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1 **Multi-faceted particle pumps drive carbon sequestration in the ocean**

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34 **The ocean’s ability to sequester carbon out of contact with the atmosphere exerts an**  
35 **important control on global climate. The biological pump drives carbon storage in the**  
36 **deep ocean and is thought to function via gravitational settling of organic particles from**  
37 **surface waters. However, the settling flux alone is often insufficient to balance**  
38 **mesopelagic carbon budgets or meet the demands of subsurface biota. Here, we review**  
39 **additional biological and physical mechanisms that inject suspended and sinking**  
40 **particles to depth. Together, these “particle injection pumps” likely sequester as much**  
41 **carbon as the gravitational pump, closing carbon budgets and motivating further**  
42 **investigation of their environmental controls.**

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59 **Introduction**

60 Open ocean waters store (sequester) carbon out of contact with the atmosphere on decadal to  
61 millennial timescales, exerting a major control on global climate by regulating atmospheric  
62 carbon dioxide partial pressure ( $p\text{CO}_2$ )<sup>1</sup>. The magnitude of ocean carbon storage is governed  
63 by two well-established mechanisms that maintain a surface-to-deep ocean gradient of  
64 dissolved inorganic carbon (DIC) – the biological and the solubility pumps<sup>2,3</sup>. The solubility  
65 pump delivers cold, dense, DIC-rich waters to depth mostly at high latitudes, whereas the  
66 biological pump globally exports particulate organic carbon (POC) from surface waters. POC  
67 export is largely attributed to the gravitational settling of a subset of the particle assemblage<sup>1,4</sup>  
68 – a process we refer to as the “biological gravitational pump” (BGP).

69 The BGP is the key link between upper ocean photosynthetic carbon fixation, the sustenance  
70 of mid-water biota, and carbon storage in the oceans’ interior<sup>4,5</sup>, and is thought to account for  
71 ~90% of the vertical DIC gradient, while the solubility pump explains the remainder<sup>1</sup>. In the  
72 absence of the BGP, models predict atmospheric  $p\text{CO}_2$  would be higher by nearly twofold<sup>6</sup>.

73 Contemporary and paleoceanographic observations both reveal that carbon sequestration by  
74 the BGP is affected by environmental changes in light, temperature, stratification and nutrient  
75 availability<sup>7,8</sup>, and can itself drive dramatic climate shifts such as glacial-interglacial cycles<sup>8</sup>.

76 Future climate projections suggest that the functioning of the BGP will be altered by ocean  
77 global change<sup>7,9</sup>, potentially feeding back on anthropogenic climate warming<sup>10</sup>. As a  
78 consequence, quantification of its functioning requires a reliable baseline of accurate  
79 measurements.

80 The underlying principles of the BGP are long established<sup>11</sup>: organic particles are continually  
81 produced and recycled in sunlit surface waters, and a small fraction of these settle into the

82 oceans' interior. The strength of the BGP is often quantified as the rate of particle "export"  
83 from the euphotic zone, the surface mixed layer, or across an arbitrary horizon at 100m<sup>12</sup>. As  
84 they sink, particles undergo myriad transformations, which lead to pronounced vertical  
85 attenuation of the particle flux that is often described as a power law relationship, referred to  
86 as the "Martin Curve"<sup>13</sup>. The efficiency of the BGP is defined here as the time that exported  
87 carbon is kept sequestered from the atmosphere within the ocean's interior. It is driven by the  
88 depth scale of flux attenuation and pathways of ocean circulation that carry remineralized  
89 carbon dioxide back to the surface<sup>14</sup>. Carbon is sequestered for timescales longer than a year  
90 by particles that penetrate the permanent pycnocline (beneath the wintertime mixed layer)  
91 and up to centuries by those that reach deep water masses (generally >1000m). Together, the  
92 strength and efficiency of the BGP determine the total quantity of carbon sequestered  
93 biologically in the ocean interior.

94 Recently, analyses of global and regional ocean carbon budgets have identified conspicuous  
95 imbalances (i.e., two to three-fold less storage) when BGP export fluxes are compared with  
96 those derived from geochemical tracers<sup>15,16</sup>, highlighting the need to reassess the pathways  
97 that contribute to carbon storage. Furthermore, rates of site-specific particle export appear to  
98 be insufficient to meet the carbon demand of mid-water life (termed mesopelagic biota) by  
99 two-to three-fold<sup>17-20</sup>, but in one study can be balanced using community respiration<sup>18</sup>. There  
100 is considerable debate over the reasons for these carbon deficits, ranging from biases inherent  
101 in observational technologies<sup>17,21</sup> to the potential role of other carbon (dissolved and/or  
102 particulate) delivery mechanisms to deep waters<sup>16,22,23</sup>. Traditionally, the biogeochemical  
103 functioning of the BGP has been evaluated from quasi one-dimensional (1D) observations of  
104 particle flux (Box 1), and extrapolated using Earth System Models (ESMs, parameterised  
105 with observations<sup>24-26</sup>) and/or remote-sensing observations<sup>26</sup>. This approach cannot capture

106 more complex mechanisms of carbon export that are highly variable in space and time (Box  
107 1), potentially resulting in the reported carbon budget deficits.

108 Multiple lines of research have revealed the importance of additional export pathways,  
109 physically (e.g. subduction) and/or biologically (e.g. large mesopelagic migrators) -mediated,  
110 that inject particles to depth, termed here Particle Injection Pumps (PIPs)<sup>23,27-30</sup>. These  
111 mechanisms can potentially export all particle classes to depth, and thus challenge the  
112 conventional view of gravitational sinking as the dominant downward pathway for particles  
113 into the oceans' interior. The characteristics of PIPs fundamentally change our understanding  
114 of biological carbon sequestration: first, PIPs can animate particle transport spatially into  
115 three dimensions (3D), in contrast with the BGP where the vertical dimension is predominant  
116 (1D); second, global estimates of PIP carbon fluxes are significant relative to those for the  
117 BGP<sup>27,28</sup>, and third, these mechanisms cannot be readily quantified using the traditional  
118 toolbox applied to investigate the BGP (Box 1). Overall, the PIPs will increase the strength  
119 of the biological pump beyond estimates based on gravitational flux alone, and can change its  
120 efficiency by altering the depth of carbon export.

