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1 Influence of casein on the formation of whey protein microparticles obtained

- 2 by dry heating at an alkaline pH
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7 ABSTRACT

8 Dry heating (DH) at 100 °C for 36 h of a whey protein isolate powder conditioned at pH 9.5 9 leads to the formation of stable, large and porous whey protein microparticles (PMs), 10 resulting from the crosslinking of proteins inside the powder. These PMs could be used as 11 high-viscosity food ingredients. Casein, present as a contaminant in whey protein powders, 12 has been shown to become incorporated into the PMs. In this study, we investigated the 13 effect of adding increasing amounts of sodium caseinate to whey protein powders on the 14 formation of PMs during DH at 100 °C for 36 h. In addition, we studied PM formation during DH of a micellar casein-enriched milk protein powder (Casmic). The browning index of the 15 16 dry-heated powders, and the size and water content of the microparticles were also 17 characterized. We confirmed that sodium caseinate was incorporated into the PMs. The 18 highest PM D[4,3] values (270 µm) were observed for powders with around 40% caseinate. 19 Powders without added caseinate displayed D[4,3] values of 150 µm. The yield of conversion 20 of proteins into PMs increased from 0.6 to 0.8 g/g with caseinate addition, whereas the 21 amount of water entrapped in the PMs decreased from around 30 to 20 g/g. PMs were also 22 formed by DH of the Casmic powder, but these particles were smaller, with sizes of around 23 80 µm. In conclusion, our study shows that the process of DH at pH 9.5 could be applied to 24 all milk proteins to obtain PMs with functional properties that could be used in the food 25 industry.

26 **KEYWORDS**: whey protein; microparticle; dry heating; aggregation; casein

27 **1. Introduction**

28 Whey proteins (WPs) display a range of useful functional characteristics, such as 29 rheological, foaming, emulsifying and gelation properties (Dickinson, 2015; Kharlamova, Chassenieux, & Nicolai, 2018; T. Nicolai & Durand, 2013). A lot of work has been dedicated 30 31 to the study of WP aggregation, with the aim of increasing the functional properties of these 32 proteins. Most of these studies involved heating a solution of WPs under a range of 33 physicochemical conditions and studying the size, structure and properties of the resulting aggregates (Taco Nicolai, Britten, & Schmitt, 2011). During the last two decades, an 34 increasing number of studies have investigated the effect of directly heating protein powders 35 36 in the presence of sugar to induce the Maillard reaction (O'Mahony, Drapala, Mulcahy, & 37 Mulvihill, 2017; Schong & Famelart, 2017). Whereas very few changes in protein structure are observed during the glycation of proteins under gentle dry heating (DH) conditions 38 39 (Fenaille, Morgan, Parisod, Tabet, & Guy, 2003), DH treatments using high temperatures 40 and/or over long time periods can induce significant WP aggregation (Gulzar, Bouhallab, 41 Jeantet, Schuck, & Croguennec, 2011; Hiller & Lorenzen, 2010; Schmitt, Bovay, & Frossard, 42 2005).

43 Our previous studies have shown that DH for over 10 h at 100 °C of a freeze-dried or a 44 spray-dried WP powder conditioned at pH 9.5 with a water activity (a_w) of around 0.2 leads to 45 the formation of WP microparticles (Famelart, Schong, & Croguennec, 2018; Schong & 46 Famelart, 2018). By dissolving the dry-heated powder in water and observing the protein 47 microparticles (PMs), we have shown that these PMs are very large and that their size and shape are influenced by the size and shape of the powder particles. The DH treatment led to 48 49 crosslinking of proteins inside the powder particles so that the powder was no longer soluble 50 when dispersed in water. Due to the porous structure of the powder, the PMs formed after 36 51 h of DH were able to entrap a huge amount of water (20-40 g water/g of dry PM), with a yield 52 of formation of over 0.5 g of PM/g of powder. The PMs produced by DH of the spray-dried

53 powder were spherical with a hollow structure, and were smaller and more homogeneous in 54 size than the those produced from DH of the freeze-dried powder (Famelart et al., 2018; 55 Schong & Famelart, 2018). The very high viscosity values obtained in our previous study indicates that these PMs could be used as high-viscosity or food thickening agents (Schong 56 & Famelart, 2018). The suspension of particles formed by DH of the spray-dried WP powder 57 at 32 g/kg gave a viscosity of around 17 Pa.s at a shear rate of 1 s⁻¹ and a temperature of 20 58 59 °C. This viscosity value is much higher than that obtained for other WP particles in previous 60 studies (Dissanayake et al., 2012; Inthavong, Kharlamova, Chassenieux, & Nicolai, 2016; Loveday, Su, Rao, Anema, & Singh, 2012; Zhang, Arrighi, Campbell, Lonchamp, & Euston, 61 62 2016).

