,
2 1969).

3

4 4.3. Rare earth element signatures

5 4.3.1. *Heterogenite separates – cobalt oxidized ore (whole rock signatures)*

6 The Upper Continental Crust (UCC)-normalized rare earth element (REE) analyzes of the
7 heterogenite samples show three distinct types of patterns. Type 1 patterns show low total
8 REE abundance ($14 < \Sigma \text{REE} < 122$ mg/kg, with a mean value of 70 mg/kg) and displays a
9 distinctive bell-shaped pattern (**Figure 5A**), with $\log (\text{La}/\text{Sm})_{\text{UCC}} < 1$ and $\log (\text{Gd}/\text{Yb})_{\text{UCC}} > 1$
10 (quadrant 1 in **Figure 6A**). These samples commonly show a negative cerium anomaly
11 (Ce/Ce^* from 0.1 to 1), with no significant europium anomaly (Eu/Eu^* from 0.7 to 1.6 with
12 an exception at 3 for sample RGM 2839). Type 2 patterns show REE light REE (LREE)
13 enrichment ($\Sigma \text{REE} = 524$ and 1436 mg/kg; $\text{La}_{\text{UCC}}/\text{Yb}_{\text{UCC}} = 30$ and 73 ; **Figure 5B**), with \log
14 $(\text{La}/\text{Sm})_{\text{UCC}} > 0$ and $\log (\text{Gd}/\text{Yb})_{\text{UCC}} > 0$ (quadrant 2 in **Figure 6A**). There is no significant Ce
15 anomaly ($\text{Ce}/\text{Ce}^* = 0.6$ and 1) and the Eu anomaly is slightly positive or negative ($\text{Eu}/\text{Eu}^* =$
16 0.4 and 1.2). Type 3 patterns are rather flat from La to Eu but with a slight Heavy REE
17 (HREE) fractionation (**Figure 5C**). It is intermediately enriched in REE ($\Sigma \text{REE} = 133$ and 142
18 mg/kg) compared to the other types, with a positive Eu anomaly ($\text{Eu}/\text{Eu}^* = 1.6$ and 1.8) and
19 no marked Ce anomaly ($\text{Ce}/\text{Ce}^* \approx 0.8$). In the $\log (\text{Gd}/\text{Yb})_{\text{UCC}}$ vs. $\log (\text{La}/\text{Sm})_{\text{UCC}}$ diagram
20 (**Figure 6A**), these heterogenites plot at the boundary between quadrant 1 and 2.

21

22 4.3.2. *LA-ICP-MS signatures*

23 Due to the intimate mixing of heterogenite with host rock minerals in the impregnation and
24 earthy facies, *in situ* (LA ICP-MS) analyzes have been performed to obtain the REE signature
25 of these minerals. Compared to the corresponding whole rock patterns, the Type 1 LA-ICP-

1 MS REE patterns display a similar MREE enrichment (**Figure 5D**), with quite comparable
2 REE contents ($4 < \Sigma\text{REE} < 111$ mg/kg, with an exception at 321 mg/kg for sample RGM
3 13017), and negative or slightly positive Ce and Eu anomalies ($0.01 < \text{Ce}/\text{Ce}^* < 1.4$ and
4 $0.3 < \text{Eu}/\text{Eu}^* < 1.3$, with an exception at 2.5 for sample RGM 12979). On the other hand, LA-
5 ICP-MS REE patterns obtained on Type 2 heterogenites differ from the whole rock REE
6 patterns (**Figure 5E**): they are slightly HREE or MREE-enriched, with negative Ce and Eu
7 anomalies ($0.02 < \text{Ce}/\text{Ce}^* < 0.8$ and $0.4 < \text{Eu}/\text{Eu}^* < 1.1$). In addition, the total REE contents are
8 very low (from 2 to 7 mg/kg). LA-ICP-MS REE patterns for the third type of heterogenites
9 resemble those obtained from whole rock analyzes, with negative Ce anomaly ($\text{Ce}/\text{Ce}^* = 0.5$
10 and 0.7) and positive Eu anomaly ($\text{Eu}/\text{Eu}^* = 1.2$ and 1.3). They are however less enriched in
11 REE ($\Sigma\text{REE} = 30$ and 49 mg/kg), especially in LREE, highlighting a slight MREE enrichment
12 in the patterns. In the $\log (\text{Gd}/\text{Yb})_{\text{UCC}}$ vs. $\log (\text{La}/\text{Sm})_{\text{UCC}}$ diagram (**Figure 6C**), most of the
13 heterogenites plot in the quadrant I ($\log (\text{La}/\text{Sm})_{\text{UCC}} < 0$ and $\log (\text{Gd}/\text{Yb})_{\text{UCC}} > 0$).

14

15 **5. Discussion**

16 Based on whole rock analyzes, it appears that heterogenite commonly exhibits three types of
17 REE patterns. Type 1 is enriched in middle REE, with a negative Ce anomaly and a relatively
18 low REE content. Types 2 and 3 are variably enriched in LREE, without a Ce anomaly and
19 with higher REE content. However, *in situ* LA-ICP-MS analyses reveal that the LREE
20 enrichment of the Types 2 and 3 patterns is not related to heterogenite itself. This enrichment
21 is likely due to a mineral phase that is associated with heterogenite in the bulk Co oxidized
22 ore.

23 The aim of this discussion is (1) to investigate the fractionation of the REE in a
24 supergene environment where both heterogenite and Mn-oxides form, (2) to study and model

1 the speciation of the REE in groundwaters, and (3) to provide an improved conceptual model
2 of heterogenite formation.

3

4 5.1. Supergene formation of heterogenite and REE competition with coeval Mn-oxides

5 Heterogenite is a supergene mineral that precipitates from aqueous solution saturated in Co
6 (Chivot et al., 2008; Deliens, 1974; Myers and Penn, 2011). In the Katanga Copperbelt,
7 heterogenite is deposited by near-surface fluids whereas Cu remains in the solution and
8 percolates downwards (Decrée et al., 2010). These processes are overall similar to those
9 leading to the formation of other supergene deposits that develop on various metasedimentary
10 rocks in Katanga, including the Kisenge Mn-oxide rich caps and the widely exposed Fe-
11 laterites (Decrée et al., 2010).

12 In this environment, heterogenite behaves similar to manganese oxide. CoOOH and
13 MnOOH have the same bond length 1.92 Å and similar Co-(Co, Mn) and Mn-Mn distances
14 2.79 vs 2.81 (Brown and Calas, 2012). Cobalt is strongly enriched in supergene deposits
15 because it is oxidized from Co²⁺ to the less soluble Co³⁺ in supergene conditions, possibly
16 through a disproportionation process as described by Hem (1978). Mn-oxide colloids
17 scavenge trace metals via adsorption – and/or co-precipitation - where hydrated cations (e.g.,
18 REE) are attracted to the negatively charged surface of manganese oxides (e.g., Pourret and
19 Davranche, 2013). At high Co activity, heterogenite (**Figure 7A**) precipitates at near-neutral
20 pH conditions as well as manganese oxide (i.e., pyrolusite, **Figure 7B**).

21 In **Figure 8**, one can see that the increase of the Ce/Ce*,La_{UCC}/Yb_{UCC}, La_{UCC}/Sm_{UCC}
22 and Gd_{UCC}/Yb_{UCC} ratios is correlated with an increase of the MnO content. As a whole, the
23 total REE content is also correlated to the increase in MnO. More precisely, two different
24 trends are observed: the first trend (green arrow in **Figure 8**) shows a rapid increase of the
25 above-mentioned ratios and REE content for a slight increase of MnO content. Whereas the

1 second one (orange arrow in **Figure 8**) is characterized by a moderate increase of the above-
2 mentioned ratios and content for higher MnO contents. The presence of two different trends
3 could be related to the presence of various Mn-oxides in association with heterogenite.
4 Manganite, asbolane and lithiophorite are actually commonly encountered in the Co oxidized
5 ore, even within a single specimen. Heterogenite is also commonly amorphous or poorly-
6 crystalline, with size below micrometer (Burllet et al., 2011). In addition, Mn- and Co-oxides
7 may have highly variable crystallinity at microscopic scale and this is likely to influence
8 important characteristics such as specific surface and adsorption kinetic, even within a single
9 sample.