121 The fate of exported carbon following its delivery to depth has also proven more complex  
122 and heterogeneous than previously recognized. Particle flux attenuation is now known to vary  
123 systematically in space<sup>14,31,32</sup> and time<sup>33</sup>, suggesting the traditional empirical view<sup>13</sup> must be  
124 replaced by a mechanistic one that considers particle composition and architecture, microbial  
125 metabolism, and transformation processes<sup>17</sup>.

126 Together, these developments stand to reshape our understanding of particle transport and  
127 remineralisation in the oceans' interior. Here, for open ocean systems we review: the  
128 mechanisms, rates, and depths of particle injection by each PIP; the potential for each  
129 mechanism to close observed deficits in ocean carbon budgets; and the corresponding

130 remineralisation depths of exported POC in the deep ocean. We finish by outlining future  
131 research directions needed to synthesize these developments into a new mechanistic, four-  
132 dimensional (4D) view of carbon export and sequestration. The review does not detail the  
133 important role of dissolved organic carbon subduction<sup>22,23</sup>, nor cover the dark microbial  
134 carbon pump<sup>34</sup> or chemolithotrophy<sup>35</sup> which have been reviewed elsewhere (S-Table 1).

135

### 136 **Particle injection pump mechanisms**

137 PIPs differ in their mechanisms, temporal-spatial scales (Fig. 1, Fig. 2a), and/or geographical  
138 extent, but have common features: i) they can act on all particles from suspended to sinking  
139 (Fig. 1); ii) they typically inject particles below the euphotic zone (i.e., the export depth for  
140 the BGP), potentially reaching depths >1000m<sup>28-30</sup> depending on the injection mechanism  
141 (Fig. 1, Fig. 2b); iii) they occur concurrently with the BGP but cannot be measured with  
142 techniques developed to quantify gravitational settling<sup>13,32</sup> (Box 1); iv) their dynamic nature  
143 (i.e., physical transport<sup>23,27,28</sup> or patchiness of animal distributions<sup>30</sup>) means that the interplay  
144 between their vertical and horizontal vectors and temporal scales varies significantly (Fig. 1).  
145 Hence, a 4D sampling framework is required to constrain them (Box 1). The main  
146 characteristics of each PIP are elucidated below.

147 Particle export driven by physical subduction includes several processes driving the vertical  
148 transport of near-surface particles that act on different space/time scales: subduction caused  
149 by mixed-layer shallowing (termed the mixed-layer pump<sup>29,36</sup>); subduction by large-scale  
150 (100-1000 km) circulation (termed the large-scale subduction pump)<sup>23</sup>; and subduction by  
151 mesoscale (10-100 km) to submesoscale (1-10 km) frontal circulation (termed the eddy-  
152 subduction pump<sup>23,27,28</sup>).

153 Carbon export by the mixed-layer pump is driven by biological accumulation of particles  
154 throughout the spring/summer growth season, which are then diluted to the depth of the  
155 mixed layer during winter, and left in the oceans' interior during early spring stratification  
156 (Box 1). This pump operates on wide-ranging time-scales from days/weeks<sup>37</sup> to seasons<sup>29,37</sup>,  
157 predominantly in mid and high latitude regions characterised by strong seasonal variability in  
158 mixed-layer depth (Fig. 2a). Although these concepts are long-established<sup>36</sup>, only recently  
159 have they been scrutinised in detail using advances in optical profiling (BGC-Argo) floats  
160 and satellite particle proxies to track particle accumulation rates in relation to changes in  
161 surface mixed-layer depth (Box 1).

162 The large-scale subduction pump is a 3D advective mechanism directed from the seasonal  
163 mixed-layer into the oceans' interior, driven by Ekman pumping and horizontal circulation  
164 across a sloping mixed-layer<sup>38</sup>. Subduction rates were first estimated for the North Atlantic<sup>39</sup>,  
165 and then globally using data-assimilating models<sup>40</sup>. The wide-ranging subduction rates (1-100  
166 m/year)<sup>39,40</sup> are small relative to BGP particle settling rates<sup>11,12</sup>, but subduction occurs over  
167 large regions of the global ocean boosting the magnitude of carbon delivery to depth.

168 The frontal-associated eddy-subduction pump subducts particle-rich surface waters on  
169 timescales of days and across spatial scales of 1-10 km, driven by strong vertical circulation  
170 associated with fronts and eddies<sup>27,28,41-44</sup>. Gliders are now used to map 3D dynamic eddying  
171 flow fields (Box 1), finding evidence for penetration of high particle stocks (co-located POC  
172 and chlorophyll indicative of viable phytoplankton) from the spring bloom, conspicuous as  
173 distinct filaments at 100-350 m depth at the eddy periphery<sup>28</sup> (Box 1). Mapping revealed the  
174 co-location of high POC filaments and negative vorticity to depths near the permanent  
175 pycnocline<sup>28</sup>, and the mechanism is supported by high-resolution simulations in which eddy  
176 subduction of particles is a recurring feature<sup>45-48</sup>. The strength of the eddy-subduction pump



177 is governed by the vigour and penetration of the vertical circulation, in conjunction with local  
178 POC stocks over the frontal area<sup>27,49</sup>. Eddy subduction rates span 1-100 m d<sup>-1</sup> (c.f. 20 to >  
179 100 m d<sup>-1</sup> for the BGP<sup>11,12</sup>) depending on the eddy or frontal structure. Modelling indicates  
180 that these subducted particles are remineralised more rapidly (i.e., at relatively shallow depths)  
181 relative to gravitationally-sinking particles<sup>27</sup>.

182 The concept for the ‘mesopelagic migrant pump’ is based on long-established observations of  
183 diurnal vertical migration<sup>50</sup> (Box 1). This pump extends the remineralisation scale by  
184 injecting particles to greater depth before decomposition begins<sup>51,52</sup>, as determined by gut  
185 retention time of migrating animals<sup>51-53</sup> and the depth of their migration (typically ~400 m<sup>53</sup>).  
186 The injected particles are zooplankton faecal pellets with sinking rates of 10-100’s m d<sup>-1</sup> (ref.  
187 51), faster than loosely-packed organic aggregates settling from the surface<sup>11,12</sup>, and will  
188 penetrate deeper in the water column before remineralisation. This pump therefore influences  
189 all important facets of the particle flux that govern carbon sequestration – total export rate,  
190 depth of peak flux, and flux attenuation depth scale.

