

Evaluation of 3-hydroxy fatty acids as a pH and temperature proxy in soils from temperate and tropical altitudinal gradients

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1 2	Evaluation of 3-hydroxy fatty acids as a pH and temperature proxy in soils from temperate and tropical altitudinal gradients
2	temperate and tropical attitudinal gradients
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20 21 22	ABSTRACT
22	3-OH FAs containing 10 to 18 C and a hydroxyl group in third position are characteristic
24	components of Gram-negative bacteria. These compounds were previously used to detect and
25	quantify Gram-negative bacterial communities in various types of samples, from terrestrial,
26	aquatic and atmospheric environments. The relative abundance of 3-OH FAs in soils was
27	recently shown to vary with mean annual air temperature (MAAT) and pH in soils from Mt.
28	Shennongjia (China). In the current study, the concentrations and abundances of 3-OH FAs
29	were determined in soils from altitudinal transects under tropical and temperate climates – Mt.
30	Rungwe (SW Tanzania) and Mt. Majella (Central Italy), respectively. The aim was to (i)
31	examine and compare the distributions of 3-OH FAs in soils from different climatic zones and
32	to (ii) investigate the potential of 3-OH FAs as temperature and pH proxies. When combined
33	with previously published data, a moderate correlation ($R^2 0.62$) between the summed <i>iso</i> and
34	anteiso to the total amount of normal 3-OH FAs (RIAN index) and pH is obtained. We show

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35 that Gram-negative bacteria respond in the same way to temperature variations in soils from different latitudes, with a relative increase of the anteiso to normal 3-OH FA ratios of the C15 36 and C₁₇ compounds (RAN₁₅ and RAN₁₇ indices, respectively) with decreasing temperature. 37 Nevertheless, the intercepts of the relationships between RAN₁₅ and MAAT are mountain-38 39 dependent, suggesting that regional calibrations may be required to use RAN₁₅ as a 40 temperature proxy. In contrast with RAN₁₅, the statistical similarity of the local relationships between RAN₁₇ and MAAT leads to a combined calibration (R² 0.60, residual mean error 5.1 41 42 °C) covering a wide range of temperature (ca. 0 - 25 °C). As 3-OH FAs seem well-preserved 43 in sedimentary archives, this strengthens the potential of these compounds as a temperature 44 and pH proxy for paleoreconstructions in terrestrial settings.

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46 Keywords: 3-Hydroxy fatty acids; soils; altitudinal transects; environmental proxies

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48 1. Introduction

50 Investigating past climatic variations is essential to understand and predict future 51 environmental changes. Paleoclimate studies are chiefly carried out for marine environments 52 because environmental proxies were mainly developed and used for oceanic settings. The composition and mechanism of formation of marine sediments are indeed less complex than 53 54 the highly heterogeneous continental settings. Several environmental proxies based on organic (e.g. the alkenone unsaturation index $- U^{k'_{37}}$; Brassell et al., 1986; the tetraether index 55 56 of 86 carbon atoms - TEX₈₆; Schouten et al., 2002) and inorganic (e.g. Mg/Ca ratio and ¹⁸O/¹⁶O ratio of foraminifera; Erez and Luz, 1983; Elderfield and Ganssen, 2000) fossil 57 58 remains were notably developed for the reconstruction of sea surface temperatures. However, 59 it is essential to develop new proxies also applicable in continental environments to assess climatic variability over the continents and improve the understanding of past global climate. 60

Membrane lipids produced by some microorganisms can be used to this aim. 61 62 Environmental stresses can alter membrane fluidity and permeability, thus affecting the proper functioning of the cell. Microorganisms (bacteria, archaea and some eukaryotes) are 63 64 able to adjust their membrane composition in response to the prevailing environmental conditions in order to maintain an appropriate fluidity and ensure the optimal state of the 65 66 cellular membrane (e.g. Hazell and Williams, 1990; Denich et al., 2003), so-called "homeoviscous adaptation" (Sinensky, 1974). Bacteria can thus change the number of 67 68 unsaturations, ramifications or chain length of their membrane lipids – mainly fatty acids – in 69 response to varying environmental conditions (e.g. Prado et al., 1988; Suutari and Laakso, 70 1992). In the same way, the structure of glycerol dialkyl glycerol tetraethers (GDGTs), which 71 are membrane lipids biosynthesised by archaea and some bacteria, is known to be related to environmental parameters (Schouten et al., 2013 and references therein). Bacterially-derived 72 73 branched glycerol dialkyl glycerol tetraethers (brGDGTs) have especially been the object of 74 growing interest, as they are, to date, the only available organic proxies which can be used for 75 terrestrial temperature and pH reconstructions (e.g. Peterse et al., 2014; Zheng et al., 2015). 76 Nevertheless, paleoenvironmental data derived from brGDGTs have to be interpreted with 77 care, as their source microorganisms remain unknown, although some might belong to the 78 phylum Acidobacteria (Sinninghe Damsté et al., 2011, 2014, 2018). Each environmental 79 proxy has its strengths and limitations, related to several factors (biological, environmental, 80 physical etc.). The development of new environmental proxies, independent of and 81 complementary to those derived from brGDGTs, is required to improve the reliability and 82 accuracy of terrestrial environmental reconstructions and to provide constraints on brGDGT 83 applications.

Recently, Wang et al. (2016) suggested that other bacterial lipids, so called 3-hydroxy fatty acids (3-OH FAs), could be used as such a proxy. These compounds, containing 10 to 18 C

and a hydroxyl group in third position, are characteristic components of the Gram-negative 86 87 bacterial lipopolysaccharide (LPS). The LPS is a major constituent of the external surface layer of these microorganisms. It is composed of three parts: (i) a polysaccharide chain (O 88 antigen); (ii) a core oligosaccharide and (iii) the lipid A, the most internal region of the LPS, 89 90 mainly constituted of 3-OH FAs (Raetz et al., 2007). Gram-negative bacteria are ubiquitous 91 in terrestrial and aquatic environments. 3-OH FAs were previously used to detect and quantify 92 Gram-negative bacterial communities in various types of samples, such as marine dissolved 93 organic matter (Wakeham et al., 2003), atmospheric aerosols (Cheng et al., 2012), fresh snow 94 (Tyagi et al., 2015) or soils (Zelles et al, 1995). Nevertheless, only little information is 95 available regarding the effect of environmental parameter variations on the 3-OH FA 96 structures. Recently, 3-OH FAs were analysed in 26 soils located between 315 and 2840 m elevation along Mt. Shennongjia (China; Wang et al., 2016). Significant correlations were 97 98 obtained between the relative abundance of these compounds and temperature or pH. The 99 ratio of the summed iso and anteiso to the total amount of normal 3-OH FAs (defined as the 100 RIAN index) correlated with soil pH, and the anteiso to normal 3-OH FA ratio for the C₁₅ and 101 C₁₇ compounds (RAN₁₅ and RAN₁₇ indices, respectively) correlated with MAAT (Wang et 102 al., 2016). The RAN₁₅ and RIAN were very recently used to reconstruct Holocene 103 temperature and hydrological changes from a stalagmite collected in Central China (Wang et 104 al., 2018). This suggests that 3-OH FA-based proxies are promising tools for 105 paleoenvironmental reconstructions. Nevertheless, to date, the applicability of 3-OH FAs as 106 temperature and pH proxies has only been investigated in Central China so should be tested in 107 other regions of the world.

In the present study, concentrations and abundances of 3-OH FAs were determined in soils from two contrasting altitudinal transects: one under tropical climate – Mt. Rungwe, SW Tanzania, and the other one under temperate climate – Mt. Majella, Central Italy. The aims 111 were (i) to examine and compare the distributions of 3-OH FAs in soils from different 112 climatic zones, including the Chinese samples previously analysed by Wang et al. (2016) and 113 (ii) to investigate the potential of 3-OH FAs as temperature and pH proxies.

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2. Material and methods

117 *2.1*.

Sites and sampling

118 119 Soil samples were collected along two different altitudinal gradients. The first site is Mt. 120 Rungwe (2960 m) located in the southwest of Tanzania, described in detail by e.g. 121 Williamson et al. (2014) and Coffinet et al. (2017). Briefly, it experiences a tropical climate, 122 with an alternating hot humid season (November to May) and a cold dry season (June to 123 October), the precipitation reaching 2400 mm/yr on average with similar amounts from the 124 base to the top of the mountain (Bergonzini, 1998). Natural vegetation along the altitudinal 125 transect includes Zambezian Miombo-type woodland at low altitude and Afromontane 126 vegetation at higher altitude. Various soil types derived from geological bedrock materials 127 (volcanic ash and pumice) are encountered along Mt. Rungwe, eutric Leptosols, umbric 128 Nitisols and haplic Lixisols being predominant (Tilumanywa, 2013). Soils in the high 129 grasslands are thin and quite rocky, while those on the steep slopes of Mt. Rungwe are 130 predominantly dark greyish and dark brown with sandy and clay loams, and those of the main 131 arable lands are coarse or medium-textured, ranging from sandy loams to alluvial 132 (Tilumanywa, 2013). MAAT measurements with temperature loggers during 1 yr (Davis 133 Instruments, Hayward, CA, USA) at three altitudinal points showed a decrease from 25.6 °C at 540 m to 22.6 °C at 920 m and 16.9 °C at 1720 m. MAAT at other altitudes were linearly 134 135 extrapolated from these recorded temperature data (Table 1). 3-OH FAs were analysed in 21 136 surface soils (A horizon; 0-5 cm depth) collected between ca. 500 and 2800 m along Mt. 137 Rungwe in April and December 2012 and November 2016. Samples were kept at room

temperature for a limited amount of time (ca. 2 weeks) before being sent to France byairplane.

