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Article

# Spontaneous Brillouin Scattering Spectrum and Coherent Brillouin Gain in Optical Fibers

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**Abstract:** Brillouin light scattering describes the diffraction of light waves by acoustic phonons, originating from random thermal fluctuations inside a transparent body, or by coherent acoustic waves, generated by a transducer or from the interference of two frequency-detuned optical waves. In experiments with optical fibers, it is generally found that the spontaneous Brillouin spectrum has the same frequency dependence as the coherent Brillouin gain. We examine the origin of this similarity between apparently different physical situations. We specifically solve the elastodynamic equation, giving displacements inside the body, under a stochastic Langevin excitation and in response to a coherent optical force. It is emphasized that phase matching is responsible for temporal and spatial frequency-domain filtering of the excitation, leading in either case to the excitation of a Lorentzian frequency response solely determined by elastic loss.

**Keywords:** stimulated Brillouin scattering; noise initiation; spontaneous Brillouin scattering; Brillouin gain

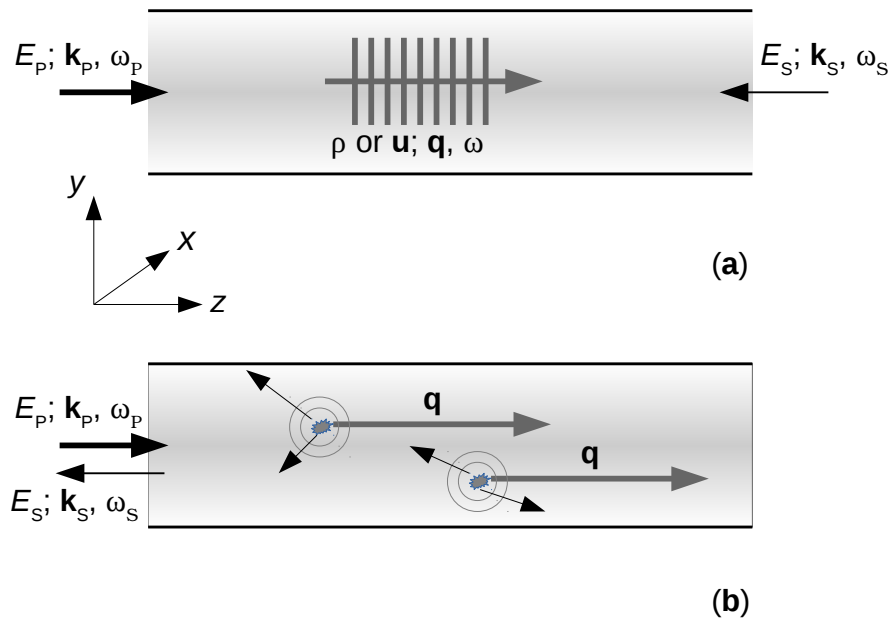
## 1. Introduction

Brillouin light scattering (BLS) [1] and stimulated Brillouin scattering (SBS) [2] are extensively studied in optical fibers and guided optics for fundamentals [3–6] and applications [7–9]. The usual model for SBS [10] describes the acoustic phonons or waves involved in the interaction as density fluctuations, similar to models of acoustic waves in fluids. In optical fibers, generally composed of solid materials such as fused silica, the same model is used as well and is known to faithfully explain experimental observations, providing it is understood to apply to longitudinal acoustic phonons or elastic waves only. The main achievements of the usual model include the explanation of the coherent Brillouin gain and the exponential growth of SBS [11,12], with noise initiation of SBS described by random fluctuations generating thermal acoustic phonons [13].

Recently, this model has been enriched because of new observations involving the polarization of elastic waves in solids: the whole family of normal modes of an optical fiber are involved in guided acoustic wave Brillouin scattering (GAWBS) [14–16]; hybrid phonons having coupled longitudinal and shear polarization have been observed in photonic crystal fibers [17]; anisotropic guided acousto-optical diffraction has been observed with polarized optical waves [18]; and all-optical generation of surface acoustic waves have been observed in microwires, tapered optical fibers and optical waveguides [19–21]. As a note, the vector polarization of elastic waves had long before been considered for the description of BLS and SBS in solids and high viscosity liquids interrogated with freely propagating light [22–24].