191 Diurnal vertical migration results in active subsurface transport and carbon sequestration, and  
192 is usually reported for mesozooplankton and often included in BGP estimates<sup>51</sup>. However,  
193 vertical migration by larger mesopelagic carnivorous organisms (from greater daytime depths  
194 than mesozooplankton) are not sampled by conventional BGP approaches<sup>52,54</sup>. Targeted  
195 studies (Box 1) have quantified this pump driven by large mesopelagic migrant carnivores in  
196 the Pacific<sup>54</sup>, and other regions (S-Table 1). The underlying mechanism is upward migration  
197 to graze mesozooplankton<sup>54</sup> followed by rapid (hours) downward migration<sup>53</sup>, with  
198 respiration (release of CO<sub>2</sub>), exudation, and defecation (release of POC/DOC)<sup>51,55</sup> often  
199 below the permanent pycnocline<sup>56</sup>, at depths up to 600m (Box 1).

200 Trawl surveys suggest that ~50% of mesopelagic organisms migrate, ranging regionally  
201 between 20-90% depending on temperature, turbidity and oxygen concentrations<sup>54,56</sup>. The  
202 carbon sequestration rate by this pathway is governed by the metabolic transfer efficiency of  
203 migrators, and particles are injected at their residence depth, often at the upper boundary of  
204 oxygen minimum zones where their respiration intensifies oxygen depletion<sup>53</sup>.

205 Active transport by vertically-migrating metazoans can also occur on longer timescales (Box  
206 1). For example, in high latitude regions the winter hibernation of copepods (members of the  
207 mesozooplankton) at depths between 600-1400m gives rise to a so-called ‘seasonal lipid  
208 pump’<sup>30</sup>: during hibernation, they catabolise carbon-rich lipids accumulated during summer in  
209 upper layers and thereby shunt carbon (but not nitrogen and phosphorus) below the  
210 permanent pycnocline<sup>30</sup>. The strength of the seasonal lipid pump is governed by copepod  
211 abundance, size and temperature, which together control their respiration rate and help  
212 explain the existence of carbon flux hotspots (i.e. patchiness)<sup>30</sup>.

213 Another vertical export mechanism that operates on seasonal migration timescales is  
214 mortality at depth of hibernating zooplankton particularly in high latitude regions<sup>57,58</sup>,  
215 sequestering carbon to depths >500 m depth. Global extrapolation of seasonal lipid pump  
216 fluxes, along with the over-wintering mortality flux is problematic due to difficulties in  
217 sampling and generalizing across distinct regional mechanisms<sup>30</sup> (S-Table 1).

218

### 219 **The potential for double accounting**

220 The export flux from the BGP is mediated by sinking particles, whereas PIPs can provide  
221 additional pathways for all particle classes, from suspended to sinking, to exit the surface  
222 ocean (Fig. 1). Thus, there is potential overlap between particles delivered from the surface  
223 ocean to depth via the BGP and by injection from PIPs. Such overlap – termed here as

224 ‘double-accounting’ – may occur where particles associated with the BGP and a PIP are  
225 difficult to distinguish and hence could be attributed to more than one pump (Fig. 1). At  
226 depth, transformations such as aggregation alter particle characteristics, including size and  
227 sinking rate, and hence particles injected by the PIPs can join the sinking flux usually  
228 attributed the BGP (Fig. 1). A further factor that introduces overlap between the BGP and  
229 PIPs results from the inclusion, for historical reasons<sup>59</sup>, of one component of the mesopelagic  
230 migration pump (diurnal migration by mesozooplankton) into the 1D sampling framework of  
231 the BGP, while other components (e.g. patchier diurnal migration by larger mesopelagic  
232 carnivores<sup>5</sup>) are not. Hence double-accounting can confound our understanding of the  
233 relative importance of PIPs to ocean carbon storage.

234 Is it possible to tease apart these areas of overlap? Forty years study of the BGP has  
235 uncovered a complex biogeochemical system with multiple drivers and distinguishing  
236 characteristics<sup>11,60</sup>. This body of research helps to frame the differences and similarities  
237 between particles delivered to depth by PIP’s and those settling via the BGP. Each PIP is  
238 distinct with respect to its combination of injected particle type (suspended cells to faecal  
239 pellets of large mesopelagic migrants), the timing and depth of injection (Fig. 2a-b), and  
240 associated particle transformations (aggregation/disaggregation)<sup>11,12,61</sup>. Additionally, the  
241 subsurface “fate” of particles (i.e. where they remineralize), which determines the longevity  
242 of carbon sequestration, is driven by the complex interplay between these properties and  
243 transformations<sup>12,60,61</sup>: Particle composition and architecture set their sinking speed, while  
244 myriad processes that are biologically- (microbes/zooplankton) and physically-mediated  
245 (fragmentation/ disaggregation)<sup>12,62-64</sup> decompose and repackage them over depth (Fig. 1).  
246 Therefore, particle fate provides another avenue to distinguish the contributions of PIPs from  
247 the BGP.

248 To date, evidence on the subsurface fate of injected particles has been largely indirect<sup>27,28,49</sup>.  
249 Surveys of eddy-subduction pumps suggest that injected particles may be remineralised at  
250 depths <200 m, based on ammonium peaks<sup>49</sup>, time-series of biogeochemical gradients<sup>28</sup>, or  
251 particle modelling studies<sup>27</sup>. In the NE Atlantic, reported high rates of particle  
252 remineralisation (glider-based biogeochemical gradients) must be reconciled with concurrent  
253 evidence of coincident, coherent chlorophyll plumes at depths >300 m indicative of  
254 subducted viable phytoplankton<sup>28</sup>. This glider-based time-series reveals pronounced  
255 patchiness<sup>28</sup> suggesting that inference of the fate of injected particles even from state-of-the-  
256 art observations is challenging.

257 Better constraining the contribution of each PIP to mesopelagic carbon budgets will require  
258 characterisation of the injected particle assemblage and their transformations during  
259 downwards transport<sup>12,65-68</sup>. Particle aggregation in PIPs may be driven by  
260 convergence/subduction<sup>69-70</sup> and/or differential sinking<sup>65,67</sup>, potentially leading to altered  
261 modes of subsurface transport (Fig. 1). BGC-Argo profile observations allow quantification  
262 of the size, type, seasonal succession, and penetration depths of particles injected by the  
263 mixed-layer pump<sup>36</sup> – properties which have the potential to differentiate them from fast-  
264 sinking particles (i.e., BGP) whose distinctive ‘spiky’ bio-optical signature is readily detected  
265 using multiple sensors<sup>71</sup> (S-Figs. 2 and 3). Advances in bio-optics are already making cryptic  
266 signatures associated with slow-sinking particles and zooplankton vertical migration less  
267 opaque, lessening the possibility of double-accounting. Such double-accounting may be  
268 avoided through the identification of unique characteristics of pumps including seasonality  
269 (Fig. 2a), distinctive regional features<sup>30</sup>, or multi-variate oceanographic diagnostics<sup>72</sup>.