140 The second site is Mt. Majella (2794 m elevation) located in Abruzzo, Central Italy and 141 was described in detail by e.g. Bemigisha et al. (2009) and Bonanomi et al. (2016). Climate is 142 Mediterranean at the lower part of the gradient and changes to subalpine-alpine humid type at 143 the upper part. Along the southern side of the massif, where samples were collected, mean 144 annual precipitation (MAP) changes (Table 1 and Supp. Table 1), ranging between ca. 800-145 900 mm/year at the lower part of the gradient (0-1000 m a.s.l.) and ca. 1200-1300 mm/year at 146 the upper part (> 2000 m a.s.l.). A sharp vertical zonation of vegetation type is observed, 147 including olive orchards at the bottom (ca. 300 m a.s.l.), forest and low elevation grasslands in 148 between (800 – 1850 m a.s.l) and high altitudinal grasslands above (Bonanomi et al., 2016). 149 MAAT decreases from 14.6 °C at 300 m to 3.1 °C at 2405 m and 0.03 °C at 2730 m (Stanisci 150 et al., 2005). Soil is spatially variable from shallow rendzic Leptosol with xeromoder humus 151 and basic pH to skeletic Leptosol, the latter covered with flat frost-shattered gravel stones on 152 the plateau and bare solifluxed steep slopes (Bonanomi et al., 2016). 11 surficial soil samples 153 (0-5 cm depth) were collected between 400 and 2800 m along Mt. Majella in December 2016 154 and were immediately frozen at -20 °C after sampling. Samples from Mt. Rungwe and Mt. 155 Majella were stored at -20 °C and then freeze-dried, ground and homogenized.

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157 2.2. pH and elemental analysis

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The pH of freeze-dried samples was measured in ultrapure water (Milli-Q, Millipore with a 1:2.5 soil water ratio (Table 1). The decarbonation of soil samples from Mt. Rungwe collected in 2012 and the subsequent organic carbon content (C_{org}) elemental analysis was performed at the Service Central d'Analyse du CNRS for soil samples, as reported in Coffinet et al. (2014). All the other samples (Mt. Rungwe and Mt. Majella soils collected in 2016) were decarbonated with HCl and neutralized with deionized water (cf. Huguet et al., 2013a). C_{org}
content was then determined using an Elementar Vario Pyrocube.

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167 2.3. Sample preparation

169 Preparation of samples for 3-OH FA analysis followed the procedure of Wang et al. (2016) 170 with slight modifications. Briefly, ca. 10 g of freeze-dried and ground soil were subjected to 171 acid hydrolysis. Whereas only free 3-OH FAs are obtained upon direct solvent extraction, 172 additional compounds bound to LPS of Gram-negative bacteria can be released through the 173 hydrolysis step (Wang et al., 2012, 2016). Acid hydrolysis was shown to be more efficient in 174 extracting 3-OH FAs from soil samples than saponification, suggesting that most of these 175 molecules are linked to macromolecules by amide bonds in soils, the latter being difficult to 176 cleave off by saponification (Yang et al., 2016). Consequently, soil samples were subjected to 177 acid digestion rather than saponification in this study. They were refluxed with 3M HCl aq. 178 for 3 h using a silicon oil bath heated at 130 °C. After cooling, the suspension was centrifuged 179 for 10 min at 15 °C and 3500 rpm and the supernatant transferred to a separation funnel. The 180 residue was then sequentially extracted with a mixture of dichloromethane (DCM):MeOH 181 (1:1, v/v; 2×) and DCM (2×). Each extraction was followed by centrifugation and 182 combination of all extracts in the separation funnel. The DCM phase was separated from the 183 MeOH/H₂O layer, which was again extracted with DCM (3×). The DCM fractions were 184 combined and rotary-evaporated. The lipid extract obtained after acid hydrolysis of the soil 185 was methylated with HCl-MeOH 1 M at 80 °C for 1 h and then separated into 3 fractions over 186 a silica column (activated at 150 °C overnight) using (i) 30 mL of heptane/EtOAc (98:2), (ii) 187 30 mL of EtOAc and (iii) 30 mL of MeOH. OH-FA methyl esters (FAMEs) eluted only in the 188 second fraction. The OH-FAMEs, diluted in DCM, were derivatised with a solution of N,O-189 bis(trimethylsilyl)trifluoroacetamide (BSTFA) - Trimethylchlorosilane (TMCS) 99:1 (Grace

190 Davison Discovery Science, USA) at 70 °C for 20 min before gas chromatography-mass
191 spectrometry (GC-MS) analysis.

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193 *2.4. 3-OH FA analysis* 194

195 3-OH FAs were analysed using an Agilent Network 6890 GC System coupled with a 5973 196 Mass Selective Detector, with electron impact at 70 eV. A Restek RXI-5 Sil MS silica column 197 $(30 \text{ m} \times 0.25 \text{ mm i.d.}, 0.50 \text{ µm film thickness})$ was used with He as the carrier gas at 1 198 ml/min. The GC oven program was: 70 °C to 200 °C at 10 °C/min, then to 310 °C (held 45 199 min) at 3°C/min. Samples were injected in splitless mode and the injector was at 280 °C. 200 Quantification of 3-OH FAs was performed by comparing the integrated signal from the 201 respective compound with that from an analogous deuterated internal standard (3-202 hydroxytetradecanoic acid, 2,2,3,4,4-d5; Sigma-Aldrich, France).

203 A 1:1 mixture of the internal standard and non-deuterated C₁₂, C₁₄ and C₁₈ 3-OH FA 204 standards (Larodan Chemicals, Sweden) was injected to determine the response factor of the 205 internal standard relative to 3-OH FAs before soil sample analyses. The standard mixture was 206 methylated and silvlated as described above before injection. Non-deuterated TMSi methyl 207 ester derivatives of 3-OH FAs showed an intense diagnostic fragment ion $(m/z \ 175)$ as 208 observed by e.g. Wang et al. (2016), whereas that of the deuterated internal standard is m/z209 178. GC-MS analysis of the mixture resulted in an equivalent response factor for the 210 deuterated standard relative to the non-deuterated 3-OH FA compounds, whatever their chain 211 lengths.

In soil samples from Mt. Rungwe and Mt. Majella, the TMSi methyl ester derivatives of 3-OH FAs were identified from their retention times and mass spectra. In addition to m/z 175, other characteristic ions include $M^+ - 15$ (base peak), $M^+ - 31$, and m/z 89, 103, 133 and 159 (e.g. Volkman et al., 1999; Wang et al., 2016). A solution of the deuterated 3-OH FA internal standard, methylated as described above (cf. section 2.3.), was added to each sample after acid hydrolysis, solvent extraction and separation on silica column. Typically, 1.5 µg of methylated internal standard was added to 5 mg of the EtOAc fraction from the extract. This mixture was then silylated with BSTFA-TMCS, as previously described, and then analysed by GC-MS. The coefficient of variation (CV) for triplicate injections of 5 samples was 0.7% for 3-OH FAs.

222 The RIAN index was calculated as follows (Wang et al., 2016):

223 RIAN =
$$-\log [(I+A)/N]$$
 (1)

where *I*, *A* and *N* represent the sum of all the *iso*, *anteiso* and *normal* 3-OH FAs respectively.

In the same way, the RAN₁₅ and RAN₁₇ indices were defined as follow (Wang et al., 2016)

$$226 \quad \text{RAN}_{15} = [anteiso-C_{15}] / [normal-C_{15}]$$

$$(2)$$

$$227 \quad \text{RAN}_{17} = [anteiso-C_{17}] / [normal-C_{17}]$$
(3)

and correspond to the ratios of *anteiso* to *normal* C_{15} and C_{17} 3-OH FAs, respectively. The analytical error based on triplicate injections was 0.006 for RIAN, 0.18 for RAN₁₅ and 0.05 for RAN₁₇.

231 2.5. BrGDGT analysis

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BrGDGT data have been previously published for (i) 19 of the 26 soil samples from Mt. Shennongjia (Yang et al., 2015) and for (ii) 16 of the 21 soil samples from Mt. Rungwe collected in 2012 (Coffinet et al., 2014). BrGDGT analysis of the aforementioned samples was performed using the traditional method based on a normal phase separation with a cyano column, which does not allow the separation of 5- and 6-methyl isomers.

Regarding soils from Mt. Majella, sample preparation was similar to that detailed by Coffinet et al. (2014). In these samples, brGDGTs were analysed with a Shimadzu LCMS-

2020 in selected ion monitoring mode using a procedure modified from Yang et al. (2015) 240 and Hopmans et al. (2016) allowing the separation of 5- and 6-methyl isomers. Separation 241 242 was achieved with two silica columns in tandem (150 mm \times 2.1 mm, 1.9 μ m, Thermo Finnigan; USA) thermostated at 40 °C. Injection volume was 10 µl. GDGTs were first eluted 243 244 isocratically with 82% A/18% B for 25 min (A = hexane, B = hexane/isopropanol 9/1, v/v). 245 The following linear gradient was subsequently used: 82% A/18% B to 65% A/35% B in 25 246 min, followed by 65% A/35 % B to 100% B, maintained for 10 min and then back to 82% 247 A/18% B in 10 min, maintained for 30 min. The flow rate was set at 0.2 ml/min. Semi-248 quantification of brGDGTs was performed by comparing the integrated signal of the respective compound with the signal of a C₄₆ synthesized internal standard (Huguet et al., 249 250 2006) assuming their response factors to be identical.

251 The MBT and CBT indices were calculated as follows (Weijers et al., 2007):

252
$$MBT = \frac{[Ia+Ib+Ic]}{[Ia+Ib+Ic]+[IIa+IIb+IIc]+[IIIa+IIIb+IIIc]+[II'a+II'b+II'c]+[III'a+III'b+III'c]}$$
(4)

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254
$$CBT = -\log(\frac{[Ib] + [IIb + II'b]}{[Ia] + [IIa + II'a]})$$
 (5)

The Roman numerals correspond to the structures presented in De Jonge et al. (2014). The 6-methyl brGDGTs are denoted by an accent after the roman numerals for their corresponding 5-methyl isomers.