63 More recently, we studied the effect of lactose content on PM formation from freeze-dried 64 powders (Schong & Famelart, 2019). Although freeze-dried flakes are much larger than 65 spray-dried powder grains, freeze-dried powders were used as a model for spray-dried 66 powders in this study because it was more convenient to produce powders with different 67 lactose compositions by freeze-drying than by spray drying. Using this model, we showed 68 that increasing the lactose content led to increases in the rate of protein crosslinking and PM 69 formation, and to higher yields of conversion of WP into PMs to more than 0.9 g/g of powder. 70 In contrast, increasing the lactose content led to a decrease in the amount of water 71 entrapped in these PMs. It has been shown that dry-heated powder particles are able to 72 swell when placed in water, leading to particle sizes in suspension being slightly larger than 73 those in the powder. However, powder particles exposed to longer DH times and those 74 heated in the presence of higher lactose contents swelled less when placed in water, due to 75 the higher degree of crosslinking induced by DH. DH at 100 °C for 36 h without added 76 lactose, but in the presence of the trace amounts of lactose present in the WP powder 77 (around 6 g per kg powder), gave the same yield of conversion of WP into PM and led to the 78 same amount of water entrapped in the PMs as DH for only 3 h with addition of lactose at a 79 concentration of 0.42 g/10 g protein. Nevertheless, evaluation of the browning index of the 80 dry-heated powder showed that the formation of the PMs did not correlate with the progress 81 of the Maillard reaction. Indeed, the mechanism of formation and the nature of the protein 82 crosslinks are still speculative. We have found that the PMs are resistant to reduction (unpublished results). Similar findings were reported by Gulzar et al. (2011), who found that 83 WP aggregates produced by DH at pH 4.5 or 6.5 were resistant to reduction, whereas 84 aggregates produced at pH 2.5 were not. Isopeptide and lysinoalanine bonds have been 85 86 implicated in the formation of the crosslinks as their formation is favored by high pH values 87 and severe heating (Gerrard, 2002). Furthermore, large protein aggregates have been 88 reported in the presence of lactose as a result of the Maillard reaction: these aggregates are 89 made of covalent bonds resistant to reduction and have been reported to involve the 90 degradation products of Maillard intermediates, such as dicarbonyl compounds (Friedman, 91 1996; Hiller & Lorenzen, 2010; Lan et al., 2010; Miller, Meade, & Gerrard, 2003; Zamora & 92 Hidalgo, 2005). These dicarbonyl compounds are highly reactive crosslinkers of lysine and 93 arginine residues and give rise to advanced glycation end products (Gerrard et al., 2005; 94 Miller et al., 2003). Their formation is enhanced at an alkaline pH (Martins & Van Boekel, 95 2005; Wei, Liu, & Sun, 2018). However, the large number of reactants and the complexity of the pathways involved in the Maillard reaction, together with an inability to dissociate the 96 97 PMs into smaller protein chains for analysis, mean that it appears impossible to determine 98 the exact nature of the PM bonds.

99 The WP powder used in these previous studies was 90% protein. About 18% of these 100 proteins were caseins, present as contaminants of the WP powder. It has been shown that 101 these caseins were transferred into the PMs together with the WPs (Schong & Famelart, 102 2019). The aim of the current study was to further our understanding of the mechanism of 103 PM formation by investigating the effect of replacing WPs with casein on the formation of 104 PMs. As in our previous study investigating the effect of lactose content on PM formation, 105 freeze-dried powders were used in the current study as a convenient model for predicting the 106 behavior of caseins on spray-dried powders. Herein, we report on the changes in the

107 properties of the powder particles and PMs induced by adding sodium caseinate to WP 108 before freeze-drying and DH at 100 °C for 36 h. To gain an insight into the effect of casein 109 structure on its transfer into the PMs, a sample of micellar casein-enriched milk protein was 110 also studied after undergoing the same heating process.

111 2. Materials and Methods

Most of the materials and methods used in this study have been described in more detailpreviously (see Schong & Famelart (2019)).

114 2.1. Materials

115 The commercial WP powder was obtained from a confidential source and was the same 116 powder as that used in our previous studies (Famelart et al., 2018; Schong & Famelart, 117 2018, 2019). The solid matter and protein contents of the WP powder were 965.6 \pm 0.3 and 911 ± 7 g per kg powder, as determined respectively by the desiccation method (International 118 119 Dairy Federation, 2010), and by the Kieldahl method (International Dairy Federation, 2004, 120 2014, 2016) using 6.38 as the conversion factor. Approximately 82% of this protein was WP 121 and around 18% was casein, present as a mix of α s1, α s2, β and κ caseins, as determined 122 by polyacrylamide gel electrophoresis (Schong & Famelart, 2019). The free-lactose content 123 of the powder was 5.92 \pm 0.16 g per kg powder as determined by ion chromatography (Gaucheron, 2003). Thus, the lactose to protein ratio was around $6.5.10^{-3}$ g/g. 124

The commercial sodium caseinate (NaCas) powder was obtained from Lactalis (Bourgbarré, France) and contained 936.9 \pm 3.4 g of solid matter, 878.06 g \pm 2.1 of protein and 2.99 g \pm 0.03 g of free-lactose per kg powder. Thus, the lactose to protein ratio for the NaCas powder was around 3.4.10⁻³ g/g.

The commercial micellar casein-enriched milk (Casmic) protein powder (Promilk 852B) was obtained from International dairy ingredients (Arras, France) and contained 936.7 \pm 0.5 g of solid matter, 779.8 \pm 0.2 g of protein (among which 93% was micellar casein) and 29.42 \pm 1.16 g of lactose per kg powder. The proportion of casein and WP in this powder was determined by Kjeldahl analysis of non-protein and non-casein nitrogen. The lactose to protein ratio was around 38.10⁻³ g/g, and was thus 6–11 fold higher than that for the two other powders.

