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A global method for handling fluorescence spectra at high concentration derived from the competition between emission and absorption of colloidal CdTe quantum dots[†]

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We investigate the effects of CdTe quantum dot (QD) concentration on their fluorescence in water. The emission spectra, acquired in right angle geometry, exhibit highly variable shapes. The measurements evidence a critical value of the concentration beyond which the intensity and the spectral bandwidth decrease and the fluorescence maximum redshifts. To account for these observations, we develop a model based on the primary and secondary inner filter effects. The accuracy of the model ensures that the concentration dependent behaviour of QDs fluorescence is completely due to inner filter effects. This result is all the more interesting that it precludes the assumption of dynamic quenching. As a matter of fact, the decreasing of the emission intensity is not a consequence of collisional quenching but an effect of competition between fluorescence and absorption. We even show that this phenomenon is linked not only to the QD concentration but also to the geometric configuration of the setup. Hence, our analytical model can be easily adapted to every fluorescence spectroscopy configuration to quantitatively predict or correct inner filter effects in the case of QDs or any fluorophore, and thus improve the handling of fluorescence spectroscopy for highly concentrated solutions.

1 Introduction

Colloidal semiconductor nanocrystals (NCs), also known as quantum dots (QDs), are highly tunable materials that undoubtedly constitute one of the most functional zero-dimension systems, characterized by atomic-like carrier densities of states ¹⁻³. Their remarkable size-dependent electronic and optical properties, added to their chemical agility, give rise to new fields of application, such as light sources ⁴⁻⁶, nonlinear optics ^{7,8}, photovoltaics ⁹, opto-electronics ¹⁰, fluorescence spectroscopy ¹¹, biosensors ¹²⁻¹⁴ and biomedical imaging ¹⁵⁻¹⁷. As fluorescent tags, QDs are especially preferred over common molecular chromophores for their greater robustness, stronger dipole moment,

higher quantum yield and longer photoluminescence decay time. As biosensors, the interest of their smallness lies in the tremendous surface-to-volume ratio that turns QDs into probes drastically sensitive to their surrounding environment. This has spurred numerous groups to examine the effect of composition, size, shape and ligand conjugation, in order to tune the properties of QDs at will, and thus allow the detection of specific molecular species ¹⁸.

Several semiconductor alloys have been intensively studied, such as PbS, PbSe 19 , CdS 20 , CdSe 8,21,22 and CdTe $^{23-25}$. First, amongst the II-VI compounds, the advantage of bulk CdTe comes from its band gap located around 1.6 eV (i.e. 775 nm) that allows thereafter tuning the energy levels of NCs in the visible spectral range. Indeed, the strong confinement of electrons and holes in CdTe QDs (whose diameters are less than 10 nm) translates into the increase of the NCs band gap 23 . Second, CdTe QDs are chemically versatile so that their surface conjugation with molecular ligands make them water-soluble 26 .

To date, many groups have focused their works on changing the composition, the size and the surface passivation of QDs in

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[†] Electronic Supplementary Information (ESI) available: Details of HR-TEM images and analysis, additional UV-vis and fluorescence spectra, calculations of concentrations and densities and full description of the method for handling fluorescence spectra. See DOI: 10.1039/b000000x/

order to study the tuning of their intrinsic properties. In the present article, we investigate the effect of the concentration of CdTe QDs in water, and hence examine their interplay in aqueous phase. Whether the knowledge of the concentration of QDs is critical for all the applications stated above, understanding the concentration dependence of their properties is also of fundamental interest. For instance, it has been evidenced that the fluorescence emission of QDs decreases and redshifts at high concentration 27,28. In this paper, we explain these observations with an analytical model based on inner filter effects, optical phenomena occurring at high concentration when the chromophores hinder the penetration of the excitation beam into the solution and absorb the fluorescence emission of their neighbours ^{29,30}. In the light of the sole Beer-Lambert law, we show for the first time how critical the competition between fluorescence and absorption is, in comparison with collisional quenching that would consist in the alteration of the quantum efficiency due to additional nonradiative decay paths³¹.