258 MBT' was calculated according to Peterse et al. (2012):

259
$$MBT = \frac{[Ia+Ib+Ic]}{[Ia+Ib+Ic]+[IIa+IIb+IIc]+[IIIa]+[II'a+II'b+II'c]+[III'a]}$$
(6)

260 MBT'_{5Me} was calculated using the equation of De Jonge et al. (2014):

261
$$MBT'_{5Me} = \frac{[Ia+Ib+Ic]}{[Ia+Ib+Ic]+[IIa]}$$
 (7)

10

For soils from Mt. Shennongjia, MAAT was previously estimated by Wang et al. (2016)
using a local calibration (Yang et al., 2015):

264
$$MAAT = 2.06 + 28.13 \times MBT - 9.06 \times CBT$$
 (8)

Along Mt. Rungwe, MAAT was estimated using the East African regional calibration proposed by Coffinet et al. (2017):

267
$$MAAT = -8.76 \times CBT + 24.24 \times MBT' + 9.60$$
 (8)

Regarding Mt. Majella, MAAT was reconstructed using the global soil calibration by De
Jonge et al. (2014) as no local or regional calibration exists for this mountain:

270
$$MAAT = -8.57 + 31.45 \times MBT'_{5Me}$$
 (9)

The 6-methyl brGDGTs are excluded from the calibration by De Jonge et al. (2014), improving the accuracy of MAT reconstructions compared to previous global soil calibrations including both 5- and 6-methyl brGDGTs (Weijers et al., 2007; Peterse et al., 2012).

274 2.6. Statistical analyses

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276 All statistical analyses were performed using the software Graph Pad Prism 7.04 (Graph Pad 277 Software Inc.). Relationships of 3-OH FA distribution with MAAT and soil pH in specific 278 altitudinal transects as well as in the complete dataset were evaluated based on the Pearson coefficient of determination r^2 and were considered as significant when the *p*-value of the 279 280 correlation coefficient was lower than 0.05. Whatever the correlation, samples with standardized residuals higher than 2 or lower than -2 were identified as outliers. Comparison 281 282 of the regressions obtained from the different mountains was performed using homogeneity of 283 slope test followed by an analysis of co-variance in order to compare the slopes and intercepts

of the correlations, respectively. Differences between soil datasets were considered significant
when *p*-value was lower than 0.05.

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3. Results and discussion

3.1. Distribution and concentration of 3-OH FAs

290 3-OH FAs were detected in all soil samples from Mt. Majella and Mt. Rungwe (Supp. 291 Table 1), as shown for example in the chromatogram of a sample collected at 520 m altitude 292 along Mt. Rungwe (Fig. 1). The carbon number of the 3-OH FAs in soils from these two 293 mountains varied between C₁₀ and C₁₈, with even and normal chain compounds dominant 294 over even, iso and anteiso homologs (Fig. 1; Supp. Table 2), as observed for soils from Mt. 295 Shennongjia (Wang et al., 2016). It should be noted that 3-OH FAs with 19 to 26 carbon 296 atoms were also detected in soils from Mt. Rungwe and Mt. Majella and represented < 10 % 297 of total 3-OH FAs (i.e. C₁₀ to C₂₆ homologs; data not shown). These long chain 3-OH FAs 298 were previously shown to be widely distributed in microorganisms, being produced by e.g. 299 yeasts, fungi, and Gram-positive bacteria in addition to Gram-negative ones (Zelles, 1997; 300 Kock et al., 1999). In this paper, only 3-OH FAs with 10 to 18 C, typical for Gram-negative 301 bacteria (Wilkinson et al., 1988), will be considered.

302 About 60 to 90 % of total 3-OH FAs (with a chain length of 10 to 18 C) were of even 303 carbon number (Supp. Table 2), as typically observed in the LPS of Gram-negative bacteria 304 (Wilkinson, 1988). n-C₁₄, C₁₆ and C₁₈ were the most abundant 3-OH FAs in soils from both 305 Mt. Rungwe and Mt. Majella (ca. 50 to 60 % and 40 to 50 % of total 3-OH FAs for Mt. 306 Rungwe and Mt. Majella, respectively; Supplementary Table 2). Odd 3-OH FAs were 307 dominated by C₁₅ and C₁₇ homologs, with iso compounds being ca. 2 to 10 times more 308 abundant than those with anteiso and normal chains (Supplementary Table 2). Odd short-309 chain homologs (C₁₁ and C₁₃) were generally present at low concentration in soil samples (each representing less than 5% of total 3-OH FAs), and some of them were even not detected 310

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311 in some soils (e.g. anteiso C₁₁ and n-C₁₁ along Mt. Majella; Supp. Table 2). The different 3-312 OH FAs reported in this study were similarly observed in a wide range of environmental 313 samples, e.g. atmospheric dust (Saraf et al., 1997; Tyagi et al., 2015), marine dissolved 314 organic matter (Wakeham et al., 2003), stalagmites (Wang et al., 2012, 2018), sediments 315 (Mendoza et al., 1986; Wakeham, 1999) as well as in biomass from Gram-negative bacterial 316 strains (e.g. Pinkart and White, 1998). The dominant homologs were shown to differ from 317 sample to sample in all environmental settings, including soils from Mt. Majella and Mt. 318 Rungwe (Supp. Table 2). This likely reflects variations in distribution of 3-OH FAs in the 319 LPS of the Gram-negative bacterial species (e.g. Pseudomonas species, Pinkart and White, 320 1998; Geobacter species, Hedrick et al., 2009). Thus, 3-OH FAs with either 10, 12 or 14 C 321 were shown to be predominant among the different *Pseudomonas* species (Oyaizu and 322 Komagata, 1982), while the most abundant homologs of the genera Bacteroides and 323 Fusobacterium were either C_{14} or C_{16} 3-OH FAs (Miyagawa et al., 1979).

324 Concentrations of Gram-negative bacterial 3-OH FAs with respect to dry soil weight are 325 similar for soils from Mt. Rungwe (1.1 to 24.2 μ g/g soil; mean 7.8 μ g/g soil) and Mt. Majella 326 (0.8 to 21.3 μ g/g soil, mean 10.2 μ g/g soil; Supp. Table 1) and are in the same range as those 327 previously observed in one surficial Finnish soil (16.8 µg/g soil; Keinänen et al., 2003) and 328 soils from various locations under different cultivation types (0.4 to 6.3 μ g/g; Zelles, 1999 329 and references therein). Nevertheless, when normalised to total organic carbon, 3-OH FA 330 concentrations were significantly higher (p < 0.05) in soils from Mt. Rungwe (17.9 to 1062.5 331 $\mu g/g C_{org}$; mean 197.41 $\mu g/g C_{org}$) than in those from Mt. Majella (2.1 to 103.9 $\mu g/g C_{org}$; 332 mean 43.2 μ g/g C_{org}; Table 1), due the higher C_{org} contents in Mt. Majella (29 ± 9.0 %) than in 333 Mt. Rungwe samples (5.0 ± 3.0 %; Table 1). Several environmental parameters, such as 334 vegetation cover or annual amount of precipitation, which differ significantly between Mt. 335 Majella and Mt. Rungwe (cf. section 2.1.), might impact the growth of Gram-negative 336 bacteria and thus the production of 3-OH FAs in soils. The higher concentrations of Gram-337 negative bacterial 3-OH FA concentrations along Mt. Rungwe than along Mt. Majella might 338 at least be partly due to both quantitative and qualitative differences in soil organic matter 339 (SOM) composition between the two mountains. The abundance and composition of bacterial 340 communities in soils were indeed reported to be directly influenced by the amount and quality 341 of soil organic carbon, the proportions of Gram-negative bacteria generally increasing in soil 342 in the presence of fresh and labile organic substrates (e.g. Nemergut et al., 2010; Fanin et al., 343 2014). In any case, the variability of 3-OH FA concentrations along each mountain is 344 independent of elevation, as 3-OH FA concentrations do not correlate with elevation neither along Mt. Rungwe ($R^2 0.11$) nor along Mt. Majella ($R^2 0.09$). 345

- 346
- 347 *3.2. 3-OH FA-derived proxies*
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The correlations between the relative abundance of the 3-OH FAs in soils from Mt. Rungwe and Majella and environmental parameters were investigated using the different indices recently defined by Wang et al. (2016) – RIAN, RAN₁₅ and RAN₁₇.

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353 3.2.1. <u>RIAN index</u>

The ratio of the sum of *iso* and *anteiso* 3-OH FAs from Gram-negative bacteria to the total amount of *normal* 3-OH FAs in the C_{12} - C_{18} range, defined as the RIAN index (Eq. 10) was previously shown to correlate negatively with soil pH along Mt. Shennongjia (Wang et al., 2016):

358 RIAN = $1.11 - 0.10 \times pH$ (n = 26; R² 0.70; p < 0.001) (10)

This index was calculated for all soil samples from Mt. Rungwe and Mt. Majella. RIAN ranged between 0.31 and 0.80 along Mt. Rungwe and between 0.16 and 0.43 along Mt. Majella (Table 1). In contrast with Mt. Shennongjia, RIAN does not correlate with measured

pH neither along Mt. Rungwe (R² 0.10) nor along Mt. Majella (R² 0.16; Fig. 2a). The absence 362 of local correlation between RIAN and pH along Mt. Majella and Mt. Rungwe may be due to 363 364 the fact that pH varies in a much smaller range (ca. 1.5 units; Table 1) in soils from these two mountains than in those from Mt. Shennongjia (ca 3.5 units; Wang et al., 2016). A similar 365 366 hypothesis may be put forward to explain (i) the moderate / very poor correlations between brGDGT-based CBT and pH along Mts. Rungwe ($R^2 0.31$, p = 0.025; data not shown) and 367 Majella (R^2 0.03, p = 0.70; cf. Supp. Table 3 for CBT values), respectively and (ii) the 368 369 contrast with Mt. Shennongjia, where CBT was significantly correlated with pH (R² 0.74, 370 p<0.001; Yang et al., 2015). As CBT and RIAN are poorly correlated with soil pH along Mts. 371 Rungwe and Majella, no significant correlation between RIAN and CBT can be observed 372 along these two mountains, in contrast with Mt. Shennongjia (Fig. 2b).