In order to describe BLS in optical fibers with general elastic waves and acoustic phonons, we have previously introduced a model where vector displacements satisfy an elastodynamic equation subject to a driving electrostriction force [25,26]. In this paper, we re-examine noise initiation of SBS,

i.e., spontaneous BLS, in the light of this vector elastodynamic model. Of particular interest is the coincidence of the spontaneous BLS spectrum, resulting from random fluctuations inside the solid body, with the coherent Brillouin gain, applying to wave mixing (see Figure 1). Are they always proportional and under which conditions? As we show in the following, the experimental situations where either isolated elastic modes are present or a continuum of bulk elastic waves exists must be treated differently.



**Figure 1.** Brillouin light scattering (BLS) in an optical fiber or an optical waveguide, in the counter-propagating interaction setting. (a) The coherent mixing of two optical waves produces a dynamical acoustic wave grating satisfying the phase-matching conditions  $\omega = \omega_p - \omega_s$  and  $q = k_p - k_s$ . The signal wave can experience a gain from BLS of the pump wave on the dynamic grating. (b) Spontaneous Brillouin scattering occurs for photons of an incident optical wave on thermally generated acoustic phonons. The random phonons are modeled as arising from a stochastic body force distributed in the whole solid. Some of them pick up the phase-matched wavevector  $q$ .

## 2. Results

### 2.1. The Scalar Model of Stimulated Brillouin Scattering

The usual model of stimulated Brillouin scattering is based on the works of Kroll [11], Tang [12], and Boyd et al. [10,13], among others. This theory is expressed for optical plane waves, i.e., the transverse variations of the optical fields are considered unimportant or known in the form of a guided modal shape [18]. Isotropic propagation and linear polarization are also implied. The total optical field is written

$$E(z, t) = E_p(z, t) + E_s(z, t). \quad (1)$$

with the pump (P) and the signal (S) components given by

$$E_p(z, t) = A_p(z, t) \exp(i(\omega_p t - k_p z)) + c.c., \quad (2)$$

$$E_s(z, t) = A_s(z, t) \exp(i(\omega_s t + k_s z)) + c.c., \quad (3)$$

where  $A_p(z, t)$  and  $A_s(z, t)$  are slowly-varying amplitudes around carrier plane waves with given frequency and wavenumber. Referring to Figure 1, the pump wave travels to the right while the

signal wave travels to the left. The notations  $k_P = |\mathbf{k}_P|$  and  $k_S = |\mathbf{k}_S|$  are used. Coupled optical wave equations are obtained by neglecting second-order and higher terms and read

$$\frac{\partial A_P}{\partial z} + \frac{n}{c} \frac{\partial A_P}{\partial t} = \frac{-i\gamma\omega_P}{2\rho_0nc} A_a A_S, \tag{4}$$

$$-\frac{\partial A_S}{\partial z} + \frac{n}{c} \frac{\partial A_S}{\partial t} = \frac{-i\gamma\omega_S}{2\rho_0nc} A_a^* A_P, \tag{5}$$

where  $A_a$  is a slowly-varying acoustic amplitude introduced as

$$\rho(z, t) = A_a(z, t) \exp(i(\omega t - qz)) + \text{c.c.} \tag{6}$$

The fluctuations of mass density accompanying the acoustic wave or the acoustic phonons,  $\rho(z, t)$ , are thus also modeled as plane waves with a slowly-varying amplitude.  $n$  is the index of refraction,  $c$  the speed of light in vacuum,  $\rho_0$  is the mass density, and  $\gamma$  is the electrostriction coefficient. Phase-matching is strictly imposed through relations  $q = k_P + k_S$  and  $\omega = \omega_P - \omega_S$ .