In order to reach that goal, we first perform HR-TEM and UV-visible measurements to characterize the structural properties of CdTe NCs, and then quantitatively deduce their densities and extinction cross sections. These data prove useful to model afterwards the concentration dependent fluorescence of QDs with inner filter effects. We examine two different sizes of NCs in order to ensure that the results remain valid whether the excitation beam coincides or not with the first exciton absorption peak.

2 Materials and methods

2.1 Colloidal CdTe QDs preparation

CdTe QDs were purchased from Sigma-Aldrich: Ref. 777935 CdTe core-type 25 mg and Ref. 777951 CdTe core-type 25 mg, respectively named QD520 and QD610 throughout the article given the locations of their fluorescence bands at 520 nm and 610 nm (as announced by the manufacturer) 32,33 . These commercial CdTe QDs exhibit carboxylic acid functional groups (COOH) and are provided through water-soluble powders. The stock solutions (3.2 10^{-4} M and $1.3\ 10^{-4}$ M, respectively) and the serial dilutions were prepared in Milli-Q water (18 M Ω -cm, Millipore, France). The concentrations of the two stock solutions correspond to the solubility limits of QD520 and QD610, respectively. To determine these maximum concentrations, we gradually added water until the QD powders completely dissolve. We then studied the highest possible concentrations of CdTe QDs in water.

2.2 HR-TEM

HR-TEM imaging was performed using an FEI instrument (Technai S-Twin, operating at 200 kV) with a JEOL 100CXII transmission electron microscope at an accelerating voltage of 100 kV. Drops of colloidal QDs were deposited on carbon coated copper grids. The images were processed and analyzed with ImageJ, as detailed in ESI † .

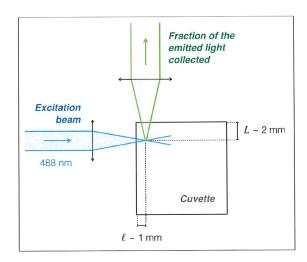


Fig. 1 Sketch of the right angle configuration used for fluorescence spectroscopy (with a 1 cm x 1 cm cuvette).

2.3 UV-visible spectroscopy

UV-visible absorbance spectra of the colloidal solutions were recorded with a Cary-5000 spectrophotometer (Agilent) in transmission through 1-cm-length PMMA cuvettes. Milli-Q water was used as a reference so that each absorbance curve gives the signal of the colloidal CdTe QDs by differential measurements.

2.4 Fluorescence spectroscopy

Fluorescence spectra were acquired using an IHR-320 spectrofluorometer (Horiba) coupled with an SR-830 lock-in amplifier (Stanford Research System). Colloidal CdTe QDs were excited at 488 nm with a Cobolt Laser and fluorescence emission was collected in conventional right angle geometry (Figure 1). We used the same PMMA cuvettes as those for UV-visible spectroscopy.

3 Results and discussion

3.1 Structural properties of CdTe QDs

HR-TEM analysis of CdTe NCs is presented in Figure 2. Electron diffraction through NCs gives access to the pattern of their reticular planes. Measuring the size of each diffraction pattern, we deduce the size distribution of the two populations of QDs. The average diameter is 3.4 ± 0.6 nm for QD520 and 3.8 ± 0.7 nm for QD610 (details of the processing are given in ESI †). As expected, the mean diameter of QD520 is smaller than that of QD610. Indeed, the energy $E_{\rm QD}$ of the first exciton absorption peak is classically related to the radius R by Equation (1) 23 :

$$E_{\rm QD} = E_{\rm g} + \frac{\hbar^2 \pi^2}{2\mu R^2} - \frac{1.786e^2}{4\pi \varepsilon_0 R} - 0.248 \,\mathcal{R},\tag{1}$$

where $E_g=1.61$ eV is the band gap energy of bulk CdTe (at 4 K), $\mu=0.077m_0$ is the reduced mass of excitons (with m_0 the electron mass) and $\mathcal{R}=10$ meV is the exciton Rydberg energy. The term in $1/R^2$ is the confinement energy, while that in 1/R corresponds to the Coulomb attraction between the electron and the