10 Despite their mineralogical similarities, Mn-oxides associated with heterogenite
11 should present less fractionated REE patterns (no or less MREE enrichment), with positive Ce
12 anomalies and a higher REE enrichment compared to heterogenite. Such patterns are
13 commonly found for Mn-oxides in similar environments, for example in Fe-Mn laterites
14 present in the Katanga Copperbelt (**Figure 10**). Consequently, the weathering process has
15 resulted in the mobilization and redistribution of the REE affected by the nature of secondary
16 mineral formation (e.g., Mn oxi-hydroxides).

17

18 5.2. REE speciation in alkaline groundwater

19 *5.2.1. Alkaline nature of the groundwater during heterogenite formation*

20 The presence of aqueous ligands, notably carbonate or hydroxide in water strongly affects
21 REE solubility (Woods, 1990; Leybourne and Johannesson, 2008). Release of REE during
22 weathering is strongly controlled by dissolution rates of parent rock minerals. In the Katanga
23 Copperbelt, the Mio-Pliocene weathering event led to the dissolution of the Mines Subgroup
24 dolomites and dolomitic shales, and the formation of karst (Buffard, 1984; De Putter et al.,
25 2010) and heterogenite (Decrée et al., 2010). This dissolution should result in a neutral to

1 alkaline fluid with the carbonate ion dominating in the solution. Locally, sulfate and
2 phosphate ions could play a more important role due to dissolution and alteration of sulfides
3 and P-bearing minerals such as monazite, apatite, dahlite [Ca₅(PO₄,CO₃)₃F] (Kampunzu et al.,
4 2005). Stability and composition of accessory minerals play a dominant role in the availability
5 and mobility of trace elements. Indeed the formation of secondary REE-minerals present as
6 secondary mineral phases in the Katanga Copperbelt (e.g., florencite-(La), françoisite-(Nd),
7 gysinite-(Nd), kamotoïte-(Y), lepersonnite-(Gd), schuilingite-(Nd), shabaite-(Nd), bijvoetite-
8 (Y); Daltry, 1992) can give us some clues for deciphering the probable ligands present in the
9 supergene ore-forming fluid (i.e., carbonates).

10 A simple weathering scheme, primarily based on a change in fluid chemistry, can
11 explain such observations. Acidic rain water – equilibrated with atmospheric CO₂ – has a pH
12 of ~5.7. In contact with the uppermost part of the soil, the pH of this fluid can decrease up to
13 4-5, due to the decay of organic material (Nesbitt, 1979; Pourret et al., 2016). These acidic
14 and oxidized fluids will percolate through the host rock (mineralized siltstones, shales and
15 dolostones). As a result, (1) the mineralized host rocks are weathered, (2) the soluble major,
16 minor and REE are removed, and (3) the fluids are progressively neutralized by the
17 carbonates. Subsequently, in the upper part of the weathering profile (where the Type 1 –
18 MREE-rich – heterogenite forms), the REE are mainly adsorbed onto the surface of the
19 neoformed secondary minerals (i.e., manganese oxides and heterogenites; **Figure 7**). At these
20 circumneutral pH, the speciation of the REE is mainly driven by the carbonate ions, the
21 HREE being mobilized to a greater extent than the LREE (e.g. Johannesson et al., 1996).
22 These solutions – which are still enriched in metals and REE - then migrate downwards, with
23 a concomitant increase in the pH. As the fluid becomes more alkaline, the REE are
24 precipitated as carbonates (LREE-rich mineral co-precipitated with heterogenite as evoked in
25 **Figures 5 E,F**) , incorporated into/precipitated as secondary minerals, or adsorbed onto

1 manganese oxides and heterogenites.

2

3 *5.2.2. Calculation of REE speciation in the multi-ligand groundwater*

4 In order to calculate REE speciation in groundwater while no groundwater analyses were
5 performed on the study area (e.g. Atibu et al., 2013), the multi-ligand groundwater from
6 Wood (1990) was used. The speciation of La^{3+} , Eu^{3+} , Lu^{3+} in the multi-ligand groundwater at
7 25°C (given in Table VIII in (Wood, 1990)) taking into account two concentrations of
8 manganese (allowing REE adsorption onto hydrous manganese oxide) was calculated by
9 simultaneously solving the relevant mass-action and mass-balance equations at each pH using
10 PHREEQC and PhreePlot (see section 3 for details). The concentrations of manganese were
11 selected to represent concentrations in superficial waters (Pettersson and Ingri, 2001; Sracek
12 et al., 2012). The ligands (i.e., chlorides, sulfates, carbonates) present in the multi-ligand
13 groundwater from Wood (1990) are similar to those considered by Muchez and Corbella
14 (2012) at 150°C.

15 The results of these calculations are depicted in **Figure 9**. In the model groundwater
16 with higher Mn concentrations (i.e., 10⁻⁴ mol/L), it is evident from figures 9A-C that La, Eu
17 and Lu: (1) exist predominately as the simple ion REE^{3+} and the sulfate complex REESO_4^+ at
18 pH=4-6.5, (2) are adsorbed on manganese oxide at pH = 6.5-7.5, (3) occur as the carbonate
19 complex REECO_3^+ at pH=7.5-9.5, and (4) are present as the hydroxide complex REEOH^{2+} at
20 pH >9.5. In the modeling conditions considered for figures 9A-C, only the carbonate and
21 sulfate complexes are stable enough to significantly affect REE transport (e.g., Johannesson,
22 2005). More precisely, at neutral pH (expected values of samples), fractionation occurs in
23 between REE. At pH 6, La and Eu are only weakly adsorbed onto Mn oxide (<10%), whereas
24 Lu is strongly adsorbed to Mn oxide (>30%). Such modeling results highlight the scenario
25 (and resulting REE fractionation) that could occur in the upper zone of the weathering profile

1 where Type 1 heterogenite (characterized by MREE enrichment; **Figures 5 A,D**) forms.
2 **Figures 9D-F** further illustrate the effect on speciation by changing the various ligand
3 concentrations used in the model. This second modeling scenario highlight the conditions
4 favorable for precipitation of Types 2 and 3 heterogenite and controlled by carbonates (with
5 the probable coprecipitation of heterogenite with a LREE carbonate; **Figures 5 A,B,E,F**).
6 Actually, if the total manganese concentration is decreased by an order of magnitude then the
7 carbonate complexes become important from pH 6.5 to 9.5 (**Figures 9D-F**). The carbonate
8 complexes of the REE are thus the most important inorganic species competing with Mn oxi-
9 hydroxide and responsible for the transport of REE in near neutral to slightly alkaline
10 groundwaters. The dominant carbonate complex for LREE is LREECO_3^+ , whereas for HREE
11 a complex HREECO_3^{2-} dominates in solution (Luo and Byrne, 2004). Among inorganic
12 complexes, carbonate complexes are more frequent in the pH range 7 to 9; above pH 9
13 hydroxyl complexes prevail, and below pH 7 REE^{3+} ions dominate, whereas the role of
14 sulfate is subordinated (Schijf and Byrne, 2004). An increase in pH decreases the role of
15 REE^{3+} ions, especially for HREE. The presence of Mn oxi-hydroxide substantially changes
16 existing REE complexes. Thus, Mn oxi-hydroxides prevail within the pH interval 6.5 to 9
17 (Pourret and Davranche, 2013). At lower pH, REE^{3+} ions are relatively more abundant and
18 sulfate complexes such as REESO_4^+ may appear, whereas an increase in pH leads to an
19 increase in the role of carbonate (Wood, 1990). In this context, the impact of organic matter
20 on REE speciation was not considered even though Tang and Johannesson (2003) and Pourret
21 et al. (2007) demonstrated their major influence. It should also be noted that all of the
22 estimations above are based on certain assumptions, such as equal activity of all species,
23 comparably low REE concentration and absence of competing cations in the solution.