373 So as to overcome the limitation associated with the restricted pH range in a given 374 mountain, RIAN data from the three mountains were combined. In the resulting extended 375 dataset, with 65 samples covering a large range of pH (4.5 to 8.7), each soil was considered as 376 an independent and individual sample. This combination of data resulted in a significant 377 negative correlation between RIAN and pH (Fig. 2a):

378
$$RIAN = 1.16 - 0.12 \times pH$$
 (11)

Eq. 11 presents similar slope and intercept as the initial relationship between RIAN and pH
observed along Mt. Shennongjia (Eq. 10; Wang et al., 2016).

381 Reconstruction of pH using RIAN can be performed using Eq. 12:

382
$$pH = 8.63 - 5.36 \times RIAN (n = 63; R^2 0.62; RMSE 0.56; n_{outliers} = 2; p < 0.001)$$
 (12)

383 Two outliers (one from Mt. Rungwe and one from Mt. Majella; Fig. 2a), with standardized
384 residuals higher than 2, are excluded from the relationship.

Bacteria have developed various adaptation mechanisms to cope with pH variations in the environment, the challenge being to maintain near neutral intracellular pH (Siliakus et al., 387 2017 and references therein). Thus, brGDGT-producing bacteria remodel their membrane in 388 response to changing pH by modifying brGDGT cyclisation degree (reflected in the CBT 389 index; Schouten et al., 2013). Similarly, the correlation between RIAN and pH (Eq. 12) 390 supports (i) the effect of pH on the relative distribution of Gram-negative bacterial 3-OH FAs 391 in soils previously observed along Mt. Shennongjia by Wang et al. (2016) and (ii) the fact that 392 the relative abundance of branched homologues vs. straight ones tends to decreases with pH, 393 allowing Gram-negative bacteria to reduce the permeability and fluidity of their membrane. A 394 moderate but significant correlation between RIAN and CBT was also obtained when 395 combining the data from the three mountains (Fig. 2b), consistent with the pH dependence of 396 these two indices.

397 Despite the potential of the RIAN as a pH proxy, the relatively large amount of scatter of 398 the RIAN-pH relationship has to be taken into account. The RMSE associated with the 399 relationship between RIAN and pH is 0.56, similar to the uncertainty associated with the 400 latest CBT'-pH calibration (RMSE 0.52) developed by De Jonge et al. (2014), even though 401 the latter is based on a larger number of soils (n = 222). Reconstruction of pH using the 402 RIAN-pH calibration (Eq. 12) should therefore be undertaken with special caution (i) in soils 403 with pH < 5, the pH in these soils being significantly overestimated and (ii) in soils with pH 404 >8, where pH is significantly underestimated (Fig. 2c).

405

406 3.2.2. <u>RAN₁₅ index</u>

Wang et al. (2016) showed the influence of MAAT on the relative abundance of bacterial 3-OH FAs via the calculation of the RAN₁₅ index based on *anteiso* and *normal* C_{15} 3-OH FAs. RAN₁₅ was negatively correlated with MAAT along Mt. Shennongjia (Wang et al., 2016), leading to the following equations:

411 $RAN_{15} = 6.84 - 0.26 \times MAAT$ (13)

412 MAAT =
$$17.95 - 1.99 \times \text{RAN}_{15}$$
 ($n = 25$; $\text{R}^2 0.52$; $\text{RMSE } 2.5 \text{ °C}$; $n_{outliers} = 2$; $p < 0.001$) (14)

- 413 In the present study, one outlier with standardized residuals higher than 3 was excluded 414 from the relationships between MAAT and RAN₁₅ observed along Mt. Shennongjia, slightly 415 modifying the correlations initially published by Wang et al. (2016).
- 416 RAN₁₅ varies in the same range along Mt. Rungwe (1.25 5.73), Mt. Majella (0.68 6.43)417 and Mt. Shennongjia (0.68 - 8.09), excluding the outlier; Wang et al., 2016). As previously 418 observed along Mt. Shennongjia (Eqs. 13 and 14; Wang et al., 2016), the RAN₁₅ index shows 419 a moderate and negative correlation with MAAT along both Mt. Majella (R² 0.54) and Mt. 420 Rungwe (R² 0.70). This is reflected in Eqs. 15 and 16 for Mt. Majella, and Eqs. 17 and 18 for 421 Mt. Rungwe, respectively (Fig. 3a):

422
$$RAN_{15} = 5.34 - 0.28 \times MAAT$$
 (15)

423 MAAT =
$$13.54 - 1.94 \times \text{RAN}_{15}$$
 (*n* = 11; R² 0.52; RMSE 2.9 °C; *p* = 0.01) (16)

424
$$RAN_{15} = 8.16 - 0.25 \times MAAT$$
 (17)

425 MAAT =
$$30.50 - 3.19 \times \text{RAN}_{15}$$
 ($n = 28$; $\text{R}^2 0.52$; RMSE 1.6 °C; $p < 0.001$) (18)

This shows that MAAT has a similar effect on the distribution of C₁₅ 3-OH FAs in soils 426 427 from different locations, RAN₁₅ increasing with decreasing temperature, likely as a result of 428 homeoviscous adaptation. Such a temperature effect was previously reported on the relative 429 distribution of (i) archaeal-derived isoprenoid GDGTs, the number of cyclopentane moieties 430 increasing with temperature (Schouten et al., 2013 and references therein) and of (ii) 431 branched-GDGT, the amount of methyl groups on the carbon chains increasing at low 432 temperatures, enabling the membrane to remain in a liquid crystalline state (Weijers et al., 433 2007; De Jonge et al., 2014). Similarly, increases in the amount of branched chain fatty acids, 434 saturated fatty acids, long-chain fatty acids and polar carotenoid content were observed in 435 mesophilic and thermophilic bacteria (Siliakus et al., 2017 and references therein). Regarding 436 Gram-negative bacteria, the present results combined with those from Wang et al. (2016)

437 suggest that the relative abundance of *anteiso* vs. *normal* C_{15} 3-OH FA increases with 438 decreasing temperature to maintain membrane fluidity, consistent with the fact that *anteiso* 439 fatty acids have a lower melting point than *normal* ones (Suutari and Lakso, 1994).

The correlations between RAN₁₅ and MAAT along Mts. Shennongjia, Rungwe and Majella (Fig. 3) do not significantly differ in slope (homogeneity of slope test: df = 2, F = 0.072, p = 0.931), but display significantly different intercepts (df = 2, F = 22.25, p < 0.0001). The present results suggest that regional calibrations with MAAT might be necessary to use RAN₁₅ as a temperature proxy. Nevertheless, further application of RAN₁₅ to other altitudinal transects distributed worldwide is now needed to confirm this statement.

446 The hydroxy fatty acid profile of Gram-negative bacteria is dependent on environmental 447 conditions but can also greatly vary between genus and even species of bacteria (e.g. 448 Pseudomonas sp; Pinkart et al., 1998). Therefore, at a given temperature and independently of 449 other environmental conditions, the ratio of anteiso vs. normal C15 3-OH FA may strongly 450 vary from one site to another depending on the Gram-negative bacterial communities present 451 in soil. This may notably explain why a given RAN₁₅ value corresponds to different MAAT 452 depending on the sampling site. Despite these potential differences in absolute RAN₁₅ values 453 between microbial species, the present results suggest that a given range of variation in 454 temperature has the same effect on Gram-negative bacteria whatever the sampling site. In 455 addition, RAN₁₅ was shown to be significantly correlated with the MAAT estimates derived 456 from the established GDGT-based proxies (MBT/CBT) along the three investigated 457 mountains (Fig. 3b). This confirms that temperature is the dominant control on RAN₁₅ for the three sites. 458

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3.2.3. <u>RAN₁₇ index</u>

Similarly to RAN₁₅, RAN₁₇, based on the ratio of *anteiso* and *normal* C_{17} 3-OH FAs, was 463 previously shown to be negatively correlated with MAAT (R² 0.50) along Mt. Shennongjia 464 (Fig. 4a; Wang et al., 2016). RAN₁₇ values are generally lower along Mt. Rungwe (0.33 – 465 1.62; Table 1) than along Mt. Shennongjia (1.11 - 3.56; Wang et al., 2016) and show high 466 467 variability along Mt. Majella (0.65 - 4.75; Fig. 4a and Table 1). A significantly negative 468 correlation between RAN₁₅ and MAAT is observed along Mt. Rungwe (Fig. 4a), in line with 469 results obtained from Mt. Shennongjia (Wang et al., 2016), leading to the following 470 equations:

471
$$RAN_{17} = 2.39 - 0.065 \times MAAT$$
 (19)

472 MAAT =
$$30.12 - 8.24 \times \text{RAN}_{17}$$
 (*n* = 28; R² 0.54; RMSE 2.5 °C; *p* < 0.001) (20)

The relationship between RAN_{17} and MAAT along Mt. Majella, expressed in Eqs. 21 and 22, is weaker than the one observed along Mts. Rungwe and Shennongjia and cannot be considered as significant, even though the *p*-value, in the range 0.05-0.10, indicates a trend towards significance:

477
$$RAN_{17} = 3.16 - 0.15 \times MAAT$$
 (21)

478 MAAT =
$$10.80 - 1.84 \times \text{RAN}_{17}$$
 (*n* = 11; R² 0.28; RMSE 3.8 °C; *p* = 0.092) (22)

The absence of statistical significance in the relationship between RAN₁₇ and MAAT is likely due to the high variability of RAN₁₇ values along Mt. Majella, leading to a weak correlation. The latter might have several explanations. First, RAN₁₇ is weakly (but not significantly) correlated with soil pH (R² 0.2, p = 0.16), in contrast with Mts. Rungwe and Shennongjia, where no correlation between RAN₁₇ and pH is observed (R² < 0.020; data not shown). The alkalinity of Mt. Majella soils (7.8 ± 0.1; Table 1) may lead to a higher influence of pH on RAN₁₇, and in turn, to a higher scattering in the RAN₁₇-MAAT relationship than in 486 acidic and neutral soils, such as those of Mt. Rungwe (6.1 \pm 0.5; Table 1) and Mt. 487 Shennongjia (6.2 \pm 1.0; Wang et al., 2016).