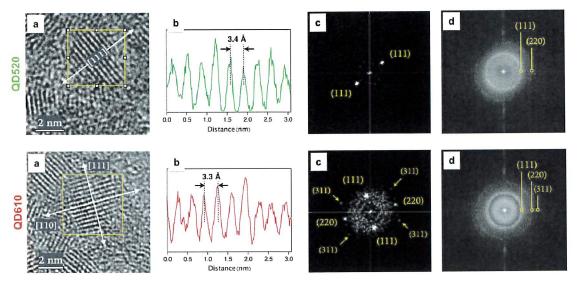


Fig. 2 (a) HR-TEM images of QD520 and QD610. The directed lines [uvw] are perpendicular to their associated reticular planes. (b) Profiles of lattice fringes along directed lines [111]. (c) FFT of unique NCs computed from the areas delimited by the yellow squares on Fig. 2a. (d) FFT of the whole HR-TEM images (available in ESI[†]).

hole. The last term eventually describes their spatial correlation. This equation derives from the effective mass approximation and has already proved improper to quantitatively account for experiment ^{34–36}. As depicted in Figure 3a, our measurements confirm that Equation (1) is not satisfactory. Moreover, whether the results we obtained for QD610 are in agreement with Ref. 34 and 35, those of QD520 are not. Hence, it must be acknowledged that the understanding of the size dependence of the QDs properties is still incomplete: the different theoretical and empirical laws which are given in the literature cannot be generalized. This deviation may be imputable to surface properties (facets, vacancies, ligand conjugation, constraints) and lattice defects, all the more critical that the NCs are small. As a consequence, we cannot rigorously deduce the size of NCs with the sole measurement of the first exciton absorption peak.

Besides the direct measurement of the NC size, HR-TEM analysis allows calculating the interplanar spacings d_{hkl} for each family (hkl) of reticular planes. Thanks to fast Fourier transforms (FFT) computed both for unique NCs (Figure 2c) and over a tenth of NCs (Figure 2d), we identified the three common diffraction peaks which correspond to the cubic zinc-blende crystal structure of CdTe thin films 37 . Figure 3b sums up the results. The values of d_{hkl} , whose calculations are detailed in ESI † , are quite smaller than that of bulk CdTe. For instance, we find $d_{111}=3.3$ Å in average whereas $d_{111}=3.7$ Å in the bulk 37 . It means that the coating of these commercial CdTe QDs exerts surface constrains on the lattice. Such small values have already been observed in the case of 4-nm core-type CdTe NCs, for which $d_{111}=3.2$ Å 38 . Since the d-spacings linearly depend on the lattice constant a according to:

$$d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}},\tag{2}$$

the linear regression drawn in Figure 3b enables us to deduce the lattice parameter a=5.86 Å (with a standard deviation of ±0.04

Å). As explained in ESI^\dagger , the knowledge of the lattice constant and the diameter of NCs allows converting mass concentrations (g/L) of CdTe (deduced from manufacturer data) into molar concentrations (M) and densities (m $^{-3}$) of QDs. These data, given in Table 1, are necessary to process absorbance measurements and compute the extinction cross section of QDs.

Table 1 Concentrations of the two prepared stock solutions. The calculations are explained in ESI[†].