24

25 5.3. Improved conceptual model of heterogenite formation

1 At high Co activity, heterogenite precipitates at near-neutral pH conditions as well as
2 manganese oxide (i.e., manganite). REE are mainly fractionated between these two solid
3 phases (**Figure 10**). As cobalt activity decreases, the heterogenite stability field shifts towards
4 an alkaline pH. In these conditions, REE speciation is mainly driven by carbonate
5 complexation and/or precipitation. Since the heterogenite patterns are enriched in LREE
6 (**Figures 5 B,C,E,F**), one can consider that a LREE-rich carbonate co-precipitates with and/or
7 is adsorbed onto heterogenite. This scheme is likely since (i) there is no correlation between
8 REE and MnO or P₂O₅ contents in the studied whole rock and (ii) REE-carbonates (as
9 gysinite-(Nd) [Pb(Nd,La)(CO₃)₂(OH).H₂O]) are found in the oxidized part of numerous
10 deposits of the Katanga Copperbelt (Daltry, 1992). In any case, the association of heterogenite
11 with this mineral gives the LREE-rich Type 2 and Type 3 patterns illustrated in **Figures 5B**
12 **and 5C**.

13 Both REE signatures (MREE-enriched Type 1 on the one hand and LREE-enriched
14 Types 2 and 3 on the other hand) are consistent with the formation of heterogenite in a two-
15 step *per descensum* model, in which this mineral (i) forms as residual deposits - similar to
16 laterite - in association with Mn-oxide, in the immediate near-surface environment, with an
17 obvious REE fractionation between these two mineral phases, and (ii) is deposited from a
18 carbonate-bearing fluid due to country rock dissolution in the deeper parts of the oxidation
19 profile, in association with REE-rich carbonates (**Figure 10**).

20 The weathering of primary sulfide minerals (carrollite from the Kamoto mine, sample
21 RGM 13024, and siegenite from the Shinkolobwe mine, sample GE 3101, **Figure 5A**)
22 constitutes an important mobile REE reservoir. As demonstrated in **Figure 5A**, heterogenite
23 actually displays REE patterns that are quite close to the parental Co-sulfides, being more
24 enriched than the latter but with preservation and even enhancement of the MREE-enrichment.
25 The processes leading to the incorporation of REE into heterogenite could certainly be

1 compared to those invoked to explain the preservation of the isotopic U-Pb system within
2 heterogenite (Decrée et al., 2014), namely the trapping of relict nanoparticles and/or the
3 sorption of these elements. By passing through Mn-rich horizons the fluids become enriched
4 in manganese and thereby promote REE release from their carbonate support favouring Mn
5 oxides sorption (Pourret et al., 2014). Finally, the observed cerium anomalies are interpreted
6 to be the result of oxidizing conditions affecting the valence state of Ce, especially oxidation
7 scavenging together with Mn oxi-hydroxides (Pourret et al., 2014).

8

9 **6. Concluding remarks**

10 Heterogenite (CoOOH) was deposited by near-surface fluids during the weathering of the
11 sulfide-bearing Mine Series, in the Katanga Copperbelt. A detailed study of this supergene
12 deposit based on bulk and LA-ICP-MS analyzes gives new keys to understand the processes
13 leading to heterogenite formation. Heterogenite commonly exhibits the following REE
14 characteristics: a MREE-enriched pattern, negative Ce anomaly and overall low concentration
15 in REE. When heterogenite is finely admixed with Mn-oxides, the resulting REE patterns are
16 flatter, the negative Ce anomaly is smaller (or may even be slightly positive) and the total
17 concentration in REE is higher. This suggests that fractionation of REE occurs between Co-
18 and Mn-oxide minerals in the upper part of the weathering profile. In the deeper part of the
19 profile, Co activity decreases and the downward migration of the fluids induces dolostone
20 dissolution. As a consequence, heterogenite precipitates from alkaline groundwater in
21 association with a LREE-rich mineral, which notably controls the REE speciation.

22

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5

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16 **Figure and Table Captions**

17 **Figure 1** Geological sketch map of the Copperbelt (modified from Cailteux et al., 2005;
18 François, 1974). R-4 corresponds to the Mwashya Subgroup.

19 **Figure 2** XRD patterns (Cu Ka) of representative heterogenites from the Katanga Copperbelt
20 compared to the reference patterns (vertical lines) from the “International Centre for
21 Diffraction Data” database (abbreviations used as follows: Ht for heterogenite, 3R for the 3R
22 polytype, Qz for quartz, Gt for goethite, Lc for lepidocrocite, Mn for manganite, Asb for
23 asbolan). A. Sample DOL1 from Luiswishi; B. Sample RGM 10801 from Kalabi; C. Sample
24 RGM 10805 from Musonoi

1 **Figure 3** SEM backscattered electron images illustrating heterogenite facies in the studied
2 samples and the LA-ICP-MS analysed zones (numbers from 1 to 30). The sample name is
3 indicated on each picture (refer to **Table 1** for sample origin). The abbreviations are as
4 followed: Cu for cuprite, FeOX for Fe-oxides, Qz for quartz. A. Botryoidal heterogenite
5 (brigh laminae) in alternation with Mn-rich oxide laminae (grey area) an in association with
6 Fe oxide. B. Heterogenite concretion comprising quartz grains. The dark grey laminae
7 correspond to Mn-rich layers. C. Mineral assemblage made up of heterogenite, quartz and
8 cuprite in an earthy facies. D. Heterogenite concretion comprising quartz grains and is
9 association with late cuprite concretion. The dark grey laminae correspond to Mn-rich layers.
10 E. H. and I. Botryoidal heterogenite. F. and J. Botryoidal heterogenite. The dark grey laminae
11 correspond to Mn-rich layers/zones. G. Heterogenite concretion associated with Fe oxide. K.
12 Heterogenite concretion comprising quartz grains and phyllosilicate laths from the host-rock.
13 L. Heterogenite concretion. The brighter layers correspond to laminae enriched in Pb and U.
14 The dark zone results from the LA ablation.

15 **Figure 4** Ternary diagrams showing the variations in terms of (A) Co-Al-Ni, (B) Co-Ni-Mn
16 of Co-rich minerals from Katanga (this study –electron microprobe analyses; Burlet et al.,
17 2011; Decrée et al., 2014), New Caledonia (Llorca and Monchoux, 1991), Nkamouna
18 (Dzemua et al., 2013) and Moa Bay (Roqué-Rossell et al., 2010), and bulk lateritic ore in
19 Katanga (Co ore - heterogenite separates, this study), Cerro Matoso (Gleeson et al., 2004),
20 Greenvale (Zeissink, 1969) and Oregon (Hotz, 1964).

21 **Figure 5** UCC-normalized REE patterns for heterogenites of (A) type 1 – Heterogenite
22 separates/Co oxidized ore analyses. REE patterns of Co-sulfides (carrollite from Kamoto,
23 sample RGM 13024 and siegenite from Shinkolobwe, sample GE 3101) are given for
24 comparison purposes, (B) type 2 – Heterogenite separates/Co oxidized ore analyses, (C) type
25 3 – Heterogenite separates/Co oxidized ore analyses, (D) type 1 – LA-ICP-MS analyses, (E)

1 type 2 – LA-ICP-MS analyses, the additional pattern shows the difference between RGM
2 15884 whole rock and LA-ICP-MS analyses, (F) type 3 – LA-ICP-MS analyses, the
3 additional pattern shows the difference between TW015 Heterogenite separates/Co oxidized
4 ore and LA-ICP-MS analyses. UCC values are from McLennan (2001). Grey fields in D, E
5 and F represent the Heterogenite separates/Co oxidized ore analyses.