488 Second, it might also not be excluded that precipitation/soil moisture affects 3-OH FA 489 distribution, including RAN17 and RAN15 indices. Soil moisture is indeed a major factor 490 influencing microbial community structure and characteristics (Brockett et al., 2012). It may 491 therefore affect the diversity of Gram-negative bacteria and thus their membrane lipids, i.e. 3-492 OH FAs. In the present study RAN₁₇ as well as RAN₁₅ hint at a linear relationship with MAP along Mt. Majella (R^2 0.28 and R^2 0.54, respectively; data not shown), as also observed along 493 Mt. Shennongjia (R^2 0.48 and R^2 0.50, respectively; Wang et al., 2016). Correlations between 494 495 RAN₁₇/RAN₁₅ and MAP are similar to those observed between these indices and MAAT, as MAAT and MAP strongly co-vary with elevation along both Mt. Majella (R² 0.93, Supp. 496 497 Table 2) and Mt. Shennongjia (Wang et al., 2016). Nevertheless, Wang et al. (2016) 498 suggested that MAAT was the dominant parameter influencing RAN₁₅ and RAN₁₇ along Mt. 499 Shennongjia, as (i) the climate in this region is moist to humid (> 1000 mm/year) making 500 MAP an unlikely limiting ecological factor for the growth of Gram-negative bacteria and (ii) 501 only weak correlations between RAN17/RAN15 and punctual soil humidity measurements were observed ($\mathbb{R}^2 < 0.20$; Wang et al., 2016). A similar assumption regarding the 502 503 predominant influence of MAAT over precipitation/soil humidity on RAN₁₅ and RAN₁₇ can 504 be made for Mt. Majella, subjected to a moderately humid climate (ca. 800 - 1300 mm 505 precipitation/year; Table 1). Unfortunately, direct soil humidity measurements are not 506 available for Mt. Majella samples and cannot be used to strengthen this hypothesis.

507 In line with the observations made from the relationships between RAN₁₇ and recorded 508 MAAT (Fig. 4a), RAN₁₇ is moderately and significantly correlated with brGDGT-derived 509 MAAT estimates along Mts. Rungwe and Shennongjia, whereas no significant correlation is 510 observed along Mt. Majella (Fig. 4b). This may reflect the fact that 3-OH FAs and brGDGTs are produced by different microorganisms, and that the ecological and physiological responses of Gram-negative bacteria and brGDGT-producing bacteria to other environmental factors are not necessarily the same and are site-dependent.

In any case, statistical tests revealed no significant differences in either slopes (df = 2, F =514 515 1.801, p = 0.174) or in the intercepts of the relationships between RAN₁₇ and recorded MAAT 516 along Mts. Rungwe, Majella and Shennongjia (df = 2, F = 0.678, p = 0.512; Fig. 4a). In 517 addition, most data from Mt. Majella fall within the 95 % prediction interval of the RAN₁₇-518 MAAT correlations along Mts. Rungwe and Shennongjia. This shows that the distribution of 519 Gram-negative bacterial C₁₇ 3-OH FAs is similarly affected by temperature variations in soils 520 from different latitudes, with a relative decrease of normal vs. anteiso homologs with 521 decreasing temperature, as previously observed for C₁₅ 3-OH FAs. This suggests that different 522 Gram-negative bacteria species might present the same range of RAN₁₇ values at one given 523 temperature, even though microbial diversity can strongly vary from one soil to another, 524 leading to a natural variability of the RAN₁₇.

525 The statistical similarity of the local relationships between RAN₁₇ and recorded MAAT 526 along Mts. Rungwe, Majella, and Shennongjia allows the combination of the three datasets, 527 resulting in a significant and moderate negative correlation (Eqs. 23 and 24):

528
$$RAN_{17} = 2.70 - 0.081 \times MAAT$$
 (23)

529 MAAT =
$$25.74 - 7.38 \times \text{RAN}_{17}$$
 ($n = 65$; $\text{R}^2 0.60$; RMSE 5.1 °C; $p = < 0.001$) (24)

This calibration covers a wide range of temperature (ca. 0 - 25 °C; Fig. 4a) and confirms the potential of RAN₁₇ as a temperature proxy in terrestrial settings. When combining the data from the three mountains, RAN₁₇ also appear to be significantly correlated with brGDGTderived MAAT (Fig. 4b), consistent with the observations made from recorded MAAT.

534 Even though almost all the data points from Mts. Rungwe, Shennongjia and Majella are 535 within the 95 % prediction interval of the combined calibration (Eq. 24; Fig. 4a), the substantial uncertainty in temperature reconstruction based on RAN₁₇ (RMSE 5.1 °C; Fig. 4c) has to be taken into account, with the largest residuals observed for soils from Mt. Majella, as expected from the high variability of the RAN₁₇ values (Fig. 4a). The RMSE of the RAN₁₇-MAAT calibration is similar to that reported for the latest correlation between brGDGT distribution and MAAT (4.6 °C; De Jonge et al., 2014), even though the latter is based on a much larger number of soils (n = 222).

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3.3. Implications for the use of 3-OH FAs as environmental proxies

545 This study espouses the potential of 3-OH FAs as new temperature and pH proxies in soils, 546 in line with the first and recent application of these compounds as paleoproxies in a stalagmite 547 from central China (Wang et al., 2018). Both RAN₁₅ and RAN₁₇ can be used independently to 548 reconstruct temperature from soils. However, the presently considered data of samples from 549 three different regions suggest that RAN₁₅ might only be relevant for temperature 550 reconstructions using independent local calibrations. Analysis of 3-OH FAs in a larger 551 number of soils from over the world is now required to determine to which extent the RIAN, 552 RAN₁₅ and RAN₁₇ indices can be used as temperature and pH proxies at a global scale.

The remaining scatter in relationships between RIAN and pH (Fig. 2) as well as RAN₁₅/RAN₁₇ and MAAT (Figs. 3 and 4) is likely partly due to the intrinsic heterogeneity of soils, and also to variations in the diversity of Gram-negative bacterial communities between soils. Indeed, bacterial community composition, including Gram-negative bacteria, was observed to vary with elevation (Margesin et al., 2008) and 3-OH FA lipid profile is strongly dependent on Gram-negative bacterial species, as previously discussed (e.g. Parker et al., 1982; Bhat and Carlson, 1992).

560 Additionally, several other factors, such as amount of precipitation/soil moisture, type of 561 vegetation or seasonality, may also have an effect on the relative distribution of 3-OH FAs

562 and thus on RIAN, RAN₁₅ and RAN₁₇ values in soils. Similar hypotheses are put forward to 563 explain the large uncertainty remaining in global correlations between brGDGT distributions 564 and MAAT (e.g. Peterse et al., 2012) despite recent analytical improvement (De Jonge et al., 565 2014). Soil humidity (e.g. Dirghangi et al., 2013; Menges et al., 2014) as well as soil type 566 (Davtian et al., 2016) were shown to have an influence on the distribution of brGDGTs in 567 soils. A bias in brGDGT distribution towards the warmest months of the year, when bacterial 568 growth is higher, has also been reported in some soils (e.g. Pautler et al., 2014) and peat 569 (Huguet al., 2013b). More generally, distinct seasonal changes in soil microbial community 570 composition and biomass were previously observed in different settings (e.g. Smit et al., 571 2001; Habekots et al., 2008; Buckeridge et al., 2013). The specific activity and diversity of 572 Gram-negative bacteria may vary seasonally, leading to preferential production of 3-OH FAs 573 during certain periods of the year.

574 The use of air temperature instead of *in situ* soil temperature could also account for part of 575 the scatter in the RAN₁₅ and RAN₁₇ correlations, as previously discussed for brGDGT-576 derived proxies (Weijers et al., 2007; Peterse et al., 2012). As soil temperatures are largely 577 driven by air temperatures, MAAT is assumed to be a good approximation of soil 578 temperature. Nevertheless, an offset may exist between MAAT and soil temperature, as the 579 latter is dependent on numerous factors, such as soil properties (e.g. water content, chemical 580 composition or granulometry), land relief and soil cover (Lehnert, 2014). Soil temperature 581 data may help in partly reducing the scatter in the RAN₁₅ and RAN₁₇ calibrations. This is 582 hampered by the fact that soil temperatures are much less documented than air temperatures 583 and most of the time not available in the long-term, with only punctual soil temperature 584 measurements when sampling.

585 Additional geochemical and microbiological studies are now necessary to better 586 understand and constrain the influence of environmental parameters on Gram-negative 587 bacterial diversity and 3-OH FA distribution in soils. 3-OH FAs are present in a wide range of 588 terrestrial and aquatic settings, and are likely well preserved in sedimentary archives, as they 589 have been detected in several recent lacustrine sediments (Mendoza et al., 1987; Goosens et 590 al., 1988; Wang et al., 2016), in a Chinese stalagmite spanning the last 9 kyrs (Wang et al., 591 2018) as well as in a last glacial marine sediment sample from the Baltic Sea and several Late 592 Quaternary sapropels (deposited between 125,000 and 200,000 yrs B.P.; Ten Haven et al., 1987) from the eastern Mediterranean. Therefore, they could be used as paleoenvironmental 593 594 proxies in various archives, such as paleosols, marine and lacustrine sediments, providing (i) a 595 similar preservation of the C₁₀ to C₁₈ suite of 3-OH FA homologues and (ii) the development 596 of calibrations specific to each type of environment.