136 2.2. Preparation of the dry-heated powders

137 The NaCas powder was mixed with the WP powder at levels of 0, 20, 25, 33, 50 and 138 100% by weight. The casein content as a percentage of the weight of total protein was 139 calculated for each mixture based on the composition of the powders as 18, 34, 38, 44, 58 140 and 99%, respectively. These samples were then referred to as "Cas18" to "Cas99". The 141 lactose to protein ratio decreased progressively by a factor of two from the Cas18 powder to 142 the Cas99 powder. Similarly, the dry matter content in the solution showed a 3% decrease 143 from 965.6 to 936.9 g/kg. A sample of 100% of Casmic, containing 93% casein, was also 144 prepared.

Solutions containing 150 g powder/kg were prepared by stirring overnight at 4 °C. The pH of these solutions was then adjusted to pH 9.5 by addition of 5 mol.L⁻¹ and then 1 mol.L⁻¹ NaOH. The solutions were then rapidly frozen and freeze-dried. The powders were finely crushed, adjusted to an a_w of 0.25 ± 0.01 for two weeks and dry-heated at 100 °C for 36 h. Only one solution and powder were prepared for each mixture of casein and WP, but two samples of each powder solution were dry-heated and further analyzed.

151 2.3. Analysis

152 2.3.1. Powder

169

The granular size distribution of the unheated freeze-dried powder after crushing was analyzed as described previously in Schong & Famelart (2019) using the QICPIC analyzer and the dry disperser RODOS/L module (Sympatec GmbH, Clausthal-Zellerfeld, Germany). Two measurements of the distribution of the "diameter of a circle of equal projection area" of the particles were taken for each sample and the mean volume diameter (D[4,3]) was deduced. Two measurements were performed for each unheated sample.

Samples of powder particles were transferred to a glass lamella and observed on a light microscope (Olympus BX51, Rungis, France) with a x10 objective. Photographs (10 per sample) were taken using a Qiclick CCD camera (Q Imaging, Microvision Instruments, Evry, France) and the Archimed software program (Microvision Instruments).

The intensity of the Maillard reaction was estimated by monitoring changes in the browning index (BI) of the powders. Briefly, the BI was deduced from L* (whiteness), a* (redness/greenness) and b* (yellowness/blueness) measurements made using a CR-300 Chromameter (Minolta, Roissy, France). The BI was obtained according to Maskan (2001) as follows:

168 BI =
$$\frac{[100 (x - 0.31)]}{0.17}$$
 equation 1

$$x = \frac{\left(a^{*} + 1.75L^{*}\right)}{\left(5.645L^{*} + a^{*} - 3.012b^{*}\right)}$$
 equation 2

170 Three measurements were performed for each dry-heated powder.

171 2.3.2. Proteins and protein microparticles

Powders were reconstituted to a final concentration of 10 g of powder.kg⁻¹ in 0.2 g.kg⁻¹ sodium azide in deionized water and adjusted to pH 6.5 (suspension S0). One S0 suspension was prepared for each dry-heated powder.

175 Samples (1 mL) of the S0 suspension were acidified by addition of 300 µL of 0.5 M 176 acetate-acetic acid buffer (pH 4.6), and then centrifuged for 15 min at 10000 g. The resulting 177 supernatants, containing residual native whey proteins (NWPs), were then frozen until 178 analysis. Casein is not soluble at pH 4.6 and was partitioned into the pellet during this 179 fractionation process. The levels of native β -Lactoglobulin (β -Lg) and α -Lactalbumin (α -lac), 180 present in the supernatants were quantified by reversed phase high performance liquid 181 chromatography (RP-HPLC) as described in Schong & Famelart (2019). NWPs were 182 guantified as the sum: β -Lg + α -lac. One sample was prepared for each S0 suspension and 183 three RP-HPLC injections were done for each preparation.

The size distribution of PMs with sizes of 0.02–2000 µm was measured in S0 suspensions at 20 °C using a Mastersizer 2000 equipped with the HydroSM module (Malvern Instruments, Worcestershire, UK), as described by Famelart et al. (2018). The D[4,3] was then deduced. Two samples were taken from each S0 suspension and measurements on each sample were carried out in triplicate. Changes in the D[4,3] of the powder particles in suspension were evaluated by determining the size ratio (SR) from the D[4,3] of the PMs/D[4,3] of the powder particles.