270

271

## 272 **Carbon sequestration potential**

273 The potential carbon sequestration by each PIP can be quantified as the product of their  
274 carbon injection rate and their sequestration timescale, i.e. time until remineralised carbon is  
275 returned to the surface (see Supplementary Methods). This timescale is determined both by  
276 the injection depth of particles and their eventual fate, i.e. the degree to which they sink or  
277 circulate through the ocean before remineralising to CO<sub>2</sub>. In general, deeper particle injection  
278 and rapid sinking translates to longer carbon sequestration because the “passage time” from  
279 the ocean interior to the surface increases with depth (Fig. 2b). Here, we assemble prior  
280 estimates of carbon injection rate and depth (S-Table 1), along with new modelling  
281 projections (Fig. 2), to estimate carbon sequestration by each PIP and assess their  
282 significance relative to the BGP.

283 Some targeted studies provide concurrent estimates of carbon injection by individual PIPs  
284 and the BGP<sup>27,28</sup>, whereas others<sup>30,54,57,58</sup> facilitate comparison of regional-scale PIP fluxes  
285 with independent estimates of the BGP. Both approaches reveal that PIPs each have the  
286 potential to contribute significant rates of POC export. The reported upper bounds of global  
287 PIP estimates summed together is 8.7 Pg C yr<sup>-1</sup>, which is comparable to the BGP export flux  
288 (Table S1). This comprises 1.1-2.1 Pg C yr<sup>-1</sup> for the large-scale/mesoscale physical pumps  
289 (also includes DOC<sup>22,23</sup>), and 0.25-1.0, 0.9-3.6 and (-0.09) to 2.0 Pg C yr<sup>-1</sup> from the lipid  
290 seasonal, mesopelagic migration, and eddy-subduction pumps, respectively (Fig. 2c). Thus,  
291 their cumulative contribution may be as much as ~40% of total particle export (i.e.,  
292 BGP+PIPs) suggesting considerable potential to resolve the imbalances reported for  
293 mesopelagic carbon demand<sup>17</sup>, between nutrient and carbon export budgets<sup>15</sup>, and to lessen  
294 the variability between model estimates of global carbon sequestration (S-Table 1).

295 We estimated the sequestration timescales for each PIP based on the “passage time” from the  
296 injection depth to the surface in an observationally-constrained ocean circulation model<sup>14</sup>.  
297 Particles injected at the depth of the wintertime mixed-layer by the large-scale physical  
298 pumps (mixed-layer and subduction) result in sequestration for 25-100 years, assuming  
299 subduction occurs before re-entrainment next winter. In turn, deeper injection by the eddy  
300 subduction pump (up to 450 m), mesopelagic migration pump (up to 600 m), and seasonal  
301 lipid pump (up to 1400 m) translates to sequestration timescales up to 150, 250, and 500  
302 years respectively (Fig. 2b). These timescales will increase if it is assumed that sinking rather  
303 than suspended particles are injected, which remineralise deeper than the injection horizon  
304 (see Supplementary Methods).

305 Given the wide-ranging estimates of carbon injection rate (Fig. 2c) and depth (Fig. 2b) for  
306 each PIP, oceanic carbon sequestration by these mechanisms cannot be estimated with  
307 precision (Fig. 2d). However, choosing central values from the reported ranges of each  
308 property allows a first order comparison between PIPs and the BGP. The mesopelagic  
309 migration pump emerges as the most significant PIP, potentially storing ~60% as much  
310 carbon as the BGP in the ocean interior if large, sinking particles (i.e. faecal pellets) are  
311 injected. The C storage potential of the seasonal lipid, eddy-subduction and large subduction  
312 pumps are ~20%, 10% and 5% of the BGP respectively, assuming each injects suspended  
313 particles. The latter small net value is due to offsetting of subduction by strong obduction  
314 (upward transport of water parcels) in the equatorial oceans<sup>39</sup>. Based on these central values  
315 (Fig. 2d), it is likely that the reservoir of respired carbon in the ocean interior contributed by  
316 the PIPs approaches that contributed by the BGP, and may therefore help to close global-  
317 scale mesopelagic carbon budgets<sup>15,16</sup>.

318

### 319 **Tracer constraints on the fate of exported carbon**

320 Oceanic carbon sequestration by the BGP and wide-ranging biophysical mechanisms that  
321 inject biogenic particles to depth depends critically on the fate of exported carbon (Fig. 2).  
322 However, at present tracing the remineralisation of particles (regardless of their export  
323 pathway) as they settle and circulate through the global ocean remains a logistical challenge,  
324 due to the difficulties of deep-water particle sampling. Recently, new methods have used 3D  
325 ocean data assimilation models to leverage geochemical “remineralisation tracers” including  
326 oxygen and nutrients. These tracers integrate particle remineralisation signatures over long  
327 timescales, and their global distributions are characterised by orders of magnitude more  
328 observations than are available for particles<sup>16,31,73</sup>. Two distinct approaches have been applied.  
329 The first diagnoses remineralisation rates directly from phosphate accumulation along  
330 transport pathways in a circulation model, and reconstructs particulate flux profiles required  
331 to explain the global distribution of remineralised phosphate<sup>31</sup>. The second assimilates  
332 geochemical and satellite data into mechanistic biogeochemical models to optimise key  
333 particle flux parameters, yielding mechanistic insights while leveraging the observations less  
334 directly<sup>73</sup>.

335 Both approaches have yielded similar results and provide evidence for regional variability in  
336 particle flux attenuation, with the flux attenuating slowly at high latitudes and quickly in  
337 subtropical gyres, while the tropics lie between these two extremes (Fig. 3a). These  
338 simulations reveal that carbon exported from high latitude and tropical surface waters is  
339 sequestered longer in the oceans’ interior than carbon exported in the oligotrophic gyres  
340 (Figure 3b), with important implications for feedbacks between the particle export and global  
341 climate. Atmospheric pCO<sub>2</sub> is likely more sensitive to past changes in high latitude export  
342 than previously recognised<sup>8</sup>, and the future expansion of subtropical habitats<sup>9</sup> may result in  
343 less efficient (although not currently quantifiable) carbon sequestration in a warming world.