6 **Figure 6** $\text{Log}(\text{Gd}/\text{Yb})_{\text{UCC}}$ as a function of $\text{log}(\text{La}/\text{Sm})_{\text{UCC}}$ illustrating REE fractionation.
7 Quadrant I corresponds to MREE enriched patterns; quadrant II to LREE enriched patterns
8 and quadrant III to HREE enriched patterns. UCC values are from McLennan (2001). (A)
9 Heterogenite separates/Co oxidized ore analyses and (B) LA-ICP-MS analyses.

10 **Figure 7** Eh-pH stability diagrams at 25°C for (A) Co minerals and dissolved species of Co
11 (system Co-O-H only). Arrow shows heterogenite stability field shift for decreasing Co
12 activity, (B) Mn minerals and dissolved species of Mn (system Mn-O-H only).

13 **Figure 8** Correlation between MnO content and Ce anomaly (A), $\text{La}_{\text{UCC}}/\text{Yb}_{\text{UCC}}$ (B),
14 $\text{La}_{\text{UCC}}/\text{Sm}_{\text{UCC}}$ (C), $\text{Gd}_{\text{UCC}}/\text{Yb}_{\text{UCC}}$ (D), and total REE content (E) within heterogenite (electron
15 microprobe analyses for the MnO contents and LA-ICP-MS analyses for the REE
16 contents). Two trends are observed and emphasized by arrows, see text for further details.

17 **Figure 9** Speciation calculations for (a) La, (b) Eu and (c) Lu in groundwater with [Mn] of
18 10^{-4} mol/L (d) La, (e) Eu and (f) Lu in groundwater with [Mn] of 10^{-5} mol/L (conditions of
19 groundwater from Wood, 1990). It must be noted that minor F, Cl and P complexes (few % at
20 maximum) are not depicted for clarity.

21 **Figure 10** Sketch diagram illustrating the formation of the different types of heterogenite and
22 their corresponding REE patterns. The latter depend on the environment (subsurface or deeper
23 environment) and on the co-precipitating mineral phases (MnOOH or LREE-enriched
24 carbonate). REE patterns from Katanga Fe-Mn laterites are from Pourret et al. (2014).

25 -----

1 **Table 1** Location and brief description of the studied heterogenites.

Sample	Location	Macroscopic description
<u>Eastern part of the Katanga Copperbelt</u>		
RGM 914	Kiola	heterogenite masses
RGM 924	Kiola	heterogenite geode lining
RGM 3334	Ruashi	impregnation and black layers
RGM 4418	Lwiswishi	earthy and scoriaceous material
DOL1	Luiswishi	crust made up of small botryoids as fissure infillings
RGM 1304	Etoile	finely banded heterogenite
<u>Central part of the Katanga Copperbelt</u>		
RGM 660	Likasi	black mass with malachite crust
RGM 2839	Mindigi	scoriaceous block covered with black botryoids
RGM 12979	Mindigi	nodular heterogenite
RGM 10801	Kalabi	large botryodids
RGM 3335	Kalabi	impregnation
RGM 10807	Kabolela	large botryodids
RGM 12996	Kabolela	botryoidal masses
RGM 13017	Kambove	crust-earthy material
RGM 15884	Kamwali	large botryoidal masses
RGM 10788	Shinko	small botryoids
RGM 10816	Shinko	large botryoids
<u>Western part of the Katanga Copperbelt</u>		
RGM 10805	Musonoi	bright black reniform crust
RGM 10793	Musonoi	massive impregnation of light-coloured schist
RGM 14091	Musonoi	heterogenite impregnation
RGM 13025	Kamoto	heterogenite concretion comprizing silicified-rock clasts (RSC)
RGM 015TW	Tilwezembe	crust-earthy material
RGM 10794	Tilwezembe	crust-earthy material