The density fluctuations also satisfy a scalar wave equation

$$\frac{\partial^2 \rho}{\partial t^2} - \Gamma' \frac{\partial}{\partial t} \frac{\partial^2 \rho}{\partial z^2} - v^2 \frac{\partial^2 \rho}{\partial z^2} = -\frac{\gamma}{4\pi} \frac{\partial^2}{\partial z^2} E^2 + f_a(z, t), \tag{7}$$

where  $v$  is the longitudinal velocity,  $\Gamma'$  is a viscosity constant, and  $f_a$  is a random force giving rise to thermal phonons. The acoustic amplitude follows the coupled-wave equation

$$\frac{\partial A_a}{\partial t} + \frac{1}{2}\Gamma A_a + v \frac{\partial A_a}{\partial z} = \frac{-i\gamma q^2}{8\pi\omega_B} A_P A_S^* + f(z, t). \tag{8}$$

with the Brillouin frequency  $\omega_B = vq$ ,  $\Gamma = \Gamma'q^2$ , and  $f_a(z, t) = 2i\omega f(z, t) \exp(i(\omega t - qz)) + \text{c.c.}$  In what follows, we will implicitly make the undepleted pump assumption, i.e.,  $A_P$  is a constant, since we are mostly interested in spontaneous scattering and small signal gain.

### 2.2. Langevin Noise Initiation

It is customary to neglect acoustic wave propagation compared to optical wave propagation, since  $v \ll c$ . Hence, the explicit  $z$  dependence of the acoustic amplitude and of the random function  $f$  can be dropped. In this case, Equation (8) limited to stochastic excitation resembles the 1D Langevin equation describing a random walk [13]

$$\frac{\partial A_a(t)}{\partial t} + \frac{1}{2}\Gamma A_a(t) = f(t). \tag{9}$$

Assuming the stochastic force has a Gaussian white noise probability distribution we have

$$\langle f(t) \rangle = 0, \quad \langle f(t) f^*(t') \rangle = Q\delta(t - t'). \tag{10}$$

Boyd et al. enigmatically state that: “By introducing the formal solution of Equation (9) into the left-hand side of Equation (10), we find that

$$\langle A_a(t) A_a^*(t) \rangle = \frac{Q}{\Gamma}.” \tag{11}$$

(Notations have been slightly altered to serve our purpose without changing the original meaning.) While the result is certainly correct, the derivation is not obvious in our opinion and merits some further scrutiny.

Let us first examine the coherent response, i.e., when  $f(t)$  is a deterministic force and not a random noise. Assuming  $f(t)$  is sufficiently regular to have a Fourier transform  $\hat{f}(\xi)$ , it immediately follows from (9) that

$$\hat{A}_a(\xi) = \frac{\hat{f}(\xi)}{2i\pi\xi + \frac{1}{2}\Gamma}. \tag{12}$$

For an impulse ( $f(t) = \delta(t)$ ,  $\hat{f}(\xi) = 1$ ), the coherent response is  $A_a(t) = H(t) \exp(-\frac{\Gamma}{2}t)$ , with  $H(t)$  the Heaviside distribution. Hence the intensity of the response would instantly jump to 1 at  $t = 0$  and then decrease with positive times with an  $\exp(-\Gamma t)$  dependence. Alternatively, for an applied force  $f(t) = 1 - H(t)$  (a force held constant at value 1 until it is instantly removed at  $t = 0$ ), we have  $\hat{f}(\xi) = \frac{1}{2}\delta(\xi) - \frac{1}{2i\pi\xi}$  and then  $A_a(t) = \frac{2}{\Gamma}(1 - H(t) + H(t) \exp(-\frac{\Gamma}{2}t))$ . Hence, the coherent response would be constant ( $=2/\Gamma$ ) for  $t \leq 0$  and would then decrease exponentially with positive times. The intensity would again decrease with positive times with an  $\exp(-\Gamma t)$  dependence.