597 Different organic proxies may provide conflicting information, as observed for example in marine environments for alkenone-based Uk'37 and isoprenoid GDGT-based TEX₈₆ (e.g. 598 599 Lopes dos Santos et al., 2010; McClymont et al., 2012). Independent proxies are therefore 600 required to ensure the reliability of paleoenvironmental reconstructions. Nevertheless, to 601 date, the only organic proxies available for pH and temperature reconstruction in terrestrial 602 environments are those based on brGDGTs. As such, RIAN, RAN₁₅ and RAN₁₇ may 603 represent independent and complementary temperature and pH proxies to the well-established 604 MBT and CBT indices. In the present study, the pH (Fig. 2b) and temperature (Figs. 3b and 605 4b) proxies derived from 3-OH FAs on the one hand and brGDGTs on the other hand were 606 poorly to moderately correlated and the corresponding relationships were observed to be site-607 dependent. This may reflect the differences in physiological responses of 3-OH FA- and 608 brGDGT-source microorganisms to environmental changes and/or the variations in microbial 609 community composition along the altitudinal gradients investigated. Such hypotheses remain 610 speculative, as, in contrast with 3-OH FAs, a precise microbial source for brGDGTs has not 611 been identified yet, even though some members of the Gram-negative phylum Acidobacteria 612 may produce these lipids (e.g. Sinninghe Damsté et al., 2018). In any case, the environmental 613 factors impacting 3-OH-FA and brGDGT distribution in soils and the relationships between 614 the temperature and pH proxies derived from these two families of lipids require investigation 615 in a larger set of samples.

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617 4. Conclusions

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The abundance and distribution of 3-OH FAs were determined in surficial soils collected along altitudinal gradients from two different regions – Mt. Rungwe, Tanzania and Mt. Majella, Italy – and were compared with data previously published from Mt. Shennongjia (China; Wang et al., 2016). Gram-negative bacterial 3-OH FAs, with 10 to 18 C, are detected in all soils with even and *normal* chain compounds dominant over even, *iso* and *anteiso* homologs. 3-OH FA distribution is shown to vary with elevation, as reflected in the general decrease in the RIAN, RAN₁₅ and RAN₁₇ when elevation increases.

626 The combination of data from Mts. Rungwe, Majella and Shennongjia resulted in a 627 significant negative correlation between RIAN and pH, further highlighting the potential of 628 this index as a pH proxy. The correlations between RAN₁₅ and MAAT along Mts. 629 Shennongjia, Rungwe and Majella do not significantly differ in slope, suggesting that Gram-630 negative bacteria respond in the same way to temperature variations in soils from different 631 latitudes, with a relative decrease in normal vs. anteiso homologs with decreasing 632 temperature. Nevertheless, the relationships between RAN₁₅ and MAAT along the three 633 mountains display significantly different intercepts, suggesting that regional calibrations may 634 be required to use RAN₁₅ as a temperature proxy. In contrast with RAN₁₅, the statistical 635 similarity of the local relationships between RAN₁₇ and MAAT along Mts. Rungwe, Majella, 636 and Shennongjia led to the establishment of a combined calibration covering a wide range of 637 temperature (ca. 0 - 25 °C). Analysis of 3-OH FAs in a large number of soils distributed

638 worldwide is now required to investigate the applicability of the proxies derived from these 639 lipids at the global scale. As 3-OH FAs are anticipated to be well preserved in sedimentary 640 archives, these compounds should be promising pH and temperature proxies, that would be 641 independent of and complementary to those based on well-established brGDGTs 642 (MBT/CBT). More studies based on natural samples and cultures of Gram-negative bacteria 643 are now needed to better constrain the environmental factors influencing the relative 644 abundance of 3-OH FAs in soils, to investigate the limits and applicability of the RIAN, 645 RAN₁₅ and RAN₁₇ as paleoproxies and to improve and/or develop the calibrations between 646 these indices and temperature/pH at regional and global scales.

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Figure and table captions

Figure 1. Extracted Ion mass $(m/z \ 175)$ chromatogram showing distribution of 3-OH FAs in sample 1 (520 m altitude) from Mt. Rungwe. *Normal, iso* and *anteiso* 3-OH FAs are represented by red circles, green triangles and blue squares, respectively.

Figure 2. (a) Relationship between RIAN and soil pH along Mt. Rungwe and Mt. Majella (this study) and Mt. Shennongjia (Wang et al., 2016). Data for Mt. Rungwe samples are in blue, those for Mt. Majella in green and those from Mt. Shennongjia in orange. Black dashed line represents correlation based on combined data from the three mountains. Dotted lines represent 95% prediction interval for the combined correlation. The empty symbols are outliers as defined in the text. (b) Offset between the measured and calculated pH values based on Eq. 6 plotted versus measured pH values. Symbols are the same as those used in panel (a) (b) Relationship between RIAN and CBT along Mt. Rungwe and Mt. Majella (this study) and Mt. Shennongjia (Wang et al., 2016). Black dashed line represents correlation based on combined data from the three mountains. (c) Offset between the measured and calculated pH values based on Eq. 12 plotted versus measured pH values Symbols in panels (b) and (c) are the same as those used in panel (a).

Figure 3. (a) Relationship between RAN_{15} and mean annual air temperature (MAAT) along Mt. Rungwe and Mt. Majella (this study) and Mt. Shennongjia (Wang et al., 2016). Data and correlations for Mt. Rungwe samples are in blue, those for Mt. Majella in green and those from Mt. Shennongjia in orange. Dotted lines represent 95% prediction interval for each local correlation. The empty symbols are outliers as defined in the text. (b) Relationship between RAN₁₅ and brGDGT-derived MAAT estimates along Mt. Rungwe and Mt. Majella (this study) and Mt. Shennongjia (Wang et al., 2016). BrGDGT-derived MAAT estimates for soils from Mts. Rungwe and Shennongjia were obtained using local soil calibrations developed by Coffinet et al. (2017) and Yang et al. (2015), respectively, whereas the global soil calibration by De Jonge et al. (2014) was applied to soils from Mt. Majella. Symbols are the same as those used in panel (a).

Figure 4. (a) Relationship between RAN₁₇ and MAAT along Mt. Rungwe and Mt. Majella (this study) and Mt. Shennongjia (Wang et al., 2016). Data and correlations for Mt. Rungwe samples are in blue, those for Mt. Majella in green and those for Mt. Shennongjia in orange. Black dashed line represents correlation based on combined data from the three mountains. Dotted lines represent 95% prediction interval for local and global correlations. (b) Offset between the measured and calculated MAAT values based on Eq. 17 plotted versus measured MAAT values. (b) Relationship between RAN₁₇ and brGDGT-derived MAAT estimates along Mt. Rungwe and Mt. Majella (this study) and Mt. Shennongjia (Wang et al., 2016). BrGDGT-derived MAAT estimates for soils from Mts. Rungwe and Shennongjia were obtained using local soil calibrations developed by Coffinet et al. (2017) and Yang et al. (2015), respectively, whereas the global soil calibration by De Jonge et al. (2014) was applied to soils from Mt. Majella. Black dashed line represents correlation based on combined data from the three mountains. (c) Offset between the measured and calculated MAAT values. Symbols in panels (b) and (c) are the same as those used in panel (a).

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Figure 5. Relationships between (a) RAN₁₅ and brGDGT-derived MAAT estimates, (b) RAN₁₇ and brGDGT-derived MAAT estimates and (c) RIAN and CBT along Mt. Rungwe and Mt. Majella (this study) and Mt. Shennongjia (Wang et al., 2016). Data and correlations for Mt. Rungwe samples are in blue, those for Mt. Majella in green and those for Mt. Shennongjia in orange. BrGDGT-derived MAAT estimates for soils from Mts. Rungwe and Shennongjia were obtained using local soil calibrations developed by Coffinet et al. (2017) and Yang et al. (2015), respectively, whereas the global soil calibration by De Jonge et al. (2014) was applied to soils from Mt. Majella.

Table 1. Location and bulk features of soil samples from Mt. Rungwe and Mt. Majella collected in 2012 and 2016, abundance and distribution of 3-OH FAs plus indices derived from them as well. n.a., not available.

Supplementary Table 1. Mean annual precipitation (MAP) recorded along Mt. Majella.

Supplementary Table 2. Relative abundances (%) and total concentration (μ g/g dry soil) of the *normal, iso* and *anteiso* 3-OH FAs in soils from Mt. Rungwe and Mt. Majella (n.d., not detected).

Supplementary Table 3. Indices derived from brGDGTs for soils from Mt. Majella and MAAT estimates calculated using the global soil calibration by De Jonge et al. (2014). Supplementary Table 3. Indices derived from brGDGTs for soils from Mt. Majella and MAAT estimates calculated using the global soil calibration by De Jonge et al. (2014). Mis en forme : Justifié

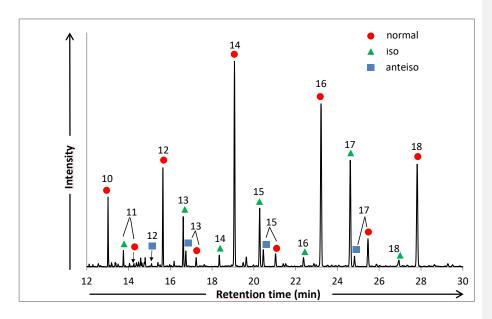
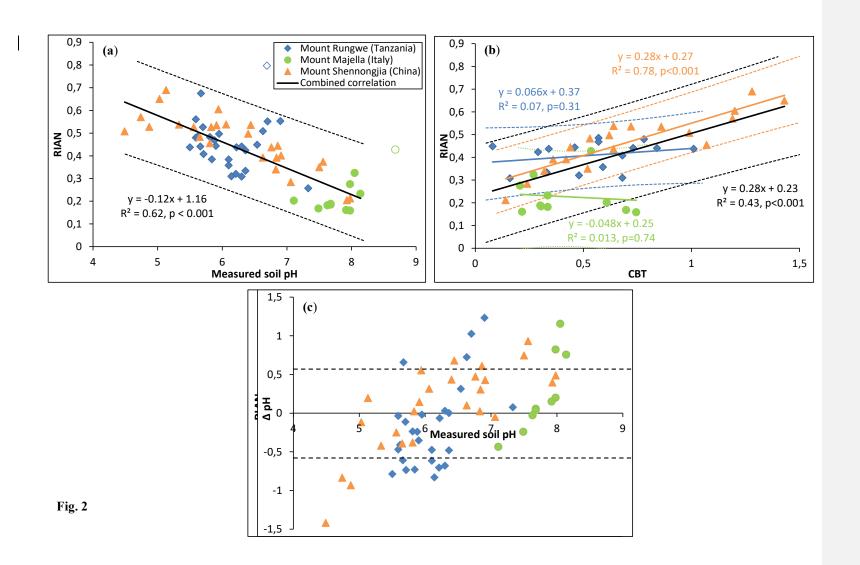
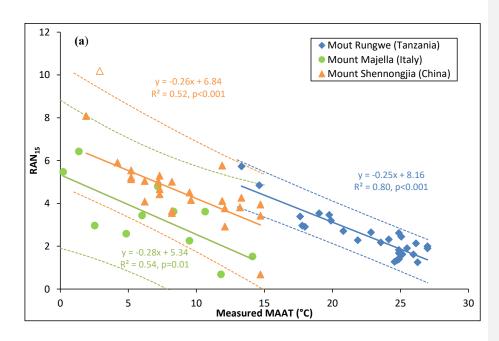