PMs were isolated by centrifuging around 2 g of each S0 suspension at 10 000 *g* for 15 min at 20 °C. Pellets containing sedimented PMs were then weighed in the wet state to obtain the wet weight (WW) and then after drying using a Savant Speed Vac Concentrator SVC-100H (Hicksville, USA) to obtain the dry weight (DW). The dry yield of conversion of the powder dry matter into PMs (DY) was then determined as the dry weight of the pellet obtained from 1 g of dry-heated powder. The wet yield of conversion (WY) was determined

197 as the wet weight of the pellet obtained from 1 g of dry-heated powder. As the dry matters of 198 the WP and NaCas mixtures and of the Casmic powder were made up of about 94% and 199 83% protein, respectively, the DY and WY provided good estimation of the yield of 200 conversion of proteins into particles. The water content (WC) of the PMs, determined as the 201 weight of water per 1 g of dry pellet, was calculated as follows:

202 WC =
$$\frac{(WW-DW)}{DW}$$
 equation 3

203 Measurements were performed four times for each suspension.

S0 suspensions were diluted 10-fold in distilled water and observed by confocal laser scanning microscopy (CLSM) using an inverted TE2000-E microscope (Nikon, Champignysur-Marne, France) with a x20 oil-immersion objective. Proteins were probed with Nile Blue. Ten images per sample (512 x 512 pixels², representing 636 x 636 μ m²) were acquired at a distance of 15 μ m away from the bottom lamella.

209 2.4. Statistical analysis

The effects of powder composition on the D[4,3] and on the residual NWP content were analyzed by analysis of variance (ANOVA) and, in case of significance, by the Tukey's multiple comparison test for paired comparisons of means. A P value of less than 0.05 was used as the level of significance. All statistical analyses were performed using the R software package.

215 3. Results and discussion

216 3.1. Powder characterization

The BI of the powders before (0 h) and after DH for 36 h is shown in Fig. 1. For the WP powder without added casein (Cas18 sample), the BI was 12 before DH and 45 after 36 h of 219 DH. These values are in accordance with those described previously: BI scores of 7-16 220 before DH and 27-54 after 36 h of DH were obtained for the same powder after same 221 treatments by Famelart et al. (2018) and Schong & Famelart (2018, 2019). The BI of all 222 powders containing a mixture of WP and NaCas was around 10 before DH and increased by 223 a factor of four after DH. The highest BI after DH was obtained for the powders with around 224 40% casein; the BI then decreased as the NaCas content of the powders increased. This 225 decrease in BI may be explained by a reduction in the lactose to protein ratio when WPs 226 were replaced by NaCas; however, the reason for the increase in BI between powders with 227 18% casein and those with 44% casein is unknown. One hypothesis is that the alkaline pH 228 applied before DH induced WP denaturation and unfolding, leading to an increased exposure 229 of the lysine residues and their glycation via the Maillard reaction. Glycation levels in caseins 230 would be expected to be higher than those in WPs as caseins are unstructured proteins and 231 do not need to be unfolded to be able to react with lactose. This could explain the initial 232 increase in BI with increased casein content. The BI of the Casmic powder increased by a 233 factor of 12 after DH, probably because this powder had a higher lactose to protein ratio 234 promoting a more intensive Maillard reaction.

235 The granular size distribution of the unheated powder is shown in Fig. 2A. The size 236 distribution of the powder particles made of WP and NaCas showed a slight shift towards 237 larger sizes with the addition of NaCas. This shift was confirmed by the significantly higher 238 D[4,3] values observed for the powder particles with increasing casein content (the grey 239 points in Fig. 2C). As with the BI, a maximum for the D[4,3] value was observed in powders 240 containing around 40% casein. This increase in D[4,3] was probably due to higher resistance 241 of powders with higher NaCas contents (or lower lactose contents) to crushing of the freeze-242 dried powder during preparation for DH. However, it is unclear why this increase in D[4,3] 243 was not linear with increasing casein content. This change in size of the powder flakes may 244 be influenced by the freeze-drying process. Firstly, freeze-drying begins with freezing of the 245 solution leading to separation of the protein solution into, first, liquid water in the form of ice

246 crystals and, second, a concentrated solution of proteins and solutes. Ice is subsequently 247 removed during the sublimation step, together with the water adsorbed onto the solutes 248 (Franks, 1998). Concentrated proteins and solutes may then interact, be denatured, and 249 either precipitate in the crystalline state or stay as an amorphous solid that can also proceed 250 to glass transition at low temperatures. We assumed that the structure of the freeze-dried 251 cake before the crushing step was made up of pores the size of ice crystals embedded in the 252 protein amorphous concentrate, and that the crushing would lead to breakage preferentially 253 through these pores. Thus, the structure of the cake would be expected to have 254 consequences on the size of the powder flakes: a larger crystal size distribution would lead 255 to a cake with larger pores, a denser amorphous protein phase, and to smaller flakes than 256 would be observed with smaller crystals However, this hypothesis cannot be confirmed as 257 we do not know the sizes of the crystals in the different frozen mixtures. Secondly, although 258 the increase in size of the powder flakes appeared to correlate with the small decrease in 259 lactose content resulting from substitution of WP by NaCas, reduced amounts of lactose are 260 very unlikely to lead to an increase in powder flake size as decreasing the lactose content 261 has been shown previously to decrease flake sizes (Schong & Famelart, 2019). Thirdly, 262 protein powders are more rigid and brittle at temperatures below the glass transition 263 temperature (Tg) and softer and more viscous-like at temperatures above the Tg. The Tg 264 values of NaCas, Casmic and WP at an $a_w \sim 0.3$ are 61, 72 and 84 °C, respectively (Schuck, 265 Jeantet, & Dolivet, 2012). The lower the Tg of the powder, the closer the powder would be to 266 the transition towards a softer material, and the softer the material would be. Thus, we would 267 expect Cas18 to be harder than Cas99, but the observed flake sizes contradict this 268 explanation. Furthermore, differences in behavior between WP and micellar casein, with 269 relevant consequences on powder morphology, have been reported previously during spray-270 drying (Sadek et al., 2014). Powder particles of micellar casein are wrung, and invaginated, 271 whereas those of WP are totally spherical, and present a large vacuole of occluded air and a 272 smooth surface. These differences could be ascribed to the fact that when proteins are 273 concentrated to above a critical concentration during droplet drying, casein or caseinate