Obviously, the previous deterministic analysis does not hold for the noise driven equation. There is no reason that we can select an initial value at  $t = 0$  nor any way to abruptly switch on and off the driving noise. Furthermore, there is no mathematical guarantee that the Fourier transform of the random variable  $f(t)$  can even be defined. We can instead rely on the Wiener-Khinchin theorem: the Fourier transform (FT) of the autocorrelation function is the power spectral density (PSD). The autocorrelation function of the noise source is  $\langle f(t)f^*(t + \tau) \rangle$  whose Fourier transform is  $S_f(\xi) = Q$  according to Equation (10). The power spectral density and autocorrelation function of the stochastic response are then

$$S_{A_a}(\xi) = \frac{Q}{|2i\pi\xi + \frac{1}{2}\Gamma|^2}, \quad \langle A_a(t)A_a^*(t + \tau) \rangle = \frac{Q}{\Gamma} \exp\left(-\frac{\Gamma}{2}|\tau|\right). \tag{13}$$

Note the appearance of the absolute value of the delay time  $\tau$ , implying that the stochastic response is as much correlated to its past as to its future values. Note also that the power spectral density is the quantity that can be measured by a spectrum analyzer. The square modulus of the coherent response,  $|\hat{A}_a(\xi)|^2 = |\hat{f}(\xi)|^2 |2i\pi\xi + \frac{1}{2}\Gamma|^{-2}$ , has the same symmetric Lorentzian spectral shape as the power spectral density when  $|\hat{f}(\xi)|^2$  is a sufficiently smooth function.

### 2.3. Elastodynamic Equation and Coherent Response

As we outlined in the introduction, the material density  $\rho(t)$  cannot physically describe acoustic phonons nor elastic waves in solids, because these have a polarization. Instead, we should consider the elastodynamic equation as a replacement for (7). We write this equation [25,26]

$$-\nabla \cdot (c' \nabla \mathbf{u}) + \rho_0 \frac{\partial^2 \mathbf{u}}{\partial t^2} = \mathbf{f}_{es} + \mathbf{f}_a, \tag{14}$$

where  $\mathbf{u}$  is the displacement vector,  $c'$  is the elastic tensor including a viscoelastic contribution describing material loss, and  $\mathbf{f}_{es}$  is the deterministic force resulting from electrostriction. We consider only the coherent response in this section, i.e., we first take  $\mathbf{f}_a = 0$ . In a Brillouin scattering experiment, the acoustic wavenumber  $q$  is imposed by phase matching along a given direction  $z$ , e.g., the axis of an optical fiber. The acoustic or phonon frequency, however, remains free. We consider the temporal Fourier transform of (14)

$$-\nabla \cdot ((c + i\omega\mu) \nabla \hat{\mathbf{u}}) - \rho_0 \omega^2 \hat{\mathbf{u}} = \hat{\mathbf{f}}_{es}. \tag{15}$$

Implicitly, all terms with a tilde ( $\hat{\cdot}$ ) in (15) have an  $\exp(i(\omega t - qz))$  dependence and the nabla operator  $\nabla = \nabla_t - iqz$  with  $\nabla_t$  the transverse gradient and  $\mathbf{z}$  a unit vector along the axis. The phonon

viscosity tensor  $\mu$  accounts for polarization dependent propagation loss. Next we assume the vicinity of a mode  $\mathbf{u}_0(\mathbf{r})$  of the homogeneous part of (15) as defined by

$$-\nabla \cdot (c\nabla\mathbf{u}_0) = \rho_0\omega_0^2\mathbf{u}_0. \tag{16}$$

For frequencies close to the mode frequency, we assume  $\hat{\mathbf{u}} = \hat{a}\mathbf{u}_0$  with  $a(t)$  a scalar amplitude. Writing  $\omega = \omega_0 + \delta\omega$ , we have  $\omega^2 \approx \omega_0^2 + 2\omega_0\delta\omega$  and then

$$-\hat{a}(\nabla \cdot (i\omega_0\mu\nabla\mathbf{u}_0) + 2\omega_0\delta\omega\rho_0\mathbf{u}_0) = \hat{\mathbf{f}}_{\text{es}}. \tag{17}$$

Taking the scalar product with  $\mathbf{u}_0$  and integrating over a transverse cross-section

$$\hat{a} \left( \int_{\Omega} \nabla\mathbf{u}_0\mu\nabla\mathbf{u}_0 + i\delta\omega \int_{\Omega} \rho_0|\mathbf{u}_0|^2 \right) = \frac{i}{2\omega_0} \int_{\Omega} \hat{\mathbf{f}}_{\text{es}} \cdot \mathbf{u}_0. \tag{18}$$