	CdTe mass concentration (g/L)	QD molar concentration (M)	QD density (m ⁻³)
QD520	31.2	$3.2 \ 10^{-4}$	$1.9 \ 10^{23}$
QD610	17.8	$1.3 \ 10^{-4}$	$7.8 \ 10^{22}$

3.2 Extinction cross section of CdTe QDs

UV-visible spectra, presented in Figure 3c, evidence that the first exciton absorption peak is located at 488 nm for QD520 and 566 nm for QD610. The former are thus resonant with the excitation wavelength $\lambda_{ex}=488$ nm used for fluorescence measurements, while the latter are not.

In order to explain the concentration dependence of fluorescence spectra, we need to quantify the extinction cross section $\sigma(\lambda_{ex})$ of NCs at 488 nm. The Beer-Lambert law reads:

$$\mathscr{A}_{N}(\lambda_{ex}) = \frac{\sigma(\lambda_{ex})N\xi}{\ln 10} = \tilde{\sigma}(\lambda_{ex})N\xi, \tag{3}$$

where N is the QD density, $\xi=1$ cm the length of the cuvette used for UV-visible spectroscopy and $\mathscr{A}_N(\lambda_{ex})$ the corresponding absorbance. For simplicity, we include the factor $\ln 10$ into the quantity $\tilde{\sigma}(\lambda_{ex}) = \sigma(\lambda_{ex})/\ln 10$. In virtue of Equation (3), the knowledge of the QD densities henceforth allows extracting $\sigma(\lambda_{ex})$ from the UV-visible measurements realized for successively diluted colloidal solutions, thanks to linear regressions of $\mathscr{A}_N(\lambda_{ex})$

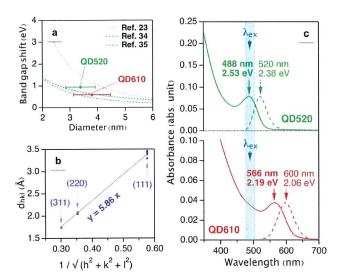


Fig. 3 (a) Band gap shift $(E_{\rm QD}-E_{\rm g})$ as a function of NC diameter. The green and red points correspond to our measurements and dashed lines to theoretical and empirical laws extracted from Ref. 23, 34 and 35. For QD520 and QD610, $E_{\rm QD}$ is deduced from their first exciton absorption peaks (Fig. 3c). (b) Linear regression of the interplanar spacing $y = d_{hkl}$ with respect to $x = 1/\sqrt{h^2 + k^2 + l^2}$. The data are detailed in ESI[†], Table A. (c) UV-visible absorption spectra of QD520 at $3.66\ 10^{20}\ \text{m}^{-3}$ and QD610 at 1.47 10^{20} m⁻³. The dashed lines correspond to the associated fluorescence spectra (respectively normalized at the first absorption peak). The blue column indicates the excitation wavelength $\lambda_{ex} = 488$ nm.

with respect to N. The extinction cross sections $\sigma(\lambda_{ex})$ are then $(5.01 \pm 0.02)~10^{-20}~\text{m}^2$ for QD520 and $(5.18 \pm 0.03)~10^{-20}~\text{m}^2$ for QD610 (data and linear regressions are featured in ESI[†]).

Concentration dependence of fluorescence

As depicted in Figures 4 and 5a, fluorescence spectra exhibit a significant concentration dependent shape (additional spectra are available in ESI[†], Figure C1). Drawing the intensity (Figure 4a), the wavelength λ_f^{\max} of maximum emission (Figure 4b), and the spectral bandwidth (Figure 4c) with respect to the QD density, we evidence a critical density $N^* \sim 10^{22} \text{ m}^{-3}$ (i.e. $\sim 20 \ \mu\text{M}$) which delimits two regimes: first, for $N < N^*$, the fluorescence intensity increases with the density whereas the bandwidth and λ_{ℓ}^{max} are quite constant; second, for $N > N^*$, the fluorescence intensity and the bandwidth decrease while λ_f^{\max} redshifts. Especially, the behaviour of λ_f^{max} can be fitted by piecewise functions of the form:

$$y(x) = \begin{cases} c & \text{if } x \leq N^* \\ a\log x + b & \text{if } x > N^* \end{cases}, \tag{4}$$

where a, b and c are fitting parameters. For QD520 and QD610, we respectively determine:

$$\lambda_f^{\text{max}}(N) = \begin{cases} 521 & \text{if } N \leq 9 \ 10^{21} \ \text{m}^{-3} \\ 23.11 \log N + 13.74 & \text{if } N > 9 \ 10^{21} \ \text{m}^{-3} \end{cases}, (5)$$