344 Regional variations in particle flux attenuation have largely been interpreted in terms of the  
345 balance between decomposition and sinking rates<sup>32</sup>. A likely explanation for the diagnosed  
346 latitudinal pattern is the temperature-dependent metabolism of heterotrophs responsible for  
347 particle decomposition<sup>32,73</sup>, although variations in particle size and/or ballast are valid  
348 alternatives<sup>73</sup>. There may also be a secondary effect of oxygen, with decomposition slowing  
349 in anoxic zones<sup>73,74</sup>, and even hypoxic waters due to anaerobic microenvironment formation  
350 in particles<sup>75</sup>.

351 To some degree, model-derived particle flux profiles may also reflect the relative magnitude  
352 of different export pathways (PIPs and BGP), which vary in the injection depth and nature of  
353 particles they supply, since geochemical tracers integrate the effects of all export mechanisms.  
354 Deep injection by PIPs would result in slower flux attenuation over depth, whereas injection  
355 of suspended particles that remineralise shallower in the water column would be diagnosed as  
356 rapid flux attenuation. Predicting future changes in ocean carbon sequestration will require a  
357 better understanding of the contribution of injection versus remineralisation processes to  
358 sequestration efficiency (Fig. 3b), given the different environmental sensitivity of these  
359 processes.

360 The need for prediction motivates development of new techniques to distinguish particle flux  
361 associated with the BGP and each PIP. Particle stoichiometry (i.e., C:N:P) may be central to  
362 identifying particular mechanisms that decouple their export. For example, diagnosing  
363 oxygen consumption between 500-1500 m (depth of zooplankton hibernation) without  
364 concomitant nutrient accumulation would point to carbon export by the seasonal lipid pump<sup>30</sup>.  
365 Alternatively, diagnosing seasonal cycles of nutrient accumulation and oxygen consumption  
366 rates would help distinguish remineralisation of particles exported by physical pumps versus  
367 particle settling, which should exhibit distinct seasonality (Fig. 2a). This approach may soon



368 be possible given the burgeoning spatial/temporal resolution of tracer data provided by BGC-  
369 Argo floats (S-Figure 1), and emerging float sensor technology (S-Table 2).

370

### 371 **Extrapolation – towards a 4D view of particle export**

372 Improving the accuracy of the initial estimates of the magnitude of carbon sequestration  
373 presented in Figure 2d requires the development of a 4D picture of particle flux and storage  
374 in the oceans' interior. It is clear from our synthesis of PIP mechanisms that multiple scales,  
375 from sub-mesoscale to basin, must be accommodated if PIPs are to be assembled, first  
376 spatially and then temporally, into a complete 4D picture. Again, lessons on how to approach  
377 such upscaling can be gleaned from BGP research which imprinted both spatial and seasonal  
378 signatures (satellite remote-sensing/modelling)<sup>26</sup> onto short-term (days-weeks) observations  
379 taken at specific sites (Box 1). The timescales and lifetimes of features such as submesoscale  
380 eddies/fronts or seasonal mesopelagic export signatures (Fig. 2a) must be characterized to  
381 define the temporal footprint of each PIP and move towards a 4D viewpoint. This framework  
382 must be linked to the seasonality of pelagic particle production to assess if there is distinctive  
383 period for the subduction of significant stocks of these upper ocean particles (Fig. 2a). For  
384 example, it is well-established that submesoscale dynamics are strongly seasonal, with  
385 stronger and deeper penetration during winter than summer<sup>76</sup>.

386 Some published approaches towards extrapolating PIP's globally, and to climatological time  
387 scales, are outlined in S-Table 1. The identification of the specific drivers of each PIP  
388 mechanism should help improve modelling and hence extrapolation. We advocate the utility  
389 of explicitly incorporating the different PIP mechanisms into predictive, mechanistic models  
390 as a means to extrapolate PIPs into 4D. In the case of the extrapolation of the submesoscale  
391 eddy subduction PIP, increasing the model grid resolution to incorporate these features is

392 necessary and is now achievable in regional configurations<sup>77,78</sup>. In contrast, other physically-  
393 mediated PIPs such as the large-scale subduction and mixed-layer pumps are already  
394 represented in global models, and so their extrapolation requires the development of  
395 diagnostics to enable the simulated POC/DOC distributions to be better evaluated against  
396 observations<sup>23</sup>. At present, the biologically-mediated PIPs are not incorporated into state-of-  
397 the-art biogeochemical models<sup>9,14,31,77,78</sup>. While simulating animal behaviour at the global  
398 scale remains a grand challenge in ocean modelling, simple parameterisations have been  
399 developed to predict the geochemical effect of the mesopelagic migrant pump<sup>6</sup>, which might  
400 be further expanded to incorporate hibernation and therefore the seasonal lipid pump. It is  
401 only very recently that diel vertical migration has been incorporated for the first time in a  
402 global ocean general circulation model and used to estimate the associated flux of carbon at  
403 the global scale (see Aumont et al. in S-Table 1). Although promising, this approach remains  
404 challenging because it is based on a computationally-intensive, end-to-end ecosystem model  
405 in which all trophic levels from phytoplankton to top predators interact.

406

#### 407 **Transforming our view of ocean carbon export**

408 Our synthesis of physically- and biologically-mediated PIPs reveals that they are directly  
409 transporting significant stocks of biogenic particles to depth, of a cumulative magnitude that  
410 may be equivalent to the carbon storage of the BGP. The potential of PIPs to make a major  
411 contribution to the ocean carbon budget must now be explored in more detail, commencing  
412 with those PIPs that are most likely to contribute to carbon sequestration. Synthesising  
413 estimates of particle export, injection depth, and circulation timescales reveals that the  
414 mesopelagic migrant pump has the greatest potential to contribute to carbon sequestration,  
415 followed by the seasonal lipid pump and the various physical pumps (Fig. 2d). In the case of

416 the seasonal lipid pump, its geographical realm of influence is already established<sup>30</sup>, whereas  
417 less is known about the regional contributions of the mesopelagic migrant pump<sup>5</sup>.