We note that  $E = \frac{1}{2} \int_{\Omega} \rho_0\omega^2|\mathbf{u}|^2 + \frac{1}{2} \int_{\Omega} \nabla\mathbf{u}c\nabla\mathbf{u}$  is the energy density carried by an elastic wave with displacements  $\mathbf{u}$  at frequency  $\omega$ . The kinetic and potential energy terms are actually equal for a mode of propagation and we thus note  $E_0 = \int_{\Omega} \rho_0\omega_0^2|\mathbf{u}_0|^2$ . We then recover the result (12) with the identifications

$$i\delta\omega = 2i\pi\zeta, \quad \frac{\Gamma}{2} = \omega_0 \frac{\int_{\Omega} \nabla\mathbf{u}_0\omega_0\mu\nabla\mathbf{u}_0}{E_0}, \quad \hat{f} = \frac{i\omega_0}{2E_0} \int_{\Omega} \hat{\mathbf{f}}_{\text{es}} \cdot \mathbf{u}_0. \tag{19}$$

In particular, we see that there was no reason to neglect the spatial propagation of the elastic wave but also that propagation losses effectively depend on the particular phonon that is excited.

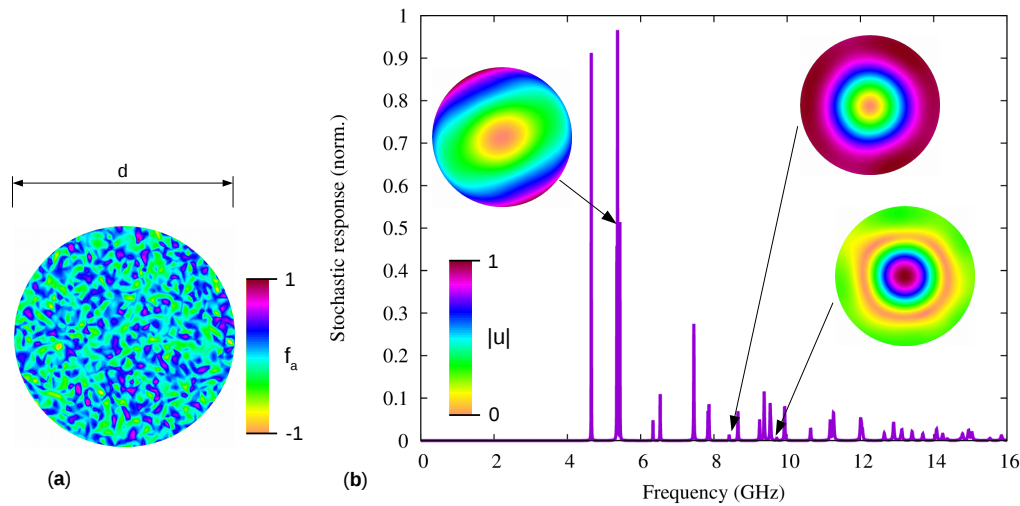
#### 2.4. Spatial Noise Filtering

Until now, we have only considered the temporal properties of either the coherent or the stochastic response. In the original model of Boyd et al., the medium is decomposed along the length of the interaction in a series of infinitesimal slices with a certain effective area [13]. In the case of the coherent excitation of an elastic wave by electrostriction, this simplification appears quite reasonable, as long as the elastic wave has a finite transverse extent or if we can consider that the effective area is imposed by the optical waves during the interaction. We have indeed shown in earlier works that the elastic response in this case remains confined around the optical excitation and has a deterministic transverse field distribution, both in the case of a photonic crystal fiber [25] and of an infinite homogeneous medium [27]. In the case of the stochastic excitation of acoustic phonons, however, it is not plausible that the generating force would have a uniform phase front all over the optical effective area. In the following of this section, we consider separately the cases of a discrete spectrum of guided phonons and of a continuum of elastic waves.