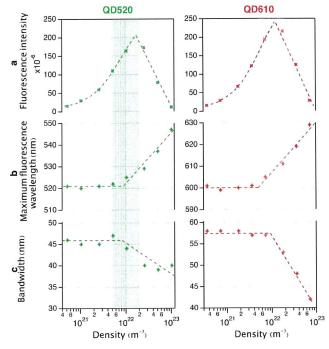


Fig. 4 (a) Maximum of fluorescence intensity with respect to QD density. (b) Location of the maximum of fluorescence with respect to QD density. (c) Full width at half maximum of fluorescence spectra with respect to QD density. The dashed lines in (a) and (c) are drawn to guide the eye while those in (b) are fits to the experimental data, whose equations are given in the form of Eq. (4). The colored areas locate the critical regime. (The fluorescence spectra from which these measurements are directly extracted all feature in ESI[†], Figure C1.)

and:

$$\lambda_f^{\text{max}}(N) = \begin{cases} 600 & \text{if } N \le 5 \ 10^{21} \ \text{m}^{-3} \\ 23.08 \log N + 99.16 & \text{if } N > 5 \ 10^{21} \ \text{m}^{-3} \end{cases}. \tag{6}$$

In particular, we can notice that the fluorescence band of QD610 at low concentration is located at 600 nm, contrary to the 610 nm announced by the manufacturer33.

Although this behaviour has already been observed for CdTe NCs 28, the inner filter effects have never been used to give a full and quantitative account of such a concentration dependence. In the following, we describe and implement these effects and show they completely account for the observations.

3.4 Implementing inner filter effects

As sketched up in Figure 1, the excitation light beam which illuminates the colloidal solutions of CdTe QDs is focused in the cuvette after a pathlength $\ell \sim 1$ mm. The intensity is partly absorbed throughout this pathlength so that the fluorescence induced by the excitation beam for each QD decreases when the concentration of QDs increases. Here manifests the primary inner filter effect (PIFE) as a result of the optical absorption of the QDs in the excitation wavelength range 30. Moreover, after it is emitted, the fluorescence can be re-absorbed. This secondary inner filter effect (SIFE), due to the QDs absorption in the emission range, implies an additional decrease of the fluorescence intensity ³⁰.

In order to model these two inner filter effects, we first express the excitation beam intensity $I(\lambda_{ex})$ at the observed point, i.e. after a pathlength of ℓ , thanks to the Beer-Lambert law:

$$I(\lambda_{ex}) = I_0 \ 10^{-\tilde{\sigma}(\lambda_{ex})N\ell},\tag{7}$$

where I_0 is the incident beam intensity. The fluorescence intensity $F_N'(\lambda_f)$ at the emission wavelength λ_f is then proportional to (i) the excitation beam intensity $I(\lambda_{ex})$ at the observed point, (ii) the absorption cross section $\sigma_{\text{abs}}(\lambda_{ex})$, (iii) the fluorescence quantum yield ϕ_f , and (iv) the density N of QDs:

$$F_N'(\lambda_f) \propto I(\lambda_{ex}) \ \sigma_{abs}(\lambda_{ex}) \ \phi_f \ N.$$
 (8)