418 For all PIPs, the most pressing research issue – needed to address double-accounting issues  
419 and improve estimates of carbon sequestration – is to better understand the mechanisms of  
420 particle transformations<sup>17,65-68</sup> (Fig. 1) within a 4D framework. Specifically, the fate of  
421 exported particles between their injection depth and the permanent pycnocline remains poorly  
422 constrained. A first step will be improved particle characterisation, in particular the ability to  
423 distinguish zooplankton from other particle types, and to aggregate Particle Size Distribution  
424 (PSD) profiles through the development and application of new sensors (S-Table 2). Future  
425 development of acoustic and imaging technologies<sup>79</sup> must be deployed on a range of  
426 platforms from ships (i.e., calibration) to an array of long-lived (i.e., years), geographically-  
427 diverse BGC-Argo floats. These developments towards improving particle characterisation  
428 will reduce the likelihood of double-accounting. Moreover, the alignment of BGC-Argo  
429 deployments (Box 1) with the characteristic space and time scales of PIPs will enable better  
430 quantification of the role of patchiness in driving observed local/regional hotspots in  
431 biological PIPs<sup>30,54,56</sup>. In time, following the development and testing of a Coastal-Argo  
432 platform, they can also be deployed to coastal and shelf seas to explore the role of PIPs in  
433 these regions (S-Table 2).

434 The way forward in refining estimates of the contribution of PIPs in closing the ocean carbon  
435 budget<sup>15-17</sup> also requires leveraging advancements in ocean biogeochemical modelling.

436 Models are valuable testbeds to probe the sensitivity of carbon storage mechanisms, and  
437 guide future observations. For example, model sensitivity analyses point to the pivotal role  
438 of PSD in determining the fate of exported carbon<sup>31,73</sup>, but the processes that set the PSD of  
439 exported particles and its evolution over depth remain crudely parameterized. Developing  
440 robust models of particle transformations between multiple size classes, and incorporating

441 them into general circulation models, will allow us to trace the fate of particles injected by  
442 different PIPS and dissect their contribution to carbon sequestration, while avoiding double-  
443 accounting issues.

444 Inverse methods that can assimilate PSD fields from new BGC-Argo technologies<sup>80</sup> will  
445 allow models to “learn” from the data, further refining them to best reflect the real ocean.

446 Furthermore, downscaling of physical models is essential to simulate the locations of PIP  
447 injections in support of observational programmes such as high resolution altimetry<sup>81</sup>, and the  
448 integration of detailed particle transformations into submesoscale models<sup>82</sup>.

449 To transform the comprehension of particle export from one- to three- and eventually four-  
450 dimensions, machine learning approaches<sup>83</sup> will need to be employed, which can be trained to  
451 predict unknown variables such as particle flux from better sampled variables. Approaches  
452 like artificial neural networks<sup>84</sup>, will enable and enhance the upscaling of local/regional  
453 datasets needed to provide more robust extrapolation<sup>85,86</sup> to depth, regionally, and annually of  
454 each PIP. This upscaling is essential to refine estimates of the contribution of each PIP to  
455 carbon sequestration. BGC-Argo datasets will also eventually be combined with new satellite  
456 products such as hyperspectrally-resolved ocean colour observations of biology processes<sup>87</sup>  
457 and submesoscale characterisation of sea level using high-resolution altimetry<sup>81</sup>.

458 Satellite and water-column remote-sensing, along with targeted process studies, will yield  
459 expansive datasets that can be assimilated into regional and global models of ever increasing  
460 realism and resolution. Together, these approaches will lead towards a robust, four-  
461 dimensional view of carbon sequestration by the ocean’s multi-faceted bio-physical particle  
462 pumps.