undergo a sol-gel transition (Bouchoux et al., 2009) and globular proteins undergo a glass 274 275 transition (Brownsey, Noel, Parker, & Ring, 2003; Parker, Noel, Brownsey, Laos, & Ring, 276 2005), leading to development of solid-like properties. Storage moduli of 200-300 Pa for 277 casein gels and > 10000 Pa for β -Lg and bovine serum albumin gels have been reported at 278 concentrations of 100-200 g/kg for casein and > 400 g/kg for globular proteins (Bouchoux et al., 2009; Brownsey et al., 2003; Parker et al., 2005). Thus, the differences in powder 279 280 morphology and size may have been caused by differences in the physicochemical 281 properties of the proteins, such as their transition concentration or gel firmness. However, 282 casein gels formed by concentration are softer than WP gels, a finding which again is 283 contradictory to our observation that flake size increases with increasing casein content. In 284 conclusion, the mechanism underlying the changes in flake size with altered composition of 285 the mixtures remains unclear. The observed changes in flake size were small, with the size 286 of powder flakes ranging between 5 and 500 µm and the D[4,3] values ranging between 60 287 and 100 µm for all powders; however, any changes in flake size or structure could have 288 major consequences on the formation of PMs.

289 Light micrographs of powder particles from samples of Cas18, Cas58 and Cas99 after 290 DH, and from Casmic before and after DH, are shown in Fig. 3. The powder particles appear 291 as flakes, as expected for freeze-dried powders. Although microscopy could not be used to 292 provide accurate evaluations of the size distribution of the flakes, because of the very small 293 number of particles observed in each sample and the risk that these small samples were unrepresentative of the bulk, we did not notice any significant size differences between the 294 295 flakes of powders with different NaCas contents. The Cas99 powder flakes appeared slightly 296 denser and more shrunken than flakes from powers with lower NaCas contents. In addition, 297 the Casmic powder flakes appeared much more shrunken, less translucent, and much 298 smaller than flakes from the other powders. It was of interest to note that the aspect of the 299 Casmic flakes, and indeed that of all the other powders studied (data not shown), was not 300 modified by the DH treatment. The size of the flakes from powders with WP and NaCas

301 appeared to be around 5–20 μ m, whereas those from the Casmic powder were < 10 μ m. 302 These estimates of flake size made from microscopic evaluations were smaller than the 303 values obtained using the Qicpic method. We consider that the Qicpic method gave the most 304 reliable estimation of flake size because using this method more than 10⁶ particles were 305 characterized during one measurement.

306 3.2. Protein microparticle characterization

307 Photographs of S0 suspensions of dry-heated powders were taken immediately (d0, not 308 shown) and 5 d after their rehydration and quiescent storage (see the supplementary 309 information and Fig. S1). The d0 suspensions of dry-heated powders appeared cloudy, due 310 to the presence of PMs that sedimented after storage. These suspensions were yellow for 311 the Cas18-Cas99 samples, and yellow-red for the Casmic sample. In contrast, the 312 suspensions of powders before DH were translucent (not shown). Similar volumes of 313 sediment appeared to be present in the Cas18 to Cas38 samples, but the volume of 314 sediment seemed to decrease slightly in suspensions from powders with above 38% casein. 315 A far smaller volume and browner sediment was observed in the Casmic sample. These 316 findings show that DH of the powders for 36 h led to the formation of PMs that sedimented 317 with time. Evaluation of the height of the sediment on the photograph revealed that these 318 sedimented particles occupied a rather large volume: although the suspensions contained 319 only 1% dry-heated powder, the sedimented particles occupied between 10% (Casmic) and 320 24-17% (WP and NaCas) of the total volume.

The size distribution of the PMs varied between 4 and 1000 µm for the Cas18 suspension and seemed to shift towards larger values with the addition of increasing amounts of NaCas (as shown in Fig. 2B). D[4,3] values increased as the NaCas content increased from 18% to around 40% and then decreased for samples with over 40% NaCas (Fig.2C). The size of these PMs was 2-4 fold larger than that of the powder flakes, with the Cas34-Cas44 samples having the largest PM sizes. The SR was around four for the Cas34 sample and the lowest