Hence, the PIFE can be modeled by the following equation:

$$F_N'(\lambda_f) = f_0(\lambda_{ex}) N 10^{-\tilde{\sigma}(\lambda_{ex})N\ell}, \tag{9}$$

where $f_0(\lambda_{ex})$ is an intensive quantity representing the fluorescence intensity at $\ell=0$ for a unit density solution, thus not depending on N. Since the fluorescence is collected through a pathlength of $L\sim 2$ mm (Figure 1), the SIFE leads to the measured intensity $F_N(\lambda_f)$ given by:

$$F_N(\lambda_f) = F_N'(\lambda_f) \ 10^{-\tilde{\sigma}(\lambda_f)NL},\tag{10}$$

i.e.

$$F_N(\lambda_f) = f_0(\lambda_{ex}) N 10^{-N[\tilde{\sigma}(\lambda_{ex})\ell + \tilde{\sigma}(\lambda_f)L]}.$$
 (11)

To eliminate $f_0(\lambda_{ex})$, we assume that the fluorescence spectrum $F_{N_0}(\lambda_{ex})$ corresponding to the QD density N_0 is known and can be considered as a reference spectrum:

$$F_N(\lambda_f) = F_{N_0}(\lambda_f) \frac{N}{N_0} 10^{-(N-N_0)[\tilde{\sigma}(\lambda_{ex})\ell + \tilde{\sigma}(\lambda_f)L]}.$$
 (12)

Even if we precisely determined $\tilde{\sigma}(\lambda_{ex})$ for both QD520 and QD610, we also need to compute $\tilde{\sigma}(\lambda_f)$ for every λ_f . To do so, we use the absorbance spectra given in Figure 3c:

$$\tilde{\sigma}(\lambda_f) = \frac{\mathscr{A}_{\text{ref}}(\lambda_f)}{N_{\text{ref}}\,\xi},\tag{13}$$

where $\mathcal{A}_{\rm ref}(\lambda)$ is the absorbance spectrum thus taken as reference and $N_{\rm ref}$ the corresponding density. Here, the chosen reference absorbance spectra correspond to $N_{\rm ref}=3.66~10^{20}~{\rm m}^{-3}$ for QD520 and $N_{\rm ref}=1.47~10^{20}~{\rm m}^{-3}$ for QD610.

The results of this model are given in Figure 5. The fluorescence spectra of the lowest concentrations have been chosen as references (i.e. $F_{N_0}(\lambda_f)$) to model the fluorescence spectra of the highest concentrations: unlike the latter, the former are indeed supposed to be barely affected by PIFE and SIFE so that they constitute the most appropriate reference spectra for fluorescence. Moreover, since we cannot precisely measure the lengths ℓ and L, we consider them as fitting parameters. The two modeling curves presented in Figure 5a are obtained for the parameters given in Table 2. They show how accurate our computations are. Indeed, they account for the redshift of fluorescence spectra and

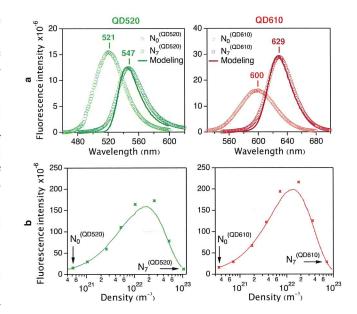


Fig. 5 (a) Fluorescence spectra of QD520 and QD610 at two different concentrations, denoted by N_0 and N_7 . The circles correspond to the measurements and the solid lines to the model of Eq. (12). The modeling of $N_7^{(\text{QD520})}$ and $N_7^{(\text{QD610})}$ is obtained by taking $N_0^{(\text{QD520})}$ and $N_0^{(\text{QD610})}$ as reference spectra. (b) Modeling of the maximum of fluorescence intensity with respect to QD density. The dots correspond to the experimental data given in Fig. 4a.