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464

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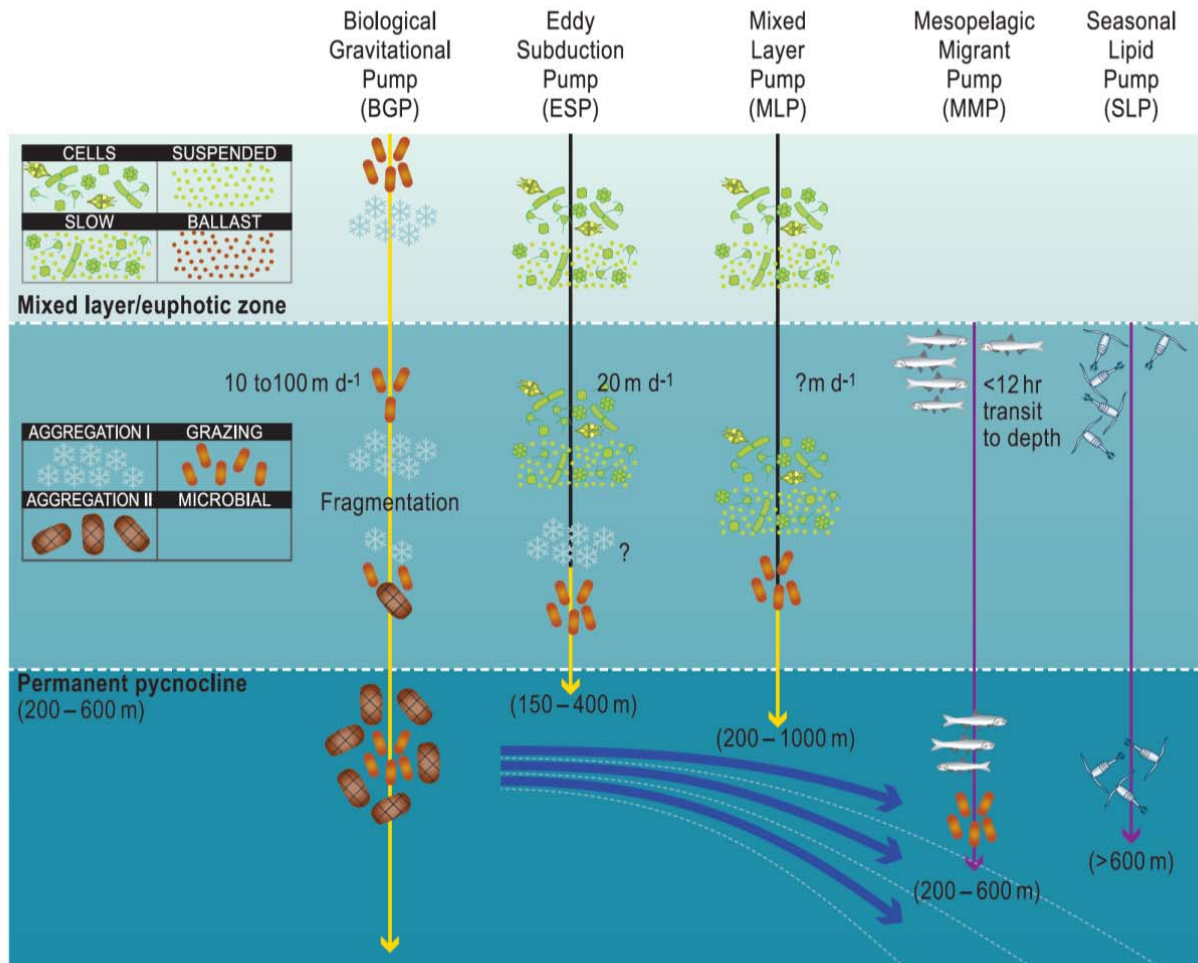
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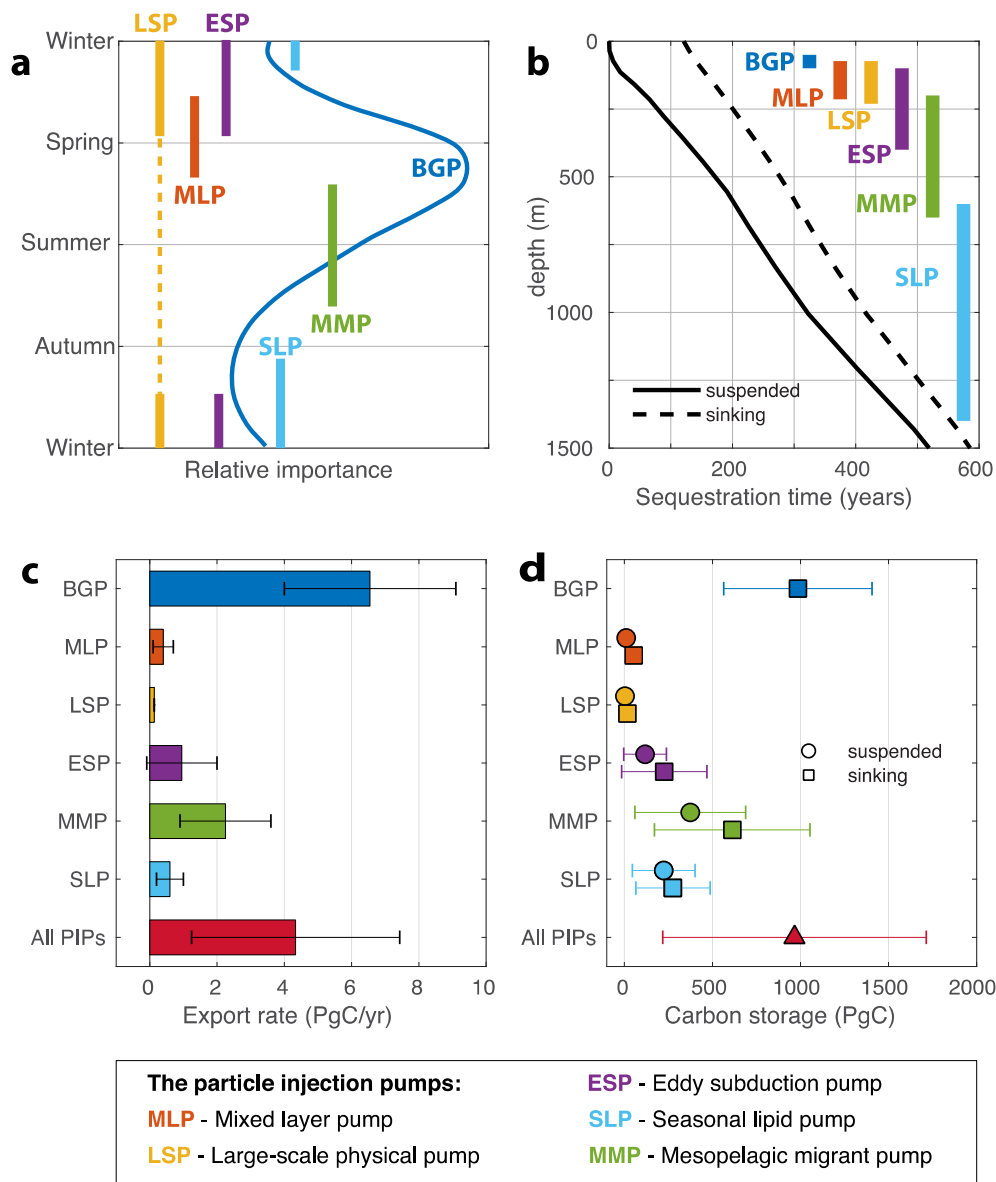
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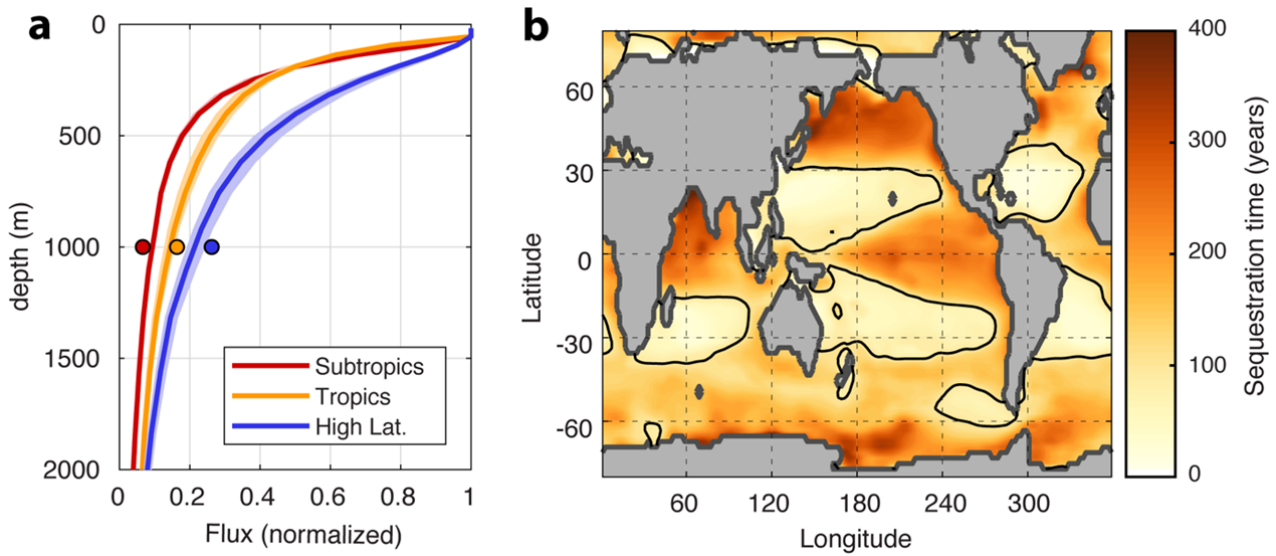
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**Figure 1 Interplay between particle characteristics, mode of export (BGP or PIP), delivery depth and larger scale ocean circulation for a range of pumps.** In the upper panel, the box (top left) represents mixed-layer particle types, which either form large sinking particles (i.e., within the BGP, such as faecal pellets, marine snow) or are injected to depth (i.e., PIPs, suspended/ slow-settling heterogeneous particles and cells (i.e., including healthy, slow-sinking phytoplankton<sup>88</sup>)). The vertical yellow arrow signifies the BGP; black lines physically mediated PIPs; and purple lines biologically mediated PIPs. The delivery rates of particles to subsurface strata (in m d<sup>-1</sup>, ? denotes not known) are presented for each pump. Patchiness in the distribution of vertically-migrating animals (top right) plays a role in driving three-dimensional particle delivery to depth<sup>89,89</sup>, and is denoted by different fish or copepod stocks in the upper ocean. The box (middle left) presents different particle transformations central to the BGP<sup>12</sup>, but whose role is not known so far for PIPs. They include microbial solubilisation, aggregation (marine snow denoted by aggregation I; heterogeneous faecally-dominated aggregates (aggregation II) and/or dissaggregation<sup>18</sup> to form/break down heterogeneous particles (hatched brown symbols). In the lower panel, depths in parentheses are the reported delivery depths, with the BGP (and some PIPs) exporting some particles to the sea floor. Blue curved arrows represent transport of subsurface material along downward-sloping isopycnals (white dashed lines). Major unknowns include whether physical transport by PIPs can cause particle aggregation (signified by ? in the middle panel below subduction pump, and also applicable for the mixed-layer pump) and hence alter their mode of injection towards gravitational settling (i.e., the BGP). Other unknowns include the potential ballasting role of small mineral particles such as aerosol dust for PIPs.