327 SR value, of around two, was obtained for Cas99. Swelling of the dry-heated particles when 328 placed in the aqueous phase, leading to larger sizes in suspension than those observed in 329 the powder, has already been reported in previous studies (Schong & Famelart, 2018): the 330 diameter of particles obtained by DH of spray-dried powders has been shown to increase by 331 a factor of four when placed in water. This swelling was probably due to repulsion between 332 negative charges on proteins inside the PMs. An example of this swelling is shown for a flake 333 from the Cas99 sample and occurred within around 20 s under the conditions used in the 334 current study (see supplementary Fig. S2): the dimensions of the flake increased by a factor 335 of around two, in accordance with the calculated SR of powder flakes from this sample (SR ≈ 336 2). Our previous study indicated that the degree of swelling was related to the number of 337 crosslinks between proteins inside the PM: swelling was reduced after prolonged DH times 338 and in powders with higher lactose contents, i.e. under conditions where the number of 339 crosslinks increased (Schong & Famelart, 2019). However, the degree of swelling may also 340 be related to the size of the powder flakes: in the current study swelling was maximal in 341 samples with 34-44% casein. It should be noted that the maximum BI was also observed in samples with around 40% casein. 342

343 The size of PMs from the Casmic suspension varied between around 2 and 1000 µm and 344 their D[4,3] was close to the D[4,3] of the powder flakes. In contrast to the WP-NaCas flakes, 345 the Casmic PMs underwent only minimal swelling when placed in water, and some of the 346 PMs appeared to be smaller than the powder particles (1-10 μ m; Fig. 2B). As these small 347 PMs swelled when placed in suspension, they may have been present in the powder, but 348 were smaller than the minimum size detected by the QICPIC method (~1 µm). Although PMs 349 were formed by DH of the Casmic powder, the suspended particles appeared to be smaller 350 than the PMs observed in the other powder samples analyzed in this study. The small size of 351 the Casmic PMs probably resulted from a high degree of crosslinking associated with very 352 intense Maillard reactions promoted by the higher lactose content of this sample.

353 In conclusion, dry-heated powders with WP and NaCas swelled by a factor of 2-4, 354 whereas no swelling was observed for the Casmic powder. CLSM micrographs showing the 355 sizes of the PMs in the samples are shown in Fig. 4. No particles were visible in the powder 356 suspensions before DH (data not shown). Angular PMs, with shapes similar to those 357 observed for the powder flakes, were formed by DH of all the powders. The largest PMs 358 were observed for powders with between 34 and 44% casein. PMs from the Casmic powder 359 appeared to be much smaller than the those formed from powders containing WP and 360 NaCas. These findings are therefore in accordance with the size measurements obtained 361 using the Mastersizer method (Fig. 2).

362 The yields of conversion of the powder into PMs (DY and WY) and the WC of the PMs are 363 shown in Fig. 5. The DY value of 0.6 g/g for the WP sample without added casein was the 364 same at that reported in our previous study (0.6-0.7 g/g; Famelart et al., 2018; Schong & 365 Famelart, 2019). The DY of PMs produced from WP and NaCas powders increased slightly 366 with increasing NaCas content (+31% between Cas18 and Cas99). The fact that the DY 367 increased with the caseinate content confirmed that caseinate formed part of the PMs, with 368 the caseinate molecules being crosslinked by DH at pH 9.5. If the caseinate had not formed 369 part of the PMs, we would have expected to see a decrease in DY with increasing NaCas 370 content. It seems that the increase in DY with casein content (i.e. the slope of the DY-casein 371 content curve) was most rapid between 18% and 40% casein, and slower at higher casein 372 contents from 40 to 99%. The amount of water entrapped in the PMs (WC) from the powder 373 without added casein was 28 g/g. This value is in accordance with the WC values of 25-30 374 g/g found for the same freeze-dried powder in our previous studies (Famelart et al., 2018; 375 Schong & Famelart, 2019), but is lower than the value of 49 g/g found for the spray-dried 376 powder after the same DH treatment (Schong & Famelart, 2018). WC decreased with the 377 addition of NaCas, but this decrease was irregular: the decrease was minimal from 18 to 378 34% and from 50 to 99% casein, whereas it was maximal between 34 and 58% casein. The 379 WY from the powder without added casein was 18 g/g, compared to 18-20 g/g in previous

380 studies (Famelart et al., 2018; Schong & Famelart, 2018, 2019). The WY increased between 381 18 and 34-38% casein and then declined for powders with above 38% casein before 382 becoming almost constant between 58 and 99% casein. In conclusion, the yields and WC 383 values were slightly modified by the substitution of WP for Nacas. Replacing WP by NaCas 384 promoted the formation of more PMs, but with less water entrapped in their structures. These 385 small differences could be due to: i) changes in the size or structure of the crushed powders, 386 with flakes produced from the Cas18 powder being smaller (Fig.2C) and those produced 387 from Cas99 being denser and more shrunken (Fig.3); ii) differences in the amount of 388 unfolded protein, such as casein; iii) differences in the extent of crosslinking during DH, 389 associated with differences in lactose content. Indeed, lactose has been shown to promote 390 the rapid formation of PMs (Schong & Famelart, 2019). However, lactose content alone 391 cannot explain the changes observed with increasing casein content, as maximal values for 392 the BI, particle swelling and WY were obtained for powders with around 40% casein. As 393 discussed above, the structure of the cake would be expected to change with the size and 394 number of ice crystals formed during freezing of the protein solution, the amount of lactose or 395 any other solute, and with the physicochemical properties of the proteins, such as their Tq 396 and transition concentration and the gel firmness of their concentrated solutions. As the 397 density of the protein cake, together with the extent of the crosslinking and the protein-398 protein and protein-water interactions responsible for swelling, define the amount of water in 399 the particles in suspension (i.e. the WC), it would be necessary to characterise all of these 400 parameters to be able to fully explain the variation in properties of the PMs produced by DH.