Fig. 1





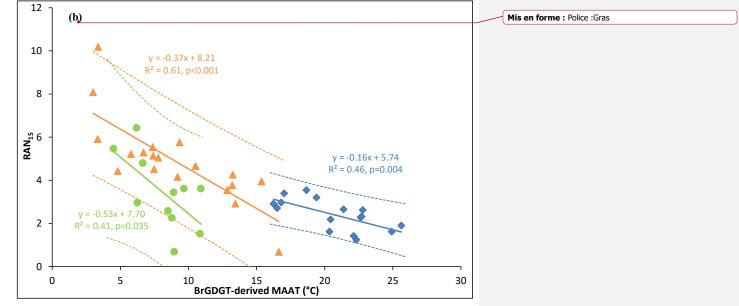
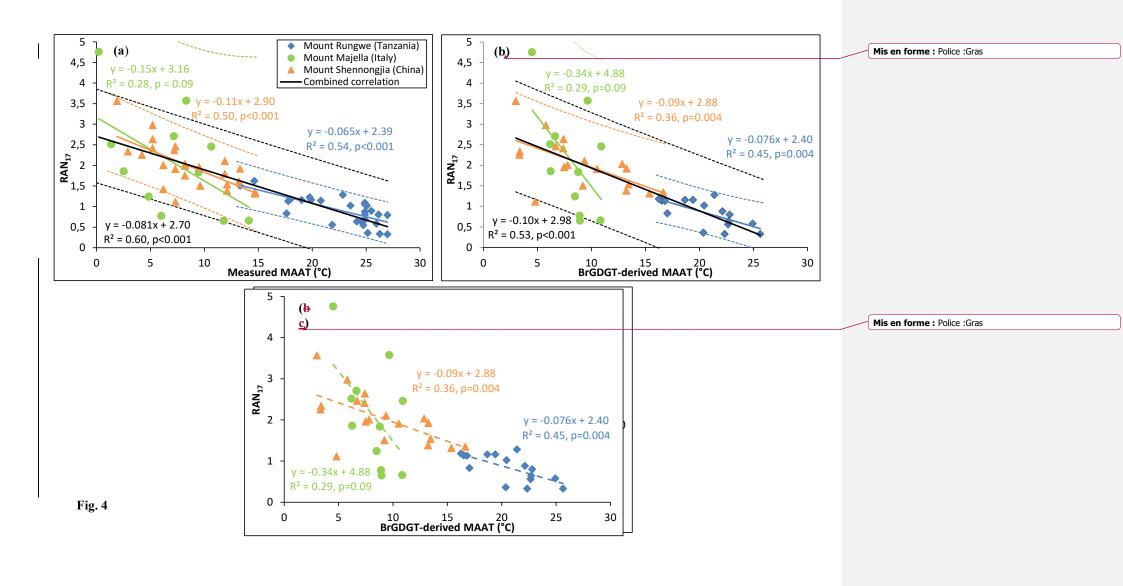


Fig. 3

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Campaign	Sample	Altitude (m)	Coordinates	MAAT ^a (°C)	MAP ^b (mm/year)	Soil pH	Corg (%)	Total 3-OH FAs (µg/g Corg)	RIAN	RAN ₁₅	RAN ₁₇
Mt.	1	520	S 09.40492° E 33.90782°	25.7	n.a.	6.5	4.9	314.9	0.45	1.90	0.33
Rungwe	2	520	S 09.40450° E33.90870°	25.7	n.a.	5.6	1.5	77.3	0.56	2.00	0.79
(2012)	3	640	S 09.37025° E 33.79949°	24.8	n.a.	6.4	6.2	344.2	0.42	1.25	0.33
	4	660	S 09.22000° E 33.47000°	24.7	n.a.	6.1	5.2	79.8	0.38	2.14	0.81
	5	692	S 09.35699° E 33.79721°	24.4	n.a.	6.3	2.6	105.8	0.31	1.62	0.58
	6	826	S 09.35270° E 33.82296°	23.4	n.a.	5.9	5.8	205.7	0.44	1.62	0.36
	7	840	S 09.35150° E33.82280°	23.3	n.a.	5.8	5.6	56.4	0.38	2.45	1.02
	8	869	S 09.33512° E 33.76300°	23.1	n.a.	5.9	5.2	28.7	0.50	1.83	1.05
	9	869	S 09.33008° E 33.75884°	23.1	n.a.	7.3	4.8	64.2	0.26	1.42	0.88
	10	869	S 09.33640° E 33.75590°	23.1	n.a.	6.2	7.1	17.9	0.32	1.68	1.09
	11	869	S 09.33008° E 33.75884°	23.1	n.a.	6.1	4.4	25.5	0.31	2.62	0.80
	12	994	S 09.33470° E 33.81031°	22.2	n.a.	6.4	3.6	191.4	0.33	2.32	0.64
	13	1092	S 09.32068° E 33.80958°	21.5	n.a.	6.1	2.3	141.3	0.36	2.18	1.02
	14	1211	S 09.30013° E 33.80783°	20.6	n.a.	5.7	8	96.8	0.44	2.65	1.28
	15	1374	S 09.28262° E 33.81349°	19.4	n.a.	5.6	6.3	116.0	0.48	2.28	0.56
	16	1550	S 09.26021° E 33.82095°	18.2	n.a.	5.5	4.9	260.0	0.44	2.71	1.14
	17	1702	S 09.35993° E 33.81637°	17.1	n.a.	5.7	2.9	226.9	0.41	3.20	1.16
	18	1846	S 09.22716° E 33.81249°	16.0	n.a.	5.6	3.2	111.3	0.49	3.54	1.16
	19	2020	S 09.02202° E 33.56576°	14.7	n.a.	5.9	2.5	580.4	0.47	2.91	1.18
	20	2055	S 09.02124° E 33.56478°	14.5	n.a.	6.2	5.3	458.1	0.44	2.97	1.13
	21	2080	S 09.07322° E 33.40782°	14.3	n.a.	6.3	0.8	1062.5	0.44	3.39	0.83
Mt.	22	770	S 09.28966° E 33.90248°	23.9	n.a.	7.3	5.2	121.3	0.33	1.89	0.88
Rungwe	23	870	S 09.33512° E 33.76305°	23.1	n.a.	6.7	2.7	270.7	0.49	1.39	0.45
(2016)	24	895	S 09.33646° E 33.75608°	22.9	n.a.	6.6	7.1	123.7	0.51	1.34	0.55
	25	923	S 09.33295° E 33.75195°	22.7	n.a.	6.7	3.6	193.1	0.80	1.27	0.64
	26	1723	S 09.12449° E 33.83101°	16.9	n.a.	5.8	3.3	79.7	0.48	3.46	1.23
	27	2580	S 09.03545° E 33.77150°	10.6	n.a.	6.9	16.7	52.4	0.55	4.84	1.62
	28	2797	S 09.02571° E 33.78635°	9.1	n.a.	5.7	9.3	121.6	0.53	5.73	1.50
Mt.	29	400	N 42.08696° E 14.20067°	14.1	789	8.1	18.5	14.5	0.32	1.52	0.65
Majella	30	800	N 42.08391° E 14.17626°	11.8	882	8.7	30.2	6.9	0.43	0.68	0.65
(2016)	31	1000	N 42.07850° E 14.16762°	10.6	929	7.7	18.3	103.9	0.19	3.61	2.46
	32	1200	N 42.07572° E 14.1628°	9.5	976	7.7	28.0	62.9	0.18	2.25	1.83
	33	1400	N 42.07427° E 14.15488°	8.3	1022	7.6	28.6	49.8	0.18	3.62	3.57
	34	1600	N 42.07617° E 14.14216°	7.2	1069	7.1	38.6	22.4	0.20	4.79	2.71
	35	1800	N 42.07965° E 14.13433°	6.0	1116	8.0	42.3	2.3	0.16	3.43	0.77
	36	2000	N 42.08598° E 14.12597°	4.8	1162	8.1	37.3	2.1	0.23	2.58	1.24
	37	2400	N 42.09066° E 14.09672°	2.5	1256	7.5	36.7	32.8	0.17	2.96	1.85
	38	2600	N 42.08882° E 14.08881°	1.4	1303	8.0	16.2	92.0	0.27	6.43	2.51
	39	2800	N 42.08780° E 14.0867°	0.2	1350	7.9	24.8	85.8	0.16	5.46	4.75

^aCalculated based from climatic data available from 3 meteorological stations along Mt. Rungwe and Mt. Majella (cf. Section 2). ^bExtrapolated from precipitation data available along Mt. Majella (cf. Supp. Table 1).

Supplementary Table 1. Mean annual precipitation (MAP) recorded along Mt. Majella.