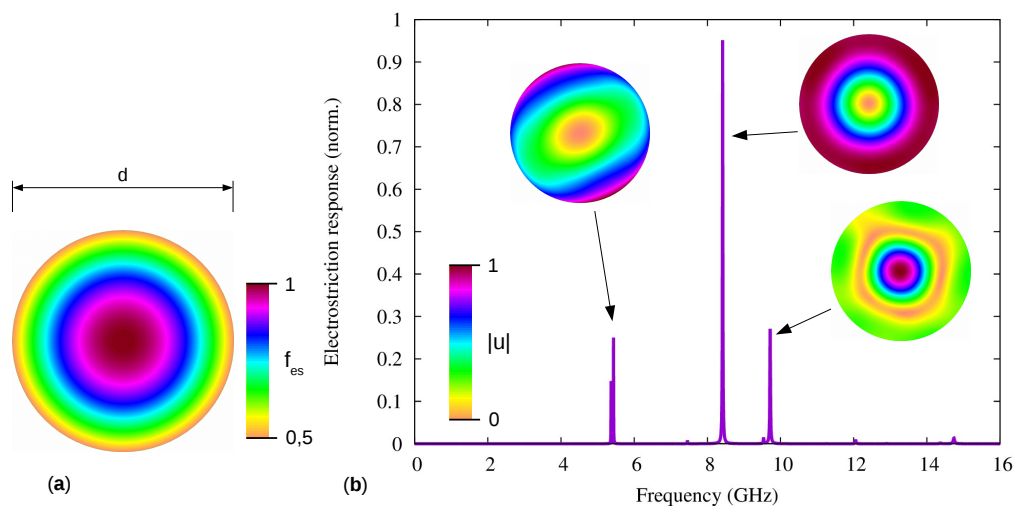
##### 2.4.1. Discrete Spectrum of Guided Phonons

In case the spectrum of the elastodynamic equation is composed of isolated frequencies, the solution of the elastodynamic equation produces a spatial noise filtering of the stochastic response that restores deterministic phase fronts at a particular position along the fiber axis. In order to illustrate this point we consider the following numerical experiment. We solve the elastodynamic Equation (14) for a silica microwire with a diameter of 800 nm (Figures 2 and 3). As a note, experimental results for sub-micron diameter microwires have become available recently [19,28,29]. In Figure 2, we apply a Gaussian random force field to the whole microwire cross-section. In the numerical computation, only one noise realization is considered. The axial wavenumber  $q = 4\pi n/\lambda$  is imposed for an optical wavelength in a vacuum  $\lambda = 1550$  nm, with  $n = 1.376$  the effective index for the fundamental optical mode. The elastodynamic response, as represented by the total energy of the solution,  $E(\omega)$ , is plotted as a function of frequency. The response is composed of a superposition of Lorentzians, each centered

on the frequency of a particular elastic mode of the microwire. At the maximum of each peak, the displacement field is proportional to the elastic mode distribution at that frequency. For comparison, we consider in Figure 3 the case of an incident Gaussian distributed optical wave. Given the circular symmetry of the source, only a few peaks appear in the elastodynamic response. Elastic modal shapes are shown for the three main peaks. These three modes were already present in the stochastic response of Figure 2, though with quite different relative levels of response. Significantly, it is seen that the random spatial fluctuations of the stochastic force are filtered in the elastodynamic response, since the displacement distributions are rather similar in Figures 2 and 3 at resonance frequencies.



**Figure 2.** Stochastic response of a silica microwire. (a) The cross-section of the microwire is circular and the diameter is  $d = 800$  nm. A Gaussian random Langevin force (the real part of the longitudinal component is shown) is applied. (b) The stochastic response, computed as the total energy of the solution of the elastodynamic equation, is shown as a function of frequency. Examples of the solution field (norm of the total displacement) are shown for three peaks in the response.



**Figure 3.** Electrostriction response of a silica microwire. (a) The cross-section of the microwire is circular and the diameter is  $d = 800$  nm. The incident optical field is a Gaussian wave (normalized square modulus is shown). (b) The electrostriction response, computed as the total energy of the solution of the elastodynamic equation, is shown as a function of frequency. Examples of the solution field (norm of the total displacement) are shown for the three main peaks in the response.