The fact that the maximum BI, powder flake and PM D[4,3] and WY values were all observed at around 40% casein could also be explained by a specific interaction occurring at pH 9.5 between casein and WP at this ratio, with consequences on protein behaviour. This hypothesis needs to be confirmed by analysis of the proteins in solution at pH 9.5 before drying and after drying and DH.

406 The Casmic powder also formed large PMs during DH, with a higher DY than the WP and 407 NaCas powders (Fig. 5). This higher DY indicates that more extensive crosslinking leads to 408 retention of the micellar casein inside the powder particles during the DH and that fewer 409 proteins were present as small aggregates or monomers in the soluble phase. These PMs 410 entrapped much less water and displayed lower WC and WY values than those observed for 411 the WP and NaCas powders (Fig. 5). The limited ability of the Casmic PMs to retain water is 412 related to the fact that the D[4,3] values of the powder and PMs were very similar, thus these 413 PMs did not swell when dissolved in water. Moreover, we have already shown that an 414 increase in the DY of WP PMs correlates with a lower WC (Schong & Famelart, 2019).

415 3.3. Denaturation of whey proteins and composition of protein microparticles

416 For powders made of WP and NaCas, the level of WP denaturation before DH and after 417 36 h of DH was almost constant, regardless of the NaCas content (Table 1). Before DH, 70% 418 of the WPs were still native, meaning that 30% were already denatured before DH. We have 419 already shown that adjusting the pH of the WP solution to 9.5, followed by drying and a_w 420 adjustment of the powder at 20 °C for 2 weeks, leads to WP denaturation: similar values of 421 50-60% (Famelart et al., 2018) and 70-80% (Schong & Famelart, 2018, 2019) residual NWP 422 were obtained before DH in previous studies. β -Lg was shown previously to be the main 423 protein impacted by the pH adjustment: the native α -lac and bovine serum albumin (BSA) 424 contents before DH were 90%, whereas that of β -Lg was only 50% (Famelart et al., 2018). 425 Indeed, alkaline-induced changes in WPs have been reported at pHs above 9, including 426 irreversible unfolding and polymerization of proteins (Monahan, German, & Kinsella, 1995; 427 Partanen et al., 2011; Taulier & Chalikian, 2001).

The NWP content decreased to 10-20% after 36 h of DH, and both β -Lg and α -lac were denatured. These values are similar to those obtained in previous studies (Famelart et al., 2018; Schong & Famelart, 2018, 2019). BSA has also been shown to be denatured by DH (Famelart et al., 2018), thus we can state that most WPs are denatured by the DH treatment 432 at alkaline pH values. Finally, increasing the NaCas content did not have any effect on WP
433 denaturation during the DH process. It was not possible to analyze the levels of NWP in
434 samples from the Cas99 powder containing only 1% WP.

For the Casmic powder, the residual NWP content before DH was 100% (Table 1). One hypothesis for the lack of denaturation of the WPs in the Casmic powder is that these WP were less sensitive to the alkaline pH. Although the Casmic sample contained only 7% WP, the degree of denaturation after 36 h of DH was similar to that detected in the WP and NaCas powders, at around 90%. These findings show that WPs from the Casmic powder were also sensitive to DH at an alkaline pH.

441 **4. Conclusions**

442 Our study shows that DH of a powder made of WP and NaCas conditioned at pH 9.5 led 443 to the production of large and highly hydrated PMs. Substitution of WP by NaCas led to small 444 changes in the formation of PMs and in their properties. The yield of conversion of protein 445 into PMs tended to increase, whereas the amount of water entrapped in these PMs tended to 446 decrease with the substitution of WP by casein. However, these changes were limited and 447 not linearly dependent on the casein content. The size of the PMs was maximal in powders 448 with around 40% casein. We showed PM formation also occurs in micellar casein-enriched 449 milk protein powders. That fact that casein has no native structure but was still able to form 450 PMs, throws doubt on the hypothesis that the role of the alkaline pH conditions used in the 451 process was to unfold proteins, as suggested in Schong & Famelart (2019). Furthermore, these data suggest that it is possible to produce PMs by this process for a range of powders, 452 453 including whey isolate or concentrate or a milk concentrate, as casein has only a limited 454 effect on the yield and properties of the particles. Further studies are required to characterize 455 the effects of this process on spray-dried powders.

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575 **Table 1**

576 Native residual whey protein (NWP) levels in S0 suspensions of powders before and after 36

577 h of dry heating (DH) at 100 °C. Data are expressed as the % of total WP in the S0

578 suspension.

Casein content (% w/w)	NWP	
	Before DH	After DH
18	75.4±5.1 ^a	14.6±2.1ª
34	77.7±7.0 ^a	14.4±2.7 ^a
38	77.2±4.3 ^a	13.9±1.2 ^a
44	71.9±0.5 ^a	14.3±2.4 ^a
58	78.6±3.7 ^a	10.9±1.6 ^a
93*	102.7±2.9	8.3±0.6 ^b

Micellar casein-enriched milk protein powder sample, all other samples are mixtures of
 sodium caseinate (NaCas) and whey protein (WP) powder.