Altitude (m)	MAP (mm/year)
325	813
650	779
767	973
1000	898
1251	928
1500	1035
2000	1163
2500	1291
2800	1368

Campaign	Sampling month	Sample	Altitude	n- C10	iso- C11	anteiso-C11	n -C11	anteiso -C12	n-C12	iso -C13	anteiso -C13	n- C13	iso -C14	n-C14	iso -C15	anteiso -C15	n-C15	iso -C16	n- C16	iso -C17	anteiso -C17	n-C17	iso-C18	n- C18	Concentration (µg/g soil)
	April	1	520	2,73	0,48	0,08	0,19	0,16	6,44	3,97	0,58	0,57	1,12	19,79	5,20	2,11	1,11	1,08	21,31	9,48	1,26	3,85	0,75	17,76	15,43
	April	2	520	0,44	n.d.	n.d.	n.d.	n.d.	4,68	2,31	0,29	0,35	0,86	27,68	5,71	2,03	1,01	1,77	19,38	10,59	1,34	1,69	1,05	18,81	1,16
	April	3	640	3,97	0,95	0,04	0,23	0,22	7,06	3,64	1,33	0,77	1,02	19,64	5,84	1,62	1,30	0,99	19,74	12,65	1,15	3,44	0,99	13,43	21,34
	December	4	660	2,09	0,52	0,02	0,19	0,16	5,50	3,35	0,53	0,64	1,14	22,19	6,51	2,17	1,02	1,32	22,55	11,34	1,30	1,61	0,89	14,98	4,15
	April	5	692	2,28	0,92	0,05	0,22	0,15	5,74	3,18	0,97	0,63	1,11	21,71	8,14	1,99	1,23	1,62	20,10	12,12	1,55	2,66	1,17	12,46	2,75
	December	6	826	3,31	0,64	0,09	0,26	0,18	7,10	4,14	0,66	0,71	1,12	19,92	5,23	2,07	1,27	1,07	20,53	9,34	1,32	3,69	0,62	16,75	11,93
	April	7	840	5,96	1,33	0,07	0,40	0,30	10,14	4,80	1,39	0,82	1,49	31,41	7,79	2,98	1,22	1,28	22,34	12,90	1,63	1,60	1,37	16,61	3,16
	April	8	869	2,02	0,93	0,05	0,34	n.d.	6,66	2,88	0,87	0,90	1,02	29,16	5,89	1,83	1,00	0,91	18,39	8,62	1,40	1,32	n.d.	15,80	1,49
	April	9	869	1,80	0,71	0,08	0,20	0,37	4,74	2,46	2,16	0,67	1,25	21,17	8,56	1,92	1,35	1,86	20,03	13,25	1,83	2,09	1,18	12,32	3,08
	April	10	869	1,95	0,55	0,09	0,20	0,23	5,97	3,65	0,63	1,21	1,42	22,91	7,42	2,27	1,35	1,63	19,18	12,07	1,62	1,48	0,76	13,40	1,27
Mt. Rungwe (2012)	April	11	869	0,84	0,50	0,03	0,19	0,34	5,27	3,55	1,03	0,72	1,77	29,00	9,30	3,27	1,25	1,35	19,22	8,70	1,05	1,31	1,99	9,33	1,12
	April	12	994	2,00	0,52	0,04	0,21	0,26	6,61	4,02	0,93	0,64	1,47	20,98	6,67	2,58	1,11	1,31	20,69	11,92	1,24	1,95	0,70	14,13	6,89
	April	13	1092	6,05	2,06	0,17	0,59	0,43	10,51	5,22	0,87	0,72	1,20	23,67	6,18	2,07	0,95	1,17	17,06	9,56	1,32	1,29	0,25	8,64	3,25
	April	14	1211	8,91	0,80	0,04	0,36	0,23	8,88	2,89	1,59	0,74	1,20	24,46	5,51	2,32	0,87	1,10	15,78	7,96	1,68	1,31	1,27	12,09	7,74
	April	15	1374	6,74	0,50	n.d.	0,25	0,59	8,22	5,07	1,71	0,79	1,75	21,28	4,07	2,20	0,96	1,02	18,88	6,24	1,04	1,86	0,71	16,13	7,31
	April	16	1550	3,69	0,57	0,04	0,24	0,18	9,05	3,03	1,04	0,65	1,17	22,90	5,05	2,26	0,83	1,24	19,14	9,62	1,35	1,18	1,21	15,55	12,74
	April	17	1702	4,53	0,70	0,07	0,26	0,21	8,70	3,33	0,69	0,55	1,31	20,85	6,05	2,83	0,89	1,06	17,64	9,89	1,50	1,29	0,48	17,18	6,58
	April	18	1846	3,78	0,49	0,06	0,20	0,19	10,58	3,34	0,73	0,67	1,41	23,86	4,92	2,93	0,83	0,95	18,70	7,80	1,40	1,20	0,42	15,53	3,56
	April	19	2020	3,59	0,39	0,05	0,22	0,12	9,51	3,81	0,65	0,63	1,31	23,52	5,67	2,70	0,93	0,93	19,33	7,82	1,40	1,19	0,53	15,70	14,51
	April	20	2055	3,24	0,41	0,07	0,19	0,14	9,02	3,72	0,71	0,61	1,46	23,41	5,25	2,75	0,93	0,96	19,07	9,20	1,40	1,23	0,68	15,56	24,28
	December	21	2080	2,01	0,22	0,03	0,16	0,15	6,41	2,59	1,46	0,68	1,43	19,76	4,75	3,41	1,01	1,06	20,19	9,20	1,46	1,76	0,83	21,41	8,50
		22	770	2,86	0,49	0,06	0,11	0,10	5,15	2,71	0,44	0,54	0,99	18,48	5,89	1,78	0,94	1,07	20,71	15,60	1,67	1,91	1,11	17,39	6,31
		23	870	5,76	0,69	0,08	0,35	0,19	6,43	2,46	0,37	0,79	0,69	19,74	4,48	1,50	1,44	1,26	16,39	9,35	1,20	1,72	0,97	24,12	7,31
		24	895	7,11	0,78	n.d.	n.d.	0,08	5,67	3,03	0,29	0,74	0,77	20,78	4,65	1,72	1,24	1,35	17,76	9,60	1,01	2,25	1,16	20,02	8,78
Mt. Rungwe (2016)	November	25	923	4,06	0,45	0,04	0,31	n.d.	5,90	1,78	0,03	0,84	0,70	18,29	3,11	1,11	0,87	0,13	13,74	5,80	0,51	0,80	0,12	41,43	6,95
		26	1723	8,25	0,49	0,04	0,48	0,09	9,77	2,97	0,42	0,64	1,13	22,55	5,10	2,66	0,77	1,12	16,20	8,55	1,28	1,04	1,01	30,87	2,63
		27	2580	15,63	0,42	n.d.	n.d.	0,17	8,04	2,36	0,40	0,93	1,58	24,00	3,84	2,67	0,55	1,19	14,93	6,70	1,30	0,80	1,24	13,25	8,75
		28	2797	12,10	0,68	n.d.	0,24	0,28	9,65	2,71	1,16	0,47	1,24	19,99	4,32	2,74	0,48	0,45	15,15	8,45	1,59	1,05	1,04	16,48	11,31
		29	400	0,95	0,14	n.d.	n.d.	0,29	3,55	2,79	0,78	2,21	1,11	20,21	6,54	2,63	1,26	4,24	20,32	8,97	2,23	2,81	5,56	13,40	2,69
		30	800	6,30	0,56	n.d.	n.d.	0,22	4,99	1,88	0,39	1,71	1,45	18,14	3,19	1,81	2,54	2,91	18,95	7,83	1,89	2,58	3,42	19,23	2,09
		31	1000	4,03	0,81	n.d.	n.d.	0,36	8,83	4,30	0,90	0,59	1,83	19,08	6,71	3,31	0,92	2,35	16,07	14,52	3,17	1,29	1,12	9,84	19,01
		32	1200	4,48	0,77	n.d.	n.d.	0,32	6,91	4,18	0,67	0,69	1,67	19,25	8,01	3,26	1,44	2,59	16,40	13,74	3,14	1,71	1,19	9,57	17,60
		33	1400	2,23	0,39	n.d.	n.d.	0,17	9,81	2,96	0,72	0,40	1,96	20,86	5,75	3,02	0,84	2,79	16,34	16,77	4,40	1,23	0,79	8,58	14,25
Mt. Majella (2016)	December	34	1600	4,94	0,85	n.d.	n.d.	0,39	10,83	4,23	1,41	0,64	1,43	21,11	6,70	2,74	0,57	1,68	14,93	15,44	2,71	1,00	1,00	7,41	8,65
		35	1800	2,99	n.d.	n.d.	n.d.	n.d.	5,70	4,00	1,75	n.d.	1,62	24,03	9,71	3,03	0,88	2,79	16,74	9,56	2,40	3,10	6,12	5,57	0,98
		36	2000	n.d.	n.d.	n.d.	n.d.	n.d.	4,95	3,51	0,63	1,32	1,62	25,01	8,25	3,04	1,18	1,99	18,92	9,96	2,81	2,27	5,12	9,41	0,78
		37	2400	2,53	0,68	n.d.	n.d.	0,29	6,10	4,19	0,89	0,84	1,63	20,85	8,95	3,33	1,12	2,75	17,07	13,33	3,06	1,65	1,37	9,37	12,05
		38	2600	2,47	0,55	n.d.	n.d.	0,18	8,75	3,55	0,80	0,68	1,69	19,38	4,33	4,11	0,64	3,05	18,92	12,16	3,18	1,27	1,09	13,20	14,91
		39	2800	3,62	0,59	n.d.	n.d.	0,14	10,80	3,42	0,87	1,05	1,82	19,14	4,38	3,38	0,62	3,35	14,86	16,04	3,77	0,79	3,12	8,23	21,28

Supplementar	y Table 3. Indi	ces derived from b	orGDGTs for s	soils from Mt. Majella and MAAT estima
Altitude	MBT	MBT'5Me	CBT	MAAT estimate (°C)
400	0,21	0,62	0,27	10,9
800	0,15	0,56	0,54	9,0
1000	0,18	0,62	0,30	10,9
1200	0,16	0,55	0,30	8,8
1400	0,17	0,58	0,34	9,7
1600	0,20	0,48	0,61	6,6
1800	0,16	0,56	0,74	8,9
2000	0,17	0,54	0,34	8,5
2400	0,15	0,47	0,70	6,2
2600	0,20	0,47	0,21	6,2
2800	0,14	0,42	0,22	4,5

tes calculated using the global soil calibration by De Jonge et al. (2014).