Figure 1

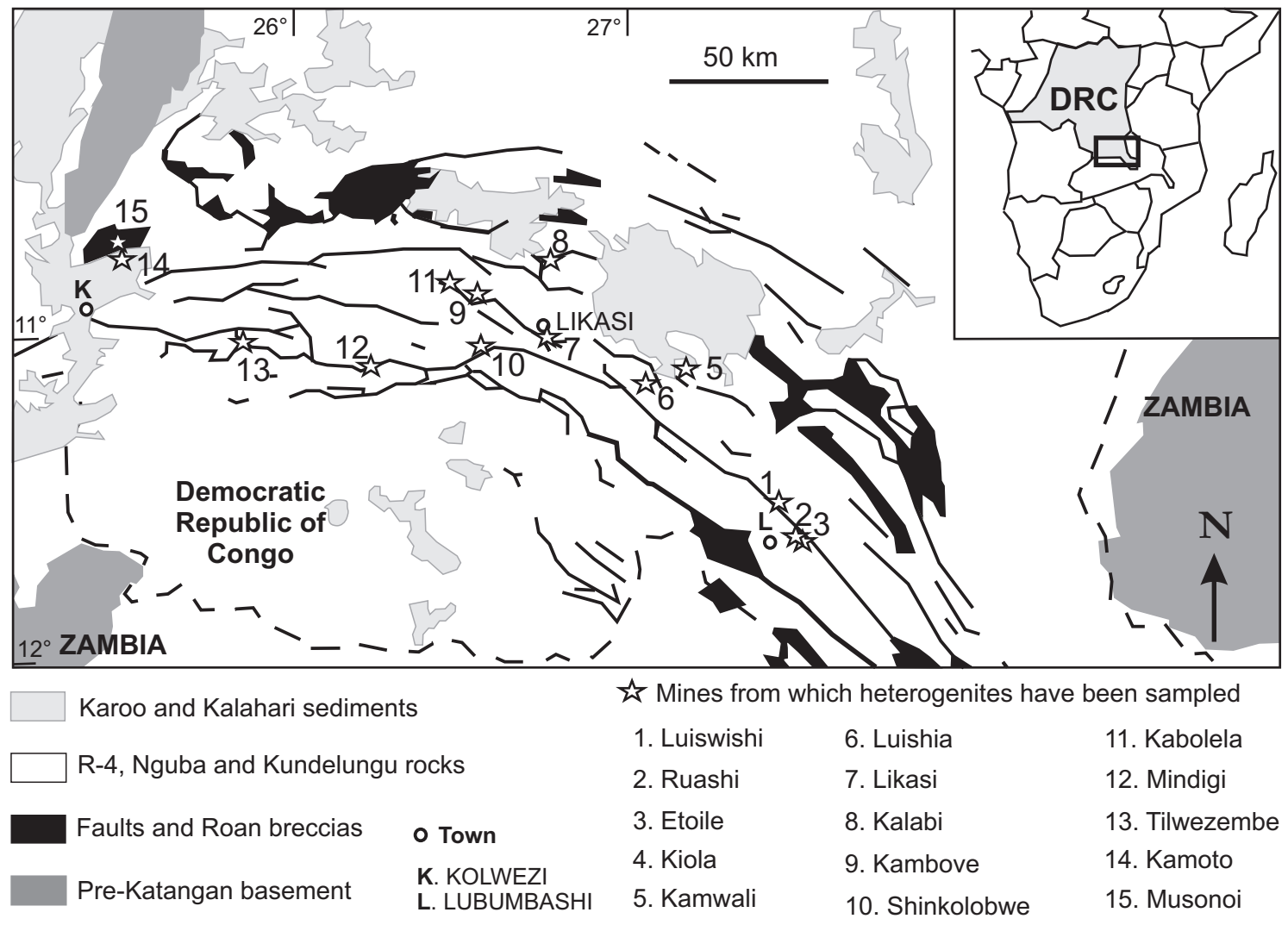


Figure 2

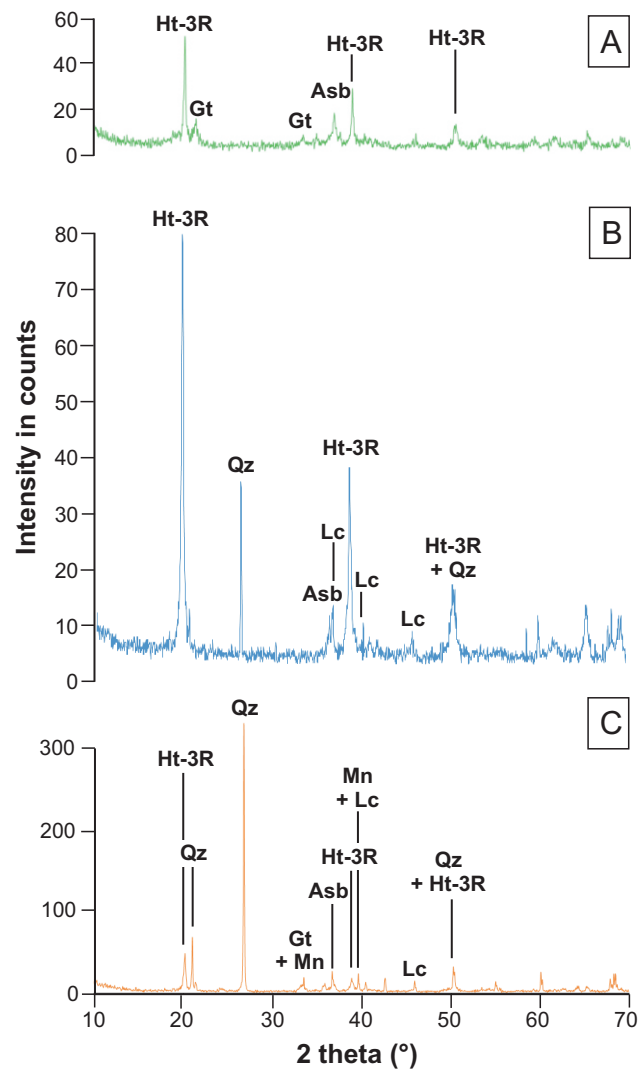


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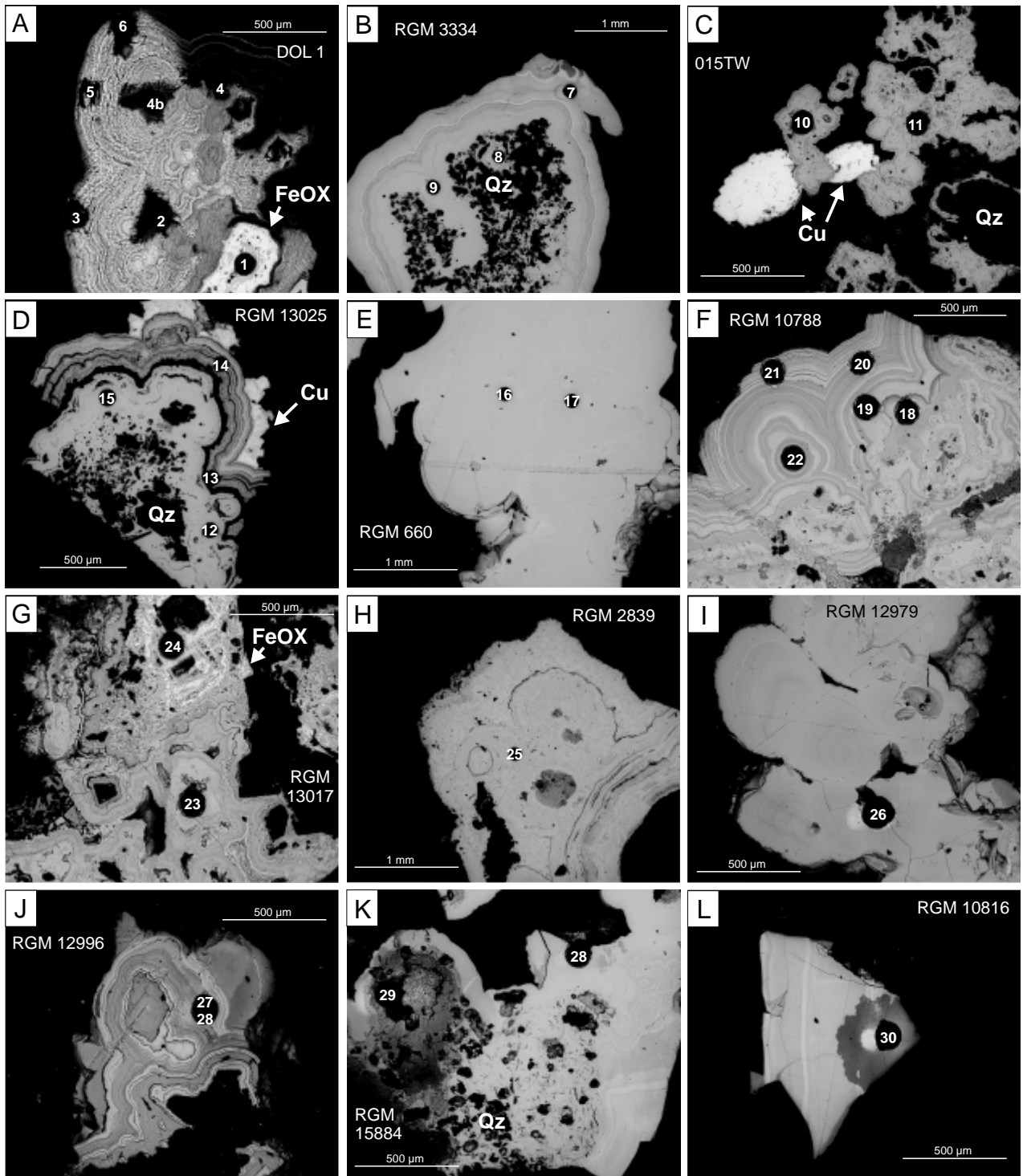


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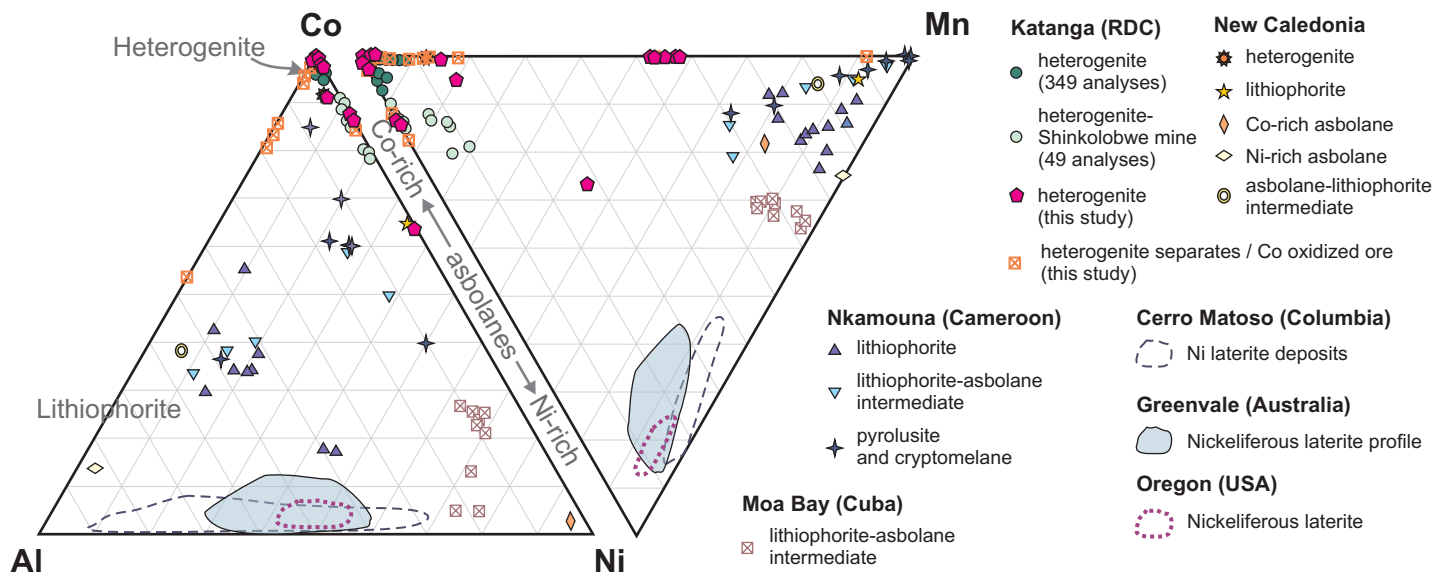