**Figure 2 Carbon export and storage by PIPs compared to the BGP.** **a)** Idealised seasonality of the PIPs for regions that exhibit strong seasonality, where a Spring Bloom dominates C export by the BGP (dark blue line). Coloured bars indicate season of peak C export by the PIPs (acronyms defined in legend). Note the large-scale physical pump should be strongest when mixed layers are deepest, but is likely operative all year (dashed line). **b)** Sequestration efficiency of the PIPs. Black lines represent the global-mean sequestration timescale for carbon injected at a given depth, defined as the time for remineralised carbon to circulate back to the ocean surface, computed in a data-constrained circulation model (see S-Methods). Solid line assumes that particles are suspended, so remineralisation occurs at the injection depth, whereas dashed line assumes that particles are sinking and remineralise over depth (see Methods). Colored bars show injection depth range of the BGP and PIPs. The efficiency of each pump is defined as the sequestration time from its injection depth. **c)** Strength of the pump mechanisms, defined as their rate of carbon export or injection (see Table S1). “All PIPs” refers to the sum of the five individual PIPs **d)** Ocean carbon storage by each pump, defined as the product of the strength (**c**) and efficiency (**b**). Two scenarios are shown for each PIP, using the sequestration time for suspended (circles) and sinking (square) particles, whereas the BGP is assumed to export only sinking particles. For the sum of PIPs, we present a “most likely” scenario, in which the migrant pump injects sinking particles (faecal pellets), and all other PIPs inject suspended particles (triangle).



**Figure 3. Fate of exported organic matter constrained in models from geochemical remineralisation tracers. a)** Organic matter flux over depth (normalised to flux at the base of the euphotic zone), averaged across subtropics, tropics, and high latitude regions (as defined<sup>31</sup>). Lines show flux profiles from a mechanistic model<sup>73</sup> that is optimised to match geochemical constraints (shading represents the range between 12 model configurations); circles represent the transfer efficiency diagnosed directly from nutrient accumulation in an ocean circulation model<sup>31</sup>. **b)** Sequestration time of exported carbon. The spatial pattern reflects both variability in the particle flux attenuation (a), and patterns of large-scale circulation. The thin black lines separates regions of efficient (>100 years) and inefficient (<100 years) carbon sequestration.



### Box 1 Approaches used to investigate downward particle export, from the BGP to PIPs.

The BGP is quantified in a biologically-patchy upper ocean (green filaments) using ship-based surface sampling (particle production) and subsurface particle interception by sediment traps, most recently neutrally-buoyant traps downstream of particle source regions (orange instruments, a). This coupled surface-subsurface sampling strategy is logistically-complex, temporally- and spatially-restricted (i.e., represented here by a “statistical funnel”<sup>91,92</sup>, see a), and hence provides a ‘1D’ view of particle export that is extrapolated to the basin scale using satellite observations and/or modelling. This ‘1D’ viewpoint cannot measure the PIPs presented in b) to e), and is contrasted in a) with the 4D view<sup>29,93</sup> obtained by an ensemble of BGC-Argo floats (white instruments).

(b) the mixed-layer pump, in which particles are detrained when the pycnocline (blue dashed line) shallows, can be addressed regionally through backscattering (a proxy for POC) profiles measured by BGC-Argo floats<sup>36</sup>, or globally using satellite surface-ocean backscattering and Argo/BGC-Argo (density/backscattering) vertical profiles<sup>32</sup>. c) the seasonal lipid pump is quantified using surveys of overwintering copepods at depths below the permanent pycnocline and subsequent scaling of their lipid-enriched biomass in carbon content<sup>33</sup>. d) the eddy subduction pump can be quantified using gliders (pink instruments) and subsequent modelling<sup>31</sup>, BGC-Argo floats (bio-optics/oxygen/physics)<sup>93</sup> or surveys based on multiple POC profiles in conjunction with coupled models (regional circulation/particle dynamics)<sup>30,49</sup>. e) quantification of the mesopelagic migrant pump (active diel transport of carbon by mid-water biota, denoted by moon and sun symbols) requires mid-water trawl surveys along with metabolic modelling<sup>54,55</sup>. Some multidisciplinary studies<sup>30,31,49</sup> have combined these approaches to cross-compare export flux from the BGP (green arrows (d)) and the eddy subduction pump (purple arrows represent subsurface particle maxima recorded at the eddy periphery<sup>31</sup>). Note, the large-scale subduction pump<sup>23</sup> is not presented here.