The solution (18) gives a hint at the form of the stochastic response, if the power spectral density can be obtained. Let us now extend the Langevin noise model to include a spatial dependence. If we consider two different points in space, we can generalize the Gaussian noise properties in Equation (10) to

$$\langle f_i(t, \mathbf{r}) \rangle = 0, \quad \langle f_i(t, \mathbf{r}) f_j^*(t', \mathbf{r}') \rangle = Q_{ij} \delta(t - t') \delta(\mathbf{r} - \mathbf{r}'), \quad (20)$$

where the  $f_i$  are the components of the vector noise  $\mathbf{f}$ . This amounts to considering that generating fluctuations are uncorrelated at different locations and times. Defining the spatially averaged noise  $g(t) = \int_{\Omega} \mathbf{f} \cdot \mathbf{u}_0 = \int_{\Omega} f_i u_{0i}$ , for a given mode  $\mathbf{u}_0$ , we proceed to evaluate its statistical properties. By linearity, we have  $\langle g(t) \rangle = 0$ . Furthermore,

$$\begin{aligned} \langle g(t) g^*(t') \rangle &= \int_{\Omega} d\mathbf{r} \int_{\Omega} d\mathbf{r}' \langle f_i(t, \mathbf{r}) u_{0i}(\mathbf{r}) f_j^*(t', \mathbf{r}') u_{0j}^*(\mathbf{r}') \rangle \\ &= \int_{\Omega} d\mathbf{r} \int_{\Omega} d\mathbf{r}' \langle f_i(t, \mathbf{r}) f_j^*(t', \mathbf{r}') \rangle u_{0i}(\mathbf{r}) u_{0j}^*(\mathbf{r}') \\ &= \int_{\Omega} d\mathbf{r} Q_{ij} u_{0i}(\mathbf{r}) u_{0j}^*(\mathbf{r}) \delta(t - t'). \end{aligned} \quad (21)$$

Hence

$$\langle g(t) g^*(t') \rangle = Q' \delta(t - t'), \quad Q' = \int_{\Omega} d\mathbf{r} Q_{ij} u_{0i}(\mathbf{r}) u_{0j}^*(\mathbf{r}). \quad (22)$$

Finally the symmetric Lorentzian line shape is again arrived at

$$S_a(\omega) = \frac{Q' \omega_0^2}{4E_0^2} \left| i(\omega - \omega_0) + \frac{1}{2}\Gamma \right|^{-2}. \quad (23)$$

It is seen that the hypothesis of spatially uncorrelated noise leads to a power spectral density that is independent of position. The quantity  $Q'$  appears as an average of the Gaussian variance  $Q$  over the modal distribution  $\mathbf{u}_0(\mathbf{r})$ .

#### 2.4.2. Continuum of Elastic Waves

The case of a continuum of elastic waves is quite complicated in the general vector anisotropic case. We will only outline how the generating noise is filtered spatially thanks to phase matching in the case of scalar waves in a homogeneous and isotropic medium. For this case, the Langevin noise term in Equation (20) slightly simplifies to

$$\langle f(t, \mathbf{r}) \rangle = 0, \quad \langle f(t, \mathbf{r}) f^*(t', \mathbf{r}') \rangle = Q' \delta(t - t') \delta(\mathbf{r} - \mathbf{r}'). \quad (24)$$

Taking the Fourier transform in both time and space of Equation (7), without the electrostriction term, we have

$$(v^2 + i\omega\Gamma')(k_x^2 + k_y^2 + k_z^2)\tilde{\rho} - \omega^2\tilde{\rho} = \tilde{f}_a. \quad (25)$$

It follows at once using the Wiener-Khinchin theorem that

$$S_{\rho}(\omega; k_x, k_y, k_z) = S_{f_a} |\omega^2 - (v^2 + i\omega\Gamma')(k_x^2 + k_y^2 + k_z^2)|^{-2}. \quad (26)$$

The meaning of this equation is that the acoustic phonons are continuously distributed along the dispersion relation. They can propagate to any direction of space with equal probability. In an actual experiment, however, both the incident optical wave and the backscattered optical wave are strongly conditioned by the propagation structure. In a single mode optical fiber, they are efficiently projected on the mode after propagation over a few thousands of optical wavelengths. Since the backscattered optical wave eventually provides the measurement of the spontaneous Brillouin spectrum, its spatial frequency spectrum determines the acoustic phonons that are picked up in the measurement. As the



forward and the backward propagating modes are phase-conjugate,  $k_x^2 + k_y^2 \approx 0$  and  $k_z \approx q$  are effectively imposed. As a result, the power spectral density of the stochastic response is filtered to pick only  $S_\rho(\omega \approx \omega_B; 0, 0, q)$ . Note that this is a heuristic argument rather than a proper demonstration.