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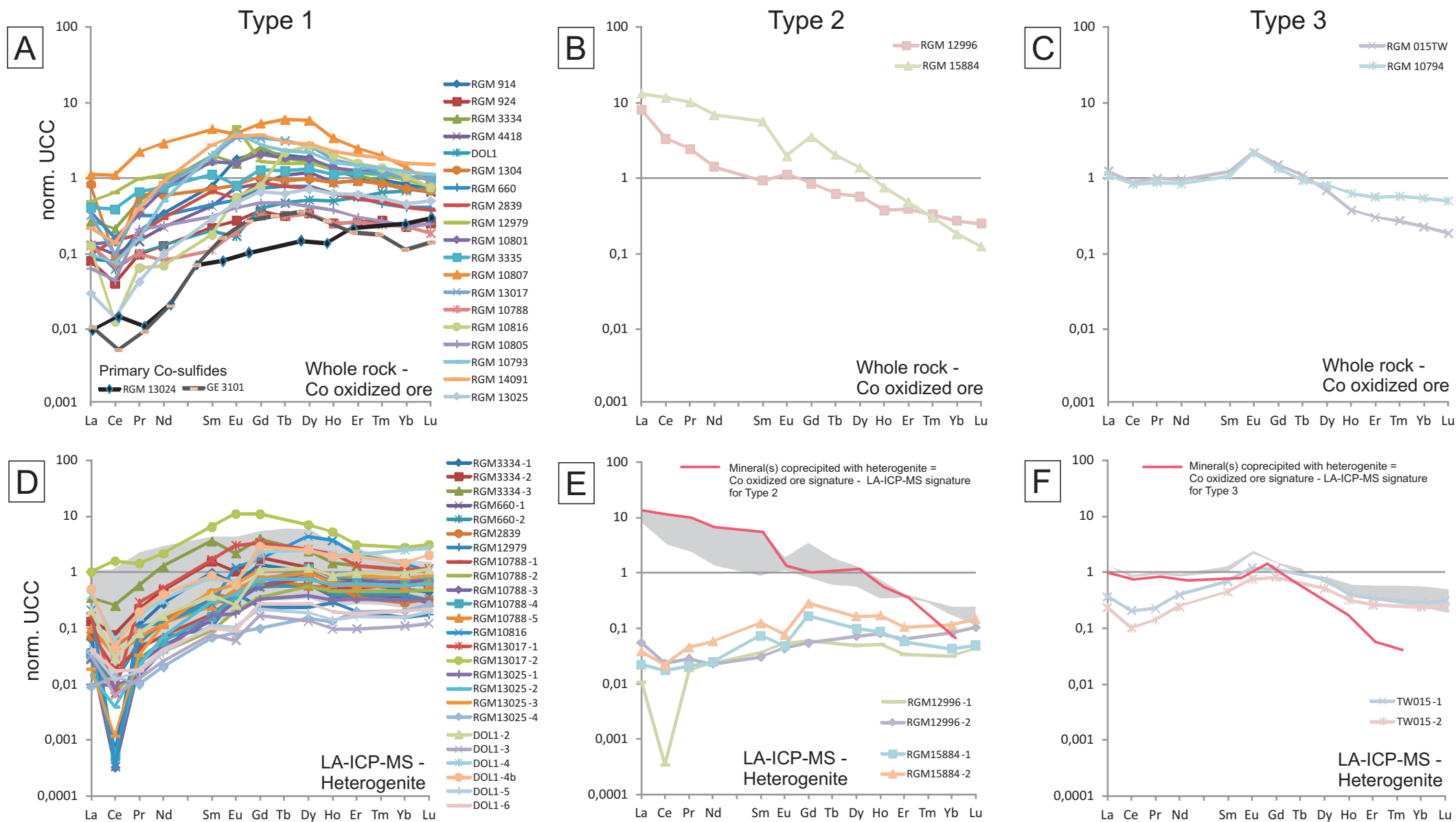


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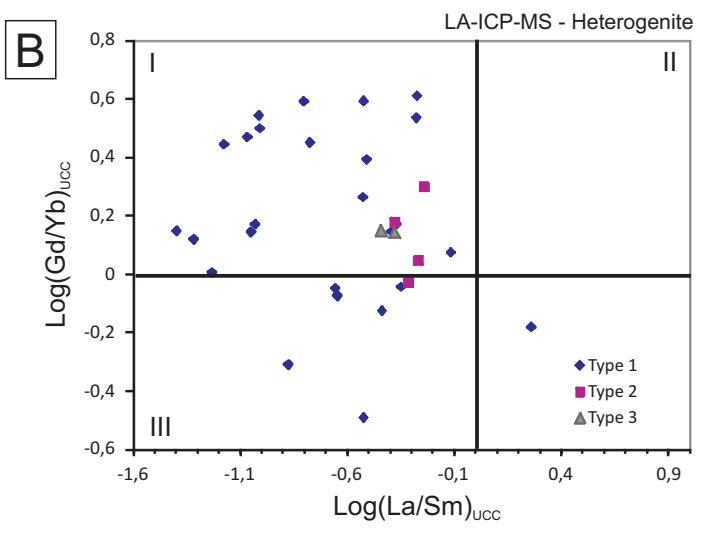
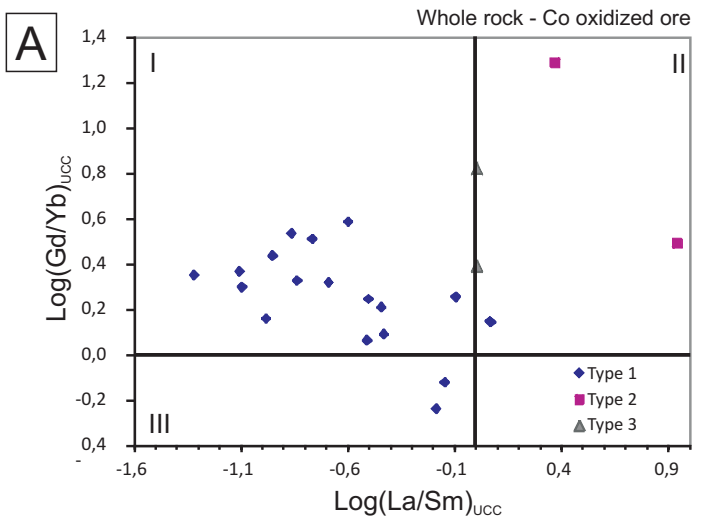