	ℓ (mm)	L (mm)
QD520	0.77	2.2
QD610	0.85	1.5

Table 2 Fitting parameters ℓ and L. The two sets of parameters are not strictly equal because we slightly changed the position of the cuvette between the two sets of measurements to optimize the fluorescence signal.

the decreasing of their bandwidth. These two effects are direct consequences of SIFE. They come from the overlap between the absorbance and fluorescence spectra, clearly visible in Figure 3c: at high concentration, the left sides of the fluorescence spectra are cut off by the absorption, hence their redshift and the decreasing of their bandwidth. Furthermore, as depicted in Figure 5b, the maximum fluorescence intensity $F_N(\lambda_f^{\rm max})$ is also well described by combining Equation (12) with Equations (5) and (6). In particular, we can extract from Equation (12) the exact expression of the critical density N^* :

$$\frac{\partial F_N}{\partial N}\Big|_{N^*} = 0 \quad \Longrightarrow \quad N^* = \frac{1}{\tilde{\sigma}(\lambda_{ex})\ell + \tilde{\sigma}(\lambda_f)L},$$
 (14)

which generalizes the expression given in Ref. 30 and highlights the role of the geometric configuration through the two parameters ℓ and L. In the case of brighter QDs (with greater absorption cross sections), we notice that N^* would decrease: the more QDs absorb light, the smaller the critical density is, and the stronger inner filter effects are. Equation (14) thus supports our understanding of the phenomenon. As a result, PIFE and SIFE completely account for the concentration dependent behaviour of QDs fluores-

cence. It then does not prove necessary to describe the decreasing of fluorescence at high concentration by collisional quenching. This mechanism is indeed often invoked. It is based on the assumption that a collision between two particles, of which one is a fluorophore in its excited state, can lead to the non-radiative relaxation of this latter 31 so that its lifetime (the inverse quantity of the spectral bandwidth) and its quantum efficiency (to which the fluorescence intensity is proportional) decrease. In our case, QDs could be their own quenchers. Since the probability of a two-particle collision is proportional to the particle density, we could attempt to account for the decreasing of the QDs fluorescence intensity at high concentration in virtue of such a mechanism. But the Stern-Volmer equation that theoretically describes collisional quenching indicates that the spectral bandwidth γ is related to the particle density N by Equation (15) 31 :

$$\gamma = \gamma_0 + k_q N,\tag{15}$$

where γ_0 is the spectral bandwidth in absence of collision, and $k_q > 0$ the two-particle quenching constant. Incidentally, if the present concentration dependent behaviour of QDs was linked to collisional quenching, the bandwidth of their fluorescence would be expected to increase. But fluorescence spectroscopy shows a decreasing of the bandwidth that the implementation of inner filter effects fits very well. Therefore, the intrinsic properties of QDs are not affected by their concentration: individually, they preserve their optical and then quantum properties.

Last but not least, this study also provides a simple and flexible procedure to handle fluorescence spectra in the case of highly concentrated samples. A generalization of the method is summarized in ESI^\dagger for right angle, front-face and collinear configurations (Sections D and E), itemizing all the steps of computation so that everyone can apply it for their own setup and experiment.

4 Conclusion

We have demonstrated the relevance of inner filter effects to explain both the decreasing of fluorescence at high concentration and its spectral redshift. Contrary to the explanations often put forward, this decreasing is mainly due to the competition between fluorescence and absorption: we do not need to invoke collisional quenching to account for these observations.

Furthermore, regarding the concentration dependent redshift of fluorescence, it would be tempting to consider it universal. Though, this redshift is a direct consequence of SIFE and thus depends on both the geometry of the system (with ℓ and L) and the presence of other chromophores, so that the relations which give λ_f^{\max} as a function of the QD density cannot be used to deduce the QD concentration from the measurement of λ_f^{\max} in an unknown solution. Contrary to what is commonly asserted, it is impossible to provide any general calibration curve operable for fluorescence biosensing. Instead, our method can be adapted to every configuration and every fluorophore, provided the absorbances of all the present chromophores are known.

Conflicts of interest

There are no conflicts of interest to declare.

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A modeling of quantum dots' fluorescence spectra altered by inner filter effects is applicable to any type of fluorophores.