### 3. Discussion

The usual model of stimulated Brillouin scattering in optical fibers [10] was originally conceived as a set of coupled equations for slowly varying amplitudes of scalar plane waves, with spontaneous Brillouin scattering originating from noise fluctuations in time and along an axis  $z$  [13]. The coupled equations were later generalized to modal amplitudes for optical waves and for elastic waves, with transverse dimensions taken into account (see, e.g., Refs. [14,18]). The experimental observation that the coherent Brillouin gain and spontaneous Brillouin scattering in optical fibers have similar spectral shapes is supported by the scalar model. Equations (12) and (13), however, describe different experimental situations. In the former case, typical of Brillouin sensing, a signal is coherently amplified or attenuated through the generation of elastic waves resulting of optical mixing. In the latter case, typical of stimulated Brillouin scattering at low pump powers, thermally activated acoustic phonons backscatter incident pump photons. Similar Lorentzian spectral shapes are found because of a property of the acoustic equation: the shape of the spectrum is decided by the left-hand side of (9), not by the deterministic or stochastic nature of the applied force.

When the elastodynamic equation is introduced to describe the polarization of elastic waves and acoustic phonons, the picture remains similar if only isolated elastic modes are present. This picture applies typically to microstructured fibers, nanoscale waveguides, and microwires. We found that in this case it is only necessary to update the definition of the coefficients  $\omega_0$  and  $\Gamma$  in the spectral response of Equation (18). It remains that the  $z$ -axis evolution is not described in the elastodynamic Equation (14), since this is a 2D model. This is consistent, however, with a description of local small-signal amplification and spontaneous Brillouin scattering, in the non depleted pump approximation. Furthermore, as we have exemplified numerically, the stochastic excitation is spatially filtered by the elastodynamic equation to produce the isolated mode near its eigenfrequency.

If there is no isolated mode but a continuum of bulk elastic waves, the above arguments do not apply to noise initiation of Brillouin scattering. This case corresponds for instance to usual optical fibers with a rather large cladding diameter and a central core ensuring smooth optical guidance but not necessarily elastic wave guidance by the fiber structure. The situation is intrinsically 3D and neither the scalar model nor the 2D elastodynamic equation describe it properly. We have suggested, based on a heuristic argument, that spatial filtering is provided by optical reading of the acoustic phonon density, resulting in the selection of only paraxial phonons. In this case, the canonical Lorentzian spectral shape is once again obtained. As a note, it has been predicted that the optoacoustic phonon spectrum for similar conditions could be non-symmetrically broadened towards higher frequencies, as a result of the selective excitation of the bulk elastic wave continuum [27,30]. It remains that the same spatial filtering argument we used for spontaneous Brillouin scattering also applies to the coherent Brillouin gain for guided optical waves: not all generated phonons are collected by backward Brillouin light scattering, but only those that are compatible with phase matching.

### 4. Methods

The numerical simulations reported in Figure 2 were obtained with the finite element software FreeFem++ [31]. Numerical implementation of the solution of the elastodynamic equation is similar to the description provided in Ref. [27]. Gaussian random noise with zero mean and given variance was produced using the GNU Scientific Library, using function `rangaussian()`.

**Author Contributions:** V.L. and J.C.-B. conducted the theoretical analysis and discussed the results; V.L. performed numerical simulations and wrote the paper.

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## Abbreviations

The following abbreviations are used in this manuscript:

BLS	Brillouin light scattering
FT	Fourier transform
GAWBS	Guided acoustic wave Brillouin scattering
PSD	Power spectral density
SBS	Stimulated Brillouin Scattering

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