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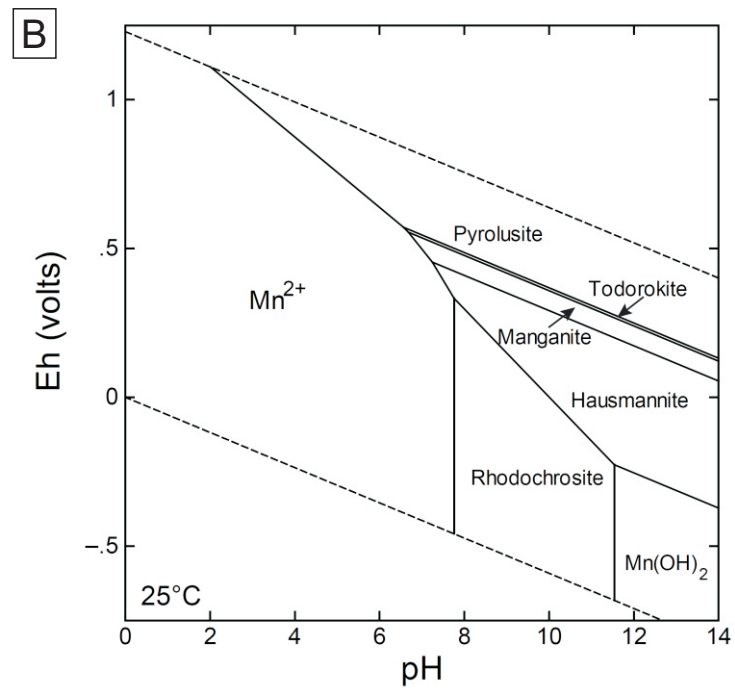
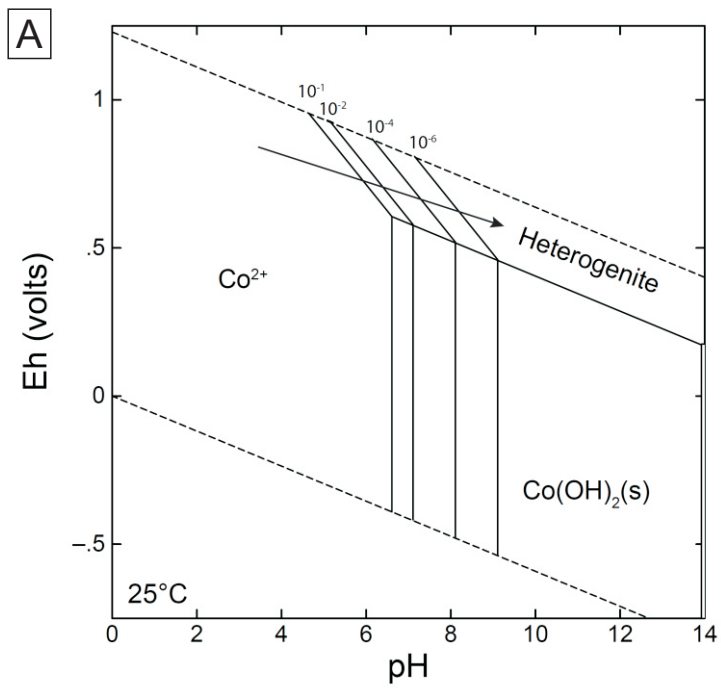


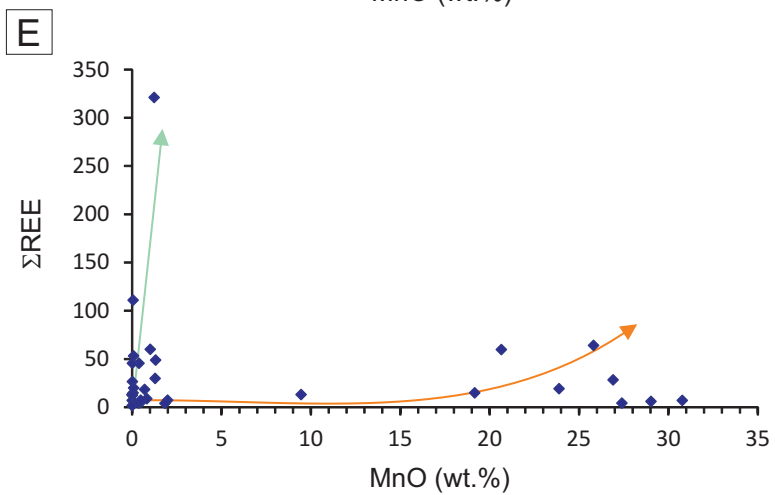
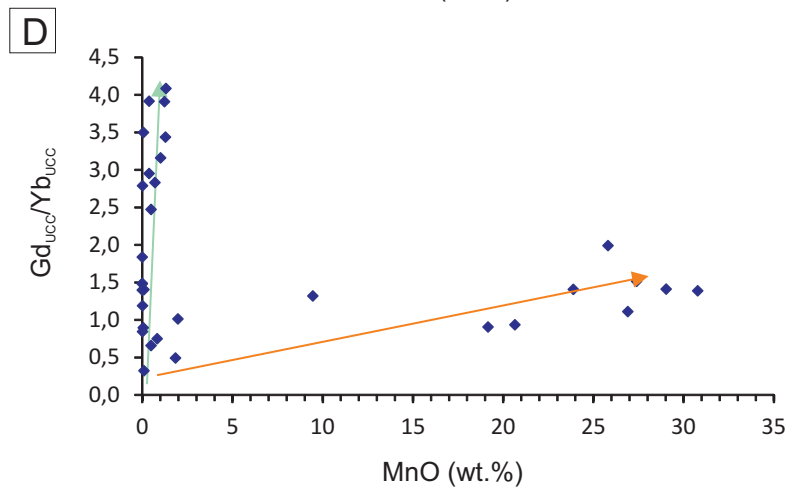
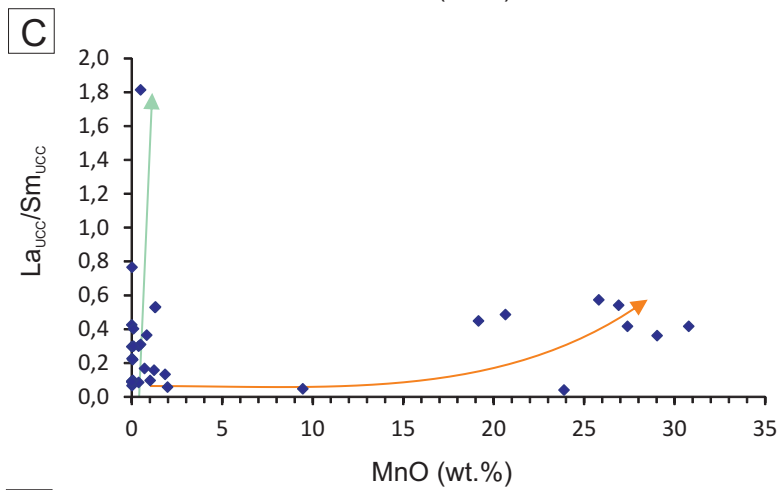
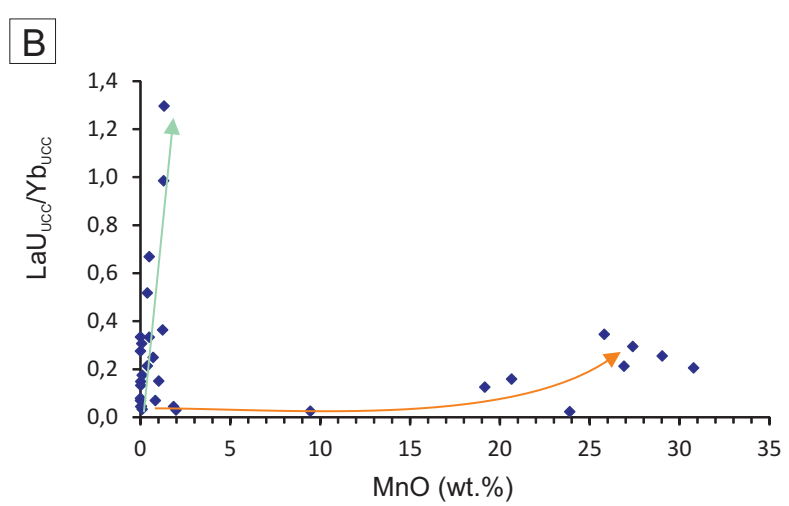
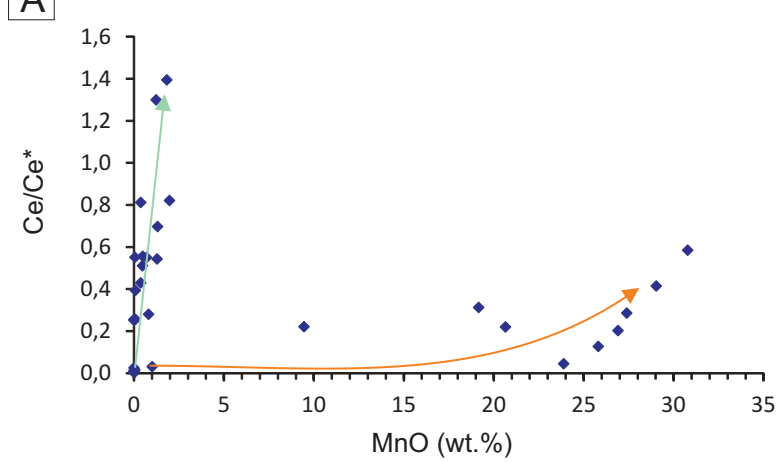
Figure 8