581 ^{a,b} For each column, values marked with different letters showed a statistically significant difference in NWP content (P < 0.05).

583 Figure captions

Fig. 1. The browning index (BI) of powders before (grey) and after 36 h of DH at 100 °C (black) as a function of the percentage casein content (w/w). The powders analyzed contained either whey proteins with increasing amounts of sodium caseinate (circles) or micellar casein-enriched milk proteins (crosses). Plotted lines illustrate the trend in BI with increasing casein content.

589 Fig. 2. The granular size distribution of A) freeze-dried powder particles before dry heating 590 (DH) and B) protein microparticles in S0 suspensions after DH of the powders. Colors from 591 black to green represent powders with increasing amounts (w/w) of sodium caseinate 592 (NaCas), ranging from Cas18 with a mixture of whey proteins and 18% NaCas to Cas99 with 593 a mixture of whey protein and 99% NaCas. The red curve represents the particle size 594 distribution for the micellar casein-enriched powder (Casmic). The distributions shown are 595 representative of data obtained from two samples of each S0 suspension measured in 596 triplicate; C) The mean volume diameter (D[4,3]) of powder particles (grey) and of protein 597 microparticles (black) as a function of casein content for powders containing either whey 598 proteins with increasing amounts of sodium caseinate (circles) or micellar casein-enriched 599 milk proteins (crosses). For each curve, no statistical differences were observed between 600 samples for data points marked with the same letter (P < 0.05).

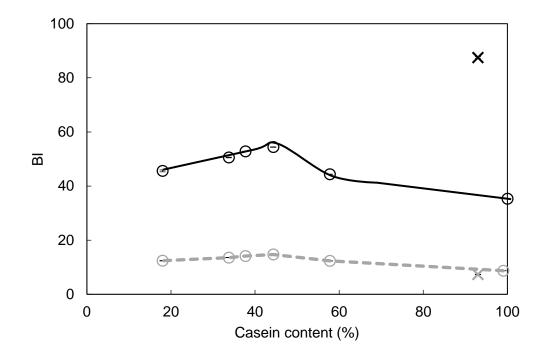
Fig. 3. Light micrographs of particles from powders containing whey proteins with increasing amounts (w/w) of sodium caseinate (NaCas) ranging from 18% (Cas18) to 99% (Cas99) after 36 h of dry heating (36h DH), and of the particles from the powder containing micellar caseinenriched proteins (Casmic) before DH (0h DH) and after 36 h of DH (36h DH). The micrographs shown are representative of the 10 images recorded for each sample.

Fig. 4 Confocal laser scanning micrographs of S0 suspensions of powders dry-heated for 36
h. Suspensions were prepared from: A) powders of whey proteins with increasing amounts

608 (w/w) of sodium caseinate (NaCas), ranging from 18% (Cas18) to 99% (Cas99); and B) 609 Casmic powder of micellar casein-enriched proteins. Bars = 200 μ m. The two micrographs 610 shown for each powder are representative of the 10 images recorded for each sample.

Fig. 5. Dry yield (DY) of conversion of powder dry matter into microparticles, water content (WC) of the microparticles and wet yield (WY) measured as the weight of pellets from S0 suspensions as a function of the percentage casein content in % (w/w). The dry-heated powders in the suspensions contained either whey proteins with increasing amounts of sodium caseinate (circles) or micellar casein-enriched milk proteins (crosses).

Fig 1



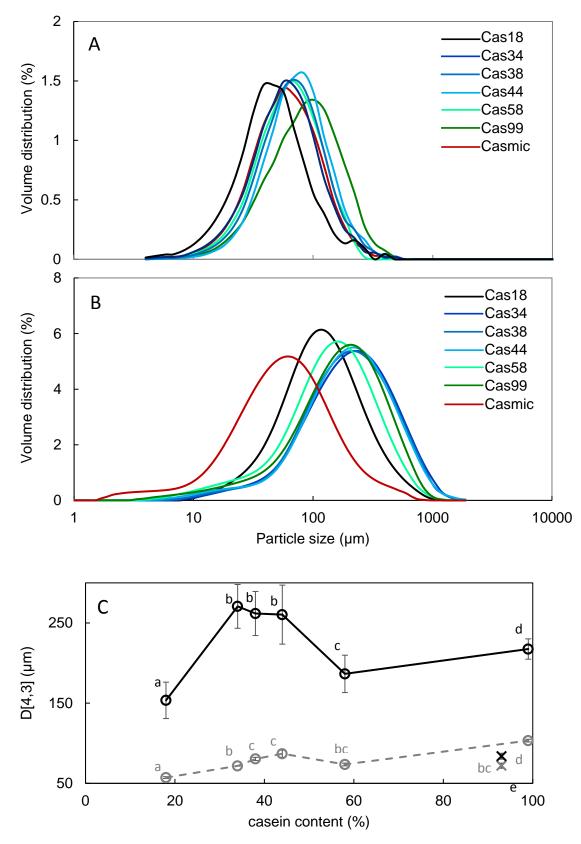


Fig. 2.

Fig. 3