Figure 9

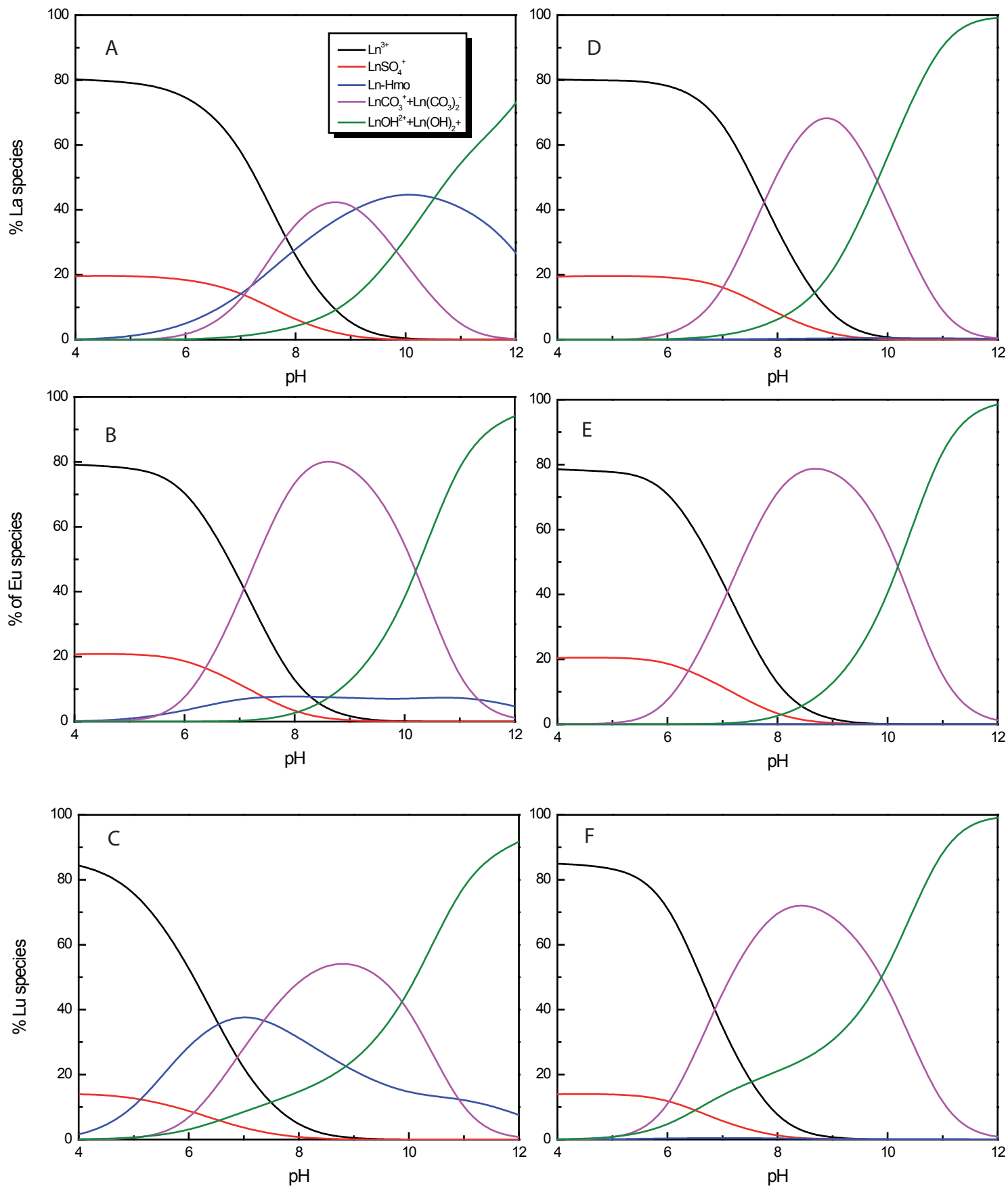
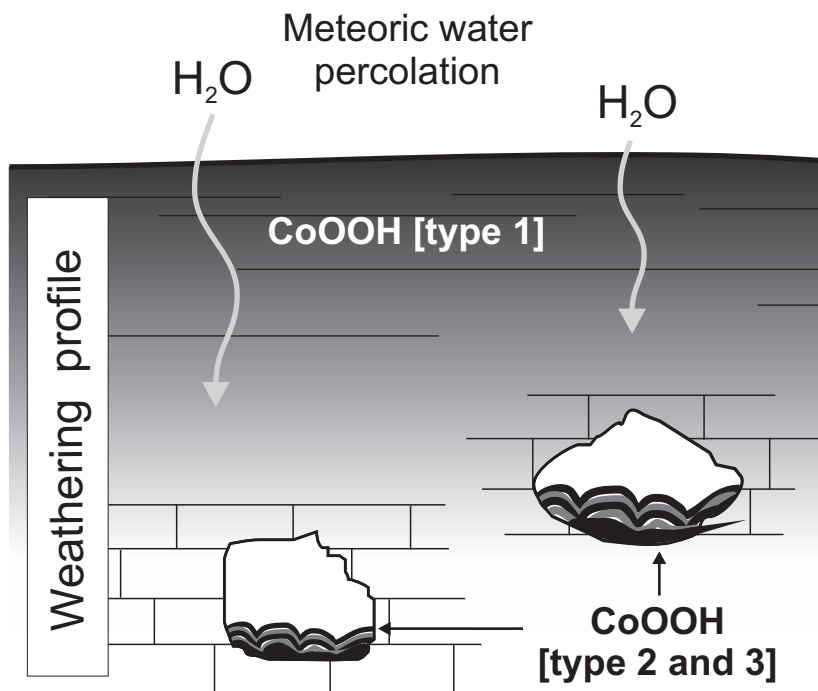
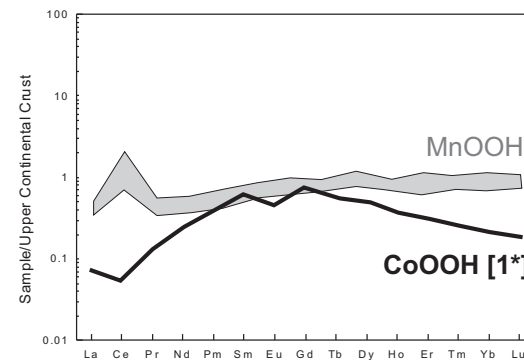


Figure 10



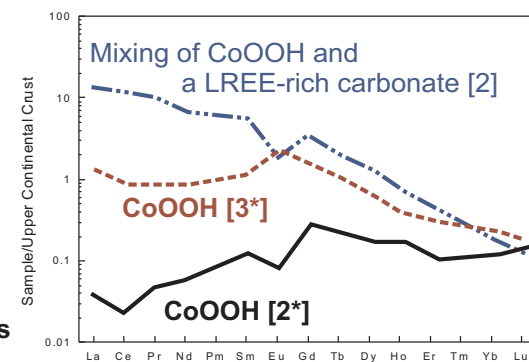
Residual deposit in near-surface environment

- Heterogenite [1] formation, in association with Mn-oxides
- Competition for REE



Host-rock (metasediments) dissolution

- Heterogenite [2 & 3] formation from a carbonate-bearing fluid
- Probable coprecipitation with a LREE-rich carbonate



[*] LA-ICP-MS analysis

Authorship Confirmation

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As corresponding author I, Sophie Decrée, hereby confirm on behalf of all authors that:

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